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**Retrospective Study** 

ORIGINAL ARTICLE

# Prognostic value of lymph node metastasis in patients with T1-stage colorectal cancer from multiple centers in China

Zhen-Qiang Sun, Shuai Ma, Quan-Bo Zhou, Shuai-Xi Yang, Yuan Chang, Xiang-Yue Zeng, Wei-Guo Ren, Fang-Hai Han, Xiang Xie, Fan-Ye Zeng, Xian-Tao Sun, Gui-Xian Wang, Zhen Li, Zhi-Yong Zhang, Jun-Min Song, Jin-Bo Liu, Wei-Tang Yuan

Zhen-Qiang Sun, Shuai Ma, Quan-Bo Zhou, Shuai-Xi Yang, Yuan Chang, Xian-Tao Sun, Gui-Xian Wang, Zhen Li, Zhi-Yong Zhang, Jun-Min Song, Jin-Bo Liu, Wei-Tang Yuan, Department of Anorectal Surgery, the First Affiliated Hospital of Zhengzhou University, Zhengzhou 450052, Henan Province, China

Zhen-Qiang Sun, Xiang-Yue Zeng, Department of Gastrointestinal Surgery, the Affiliated Tumor Hospital of Xinjiang Medical University, Urumqi 830001, Xinjiang Uygur Autonomous Region, China

Wei-Guo Ren, Department of Gastroenterology, the Third Xiangya Hospital of Central South University, Changsha 410013, Hunan Province, China

Fang-Hai Han, Department of Gastrointestinal Surgery, Sun Yatsen Memorial Hospital of Sun Yat-sen University, Guangzhou 510000, Guangdong Province, China

Xiang Xie, Department of Coronary Artery Disease, Heart Center, the First Affiliated Hospital of Xinjiang Medical University, Urumqi 830001, Xinjiang Uygur Autonomous Region, China

Fan-Ye Zeng, Department of Oncology, the Affiliated Hospital of Traditional Chinese Medicine of Xinjiang Medical University, Urumqi 830001, Xinjiang Uygur Autonomous Region, China

ORCID number: Zhen-Qiang Sun (0000-0001-5926-2716); Shuai Ma (0000-0003-1771-6585); Quan-Bo Zhou (0000-0003-2406-9339); Shuai-Xi Yang (0000-0002-9383-6469); Yuan Chang (0000-0002-3708-3474); Xiang-Yue Zeng (000 0-0001-5983-1974); Wei-Guo Ren (0000-0002-2691-1537); Fang-Hai Han (0000-0002-1347-7292); Xiang Xie (0000-00 01-7909-3876); Fan-Ye Zeng (0000-0002-5239-2128); Xian-Tao Sun (0000-0002-6620-5725); Gui-Xian Wang (0000-0001-9115-3405); Zhen Li (0000-0003-4928-8407); Zhi-Yong Zhang (0000-0001-5647-1563); Jun-Min Song (0000-003-0557-1673); Jin-Bo Liu (0000-0002-7719-3205); Wei-Tang Yuan (0000-0003-2866-6261). Author contributions: Sun ZQ, Ma S and Zhou QB contributed equally to this work; Sun ZQ and Zhou QB designed the study and analyzed the data; Ma S, Yang SX and Chang Y performed the experiments; Ma S wrote the paper; Zeng XY, Ren WG, Han FH, Xie X, Zeng FY, Sun XT, Wang GX, Li Z, Zhang ZY, and Song JM collected the data; Liu JB and Yuan WT designed the study and revised the paper; all authors have read and approved the final version to be published.

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Correspondence to: Wei-Tang Yuan, MD, PhD, Chief physician, Professor, Department of Anorectal Surgery, the First Affiliated Hospital of Zhengzhou University, No. 1, Jianshe Road, Zhengzhou 450052, Henan Province, China. yuanweitang@zzu.edu.cn Telephone: +1-51-49341934 Fax: +1-51-48431434

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# Abstract

#### AIM

To explore the features and prognostic value of lymph node metastasis in patients with T1-stage colorectal cancer (CRC).

