

Associations of Lipoprotein(a) Levels With Incident Atrial Fibrillation and Ischemic Stroke: The ARIC (Atherosclerosis Risk in Communities) Study

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Background—Lipoprotein(a) (Lp[a]) is proatherosclerotic and prothrombotic, causally related to coronary disease, and associated with other cardiovascular diseases. The association of Lp(a) with incident atrial fibrillation (AF) and with ischemic stroke among individuals with AF remains to be elucidated.

Methods and Results—In the community-based ARIC (Atherosclerosis Risk in Communities) study cohort, Lp(a) levels were measured by a Denka Seiken assay at visit 4 (1996–1998). We used multivariable-adjusted Cox models to compare AF and ischemic stroke risk across Lp(a) levels. First, we evaluated incident AF in 9908 participants free of AF at baseline. AF was ascertained by electrocardiography at study visits, hospital *International Statistical Classification of Diseases, 9th Revision (ICD-9)* codes, and death certificates. We then evaluated incident ischemic stroke in 10 127 participants free of stroke at baseline. Stroke was identified by annual phone calls, hospital *ICD-9 Revision* codes, and death certificates. The baseline age was 62.7 ± 5.6 years. Median Lp(a) levels were 13.3 mg/dL (interquartile range, 5.2–39.7 mg/dL). Median follow-up was 13.9 and 15.8 years for AF and stroke, respectively. Lp(a) was not associated with incident AF (hazard ratio, 0.98; 95% confidence interval, 0.82–1.17), comparing those with Lp(a) ≥ 50 with those with Lp(a) < 10 mg/dL. High Lp(a) was associated with a 42% relative increase in stroke risk among participants without AF (hazard ratio, 1.42; 95% confidence interval, 1.07–1.90) but not in those with AF (hazard ratio, 1.06; 95% confidence interval, 0.70–1.61 [*P* interaction for AF=0.25]). There were no interactions by race or sex. No association was found for cardioembolic stroke subtype.

Conclusions—High Lp(a) levels were not associated with incident AF. Lp(a) levels were associated with increased ischemic stroke risk, primarily among individuals without AF but not in those with AF. (*J Am Heart Assoc.* 2017;6:e007372. DOI: 10.1161/JAHA.117.007372.)

Key Words: atrial fibrillation • cardioembolic stroke • epidemiology • lipoprotein • lipoprotein (a) • risk factor • stroke

Lipoprotein(a) (Lp[a]) is a lipoprotein moiety that has similar lipid composition to low-density lipoprotein and contains the distinguishing apolipoprotein(a) covalently bound to apoB-100.¹ Apolipoprotein(a) is structurally homologous to plasminogen² and inhibits tissue factor pathway inhibitor.³ In several cohort studies and meta-analyses, Lp(a) was

associated with an increased incidence of coronary heart disease (CHD) and stroke.^{4–8} Over the past 2 decades, Lp(a) has emerged as an important cardiovascular risk factor.⁹ It has dual mechanisms for promoting cardiovascular disease through both its proatherosclerotic (as a lipoprotein) and prothrombotic (via its similarity to plasminogen) properties. Lp

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Clinical Perspective

What Is New?

- High lipoprotein(a) levels are not associated with incident atrial fibrillation.
- Lipoprotein(a) levels are associated with increased ischemic stroke risk, primarily in patients without atrial fibrillation.

What Are the Clinical Implications?

- Elevated lipoprotein(a) is primarily associated with diseases directly related to atherosclerosis and is not a risk factor for atrial fibrillation.
- The association of lipoprotein(a) with ischemic stroke is primarily driven by thrombotic (atherosclerotic) stroke.

(a) is causally related to CHD in Mendelian randomization studies.¹⁰ Lp(a) has adverse effects on the cardiovascular system outside of atherosclerosis and atherothrombosis, such as calcific aortic sclerosis.¹¹

At this time, however, the link between Lp(a) and atrial fibrillation (AF) is uncertain. In a case-control study from Spain, Lp(a) levels were not associated with AF (n=202).¹² Lp(a) levels were not associated with AF recurrence after electrical cardioversion in a cohort of 79 patients and 2 years of follow-up.¹³ However, prospective associations of Lp(a) levels with incident AF have not been studied to date. Lp(a) levels are associated with atherosclerotic cardiovascular disease, which, in turn, is associated with incident AF.^{14,15} Other markers of atherosclerosis such as coronary calcium score and carotid intima-media thickness have been associated with AF.^{16,17} The role of Lp(a) as an independent risk factor for AF remains to be elucidated.

Blacks have ≈3-fold higher Lp(a) levels compared with whites, and women have higher Lp(a) levels by 15% to 20% compared with men.⁸ Despite higher Lp(a) levels, blacks^{18,19} and women²⁰ have a lower incidence of AF, and little is known on whether race or sex could exhibit effect modification on Lp(a)-related risk. Furthermore, there are limited data on the associations of Lp(a) and the risk of AF-related stroke. In a case-control study, patients with AF and cardioembolic stroke had 2-fold higher Lp(a) levels compared with those with AF and noncardioembolic stroke (n=40).²¹ In patients with AF, Lp(a) levels were independently associated with the presence of left atrial thrombus²² or a recent thromboembolic event²³ (n=150 and n=172). However, prospective associations of Lp(a) levels with incidence of AF-related stroke have not been studied to date.

Our study had two major aims. The primary aim of this study was to evaluate for prospective associations between Lp(a) levels and incident AF and to assess for potential interactions with race and sex. We hypothesized that elevated

Lp(a) levels would be associated with a higher incidence of AF, independently of traditional AF risk factors. We also hypothesized that being black or a woman would attenuate the AF risk conveyed by Lp(a). The secondary aim of our study was to assess for prospective associations of Lp(a) levels and stroke in persons with or without AF. For this secondary aim, we hypothesized that elevated Lp(a) levels would be associated with higher rates of incident ischemic stroke independently of CHA₂DS₂-VASc score and that this association would be stronger in participants with a history of prevalent or incident AF compared with those without AF. The ARIC (Atherosclerosis Risk in Communities) study, a community-based, prospective cohort of individuals with long-term follow-up for both AF and stroke events, is uniquely suited to test our hypotheses given its biracial composition.

