

Predictors of Posthospital Transitions of Care in Patients With Advanced Cancer

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A B S T R A C T

Purpose

Patients with advanced cancer experience potentially burdensome transitions of care after hospitalizations. We examined predictors of discharge location and assessed the relationship between discharge location and survival in this population.

Methods

We conducted a prospective study of 932 patients with advanced cancer who experienced an unplanned hospitalization between September 2014 and March 2016. Upon admission, we assessed patients' physical symptoms (Edmonton Symptom Assessment System) and psychological distress (Patient Health Questionnaire-4). The primary outcome was discharge location (home without hospice, postacute care [PAC], or hospice [any setting]). The secondary outcome was survival.

Results

Of 932 patients, 726 (77.9%) were discharged home without hospice, 118 (12.7%) were discharged to PAC, and 88 (9.4%) to hospice. Those discharged to PAC and hospice reported high rates of severe symptoms, including dyspnea, constipation, low appetite, fatigue, depression, and anxiety. Using logistic regression, patients discharged to PAC or hospice versus home without hospice were more likely to be older (odds ratio [OR], 1.03; 95% CI, 1.02 to 1.05; $P < .001$), live alone (OR, 1.95; 95% CI, 1.25 to 3.02; $P < .003$), have impaired mobility (OR, 5.08; 95% CI, 3.46 to 7.45; $P < .001$), longer hospital stays (OR, 1.15; 95% CI, 1.11 to 1.20; $P < .001$), higher Edmonton Symptom Assessment System physical symptoms (OR, 1.02; 95% CI, 1.003 to 1.032; $P < .017$), and higher Patient Health Questionnaire-4 depression symptoms (OR, 1.13; 95% CI, 1.01 to 1.25; $P < .027$). Patients discharged to hospice rather than PAC were more likely to receive palliative care consultation (OR, 4.44; 95% CI, 2.12 to 9.29; $P < .001$) and have shorter hospital stays (OR, 0.84; 95% CI, 0.77 to 0.91; $P < .001$). Patients discharged to PAC versus home had lower survival (hazard ratio, 1.53; 95% CI, 1.22 to 1.93; $P < .001$).

Conclusion

Patients with advanced cancer who were discharged to PAC facilities and hospice had substantial physical and psychological symptom burden, impaired physical function, and inferior survival compared with those discharged to home. These patients may benefit from interventions to enhance their quality of life and care.

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INTRODUCTION

The Institute of Medicine report "Dying in America"¹ underscores the critical need to optimize the quality of end-of-life (EOL) care for patients in the United States. Burdensome care transitions—particularly hospitalizations or transfers in place of care²—may lead to poor quality care at the EOL and increase health care costs.³⁻⁹ For patients with advanced cancer, hospitalizations represent the largest share

of health care spending, with significant variations in hospital use at the EOL in the United States.^{6,10} Although studies have focused on potential drivers of hospital use at the EOL, data are lacking on posthospital transitions of care for patients with advanced cancer, especially discharge to postacute care (PAC) facilities.

PAC facilities such as skilled nursing facilities (SNFs) or long-term acute care hospitals are typically used for patients who are no longer acutely ill but require ongoing nursing care, physical therapy,

ASSOCIATED CONTENT



Appendix
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or additional recovery time. In the Medicare population, 33% of beneficiaries use the postdischarge SNF benefit in the last 6 months of life. Notably, one in 11 Medicare beneficiaries die while using the SNF benefit.¹¹ The increased financial incentives to reduce hospital length of stay (LOS) have contributed to pressure on hospitals to discharge patients to PAC facilities.¹² However, these discharges may represent a burdensome transition at the EOL for patients with advanced cancer who have intensive supportive care needs.¹³⁻¹⁷ Additionally, these facilities generally do not have specialized services in symptom management that are comparable to hospice services.^{14,18} Therefore, studies are needed to examine the clinical characteristics of patients with advanced cancer who are discharged to PAC facilities and assess the factors contributing to PAC facility use.⁶