### **METHODS**

In all, 321 cases of T1-stage CRC were selected from 10132 patients with CRC who received surgical therapy in six large-scale hospitals in China and were retrospectively analyzed. Univariate and multivariate analyses were performed to analyze the risk factors for lymphatic metastasis. A survival analysis was then performed to analyze the prognostic value of lymph node metastasis.

### RESULTS

The occurrence rate of T1 stage was 3.17% (321/10132); of these patients, the lymph node metastasis rate was 8.41% (27/321), and the non-lymph node metastasis rate was 91.59% (294/321). Univariate analysis showed that preoperative serum CEA, preoperative serum CA199, preoperative serum CA724, vascular invasion, and degree of differentiation were associated with lymph node metastasis in T1-stage CRC (P < 0.05 for all). Multivariate analysis indicated that preoperative serum CA724, vascular invasion, and degree of differentiation were closely related to lymph node metastasis (P < 0.05 for all). Log-rank survival analysis showed that age, preoperative serum CEA, preoperative serum CA199, vascular invasion, degree of differentiation, and lymph node metastasis ( $\chi^2$  = 24.180, P < 0.001) were predictors of 5-year overall survival (OS) (P < 0.05 for all). COX regression analysis demonstrated that preoperative serum CA199 and lymph node metastasis (HR = 5.117; *P* < 0.05; 95%CI: 0.058-0.815) were independent prognostic indicators of 5-year OS in patients with T1-stage CRC (P < 0.05 for both).

### CONCLUSION

The morbidity of T1-stage CRC was 3.17% for all CRC cases. Preoperative serum CA724, vascular invasion, and degree of differentiation are independent risk factors for lymph node metastasis. Lymph node metastasis is an independent prognostic factor for OS in patients with T1-stage CRC.

Key words: Colorectal cancer; Lymph node metastasis; T1 stage; Prognosis

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**Core tip:**The high morbidity of patients with colorectal cancer (CRC) is caused by the likelihood of recurrence and metastasis. This study focused on the features and prognostic value of lymph node metastasis in patients with T1-stage CRC. According to the statistical analysis, we found a very low morbidity in patients with T1-stage CRC. Moreover, our findings confirm that preoperative serum CA724, vascular invasion, and degree of differentiation were independent risk factors for lymph node metastasis, which was demonstrated to be an independent prognostic factor for 5-year OS in patients with T1-stage CRC.

Sun ZQ, Ma S, Zhou QB, Yang SX, Chang Y, Zeng XY, Ren WG, Han FH, Xie X, Zeng FY, Sun XT, Wang GX, Li Z, Zhang ZY, Song JM, Liu JB, Yuan WT. Prognostic value of lymph node metastasis in patients with T1-stage colorectal cancer from multiple centers in China. *World J Gastroenterol* 2017; 23(48): 8582-8590 Available from: URL: http://www.wjgnet. com/1007-9327/full/v23/i48/8582.htm DOI: http://dx.doi. org/10.3748/wjg.v23.i48.8582

# INTRODUCTION

Colorectal cancer (CRC) is one of the most common malignancies worldwide<sup>[1]</sup>. With economic development and changes in dietary history, CRC has shown a steadily increasing incidence and is now the fifth leading cause of cancer-related death in China<sup>[2,3]</sup>. Due to adverse treatment-related side effects and the poor prognosis of this disease, which results from easy recurrence and metastasis, oncotherapy for CRC has posed a dilemma<sup>[4]</sup>. In addition, lymph node metastasis is the main type of metastasis in advanced CRC. The occurrence rate of T1-stage CRC has been reported to be approximately 3.51%<sup>[5,6]</sup>. When the tumor is completely removed, patients with T1-stage CRC generally have a good prognosis. However, because metastasis does not often occur in lymph nodes in T1-stage CRC, lymph node metastasis is often overlooked during the process of diagnosis and treatment. Nevertheless, lymph node metastasis is one of the most essential prognostic risk factors. Chock *et al*<sup>[7]</sup> reported that the incidence of lymph node metastasis was 5.6% in T1-stage CRC, whereas Gao *et al*<sup>[8]</sup> demonstrated that the occurrence of lymph node metastasis was 5.5% in T1-stage CRC. Zheng et al<sup>[9]</sup> reported that elevated serum levels of tumor markers indicated a high risk of cancer recurrence and poor survival, yet the relationship between tumor

markers and lymph node metastasis in T1-stage CRC remains unknown. Our study found that the incidence of lymph node metastasis was 8.41% in T1-stage CRC. What is the detailed prognostic value of lymph node metastasis in T1-stage CRC? This question has received increased attention in clinical practice, but as of now, no definite answer has been provided.

