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Ofatumumab in Combination with Glucocorticoids for Primary Therapy of Chronic Graft-versus-Host Disease: Phase I Trial Results

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Abstract

Standard primary therapy for chronic graft-versus-host disease (GVHD) is incompletely effective. Based on biologic insights implicating pathogenic B cells, we conducted a phase I trial examining the combination of standard (1 mg/kg/day prednisone) glucocorticoid therapy with ofatumumab, a humanized anti-CD20 monoclonal antibody, for primary chronic GVHD therapy. Patients ages 18 with National Institutes of Health Consensus moderate-to-severe chronic GVHD newly requiring 1 mg/kg/day prednisone were treated at 3 escalating dose levels (300 mg, 700 mg, and 1000 mg) of i.v. of atumumab on days 1 and 14 of initial glucocorticoid therapy. Dose-limiting toxicity (DLT) was defined by grade 4 infusion reactions, related grade 4 constitutional symptoms, related grade 3 organ toxicities, or grade 4 neutropenia lasting > 14 days. A total of 12 patients (median age 54; range, 25 to 72) were treated (dose level 1: n = 3; level 2: n = 3; level 3: n = 6). At enrollment, overall chronic GVHD was moderate (n = 7) or severe (n = 5), with diverse organ involvement (skin: n = 8; mouth: n = 8; eye: n = 8; lung: n = 4; gastrointestinal: n = 3; liver: n = 5; genital: n = 2; joint/fascia: n = 5). Infusion of of atumumab was well tolerated, and no DLT was observed. From the total number of adverse events (n = 29), possibly related adverse events (n = 4)included grade 1 fatigue, grade 1 transaminitis, and 2 infusion reactions (grades 2 and 3). Infectious complications were expected, and there were no cases of hepatitis B reactivation or progressive multifocal leukoencephalopathy. Of atumumab in combination with prednisone is safe and a phase II examination of efficacy is ongoing.

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Authorship contributions: J.P. produced study design, conducted the trial, analyzed data, and wrote the manuscript. J.K. contributed to study design, performed statistical analysis, and contributed to writing of the manuscript. B.C.B., M.A., E.A., H.F.F., T.F., M.A.K-D., F.L.L., A.M., T.N., L.O-B., L.P., M.R., and C.A. contributed to study design, data analysis, and writing of the manuscript. SUPPLEMENTARY DATA

Supplementary data related to this article can be found at http://dx.doi.org/10.1016/j.bbmt.2015.03.014

Chronic graft-versus-host; disease; Primary therapy; Prednisone; Ofatumumab

INTRODUCTION

Chronic graft-versus-host disease (GVHD), a major late complication of allogeneic hematopoietic cell transplantation (HCT), is associated with mortality, disability, infectious complications, prolonged immune suppression, and impaired quality of life [1–8]. Accepted standard primary therapy for chronic GVHD includes 1 mg/kg/day of prednisone with or without a calcineurin inhibitor [9,10] as combination therapy with other broadly immune suppressive agents has not demonstrated consistent benefit over prednisone alone in controlled trials [11–13]. Only 30% of patients will experience complete resolution of chronic GVHD by 6 to 9 months of primary steroid therapy and another 30% will achieve only a partial response [11–14]. *Failure-free survival*, defined by absence of second-line immune suppressive treatment, nonrelapse mortality (NRM), and recurrent malignancy after initial systemic treatment for chronic GVHD is only 68% at 6 months and 54% at 12 months [15].

Multiple observations support a role for B lymphocytes in human chronic GVHD pathogenesis: B cell–activating factor (BAFF) and the BAFF/B cell ratio have been associated with risk for subsequent chronic GVHD development [16]. In the setting of established chronic GVHD, investigators have detected anti–H-Y in the setting of sex mismatched HCT [17,18] and activating anti-platelet-derived growth factor receptor (PDGFR) antibodies in the context of cutaneous sclerosis [19]. Active chronic GVHD has been shown to correlate with BAFF levels [20,21], BAFF/B cell ratio [22], as well as various B cell subpopulations [22–27]. Interestingly, several associations have also been detected with chronic GVHD severity [26], phenotype [19,21,26], and response to therapy [21,25,28]. Alongside these observations, anti-CD20 therapy in chronic GVHD has proven successful: rituximab has demonstrated activity as a single-agent in steroid refractory chronic GVHD [29]. As well, 2 independent trials testing varied schedules of rituximab for chronic GVHD rates [30,31].

