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Reliability and Utility of the Surprise Question in CKD Stages 4–5

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Supplementary Material

Table S1: Variables of interest.

Table S2: Frequencies of trinary and binary surprise question responses.

Table S3: Baseline characteristics stratified by binary surprise question response.

Table S4: Increase in model fit gained from each respective variable in Cox model after adjustments.

Figure S1: Surprise question collection instrument.

Note: The supplementary material accompanying this article (doi:_____) is available at www.ajkd.org

Supplementary Material Descriptive Text for Online Delivery

Supplementary Table S1 (PDF). Variables of interest.

Supplementary Table S2 (PDF). Frequencies of trinary and binary surprise question responses.

Supplementary Table S3 (PDF). Baseline characteristics stratified by binary surprise question response.

Supplementary Table S4 (PDF). Increase in model fit gained from each respective variable in Cox model after adjustments.

Supplementary Figure S1 (PDF). Surprise question collection instrument.

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Abstract

Background—Prognostic uncertainty is one barrier to engaging in goals-of-care discussions in chronic kidney disease (CKD). The surprise question (“Would you be surprised if this patient died in the next 12 months?”) is a tool to assist in prognostication. However, it has not been studied in non-dialysis-dependent CKD and its reliability is unknown.

Study Design—Observational study

Setting & Participants—388 patients at least 60 years of age, with non-dialysis-dependent CKD stages 4–5, who were seen at an outpatient nephrology clinic.

Predictor—Trinary (i.e., ‘Yes’, ‘Neutral’, ‘No’) and binary (‘Yes’, ‘No’) surprise question response.

Outcomes—Mortality, test-retest reliability, and blinded inter-rater reliability

Measurements—Baseline comorbidities, Charlson comorbidity index, cause of CKD, and baseline laboratory values (i.e., serum creatinine/estimated glomerular filtration rate, serum albumin, and hemoglobin).

Results—The median patient age was 71 years with median follow-up of 1.4 years, during which time 52 (13%) patients died. Using the trinary surprise question, providers responded ‘Yes’, ‘Neutral’, and ‘No’ for 202 (52%), 80 (21%), 106 (27%) patients, respectively. About 5%, 15%, and 27% of ‘Yes’, ‘Neutral’, and ‘No’ patients died, respectively ($p < 0.001$). The trinary surprise question inter-rater reliability was 0.58 (95% CI, 0.42–0.72) and the test-retest reliability was 0.63 (95% CI, 0.54–0.72). The trinary surprise question ‘No’ response had a sensitivity and specificity of 55% and 76%, respectively (95% CIs, 38%–71% and 71%–80%, respectively). The binary surprise question had a sensitivity of 66% (95% CI, 49%–80%; $p = 0.3$ vs trinary) but a lower specificity of 68% (95% CI, 63%–73%; $p = 0.02$ vs trinary).

Limitations—Single center, small number of deaths.

Conclusions—The surprise question associates with mortality in CKD stages 4–5 and demonstrates moderate to good reliability. Future studies should examine how best to deploy the surprise question to facilitate advance care planning in advanced non-dialysis-dependent CKD.

Keywords

Chronic kidney disease (CKD); non-dialysis-dependent CKD; advanced CKD; subjective health measure; clinical prediction; survival; mortality; mortality risk prediction; reliability; clinical trajectory; prognostication; end-of-life preferences; Advance planning; Goals-of-care discussions; geriatric; nephrology provider

Millions of older patients with chronic kidney disease (CKD)^{1,2} have multiple comorbidities, significant symptoms, and decrements in quality of life, independence, cognition, and survival.^{2–10} A significant number of these patients may not gain meaningful survival or quality-of-life benefit with dialysis initiation. Nephrologists spend considerable time preparing patients for progression to end-stage renal disease (ESRD); however,

relatively few engage in discussions of prognosis, goals of care, or advance care planning.^{11–15} These deficiencies contribute to poor patient awareness of their potential clinical trajectories and the range of available resources and treatment options near the end of life.^{11–16} These gaps may also result in the delivery of aggressive life-prolonging care that frequently misaligns with patients' values and preferences.^{13,15,17–19}

Prognostic uncertainty is one barrier to initiating conversations regarding goals of care in older patients with advanced CKD.^{11,20} One pragmatic provider-based tool to assist in prognostication is the surprise question: “Would you be surprised if this patient died in the next 12 months?”^{21,22} The surprise question is a subjective health measure that can identify patients at increased risk of death, similar to patient-based subjective health measures.^{21,23–26} A key benefit of the surprise question is that it efficiently captures providers' global assessments of functional capacity and severity of comorbidities, and has been shown to help identify general population, cancer, and ESRD patients who could benefit from shared decision-making and additional support or palliative care services.^{22,26–29} Despite a clear need for improved mortality risk prediction in advanced CKD, tools like the surprise question have not been studied in this population. Furthermore, some providers have expressed skepticism regarding the scant characterization of its reliability.^{30,31}

We hypothesized that the surprise question would be an effective predictor of mortality in older patients with advanced non-dialysis-dependent CKD and demonstrate acceptable inter-rater and test-retest reliability. To test these hypotheses, we examined the reliability of the surprise question and its association with 12-month mortality in patients aged 60 years or older with non-dialysis-dependent CKD stages 4–5. We also examined whether provider characteristics modified the association between the surprise question and mortality.

