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Effect of Time to Initiation of Postoperative Radiation Therapy on Survival in Surgically-Managed Head and Neck Cancer

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Abstract

Background—Determine the effect of National Comprehensive Cancer Network Guidelineadherent initiation of postoperative radiation therapy (PORT), and different time to PORT intervals, on overall survival (OS) in patients with head and neck squamous cell carcinoma (HNSCC).

Methods—Reviewing the National Cancer Database (NCDB) from 2006–2014, patients with HNSCC undergoing surgery and PORT were identified. Kaplan-Meier survival estimates, Cox regression analysis, and propensity score matching were used to determine the effect of initiating PORT 6 weeks of surgery, and different time to PORT intervals, on survival.

Results—41,291 patients were included in the study. After adjusting for covariates, starting PORT > 6 weeks postoperatively was associated with decreased OS (adjusted Hazard Ratio [aHR] 1.13; 99% confidence interval [CI] 1.08–1.19). This finding remained in the propensity score-matched subset (HR 1.21; 99% CI 1.15–1.28). Relative to starting PORT 5 to 6 weeks

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- 1. substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data;
- 2. drafting the article or revising it critically for important intellectual content;
- **3.** final approval of the version to be published;
- 4. agreement to be accountable for all aspects of the work.

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The NCDB is a joint project of the Commission on Cancer of the American College of Surgeons and the American Cancer Society. The data used in the study are derived from a de-identified NCDB file. The American College of Surgeons and the Commission on Cancer have not verified and are not responsible for the analytic or statistical methodology employed, or the conclusions drawn from these data by the investigator.

postoperatively, initiating PORT earlier was not associated with improved survival (4 weeks: aHR 0.93; 99% CI 0.85–1.02, 4 to 5 weeks: aHR 0.92; 99% CI 0.84–1.01). Increasing duration of delays beyond 7 weeks were associated with progressive small survival decrements (aHR 1.09, 1.10, and 1.12 for 7 to 8 weeks, 8 to 10 weeks, and > 10 weeks).

Conclusions—Non-adherence to NCCN Guidelines for initiating PORT within 6 weeks of surgery is associated with decreased survival. There is no survival benefit to initiating PORT earlier within the recommended 6-week timeframe. Increasing durations of delays beyond 7 weeks are associated with small progressive survival decrements.

Keywords

quality of care; head and neck cancer; postoperative radiation therapy; NCCN Guidelines; National Cancer Database

Introduction

Guideline concordant treatment and timeliness of care are two indicators of quality care^{1–7}. The only measure of timely care incorporated into National Comprehensive Cancer Network (NCCN) Guidelines for patients with head and neck squamous cell carcinoma (HNSCC) is the time interval between surgery and postoperative radiation therapy (PORT), for which the "preferred interval between resection and postoperative RT is 6 weeks"⁸. Delays in initiating adjuvant therapy, and care not adherent to NCCN Guidelines, are nevertheless common^{9–12}.

The oncologic effect of NCCN Guideline-adherent care for timely adjuvant therapy remains uncertain¹³. Prior studies have shown inconsistent effects on locoregional recurrence and survival, with some finding benefit^{14–21} and others no influence^{10,11,22–26}. Most of the studies finding benefit to earlier initiation of adjuvant therapy were conducted over 15 years ago. It has been argued that recent improvements in radiation technology such as intensity-modulated radiation therapy (IMRT), altered fractionation, and/or concurrent chemotherapy may mitigate against the risk associated with delays initiating PORT¹³, although no consensus exists.

The effect of different time to initiation of PORT intervals on oncologic outcomes is also unknown. Some have argued, based on tumor repopulation times and surgical effects on hypoxia, that initiation of adjuvant therapy should commence as soon as reasonably achievable²⁷. Whether there is a benefit to starting PORT earlier, such as within 4 or 5 weeks of surgery, remains understudied. Conversely, the negative consequences of progressive delays beyond 6 weeks postoperatively are also unknown.

Given the uncertainty surrounding the effect of time to initiation of PORT for patients with HNSCC undergoing surgery and adjuvant therapy, we sought to answer the following questions: 1) Is NCCN Guideline-adherent care in which PORT is initiated within 6 weeks of surgery associated with improved overall survival? 2) Is there a survival benefit to earlier initiation of PORT? and 3) What effect does increasing duration of delays beyond 6 weeks in initiating PORT have on survival?

Methods

Data Source

The National Cancer Data Base (NCDB) is a hospital-based cancer registry that is a joint program of the American College of Surgeons Commission on Cancer (CoC) and the American Cancer Society. The NCDB annually collects high-quality and internally-appraised cancer data from more than 1,500 CoC accredited hospitals in the United States (US). It captures approximately 70% of cancer diagnoses annually in the US, making it the world's largest clinical cancer registry²⁸.

Study Cohort

The Medical University of South Carolina Institutional Review Board deemed this study exempt from review. The NCDB was reviewed from 2006–2014 for patients with upper aerodigestive tract HNSCC and no prior radiation undergoing curative intent surgery followed by postoperative radiation with or without chemotherapy. HNSCC diagnoses were filtered using *International Classification of Disease for Oncology, 3rd Edition* topography codes for the oral cavity (including lip), oropharynx, hypopharynx, and larynx as well as histology codes for SCC or relevant variants (Supplementary Table 1). 58,722 patients were identified. The following patients were excluded: brachytherapy, stereotactic radiotherapy, radioisotopes, or unspecified modality (n=568 for all forms of excluded radiation therapy), induction chemotherapy (n=9,896), palliative therapy (n=437), unknown survival time (n=6,031), definitive surgery > 180 days after diagnosis (n=129), and initiation of PORT > 180 days after surgery (n=370).