In this study, 321 cases of T1-stage CRC were selected from 10132 patients with CRC who received surgical therapy in six large-scale hospitals in China and were retrospectively analyzed. A statistical analysis was performed to analyze the features of lymph node metastasis and to evaluate its risk factors and prognostic value in patients with T1-stage CRC. These data will provide a theoretical basis for more effective treatment of patients with T1-stage CRC.

# MATERIALS AND METHODS

#### **Research subjects**

In all, 321 cases of T1-stage CRC were screened from 10132 patients with CRC who received surgical therapy in six large-scale hospitals in China (the First Affiliated Hospital of Zhengzhou University, the Affiliated Tumor Hospital of Xinjiang Medical University, Sun Yat-sen Memorial Hospital of Sun Yat-sen University, the First Affiliated Hospital of Xinjiang Medical University, the Third Xiangya Hospital of Central South University, and the Affiliated Hospital of Traditional Chinese Medicine of Xinjiang Medical University) from June 2001 to June 2011. These cases consisted of 172 males and 149 females. The mean patient age was  $61.37 \pm 13.41$  years. Prior to participation, a diagnosis of CRC was confirmed by histopathology for all patients. The tumor-node-metastasis (TNM) stage was determined according to the American Joint Committee on Cancer/International Union Against Cancer TNM staging system for colorectal cancer (2010, 7<sup>th</sup> edition). No patient received preoperative chemotherapy, radiotherapy, or immunotherapy. The following exclusion criteria were used: cases with incomplete clinical data, those who were inappropriate for statistical analysis, cases who had other malignant tumors, and cases who were treated by endoscopic resection.

All tissues were approved by the Ethics Review Committees of the First Affiliated Hospital of Zhengzhou University before they were used for research purposes. All the patients who provided clinical material signed an informed consent form.

#### Patient follow-up

After surgery, the patients were assessed once a month for the first 6 mo, once every 3 mo from 6 mo to 2 years, once every 6 mo from 2 years to 5 years, and finally, once a year after 5 years. Follow-ups were conducted either by outpatient or inpatient review or by telephone. Forty patients did not participate in the

follow-up analyses because they did not communicate with the physicians after surgery. In addition, 16 patients developed dysthymia and were unable to cooperate for the remainder of the study, two patients committed suicide, and 21 patients did not participate in the follow-up for unknown reasons. Therefore, the total follow-up rate in the study was 75.39%.

#### Chemotherapy and radical surgery

According to the NCCN Guidelines, CRC with lymph node metastasis is defined as stage III disease, but postoperative chemotherapy should be performed in CRC patients with lymph node metastasis, regardless of T stage. FOLFOX6 was used as the first-line adjuvant or neoadjuvant therapy regimen for CRC patients with stage III disease. CapeOX was used as either a firstor second-line adjuvant or neoadjuvant chemotherapy regimen for patients with stage III CRC, those with drug resistance, or those with postoperative recurrence. FOLFIRI was used as the chemotherapy regimen for CRC patients with postoperative recurrence, metastasis, or drug resistance.

Radical surgery was performed according to complete mesocolic excision for patients with colon cancer and total mesorectal excision for patients with rectal cancer. All the patients received scheduled surgery (*i.e.*, not emergency surgery). More than 12 lymph nodes were removed during surgery.