Methods

Study Setting and Participants

As part of a larger observational, prospective multicenter study examining mortality in older (age 60 years or older) adults with advanced non-dialysis-dependent CKD stages 4–5, a total of 388 patients were enrolled at an academic, hospital-based ambulatory nephrology clinic from June 2014 through January 2015. Patient eligibility criteria were age 60 years or older and CKD stages 4–5, defined as a most recent estimated glomerular filtration rate (eGFR) < 30 ml/min/1.73m² and a median eGFR by the MDRD (Modification of Diet in Renal Disease) Study equation of < 30 ml/min/1.73m² for values within 180 days of the office visit. Exclusion criteria were dialysis dependence, history of kidney transplantation, new patient appointment/initial visit, or eGFR ≥ 60 ml/min/1.73m² within the prior 12 months or eGFR ≥ 40 ml/min/1.73m² within the prior 4 months (i.e., possible acute kidney injury). Because the study included a provider-based subjective health measure, we excluded visits where providers evaluated a patient for the first time in order to allow them to gain additional familiarity with their patients. Eligible patients were independently identified through daily reviews of the nephrology clinic schedule by members of the research team.

We approached all nephrology providers with an outpatient nephrology continuity clinic to participate in the study. Providers included nephrology faculty, advanced practitioners (who see CKD outpatients independently), and nephrology fellows who provided outpatient CKD care. Faculty or fellows who were leaving the department within 6 months were excluded. All providers gave written informed consent and completed a questionnaire of provider characteristics including age, level of training, medical school country, years since completion of specialty training, proportion of time spent in clinical care, and number of half-day outpatient continuity clinics per week. The Vanderbilt University Institutional Review Board approved this study including a waiver of patient informed consent (#140402).

Surprise Question Assessment

We conducted a brief didactic session to orient providers to the surprise question. For all patients, we used the previously described binary response options (i.e., ‘Surprised’, ‘Not surprised’) as well as a 5-point Likert scale to capture the degree of provider surprise (i.e., How surprised would you be if this patient died in the next 12-months?; Figure S1, available as online supplementary material). Due to provider feedback regarding redundancy of the 5-point scale early in the study, it was collapsed to a trinary scale after responses were collected. The 5-point scale was transformed in the following manner: responses of ‘4’ or ‘5’ were categorized as ‘Surprised’, a response of ‘3’ was categorized as ‘Neutral’, and responses of ‘1’ or ‘2’ were categorized as ‘Not Surprised’. Providers were discretely asked the surprise question (i.e., the 5-point Likert scale and binary versions simultaneously) immediately following an eligible patient’s office visit and responses were recorded on a paper form (Figure S1).

To assess inter-rater reliability, we captured separate assessments from nephrology fellows and faculty who were jointly caring for outpatients. When a fellow and faculty pair saw a patient at the same office visit, research staff ensured that respondents were blinded to the other’s responses. In addition, fellows’ responses were obtained immediately after they saw patients (prior to discussing the case with faculty) to minimize potential contamination. To assess test-retest reliability, we repeated the surprise question with the same provider-patient pairing at the next follow-up visit (generally, 2–4 months later). For test-retest reliability assessments, we excluded patients with documented intervening events that could have influenced the provider’s updated responses (e.g., emergency room visit, hospitalization, new diagnosis or worsening disease status, initiation of dialysis, or severe illness/death of a close family member). The vast majority of test-retest exclusions were for intervening emergency room visits and hospitalizations.

Covariates

We performed manual chart reviews to obtain baseline variables including sociodemographics, comorbidities, common clinical measurements including laboratory values, imaging tests, and non-elective hospitalizations within the prior 12 months (Table S1). We reviewed clinical notes; problem lists; past medical, surgical, and social history; and scanned documents for information. The local electronic health record (EHR) also allows for

key word searches, which we used to augment our findings using a published list of key word search terms³² that were supplemented with additional terms for disease states.