Materials and Methods

This analysis is based on data from the ARIC study cohort. The data, analytic methods, and study materials can be made available to other researchers upon request, for purposes of reproducing the results, in accordance with ARIC study policies.²⁴

Study Cohort

The ARIC study is a mostly biracial, community-based, prospective epidemiological trial of cardiovascular disease. A complete description of the study design, objectives, and sampling strategy has been previously described.²⁵ Briefly, the ARIC study enrolled 15 792 participants from 1987 to 1989. Participants were aged 45 to 64 years and came from 4 communities in the United States (Washington County, MD; the suburbs of Minneapolis, MN; Jackson, MS; and Forsyth County, NC). After baseline assessment, participants took part in up to 4 additional examinations (1990–1992, 1993–1995, 1996–1998, and 2011–2013). In addition, ARIC study participants received annual follow-up calls to screen for hospitalizations or major changes in their health status. The ARIC study protocols were approved by the institutional review boards at all ARIC study sites and the Coordinating Center. All participants provided written informed consent at each study visit. For this analysis, we used ARIC visit 4 (1996–1998), the time of Lp(a) measurement, as baseline. Visit 4 included 11 656 participants.

For our primary aim we included all ARIC study participants who were free of prevalent or incident AF occurring before visit 4. Exclusion criteria were: an AF event at or before visit 4 (n=293); missing Lp(a) levels (n=165); racial/ethnic group other than white or black (n=31); blacks from the MN and MD field centers (n=37; low numbers limited our ability to adjust

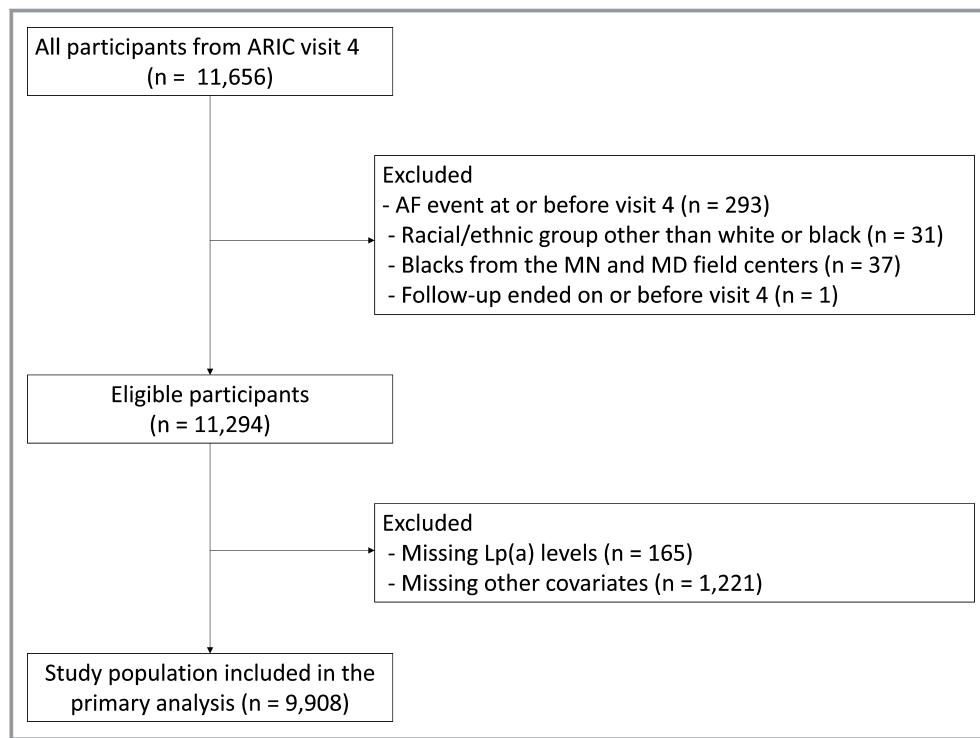


Figure 1. Participant flow diagram for aim 1 (incident atrial fibrillation [AF]). ARIC indicates Atherosclerosis Risk in Communities study; Lp(a), lipoprotein(a).

for their race-center groups); missing covariates (n=1221); or follow-up that ended on or before visit 4 (n=1). After exclusions, 9908 participants were included in our primary analysis for incident AF (43.9% men, 21.6% blacks) (Figure 1).

For our secondary aim, we included all ARIC study participants who were free of prevalent or incident stroke before visit 4. Exclusion criteria were: ischemic stroke at or before visit 4 (n=139); missing Lp(a) levels (n=167); racial/ethnic group other than white or black (n=31); blacks from the MN and MD field centers (n=37); missing covariates (n=1153); or follow-up that ended on or before visit 4 (n=2). After exclusions, we included 10 127 participants (44.1% men, 21.1% blacks) in our secondary analysis for incident stroke (Figure 2).

Lp(a) Measurement

Lp(a) levels were measured in EDTA plasma collected at ARIC study visit 4 using the Denka Seiken assay (Denka Seiken Co). This is a well-validated assay, with good reproducibility (coefficient of variation, 1.26–2.22%), that yields robust results and is generally insensitive to apolipoprotein(a) size heterogeneity.²⁶ As per prior ARIC study analyses,⁸ Lp(a) levels were examined: (1) as a continuous logarithmically transformed variable, and (2) as 5 prespecified Lp(a) categories defined by the arbitrary thresholds of ≤ 10 , >10 to ≤ 20 , >20 to ≤ 30 , >30 to ≤ 50 , and >50 mg/dL.