#### Statistical analysis

All statistical analyses were performed with SPSS version 18.0. Graphs were constructed with GraphPad Prism software. Univariate analysis was performed using the  $\chi^2$  test to analyze the association between lymph node metastasis and clinicopathological parameters. Kaplan-Meier survival curves and the log-rank test were used to compare the group with lymph node metastasis and the group without lymph node metastasis. The multivariate survival analysis was performed using the Cox regression model to determine the relative risk (RR) and 95% CI. Statistical significance was defined as P < 0.05.

# RESULTS

# Univariate analysis of correlation between lymph node metastasis and clinicopathological parameters of patients with T1-stage CRC

In all, 321 patients with T1-stage CRC were divided into a lymph node metastasis group (27 cases) and a non-lymph node metastasis group (294 cases). The occurrence rate of lymph node metastasis was 8.41%. The univariate analysis showed that lymph node metastasis was associated with preoperative CEA, preoperative CA199, preoperative CA724, vascular invasion, and degree of differentiation (P < 0.05, for all parameters; Table 1). Lymph node metastasis was not associated with gender, age, smoking status, absolute



# Table 1 Univariate analysis of correlation between lymph node metastasis and clinicopathological parameters of T1-stage colorectal cancer patients

Clinicopathological characteristic	п	Lymph node metastasis		$\chi^2$	P value
		Yes	No		
Gender				1.955	0.162
Male	172	11	161		
Female	149	16	133		
Age (yr)				0.436	0.509
$\geq 60$	174	13	161		
< 60	147	14	133		
Smoking				0.766	0.382
No	279	22	257		
Yes	42	5	37		
Preoperative CEA (ng/mL)				5.994	0.014
<5	284	20	264		
≥ 5	37	7	30		
Preoperative CA199 (ng/mL)				5.015	0.025
< 9	173	9	164		
$\geq 9$	148	18	130		
Preoperative CA724 (ng/mL)				12.275	0.000
< 2	163	5	158		
≥ 2	158	22	136		
Granulocyte absolute value				0.771	0.380
< 2.2	32	4	28		
≥ 2.2	289	23	266		
D-dimer				2.227	0.136
< 0.21	158	17	141		
≥ 0.21	163	10	153		
Preoperative hemoglobin	100	10	100	1.504	0.220
< 132	154	16	138	1.001	0.220
≥ 132	167	10	156		
Vascular invasion	107	11	100	18.421	0.000
No	313	23	290	10.121	0.000
Yes	8	4	4		
Tumor location	0	1		1.184	0.277
Rectum	170	17	153	1.104	0.277
Colon	151	17	133		
Tumor size (cm)	101	10	111	1.526	0.217
< 3	190	19	171	1.020	0.217
≥3	130	8	123		
≥ 5 Differentiation degree	151	0	123	7.723	0.005
-	307	23	284	1.125	0.005
High/moderate Low	307 14	25 4	284 10		
	14	4	10	0.210	0.640
Tumor general type Ulcer	220	10	219	0.219	0.640
	238	19			
Non-ulcer	83	8	75	2 202	0.100
Tumor tissue type	0	2	_	2.293	0.130
Non-adenocarcinoma	9	2	7		
Adenocarcinoma	312	25	287		

granulocyte count, D-dimer value, preoperative hemoglobin level, tumor location, tumor size, general tumor type, or tumor tissue type (P > 0.05 for all).

# Multivariate analysis of correlation between lymph node metastasis and clinicopathological parameters of patients with T1-stage CRC

The multivariate analysis showed that lymph node metastasis was associated with preoperative CA724, vascular invasion, and degree of differentiation (P < 0.05 for all parameters; Table 2). Lymph node metastasis was not associated with gender, age, smoking status, preoperative CEA, preoperative CA199, absolute granulocyte count, D-dimer value, preoperative hemoglobin level, tumor location, tumor size, general

tumor type, or tumor tissue type (P > 0.05 for all).