To ensure standardized chart review, abstracters were trained using a structured data abstraction form that included search terms and common abbreviations and acronyms. All charts were abstracted by at least 2 non-nephrologist physicians (A.D.J., R.F., or H.S.) and 1 nephrologist (R.M. or K.A.). Discrepancies were adjudicated through consensus. All data were double entered into a REDCap database.³³

The data were generally complete for those variables included in the analysis. A total of 23 patients (6% of patients) were missing baseline serum albumin, 2 patients were missing race, and another 2 patients were missing baseline hemoglobin. Overall, 361 patients (93%) had complete data, and 386 patients (99%) had at most one missing variable.

Outcome

Vital status and date of death were determined through linkage with the Social Security Death Master File. To ensure all deaths were captured, EHR chart review and obituary searches were also performed for all patients. Death status and date of death are updated in the EHR based on deaths at affiliated health facilities within the health network. Bereaved family communications are also captured. In the rare cases when survival status and/or date of death remained uncertain, the Tennessee Department of Health Vital Records Office was queried to provide verification on vital status (www.tn.gov/health/article/vr-genealogy). Vital status abstractors were blinded to surprise question responses.

Statistical Analysis

Sociodemographic characteristics, comorbidities, clinical measurements, and laboratory values were described using standard descriptive statistics. The association of first surprise question response (from a faculty or advanced practitioner) and death was examined using time-to-event analyses. A Cox proportional hazards (CPH) regression analysis was used to obtain hazards adjusted for age, gender, and race. To allow for a potentially non-linear effect of age, the variable was included in the model as a restricted cubic spline with three knots. To describe the utility of the surprise question in modeling mortality, we compared the gain in model fit from adding an *a priori* variable of interest to the age-, gender-, and race-adjusted CPH model. Specifically, we measured improved model fit by calculating the improvement in a measure of predictive discrimination known as the g-index or the Gini mean difference of hazards.³⁴ We calculated 95% confidence intervals (CIs) by implementing the bootstrap (1,000 draws) with the percentile method. The variables of interest were identified from the CKD literature.^{9,21,24,25,35-47} Because the baseline acuity of patients may not be uniform among providers, we also tested the provider effect in the mortality model. For the few covariates with missing values, we estimated the CPH model using multiple imputation by chained equations (10 imputations).⁴⁸

To assess inter-rater reliability, we calculated Krippendorff's alpha and calculated its CI with bootstrapping (M=500 re-samples). To aid in interpretation of the agreement measure, we performed a simulation study by generating data similar in size (number of patients and number of providers) to the data collected for the binary and trinary surprise questions in

this study. By varying the probability of agreement, we were able to show how alpha relates to the probability of agreement. To assess test-retest reliability we fit a mixed effects model in which second visit scores were predicted from first visit scores. A random effect for individual providers was included to control for individual provider optimism/pessimism. The scores were scaled so that the resulting regression estimate was a correlation parameter. As a sensitivity analysis, a weighted average of the provider-specific Pearson correlation was used to assess test-retest reliability. We subjectively defined moderate inter-rater and test-retest reliability as values between 0.4–0.6 and good as values between 0.6–0.8.^{49–51} All analyses used two-sided P values <0.05 for significance. Analyses were performed using R statistical software, version 3.3.0.⁵²

Results

Patient Characteristics

For the 388 patients, the median age was 71 years, 50% were female, 15% were African-American, and 51% had diabetes with microvascular complications (Table 1). Providers responded ‘Yes’, ‘Neutral’, and ‘No’ to the trinary version of the surprise question for 202 (52%), 80 (21%), and 106 (27%) participants, respectively (Table S2). Patients who received a ‘No’ response were older and had more vascular disease and comorbidities as well as lower eGFR, serum albumin, and serum hemoglobin than their ‘Yes’ counterparts (Table 1). Using the binary surprise question, providers responded ‘No’ for 137 (35%) patients. These participants were similarly older and had more vascular disease and comorbidities than their ‘Yes’ counterparts (Table S3).

Provider Characteristics

All 28 eligible providers enrolled in the study. A total of 11 nephrology attending physicians, 1 nurse practitioner, 10 second and third year nephrology fellows, and 6 first year nephrology fellows (Table 2) assessed the 388 patients.

Surprise Question Performance

During a median follow-up of 1.5 (interquartile range [IQR], 1.2–1.6) years, 52 (13.4%) patients died. Using the trinary surprise question, 5%, 15%, and 27% of the surprise question ‘Yes’, ‘Neutral’, and ‘No’ groups died, respectively ($p < 0.001$). The surprise question response was associated with survival (Figure 1), and this association was not significantly modified by provider characteristics ($p = 0.2$) nor the length of the patient-provider relationship ($p = 0.2$). At 1 year, the trinary surprise question ‘No’ response had a sensitivity of 55% (95% CI, 38%-71%) and a specificity of 76% (95% CI, 71%-80%).