Ofatumumab is a fully humanized IgG1kappa monoclonal antibody (mAb) targeting a unique epitope on the CD20 molecule expressed on human B cells. As a type I CD20 mAb, like rituximab, potent complement-dependent cytotoxicity is dependent on ability to translocate CD20 into lipid rafts. In contrast to rituximab, it has increased binding affinity to CD20, prolonged dissociation rate, and increased cell kill (including B cells with low-density CD20 expression) due to greater complement-dependent cytotoxicity [32–34]. Compared with rituximab and obinutuzumab, ofatumumab demonstrates greater complement activation, independent of CD20 density, and elicits the greatest monocyte-derived macrophage mediated Ab-dependent cellular phagocytosis [35]. Ofatumumab was approved for advanced chronic lymphocytic leukemia therapy in 2009 and has also demonstrated activity in multiple lymphoid malignancies and autoimmune conditions. Given

experimental evidence of increased potency, observations supporting activity in the setting of prior rituximab exposure or rituximab resistance [36–46] and evidence of chronic GVHD development after rituximab prophylaxis [30,31], of a novel chronic GVHD intervention.

The primary objective of the phase I component of this phase I/II trial was to examine the safety of ofatumumab in combination with 1 mg/kg/day prednisone as primary therapy of chronic GVHD.

METHODS

Overview of Trial Design

The overall trial is a phase I/II trial to examine the safety and efficacy of prednisone and escalating dose of ofatumumab for primary therapy of chronic GVHD (NCT01680965). Here we report the phase I trial results. Phase II accrual is ongoing. Prednisone is uniformly initiated at 1 mg/kg/day and the phase I component examined escalating dose of ofatumumab at dose level cohorts of 300 mg, 700 mg, and 1000 mg given on days 0 and 14 of study. In the phase II component, patients are followed for 24 months (baseline, study therapy day 0 and day 14, and months 1, 3, 6, 12, 18, and 24 after therapy). The primary efficacy endpoint for the phase II trial is 6-month clinician-reported overall response (composite of complete and partial response). Additional endpoints include other therapeutic response metrics, utilization of second-line systemic immune suppressive therapy, additional clinical and patient-reported outcomes, and allied biologic correlative studies.

Included Patients

Adults ages 18 with chronic GVHD newly requiring systemic glucocorticoid therapy were included. Chronic GVHD diagnosis and severity scoring adhered to the National Institutes of Health (NIH) Consensus Criteria on Diagnosis and Staging of Chronic GVHD [47]. In the phase I component of this trial, only those with overall moderate-to-severe chronic GVHD were eligible. Patients had to begin of atumumab therapy within 14 days from initiation of 1 mg/kg/day of prednisone therapy for chronic GVHD. The following were study exclusion criteria: relapsed malignancy after HCT, previous systemic glucocorticoid therapy at 1 mg/kg/day prednisone or equivalent for chronic GVHD, current hepatic/biliary disease (with exception of that due to chronic GVHD), treatment with experimental non-Food and Drug Administration-approved therapy within 5 terminal half-lives or 4 weeks before enrollment, other solid tumor within past 5 years (except completely resected nonmelanoma skin cancer), prior treatment with any anti-CD20 monoclonal antibody or alemtuzumab within 3 months, uncontrolled infectious complications, significant cerebrovascular disease in past 6 months, human immunodeficiency virus positivity, uncontrolled significant cardiac or other medical conditions, clinically active hepatitis B defined as positive HBsAg or positive HBcAb with detectable hepatitis B viral load, active hepatitis C confirmed by viral load, pregnancy or lactation, women and men unable or unwilling to use adequate contraceptive methods through 1 year after completion of protocol therapy, absolute neutrophil count < 1.0 $\times 10^{9}$ /L; creatinine > 2 \times upper limit of normal (ULN), total bilirubin > 1.5 \times ULN,

transaminase > $2 \times$ ULN, or alkaline phosphatase > $2.5 \times$ ULN (except for that due to chronic GVHD).

