Serotonin and catecholamines in the development and progression of heart valve diseases

Elliott Goldberg¹, Juan B. Grau^{2,3,†}, Jacqueline H. Fortier³, Elisa Salvati⁴, Robert J. Levy⁴, and Giovanni Ferrari^{1,*}

¹Perelman School of Medicine at the University of Pennsylvania, Philadelphia, PA, USA; ²The Valley Hospital, Ridgewood, NJ, USA; ³University of Ottawa Heart Institute, Ottawa, Canada; and ⁴The Children's Hospital of Philadelphia, Philadelphia, PA, USA

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1. Introduction

Heart valve diseases (HVDs) are a group of progressive multifactorial disorders that result from genetic or environmental disruption of leaflet function. Human heart valves are microstructurally complex anisotropic organs that maintain unidirectional blood flow and dynamically respond to cardiac remodeling. These pathologies generally present as either stenosis, a pathological leaflet thickening and narrowing of the valve opening, or regurgitation, the improper coaptation of valve leaflets that allows for hemodynamic backflow. Through molecular and cellular mechanisms, these valvular pathologies progress from mild and undetectable subclinical stages to symptomatic diseases that require aggressive medical and surgical intervention.¹

Anatomically, the two atrioventricular valves and the two semilunar valves share common features. Atrioventricular valves are in-flow valves, which allow blood to enter the ventricles and prevent blood backflow during systole. The semilunar valves are out-flow valves that allow emptying of the ventricle and prevent backflow during diastole. The atrioventricular valves are inlet valves, and display trileaflet anatomy on the right side (tricuspid) and bileaflet anatomy on the left side (mitral).² Although anatomically different, they share the same fundamental features, which are large annuli and multiple connections between the leaflets and the ventricle in the form of chordae tendinae, creating what are known as the mitral or triscuspid ventricular complex. The two semilunar valves, on the other hand, share a more consistent anatomy in the majority of the population, with three leaflets and three commissures. They reside within the aortic or pulmonic root, and are inserted into a virtual annulus called the basal ring, creating what is known as a functional aortic or pulmonic annulus. The two ventricles form a circuit in series which must function in unison to maintain normal physiologic conditions.² Despite

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^{*} Corresponding author: Perelman School of Medicine at the University of Pennsylvania, Children's Hospital of Philadelphia - Abramson Research Building, Suite 702E, 3615 Civic Center Blvd, Philadelphia, PA 19104, USA. Tel: +1 215 590 2459; fax: +1 215 590 5454, E-mail: giovanni.ferrari@uphs.upenn.edu

[†] Present address. University of Ottawa Heart Institute, Ottawa, Canada.

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Table I Medications for which there is evidence of serotonin dysregulation and valvulopathy

the anatomical similarities between the valves of each type, they are inevitably affected by the differential pressures on the left and the right side of the heart. The mitral and aortic valves on the systemic side experience nearly three times the force and pressure as the tricuspid and pulmonic valves on the pulmonary side, which may explain why degeneration of the left-sided valves is more prevalent.³

Many of the catalysts of valvular pathologies have been identified and are well described. These range from inflammatory processes to genetic factors to immunologically-mediated conditions to degenerative pathologies, among others. 3 Recently, the feedback from fields such as endocrinology, psychiatry, neurology and bariatrics strongly suggests that diseases and medical treatments that affect systemic levels of certain neurotransmitters may unexpectedly affect the heart (Table 1). Approximately half of the patients with carcinoid tumours, for example, also present with valvular disease. Carcinoid tumours arise from serotonin-synthesizing enterochromaffin cells, and the metastatic proliferation of these cells elevates circulating serotonin levels, which contrib-utes to the development of fibrous plaques on the heart valves.^{[4,5](#page-6-0)} This same aetiology is seen in patients who were prescribed the 'fen-phen' serotonergic appetite suppressants, a combination popular in the 1980s.^{[6](#page-6-0)} Patients taking ergot-derived dopamine agonists for the treatment of Parkinson's disease have also been shown to have significantly higher rates of valvular disease.⁷ This would suggest that certain neurotransmitters may play a role in the development and progression of certain types of HVDs. We have to keep in mind that these molecules can exert their effects using two different networks, the neuronal and the vascular, and thus have two potential pathways through which to affect sites such as heart valves. What remains unclear is the precise mechanism and the pathways involved with these new forms of valvular diseases.