The binary surprise question performed similarly, with 8% and 24% of ‘Yes’ and ‘No’ respondents dying, respectively ($p < 0.001$). At 1 year, the binary surprise question ‘No’ response had a sensitivity of 66% (95% CI, 49%-80%; $p = 0.3$ versus trinary) and a specificity of 68% (95% CI, 63%-73%; $p = 0.02$ versus trinary).

In CPH models adjusted for age, gender, and race, the trinary surprise question response remained significantly associated with mortality (hazard ratios of 5.3 [95% CI, 2.5–11.1] for

‘No’ versus ‘Yes’ and 2.6 [95% CI, 1.1–6.2] for ‘Neutral’ versus ‘Yes’). Using the Gini mean difference to determine gain in model fit for the age-, gender-, and race-adjusted CPH mortality model, the trinary surprise question performed at least as well as any traditional predictor of mortality (Table S4). The binary surprise question response performed similarly (hazard ratio, 3.3; 95% CI, 1.8–6.0; Table S4). There was no detectable provider effect ($p = 0.2$).

Reliability

There were 185 patients who were seen by two nephrology providers (attending and fellow) at the same visit. The inter-rater reliability was 0.58 (95% CI, 0.42–0.72) for the trinary surprise question and 0.53 (95% CI, 0.37–0.67) for the binary surprise question (an agreement probability of approximately 0.75–0.77). There were 306 patients who had test-retest assessments performed. The median time between assessments was 3.5 (IQR, 2.3–4.2) months. The mixed effect model test-retest correlation was 0.63 (95% CI, 0.54–0.72) for the trinary surprise question and 0.66 (95% CI, 0.58–0.75) for the binary surprise question. Weighted average estimates of test-retest correlation were largely unchanged for the trinary and binary surprise question (0.60 [95% CI, 0.51–0.70] and 0.65 [95% CI, 0.58–0.75], respectively). Provider characteristics (i.e., age, years since fellowship, percent clinical effort, half-day clinics per week) did not significantly modify the test-retest reliability ($p = 0.2$) and there was no significant interaction between time since last follow-up visit and test-retest reliability ($p = 0.3$).

Discussion

The surprise question associates with mortality in older adults with CKD stages 4–5; patients who received a ‘No’ response died at over 5 times the rate as their ‘Yes’ counterparts (trinary surprise question). Both versions (i.e., trinary and binary) of the surprise question are helpful predictors of death in patients with CKD stages 4–5, comparable to other well-accepted markers of an elevated risk of mortality (e.g., heart failure, serum albumin, and the Charlson Comorbidity Index). Both versions of the surprise question also demonstrated reasonable inter-rater reliability.^{49–51} In a sample of 28 providers including nephrology fellows, physicians’ ratings were identical in approximately 3 of every 4 patients using either version of the surprise question. In addition, the trinary version of the instrument appropriately decreases the number of patients identified as highest-risk (i.e., better specificity) while still capturing a similar number of deaths as the binary instrument (i.e., similar sensitivity).

Subjective health measures associate with mortality in a variety of settings.^{21–23,53} When placed in rank order, provider-based predictions of survival highly correlate with observed rank order patient survival.⁵⁴ However, provider estimates of specific length of survival are often overly optimistic.^{55,54} The surprise question was designed to overcome the optimistic prognostic assessments of most providers^{54,55} by modifying the framing of the survival question.^{21,22} Few studies have been performed in patients with kidney disease, and they have been limited to patients with ESRD. In one study of 822 incident Canadian maintenance dialysis patients, nephrologists were accurate when assigning up to a 50% risk

of death within 6 months.⁵⁶ Moss and colleagues first described the utility of the binary surprise question in patients with ESRD and noted an approximately 30% mortality rate at 1 year for patients in whom the provider would not be surprised if the patient died.²¹ Similarly, in a cohort of about 370 prevalent Hong Kong Chinese peritoneal dialysis patients, 25% of patients with a 'No' response died at 1 year.²⁴

Our findings in an older, prevalent non-dialysis-dependent CKD stages 4–5 cohort extend the potential utility of the surprise question to a much broader patient population,¹ where discussions regarding prognosis may be timelier. A surprise question 'No' response may represent an opportune occasion for renal providers to engage older patients in prognostic discussions to guide treatment decisions for advanced kidney disease and prepare patients for future setbacks. Older patients with CKD stages 4–5 have substantial symptoms, functional impairments, and frequent hospitalizations that contribute to decrements in quality of life and survival.^{8,39,57,58} For patients with limited life expectancy who prioritize the quality of their days, conservative management with a focus on symptom management is a reasonable alternative to dialysis.^{44,59}