Treatment Plan

Prednisone was started at 1 mg/kg actual body weight per day. The duration of prednisone therapy and tapering schedule were not mandated by the protocol. In the setting of increased chronic GVHD activity, prednisone could be increased with upper limit of 1 to 2 mg/kg/day; doses higher than this were considered a separate line of additional systemic immune suppressive therapy. As no cases had discontinued all systemic immune suppression (both initial GVHD prophylaxis agents and any therapy delivered for acute GVHD) by the time of chronic GVHD onset, these agents were continued alongside the new addition of study therapy (1 mg/kg/day prednisone + of atumumab). Taper of other systemic agents and use of topical agents (eg, ocular drops, mouth rinses, topical steroid creams) were not regulated by the trial. Of atumumab was administered by i.v. infusion; preparation and infusion conditions were per standard procedures. Dose level cohorts in this phase I trial included the following: cohort 1, 300 mg; cohort 2, 700 mg; and cohort 3, 1000 mg (all delivered once on day 0 and again on day 14 of therapy). This dose/schedule was modeled after a prior trial conducted in rheumatoid arthritis that demonstrated safety, sustained depletion of peripheral blood CD19⁺ B cells (persistent for 48 weeks), and efficacy with association between increasing dose and clinical response [48]. A dose level -1 of 100 mg was prespecified in the event excess doselimiting toxicity (DLT) occurred at dose level 1. Premedication was uniformly delivered within 30 minutes before each infusion: acetaminophen 1000 mg, diphenhydramine 50 mg, and methylprednisolone i.v. 50 mg. Vital signs were monitored every 30 (\pm 5) minutes during infusion or more frequently as needed. The initial infusion rate, timing of sequential infusion rate escalation, and rules surrounding response to observed infusion reactions were enforced. If grade 4 infusion reactions occurred, no further of atumumab therapy was to be given; lesser grade infusion reactions were managed with supportive care including i.v. fluids, antihistamines, and steroids. Supportive antimicrobial prophylaxis followed institutional standards. Immunoglobulin replacement was delivered per discretion of the treating physician, not mandated per protocol.

Phase I Trial Characteristics

The phase I trial followed a traditional (3 + 3) escalation design. Successive dose level cohorts were enrolled and monitored for DLT. The minimum DLT observation period was 46 days after completion of ofatumumab therapy for the last patient on each dose level. DLT was defined by the occurrence of any of the following within 46 days of the last ofatumumab therapy: grade 4 infusion reactions, grade 4 constitutional symptoms at least possibly due to ofatumumab, grade 3 organ toxicities at least possibly due to ofatumumab, and grade 4 neutropenia lasting more than 14 days. The following were criteria for patient termination from the study: patient withdrawal, death, relapsed malignancy, and completion of study follow-up. Patients in the maximally tolerated dose (MTD) cohort are carried forward in the phase II component and will complete all planned phase II follow-up (24 months), whereas those at lower non-MTD cohorts complete an abbreviated study follow-up with clinical assessment measures and biologic studies only at the 6- and 12-month time points.

Statistical Methods

The occurrence of DLT attributable to ofatumumab in 17% served as the boundary for the MTD of ofatumumab in this phase I trial. Escalation to each higher dose level was permitted when 0 of 3 or no more than 1 of 6 (number treated per level was increased to 6 if 1 of 3 experienced a DLT) in a dose level cohort experienced a DLT. Dose de-escalation was indicated if a greater proportion experienced a DLT at any dose level. A total of 6 patients were enrolled at the MTD cohort.

Response of chronic GVHD to therapy was both reported by change in NIH 0 to 3 chronic GVHD severity (per organ sites and overall), as well as clinician-reported overall responses (complete response [CR], partial response [PR], stable disease [SD], progressive disease [PD]). These clinician-reported responses are reported in the following ways: (1) as reported, (2) with response category changed to PD for addition of a new line of systemic immune suppressive therapy beyond first-line prednisone + ofatumumab, and (3) both to account for new systemic therapy and for new topical agents not present before study enrollment.

Data and Safety Monitoring

A comprehensive data and safety monitoring plan adhered with the standard procedures of the H. Lee Moffitt Cancer Center and Research Institute. The trial was conducted with the approval of the University of South Florida institutional review board. The study principal investigator and allied study team members monitored toxicity, and reported adverse (AE) and serious adverse events (scored per Common Terminology Criteria for Adverse Events version 4.0) to the institutional review board, the Moffitt Protocol Monitoring Committee, and the US Food and Drug Administration. All AEs (grades 1 to 5) were collected within 24 hours of start of ofatumumab infusion, grade 3 to 5 AE were collected within 60 days, and only AE deemed related to the investigational therapy were collected beyond day 61. Additional monitoring was employed for hepatic function, screening for hepatitis B reactivation, development of progressive multifocal leukoencephalopathy (PML), and pregnancy.

RESULTS

Baseline Patient and Chronic GVHD Characteristics

Twelve patients in total were treated on the phase I trial, including 3 on dose level 1 (300 mg), 3 on dose level 2 (700 mg), and 6 total on dose level 3 (1000 mg). A patient flow diagram is presented as Supplemental Figure 1. Patient characteristics are summarized in Table 1. Hematologic malignancies serving as HCT indication were diverse, and none had evidence of relapse/progression at time of study enrollment. HCT were from both sibling and unrelated donors and were predominantly peripheral blood mobilized grafts. Female donor/male recipient gender pairing was infrequent.