Outcome Measures

The primary outcome measure was overall survival (OS), which was calculated as the time from the date of diagnosis until the date of death or last follow-up. Tumor registrars report patient follow-up to the NCDB annually and CoC accreditation standards require an annual 90% follow-up rate for all living analytic patients²⁹. Neither patterns of failure nor disease-specific survival are available in the NCDB.

Study Variables

Covariates included sociodemographics (age, gender, race, educational attainment, median household income), insurance type, severity of comorbidity, oncologic (tumor site, clinical and pathologic American Joint Committee on Cancer [AJCC] stage), and treatment characteristics (surgical margins, number of lymph nodes removed, 30-day hospital readmission, time to PORT, radiation modality, radiation duration, radiation dose, administration of concurrent chemotherapy), treatment facility type, treatment at more than one facility, surgery and radiation at the same facility, and region of the United States. Categorical variables were grouped for analysis as previously described⁹.

Statistical Analysis

To determine the effect of NCCN Guideline-adherent care on OS, time to initiation of PORT was dichotomized into 6 weeks or > 6 weeks postoperatively. Kaplan-Meier (KM)

estimates of survival were used to examine unadjusted survival time distributions for patients who initiated PORT 6 weeks or > 6 weeks postoperatively; comparisons were performed using the log rank test. Univariable and multivariable Cox proportional hazards regression analysis was performed to identify factors associated with OS and adjust for potential confounding variables. The proportional hazards assumption was verified using log minus log plots. Associations between covariates was investigated prior to modeling to address potential collinearity effects. Variables significant at an alpha level of 0.05 on univariable analysis with perceived clinical relevance were entered into the Cox multivariable regression model. For categorical variables with unknown or missing information, an unknown category was included throughout but omitted from presentation of the final multivariable analyses for clarity of presentation.

Propensity score-matching (PSM) was used to minimize the effect of confounding from nonrandomized treatment assignment³⁰ and decrease bias between the cohorts that commenced adjuvant therapy within or greater than 6 weeks postoperatively. Individual scores based on the probability of starting PORT within 6 weeks of surgery were calculated via fitting of a logistic regression model. One-to-one PSM without replacement was performed using a caliper width set to 0.05 times the standard deviation of the logit of the propensity score^{31,32}. After PSM, the OS of patients who initiated PORT 6 weeks and > 6 weeks postoperatively was examined using Kaplan-Meier estimates of survival and compared using the log rank test. Unadjusted hazard ratios for the PSM cohort were determined using Cox regression modeling.

Given the biological and prognostic differences between carcinogen-mediated and HPVrelated head and neck cancer^{33,34}, a planned sub-set analysis of the entire data set was performed excluding patients with human papillomavirus (HPV)-related oropharyngeal SCC. Collaborative Stage Site Specific Factor 10 codes 020–060 were used to exclude patients with high-risk HPV serotypes (n=3656)³⁵. Because HPV status was not recorded until 2010³⁵, but many patients from 2006–2010 likely had HPV-related oropharyngeal SCC with HPV status coded as unknown, a second subset analysis excluding all patients with oropharyngeal SCC (n=17,158) was performed to minimize this potential source of bias.

To determine whether earlier time to initiation of PORT is beneficial in terms of survival, and whether increasing duration of delays beyond 6 weeks is associated with progressive decrements in survival, time to PORT was analyzed as a categorical variable. Patients were divided into groups based on time to initiation of PORT: 4 weeks, 4 to 5 weeks, 5 to 6 weeks, 6 to 7 weeks, 7 to 8 weeks, 8 to 10 weeks, and > 10 weeks (intervals non-inclusive of lower bound and inclusive of upper bound for each). Time to PORT was analyzed as a categorical variable instead of as a continuous variable due to easier clinical interpretation and application of the hazard ratios. Univariable and multivariable Cox proportional hazards regression analyses were performed to adjust for confounders and to determine the effect of different time to PORT initiation intervals on OS.

Data analysis was performed using SPSS version 24 (IBM SPSS Inc., Armonk, NY). All statistical tests were two-sided. Given the large sample size, statistical significance was set at

a *p*-value of < 0.01 and measures of precision of point estimates are presented as 99% confidence intervals (CIs).

Results

Demographic, Clinicopathologic, and Treatment Characteristics

41,291 patients with HNSCC undergoing surgery and PORT from 2006–2014 were included in the study. The patient demographic, clinicopathologic, and treatment characteristics and their relationship to initiation of PORT within 6 weeks of surgery are presented in Table 1. There were numerous significant differences in the characteristics of the groups with and without timely postoperative radiation. Overall 44.7% of patients (n=18,642) initiated PORT within 6 weeks of surgery.

Effect of Initiating PORT 6 Weeks Postoperatively on Survival

Initiating adjuvant therapy more than 6 weeks postoperatively was associated with a 10% absolute decrease in 5-year OS on unadjusted Kaplan-Meier estimates relative to initiating adjuvant radiation within 6 weeks of surgery (60.2% vs 70.8%; log rank p < 0.001) (Figure 1). The results of the univariable and multivariable Cox regression analysis are shown in Table 2. On univariable analysis, starting adjuvant therapy more than 6 weeks after surgery was associated with a 50% relative increase in mortality (HR 1.48; 99% CI 1.41–1.55). After adjusting for relevant covariates, commencing adjuvant therapy more than 6 weeks after surgery remained associated with decreased OS (aHR 1.13; 99% CI 1.08–1.19).