For several reasons, the surprise question may also be a useful mechanism to initiate advance care preparatory conversations in older CKD patients whose goals are consistent with dialysis. First, these conversations can be revisited following major health setbacks.⁶⁰ Second, older US patients with CKD stages 4–5 have markedly elevated annual mortality ranging from 12% to 50% depending on age and eGFR.^{2,61} Third, cognitive decline is less likely to represent a hindrance to meaningful goals of care discussions in patients with non-dialysis-dependent CKD compared to ESRD,^{62,63} thus increasing the number of patients who can communicate their values and preferences. Fourth, unlike hemodialysis clinic visits, patient-provider interactions routinely occur in a private setting suitable to discussing sensitive topics.¹⁶ Fifth, CKD stages 4–5 represent a disease transition point with impending, life-altering decisions that should require informed discussions and shared decision making, even more so in high-risk patients.^{13,64,65} Sixth, patients desire conversations regarding end-of-life preferences.^{13,14} Given the high early mortality following dialysis initiation, we believe these conversations should occur earlier. Our study indicates the surprise question can be used to quickly identify patients at significant risk of dying, which may be useful for efficiently prioritizing and targeting those who could benefit from goals-of-care discussions.

Current guidelines on shared decision making and the initiation of dialysis recommend that providers engage in prognostic discussions in patients with CKD stages 4–5 and facilitate advance care planning.^{66,67} Patients with advanced kidney disease receive more aggressive care near the end of life than patients with other chronic illnesses, including death in the hospital and intensive care unit.^{17–19} These disparities may negatively affect patient and bereaved caregiver symptoms and mental health at the end of life.^{68–70} One approach to identify patients and caregivers who could benefit from advance care planning and supportive care would be the use of validated mortality risk prediction models for non-dialysis-dependent CKD.⁷¹ While several potentially helpful models for patients with ESRD have been developed,^{25,72,73} most non-dialysis-dependent CKD models perform suboptimally or are not clinically useful, hindering wide-spread adoption.⁴⁷ Further research

is needed to determine whether the addition of geriatric assessments,⁷⁴ non-disease-specific measures,⁷⁵ and subjective health measures, like the surprise question, can improve identification of patients at high risk of dying in the near future.

Structured variables used to represent comorbid diagnoses in risk prediction models do not adequately capture disease severity, frailty, impact on patient function, or the interaction between psychosocial factors, patient adherence, and clinical course. The surprise question may capture some of these elements. Notably, our provider assessments were completed rapidly and may be helpful in practice settings where patient care is compressed and where the feasibility of implementing real-time multivariable risk prediction is limited. The trinary surprise question also demonstrated a step-wise increase in risk, suggesting providers were effective in ordering patients based on estimated prognosis. This added granularity could be helpful when tailoring pathways for additional risk assessment or interventions according to individualized, estimated baseline risk.⁷¹ Since most CKD patients prefer that providers initiate prognostic discussions and advance care planning,^{13,14} pragmatic, reliable, validated tools are needed to facilitate this process.

Both versions of the surprise question demonstrated moderate to good inter-rater reliability despite the inclusion of fellows and attendings with disparate nephrology experience. Given the inherent subjectivity of the measure and the lack of structured training in prognostication, our findings suggest that providers' clinical intuition is grounded in similar domains. Notably, we asked providers the surprise question immediately upon exiting the clinical encounter to ensure capture of an immediate clinical gestalt. We are not aware of other publications characterizing the reliability of the surprise question.

Our study has several strengths. We excluded patients with acute kidney injury who may exhibit higher mortality, we obtained surprise question assessments immediately after office visits, we ensured providers were blinded to other providers' surprise question assessments, and we rigorously abstracted health information from the medical record to minimize misclassification bias when comparing accepted mortality predictors to the surprise question. Our study also has several limitations. First, this was a single center study; however, our findings were remarkably similar to prior findings in maintenance hemodialysis and peritoneal dialysis patients. Second, although we enrolled nearly 400 patients, the number of observed deaths precluded us from examining the surprise question association with death in a fully adjusted mortality model. Similarly, the number of deaths may have limited our power to detect meaningful interactions (e.g., between provider characteristics and surprise question performance) or to more precisely compare the surprise question to other predictors of mortality. Fourth, our test-retest reliability was conducted over a median of 3.5 months. Subtle changes in patient status that were not documented in the EHR may have occurred during this time. Hence, the test-retest reliability of the surprise question may be higher if repeated responses are collected over substantially shorter periods than in our study. Finally, we attempted to collect surprise question responses in a blinded fashion to minimize contamination while assessing inter-rater reliability; however, nephrology fellows staffing their patient encounters may have influenced subsequent attending responses.