Chronic GVHD characteristics at study enrollment are presented in Table 2. All cases had an overall global NIH 0 to 3 score of moderate-to-severe (2 and 3). Skin, mouth, and eye involvement were most common. All cases had multiple organ sites concurrently involved at

therapy initiation, the majority had overlap subtype of chronic GVHD, and most had previously manifested acute GVHD. We note that the organ involvement represented here is a reflection of the enrolled patients' heterogeneous chronic GVHD involvement, not an accurate population-based estimate of frequency of organs involved in incident chronic GVHD. Poor performance status, bilirubin elevation, and thrombocytopenia were infrequent.

In keeping with institutional practices, the majority were on primary immune suppression prophylaxis, including tacrolimus and sirolimus at the time of chronic GVHD development and enrollment on trial. Other systemically active immune suppressive agents represented at study enrollment (prednisone, extracorporeal photopheresis) were on taper from prior acute GVHD therapy. Two cases with bronchiolitis obliterans were on combined fluticasone, azithromycin, and monteleukast therapy. Baseline topical agents are listed in Table 2.

Study Therapy: Ofatumumab Infusion and Prednisone Taper

Ofatumumab infusion was well tolerated. The median time required for completion of baseline and day 14 infusions were 5 (range, 4 to 10) and 4 (range, 4 to 9) hours, respectively. Two infusion reactions (grades 2 and 3) occurred and resolved with supportive care, and all patients completed the full planned study therapy. Prednisone was uniformly started at 1 mg/kg/day and then tapered according to the treating clinician's discretion. As demonstrated in Figure 1, the actual prednisone taper was rapid. The median prednisone dose was .4 (range, .1 to .8) mg/kg/day at 1 month, .1 (range, 0 to .4) mg/kg/day at 3 months, and .07 (range, 0 to .3) at 6 months. These data correspond to a large percentage reduction from starting prednisone dose at 3 (median, 89%; range 57% to 100%) and 6 months (median, 93%; range, 71% to 100%). Whereas systemic immune suppression present before chronic GVHD onset and trial enrollment (ie, those agents still present from primary prophylaxis and acute GVHD therapy) was not regulated on this trial, none of these baseline immune suppressive agents (Table 2) were discontinued during the study follow-up.

Additional systemic therapy beyond prednisone within 6 months was only used in 1 case: mycophenolate mofetil (MMF) was started 28 days after study therapy initiation for rising liver function tests. Three patients were treated with new topical agents after study enrollment: 1 patient newly received cyclosporine ophthalmic emulsion (Restasis) at day 14, topical triamcinolone (skin) at 3.7 months, and oral azathioprine at 14.2 months. A second patient started topical triamcinolone (skin) at 33 days. The final patient started cyclosporine ophthalmic emulsion (Restasis, Allergan, Irvine, CA) at 5.3 months.

Safety: DLT, AE, and Infectious Complications

No cases of grade 4 infusion reactions, related grade 4 constitutional symptoms, related grade 3 organ toxicities, or grade 4 neutropenia lasting > 14 days were observed, and hence no DLT events occurred throughout the study. Three patients each were treated on dose levels 1 and 2 (300 mg, 700 mg), and 6 patients were treated on dose level 3 (1000 mg), which was therefore defined as the recommended phase II dose. All AE on trial, regardless of attribution to ofatumumab therapy, are listed in Table 3. From 29 total adverse events, 4 were deemed possibly related to ofatumuab, including the above-mentioned 2 infusion reactions, as well as 1 event each of grade 1 fatigue and grade 1 transaminase elevation.

Other observed AE were largely expected complications of high-dose prednisone therapy. One patient with severe bronchiolitis obliterans had multiple events, including recurrent pulmonary infections, hospitalizations, dyspnea, and hypoxia, consistent with the natural history of this disease. There were no cases of hepatitis B reactivation or PML. All infections noted on trial are described in Table 4.

Preliminary Assessment of Efficacy and Biologic Activity

Change in NIH global 0 to 3 scores and clinician-reported response assessments are presented in Figure 2. Responses were seen in moderate and severe chronic GVHD, across individual organ sites (Supplemental Table 1), and in both classic and overlap subtypes of chronic GVHD. Clinician-reported responses for evaluable patients (3-month CR/PR rate = 11 of 12, consisting of CR 2, PR 9, and SD 1; 6-month CR/PR rate = 8 of 10, consisting of CR 4, PR 4, and SD 2) were favorable. Two patients were not eligible for response assessment at 6 months (death: n = 1, inadequate follow-up time: n = 1).