# Univariate analysis of correlation between clinicopathological parameters and 5-year OS

As shown in Table 3, the univariate survival analysis demonstrated that age, preoperative CEA, preoperative CA199, vascular invasion, degree of differentiation, and lymph node metastasis ( $\chi^2 = 24.180, P < 0.001$ ) were associated with 5-year OS (P < 0.05 for all). Gender, smoking status, preoperative CA724, absolute granulocyte count, D-dimer value, preoperative hemoglobin level, tumor location, tumor size, general tumor type, and tissue type were not associated with 5-year OS (P > 0.05 for all). The Kaplan-Meier curve showed that the 5-year OS of patients in the lymph



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Table 2 Multivariate analysis of correlation between lymph node metastasis and clinicopathological parameters of T1-stage colorectal cancer patients

Clinicopathological characteristic	HR	<i>P</i> value	95%CI	
			Lower bound	Upper bound
Gender, Male vs Female	1.636	0.201	0.698	5.518
Age (yr), $< 60 vs \ge 60$	0.063	0.801	0.357	2.218
Smoking No vs Yes	0.880	0.348	0.529	6.077
Preoperative CEA (ng/mL) < 5 $vs \ge 5$	1.188	0.276	0.603	5.904
Preoperative CA199 (ng/mL) < 9 $vs \ge 9$	2.765	0.096	0.869	5.541
Preoperative CA724 (ng/mL) < 2 $vs \ge 2$	5.461	0.019	1.232	10.783
Granulocyte absolute value, < 2.2 $vs \ge 2.2$	0.004	0.951	0.285	3.797
D-dimer < 0.21 $vs \ge 0.21$	1.398	0.237	0.651	5.652
Preoperative hemoglobin < $132 vs \ge 132$	0.437	0.508	0.249	1.992
Tumor size (cm), < 3 $vs \ge 3$	2.090	0.148	0.185	1.290
Vascular invasion, No vs Yes	8.461	0.004	2.358	81.596
Tumor location, Rectum vs Colon	0.264	0.607	0.485	3.444
Differentiation degree, High/moderate vs Low	4.204	0.040	1.057	11.956
Tumor general type, Non-ulcer vs Ulcer	0.686	0.407	0.556	4.239
Tissue type, Non-adenocarcinoma vs Adenocarcinoma	0.897	0.344	0.043	2.989

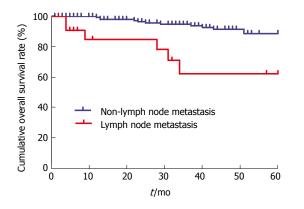


Figure 1 Kaplan-Meier curve of lymph node metastasis in T1-stage colorectal cancer patients. OS of T1-stage CRC patients without lymph node metastasis (blue) and those with lymph node metastasis (red) was compared based on Kaplan-Meier curves and log-rank test.

node metastasis group was lower than that of patients in the non-lymph node metastasis group (Figure 1).

#### Cox regression analysis of correlation between clinicopathological parameters and 5-year OS

The multivariate survival analysis showed that preoperative CA199 level and lymph node metastasis (RR = 5.117, P < 0.05, 95%CI: 0.058-0.815) were associated with 5-year OS (P < 0.05 for all, Table 4). In contrast, gender, age, smoking status, preoperative CEA level, preoperative CA724 level, absolute granulocyte count, D-dimer value, preoperative hemoglobin level, tumor location, tumor size, general tumor type, tissue type, vascular invasion, and degree of differentiation were not associated with 5-year OS (P > 0.05 for all).

# DISCUSSION

CRC is the third most common cancer and the third leading cause of cancer-related death worldwide $^{[10]}$ . The

5-year OS rate of patients with colon cancer is 64.9% and is 66.5% for those with rectal cancer. T1 stage is generally early-stage CRC and has a good prognosis, but if lymph node metastasis occurs, the prognosis is usually poor. Therefore, lymph node metastasis has garnered increased attention in recent years. According to previous studies, the proportion of lymph node metastasis in patients with T1-stage CRC was reported to be 5.6% and 5.5%, which is relatively low<sup>[11,12]</sup>. In this study, the proportion of lymph node metastasis in patients with T1-stage CRC was 8.41%. The factors that affect lymph node metastasis of T1-stage CRC are multifaceted and are also interrelated with each other. Lymph node metastasis is the main basis for clinic pathological staging of CRC for the prediction of prognosis of patients and for the determination of the appropriate regimen of postoperative adjuvant therapy. Therefore, it is necessary to study the risk factors that are correlated with lymph node metastasis of CRC, as well as their influence on the prognosis of T1-stage CRC<sup>[13-15]</sup>.