AF Ascertainment

Our primary outcome was first occurrence of AF (after visit 4) through December 31, 2011. We defined the incidence date of AF as the date for the first ECG showing AF, the first hospital discharge coded as AF, or when AF was listed as a cause of death, whichever occurred earlier. AF was ascertained by 3 different sources: ECGs performed during study visits, hospital discharge *International Statistical Classification of Diseases (ICD)* codes, and death certificates.¹⁹ At each ARIC study visit, a 10-second 12-lead ECG was obtained and transmitted to the ARIC ECG Reading Center for coding, interpretation, and storage. All abnormal ECGs were subsequently adjudicated by a trained cardiologist, and both atrial flutter and AF were coded as AF.²⁷ Hospitalizations were detected with annual follow-up telephone calls and review of local hospital discharges. AF events were identified by the presence of the *ICD, 9th Revision, Clinical Modification* code of 427.31 (AF) or 427.32 (atrial flutter), listed as a discharge diagnostic code at any position. We excluded AF events associated with cardiac surgery. AF events were also identified if *ICD-9* code 427.3 or *ICD-10* code I48 was listed as a cause of death. More than 98% of incident AF cases were identified from hospital discharge codes, and less than 1% of AF cases were identified from death certificates.²⁸ The sensitivity and specificity of incident AF by hospital discharge was evaluated to be over 80% and 97%.¹⁹

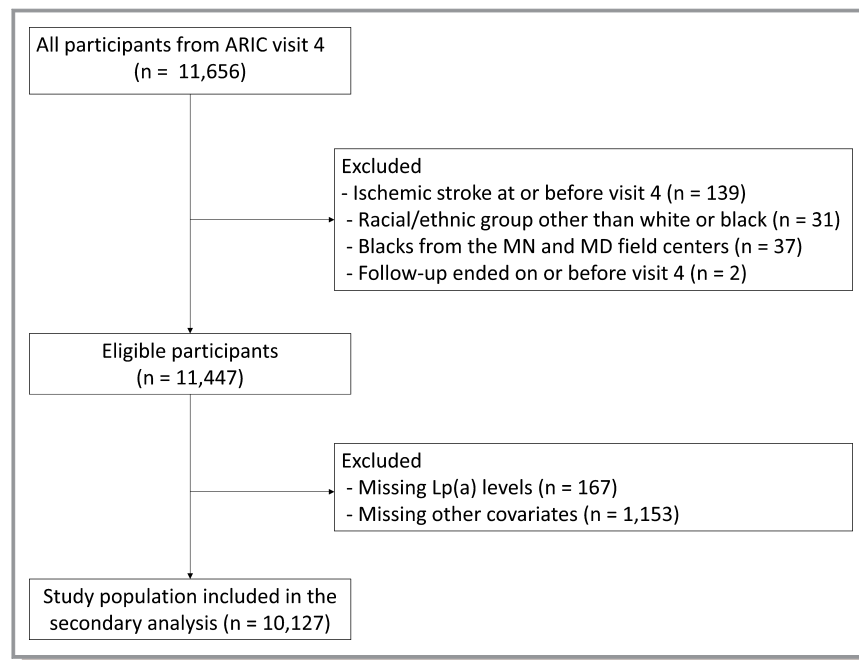


Figure 2. Participant flow diagram for aim 2 (incident stroke, stratified by history of atrial fibrillation vs no history of atrial fibrillation). ARIC indicates Atherosclerosis Risk in Communities study; Lp(a), lipoprotein(a).

Stroke Ascertainment

Our secondary outcome was incident ischemic stroke through December 31, 2013. Stroke events were identified by annual phone calls, hospital *ICD-9* codes 430 to 438 until 1997 and *ICD-9* codes 430 to 436 afterwards (listed as a discharge diagnostic code at any position), or by death certificates. Trained ARIC study personnel abstracted from hospital records, stroke signs and symptoms, and cerebrovascular imaging findings. On the basis of National Survey of Stroke criteria,²⁹ a computer algorithm and a panel of ARIC study physicians (which included a neurologist) categorized all potential stroke events (hospitalized or out-of-hospital stroke deaths) as definite or possible strokes; an additional study reviewer adjudicated discrepancies. Strokes were subclassified as ischemic versus hemorrhagic. For this analysis, we included only ischemic strokes. In addition, the ARIC study physicians further classified ischemic strokes into thrombotic, lacunar, or cardioembolic subtypes, according to criteria adopted from the National Survey of Stroke subtype classification.^{29,30} Given that the aim of this study was in regards to AF-related stroke outcomes, we considered only cardioembolic strokes in a sensitivity analysis.

Assessment of Other Covariates

We included demographic and clinical variables collected during ARIC study visit 4 as follows: age, sex, race, study site, systolic blood pressure (BP), diastolic BP, hypertension

treatment, heart rate, height, body mass index, ECG left ventricular hypertrophy, PR interval, low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, triglycerides, N-terminal pro-B type natriuretic peptide, diabetes mellitus, smoking, personal history of CHD (history of myocardial infarction, myocardial infarction indicated on baseline ECG, or revascularization), personal history of stroke, personal history of systemic embolism, personal history of heart failure (HF), and personal history of peripheral artery disease. We calculated the CHA₂DS₂-VASc score.³¹ Hypertension was defined as systolic BP ≥ 140 mm Hg and/or diastolic BP ≥ 90 mm Hg, or BP medication use in the past 2 weeks. Diabetes mellitus was considered present if one of the following criteria was met: (1) fasting glucose ≥ 126 mg/dL or nonfasting glucose ≥ 200 mg/dL, (2) self-reported physician diagnosis of diabetes mellitus, or (3) current use of medication for diabetes mellitus. Prevalent HF was identified by the Gothenburg criteria during visit 1³² or an incident HF-related admission ascertained by ARIC study investigators between visit 1 and 4. Peripheral artery disease was defined as an ankle-brachial index < 0.9 assessed at visit 4 or peripheral artery disease-related hospitalization, amputation, leg revascularization, or intermittent claudication as assessed by annual questionnaire.³³

