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## In Vivo Confocal Microscopy Detects Bilateral Changes of Corneal Immune Cells and Nerves in Unilateral Herpes Zoster Ophthalmicus

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### Abstract

**Purpose**—To analyze bilateral corneal immune cell and nerve alterations in patients with unilateral herpes zoster ophthalmicus (HZO) by laser in vivo confocal microscopy (IVCM) and their correlation with corneal sensation and clinical findings.

**Materials and Methods**—This is a prospective, cross-sectional, controlled single-center study. Twenty-four eyes of 24 HZO patients and their contralateral clinically unaffected eyes and normal controls (n=24) were included. Laser IVCM (Heidelberg Retina Tomograph/Rostock Cornea Module), corneal esthesiometry (Cochet-Bonnet) were performed. Changes in corneal dendritiform cell (DC) density and morphology, number and length of subbasal nerve fibers and their correlation to corneal sensation, pain, lesion location, disease duration, and number of episodes were analyzed.

**Results**—HZO affected and contralateral eyes showed a significant increase in DC influx of the central cornea as compared to controls (147.4±33.9, 120.1±21.2, and 23.0±3.6 cells/mm<sup>2</sup>; p<0.0001). In HZO eyes DCs were larger in area (319.4±59.8 μm<sup>2</sup>; p<0.001) and number of dendrites (3.5±0.4 n/cell; p=0.01) as compared to controls (52.2±11.7, and 2.3±0.5). DC density

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and size showed moderate negative correlation with total nerve length ( $R=-0.43$  and  $R=-0.57$ , respectively; all  $p<0.001$ ). A higher frequency of nerve beading and activated DCs close to nerve fibers were detected specifically in pain patients.

**Conclusions**—Chronic unilateral HZO causes significant bilateral increase in corneal DC density and decrease of the corneal subbasal nerves as compared to controls. Negative correlation was observed for DC density and size to nerve parameters, suggesting interplay between the immune and nervous systems. Patients with chronic pain also showed increased nerve beading and activated DCs.

### Keywords

Confocal microscopy; Corneal nerves; Corneal sensation; Dendritic cells; Herpes zoster ophthalmicus; Neurotrophic keratopathy

## 1. INTRODUCTION

Herpes zoster (HZ), commonly called shingles, results from reactivation of varicella-zoster virus (VZV) infection. The virus remains dormant in the dorsal root or other sensory ganglia after the primary varicella (chickenpox) infection[1–3]. The trigeminal ganglion is the most frequent site of latency (65–90%) for VZV[4]. In the United States, 1 million new cases are reported per year[5]. Typically, the incidence of HZ increases with age, as well as with diseases and drugs, which can lead to immunosuppression. Herpes zoster ophthalmicus (HZO) is defined as HZ involvement of the ophthalmic division of the trigeminal nerve. HZO is the second most common type of HZ. It accounts for 20% of all cases and approximately 50% of patients will have ocular involvement [6–10]. Herpes zoster can affect virtually every ocular tissue, resulting in conjunctivitis, scleritis, keratitis, uveitis, keratouveitis, and endotheliitis. Nearly two-thirds of patients with HZO demonstrate a keratitis that often is associated with loss of corneal sensation. Corneal complications can occur due to inflammatory and immune reaction to the virus, vasculopathy, and neuropathy, and may commonly present with neurotrophic keratitis. Neurotrophic keratitis then results in dry eye disease, persistent corneal epithelial defects, inflammation, corneal melting, and potentially perforation, possibly leading to significant vision loss or legal blindness.

Dendritic cells of the cornea play a major role in the immune defense against the external environment [11, 12]. These professional antigen presenting cells are essential regulators of both the innate and adaptive immune systems. Dendritic cells are widely distributed on the ocular surface and are specialized to capture, process, and present antigens to other immune cells. Interestingly, in vitro and skin biopsy studies have shown the importance of dendritic cells as a carrier of VZV to draining lymph nodes and in the transmission of the virus to T lymphocytes [13–16]. However, the role of dendritic cells in the cornea of HZO patients has not been previously explored in vivo.

Corneal nerve damage is likely to occur after viral infections (herpes simplex and herpes zoster) [17–19]. Nearly two-thirds of patients with HZO will develop loss of corneal sensation due to nerve damage, necrotic ganglionitis, or damage to the mesencephalic nucleus in the brainstem [20]. Corneal nerve fibers exert important trophic influences on the

ocular surface, and a large number of nerves contain substance P (SP) and/or calcitonin gene-related peptide (CGRP). Cornea sensory nerves interact with the epithelium through soluble mediators, such as SP, and are essential to the ocular surface homeostasis and function [21, 22]. Recent reviews have shown the correlation between corneal nerve alterations and sensation [23, 24]. Thus, the loss of sensation as a result of nerve damage can lead to neurotrophic keratopathy (NTK), which represents one of the most challenging ocular diseases. The prognosis of NTK depends mainly on the level of hypo- or an-esthesia and its consequences, which can result in other conditions, such as dry eye disease, exposure keratopathy, neurotrophic ulcers, and limbal stem cell deficiency.

In vivo confocal microscopy (IVCM) is a novel tool that allows for quasi-histological in vivo optical sections of the cornea, increasing the understanding of anatomy and pathology in diseased eyes. It allows physicians to visualize the nerve plexus and cellular changes that are not visible by conventional slit-lamp bio-microscopy. In particular, laser IVCM enables the assessment of dendritiform immune cells (DCs) and corneal nerves at a high resolution in normal subjects and in patients after ocular surgery (refractive and keratoplasty), dry eye disease, immune-mediated inflammatory diseases such as herpetic keratitis and infectious keratitis [23, 25–31].

Interestingly, our group has recently shown that clinically apparent unilateral diseases such as herpes simplex keratitis and HZO demonstrate contralateral loss of the corneal nerves plexus compared to controls [32–34]. Moreover, a recent study by Cruzat et al. [35] suggested a connection between the immune system and nervous system in patients with acute bacterial, fungal and Acanthamoeba keratitis using laser IVCM. Given that there is an inflammatory response during the course of corneal herpes infections, it is important to elucidate this interaction by means of DCs and subbasal nerve plexus [36]. However, there is very limited data about the immune cell/nerve interactions in human corneas during the course of herpes infections. Thus, we hypothesize that immune cell alterations correlate to subbasal nerve changes in HZO patients. To begin testing this hypothesis, we used IVCM to detect bilateral immune cell alterations and the extent of subbasal nerve damage in patients with unilateral HZO and correlated the IVCM findings with clinical findings.