In conclusion, the surprise question demonstrates acceptable reliability and associates with mortality in older adults with non-dialysis-dependent CKD stages 4–5. The trinary surprise question appears to improve specificity while demonstrating similar sensitivity. Future studies are needed to determine the responsiveness of the instrument and to understand whether incorporating the surprise question into clinical practice can improve patient-centered outcomes in those with advanced CKD.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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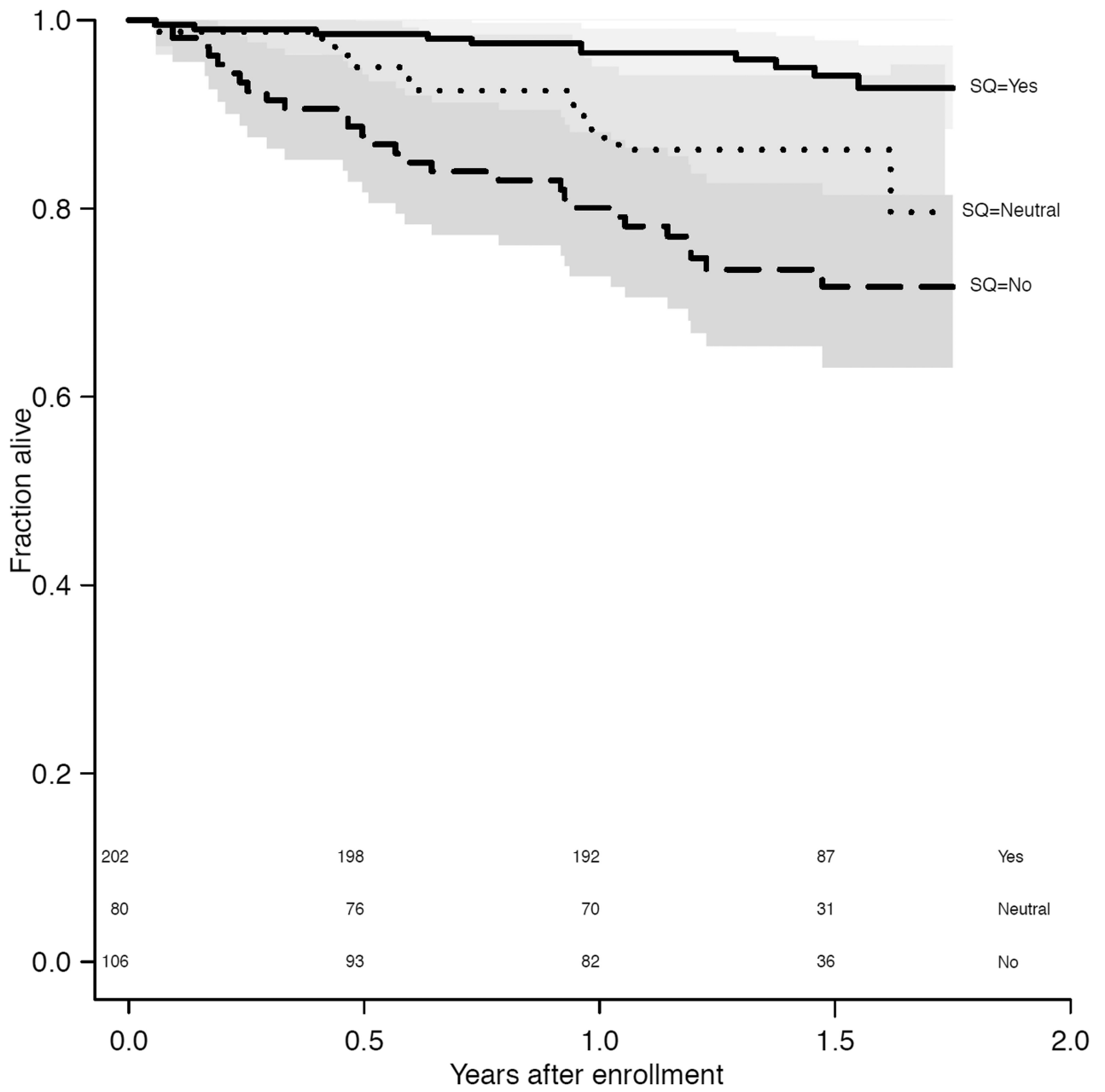
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p-value: <0.001