Effect of Initiating PORT 6 Weeks Postoperatively on Survival in the Propensity Score-Matched Cohort

Because of the inherent imbalances in characteristics between the groups that did and did not start adjuvant therapy within 6 weeks of surgery⁹, a propensity score-adjusted subset analysis was performed based on the likelihood of initiating PORT within 6 weeks of surgery (Supplementary Table 2). In the propensity score-matched cohort of 29,910 patients, initiating adjuvant therapy more than 6 weeks after surgery was associated with a 5% absolute decrease in 5-year OS relative to initiating adjuvant therapy within 6 weeks of surgery (64.3% vs 69.4%; log rank p < 0.001) (Figure 2). From univariable analyses, initiation of adjuvant therapy more than 6 weeks after surgery was associated with a 20% relative increased risk of mortality (HR 1.21; 99% CI 1.15–1.28).

Subset Analysis Excluding High-Risk HPV-Related SCC and Oropharyngeal SCC

Given the large survival difference in oropharynx cancer patients in this study and the known biological and prognostic differences between carcinogen-mediated and HPV-related HNSCC^{33,34}, a subset analysis of the entire dataset was performed excluding patients with high-risk HPV-positive oropharyngeal carcinoma. After excluding patients with high-risk HPV-positive oropharyngeal carcinoma and adjusting for relevant covariates, starting adjuvant therapy more than 6 weeks after surgery remained associated with an increased risk of death (aHR 1.13 99% CI 1.08–1.19, Supplementary Table 3). In a second subset analysis of the entire dataset excluding all oropharyngeal SCC patients, the risk of mortality for

initiating PORT more than 6 weeks after surgery was unchanged on multivariable analysis (aHR 1.09; 99% CI 1.03–1.15, Supplementary Table 4).

Effect of Increasing Time to Initiation of PORT on Survival

To determine whether earlier time to initiation of PORT was beneficial in terms of OS and whether increasing duration of delays beyond 6 weeks was associated with larger decrements in survival, time to initiation of adjuvant therapy was analyzed as a categorical variable. 15.7% (n=6494) started PORT 4 weeks of surgery, 13.6% (n=5635) 4 to 5 weeks postoperatively, 15.3% (n=6333) 5 to 6 weeks, 14.6% (n=6015) 6 to 7 weeks, 11.3% (n=4685) 7 to 8 weeks, 5515(13.4%) 8 to 10 weeks, and 16.0% (n=6614) more than 10 weeks following surgery (time interval inclusive of upper bound for each). The Kaplan-Meier estimates of OS for different time to initiation of adjuvant therapy are shown in Figure 3. Relative to starting PORT 5 to 6 weeks after surgery, initiating adjuvant therapy 4 weeks of surgery and 4 to 5 weeks after surgery was associated with significant improvements in OS on univariable analysis (HR 0.84; 99% CI 0.77-0.92 for PORT 4 weeks postoperatively, HR 0.84; 99% CI 0.76–0.92 for 4 to 5 weeks). On univariable analysis, increasing duration of delay beyond 6 weeks was associated with progressively larger decreases in OS (HR 1.15; 99% CI 1.06–1.25 for 6 to 7 weeks, HR 1.26; 99% CI 1.16–1.38 for 7 to 8 weeks, HR 1.39; 99% CI 1.28–1.51 for 8 to 10 weeks, HR 1.46; 99% CI 1.35–1.58 for > 10 weeks). Importantly, earlier commencement of adjuvant therapy did not remain associated with improved OS on multivariable analysis adjusting for relevant covariates (Figure 4). Increasing duration of delay beyond 7 weeks postoperatively remained associated with small progressive decrements in OS on multivariable analysis (aHR 1.09; 99% CI 1.00-1.19 for 7 to 8 wks, aHR 1.10; 99% CI 1.01-1.19 for 8 to 10 wks, aHR 1.12; 99% CI 1.04-1.21 for > 10 wks; Supplementary Table 5).

Discussion

Delivery of quality head and neck cancer care remains a national priority³⁶. Guideline concordant care and timeliness of care are two indicators of quality care¹. Risk factors for failing to commence adjuvant therapy in a guideline concordant, timely fashion have been described⁹. Whether failing to deliver NCCN Guideline-concordant, timely PORT has an impact on survival remains unclear¹³. This study, which utilized a large national sample of patients from a variety of facility types treated with modern radiation techniques in the era of concurrent chemotherapy, was undertaken to better assess the relationship between quality care, timely care, guideline concordant care, and favorable patient outcomes such as survival.

Oncologic Effect of Guideline-Adherent Initiation of PORT

The rationale for timely initiation of adjuvant radiation is that delays in treatment allow for repopulation and proliferation of residual microscopic disease and tumor clonogens^{21,24,27,37}, with subsequent increases in tumor burden and risk of hypoxia¹³. Based on mathematical models, it is estimated that persistent postoperative microscopic tumor clonogens repopulate with an estimated doubling time of 40–45 days^{37,38}. This doubling

time has been estimated to correspond to a decrease in local control of 0.09%–0.17% for each additional day between surgery and adjuvant therapy^{25,37}.

