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### Erythropoiesis-stimulating agents in sickle cell anaemia

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#### Keywords

Sickle cell anaemia; Erythropoietin; Darbepoetin; Erythropoiesis-stimulating agents

Erythropoietin, the major hormone produced by the kidneys in response to anaemia, stimulates the bone marrow to produce reticulocytes. As the degree of anaemia worsens, serum erythropoietin levels increase, although this response is blunted in patients with sickle cell anaemia (SCA). (Sherwood, et al 1986) Older age and renal dysfunction further impair the erythropoietin response to anaemia in SCA. (Morgan, et al 1982, Sherwood, et al 1986) Studies of erythropoiesis-stimulating agents (ESAs) to improve haemoglobin concentrations in SCA have demonstrated conflicting results. (el-Hazmi, et al 1995, Goldberg, et al 1990, Little, et al 2006, Nagel, et al 1993, Roger, et al 1991, Steinberg 1991, Tomson, et al 1992) Some studies using ESAs alone have demonstrated improvements in anaemia (Steinberg 1991) or reductions in red blood cell transfusion requirements, (Tomson, et al 1992) but other studies did not. (Goldberg, et al 1990, Roger, et al 1991) In addition, two of these studies raised concerns that ESAs may trigger vaso-occlusive crises (VOC). (Goldberg, et al 1990, Roger, et al 1991) Some studies of ESAs administered to patients on a stable hydroxycarbamide (HC) dose have demonstrated augmentation of haemoglobin F% and haemoglobin concentrations (Little, et al 2006) while others have demonstrated either variable (el-Hazmi, et al 1995) or no beneficial effects. (Goldberg, et al 1990) Limited data is available on the effects of concurrently starting an ESA with HC. (Little, et al 2006)

#### Authorship Contributions:

J.H., J.Z., V.K., X.Z., G.S.C., S.L.S. designed and performed the research, analysed the data and wrote the paper. R.E.M., M.G., J.H., S.J. performed the research and wrote the paper. V.R.G. designed the research, analysed the data and wrote the paper.

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We conducted a retrospective analysis of adults with SCA treated with an ESA at the University of Illinois at Chicago (UIC). Thirty-two adults with a diagnosis of SCA (HbSS or HbS $\beta^0$ -thalassemia) who received a minimum of 24 doses of erythropoietin or 12 doses of darbepoetin over a 12-month period between January 2001 and January 2015 were evaluated in a protocol approved by the UIC Institutional Review Board.

The SCA patients receiving ESA therapy were divided into the following groups for analysis: 1) ESA-only, defined as patients receiving an ESA and not HC; 2) newly prescribed ESA+HC, defined as patients newly prescribed both ESA and HC within three months of each other and continuing together for at least 12 months; 3) newly prescribed HC-only, defined as patients newly prescribed HC alone and were age- and gender-matched to the newly prescribed ESA+HC group, and 4) ESA+stable HC, defined as patients on a stable dose of HC at least three months prior to initiating ESA therapy and continuing HC during ESA treatment. We compared linear variables pre-ESA therapy and after 12 months of ESA therapy using the Wilcoxon Signed-Rank test. A linear regression model was used to test if ESA and stable or new HC therapy had independent effects on the change in haemoglobin concentration, adjusting for age and advanced chronic kidney disease (CKD), defined as an estimated glomerular filtration rate <60 ml/min/1.73m<sup>2</sup>, at the time of initiating therapy. Systat 13 (Systat Software Corporation, Chicago, IL, USA) and GraphPad Prism 7 (GraphPad Software, La Jolla, CA, USA) were used for the statistical analyses.

Thirty-two SCA patients, median age 43 years (range, 21–63 years) and 44% female, were prescribed ESA therapy for at least one-year duration. Baseline characteristics of the patients according to the treatment groups are provided in Supplementary Table I. We did not observe increases in the rates of VOC requiring medical attention (Figure 1A) or venous thromboembolism (VTE) during the year of ESA therapy compared to the year before ESA therapy was initiated. Two VTE were observed during the year of ESA therapy, one in the ESA-only group and one in the newly prescribed ESA+HC group, and two patients had VTE in the year preceding ESA therapy, one in the ESA-only group.