## 2. METHODS

### 2.1. Patients

This study was performed in a prospective, cross-sectional, controlled, single-blinded fashion. Twenty-four patients with diagnosis of unilateral HZO with ocular involvement were recruited between 2010 and 2012 from the Cornea Service of the Massachusetts Eye and Ear Infirmary, Boston, MA. All affected eyes had chronic disease defined by the absence of epithelial keratitis or clinical active stromal keratitis after the initial episode. Both eyes, affected and contralateral clinically unaffected, were included as separate groups. Twenty-four eyes of 24 normal volunteers comprised the control group. Only one eye of each subject was randomly chosen. Subjects with a history of infectious keratitis, ocular inflammatory disease, ocular trauma, ocular surgery, contact lens use, diabetes, systemic neuropathies or immunosuppression were excluded. The study was Health Insurance Portability and Accountability Act-compliant and was approved by the Institutional Review

Board/Ethics Committee. The tenets of the Declaration of Helsinki were followed. Prior to study, written informed consent was obtained from all study subjects.

## 2.2. Clinical examination and Corneal Sensation

All patients underwent examination by slit-lamp biomicroscopy and central corneal sensation (DP-L, PH) was measured with a contact Cochet-Bonnet esthesiometer (Luneau Ophthalmologie, Chartres, France). Clinical information, specifically time from disease onset, number of inflammatory episodes, and pain grade based on visual analogue scale [37] were obtained. Inflammatory episodes were considered as both primary and secondary immune keratitis. Pain was characterized by the presence of pain and controlled pain was characterized in patients with concurrent use of pain medications. The Cochet-Bonnet esthesiometer, which stimulates the corneal nerves mechanically, has a retractable monofilament nylon thread (6 cm length, 0.12 mm diameter). If a positive response is not obtained, it shortens in steps of 1.0 cm. If a positive response is obtained, the thread is progressed by 0.5 cm until a positive response is not obtained. This test was repeated twice, both times at the center of the cornea. The longest filament length resulting in a positive response was recorded. The affected eye group was subdivided into three subgroups according to location of corneal scar into clear (absence of scar), central, and peripheral.

## 2.3. Laser In Vivo Confocal Microscopy

Laser scanning in vivo confocal microscopy (Heidelberg Retina Tomograph 3 with Rostock Cornea Module, Heidelberg Engineering GmbH, Heidelberg, Germany) images of central corneas were obtained in all subjects. The HRT3/RCM is a contact confocal microscope constructed to examine the ocular surface in vivo. It operates with a 63x objective immersion lens (Olympus, Tokyo, Japan), allowing a scanning area of  $400 \times 400 \mu\text{m}$  with a magnification up to 800 times and a resolution of approximately  $1 \mu\text{m}$ .

We imaged the patients with a previously described technique [35]. Briefly, the bottom of a single use sterile polymethylmethacrylate cap (Tomo-Cap; Heidelberg Engineering GmbH, Heidelberg, Germany) was filled with an appropriate amount hydroxypropyl methylcellulose 2.5% (GenTeal gel, Novartis Ophthalmics) and was mounted in front of the Rostock Cornea Module optics for each examination. Each patient received one drop of 0.5% proparacaine hydrochloride (Alcaine, Alcon, Ft. Worth, TX) and one drop of hydroxypropyl methylcellulose 2.5% (GenTeal gel, Novartis Ophthalmics) in both eyes, respectively. Before examination, in order to improve optical coupling, one drop of hydroxypropyl methylcellulose 2.5% was also placed on the outside tip of the Tomo-Cap. The cornea module was manually advanced until the gel contacted the central surface of the cornea.

A particular focus on the subbasal nerve plexus and epithelial DCs was adapted. A total of 6 sequence scans were obtained from the center of each cornea with and this yielded 300–400 images of the subbasal layer per subject. Digital images were stored on a network computer at 3 frames/per second.

## 2.4. Image Analysis

At least 50 good quality images from the cornea, which were the best focused and complete in the same layer images, with good contrast, and without motion or folds, were chosen by an experienced masked observer. Of these, the same observer selected a minimum of three representative images of the subbasal nerve plexus and epithelial dendritiform immune cells (DCs) for analysis.

Chosen confocal images were analyzed for central corneal DC density and the density of subbasal nerve plexus by two masked observers as previously described [35]. IVCN images at 50–70  $\mu\text{m}$  depth at the level of basal epithelial layers, basal lamina, or subbasal nerve plexus were chosen for analysis of DCs. DCs were morphologically identified as bright individual dendritiform structures with cell bodies that allowed us to differentiate these structures from the corneal nerves. The following parameters were determined for each image, as explained below: DC density, DC size (the area covered by the body of the cell), number of dendrites per DC, and DC field (area bounded within the span of the dendrites) [38]. DCs were counted using software (Cell Count, Heidelberg Engineering GmbH) in the manual mode. The data were expressed as density ( $\text{cells}/\text{mm}^2$ )  $\pm$  SD [35].

DC size and number of dendrites per DC were measured using ImageJ, a free image analysis software distributed by the National Institutes of Health ([http://rsb.info.nih.gov/ij/](http://rsb.info.nih.gov/ij/http://rsb.info.nih.gov/ij/)). Briefly, cell density was manually counted and data were expressed as  $\text{cells}/\text{mm}^2 \pm$  standard error of the mean (SEM). Cell count tool in the manual mode was used to analyze the DC density per image. All complete DCs present in each image, as well as partial cells on the top and right borders of each frame were counted and included in the calculation of the average density of DCs. For each calculation, the mean of three images was used. For the morphologic analysis, the 10 most representative cells in three images for each eye were chosen. DC size reflects the actual hyperreflective DC structure and DC field represents the area surrounding the DC by connecting all dendrites. DC size and field were reported as  $\mu\text{m}^2 \pm$  SEM. Threshold function was used to measure the size of the DC. The number of dendrites per cell was calculated manually. The representative of the cell span and the length of the dendritic processes were considered as DC field. It was calculated by measuring the area covered by a polygon joining the dendrite tips around each cell.