Despite the NCCN's endorsement of the preferred time to initiation of PORT for patients with HNSCC, the evidence underlying the recommendation is conflicted with regards to its effect on locoregional recurrence and survival¹³, with some finding benefit^{14–21} and others finding no influence^{10,11,22–26}. Many of these studies have been limited by retrospective single institution study design and small patient numbers¹³. In this study, a 50% relative decrease in overall survival was found for patients who initiate adjuvant therapy more than 6 weeks after surgery, a 15% relative increased risk of death persisted on multivariable analysis adjusting for numerous confounding factors, and a 20% relative increased risk of death in the propensity-matched subset analysis. These findings led further support to the idea that, at least with regards to the timing of adjuvant therapy, guideline-adherent head and neck oncology care is quality care^{39–41}.

Effects of Early Time to Initiation of PORT on Survival

Although NCCN Guidelines recommend initiating PORT within 6 weeks of surgery, it has not been well studied whether there is a benefit to starting adjuvant therapy earlier, such as within 4 or 5 weeks of surgery. Some have advocated commencing adjuvant therapy as soon as possible²⁷. In this current study, there was no statistical or clinically meaningful benefit in terms of overall survival to starting PORT 4 weeks of surgery or 4 to 5 weeks of surgery relative to 5 to 6 weeks after surgery. This may be due to the time course and biology of tumor repopulation. Alternatively, it could be a result of selection bias in which patients perceived as having more aggressive disease are expedited to start adjuvant therapy earlier after surgery, obscuring the beneficial effect of earlier initiation of PORT. It might also be that other end points such as locoregional recurrence are more suitable outcome measures when assessing the effect of time to initiation of adjuvant therapy. Further studies will be required to determine whether there is a benefit overall, or in specific subgroups, to earlier initiation of adjuvant therapy.

Effects of Increasing Duration of Delays to Initiation of PORT on Survival

It also remains understudied whether increasing duration of delays beyond 6 weeks after surgery are associated with correspondingly worse outcomes. In this study, all time intervals for which PORT was initiated more than 7 weeks after surgery were associated with decreased survival, but there were not clinically meaningful differences in the excess risk of death with increasing duration of delays on multivariable analysis. While these data do not support intentionally delaying adjuvant therapy, in cases of prolonged postoperative wound complications in which it is unsafe to start radiation sooner, they show a continued linear increase in the risk of death that comes with increasing duration of delays.

Limitations

This study possesses important limitations. Although the NCDB data is captured by trained data extractors and extensive quality control measures exist, coding errors and data omissions are possible, likely not random, and may bias the results of this study. Although type of surgery is coded within the NCDB, it is likely that some biopsies were coded as

definitive surgery, potentially biasing the results. Differentiating between coding errors and outlier data is also challenging and another source of potential error. Because it is a retrospective database study, reasons for delays in starting PORT in a guideline-adherent fashion cannot be discerned. These might include tumor board discussion, patient-physician discussion about the risk/benefit ratio of adjuvant therapy, decision-making in the need for and referral for PORT, patient preferences, indecisiveness, and the ability to access care and meet the schedule of postoperative appointments necessary for timely initiation of PORT. Propensity score matching was used to control for treatment biases of a retrospective observational design, and although successful in balancing differences between the two cohorts of patients, cannot control for variables not captured in the NCDB. Time to initiation of PORT, although it is the only time sensitive metric within current NCCN guidelines, is only one portion of timely care. This study does not evaluate delays in presentation, diagnosis, or initiation of surgery, or total treatment package time from date of surgery to completion of PORT, all of which also impact survival^{21,42}. Overall survival is multifactorial in nature. Although improved OS was seen with guideline-adherent initiation of PORT, it does not imply that timely initiation of PORT indicates improved locoregional control or disease-specific survival. The effect of time to initiation of PORT on rates of locoregional failure or disease-free survival are relevant outcome measures not analyzed in the study because these data are not available in the NCDB; future studies should consider these as outcome measures when evaluating the timeliness of PORT. Despite these limitations, there are numerous methodological strengths to the study. It captures patients of all adult ages, has a national scope, large sample size, and analyzes treatment at different types of hospitals.

Conclusions

Care not adherent to NCCN Guidelines for initiating PORT within 6 weeks of surgery is associated with decreased survival. There is no overall survival benefit to initiating PORT earlier within the recommended 6-week timeframe. Increasing durations of delay beyond 7 weeks are associated with small progressive survival decrements.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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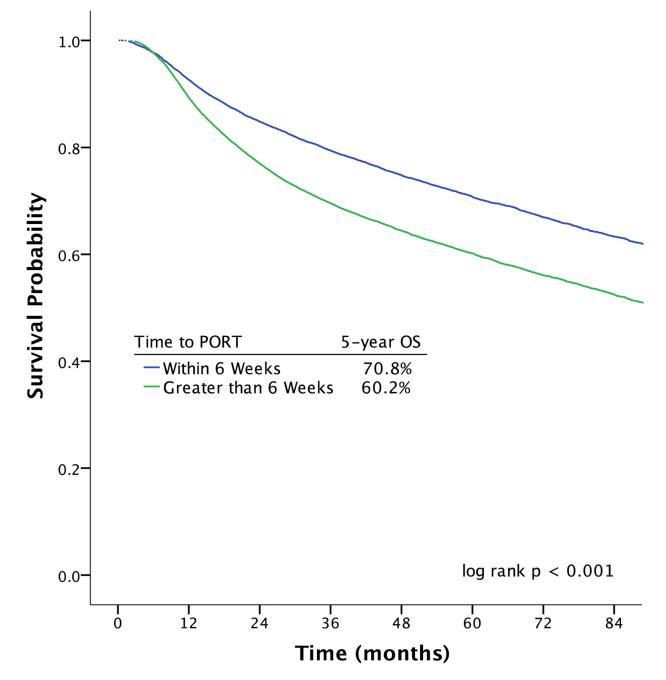


Figure 1.