Statistical Analysis

We presented baseline characteristics of study participants as mean \pm SD for continuous variables that were normally

distributed, median (interquartile range) for continuous variables that were not normally distributed, and proportions for categorical variables, across the 5 Lp(a) categories. We used progressively adjusted multivariable Cox proportional hazards models to estimate the hazard ratios (95% confidence intervals) of incident AF and incident stroke across different Lp(a) levels, as further described below. We performed analyses using Lp(a) as a categorical variable with the 5 defined groups and as a logarithmically transformed continuous variable.

Primary outcome (incident AF)

We assessed the association of Lp(a) with incident AF using multivariable-adjusted analyses for 3 prespecified Cox models as follows: model 1 (demographics) adjusted for age, sex, race-center groups (whites-Minnesota; whites-Maryland; whites-North Carolina; blacks-North Carolina; blacks-Mississippi). Model 2 (+CVD risk factors and prevalent CVD) adjusted for model 1 variables+smoking, systolic and diastolic BP, treatment for hypertension, heart rate, height, body mass index, left ventricular hypertrophy, PR interval, prevalent HF, CHD, and diabetes mellitus. Model 3 (+other lipids and BNP) included model 2+low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, triglycerides, lipid-lowering medication and log-transformed N-terminal pro-B type natriuretic peptide. We assessed for effect modification of AF risk, related to Lp(a) levels, by race and sex using Wald tests.

Secondary outcome (incident stroke)

The association of Lp(a) with stroke has already been published for the overall ARIC study cohort.⁸ However, a priori, we planned to stratify results among those with a history of AF (prevalent or incident during the ARIC study) versus those with no AF history, to see whether the association of Lp(a) with incident ischemic stroke was stronger among those with an AF history. We considered AF (occurring at any time during ARIC study follow-up) as a time-dependent covariate. We assessed for interactions of the Lp(a)-related stroke risk with history of AF status. Stratified by AF status, we performed multivariable-adjusted analysis in 3 prespecified Cox models as follows: model 1 (demographics) adjusted for age, sex, and race-center groups. Model 2 (+CVD risk factors and prevalent CVD) adjusted for model 1 covariates+smoking, systolic and diastolic BP, treatment for hypertension, heart rate, height, body mass index, left ventricular hypertrophy, PR interval, prevalent HF, prevalent CAD, diabetes mellitus, and CHA₂DS₂-VASc score. Model 3 (+other lipids) included model 2+low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, triglycerides, and lipid-lowering medication.

We also used restricted cubic splines to visually depict the associations between Lp(a) levels and risk for AF and stroke for each outcome. We confirmed the assumption of proportional hazards using Schoenfeld residuals and graphic methods (ln[-ln] survival plots). We performed statistical analysis using Stata 14 (StataCorp). All *P* values were 2-sided and we set the α criterion for statistical significance at 0.05.

Results

Baseline Characteristics

The mean age of the study population was 62.7 years (5.6 years). Baseline characteristics, stratified by Lp(a) levels, are shown in Table 1. Women had higher Lp(a) levels. Low-density lipoprotein cholesterol levels and the percentage of participants taking lipid-lowering medications increased with increasing Lp(a) levels. Prevalence of CHD was higher in participants with higher Lp(a) levels.

Incident AF

The median follow-up was 13.9 years (interquartile range, 12.4–14.8 years). Among 9908 participants free of AF at baseline (visit 4), the incidence rate of AF was 8.8 events per 1000 person-years overall, and was higher in whites compared with blacks (9.5 versus 6.1 events per 1000 person-years) and men compared with women (10.8 versus 7.3 events per person-years). There was no association between Lp(a) levels and incidence of AF (Table 2). The AF incidence rate was similar in participants with Lp(a) \leq 10 mg/dL and those with $>$ 50 mg/dL (9.1 versus 8.4 events per 1000 person-years). In the fully adjusted model (adjusted for demographics, prevalent CHD, other CVD risk factors, and lipids), the hazard ratio of AF for high Lp(a) levels \geq 50 mg/dL compared with $<$ 10 mg/dL was 0.98 (95% confidence interval, 0.82–1.17). Figure 3 shows a restricted cubic spline of AF risk across increasing levels of Lp(a), using the 10th percentile of Lp(a) levels as a reference. There was no effect modification of the association between Lp(a) levels and AF risk by race (*P* interaction=0.39) or sex (*P* interaction=0.38).

Incident Stroke

The median follow-up was 15.8 years (interquartile range, 13.6–16.7 years). Among 10 127 participants free of stroke at baseline (visit 4), the incidence of stroke was higher by 4-fold in participants with AF compared with those without (10.8 versus 2.9 events per 1000 person-years). Among participants without a history of prevalent AF, those with Lp(a) $>$ 50 mg/dL had 42% higher risk of developing a stroke compared with those with \leq 10 mg/dL (hazard ratio, 1.42; 95% confidence interval,

Table 1. Baseline Characteristics of the Study Cohort (ARIC Study Visit 4 1996–1998)*