NeuronJ, which is a semi-automated tracing program (a plugin for ImageJ), was used to analyze corneal nerves. (<http://www.imagescience.org/meijering/software/neuronj/>) [39]. The whole frame was analyzed for the presence of main trunks, branches, and total nerves. Total number of main nerve trunks was counted in each image after analyzing anteriorly and posteriorly in order to confirm that main nerve trunks did not branch from other nerves. Total number of nerve branches was calculated by the sum of nerve branching. The number of total nerves measured was defined as the number of all nerves, including main nerve trunks and branches in one image. Nerve length was assessed by measuring the length of the nerve fibers in micrometers per  $\text{mm}^2 \pm$  SEM. Two masked observers evaluated all the images and the averaged values were used for the analysis. If there was more than 10% difference between the two observers, a third observer evaluated the images as well and the average of these three values was used for the analysis.

## 2.5. Statistical Analysis

The normal distribution of the data was first confirmed with the Shapiro-Wilk test. Student's T-test and X-squared were used to assess the differences of age and gender between HZO and control groups. Statistical analysis was carried out through an analysis of variance (ANOVA) with Bonferroni correction to compare all corneal sensation and IVCM parameters. A Pearson R coefficient analysis was used to address the correlation between all parameters. Further, Fischer's exact test was used to compare the subbasal nerves changes in patients with pain versus no pain. Finally, a receiver operating characteristic (ROC)-curve model was applied to assess the specificity and sensitivity of the nerves parameters and corneal sensation. All quantitative variables were expressed by the mean and SEM. Differences were considered statistically significant for  $p$  less than 0.05. Analyses were performed with SPSS software version 18.0 (Statistical Package for Social Sciences, Chicago).

## 3. RESULTS

Twenty-four eyes of 24 HZO patients with unilateral ocular involvement, as well as their respective contralateral clinically unaffected eyes, were included. HZO patients were compared to 24 normal eyes of 24 age- and gender-matched volunteers. The mean age and male/female ratio were  $60.1 \pm 3.0$  years and 11/13 for the HZO group, and  $55.6 \pm 1.9$  years and 9/15 for controls ( $p=0.2$  for age and  $p=0.5$  for gender). Both groups were homogenous and no statistical difference was found for age and sex variables. A summary of demographics is provided in Table 1.

### 3.1. Dendritiform Cell Density

Dendritiform immune cells were located in the subbasal layer. Quantitative analysis of the DC density and morphology for HZO patients and the normal control group is shown in Table 2 and Figure 1.

Eyes affected with HZO showed a significant increase in DC density compared to controls ( $141.2 \pm 33.7$  vs.  $23.0 \pm 3.6$  cells/mm<sup>2</sup>;  $p<0.001$  [Figure 2]). In addition, the contralateral clinically unaffected eyes had an increase in DC density similar to the affected eyes, with DC density being significantly higher ( $120.1 \pm 21.2$ ;  $p<0.001$ ) in comparison to controls. Interestingly, DCs in HZO eyes were larger with increased number of dendrites. Particularly, DC size ( $319.4 \pm 59.8$   $\mu\text{m}^2$ ), DC field ( $787.8 \pm 164.9$   $\mu\text{m}^2$ ) and number of dendrites ( $3.5 \pm 0.4$  dendrites per cell) were increased in the affected eye in comparison to controls ( $57.2 \pm 11.7$ ,  $182.4 \pm 37.2$ , and  $2.3 \pm 0.5$ ;  $p<0.001$  [Figure 2]). Further, when compared to controls, contralateral eyes showed increased DC size ( $161.9 \pm 33.1$  vs.  $57.2 \pm 11.7$ ; 35.3% increase), DC field ( $312.0 \pm 63.7$  vs.  $182.4 \pm 37.2$ ; 58.4% increase), and number of dendrites ( $3.1 \pm 0.6$  vs.  $2.3 \pm 0.5$ ; 74.1% increase), but no statistical significance was found in comparison to controls ( $p=0.291$ ,  $p=0.977$  and  $p=0.277$ ; respectively [Figure 2]).

After subdividing the HZO patients by location of corneal involvement into clear cornea, central or peripheral scar, no statistical difference was found between groups for DC changes in the central cornea ( $p=0.8$ ). However, at the time of the visit when imaging was performed,



one fourth of the patients (6/24) had clinical inflammation characterized by conjunctival redness, increased blurriness and discomfort, or by the physician's recommendation to increase steroids drops. DC density was 60% higher in this subset of patients ( $170.1 \pm 73.5$  cells/mm<sup>2</sup>) as compared to patients with quiet eyes ( $100.6 \pm 21.5$ ).

### 3.2. Subbasal Nerve Changes

Quantitative analysis of nerve parameters for patients with HZO and the normal control group is listed in Table 2. Eyes affected with HZO and contralateral clinically unaffected eyes showed a significant reduction in the subbasal nerve plexus parameters as compared to controls (Fig. 3 and Fig. 4, including: total nerve length ( $9,052.6 \pm 1,151.4$ ,  $14,959.8 \pm 903.2$ , and  $22,851.4 \pm 661.4$   $\mu\text{m}/\text{mm}^2$  respectively; all  $p < 0.001$ ), total number of nerves ( $5.8 \pm 0.9$ ,  $11.9 \pm 1.2$ , and  $26.6 \pm 1.2$  n/frame; all  $p < 0.001$ ), number of main nerve trunks ( $2.4 \pm 0.3$ ,  $3.8 \pm 0.3$ , and  $4.4 \pm 0.2$ ; all  $p < 0.001$ ) and the number of branches ( $3.4 \pm 0.7$ ,  $8.2 \pm 1.1$ , and  $22.2 \pm 1.2$ ; all  $p < 0.001$ ). Bonferroni multiple comparison tests did not show statistical difference between the contralateral eye and controls for the number of main trunks.

In particular, when subgroups were divided according to the presence and location (central vs. periphery) of corneal scars, the total nerve length ( $12,991.3 \pm 1,044.9$ ,  $7,798.4 \pm 1,772.4$ ,  $9,411.8 \pm 1,843.0$ ,  $p = 0.368$ ), total number of nerves ( $8.1 \pm 1.3$ ,  $5.5 \pm 1.5$ , and  $5.6 \pm 1.3$  n/frame;  $p = 0.648$ ), number of main nerve trunks ( $3.4 \pm 0.5$ ,  $2.0 \pm 0.4$ , and  $2.6 \pm 0.5$ ;  $p = 0.267$ ) and the number of branches ( $4.7 \pm 1.2$ ,  $3.4 \pm 1.2$ , and  $3.0 \pm 0.8$ ;  $p = 0.777$ ) were not statistically different, although patient with no scars demonstrated a higher nerve density.