To further evaluate the safety of ESAs in sickle cell disease, we utilized claims data from the Truven Health MarketScan<sup>®</sup> Commercial Claims and Encounters and Medicare Supplemental and Coordination of Benefits databases (http://truvenhealth.com/markets/life-sciences/products/data-tools/marketscan-databases) between January 2009 and December 2014. Patients aged over 18 years old with sickle cell disease, which included genotypes HbSS, HbSC, HbS $\beta^+$ -thalassemia, and HbS $\beta^0$ -thalassemia, were identified using a validated algorithm. (Michalik, *et al* 2017) Details on specific selection criteria and determination of adverse events are provided in Supplementary Figure 1 and Supplementary Table II, respectively. Twenty-four sickle cell disease patients had at least one year of ESA therapy based on inpatient or outpatient administration or pharmacy dispensing claims. The median age of this cohort was 55.5 years (range 20–92years) and 50% were female. Similar to the UIC cohort, the rates of VOC events were not different during the first year of ESA therapy (median 1/year, range 0–36/year) compared to the year prior to ESA therapy (median 1/year, range 0–42/year). Furthermore, no VTE events were reported during the year of ESA therapy.

After one year of ESA therapy in the UIC cohort, trends for increased haemoglobin concentrations were observed in each group that received ESAs as well as in the HC-alone group (Figure 1B). In a multiple linear regression model, ESA therapy ( $\beta$ =0.64, 95% confidence interval: 0.05–1.22; P=0.035) had an independent association with increase in haemoglobin concentration after adjustment for age, advanced CKD, stable HC therapy, and new HC therapy (Table I).

Our study is limited by the small sample size, variability in the patients in terms of treatment and baseline characteristics, such as age and renal function, and retrospective design. Nevertheless, we found no evidence that ESA therapy increased the rate of VOC or of VTE in the UIC SCA cohort or in the Truven database of sickle cell disease patients and, in the UIC cohort, we observed a significant increase in the haemoglobin concentration after one year of ESA therapy after adjusting for age, CKD and HC. Our findings require substantiation in prospective clinical trials to evaluate the safety and efficacy of ESAs in SCA, both alone and in combination with HC.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Page 5



Figure 1A. Rates of vaso-occlusive crises 1 year prior and during the 1 year of erythropoiesisstimulating agent (ESA) therapy

We did not observe increases in the rates of vaso-occlusive crises, given as median (range), with ESA only [2/year (0 - 7/year) vs. 2/year (0 - 6/year)], newly prescribed HC-only [2/year (0 - 11/year) vs. 2/year 0 - 10/year], newly prescribed HC+ESA [1/year (0 - 9/year) vs. 1/year (0 - 7/year)] or stable HC+ESA [1/year (0 - 11/year) vs. 0/year (0 - 8/year)] from the year pre-ESA when compared to the year of ESA therapy, respectively.

Page 6



#### Figure 1B. Haemoglobin response to erythropoiesis stimulating agents (ESA)

Trends for higher haemoglobin concentrations, given as median (range), with ESA-only [57 g/l (50 – 76) to 64 g/l (50 – 87 g/l)] and hydroxycarbamide (HC)-only [83 g/l (64 – 119 g/l) to 86 g/l (69 – 114 g/l)] were observed while significant improvements were observed when an ESA was started concurrently with HC [59 g/l (44 – 75g/l) to 71 g/l (48 – 89 g/l)] or when a sickle cell anaemia patient already on a stable dose of HC was started on an ESA [60 g/l (49 – 73 g/l) to 75 g/l (60 – 86 g/l)] from the year pre-ESA when compared to after one year of ESA therapy, respectively.

#### Table I

Multivariate analysis for the change in haemoglobin concentration in sickle cell anaemia patients treated with erythropoiesis-stimulating agents and/or hydroxycarbamide for one year at the University of Illinois at Chicago.

Variable	β	95% Confidence Interval	P Value
ESA therapy	0.64	0.05 – 1.22	0.035
New HC therapy	0.74	0.16 – 1.32	0.014
Stable HC therapy	0.95	0.37 – 1.54	0.002
Age	0.02	0.003 - 0.04	0.023
Advanced CKD	-0.27	-0.76 - 0.23	0.3

 $CKD, chronic kidney \ disease; ESA, erythropoies is-stimulating \ agent; HC, hydroxy carbamide, Advanced \ CKD \ defined \ as \ estimated \ glomerular \ filtration \ rate < 60 \ ml/min/1.73m^2$