Although investigators have focused on identifying predictors of PAC facility use in the general medical population,^{19,20} data on predictors of their use in the population with advanced cancer are lacking. By better understanding the characteristics of patients being discharged to PAC facilities, we will be able to identify a population at risk for these potentially burdensome transitions of care and inform development of alternate care-delivery models to prevent unnecessarily burdensome transitions. In this study, we sought to describe the clinical characteristics and symptom burden of patients with advanced cancer who were discharged to PAC facilities, hospice (at home or at a facility), or home without hospice after an unplanned hospitalization. We also explored predictors of discharge location, the relationship between discharge location and survival, and risk factors for hospital readmission.

METHODS

Study Procedures

This study was approved by the Dana Farber Harvard Cancer Center Institutional Review Board. From September 2, 2014, to March 31, 2016, we enrolled 932 patients with advanced cancer who experienced an unplanned hospitalization at Massachusetts General Hospital. We recruited consecutive patients with their first unplanned hospital admission during the study period by screening the inpatient oncology census. We focused on patients with unplanned hospitalizations, because this is a symptomatic population at high risk for further disease progression and complications. Study staff obtained written, informed consent after admission (within 2 to 5 days). After consent, participants completed symptom-burden questionnaires.

Participants

Patients were eligible for participation if they were > 18 years old and admitted to Massachusetts General Hospital with a known diagnosis of advanced cancer. We defined patients with advanced cancer as those not being treated with curative intent; they were identified on the basis of chemotherapy order entry and treatment-intent designation or clinical documentation. We excluded patients who were unable to respond to questionnaires in English, and patients admitted for an elective or planned hospitalization (eg, hospitalization for chemotherapy, planned surgeries or other elective procedures, or chemotherapy desensitization). We excluded patients who did not survive to hospital discharge or those missing an initial nursing assessment.

Study Measures

Sociodemographic, clinical, and functional factors. We conducted a medical record review to collect demographic information and to

determine Charlson Comorbidity Index (CCI) score, date of diagnosis with advanced cancer, cancer type, and reason for admission. We also reviewed a questionnaire completed by nurses within 1 day of admission to assess whether the patient lived alone, used a mobility assistive device, and ambulated independently. These functional status measures have been shown to be comparable to validated functional measures in predicting discharge location.²¹ We reviewed the inpatient hospitalization record to determine LOS and whether the patient had a palliative care consultation during their hospitalization.

Patient-reported symptom burden. We used the revised Edmonton Symptom Assessment System-Revised (ESAS-r) to assess patients' physical symptoms, including nausea, dyspnea, lack of appetite, pain, drowsiness, well-being, and fatigue.^{22,23} We also included constipation, because this is a highly prevalent symptom in patients with cancer.²⁴ Patients rated their symptoms on a scale of 0 to 10, with 0 reflecting absence of the symptom and 10 reflecting the worst possible symptom. We defined severe symptoms as scores from 7 to 10.²⁵ We computed ESAS-r physical scores including pain, fatigue, drowsiness, nausea, appetite, dyspnea, and constipation.²⁶

To assess patients' psychological symptoms, we used the Patient Health Questionnaire-4 (PHQ-4).^{27,28} The PHQ-4 is a four-item tool that contains two subscales that assess depression and anxiety. Both subscales and the composite PHQ-4 can be evaluated continuously, with subscores ≥ 3 out of 6 indicating clinically significant depression or anxiety.²⁷ We added the PHQ-4 to the study questionnaires on November 15, 2014.

Discharge location. We obtained discharge location from patients' medical records. We categorized discharge location as home without hospice, PAC facility, hospice (whether provided at home, hospice facility, or general inpatient hospice), or other. Because of the small sample size ($n = 1$), we excluded patients in the "other" category.