### 3.3. Correlation and Regression Analysis

No statistical difference was found for the subbasal nerve measurements and DC parameters when comparing the affected eyes of HZO patients with or without pain (Table 3). Interestingly, a higher frequency of beading (92.8% vs 60.0%;  $p = 0.024$ ), cluster of cell nuclei (64% vs 10%;  $p = 0.006$ ), and activated DCs close to nerve fibers ( $< 20\mu\text{m}$  from fibers) (85.7% vs 50.0%;  $p = 0.035$ ) was detected in pain patients as shown in Fig. 5. All HZO patients had similar frequency of microneuromas (41.6%) at the subbasal nerve plexus.

Pearson's correlation coefficient was used in order to correlate the DC density with all nerve parameters. The increase of DC density had statistically significant negative correlation to total nerve length, total number of nerves, and number of nerve branches ( $R = -0.43$ ,  $R = -0.57$ ,  $R = -0.63$ ; respectively) for all parameters ( $p < 0.001$ ). Similar correlations were found for DC size ( $R = -0.57$ ,  $p < 0.001$ ), DC field ( $R = -0.53$ ,  $p < 0.001$ ), and number of dendrites shown ( $R = -0.41$ ,  $p < 0.001$ ) to total nerve length (Figs. 6A, B).

In addition, IVCM nerve parameters were correlated to loss of their function, as measured by the corneal sensation. We observed a significant correlation between the diminishment of the subbasal nerve plexus and the reduction in corneal sensation. Corneal sensation was significantly correlated to total nerve length ( $R = 0.63$ ,  $p < 0.001$  [Fig. 6C]), total number of nerves ( $R = 0.55$ ,  $p < 0.001$ ), main nerve trunks ( $R = 0.56$ ,  $p < 0.001$ ), and number of branches ( $R = 0.51$ ,  $p < 0.001$ ).

A ROC-curve model was performed to calculate the approximate corneal nerve length needed for normal sensation. The estimated area under the curve was  $0.940 \pm 0.032$ . We found that abnormal sensation ( $< 5.5\text{cm}$ ) is noted with a total nerve length of  $16,067.4 \mu\text{m}/\text{mm}^2$  with 95% of sensitivity and 87% of specificity (Fig. 6D).

A multiple regression model was applied to evaluate the correlation between all IVCN parameters with age, number of episodes, and disease duration. No statistical difference was found for any variable (all  $p>0.05$ ).

#### 4. DISCUSSION

Our data presented herein demonstrate the increase of corneal DCs and the diminishment of subbasal nerves in both eyes of patients with clinically unilateral HZO. Previous data using laser IVCN in patients with HZO consist of only a single case report that showed diminishment of nerves only in the affected eye [40]. Recently, our group reported bilateral subbasal nerve changes using a slit-scanning confocal microscopy (SSCM) in 27 cases with unilateral HZO [32]. However, the axial resolution of SSCM ( $25\mu\text{m}$ ) is significantly lower in comparison to laser scanning technology ( $1\mu\text{m}$ ) [31]. Thus, the laser IVCN provides not only further detail of subbasal nerves, but allows for assessment of corneal immune cells in HZO, which has not been studied to date.

Immunohistochemical studies have shown the presence of DCs within the normal corneal tissue in animal and human studies [12, 41, 42]. Our group has also extensively studied DCs in human corneas by means of IVCN [12, 42–46]. DCs act as sentinels, monitoring the adjacent tissue for foreign stimuli and undergo activation due to various stimuli [12, 46]. Dendritic cells have been shown to be critical for the initiation of adaptive immune responses and for maintenance of peripheral tolerance [11]. Previous IVCN studies have shown the presence of epithelial DCs in the central cornea healthy volunteers [28, 47, 48]. Mature phenotypes of DCs are characterized by large cells with long processes and are observed in both eyes of our HZO patients [47]. In addition, we have recently demonstrated bilateral increase DC density and size in patients with unilateral acute bacterial fungal, and Acanthamoeba keratitis [35, 49].

In the current study, we detected a significant increase in DC density in both affected and clinically unaffected contralateral eyes in patients with chronic unilateral HZO as compared to controls. However, in contrast to our previous studies, the increase in DC density is present in patients with a chronic condition, as the mean follow-up period is 57 months, suggesting that inflammation or immune activation persists in HZO patients long after the active stage of the disease. We have shown that the increase in DC density significantly correlates to increased levels of pro-inflammatory tear cytokine levels [50], which are elevated bilaterally in patients with unilateral bacterial keratitis, suggesting chronic inflammation in our HZO cohort. However, specific mechanisms have to be substantiated by comprehensive studies in animals and humans to determine the sequence of events that take place after HZO with regard to DC and subbasal nerve alterations.



The connection between the immune and nervous systems has been the focus of recent studies [51–54]. It has been postulated that the neuro-immune cross-talk occurs through the interaction of cytokines and interleukins produced by leukocytes to receptors expressed on nerves and cells of the neuroendocrine system. This interplay constitutes an important feedback loop that optimizes the inflammatory response to pathogens[55]. Namavari et al. [56] reported the link between Sema7a, a glycosylphosphatidylinositol (GPI)-anchored membrane-associated semaphorin, and the inflammatory cell influx into the cornea. Cruzat et al. [35] have previously demonstrated an increase in corneal DCs with decreased subbasal nerve plexus in acute infectious keratitis in humans. In the current study, the increase of corneal DC density and DC size correlated negatively with the diminishment of the subbasal nerves as well, suggesting that this interaction may not be disease-specific.

Corneal sensation is a subjective method of assessing corneal nerve function [57]. The cornea is the most densely innervated tissue in the human body, supplied by the terminal branches of the ophthalmic division of the trigeminal nerve as ciliary nerves [58]. IVCN has been used to characterize the subbasal nerve plexus in normal and diseased eyes by the presence of hyperreflective fibers [23, 59]. Previous IVCN studies have shown a significant correlation between nerve parameters and corneal sensation in herpes simplex, in other types of acute infectious keratitis, and in patients with bullous keratopathy [25, 33, 35]. However, herein we demonstrated a sensitivity of 95% and a specificity of 87% through a ROC curve, and a cut-off value of 16,067.4  $\mu\text{m}/\text{mm}^2$  for total nerve length for diminished corneal sensation (corneal sensation  $\leq 5.5\text{cm}$ ). This methodology demonstrates the high precision of laser IVCN in the detection of corneal subbasal nerves.