Characteristic	Lp(a) Categories, mg/dL					P Value [†]
	≤10	>10 to 20	>20 to 30	>30 to 50	>50	
No.	4229	1732	887	1177	1883	
Age, y	62.8 (5.7)	62.8 (5.5)	62.6 (5.7)	62.6 (5.7)	62.5 (5.6)	0.3
Men, No. (%)	1999 (47.3)	772 (44.6)	373 (42.1)	500 (42.5)	702 (37.3)	<0.001
Black, No. (%)	284 (6.7)	338 (19.5)	357 (40.2)	524 (44.5)	633 (33.6)	<0.001
Current smoking, No. (%)	604 (14.3)	274 (15.8)	140 (15.8)	162 (13.8)	287 (15.2)	<0.001
SBP, mm Hg	126.0 (18.1)	126.7 (19.0)	128.7 (18.6)	129.8 (20.0)	127.8 (19.4)	<0.001
DBP, mm Hg	70.2 (9.8)	71.0 (10.2)	71.9 (10.5)	72.3 (10.7)	71.3 (10.8)	<0.001
Hypertension, No. (%)	1765 (41.9)	752 (43.5)	446 (50.5)	635 (54.1)	907 (48.3)	<0.001
Treatment for hypertension, No. (%)	1598 (37.8)	651 (37.6)	380 (42.8)	572 (48.6)	855 (45.4)	<0.001
Heart rate, beats per min	62.5 (9.6)	62.5 (9.8)	63.1 (10.0)	63.2 (10.7)	62.8 (9.8)	0.16
Height, cm	167.9 (9.5)	167.8 (9.4)	167.4 (9.4)	167.7 (8.9)	167.0 (9.0)	0.01
BMI, kg/m ²	28.4 (5.2)	28.4 (5.4)	29.0 (5.8)	29.2 (5.7)	28.8 (5.8)	<0.001
ECG LVH, No. (%)	120 (2.8)	62 (3.6)	45 (5.1)	68 (5.8)	87 (4.6)	<0.001
PR interval, ms	166.3 (26.1)	165.5 (25.7)	168.1 (27.0)	168.4 (27.5)	167.5 (26.9)	0.01
LDL-C, mg/dL	117.3 (32.0)	123.2 (32.4)	124.9 (35.1)	125.3 (34.7)	132.6 (32.6)	<0.001
HDL-C, mg/dL	49.3 (16.6)	49.7 (15.3)	51.3 (16.3)	51.4 (16.7)	52.6 (16.8)	<0.001
Triglycerides, mg/dL	146.5 (72.3)	132.1 (62.4)	125.7 (59.2)	127.8 (62.6)	129.0 (61.0)	<0.001
Pro-NT-BNP, pg/mL [‡]	65.0 (33.4–123.8)	64.1 (30.0–120.5)	60.4 (28.3–122.6)	67.0 (33.1–127.3)	65.0 (33.4–123.8)	<0.001
Lipid-lowering medications, No. (%)	577 (13.6)	213 (12.3)	98 (11.0)	156 (13.3)	334 (17.7)	<0.001
Prevalent CHF, No. (%)	47 (1.1)	24 (1.4)	18 (2.0)	21 (1.8)	38 (2.0)	0.04
Diabetes mellitus, No. (%)	623 (14.7)	215 (12.4)	138 (15.6)	228 (19.4)	306 (16.3)	<0.001
Ischemic stroke, No. (%)	217 (5.1)	107 (6.2)	54 (6.1)	96 (8.2)	140 (7.4)	<0.001
CHD, No. (%)	307 (7.3)	110 (6.4)	61 (6.9)	79 (6.7)	185 (9.8)	<0.001
CHA ₂ DS ₂ -VASC	1.7 (1.2)	1.8 (1.2)	1.9 (1.2)	2.0 (1.3)	1.9 (1.3)	<0.001

ARIC indicates Atherosclerosis Risk in Communities; BMI, body mass index; CHD, coronary heart disease; CHF, congestive heart failure; DBP, diastolic blood pressure; HDL-C, high-density lipoprotein cholesterol; LVH, left ventricular hypertrophy; LDL-C, low-density lipoprotein cholesterol; Lp(a), lipoprotein(a); pro-NT-BNP, N-terminal pro-B type natriuretic peptide; SBP, systolic blood pressure.

*Data are expressed as mean (SD) or number (percentage) unless otherwise indicated.

[†]P values were obtained with ANOVA for continuous variables and chi-square test for categorical variables.

[‡]Median (interquartile range).

1.07–1.90), even after adjustment for numerous cardiovascular disease risk factors, other lipid markers, and CHA₂DS₂-VASC score (Table 3). On the other hand, we failed to demonstrate a statistically significant relative increase in ischemic stroke risk among participants with a history of prevalent AF (hazard ratio, 1.06; 95% confidence interval, 0.70–1.61); however, there was no statistically significant interaction by AF status (*P* value for interaction=0.25). The relative hazard of stroke was not increased in participants with or without AF for intermediate Lp(a) levels of >10 to 20, >20 to 30, and >30 to 50 mg/dL compared with those with ≤10 mg/dL (Table 3). Figure 4 shows restricted cubic splines of the risk of stroke across increasing levels of Lp(a) in participants with and without AF, using the 10th percentile of Lp(a) levels as a reference. There was no effect modification of the association

between Lp(a) levels and stroke risk by race or sex; the 3-way multiplicative interactions of Lp(a) and AF by race and sex were *P*=0.85 and *P*=0.45, respectively, for continuous Lp(a).

In a sensitivity analysis, examining the risk of incident cardioembolic stroke (n=129 of 541 ischemic stroke), there was no association between Lp(a) levels and cardioembolic stroke (Table 4).