Survival and readmission. We calculated survival time from the date of discharge to the date of death using the Kaplan-Meier method. We censored data from patients who were alive at the last follow-up date (September 9, 2016). To account for mortality, because patients who die after their index hospitalization have less time at risk for readmission, we used time to first unplanned admission within 90 days of hospital discharge as an outcome measure, censoring patients without a readmission at 90 days and censoring those who died within 90 days of their discharge at their death date. In addition, we created a composite dichotomous outcome categorizing patients as dead and/or readmitted within 90 days versus those alive and with no readmission within 90 days to account for early mortality. This composite outcome has been used previously in the literature for patients at high risk of mortality.²⁹⁻³² Importantly, recent studies suggest that the use of this composite outcome is a more accurate metric for determining the quality of care.³³

Statistical Analysis

To compare participants' characteristics and symptom burden by discharge location, we used χ^2 tests for categorical variables and analysis of variance or Kruskal-Wallis tests for continuous variables that were normally distributed or skewed, respectively. We used χ^2 tests to compare symptom burden across discharge locations. To explore predictors of discharge location, we used logistic regression models incorporating the following variables: age, sex, CCI score, cancer type, months since advanced diagnosis, living alone, impaired mobility, hospital LOS, palliative care consultation, and physical and psychological symptoms during hospitalization. We chose these variables a priori on the basis of a review of the literature on clinical predictors of discharge location.^{19-21,34-41} We first determined predictors of discharge to sites other than home (PAC facility or hospice), using logistic regression models with the dichotomous outcome of discharge home without hospice (reference) versus other site (PAC facility or hospice). We then used similar logistic regression models to determine predictors of discharge to PAC facilities versus hospice. Given collinearity between physical and psychological symptoms, we created separate models to assess the relationship between these symptom assessments (ie, ESAS-r, PHQ-4 depression, and PHQ-4 anxiety) and discharge location.

We used Kaplan-Meier curves to assess survival by discharge location and Cox proportional hazards models, adjusted for age, sex, CCI score, cancer type, and months since advanced cancer diagnosis, to assess the relationship between discharge location and survival. Similarly, we used Cox proportional hazards models to assess the relationship between discharge location and time to readmission within 90 days. We used logistic regression adjusted for the same variables to assess the relationship between discharge location and the composite outcome readmission or death within 90 days. Less than 1% of patients had missing data for each individual symptom, precluding the need for missing data imputations. All reported *P* values are two-sided with a *P* < .05 considered statistically significant.

RESULTS

Participant Sample

Of the 2,226 patients screened for eligibility, we approached 1,088 eligible patients and enrolled 980 participants (90.0%). We excluded 48 patients from this analysis because of death during admission (*n* = 38), missing nursing assessment (*n* = 9), and discharge to “other” (*n* = 1; Appendix Fig A1, online only). The reason for unplanned admission among the 932 patients included in this analysis was symptom management (55.7%; 519 of 932); fevers or infections (24.1%; 225 of 932); failure to thrive, weakness, or fatigue (14.1%; 131 of 932); and metabolic disarray or laboratory abnormalities (6.1%; 57 of 932). Among the 932 patients, 726 (77.9%) were discharged home without hospice, 118 (12.7%) to PAC facilities and 88 (9.4%) to hospice at home or at an inpatient facility (Table 1). We observed no differences between the groups in terms of sex, race, cancer type, or months since advanced cancer diagnosis. Patients discharged to PAC facilities were more likely to be older, have a higher CCI score, and live alone compared with those discharged to home or hospice. Patients discharged to

PAC facilities or hospice were more likely than patients discharged to home without hospice to have a longer LOS, impaired mobility, and use a mobility assistive device. Rates of palliative care consultations varied across the three groups, with a considerably higher rate in the group discharged to hospice.