Some studies have reported that tumor markers had certain clinical value in the detection of postoperative recurrence and metastasis of CRC as well as in the judgment of prognosis<sup>[16-18]</sup>. In our study, univariate analysis showed that preoperative CEA, preoperative CA199, and preoperative CA724 were associated with lymph node metastasis, while multivariate analysis showed that preoperative CA724 was an independent risk factor for lymph node metastasis. CA724 has been reported to be a marker for gastrointestinal and ovarian cancers and was shown to be a better indicator for the diagnosis of gastric cancer compared with the levels of CA199 and CEA. Our study found that the CA724 level was a good indicator of lymph node metastasis, which has not been previously reported in T1-stage CRC.

Previous studies have reported that the degree of differentiation of tumor cells in rectal cancer was



Clinicopathological characteristic	п	OS rate	$\chi^2$	P value
Gender			1.225	0.268
Male	172	90.90%		
Female	149	90.10%		
Age (yr)			6.103	0.013
$\geq 60$	174	86.50%		
< 60	147	95.50%		
moking			0.429	0.513
No	279	91.00%		
Yes	42	87.50%		
reoperative CEA (ng/mL)			13.594	0.000
<5	284	92.70%		
≥5	37	78.40%		
reoperative CA199 (ng/mL)			7.229	0.007
< 9	173	94.70%		
≥9	148	85.70%		
reoperative CA724 (ng/mL)			0.012	0.912
<2	163	87.40%		
≥ 2	158	93.20%		
ranulocyte absolute value			1.613	0.204
< 2.2	32	85.00%		
≥ 2.2	289	91.00%		
-dimer			0.001	0.979
< 0.21	158	88.80%	0.001	0.5775
≥ 0.21	163	91.90%		
eoperative hemoglobin	100	,11,0,0	0.907	0.341
< 132	154	89.10%	0.007	0.011
≥ 132	167	91.70%		
ascular invasion	107	91.7078	12.955	0.000
No	313	91.60%	12.700	0.000
Yes	8	40.00%		
amor location	0	40.00%	1.121	0.290
Rectum	170	89.80%	1.121	0.290
Colon	151	91.90%		
umor size (cm)	101	/1./0/0	0.210	0.647
< 3	190	90.00%	0.210	0.047
≥3	130	91.20%		
ifferentiation degree	101	91.2070	6.825	0.009
High/moderate	307	91.30%	0.025	0.009
Low	14	78.60%		
Low umor general type	14	70.00 /0	3.149	0.207
Protruded	228	91.80%	5.149	0.207
Infiltration	10	100.00%		
Ulcer	83	86.20%	1 000	0.17/
imor tissue type	0	75.000/	1.828	0.176
Non-adenocarcinoma	9	75.00%		
Adenocarcinoma	312	91.30%	04 400	0.000
ymph node metastasis	20.4	00 00%	24.180	0.000
No	294	93.20%		
Yes	27	65.20%		

closely related to lymph node metastasis<sup>[19,20]</sup>. Our study demonstrated that the degree of differentiation was an independent risk factor for lymph node metastasis of T1-stage CRC. The reason for this may be that when the degree of differentiation is relatively high, the tumor cells are still in a more primitive stage, and the possibility that they may invade the lymph nodes is much lower. Poorly differentiated or undifferentiated carcinomas have a strong ability to invade the surrounding tissues, especially the lymphatic vessels. Derwinger *et al*<sup>[21]</sup> showed that the degree of differentiation of CRC was significantly associated with lymph node metastasis. In our study,

the rates of lymph node metastasis in patients with highly/moderately and poorly differentiated T1-stage CRC were 7.5% and 28.6%, respectively. In addition, univariate survival analysis showed that the degree of tumor differentiation was a prognostic factor in patients with T1-stage CRC.