Legend: Kaplan-Meier estimates of overall survival (OS) demonstrating the effect of NCCN Guideline-adherent initiation of PORT within 6 weeks of surgery versus non-Guideline adherent care initiating PORT more than 6 weeks postoperatively (n=41,291).

Months								
# at Risk	0	12	24	36	48	60	72	84
PORT 6 weeks	18462	16438	13517	10527	8017	5772	3878	2453
PORT > 6 weeks	22829	19727	15035	11152	8242	5816	3801	2313

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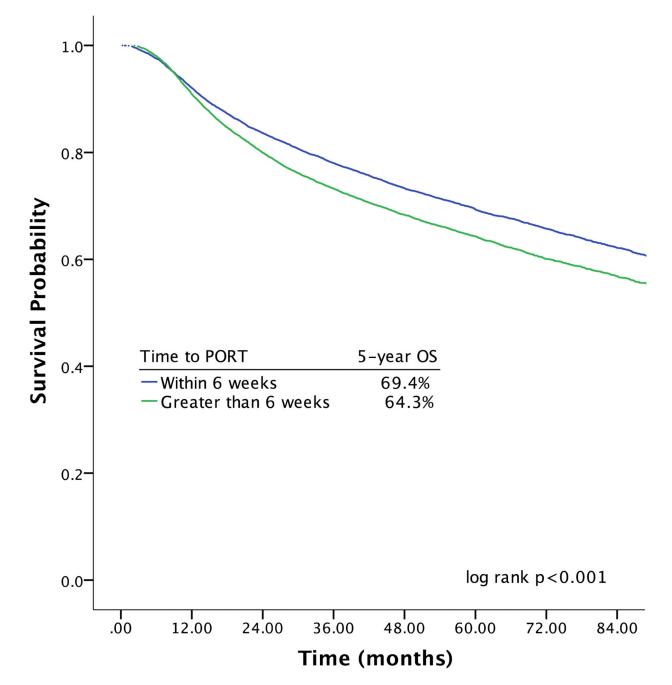


Figure 2.

Legend: Kaplan-Meier estimates of overall survival (OS) in the propensity score matched subset analysis (n=29,910) demonstrating the effect of NCCN Guideline-adherent initiation of PORT within 6 weeks of surgery versus non-Guideline adherent care initiating PORT more than 6 weeks postoperatively.

Months								
# at Risk	0	12	24	36	48	60	72	84
PORT 6 weeks	14951	13207	10732	8263	6229	4481	3000	1895
PORT > 6 weeks	14951	13151	10292	7789	5815	4161	2732	1677

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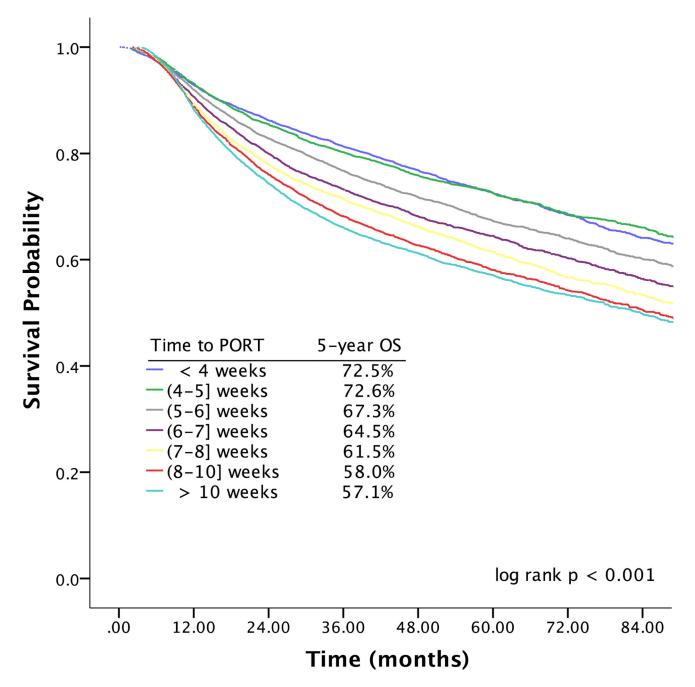


Figure 3.

Legend: Kaplan-Meier estimates of overall survival (OS) demonstrating the impact of increasing time to initiation of PORT (n=41,291). Each PORT time interval is not inclusive of the lower bound and is inclusive of the upper bound.