Response rates accounting for additional systemic immune suppressive therapy are the following: 1 patient received MMF for liver function test (LFT) elevation before 3-month assessment and, thus, would be considered PD. Thus, 3-month CR/PR rate would be 10 of 12 (consisting of CR 2, PR 8, SD 1, and PD 1) and 6-month CR/PR would be 8 of 11 (consisting of CR 4, PR 4, SD 2, and PD 1)—this patient lacks 6-month follow-up currently but would contribute to the total denominator at 6 months and count as failure based on early addition of MMF.

Response rates accounting for both additional systemic and topical agents are the following: accounting for both additional systemic and topical agents added after study enrollment (eliminating response assessment at organ sites for which new topical agents were added, except for permitting assessment of progressive disease), the above response rates would change in the following manner: 3-month response would be unaltered (CR/PR = 10 of 12, consisting of CR 2, PR 8, SD 1, and PD 1), whereas 6-month response (CR/PR = 7 of 11, consisting of CR 4, PR 3, SD 2, and PD 2) would decrease because of the addition of topical Restasis for ocular symptoms not present at baseline (despite response in other multiple organs involved). In the other 2 cases of new topical agents added, these were implemented for existing organ involvement at baseline; silencing response assessment in organs targeted by these topical agents did not alter response category due to responsive disease in other sites and no progression in any sites.

Serial measures of immune globulin and lymphocyte subsets are presented in Figure 3. Therapy was associated with significant reduction in total CD19⁺ B cells. No significant differences were observed for these parameters according to ofatumumab dose level. No malignancy relapse was seen on trial. The median follow-up time for surviving patients is currently 13 months (range, 6 to 24 months). Two deaths occurred: (severe oxygen-dependent bronchiolitis obliterans (n = 1) complicated by recurrent infections at 11 months after therapy onset, and aspiration pneumonia (n = 1) in a deconditioned patient undergoing rehabilitation at 79 days), and were not deemed related to study therapy.

DISCUSSION

Given limited efficacy of currently available chronic GVHD primary treatment strategies, novel approaches are needed. Based on extensive evidence implicating B cells in chronic GVHD pathobiology, we tested of atumumab, a highly potent humanized anti-CD20 monoclonal antibody, together with standard 1 mg/kg/day prednisone as initial therapy for NIH moderate-to-severe chronic GVHD in a phase I/II trial. The central aim of the phase I component of this trial was to establish the safety of this approach.

The phase I dose escalation was modeled after a prior study in rheumatoid arthritis, as this dose/schedule demonstrated safety, clinical efficacy, and profound sustained B cell depletion [48]. Our data support the safety of this approach in primary therapy of chronic GVHD: no DLT was observed. Thus, escalation proceeded to the planned maximal dose level, which was defined as the recommended phase II dose. All patients completed planned study therapy, and only 2 infusion reactions occurred among the 12 treated. Infusional toxicity of of atumumab was modest, and the 2 observed reactions were treated to resolution with supportive care. Additionally, the observed AE on trial were largely expected complications of intensive prednisone therapy. Importantly, the additional immune suppression induced by profound B cell depletion in the setting of prednisone therapy raises concern for potential increase in risk. The data, however, provide no signal of excess infectious morbidity, compared with that previously reported in chronic GVHD initial therapy [13], or NRM. Importantly, we also observed no cases of viral hepatitis reactivation or PML. Although the total number on this phase I study limits comparisons to expected NRM seen in much larger chronic GVHD cohorts, the observed survival is encouraging, particularly given the higher risk characteristics (exclusively moderate-to-severe chronic GVHD [1], predominance of overlap subtype [51], presence of lung involvement [52], increased chronic GVHD HCT comorbidity index [53] of the study patients.

Although the phase I component of this trial is not adequately powered to address efficacy, we note that therapy was associated with encouraging response rates at 3 and 6 months, both according to clinician-assessed overall response categories and according to change in NIH 0 to 3 chronic GHVD severity scores. Only 1 patient required an additional line of systemic immune suppressive therapy beyond prednisone/ofatumumab within 6 months of follow-up, and use of additional topical agents was limited. Although specific comparisons are limited, responses were seen in both moderate and severe chronic GVHD, across varied sites of organ involvement, and in both classic and overlap subtype. As a surrogate of effective chronic GVHD control, major reduction in prednisone dose was achieved by 3 and 6 months of therapy. Further conclusions regarding efficacy will be made after completion of the phase II component of the trial.