Pain is one of the most common complications in patients with HZO [8]. In this study, 14 out of 24 patients presented with pain at the time of the visit. A previous report by Oaklander et al. showed reduction of nerve fibers in skin biopsies of patients with HZO and post-herpetic neuralgia as compared to patients without pain [60]. In our series, however, we showed no statistical difference for subbasal corneal nerves when comparing patients with and without pain. A possible explanation for the discrepancy is the much higher (more than 300-fold) density of nerve fibers in the cornea in comparison to the skin [61]. However, laser IVCN detects a higher frequency of morphological subbasal changes in patients with pain, who particularly presented with nerve beading, clusters of cell nuclei, and increase in activated DCs close to nerve fibers. Reports have shown that cytokines released from immune cells can directly impact neuronal function, resulting in spontaneous (ectopic) activity and pain [62]. Particularly, pro-inflammatory cytokines, including interleukin (IL)-1, IL-6, and tumor necrosis factor (TNF)- $\alpha$  can directly modulate neuronal activity and evoke spontaneous action potential discharges. Animal models have demonstrated attenuation of neuropathic pain through blockade of IL-1 or IL-6 [63, 64]. Further, Wolf et al. [63] demonstrated minimal ectopic activation of axon in mice with target deletion of IL-1 receptor. Additionally, subcutaneous injection of TNF- $\alpha$  in rats, sensitizes C nociceptors leading to lower thresholds in 66.7% of fibers and evoking ongoing activity in 14% of nociceptors [65]. Future studies assessing corneal IVCN and skin biopsies in the same patients, as well as the assessment of the peripheral cornea by IVCN, may shed additional light.

The contralateral DC changes in the clinically unaffected eyes in unilateral HZO patients were surprising and novel. In a histopathological study of 21 unilateral shingles cases, gross hemorrhagic necrosis and inflammatory infiltrates were reported in skin tissues of the affected site, but never in the contralateral side [66]. On the other hand, bilateral changes in unilateral HZ have recently been reported in epidermal biopsies [67]. Oaklander and co-workers performed skin biopsies after unilateral shingles and analyzed the density of epidermal and dermal neurites [67]. They found that unilateral postherpetic neuralgia patients also showed contralateral loss of epidermal neurites [67]. Our results are consistent with this study that showed milder contralateral changes [67]. A neurogenic interaction has been proposed as a result of contralateral diminishment of corneal nerves, and the neuro-immune cross-talk may explain the bilateral sympathetic immune changes observed [67]. The evidence for contralateral effects of clinically unilateral focal nerve injuries has been increasing in both the ocular and non-ocular diseases [68]. The observed contralateral nerve loss is likely not due to viral spread, as unilateral axotomy of the ciliary ophthalmic branches of V1 in mice results in similar contralesional changes by day 1 after surgery [69]. Moreover, there are small number of fibers that each eye and other tissues innervated by the trigeminal nerve [70] send to the contralateral peripheral Gasserian ganglion [71, 72]. Moreover, it has been shown that some central axons of peripheral trigeminal afferents project to both the contralateral and ipsilateral central trigeminal nuclei [73]. Strikingly, our group recently showed that contralateral corneal denervation causes leukocyte infiltration in the contralateral asymptomatic eyes with acute unilateral bacterial keratitis [49], further supporting the findings of the present study. In a varicella-zoster virus (VZV) keratitis patient, VZV DNA was detected in the tear film of the both affected and contralateral unaffected eyes [74]. There is also evidence from animal studies. After inoculation of live virus in mice, the virus was shown in the contralateral part of the brainstem as well as in the contralateral eye [75]. Taken together, it is plausible that a coordinated bilateral interaction between the nervous system and the immune system can take place during unilateral corneal diseases. A limitation of the present study is that laser IVCM can only categorize immune cells based on morphology. As previously, reported by Guthoff et al. [76], laser IVCM does not allow the clinician to distinguish cell characteristics such as nuclei or granules. Still, typical cell morphology, diameter of the cell body, and location of the cell aids in the interpretation of the confocal data. Recently, Knickelbein et al. [77] defined the phenotype and location of DCs in normal donor human corneas by fluorescence confocal microscopy and flow cytometry. They determined the phenotype and location of tissue-resident APCs. Confocal fluorescence microscopy was also used to examine the response of corneal resident APCs to ex vivo infection with HSV-1. They confirmed that DCs and Langerhans cells reside in the human corneal basal epithelium and anterior stroma and are likely the source of cells seen on IVCM. Nevertheless, a standard approach to image acquisition and analysis is fundamental to the reproducibility of future studies. In addition, the Cochet-Bonnet esthesiometer is less than ideal for its intended purpose as a consequence of its design, limited stimulus intensity range, user-dependency, variation in stimulus delivered, restrictive stimulation of only mechanoreceptors and lack of reproducibly measuring corneal sensation at low thresholds of stimuli [78, 79]. However, other devices, such as the Belmonte esthesiometer, are currently not commercially available.

## 5. CONCLUSION

Laser IVCM is a powerful tool to assess corneal immune and subbasal nerve changes. The current study quantitatively analyzed corneal immune cells, nerve structure and an aspect of nerve function in patients in vivo. These results provide evidence of neuro-immune crosstalk in the cornea, as the data presented reveals a moderate correlation of DC density with subbasal nerve parameters. In addition, a strong correlation with the diminishment of the subbasal plexus and corneal sensation was observed in HZO patients. Thus, quantitative measurements of DCs and subbasal nerve plexus of the cornea may aid in the stratification of patients for therapeutic interventions, allowing a direct evaluation of treatment response and future complications. Further, longitudinal studies are needed to validate these findings and the correlation with other clinical parameters.