Patient-Reported Symptom Burden

Figure 1 depicts the proportion of patients experiencing severe symptoms by discharge location. Compared with patients discharged to home without hospice, a higher proportion of those discharged to a PAC facility or hospice reported severe physical symptoms, including dyspnea (home *v* PAC *v* hospice: 18.6%, 28.8%, and 34.1%, respectively; *P* < .001), constipation (home *v* PAC *v* hospice: 24.0%, 31.4%, 36.4%, respectively; *P* < .017), lack of appetite (home *v* PAC *v* hospice: 40.5%, 55.6%, 60.2%, respectively; *P* < .001), drowsiness (home *v* PAC *v* hospice: 44.6%, 55.1%, 58.0%, respectively; *P* < .011), poor well-being (home *v* PAC *v* hospice: 29.6%, 33.9%, 45.5%, respectively; *P* < .009), and fatigue (home *v* PAC *v* hospice: 59.6%, 70.3%, 71.6%, respectively; *P* < .013). Notably, more than half of patients discharged to PAC facilities or hospice reported severe lack of appetite, pain, drowsiness, and fatigue. In addition, a higher proportion of patients discharged to a PAC facility or hospice reported clinically significant depression and anxiety (home *v* PAC *v* hospice: PHQ-4 depression: 25.1%, 42.1%, 48.5%, respectively, *P* < .001; PHQ-4 anxiety: 24.3%, 37.9%, 37.9%, respectively, *P* < .003) compared with those discharged to home without hospice.

Predictors of Discharge Location

Table 2 lists predictors of discharge to a location other than home without hospice (ie, a PAC facility, hospice provided at home, or an inpatient facility). Older age, living alone, impaired

Table 1. Participant Characteristics

Characteristic	Home Without Hospice (n = 726)	Postacute Facility (n = 118)	Hospice (n = 88)	<i>P</i>
Sociodemographic and clinical variables				
Age, mean (SD), years	62.3 (13.1)	70.6 (10.5)	63.4 (11.4)	< .001*
Female sex	359 (49.4)	59 (50.0)	45 (51.1)	.95
Race (% white)	672 (92.6)	108 (91.5)	82 (93.2)	.89
Charlson Comorbidity Index (SD)	0.8 (1.2)	1.4 (1.4)	0.8 (1.2)	< .001*
Cancer type				
GI	236 (32.5)	29 (24.6)	31 (35.2)	.056
Lung	119 (16.4)	25 (21.2)	24 (27.3)	
Breast/genitourinary	133 (18.3)	26 (22.0)	11 (12.5)	
Other	238 (32.8)	38 (32.2)	22 (25.0)	
Months since advanced diagnosis, No. (IQR)	8 (2, 24)	7 (2, 16)	7 (3, 19.5)	.45
Living alone	112 (15.4)	40 (33.9)	17 (19.3)	< .001*
Impaired mobility	200 (27.5)	83 (70.3)	59 (67.0)	< .001*
Use of mobility assistive device	104 (14.3)	48 (40.7)	35 (39.8)	< .001*
Patient-reported outcomes, mean (SD)				
ESAS physical symptoms	31.0 (14.0)	35.5 (13.8)	38.5 (13.0)	< .001*
PHQ-4 depression symptoms	1.6 (1.8)	2.2 (2.0)	2.6 (2.0)	< .001*
PHQ-4 anxiety symptoms	1.5 (1.8)	2.1 (2.2)	2.0 (2.1)	.006*
Hospital admission-related variables				
Hospital length of stay, median (IQR), days	4.0 (3.0, 6.0)	8.0 (6.0, 12.0)	6.0 (4.0, 9.5)	< .001*
Palliative care consultation	194 (26.7)	40 (33.9)	62 (70.5)	< .001*

NOTE. Data given as No. (%) unless otherwise indicated.

Abbreviations: ESAS, Edmonton Symptom Assessment Scale; IQR, interquartile range; PHQ-4, Patient Health Questionnaire-2; SD, standard deviation.

*Statistically significant.