Most studies have reported that vascular invasion was an essential risk factor for lymph node metastasis in CRC<sup>[22,23]</sup>. Similarly, our study verified that vascular invasion was a positive independent risk factor for lymph node metastasis in T1-stage CRC<sup>[23]</sup>. Univariate survival analysis showed that vascular invasion was associated with the 5-year OS of patients with T1-

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	RR	<i>P</i> value	95%CI	
			Lower bound	Upper bound
Gender, Male vs Female	1.784	0.182	0.695	6.816
Age (yr), $< 60 vs \ge 60$	3.805	0.051	0.995	9.034
Smoking, No vs Yes	0.590	0.442	0.413	7.565
Preoperative CEA, (ng/mL), < 5 $vs \ge 5$	1.121	0.290	0.580	6.206
Preoperative CA199, (ng/mL), < 9 $vs \ge 9$	6.452	0.011	1.411	14.481
Preoperative CA724, (ng/mL), < 2 $vs \ge 2$	0.935	0.333	0.201	1.725
Granulocyte absolute value, < 2.2 $vs \ge 2.2$	0.040	0.841	0.171	8.761
D-dimer, $< 0.21 vs \ge 0.21$	2.373	0.123	0.777	8.217
Preoperative hemoglobin, < 132 $vs \ge 132$	0.577	0.448	0.198	2.042
Tumor size (cm), $< 3 vs \ge 3$	0.581	0.446	0.261	21.193
Vascular invasion, No vs Yes	0.343	0.558	0.468	4.076
Tumor location, Rectum vs Colon	0.210	0.647	0.310	2.068
Differentiation degree, High/moderate vs Low	1.031	0.310	0.435	13.792
fumor general type, Ulcer vs Non-ulcer	2.338	0.126	0.811	5.471
Sumor tissue type, Non-adenocarcinoma vs Adenocarcinoma	0.783	0.376	0.068	2.755
Lymph node metastasis, No vs Yes	5.328	0.021	1.264	17.592

Table 4 COX regression analysis of correlation between clinicopathological parameters and 5-year overall survival

stage CRC. However, according to the multivariate analysis, no correlation was observed between lymph node metastasis and the 5-year OS in T1-stage CRC, which may have been due to the limited case number.

In clinical practice, lymph node metastasis is a significant indicator of clinical evaluation of rectal cancer recurrence and the survival of patients, and is also the primary method used to determine the therapeutic schedule in patients with rectal cancer<sup>[24-27]</sup>. When the tumor is confined to the mucosal layer, no lymph node metastasis occurs because the layer has no lymphatic vessels. When lymphatic vessels are distributed in the submucosa, lymph node metastasis is likely to occur when the tumor invades the submucosa. When the tumor invades the deep intestinal wall, the lymph node metastasis rate will increase significantly<sup>[28,29]</sup>. In our study, the lymph node metastasis rate of this group of patients with T1stage CRC was 8.41%, which is mostly consistent with previous reports<sup>[7,8]</sup>. In this study, the survival analysis of T1-stage CRC patients showed that patients without lymph node metastasis had a significantly higher 5-year survival rate than those with lymph node metastasis. Furthermore, our study verified that lymph node metastasis was an independent prognostic factor in patients with T1-stage CRC, which is also consistent with previous reports<sup>[30]</sup>. With the development of several new technologies, such as endoscopic mucosal resection, endoscopic submucosal dissection, and transanal endoscopic microsurgery, studies on local resection for the treatment of early rectal cancer have gradually increased<sup>[31-33]</sup>. The biggest drawback of local resection is its failure to dissect the lymph nodes in relevant drainage areas. Left metastatic lymph nodes are an important reason for postoperative recurrence, which is also the reason why caution should be taken if local resection is selected<sup>[34-36]</sup>. Consequently, if it is not clear whether preoperative lymph node metastasis is present in T1-stage CRC, radical surgery may be the most suitable choice. Moreover, the intraoperative

dissection of lymph nodes should be standardized.