Months								
# at Risk	0	12	24	36	48	60	72	84
PORT 4 weeks	6494	5794	4863	3878	3018	2186	1487	940
PORT 4 to 5 weeks	5635	5019	4134	3203	2458	1792	1173	745
PORT 5 to 6 weeks	6333	5625	4520	3446	2541	1794	1218	768
PORT 6 to 7 weeks	6015	5270	4137	3080	2279	1651	1085	682
PORT 7 to 8 weeks	4685	4078	3107	2306	1686	1182	727	470
PORT 8 to 10 weeks	5515	4724	3538	2605	1910	1319	866	515
PORT > 10 weeks	6614	5655	4253	3166	2366	1664	1073	640

Abbreviations: PORT = postoperative radiation therapy

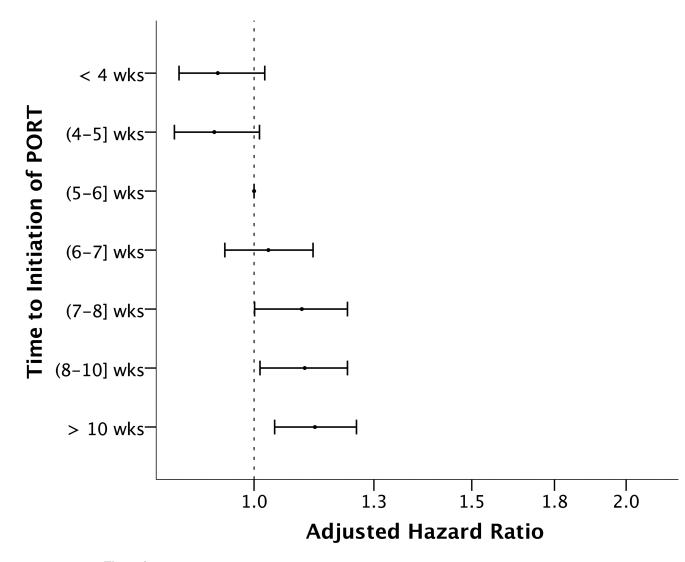


Figure 4.

Legend: Effect of changing time to initiation of postoperative radiation therapy (PORT) on overall survival after multivariable Cox proportional hazards analysis compared to starting adjuvant therapy between 5–6 weeks after surgery (n=41,291). Estimated hazard ratios are shown by black circles; horizontal lines represent 99% confidence intervals. Each PORT time interval is not inclusive of the lower bound and is inclusive of the upper bound. Analyses are adjusted for age, race, sex, insurance, income, Charlson/Deyo comorbidity score, primary site, AJCC pathologic stage grouping, concurrent chemotherapy, radiation modality, radiation dose, and duration of radiation.

Table 1

Demographic, Clinicopathologic, and Treatment Characteristics

	Total Patients (n=41,291)	Initiation of PORT 6 weeks (n=18,462)	Initiation of PORT > 6 weeks (n=22,829)	P value
Variable	# (%)	# (%)	# (%)	
Age (years)				
<50	8474 (20.5)	3815 (20.7)	4659 (20.4)	
50–59	14569 (35.3)	6323 (34.2)	8246 (36.1)	< 0.001
60–69	11195 (27.1)	4977 (27.0)	6218 (27.2)	
70	7053 (17.1)	3347 (18.1)	3706 (16.2)	
Gender				
Male	31194 (75.5)	14378 (77.9)	16816 (73.7)	< 0.001
Female	10097 (24.5)	4084 (22.1)	6013 (26.3)	
Race				
White	36234 (87.8)	16608 (90.0)	19626 (86.0)	<0.001
Black	3556 (8.6)	1279 (6.9)	2277 (10.0)	<0.001
Other/Unknown	1501 (3.6)	575 (3.1)	926 (4.1)	
Insurance Type				
Private	20292 (49.1)	9971 (54.0)	10321 (45.2)	.0.001
Medicare	13231 (32.0)	5884 (31.9)	7347 (32.2)	
Medicaid	4056 (9.8)	1240 (6.7)	2816 (12.3)	< 0.001
Uninsured	2236 (5.4)	780 (4.2)	1456 (6.4)	
Other/Unknown	1476 (3.6)	587 (3.1)	889 (2.3)	
Education				
Highest Quartile	9153 (22.2)	4565 (24.7)	4588 (20.1)	
2nd Highest Quartile	13607 (33.0)	6136 (33.2)	7471 (32.7)	.0.001
2nd Lowest Quartile	11096 (26.9)	4812 (26.1)	6284 (27.5)	< 0.001
Lowest Quartile	7022 (17.0)	2787 (15.1)	4235 (18.6)	
Unknown	413 (1.0)	162 (0.9)	251 (1.1)	
Median Household Income				
Highest Quartile	11958 (29.0)	5667 (30.7)	6291 (27.6)	
2 nd Highest Quartile	11069 (26.8)	5023 (27.2)	6046 (26.5)	
2nd Lowest Quartile	10235 (24.8)	4511 (24.4)	5724 (25.1)	< 0.001
Lowest Quartile	7589 (18.4)	3087 (16.7)	4502 (19.7)	
Unknown	440 (1.1)	174 (0.9)	266 (1.2)	
Charlson/Deyo Comorbidity Score				
0	32726 (79.3)	14974 (81.1)	17752 (77.8)	< 0.001
1	6788 (16.4)	2794 (15.1)	3994 (17.5)	