This review attempts to collect, organize and unify the current state of knowledge on the topic of neurotransmitters and HVDs at the cellular level, and suggest areas for future research. There is presently no unified literature on this subject, and we draw most of our evidence from small case series, in vitro work and animal studies. A greater awareness and understanding of the current state of the literature regarding neuronal and chemical regulation of heart valves may reveal future directions for research and expand our understanding of HVD.

2. Valvular development and the relevance to clinical valvular disease

At the embryologic stage, the atrioventricular and semilunar valves share a common development pathway before their anatomical divergence. The origins of what will become valve leaflets begin with an epithelial to mesenchymal transition (EMT) during early cardiac development. EMTs and the reverse MET process (mesenchymal-to-endothelial transforma-tion) occur a number of times during heart development.^{[2,8](#page-6-0)} The precursors to all valves is an EMT that leads to the development of a cushion of mesenchymal cells. This cushion of mesenchymal cells eventually remodels when the transdifferentiation of those cells into interstitial fibroblasts generates collagen fibres. After further remodeling, these cells will become mature valve tissue. This transition and transdifferentiation process is not cell-autonomous, and requires external activating stimuli.^{[9](#page-6-0)} This common developmental pathway indicates that the fundamental difference between atrioventricular and semilunar valves is anatomic: the semilunar valves remain attached to the endocardial cushion at one level only, whereas the atrioventricular valves keep two attachments, one to the annulus and the other to the ventricle. The end-result of this complex developmental process, incompletely described above, is four mature and structurally distinct heart valves.

The function of each heart valve depends on a complex microstructure in a fine balance between the cellular and the extracellular matrix components.[10](#page-6-0) Leaflets are bound by an outer layer of valve endothelial cells (VECs), which form a barrier between the blood and the interstitial space. Within the leaflet interstitium, organized layers of collagen, proteoglycans and elastin comprise an extracellular matrix (ECM), which is regulated by a population of fibroblast-like, highly heterogeneous valve interstitial cells (VICs). While VICs generally exist in a quiescent state and maintain a homeostatic level of ECM remodeling, they are also capable of phenotypic transition in response to chemical and mechanical stimuli.^{11,12} Activation of VICs to a synthetic phenotype can allow synthesis of matrix components and the deformation of valve leaflets. An illustrated example of the valve microstructure, in this case showing a leaflet of the aortic valve, can be seen in Figure [1](#page-2-0).

Traditionally, valve leaflets have been considered passive flaps that open and shut in response to blood flow. Recent theories have begun to reject this passive-flap paradigm in favour of actively contractile valves, 13 that respond to both electrical and chemical signals,¹⁴ including, potentially, neurotransmitters.^{15,16} Although research into the active contractility and responsiveness of valves is presently focused on mitral valves, the common developmental pathways and histological features described above would suggest that other valves may behave in a similar manner.

2.1 Serotonin

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The strongest evidence of a connection between neurotransmitters and HVDs is the association between serotonin and carcinoid valve disease. The process starts with gradual thickening of the leaflets, and progresses through further fibrosis and retraction of the valve. In the case of the atrioventricular valves, this extends into the subvalvular apparatus.^{[17](#page-7-0)} The valve dysfunction that results from the affectation is normally dual: stenosis and insufficiency.

Serotonin or 5-hydroxytryptamine (5HT) is a pleiotropic monoamine neurotransmitter that has been the subject of intense study since its discovery in 1948.¹⁸ It is formed when the essential amino acid tryptophan is hydroxylated by tryptophan hydroxlase (TPH), which is the rate limiting step, and then decarboxylated to form 5HT.¹⁹ Despite being found in

Figure I Microarchitecture of the aortic valve cusp, as an example of overall valvular leaflet structure. The principal structural component of extracellular matrix is depicted and varies by layer. Prominent cell types are also depicted. GAGs, glycosaminoglycans.