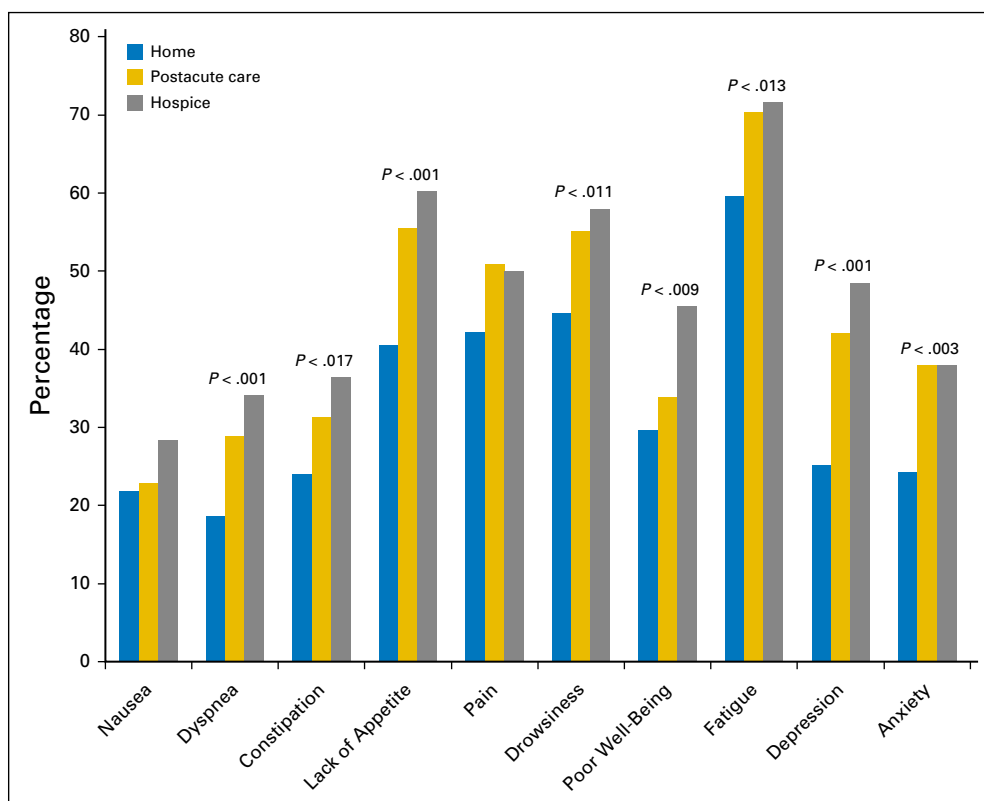


Fig 1. Participants' symptom severity by discharge location. Only statistically significant *P* values are shown.

mobility, and longer hospital LOS (measured in days) were all significantly associated with discharge to a PAC facility or hospice. Notably, higher ESAS-r and PHQ-4 scores for physical symptoms and depression symptoms, respectively, were significantly associated with a higher likelihood of being discharge to a PAC facility or hospice. In a separate model, depression symptoms were also associated with a higher likelihood of being discharged to a PAC facility or hospice. Anxiety symptoms were not associated with discharge location. We obtained similar findings when examining predictors of discharge to a PAC facility versus home without hospice. Older age, living alone, impaired mobility, longer hospital LOS, and higher depression and anxiety symptom scores were associated with a higher likelihood of being discharged to a PAC facility compared with being discharged to home without hospice.

We explored predictors of discharge to hospice versus PAC facility (with the latter serving as the reference). The only predictors of discharge to hospice versus PAC facility were younger age (OR, 0.95; 95% CI, 0.91 to 0.98; $P < .001$), shorter hospital LOS (OR, 0.84; 95% CI, 0.77 to 0.91; $P < .001$), and palliative care consultation (OR, 4.44; 95% CI, 2.12 to 9.29; $P < .001$).