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p-value: <0.001

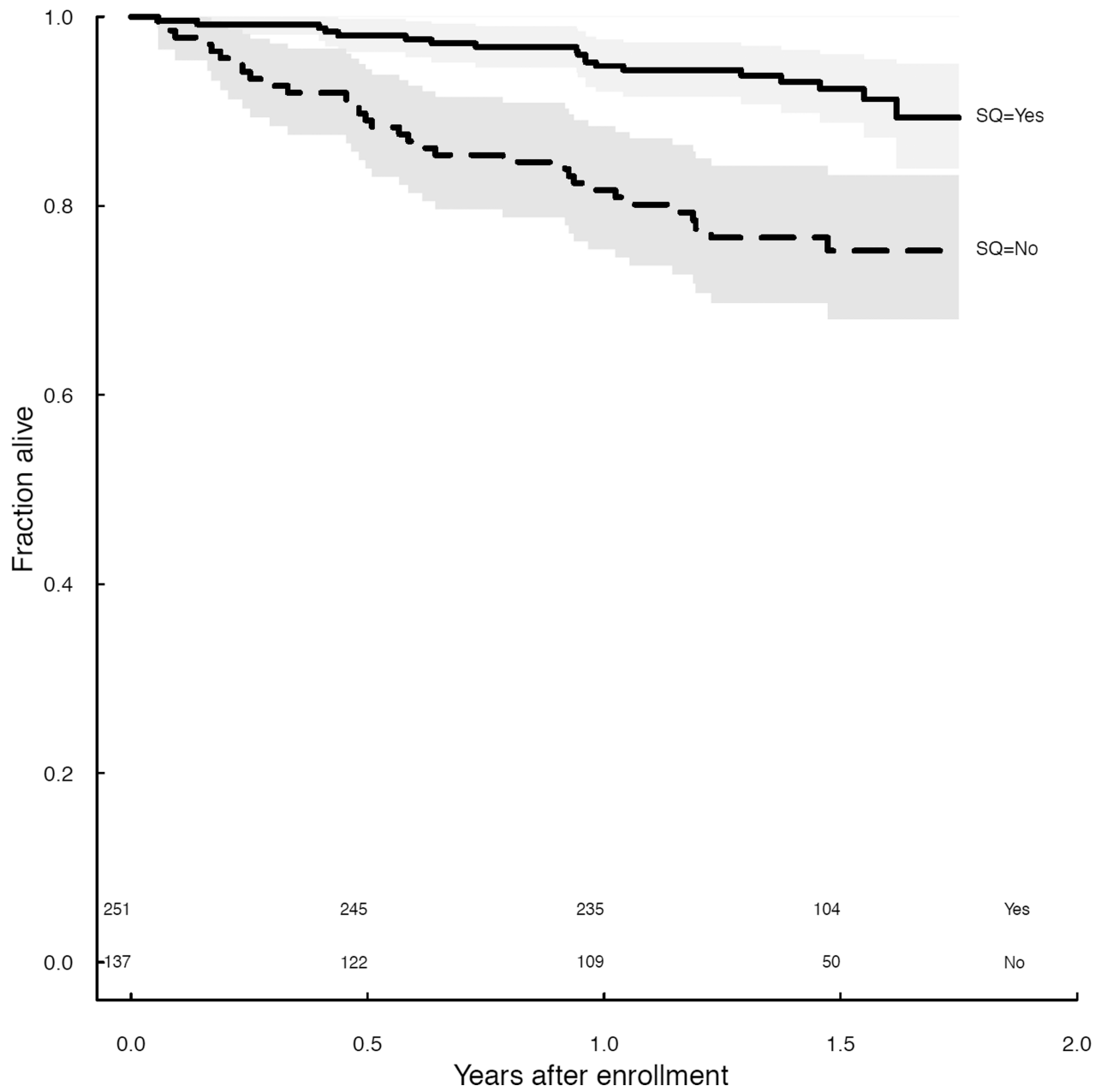


Figure 1.
a. Survival by (a) trinary surprise question response ($p < 0.001$) and (b) binary surprise question response ($p < 0.001$).

Table 1

Baseline characteristics stratified by trinary surprise question response

	Total Cohort (N=388)	'Yes' (n=202)	'Neutral' (n=80)	'No' (n=106)	P-value
Age, y	71 (65–77)	69 (64–74)	74 (65–80)	74 (68–81)	<0.001
Female sex	195 (50)	94 (47)	51 (64)	50 (47)	0.03
Race*					0.8
White	321 (83)	168 (84)	66 (82)	87 (82)	
Black	58 (15)	30 (15)	12 (15)	16 (15)	
Other	7 (2)	2 (1)	2 (3)	3 (3)	
Marital Status*					0.1
Married	238 (62)	132 (66)	41 (51)	65 (62)	
Divorced / Separated	42 (11)	25 (12)	11 (14)	6 (6)	
Widow/Widower	89 (23)	35 (17)	25 (31)	29 (28)	
Single	14 (4)	7 (3)	3 (4)	4 (4)	
Insurance*					0.05
Medicare	312 (80)	150 (74)	71 (89)	91 (86)	
Private	72 (19)	50 (25)	8 (10)	14 (13)	
Medicaid	3 (1)	1 (0)	1 (1)	1 (1)	
CCI	5 (4–6)	5 (3–6)	5 (4–7)	6 (5–8)	<0.001
Time since first visit with provider (mo)	26.3 (8.6–64.9)	28.6 (12.1–62.0)	30.6 (9.8–82.9)	17.2 (6.2–61.1)	0.07
Comorbidities					
HTN	381 (98)	200 (99)	76 (95)	105 (99)	0.06
DM with microvascular	196 (51)	91 (45)	44 (55)	61 (58)	0.2
DM without microvascular	20 (5)	13 (6)	3 (4)	4 (4)	
Dyslipidemia	312 (80)	166 (82)	64 (80)	82 (77)	0.6
CAD	151 (39)	62 (31)	38 (48)	51 (48)	0.002