	Total Patients (n=41,291)	Initiation of PORT 6 weeks (n=18,462)	Initiation of PORT > 6 weeks (n=22,829)	P value
Variable	# (%)	# (%)	# (%)	
2	1777 (4.3)	694 (3.8)	1083 (4.7)	
Cancer Primary Site				
Oral Cavity	13007 (31.5)	3754 (20.3)	9253 (40.5)	
Oropharynx	17158 (41.6)	8866 (48.0)	8292 (35.3)	< 0.001
Hypopharynx	1093 (2.6)	397 (2.2)	696 (3.0)	
Larynx	10033 (24.3)	5445 (29.5)	4588 (20.1)	
AJCC Clinical Stage Grouping				
Ι	5387 (13.0)	3304 (17.9)	2083 (9.1)	
II	5029 (12.2)	2336 (12.7)	2693 (11.8)	< 0.00
III	6700 (16.2)	2958 (16.0)	3742 (16.4)	<0.00
IV	15531(37.6)	6127 (33.2)	9404 (41.2)	
Unknown	8644(20.9)	3737 (20.2)	4907 (21.5)	
AJCC Pathologic Stage Grouping				
Ι	2766 (6.7)	1621 (8.8)	1145 (5.0)	
II	2922 (7.1)	1281 (6.9)	1641 (7.2)	<0.001
III	5483 (13.3)	2277 (12.3)	3206 (14.0)	
IV	18083 (43.8)	6388 (34.6)	11695 (51.2)	
Unknown	12037 (29.2)	6895 (37.3)	5142 (22.5)	
Surgical Margins				
Negative	24470 (59.3)	9461 (51.2)	15009 (65.7)	0.00
Positive	10362 (25.1)	4991 (27.0)	5371 (23.5)	<0.00
Unknown	6459 (15.6)	4010 (21.7)	2449 (10.7)	
# of Lymph Nodes Removed				
<18	7001 (17.0)	3050 (16.5)	3951 (17.3)	0.00
18	17714 (42.9)	5620 (30.4)	12094 (53.0)	<0.00
Unknown	16576 (40.1)	9792 (53.0)	6784 (29.7)	
30-Day Hospital Readmission				
None	37027 (89.7)	16785 (90.9)	20242 (88.7)	
Unplanned	1196 (2.9)	420 (2.3)	776 (3.4)	< 0.00
Planned	1129 (2.7)	483 (2.6)	646 (2.8)	
Unknown	1939 (4.7)	774 (4.2)	1165 (5.1)	
Radiation Modality*				
External Beam	18301 (44.3)	8657 (46.9)	9644 (42.2)	0.000
IMRT	21426 (51.9)	8972 (48.6)	12454 (54.6)	< 0.00
3DCT	1511 (3.7)	825 (4.5)	686 (3.0)	

	Total Patients (n=41,291)	Initiation of PORT 6 weeks (n=18,462)	Initiation of PORT > 6 weeks (n=22,829)	P value	
Variable	# (%)	# (%)	# (%)		
Concurrent Chemoradiation					
No	19035 (46.1)	8487 (46.0)	10548 (46.2)	701	
Yes	21876 (53.0)	9798 (53.1)	12078 (52.9)	.701	
Unknown	380 (0.9)	177 (1.0)	203 (0.9)		
Radiation Dose (Gy)					
< 40	2120 (5.1)	769 (4.2)	1351 (5.9)		
40–59.9	10915 (26.4)	4568 (24.7)	6347 (27.8)		
60–66	16780 (40.6)	7094 (38.4)	9686 (42.4)	< 0.00	
>66	8270 (20.0)	4618 (25.0)	3652 (16.0)		
Unknown	3206 (7.8)	1413 (7.7)	1793 (7.9)		
Radiation Treatment Duration (Days)					
1–35	2528 (6.1)	766 (4.1)	1762 (7.7)	<0.001	
36–42	5261 (12.7)	2471 (13.4)	2790 (12.2)		
43–49	15179 (36.8)	6686 (36.2)	8493 (37.2)		
50-63	14220 (34.4)	6765 (36.6)	7455 (32.7)		
64	4103 (9.9)	1774 (9.6)	2329 (10.2)		
Treatment Facility Type					
Community	3571 (8.6)	1855 (10.0)	716 (7.5)		
Comprehensive Community	14561 (35.3)	7372 (39.9)	7189 (31.5)		
Academic	17842 (43.2)	6281 (36.9)	11021 (48.3)	< 0.00	
Integrated Network	4078 (9.9)	1853 (10.0)	2225 (9.7)		
Other/Unknown	1239 (3.0)	561 (3.0)	678 (3.0)		
# of Treatment Facilities					
1 CoC Facility	8974 (21.7)	4099 (22.2)	4875 (21.4)		
> 1 CoC Facility	9970 (24.1)	4108 (22.3)	5862 (25.7)	< 0.00	
Unknown	22347 (54.1)	10255 (55.5)	12092 (53.0)		
Surgery and Radiation at Same Facility					
Yes	20317 (49.2)	9693 (52.5)	10624 (46.5)	< 0.00	
No	20974 (50.8)	8769 (47.5)	12205 (53.5)		
Region of United States					
East	7838 (19.0)	3102 (16.8)	4736 (20.7)		
Central	11912 (28.8)	5660 (30.7)	6252 (27.4)		
South	14340 (34.7)	6551 (35.5)	7789 (34.1)	< 0.00	
West	5962 (14.4)	2588 (14.0)	3374 (14.8)		
Unknown	1239 (3.0)	561 (3.0)	678 (3.0)		

Abbreviations: PORT = postoperative radiation therapy, AJCC = American Joint Committee on Cancer, IMRT = intensity-modulated radiation therapy, <math>3DCT = 3D Conformal Therapy, Gy = Gray, CoC = Commission on Cancer

* Certain rows/columns may not sum to the total in cases where one of the categorical variables has a cell size < 10 to protect patient identity per NCDB policy.