Relationship Between Discharge Location and Survival

Figure 2 depicts the Kaplan-Meier survival curves for patients by discharge location. Discharge to a PAC facility (HR, 1.53; 95% CI, 1.22 to 1.93; $P < .001$) and discharge to hospice (HR, 7.92; 95% CI, 6.19 to 10.14; $P < .001$) were both associated with lower overall survival compared with discharge to home without hospice. Patients discharged home without hospice had a median survival of 188 days (95% CI, 168 to 208 days), whereas patients discharged to a PAC facility had a median survival of 77 days (95% CI, 61 to 105 days) and those discharged to hospice had a mean survival of 15 days (95% CI, 12 to 19 days).

Discharge Location and Readmission

In Cox regression models examining time to readmission within 90 days, discharge to hospice (HR, 0.21; 95% CI, 0.09 to 0.52; $P < .001$) was associated with a lower likelihood of readmission; there was no association between discharge to PAC facilities and likelihood of readmission within 90 days (HR, 1.30; 95% CI, 0.85 to 1.51; $P < .396$; Table 3). However, discharge to a PAC facility (OR, 1.96; 95% CI, 1.24 to 3.08; $P < .004$) and discharge to hospice (OR, 8.25; 95% CI, 3.74 to 18.16; $P < .001$)

Table 2. Predictors of Patients' Discharge Location: Home Without Hospice (reference group) v Location Other Than Home (postacute care facility or hospice)

Predictor	Odds Ratio	95% CI	<i>P</i>
Age	1.03	1.02 to 1.05	$< .001^*$
Female sex	0.96	0.66 to 1.39	.811
Charlson Comorbidity Index score	1.00	0.87 to 1.15	.996
GI/lung cancer v other	1.32	0.91 to 1.91	.144
Months since advanced diagnosis	0.99	0.98 to 1.00	.005*
Living alone	1.95	1.25 to 3.02	.003*
Impaired mobility	5.08	3.46 to 7.45	$< .001^*$
Hospital length of stay, days	1.15	1.11 to 1.20	$< .001^*$
Palliative care consultation	1.91	1.28 to 2.85	.001*
ESAS physical symptoms	1.02	1.00 to 1.03	.017*

Abbreviation: ESAS, Edmonton Symptom Assessment Scale.
*Statistically significant.

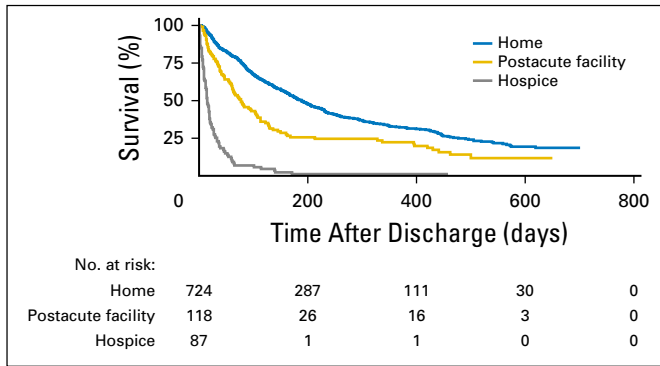


Fig 2. Overall survival by discharge location.

were both associated with a higher likelihood of readmission or death within 90 days.

DISCUSSION

In this study of patients with advanced cancer and unplanned hospital admission, we demonstrated that patients discharged to PAC facilities have substantial physical and psychological symptoms and impaired mobility, which are all strikingly similar to the characteristics of patients discharged to hospice. Despite their symptoms, patients discharged to PAC facilities often do not have access to high-quality palliative care services.^{14,18} Notably, similar to patients discharged to hospice, those discharged to PAC facilities have a longer LOS and worse overall survival compared with those discharged to home without hospice. Although it is clear that patients discharged to PAC facilities and those discharged to hospice have a different symptom profile and outcomes compared with patients discharged to home, we may have lacked statistical power to detect meaningful differences between patients discharged to PAC facilities and those discharged to hospice. Nonetheless, these findings underscore that patients discharged to a PAC facility may be better served by a different postdischarge care setting that can better address their substantial symptom burden and poor prognosis. These findings have several implications and identify several potential areas for interventions to enhance patients' quality of care.