We acknowledge the existing studies examining the impact of rituximab-based interventions in refractory chronic GVHD therapy [29], primary chronic GVHD therapy together with prednisone [54], and also as chronic GVHD prophylaxis [30,31]. These and allied correlative science have laid an important foundation for B cell depletion strategies in chronic GVHD interventional trials. However, the following speak to the need for ongoing progress: rituximab appears to offer incomplete protection from chronic GVHD as

evidenced by chronic GVHD in that setting [30], failure of initial combined therapy or escape associated with resurgence of H-Y antibodies has been noted [54], and therapy in more advanced chronic GVHD—although certainly active—is incompletely effective [29,55]. These shortcomings, taken together with clinical observations and mechanistic insight suggesting greater potency of ofatumumab, support the rationale to study ofatumumab in chronic GVHD therapy. Mature results from the phase II trial, with particular attention to chronic GVHD characteristics and detailed response assessment, will facilitate an assessment of comparative efficacy.

We note the following limitations: whereas the phase I trial established the MTD and the observed safety profile is reassuring, additional and longer-term safety data will be generated through the phase II component of this trial. Additionally, more complete efficacy assessment, including detailed examination of competing proposed response assessments and association with subsequent outcomes including treatment failure and failure-free survival, will only be possible in the phase II setting. As well, we note that taper of prednisone and thresholds for invoking either new topical or systemic immune suppressive agents on trial can't be mandated, and, thus, are subject to individual clinician's judgment; this reality complicates analysis but is not a challenge unique to this trial. Finally, we note that the current report of immune populations and immunoglobulins provides only initial biologic insight; more detailed studies and predictive biomarker analyses are planned for the complete phase II trial.

In summary, the addition of ofatumumab at 1000 mg at days 0 and 14 of initial 1 mg/kg/day prednisone therapy for NIH moderate-to-severe chronic GVHD is safe and associated with favorable clinical responses and reduction in prednisone.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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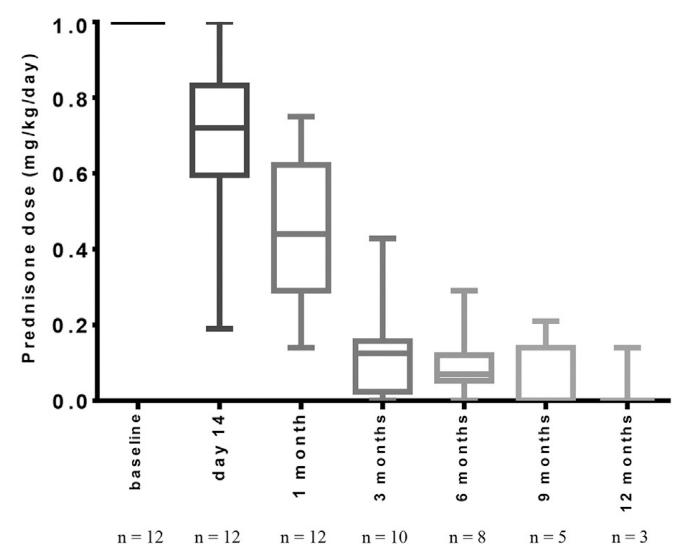


Figure 1.

Reduction in systemic glucocorticoid therapy during study period. Box plots present median values and whiskers present minimum-maximum range.

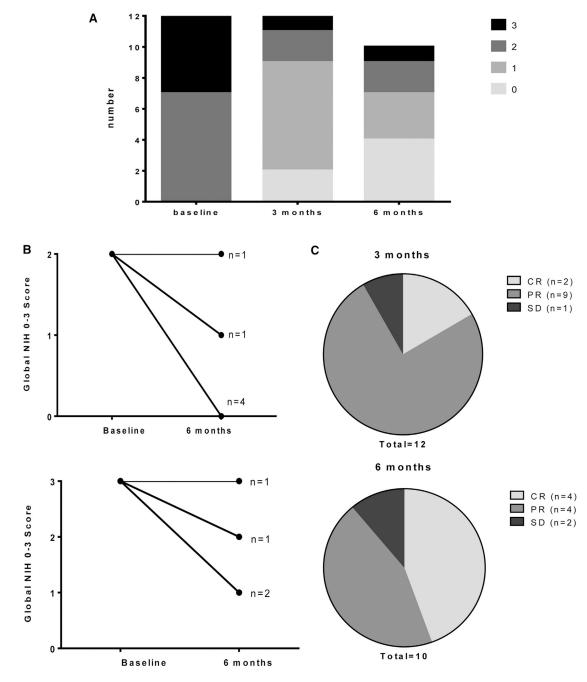


Figure 2.