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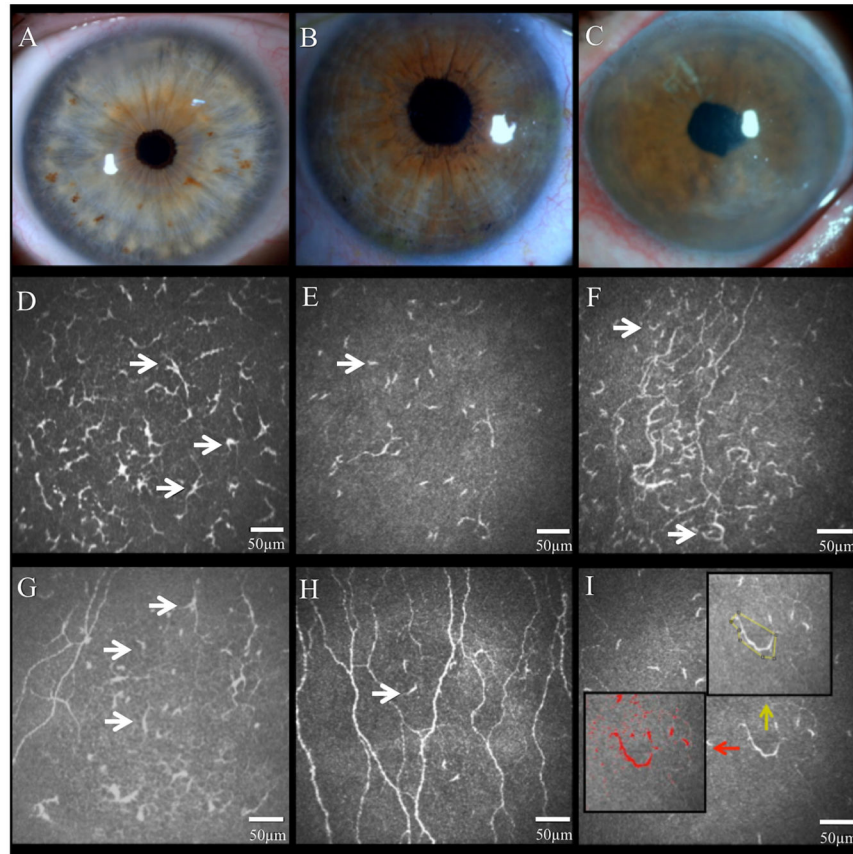
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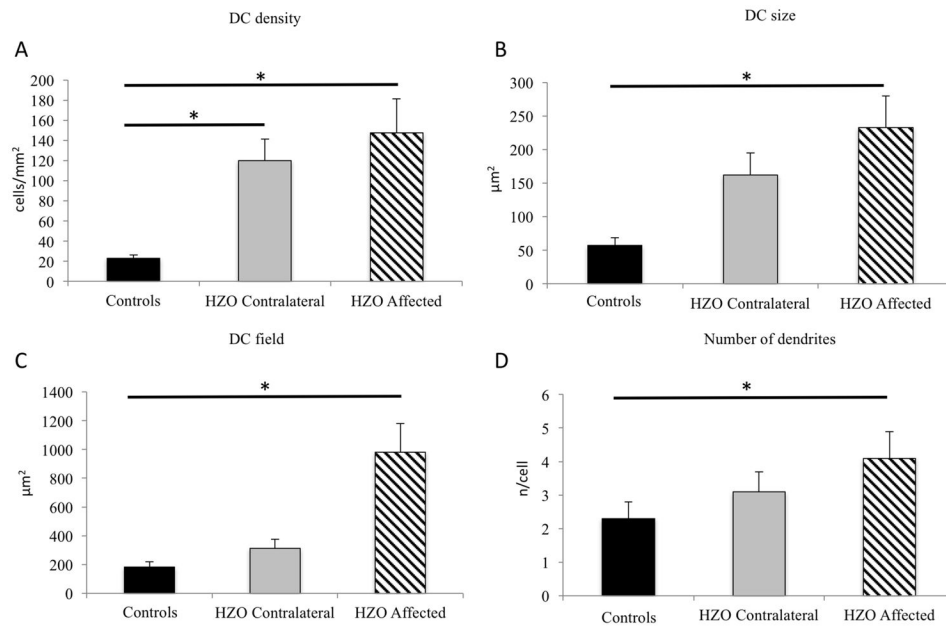
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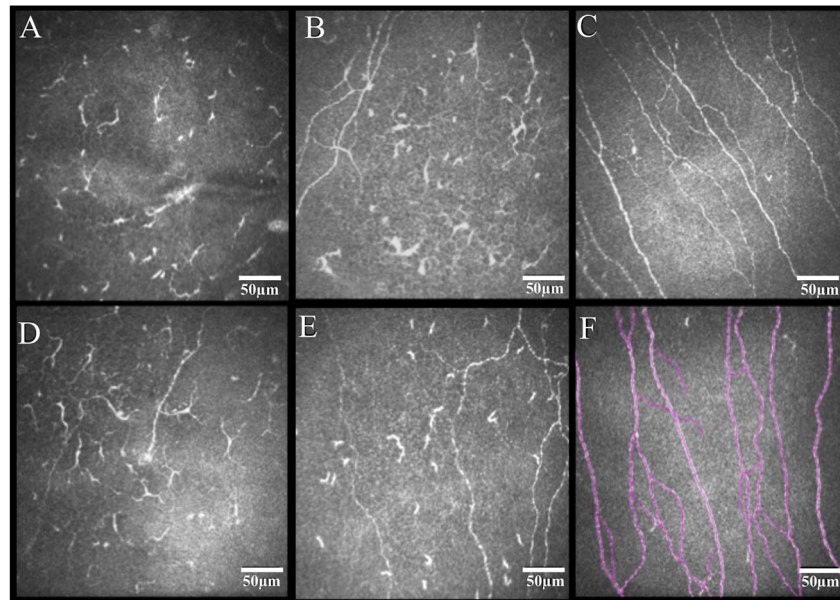
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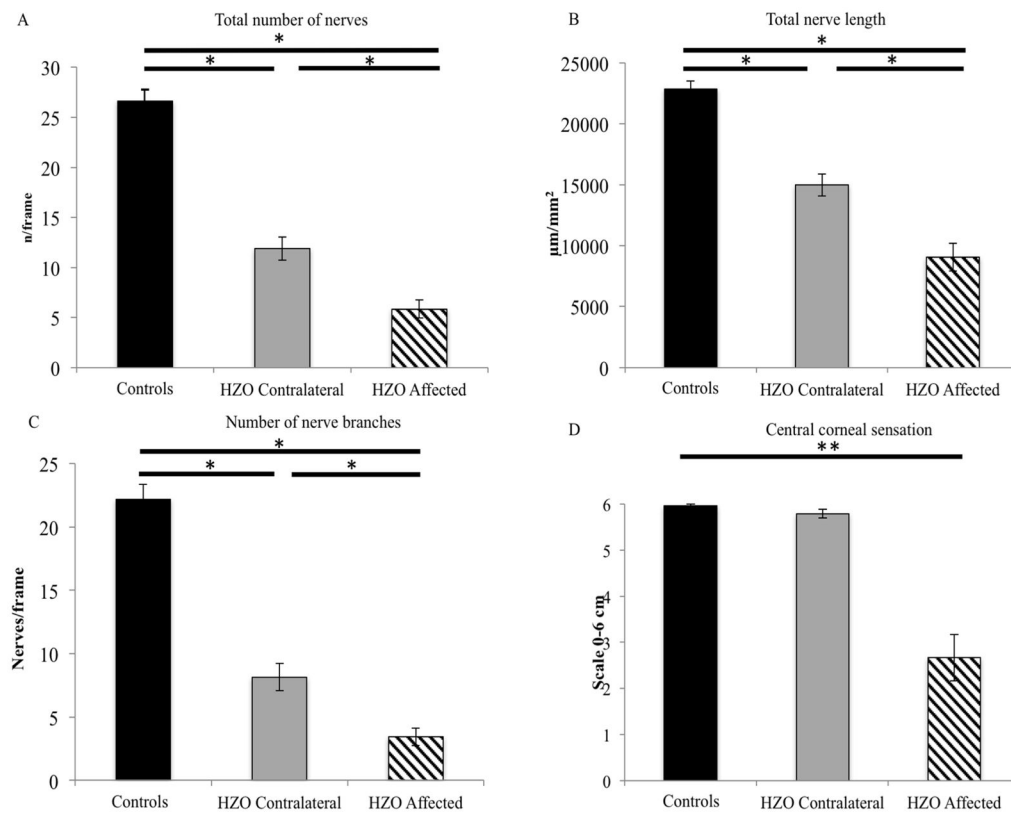
**Figure 1.** Slit-lamp images of control eye (A), clinically unaffected contralateral eye (B), and affected eye with herpes zoster ophthalmicus (HZO) (C). Representative laser *in vivo* confocal microscopy images of corneal dendritiform immune cells (DCs) in the affected eye (D and E) and contralateral eyes of HZO patient (F and G), and normal control eye (H). Note the increase of DC density in both eyes of HZO patients. DC morphology analysis (I; in red DC size; yellow number of dendrites and DC field). Black arrows highlight DCs.