Table 3. Relationship Between Discharge Location and Readmission

Factor	HR or OR	95% CI	P
Readmission within 90 days, HR			
Postacute care facility	1.30	0.85 to 1.51	.396
Hospice	0.21	0.09 to 0.52	.001*
Home without hospice	Ref		
Readmission or death within 90 days, OR			
Postacute care facility	1.96	1.24 to 3.08	.004*
Hospice	8.25	3.74 to 18.16	<.000*
Home without hospice	Ref		

NOTE. Models adjusted for age, sex, Charlson Comorbidity Index score, cancer type, and months since advanced cancer diagnosis.

Abbreviations: HR, hazard ratio; OR, odds ratio; Ref, reference.

*Statistically significant.

First, these findings can aid clinicians in identifying patients with advanced cancer early during their hospitalization who are at risk for discharge to PAC facilities. With the exception of LOS, all factors predicting discharge location in this vulnerable population can be identified on admission. Specifically, older patients with functional decline, impaired mobility, and those living alone were more likely to be discharged to a PAC facility or hospice. Because patients discharged to PAC facilities reported a high symptom burden, integrating symptom screening on admission may also help identify a population at risk for discharge to PAC facilities. With high symptom burden and substantial risk of mortality, patients discharged to PAC facilities may experience a potentially burdensome transition of care. By identifying this population early during hospitalization, we can develop supportive care interventions to enhance their quality of care. Interventions such as early assessment of patients' functional status may help address their impaired mobility and identify those with more intense discharge-planning needs.⁴²⁻⁴⁴ Interestingly, we found that consulting palliative care was an important predictor of discharge to hospice versus a PAC facility. Studies have shown that early integrated palliative care improves patient-reported quality of life and mood, and enhances the delivery of EOL care such as length of hospice to patients with advanced cancer.⁴⁵⁻⁴⁷ Thus, early palliative care involvement may help manage patients' physical and psychological symptoms, as well as enhance goals-of-care conversations to optimize EOL care and potentially decrease the number of burdensome transitions at the EOL.⁴⁸

However, even with identifying and intervening for patients at risk for PAC facility discharge, many patients with advanced cancer have limited social supports and experience numerous barriers to a home and/or hospice discharge.^{49,50} Lack of social support at home and high level of care needs may make it impossible to transition to home with hospice services—the only fully funded means for older adults to receive hospice care through Medicare. Patients who desire to pursue supportive care alone but do not have adequate support at home face steep fees for facility-based hospice care. Consequently, the Medicare PAC facility benefit is often the only financially feasible option for a substantial proportion of patients.⁵¹ Because PAC facilities lack significant palliative and EOL care expertise,^{14,18} these patients are thus potentially subject to poor-quality care at the EOL. Alternative care models such as providing more intensive home services may facilitate patients receiving adequate assistance in activities of daily living alongside high-quality hospice care.

This study has several limitations. First, we conducted this study at a single tertiary cancer care center in a patient sample with limited racial or ethnic diversity, which may limit the generalizability of the findings. Second, we examined predictors of discharge location based on the available data. However, other unmeasured factors could confound the relationship between these predictors and discharge location. Third, confounding by indication is an important limitation when examining survival and readmission outcomes for patients discharged to hospice. This was an observational study; therefore, we are unable to comment on patients' preferences for discharge location. Nonetheless, given the lack of data on survival and readmission outcomes in patients with advanced cancer discharged to a PAC facility, our findings underscore their poor prognosis and need for interventions to address their

goals of care and minimize burdensome transitions at the EOL. Last, only a small percentage of our study cohort was discharged to hospice, thereby limiting our ability to conduct more extensive analyses of predictors of discharge to hospice versus a PAC facility. This may have also limited our statistical power to detect meaningful differences in symptom profile and outcomes of patient discharge to a PAC facility versus hospice.