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. highest concentrations in enterochromaffin cells and blood platelets, 5HT has diverse physiological roles in a number of organ systems.²⁰ In the brain, 5HT is principally released from neurons of the Raphe nuclei, which connect throughout the central nervous system (CNS). 5HT thereby operates in various neurological scenes including mood, sleep, appetite, and perception. 2^{1-23} 2^{1-23} 2^{1-23} Outside the CNS, 5HT is associated with several physiological processes including gastrointestinal peristalsis, vasoconstriction, blood coagulation, insulin secretion, and cardiac development $24-27$. Platelets carry 5HT and release it during clotting, and are believed to be the most likely source of the neurotransmitter on the leaflets. However, VICs also express the TPH enzyme necessary to synthesize serotonin, indicating their capacity for local production of this molecule. Serotonin directs cellular signalling by binding to 5HT receptors in the plasma membrane or by covalent fixture to peptide-bound glutamine residues.^{26,28}

There are at least 14 subtypes of 5HT receptor, most of which are Gprotein coupled receptors (GPCRs) with the exception of the cation channel $5HT_3$ ^{[23,29](#page-7-0)} $5HT_2$ receptors, a group of serotonin receptors

 $(5HT_{2A}, 5HT_{2B}, 5HT_{2C})$ implicated in heart disease, are canonically coupled to G α_{q} pathways.^{30–32} 5HT₂ signalling is complex: G α_{q} activates phospholipase C to produce the secondary messengers inositol phosphate and diacylglycerol, which elevate intracellular calcium and activate protein kinase C, respectively. In addition to canonical Ga_{α} signalling, $5HT_{2B}$ activation induces rapid Ras activation and Src phosphorylation, stimulating the tyrosine kinase signalling pathways of cell cycle progression and mitogenesis through ERK1/2.³³⁻³⁶

5HT acts as a powerful mitogen to VICs by activating $5HT_2$ receptors, stimulating DNA synthesis and cell-cycle progression (Figure [2](#page-3-0)). 37 The $5HT_{2B}$ receptor is expressed throughout cardiac tissues and is believed to play an important role in development of the heart. In mice designed by homologous recombination to lack the $5HT_{2B}$ receptor, cardiac development was disrupted by absence of trabeculae and intercalated discs, leading to decreased ventricular muscle mass and mid-gestation lethality.^{38,39} Avian studies suggest that 5HT may induce these modelling effects through a TGF- β -dependent mechanism.²⁷ The fibrogenic cytokine TGF- β has also been associated with pathologic ECM remodeling in

Figure 2 Schematic representation of serotonergic signalling in valve interstitial cells (VICs). 5HIAA, 5-hydroxyindoleacetic acid; 5HT, serotonin; ACE, angiotensin converting enzyme; AngII, angiotensin II; AT-1, angiotensin receptor 1; MAPK, mitogen activated protein kinase; MEK, mitogen activated protein kinase kinase; pERK1/2, phosphorylated p42/44 mitogen activated protein kinases; PKC, protein kinase C; ROS, reactive oxygen species; SERT, serotonin transporter; MAO, monoamine oxidase.

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numerous organ systems. $40,41$ In the heart, TGF- β activates VICs by promoting differentiation, migration, matrix condensation, angiogenesis and NADPH oxidase activity[.19,40,42](#page-7-0),[43](#page-7-0) Reactive oxygen species (ROS) derived from NADPH oxidase encourage synthesis of TGF-β, initiating a positive feedback loop of VIC activation.^{40,44} TGF- β is characteristically present in calcified valve leaflets and its signalling promotes apoptotic calcification of VICs.⁴¹

Though essential for proper modelling during development, 5HT has been associated with pathological remodeling effects on mature heart valves. Several experiments with excess serotonin have demonstrated this. Both intravenous and oral administrations of 5HT have been shown to induce HVD in adult mammals.^{45,46} In Sprague–Dawley rats given daily 5HT injections for three months, 6 out of 10 rats developed carcinoidlike valvular thickening due to increased number of interstitial cells and ECM synthesis.⁴⁵ In a replicate study, these effects were preventable by coadministration of the $5HT_2$ receptor antagonist terguride.⁴⁷