Discussion

In this large, community-based cohort we found that there was no association between Lp(a) levels and AF risk. This null association was similar among whites and blacks and men and women. Participants in the highest Lp(a) category (>50 mg/dL) who were free of AF had a 42% higher risk of

Table 2. Risk of AF for Different Lp(a) Levels: the ARIC Study 1996–2011

	IR*	Model 1†	Model 2‡	Model 3§
Lp(a) categories				
≤10 mg/dL	9.1	Reference (1)	Reference (1)	Reference (1)
>10 to 20 mg/dL	8.8	1.01 (0.86–1.20)	1.04 (0.88–1.23)	1.03 (0.87–1.22)
>20 to 30 mg/dL	9.2	1.12 (0.90–1.40)	1.13 (0.90–1.40)	1.16 (0.93–1.45)
>30 to 50 mg/dL	7.6	0.94 (0.76–1.16)	0.93 (0.75–1.15)	0.94 (0.76–1.16)
>50 mg/dL	8.4	1.06 (0.90–1.26)	1.02 (0.86–1.21)	0.98 (0.82–1.17)
Log-Lp(a)		1.01 (0.96–1.05)	1.00 (0.96–1.05)	0.99 (0.95–1.04)

Values are expressed as incidence rates (IRs) and hazard ratios (95% confidence intervals). AF indicates atrial fibrillation; ARIC, Atherosclerosis Risk in Communities; LP(a), lipoprotein(a).
*IR per 1000 person-years.

†Model 1 includes: age, sex, and race-center groups.

‡Model 2 includes: model 1+smoking, systolic blood pressure, diastolic blood pressure, treatment for hypertension, heart rate, height, body mass index, ECG left ventricular hypertrophy, PR interval, prevalent heart failure, coronary artery disease, and diabetes mellitus.

§Model 3: model 2+low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, triglycerides, lipid-lowering medication, and log-transformed (Log) N-terminal pro-B type natriuretic peptide.

||3 Interactions of Lp(a) and AF by race and sex were $P=0.11$ and $P=0.92$ for Lp(a) categories and $P=0.39$ and $P=0.38$ for continuous Lp(a), respectively.

developing ischemic stroke compared with participants with Lp(a) ≤10 mg/dL. This relative risk increase conferred by high Lp(a) is ≈10-fold lower compared with the risk for stroke

conveyed by AF itself.³⁴ Participants with Lp(a) levels between >10 and 50 were not at increased risk of stroke compared with those with Lp(a) ≤10 mg/dL. There was no association of Lp(a) with the cardioembolic subtype of ischemic stroke.

Our results complement the small body of literature examining associations between Lp(a) levels and risk of AF.^{12,13} We confirmed that circulating levels of Lp(a) at baseline are not a risk factor for AF. Although Lp(a) levels are associated with atherosclerotic cardiovascular disease, which, in turn, is associated with incident AF,^{14,15} in this cohort, Lp(a) was not associated with AF, even before adjusting for CHD. Our results are consistent with a recent large-scale genetic study showing that although genetically lowered Lp(a) levels are associated with a lower risk of peripheral artery disease, stroke, HF, and aortic stenosis, they are not related to lower risk of AF.³⁵ Collectively, this evidence suggests that although Lp(a) is a risk factor for diseases that have atherosclerosis as the underlying mechanism, it is not for AF.

This study expands the literature on the associations of Lp(a) and ischemic stroke. Lp(a) is a well-established risk factor for stroke^{7,8} and patients with Lp(a) ≥30 mg/dL have on average 80% higher relative risk of stroke, independently of race/ethnicity.³⁶ There is only one small case-control study that evaluated stroke risk in patients with AF, showing that patients with cardioembolic stroke had 2-fold higher Lp(a) levels compared with those with noncardioembolic stroke (n=40).²¹ Here, we show that Lp(a) levels >50 mg/dL are associated with higher risk for ischemic stroke among individuals without AF. We failed to demonstrate a statistically significant relative increase in stroke risk for elevated Lp(a) levels in participants with AF, but there was no statistically significant interaction between Lp(a) and history of AF with the outcome of stroke.

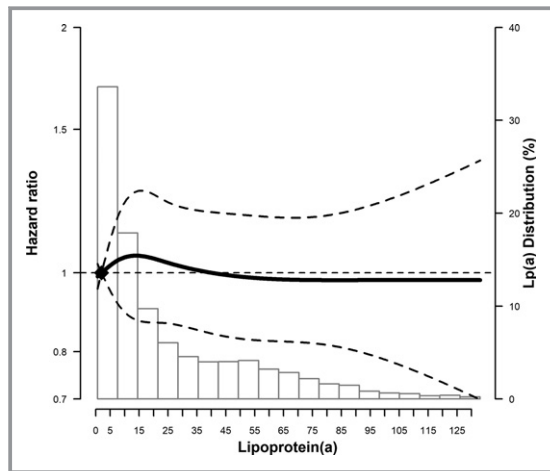


Figure 3. Atrial fibrillation (AF) risk across lipoprotein(a) (Lp[a]) levels. Multivariable-adjusted restricted cubic spline model showing the hazard ratios of AF (95% confidence intervals) by Lp(a) levels at visit 4. The solid line represents hazard ratios and the dashed lines represent 95% confidence intervals. Knots at 5th, 35th, 65th, and 95th percentiles (corresponding to 1.3, 7.7, 23.9, and 83.1 mg/L). The spline is centered at the 10th percentile. The histogram shows the distribution of Lp(a) levels. Restricted cubic spline is truncated at the 1st and 99th percentiles of Lp(a). The model is adjusted for age, sex, race-center groups, smoking, systolic and diastolic blood pressure, treatment for hypertension, heart rate, height, body mass index, ECG left ventricular hypertrophy, PR interval, prevalent heart failure, coronary artery disease, diabetes mellitus, low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, triglycerides, lipid-lowering medication, and log-transformed N-terminal pro-B type natriuretic peptide.