Change in chronic GVHD severity. (A) Indicates distribution of NIH global 0 to 3 scores at baseline, 3 months, and 6 months. (B) Indicates change in global NIH 0 to 3 score from baseline to 6 months separately for those with initial score 2 (top) versus 3 (bottom). (C) Indicates clinician-reported overall response category at 3 months (top) and 6 (bottom) months.

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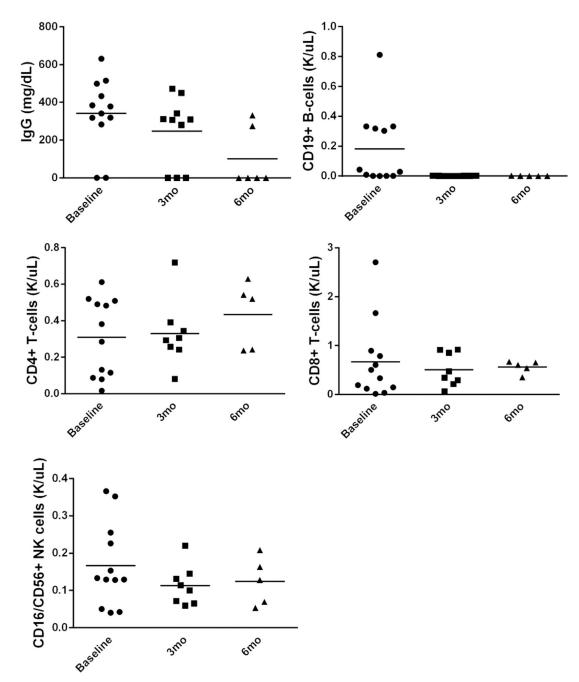


Figure 3. Ofatumumab therapy depletes total CD19+ B cells and IgG.

Table 1

Baseline Patient Characteristics

Variable	Frequency (%)	
Age, median (range), yr	54 (25–72)	
Donor age, median (range), yr	29 (24–56)	
Donor/recipient gender		
Female/male	1	
Others	11	
Race		
White/Caucasian	12 (100%)	
Disease category		
ALL	4 (33%)	
AML	2 (17%)	
CLL	1 (8%)	
CMML	1 (8%)	
IMF	1 (8%)	
MCL	1 (8%)	
MDS	2 (17%)	
Remission status at transplantation		
CR	8 (67%)	
HI	2 (17%)	
PR	2 (17%)	
Graft source		
BM	1 (8%)	
PBSC	11 (92%)	
Donor type		
Matched sibling	4	
Matched unrelated	8	

ALL indicates acute lymphoblastic leukemia; AML, acute myelogenous leukemia; CLL, chronic lymphocytic leukemia; CMML, chronic myelomonocytic leukemia; IMF, idiopathic myelofibrosis; MCL, mantle cell lymphoma; MDS, myelodysplastic syndrome; HI, hematologic improvement; BM, bone marrow; PBSC, peripheral blood mobilized stem cells. Data presented are n (%) unless otherwise indicated.

Chronic GVHD Characteristics at Initiation of Therapy

Variable	Frequency (%)			
NIH Consensus 0–3 Scores	NIH 0	NIH 1	NIH 2	NIH 3
Skin	4 (33%)	2 (17%)	5 (42%)	1 (8%)
Mouth	4 (33%)	6 (50%)	2 (17%)	0
Eye	4 (33%)	5 (42%)	2 (17%)	1 (8%)
Lung	8 (67%)	2 (17%)	2 (17%)	0
GI	9 (75%)	2 (17%)	1 (8%)	0
Liver	7 (58%)	4 (33%)	0	1 (8%)
Genital	10 (83%)	2 (17%)	0	0
Joint/fascia	7 (58%)	2 (17%)	2 (17%)	1 (8%)
Overall	0	0	7 (58%)	5 (42%)
Chronic GVHD onset type				
De novo	3 (25%)			
Interrupted	7 (58%)			
Progressive	2 (17%)			
Chronic GVHD subtype				
Classic	5 (42%)			
Overlap	7 (58%)			
HCT-CI (at trial enrollment) [49]				
<3	2 (17%)			
3	10 (83%)			
KPS				
<90	4 (33%)			
90	8 (67%)			
Platelet count, median (range), k/uL	164 (92–287)			
Bilirubin, mg/dL	.6 (.2–.9)			
Two-minute walk test, median (range), feet [50]	480 (140–574)			
Baseline systemic immune suppression				
Sirolimus	8 (67%)			
Tacrolimus	10 (83%)			
Prednisone (range, .14–.5 mg/kg daily dose)	3 (25%)			
ECP	1 (8%)			
FAM	2 (17%)			
Baseline topical immune suppression				
Beclomethasone (GI)	1 (8%)			
Budesonide (GI)	2 (17%)			
Triamcinolone (skin)	2 (17%)			
Dexamethasone (mouth)	4 (33%)			
Tacrolimus (skin)	1 (8%)			