Table 2

Effect of Initiating PORT Within 6 Weeks of Surgery on Overall Survival: Univariable and Multivariable Cox Proportional Hazards Models

	Univariable Analysis	Multivariable Analysis
Patient Variable	Hazard Ratio (99% CI)	Adjusted Hazard Ratio (99% CI
Initiation of PORT > 6 Weeks	1.48 (1.41–1.55)	1.13 (1.08–1.19)
Age (years)		
<50	1 (Ref)	1 (Ref)
50–59	1.23 (1.15–1.32)	1.21 (1.12–1.30)
60–69	1.62 (1.51–1.74)	1.37 (1.26–1.48)
70	2.67 (2.48–2.87)	1.99 (1.82–2.18)
Female Gender	1.17 (1.11–1.23)	0.92 (0.87–0.97)
Race		
White	1 (Ref)	1 (Ref)
Black	1.44 (1.34–1.55)	1.11 (1.02–1.19)
Other	1.05 (0.93–1.19)	0.93 (0.82–1.05)
Insurance Type		
Private	1 (Ref)	1 (Ref)
Medicare	2.39 (2.27–2.52)	1.62 (1.50–1.75)
Medicaid	2.32 (2.16-2.50)	1.47 (1.38–1.57)
Uninsured	1.79 (1.62–1.98)	1.40 (1.20–1.64)
Other	1.76 (1.50–2.05)	1.32 (1.10–1.60)
Education		
Highest Quartile	1 (Ref)	
2 nd Highest Quartile	1.20 (1.12–1.28)	a
2nd Lowest Quartile	1.37 (1.29–1.47)	
Lowest Quartile	1.53 (1.42–1.65)	
Median Household Income		
Highest Quartile	1 (Ref)	1 (Ref)
2nd Highest Quartile	1.24 (1.16–1.32)	1.11 (1.04–1.18)
2nd Lowest Quartile	1.36 (1.28–1.45)	1.15 (1.07–1.22)
Lowest Quartile	1.62 (1.51–1.73)	1.24 (1.15–1.32)
Charlson/Deyo Comorbidity Score		
0	1 (Ref)	1 (Ref)
1	1.50 (1.42–1.59)	1.21 (1.14–1.28)
2	2.26 (2.07-2.46)	1.70 (1.56–1.86)

	Univariable Analysis	Multivariable Analysis	
Patient Variable	Hazard Ratio (99% CI)	Adjusted Hazard Ratio (99% C	
Oral Cavity	1 (Ref)	1 (Ref)	
Oropharynx	0.33 (0.31–0.34)	0.37 (0.35–0.40)	
Hypopharynx	1.22 (1.09–1.36)	1.02 (0.91–1.14)	
Larynx	0.71 (0.67–0.74)	0.73 (0.69–0.78)	
AJCC Clinical Stage Grouping			
Ι	1 (Ref)		
II	1.55 (1.41–1.70)	a	
III	1.41 (1.29–1.54)		
IV	1.69 (1.56–1.83)		
AJCC Pathologic Stage Grouping			
Ι	1 (Ref)	1 (Ref)	
II	1.33 (1.17–1.52)	1.27 (1.11–1.45)	
III	1.29 (1.15–1.46)	1.44 (1.27–1.62)	
IV	1.87 (1.68–2.08)	1.93 (1.73–2.15)	
Positive Surgical Margins	1.03 (0.98–1.09)	a	
18 Lymph Nodes Removed	0.97 (0.96–0.97)	a	
30-Day Hospital Readmission			
None	1 (Ref)	a	
Unplanned	1.40 (1.24–1.58)		
Planned	0.89 (0.77–1.03)		
Radiation Modality			
External Beam	1 (Ref)	1 (Ref)	
IMRT	0.90 (0.86-0.94)	1.07 (1.02–1.13)	
3DCT	1.38 (1.23–1.56)	1.03 (0.92–1.16)	
Concurrent Chemoradiation	0.95 (0.91–1.00)	1.20 (1.14–1.26)	
Radiation Dose (Gy)			
60–66	1 (Ref)	1 (Ref)	
< 40	2.20 (2.02-2.40)	1.66 (1.51–1.82)	
40–59.9	1.18 (1.11–1.25)	1.14 (1.07–1.20)	
>66	0.89 (0.84–0.95)	1.15 (1.05–1.26)	
Radiation Treatment Duration (Days)			
43–49	1 (Ref)	1 (Ref)	
1–35	2.33 (2.15–2.53)	1.72 (1.57–1.89)	
36–42	0.91 (0.84–0.99)	0.93 (0.86–1.01)	
50-63	1.13 (1.07–1.19)	1.19 (1.13-1.26)	

	Univariable Analysis	Multivariable Analysis
Patient Variable	Hazard Ratio (99% CI)	Adjusted Hazard Ratio (99% CI)
>64	1.59 (1.48–0.1.71)	1.46 (1.35–1.58)
Treatment Facility Type		
Community	1 (Ref)	
Comprehensive Community	0.95 (0.87-1.03)	a
Academic	1.05 (0.97–1.14)	
Integrated Network	1.04 (0.93–1.15)	
Treatment at >1 CoC Facility	0.98 (0.91–1.05)	a
Surgery and PORT at Different Facilities	1.07 (1.03–1.12)	a
Region of United States		
East	1 (Ref)	
Central	1.05 (0.98–1.12)	<i>a</i>
South	1.12 (1.05–1.20)	
West	0.93 (0.86–1.01)	

Abbreviations: PORT = postoperative radiation therapy, CI = confidence interval. Ref = reference, AJCC = American Joint Committee on Cancer, IMRT = intensity-modulated radiation therapy, <math>3DCT = 3D Conformal Therapy, Gy = Gray, CoC = Commission on Cancer.

^aDropped out of final multivariable model

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