Table 3. Risk of Ischemic Stroke for Different Lp(a) Levels (mg/dL), Stratified by Prevalent AF Status: the ARIC Study 1996–2013

Lp(a) categories	No AF (n=8891)				AF (n=1236)				P Interaction by AF Status
	IR*	Model 1 [†]	Model 2 [‡]	Model 3 [§]	IR*	Model 1 [†]	Model 2 [‡]	Model 3 [§]	
≤10	2.3	Reference (1)	Reference (1)	Reference (1)	10.6	Reference (1)	Reference (1)	Reference (1)	0.25
>10 to 20	2.9	1.14 (0.85–1.55)	1.18 (0.87–1.60)	1.21 (0.89–1.64)	8.4	0.73 (0.46–1.17)	0.71 (0.44–1.14)	0.70 (0.44–1.13)	
>20 to 30	2.9	1.01 (0.67–1.50)	1.03 (0.69–1.53)	1.05 (0.70–1.58)	7.4	0.49 (0.26–0.95)	0.52 (0.27–1.01)	0.52 (0.27–1.02)	
>30 to 50	3.6	1.19 (0.85–1.66)	1.18 (0.84–1.65)	1.19 (0.85–1.67)	15.2	1.21 (0.74–2.00)	1.23 (0.75–2.03)	1.22 (0.74–2.02)	
>50	3.8	1.42 (1.07–1.88) [¶]	1.40 (1.06–1.86) [¶]	1.42 (1.07–1.90) [¶]	13.1	1.06 (0.71–1.59)	1.04 (0.70–1.56)	1.06 (0.70–1.61)	
Log-Lp(a)		1.08 (0.99–1.17)	1.08 (0.99–1.17)	1.08 (0.99–1.18)		0.98 (0.87–1.11)	0.98 (0.87–1.11)	0.99 (0.87–1.12)	0.26

Values are expressed as incidence rates (IRs) and hazard ratios (95% confidence intervals). AF indicates atrial fibrillation; ARIC, Atherosclerosis Risk in Communities; Log, log-transformed; LP(a), lipoprotein(a).

*IR per 1000 person-years.

[†]Model 1 includes: age, sex, and race-center groups.

[‡]Model 2 includes: model 1+smoking, systolic and diastolic blood pressure, treatment for hypertension, heart rate, height, body mass index, ECG left ventricular hypertrophy, PR interval, prevalent heart failure, coronary artery disease, diabetes mellitus, and CHA₂DS₂-VASc score.

[§]Model 3 includes: model 2+low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, triglycerides, and lipid-lowering medication.

^{||}The 3-way multiplicative interactions of Lp(a) and atrial fibrillation by race and sex were $P=0.85$ and $P=0.45$ for continuous Lp(a), respectively.

[¶] $p < 0.05$.

We propose 2 mechanisms to explain these findings. First, the attributable risk of stroke from AF is considerably higher (an order of magnitude higher) compared with that attributed to Lp(a). AF increases the risk of stroke by 5-fold,³⁴ while in

our study, Lp(a) levels >50 mg/dL increased the risk of stroke by 0.4-fold compared with those with Lp(a) ≤10 mg/dL. As a result, the effect of Lp(a) on stroke risk may be overshadowed by the stroke risk conveyed by AF itself. Thus, Lp(a) levels