Interestingly, upregulation of $5HT_2$ receptor mRNA has been repeatedly observed in diseased heart valve leaflets, a process that may increase the sensitivity of these tissues to 5HT by increasing the number of avail-able receptors.^{12,[32](#page-7-0),[45,48](#page-7-0)} Porcine leaflets incubated with 5HT have been observed to significantly stiffen in a time-dependent manner, favouring a significantly less organized and contractile valve microstructure.^{[49](#page-7-0)} It is notable that cyclic stretch alone in porcine aortic valves increases expression of $5HT_2$ receptor genes, increasing the leaflets' sensitivity to 5HT signalling.^{[12](#page-6-0)} Pathological stiffening and consequent mechanical stress may induce a sinister positive feedback loop of increasing 5HT synthesis and sensitivity that propagates valvular remodeling.

5HT signalling in VICs has been linked to the renin–angiotensin system, particularly through the peptide hormone angiotensin II (AngII). Angiotensin receptor I (AT-1) is a major receptor to Angll that couples the $Ga_{q/11}$ protein: its activation has been shown to promote inflammation, cardiac hypertrophy, collagen synthesis, oxidative stress and valve thickening in mice and humans.^{37,50–52} Recently, the AT-1 and $5HT_{2B}$ receptors have been shown to work in concert in cardiac fibroblasts, such that both are required for the activity of either one.^{[53](#page-7-0)} AngII and its immediate source, angiotensin converting enzyme (ACE), are upregu-lated and colocalize with lesions in diseased aortic valves.^{[54,55](#page-7-0)} AT-1 is generally absent from healthy valve tissues but is upregulated in α SMAexpressing VICs, implying that AT-1 expression may be a characteristic of pathologically differentiated fibroblasts.^{[54](#page-7-0)}

5HT can also directly enter the cell via the serotonin transporter (SERT), a sodium-dependent transporter protein, which diminishes its function at plasma membrane receptors by reducing the amount of circulating 5HT.[19](#page-7-0) SERT is expressed throughout the foetal and mature heart, including VICs.^{[56](#page-7-0),[57](#page-7-0)} SERT-deficient mice generated by homologous recombination rapidly developed fibrotic valves, likely as a result of deficient 5HT metabolism.⁵⁸ It is notable that in late stage HVD, VICs appear to downregulate SERT.^{48,59} The mechanism of this downregulation remains unclear, but may take place through an increase in Akt phosphorylation.⁶⁰ The effects of serotonin are mediated through many different types of receptors and membrane transporters that can affect multiple different pathways in the heart valve tissue, as described above.

2.2 Catecholamines

The catecholamine family includes many different neurotransmitters, including L-DOPA, dopamine and norepinephrine. There have been reports in the literature of multiple valves affected by these neurotransmitters, with echocardiographic features similar to those described for serotonin. Patients taking ergot-derived dopamine receptor agonists such as pergolide and cabergoline, for example, have been shown to be significantly more likely to develop clinically important valve regurgitation.⁷

Catecholamines are formed from hydroxylation of the essential amino acid tyrosine to L-DOPA by tyrosine hydroxylase, the rate limiting step in catecholamine biosynthesis.^{61,62} Decarboxylation of L-DOPA yields the neurotransmitter dopamine, a monoamine neurotransmitter that regulates mood, attention, motivation, sleep-wake cycles and cogni-tion.^{[63–65](#page-7-0)} Dopamine signals within the CNS act by binding to its GPCRs $(D_1, D_2, D_3, D_4, D_5)$ or undergoing hydroxylation to produce norepinephrine (NE), the major neurotransmitter of the sympathetic nervous system.^{[66](#page-7-0)} NE can be synthesized in postganglionic synaptic terminals throughout all major organs for neuronal transmission and in the chromaffin cells of the adrenal medulla for systemic transmission.⁶⁷ Methylation of the primary amine of NE produces epinephrine and takes place to varying degrees in the adrenal medulla, heart, and brain.⁶⁸ In the body, NE is associated with a 'fight or flight' response to stress, as its release increases heart rate, blood pressure, blood flow to skeletal muscle and triggers glycogenolysis[.69](#page-8-0)