	Total Cohort (N=388)	'Yes' (n=202)	'Neutral' (n=80)	'No' (n=106)	P-value
CHF	119 (31)	42 (21)	32 (40)	45 (42)	<0.001
Arrhythmia	97 (25)	34 (17)	25 (31)	38 (36)	<0.001
Cerebrovascular disease	88 (23)	32 (16)	26 (32)	30 (28)	0.003
PVD	78 (20)	31 (15)	22 (28)	25 (24)	0.04
Chronic lung disease	87 (22)	38 (19)	18 (22)	31 (29)	0.1
ESLD	17 (4)	2 (1)	6 (8)	9 (8)	0.02
Dementia	23 (6)	4 (2)	5 (6)	14 (13)	<0.001
Active cancer	67 (17)	35 (17)	6 (8)	26 (25)	0.01
Cause of CKD					
Diabetes	183 (47)	85 (42)	42 (52)	56 (53)	0.01
Hypertension	278 (72)	140 (69)	57 (71)	81 (76)	
NSAID nephropathy	31 (8)	19 (9)	6 (8)	6 (6)	
Cardiorenal	30 (8)	7 (3)	6 (8)	17 (16)	
IRD/RAS	30 (8)	13 (6)	7 (9)	10 (9)	
AKI	59 (15)	22 (11)	18 (22)	19 (18)	
Glomerular disease	32 (8)	20 (10)	8 (10)	4 (4)	
ADPKD	9 (2)	8 (4)	1 (1)	0 (0)	
Laboratory tests					
eGFR _{CKD-EPI}	21.3 (15.4–26.5)	22.8 (16.9–27.3)	20.4 (16.0–26.4)	19.5 (14.2–24.8)	0.01
Serum creatinine (mg/dl)	2.5 (2.1–3.3)	2.5 (2.1–3.2)	2.5 (2.1–3.1)	2.8 (2.3–3.7)	0.07
Serum albumin* (g/dl)	4.0 (3.7–4.2)	4.1 (3.9–4.2)	3.9 (3.7–4.1)	3.8 (3.5–4.1)	<0.001
Hemoglobin* (g/dl)	11.4 (10.3–12.6)	11.9 (10.9–12.9)	10.8 (10.0–11.9)	11.1 (9.80–12.4)	<0.001

Note: Values for categorical variables are given as count (percentage); for continuous variables, as median [interquartile range]. Conversion factor for serum creatinine in mg/dL to $\mu\text{mol/L}$, $\times 88.4$.

CCI Charlson Comorbidity Index, CKD-EPI, Chronic Kidney Disease Epidemiology Collaboration; HTN hypertension, DM diabetes, microvascular, microvascular complications, CAD coronary artery disease, CHF congestive heart failure, PVD peripheral vascular disease, ESLD end-stage liver disease, CKD chronic kidney disease, NSAID non-steroidal anti-inflammatory drug, IRD ischemic renal disease, RAS renal artery stenosis, AKI acute kidney injury, ADPKD autosomal dominant polycystic kidney disease, eGFR estimated glomerular filtration rate.

* N = 386 for race and hemoglobin, N=383 for marital status, N=387 for insurance status, N=365 for serum albumin.

Table 2

Baseline provider characteristics

Provider Characteristics	Attending/NP (n = 12)	Fellow (n = 16)
Age, y	48 [39–60]	32 [30–34]
Male sex	7 (58)	10 (62)
Race		
White	10 (83)	9 (56)
Asian	2 (17)	5 (31)
Black/African-American	0 (0)	2 (12)
Years since fellowship	11 [5–29]	NA
Medical school location		
United States	11 (92)	9 (56)
Foreign (Graduate)	1 (8)	7 (44)
Percent clinical effort	75 [51 – 80]	93 [78 – 100]
Weekly half-day clinics		
0.5–1.5	3 (25)	16 (100)
2–4	6 (50)	0 (0)
>4	3 (25)	0 (0)

Note: Values for categorical variables are given as count (percentage); for continuous variables, as median [interquartile range].

Attending, attending physician; NA, not applicable; NP, nurse practitioner