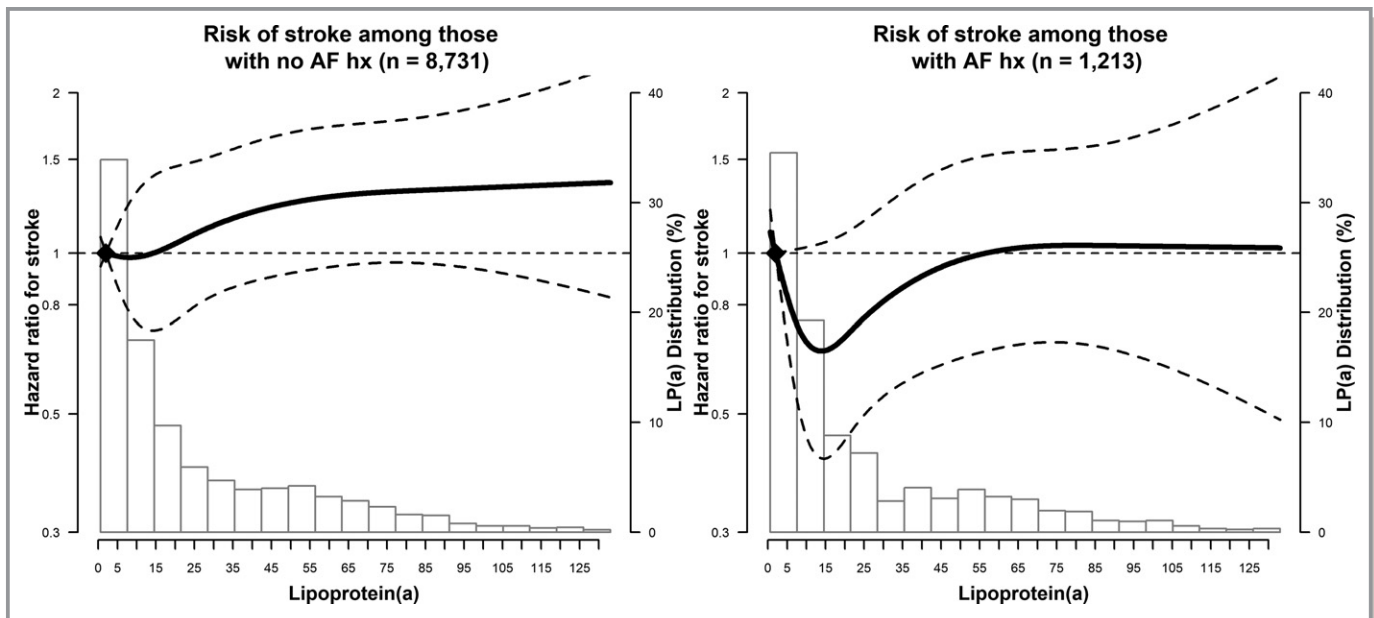


Figure 4. Ischemic stroke risk across lipoprotein(a) (Lp[a]) levels stratified by atrial fibrillation (AF) status. Multivariable-adjusted restricted cubic spline models showing the hazard ratio of ischemic stroke (95% confidence intervals) by Lp(a) levels at visit 4. The solid line represents the hazard ratio and the dashed lines represent the 95% confidence intervals. Knots at 5th, 35th, 65th, and 95th percentiles (corresponding to 1.3, 7.7, 23.9, and 83.1 mg/L). The splines are centered at the 10th percentile. The histograms show the distribution of Lp(a) levels. Restricted cubic splines are truncated at the 1st and 99th percentiles of Lp(a). The models are adjusted for age, sex, race-center groups, smoking, systolic and diastolic blood pressure, treatment for hypertension, heart rate, height, body mass index, ECG left ventricular hypertrophy, PR interval, prevalent heart failure, coronary artery disease, and diabetes mellitus. hx indicates history.

Table 4. Risk of Cardioembolic Stroke for Different Lp(a) Levels: the ARIC Study 1996–2013

	N case (IR*)	Model 1 [†]	Model 2 [‡]	Model 3 [§]
Lp(a) categories				
≤10 mg/dL	55 (0.9)	Reference (1)	Reference (1)	Reference (1)
>10 to 20 mg/dL	18 (0.7)	0.77 (0.45–1.32)	0.78 (0.45–1.33)	0.79 (0.46–1.35)
>20 to 30 mg/dL	11 (0.9)	0.84 (0.43–1.63)	0.83 (0.43–1.63)	0.86 (0.44–1.68)
>30 to 50 mg/dL	15 (0.9)	0.85 (0.47–1.55)	0.80 (0.44–1.46)	0.82 (0.45–1.51)
>50 mg/dL	30 (1.1)	1.12 (0.70–1.78)	1.09 (0.69–1.74)	1.15 (0.71–1.86)
Log-Lp(a)		0.96 (0.83–1.11)	0.96 (0.83–1.10)	0.97 (0.84–1.12)

Values are expressed as incidence rates (IRs) and hazard ratios (95% confidence intervals). AF indicates atrial fibrillation; ARIC, Atherosclerosis Risk in Communities; Log, log-transformed; LP(a), lipoprotein(a).

*IR per 1000 person-years.

[†]Model 1 includes: age, sex, and race-center groups.

[‡]Model 2 includes: model 1+smoking, systolic and diastolic blood pressure, treatment for hypertension, heart rate, height, body mass index, ECG left ventricular hypertrophy, PR interval, prevalent heart failure, coronary artery disease, diabetes mellitus, and CHA₂DS₂-VASc score.

[§]Model 3 includes: model 2+low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, triglycerides, and lipid-lowering medication.

may have little incremental contribution to stroke risk if AF is already present. Second, since Lp(a) promotes atherosclerosis, elevated Lp(a) levels might be primarily related to atheroembolic stroke, rather than cardioembolic stroke, but patients with AF experience primarily cardioembolic strokes. Indeed, in our sensitivity analysis, we found no association of Lp(a) with the subtype of cardioembolic strokes, although the numbers were smaller. Thus, Lp(a) might not increase the risk for cardioembolic stroke.

Study Strengths

Our study has several strengths. First, we utilized a large, biracial cohort with adequate AF and stroke events to test our hypotheses. The ARIC study's design enabled assessment for statistical interaction by race and sex. The ARIC study employed standardized protocols that facilitated accurate collection of outcomes and covariates. Second, Lp(a) levels were measured with a well-validated assay that is robust to different apolipoprotein(a) isoform sizes. Third, AF was an adjudicated outcome in data collected from ARIC study visits, although AF events detected using *ICD* codes were not adjudicated. However, the use of *ICD* codes to ascertain AF in the ARIC study is a valid method, as ≈90% of AF cases were confirmed in a physician review of discharge summaries from 125 possible AF cases.¹⁹ Last, stroke events and their subtypes were adjudicated by trained study physicians including neurologists.

Study Limitations

Our study has several limitations. First, this was a community-based sample unselected for lipid disorders, and extreme phenotypes of high Lp(a) levels (ie, >100 mg/dL) were rare

and could not be examined. Thus, whether extremely high Lp(a) levels are associated with AF risk or stroke in patients with AF remains unknown. Second, we included only whites and blacks and thus these results may not generalize to other racial/ethnic groups. Third, we only had a single measure of Lp(a) at baseline. However, since Lp(a) levels are genetically determined,³⁷ levels are generally stable in men and postmenopausal women over time. Although Lp(a) levels can be modifiable with lipid therapy, we did adjust for use of lipid-lowering medications in our analyses. Fourth, we did not include data from the Centers for Medicare and Medicaid Services that were linked to ARIC study participants. However, more than half of ARIC participants were younger than 65 years (mean age, 63 years), which would not be captured by this Centers for Medicare and Medicaid Services data set, and it has previously been shown that adding Medicare data does not have a meaningful impact in most associations between AF and risk factors in the ARIC study cohort.³⁸ Last, this is an observational study and we cannot exclude residual confounding, despite adjusting for potential covariates.

Conclusions

Elevated Lp(a) levels were not associated with incident AF in whites or blacks, but were associated with increased risk of ischemic stroke, primarily among individuals without AF. This relative risk increase is comparable between whites and blacks. Elevated Lp(a) is primarily associated with diseases directly related to atherosclerosis and is not a risk factor for AF. We did not find an association of Lp(a) with cardioembolic stroke, suggesting that the association of Lp(a) with ischemic stroke was primarily driven by thrombotic (atherosclerotic) stroke. It remains unknown whether extremely elevated Lp(a)

levels could be associated with AF. Whether reduction of Lp(a) levels by lifestyle modifications or with medical therapy (currently being evaluated) results in reduction of stroke risk remains to be determined.

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