**Figure 2.** Dendritiform immune cell density (DCs) in herpes zoster ophthalmicus. Affected and contralateral eyes reveal statistical significant increase of DC density (A). DCs in the affected eye showed an increase in size (B), as well as DC field (C) and in number of dendrites (D). (\* statistical significant adjusted p-value < 0.05)

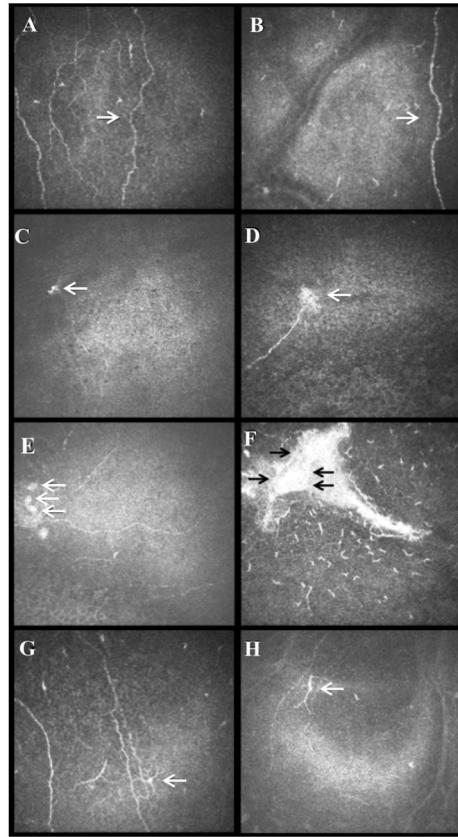


**Figure 3.** Representative *in vivo* confocal microscopy images of the subbasal corneal nerve plexus in eyes with herpes zoster ophthalmicus and controls. Diminishment of nerve fibers is revealed in both affected eyes (A and D) and contralateral clinically unaffected eyes (B and E) of herpes zoster patients in comparison to normal controls (C). Example of nerve tracings performed by NeuronJ/ImageJ is shown (F).



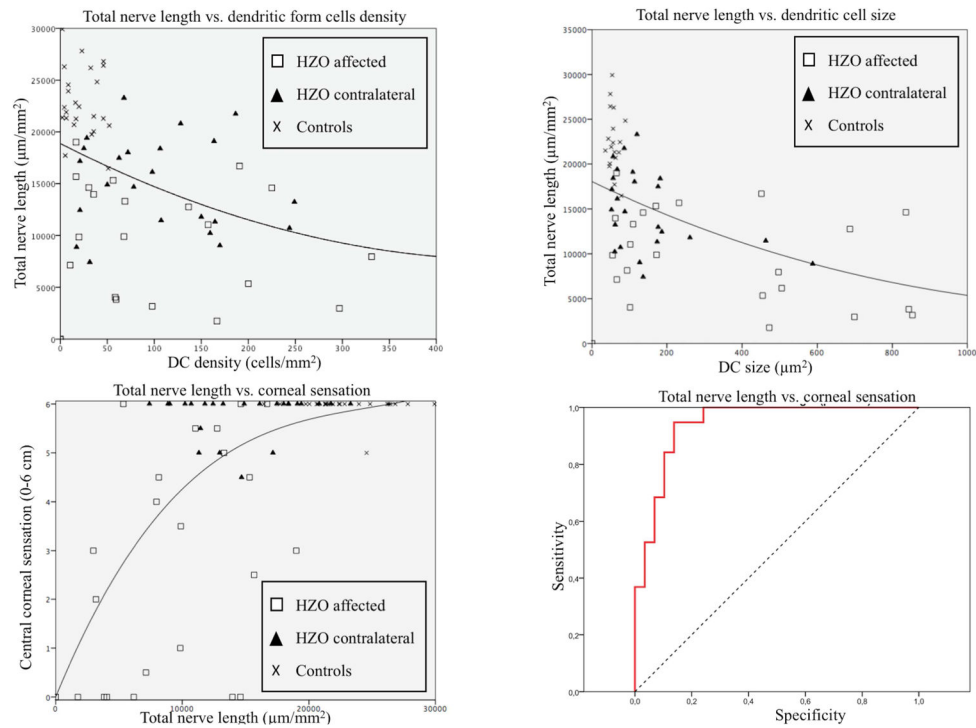
**Figure 4.**

Corneal nerves parameters in herpes zoster ophthalmicus. Both eyes of herpes zoster patients show a decrease of subbasal nerve parameters in comparison to controls. Number of total nerves (A) and total nerve length fibers (B), number of nerve branches (C), and central corneal sensation (D) for all groups. (\*statistical significant adjusted p-value < 0.05).