In conclusion, through statistical analysis, we verified that the occurrence rate of T1 stage out of all the cases of CRC was 3.17%; the lymph node metastasis rate was 8.41%, and the non-lymph node metastasis rate was 91.59%. Preoperative serum CA724 level, vascular invasion, and degree of differentiation were independent risk factors for lymph node metastasis in patients with T1-stage CRC. Lymph node metastasis status is essential prognostic factor in patients with T1-stage CRC. An accurate assessment of lymph node metastasis status is essential for decision-making regarding effective intraoperative therapeutic strategies for T1-stage CRC.

# **ARTICLE HIGHLIGHTS**

#### Research background

Lymph node metastasis is the primary type of metastasis seen in advanced colorectal cancer (CRC). The occurrence rate of T1-stage CRC has been reported to be approximately 3.51%<sup>[56]</sup>. When the tumor is completely removed, patients with T1-stage CRC generally have a good prognosis. However, since lymph node metastasis rarely occurs in T1-stage CRC, lymph node metastasis is often overlooked during the process of diagnosis and treatment. Nevertheless, lymph node metastasis is one of the most essential prognostic factors. In this study, we explored the features and prognostic value of lymph node metastasis, which will provide a theoretical basis for more effective treatment of patients with T1-stage CRC.

#### **Research motivation**

The main topic of this study is the exploration of whether lymph node metastasis in patients with T1-stage CRC is valuable for patient survival in multiple centers in China. The key is to find the risk factors for lymph node metastasis of CRC. The significance is the confirmation of the prognostic value of lymph node metastasis in patients with T1-stage CRC.

#### Research objectives

Studies have reported that lymph node metastasis is an essential prognostic factor for patients with CRC and that lymph node metastasis seldom occurs in T1-stage CRC. However, the definitive prognostic value of lymph node metastasis of T1-stage CRC remains elusive. The main objective of this study was to explore the features and prognostic value of lymph node metastasis in patients with T1-stage CRC.



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# **Research methods**

The current research was a case-control study.

#### **Research results**

The occurrence rate of T1 stage CRC was 3.17% (321/10,132); of these cases, the lymph node metastasis rate was 8.41% (27/321), and the non-lymph node metastasis rate was 91.59% (294/321). Univariate analysis showed that preoperative serum CEA, preoperative serum CA199, preoperative serum CA724, vascular invasion, and degree of differentiation were associated with lymph node metastasis in T1-stage CRC. Multivariate analysis indicated that preoperative serum CA724, vascular invasion, and degree of differentiation were associated with lymph node metastasis in T1-stage CRC. Multivariate analysis indicated that preoperative serum CA724, vascular invasion, and degree of differentiation were closely related to lymph node metastasis. Log-rank survival analysis showed that age, preoperative serum CEA, preoperative serum CA199, vascular invasion, degree of differentiation, and lymph node metastasis were prognostic factors for 5-year OS. COX regression analysis demonstrated that preoperative serum CA199 and lymph node metastasis were independent prognostic factors for 5-year OS of patients with T1-stage CRC.

#### **Research conclusions**

The morbidity of T1-stage CRC was 3.17% out of all cases of CRC. Preoperative serum CA724, vascular invasion, and degree of differentiation were independent risk factors for lymph node metastasis of T1-stage CRC. Lymph node metastasis was an independent prognostic factor of OS in patients with T1-stage CRC.

#### **Research perspectives**

T1-stage CRC is generally regarded as the early stage, easily leading to the neglect of metastasis, especially lymph node metastasis. However, the prognosis of a little part of these cases (8.41%) with lymph node metastasis will be much poorer than those without. We also analysed high risk factors of lymph node metastasis of T1-stage CRC patients. Therefore, we must pay enough attention to lymph node metastasis status of T1-stage CRC patients to guide clinic therapy. Future studies should be focused on greater verifying study to expand further clinical samples. In addition, the mechanistic study of lymph node metastasis in T1-stage CRC patients should be further explored. Multicenter prospective cohort clinical studies will be needed to further validate the conclusion. Moreover, high-throughput transcriptome or proteome screening technology will be necessary for analysing the regulators of lymph node metastasis in T1-stage CRC patients in the future.

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