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Table 3

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Toxicity Category	Description (Toxicity Type Code)	Grade 1 n (%)	Grade 2 n (%)	Grade 3 n (%)	Grade 4 n (%)	All n (%)	u
Eye disorders	Blurred vision		1 (8.3)	1		1 (8.3)	12
GI disorders	GI disorders-other, specify (gastritis)	1 (8.3)				1 (8.3)	12
General disorders and administration site conditions	Edema limbs	1 (8.3)		·		1 (8.3)	12
	Fatigue	1 (8.3)				1 (8.3)	12
	Infusion-related reaction		1 (8.3)	1 (8.3)		2 (16.7)	12
Infections and infestations	Infections and infestations - other, specify (surgical site infection after surgery)	,		1 (8.3)	ı	1 (8.3)	12
	Lung infection	ı	1 (8.3)	ı	1 (8.3)	2 (16.7)	12
	Nail infection	·	1 (8.3)			1 (8.3)	12
	Skin infection		1 (8.3)			1 (8.3)	12
	Upper respiratory infection	ı	1 (8.3)	1 (8.3)	ı	2 (16.7)	12
Injury, poisoning, and procedural complications	Bruising	1 (8.3)	ī	ī	ı	1 (8.3)	12
Investigations	Aspartate aminotransferase increased	1 (8.3)	ı	ı	ı	1 (8.3)	12
Metabolism and nutrition disorders	Hyperglycemia	ı		1 (8.3)	ı	1 (8.3)	12
Musculoskeletal and connective tissue disorders	Arthralgia	ı	1 (8.3)	ī	ı	1 (8.3)	12
	Chest wall pain	ı	ı	1 (8.3)	ı	1 (8.3)	12
	Musculoskeletal and connective tissue disorder - other, specify (hand/foot cramping)	ı	1 (8.3)			1 (8.3)	12
	Muscle weakness lower limb	1 (8.3)			ı	1 (8.3)	12
	Musculoskeletal and connective tissue disorder - other, specify (fall)	ı		1 (8.3)	ı	1 (8.3)	12
Nervous system disorders	Facial nerve disorder	ı	1 (8.3)	ī	ı	1 (8.3)	12
	Peripheral motor neuropathy	ı	1 (8.3)	ı	ı	1 (8.3)	12
	Peripheral sensory neuropathy	ı	1 (8.3)	ı	ı	1 (8.3)	12
	Tremor	ı	1 (8.3)	ı	ı	1 (8.3)	12
Psychiatric disorders	Insomnia	ı	ı	1 (8.3)	ı	1 (8.3)	12
Respiratory, thoracic, and mediastinal disorders	Dyspnea	1 (8.3)	ı	1 (8.3)	ı	2 (16.7)	12
	Нурохіа	I	ī	1 (8.3)	ī	1 (8.3)	12
Overall (total AE, % grade AE per total number of $_{\rm AF}$)	All	7 (24%)	12 (41%)	9 (31%)	1 (3%)		29

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Individual AE (per CTCAE version 4.0) observed on trial from time of treatment initiation through complete study follow-up to date. Individual AE are presented with percent of total (n = 12) patients with each AE.

Total AE per AE grade are presented with percent of total number of AE observed. AE deemed possibly related to ofatumumab therapy are in bold (n = 4, or 14% of total AE)

Table 4

Summary of Infectious Complications on Trial

Site	Organism	Day	Grade
Nasopharynx	Rhinovirus*	4	3
	Rhinovirus	78	1
	Rhinovirus	34	2
Oral	Candida	14	2
Pulmonary/BAL	CMV*		3
	Pseudomonas*	5	3
	MAI*	5	3
	MRSA	83	2
Surgical site	CNS	27	3
Blood	CMV	20	2

Day indicates number of days following initiation of study therapy; BAL, bronchoalveolar lavage; CMV, cytomegalovirus; MAI, mycobacterium avium intracellulare; MRSA, methicillin-resistant staphylococcus aureus; CNS, coagulase-negative staphylococcus.

* Denotes multiple infectious complications experienced by 1 individual patient with bronchiolitis obliterans syndrome. All other identified infections were single events in individual patients.