**Figure 5.** Subbasal nerve features of in patients with pain from herpes zoster ophthalmicus. Representative *in vivo* confocal images of beading (A and B), neuromas (C and D), cluster of nuclei (E and F), and activated dendritic cells close to nerve fibers (G and H).





**Figure 6.** Correlation for *in vivo* confocal microscopy parameters and corneal sensation. Total nerve length vs dendritic form cells density (A) and dendritic-form cells size (B) reveals positive correlation ( $R=-0.43$  and  $R=-0.57$ , respectively). Total nerve length shows good positive correlation with corneal sensation (C)( $R=0.63$ ). ROC curve model demonstrates good specificity and sensitivity for detection of corneal sensation diminishment.

**Table 1**

Demographic data of normal controls and patients with herpes zoster ophthalmicus.

	Controls	HZO	P value
Number of patients (n)	24	24	n/a
Age (years)	55.6 ± 1.9	60.1 ± 3.0	0.2
Gender (male/female)	9/15	11/13	0.5
Sensation (cm)	5.9 ± 0.04	2.7 ± 0.5	<0.0001
Disease duration (months)	n/a	57.0 ± 11.3	n/a
Number of episodes (n)	n/a	2.1 ± 0.3	n/a
Time from last episode (months)	n/a	30.4 ± 10.6	n/a
Number of patients by location of scar (no scar/central/peripheral)	n/a	5/11/8	n/a

Values are expressed as mean ± standard error of the mean.

HZO: Herpes zoster ophthalmicus; n/a: not applicable

Dendritiform cell parameters and corneal subbasal nerve plexus parameters in control groups, contralateral unaffected eyes and affected eyes with herpes zoster ophthalmicus.

**Table 2**

	HZO affected	HZO contralateral	Controls
Eyes (n)	24	24	24
Mean central corneal sensation (cm)	2.7 ± 0.5 *	5.8 ± 0.1	5.9 ± 0.04
DC density (cells/mm <sup>2</sup> )	147.4 ± 33.9 *	120.1 ± 21.2 *	23.0 ± 3.6
DC size (µm <sup>2</sup> )	232.4 ± 47.4 *	161.9 ± 33.1	57.2 ± 11.7
DC field (µm <sup>2</sup> )	980.9 ± 200.2 *	312.0 ± 63.7 *	182.4 ± 37.2
DC number of dendrites (n/cell)	4.1 ± 0.8 *	3.1 ± 0.6	2.3 ± 0.5
Main nerve trunk length (µm/mm <sup>2</sup> )/[µm/frame]	4,950.9 ± 662.9 * [792.1 ± 106.1]	8,327.0 ± 474.9 * [1,332.3 ± 76.0]	10,364.5 ± 355.6 [1,658.3 ± 57.0]
Nerve branch length (µm/mm <sup>2</sup> )/[µm/frame]	4,101.6 ± 538.7 * [656.3 ± 86.2]	6,521.7 ± 681.0 * [1,043.5 ± 109.0]	12,486.9 ± 522.1 [1,997.9 ± 83.5]
Total nerve length (µm/mm <sup>2</sup> )/[µm/frame]	9,052.6 ± 1151.4 * [1,448.4 ± 184.2]	14,959.8 ± 903.2 * [2,393.6 ± 144.5]	22,851.4 ± 661.4 [3,656.2 ± 105.8]
Number of main nerve trunks (n/frame)	2.4 ± 0.3 *	3.8 ± 0.3	4.4 ± 0.2
Number of nerve branches (n/frame)	3.4 ± 0.7 *	8.2 ± 1.1 *	22.2 ± 1.2
Total number of nerves (n/frame)	5.8 ± 0.9 *	11.9 ± 1.2 *	26.6 ± 1.2

Values are expressed as mean ± standard error of mean.

\* Statistically significant (p<0.05) compared to controls.

HZO: Herpes Zoster Ophthalmicus, DC: Dendritiform cell.

Dendritiform cell parameters and corneal subbasal nerve plexus parameters in affected eyes with or without pain in herpes zoster ophthalmicus.

**Table 3**

	Patients with pain	Patients without pain	P value
n	14	10	n/a
Age (years)	60.1±16.4	60.0±12.5	0.9
Sensation (cm)	2.6±2.1	2.6±2.7	0.9
DC density (cells/mm <sup>2</sup> )	136.3±59.2	143.7±42.4	0.9
DC size (µm <sup>2</sup> )	225.5±87.3	366.2±77.5	0.2
DC field (µm <sup>2</sup> )	615.3±341.3	874.1±184.0	0.4
DC number of dendrites (n/cell)	4.3±1.0	3.0±0.3	0.1
Main nerve trunk length (µm/mm <sup>2</sup> )/[µm/frame]	4409.3±1091.1 [705.4±174.5]	5221.8±847.5 [835.4±135.6]	0.5
Nerve branch length (µm/mm <sup>2</sup> )/[µm/frame]	3472.2±861.6 [555.5±137.8]	4416.2±688.6 [706.5±110.1]	0.4
Total nerve length (µm/mm <sup>2</sup> )/[µm/frame]	7881.6±1926.9 [1261.0±308.3]	9636.0±1454.7 [1541.7±232.7]	0.4
Number of main nerve trunks (n/frame)	2.2±0.4	2.4±0.3	0.7
Number of nerve branches (n/frame)	2.2±0.4	4.0±0.9	0.2
Total number of nerves (n/frame)	4.4±1.1	6.5±1.1	0.2

Values are expressed as mean ± standard error of the mean. n/a: not applicable