In conclusion, hospitalized patients with advanced cancer who are discharged to PAC facilities and hospice have substantial physical and psychological symptom burdens, impaired physical function, and worse survival compared with those discharged home without hospice. Notably, the physical and psychological symptom burden of patients discharged to a PAC facility was strikingly similar to that of those discharged to hospice, yet these facilities lack the palliative and supportive care infrastructure to optimize the quality of EOL care for this population. Future research should focus on developing targeted interventions to address the functional, social, and symptomatic needs of this population.

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AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at jco.org.

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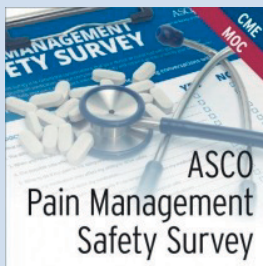
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AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Predictors of Posthospital Transitions of Care in Patients With Advanced Cancer

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Appendix

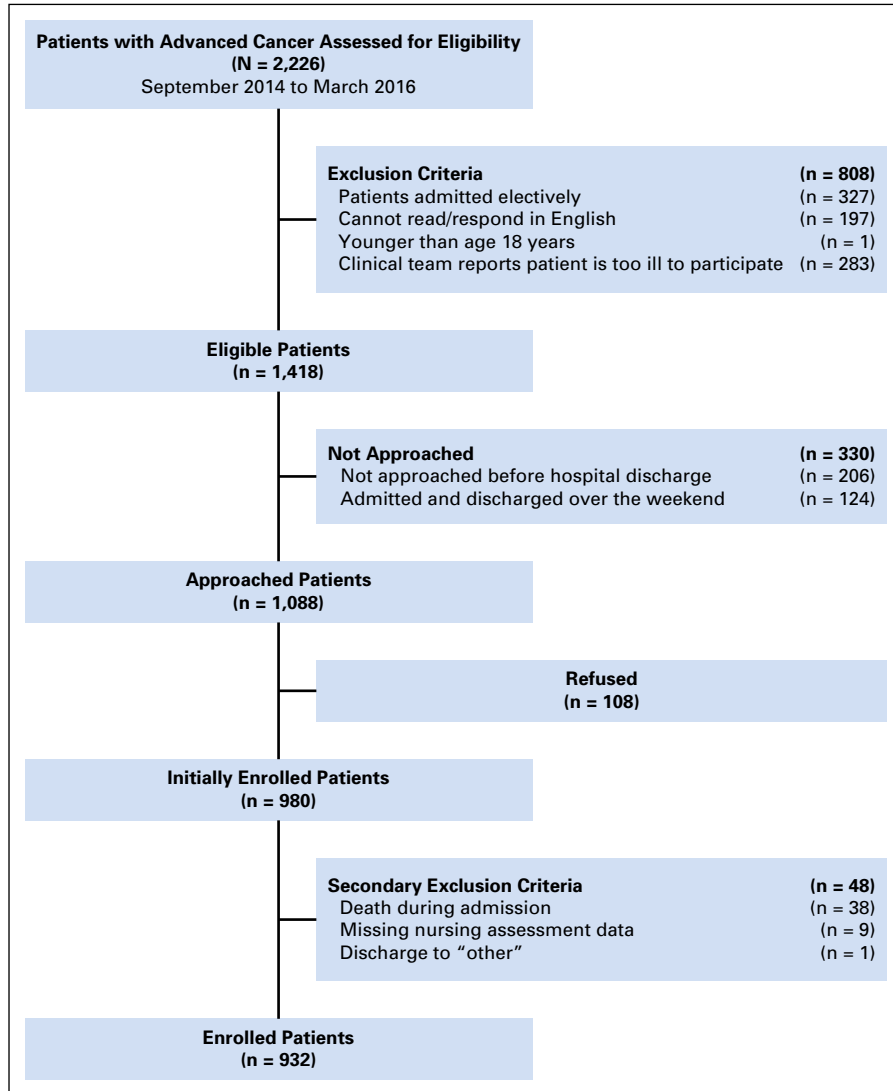


Fig A1. Participant flow diagram.