The signalling functions of NE result from its activity at cellular adrenergic receptors, all of which are GPCRs. Two groups of NE receptors have been characterized, known as α - and β -adrenergic receptors. The α -adrenoreceptors are divided into α_1 and α_2 families and β -adrenoreceptors are divided into β_1 , β_2 and β_3 . The α_1 family are believed to preferentially couple $Ga_{q/11}$ and act as stimulatory receptors to induce smooth muscle contraction and vasoconstriction.⁷⁰ The α_2 family canonically couple the Ga_i protein to decrease levels of cAMP, and α_2 -receptor activation has been implicated in the prevention of heart fail-ure.^{[71,72](#page-8-0)} All of the β -adrenoreceptors canonically couple G α_s to increase production of cAMP by adenylyl cyclase, and exert multiple stimulatory effects on the heart.^{67[,73](#page-8-0)} Chronic activation of β -adrenoreceptors has been associated with heart failure.⁷³ Thus, NE exerts seemingly

NE has been shown directly affect VICs, enhancing mitral valve contractility and leaflet tension by sympathetic neurotransmission.^{14,15} As noted previously, the close proximity of sympathetic nerve terminals and contractile aortic and mitral VICs indicates at least some role for the sympathetic nervous system in the regulation of valve leaflets. Immunohistochemical localization of sympathetic nerve terminals in close proximity to contractile VICs complements the determination that VICs express α_1 -, α_2 -, β_1 - and β_2 -adrenoreceptors.^{16,[75,76](#page-8-0)}

Valvular nervous systems have been reported to degenerate with age. 77 HVD is associated with aging as well as increased sympathetic activity.⁷⁸ Age-related changes in the autonomic nervous system may help to explain this phenomenon.^{[79](#page-8-0)–[81](#page-8-0)} Diseased mitral valves analyzed by immunohistochemical staining have been shown to significantly upregulate β_1 - and β_2 -adrenoreceptor expression, suggesting that NE may plan an as-yet undescribed role in the regulation of mitral valve prolapse.⁸² It is possible that degeneration of valvular nervous systems and altered adrenoreceptor expression may drive age-related HVD. Overactivation of b-adrenoreceptors in cardiac fibroblasts has been shown to induce proliferation, inflammatory cytokines, and fibrotic proc-esses.^{83–[85](#page-8-0)} Mice deficient in the dopamine hydroxylase enzyme cannot synthesize NE and have exhibited impaired proliferation during vascular remodeling.⁸⁶ NE may serve as a mitogen to valve tissues as well. In VICs, norepinephrine has been shown to stimulate expression of the marker of differentiation α SMA, while downregulating TGF- β through β -adrenergic signaling.⁸² The significance of NE signalling on TGF- β mediated valvulopathy is a topic in need of further study. In the presence of NE, interstitial cells have also been shown to adapt their relative expression of MMPs, collagens, and elastin, which may indicate that adre-nergic neurotransmission modulates the ECM.^{[82](#page-8-0)} While these data suggest a significant role for norepinephrine, there is a clear need for more work in vitro to understand the cellular effects of valvular sympathetic neurotransmission in HVDs.

3. Discussion

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From endothelial cells to the mesencymal cushion to interstitial fibroblasts, the cellular transitions and transdifferentiations involved in the development of heart valves are not autonomous. External stimuli are responsible for activating EMT in the embryo, and it is plausible to suggest that this EMT could be reactived in adulthood. The addition of substances that have the ability to act through different axes (i.e. neuronal and systemic) may represent the catalyst needed for a secondary remodeling of the valve, changing the cellular homeostasis and thus the valve function. Neurotransmitters have the ability to impact the valve systemically and neuronally, and they may be uniquely suited to trigger significant pathological valve remodeling.

This review draws attention to an issue that, up to this point, has been under-described in the literature: a clinically important association between neurotransmitters and valvular diseases. This association has implications for two distinct but overlapping groups. The first group is patients prescribed medications that affect systemic levels of neurotransmitters and the clinicians that prescribe them, and the second is clinicians and scientists investigating potential drug therapies to prevent or manage HVDs. For the former group, a greater understanding of the potential valvular implications of medications that treat psychiatric, neurologic and

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Table 2 Studies of heart valve disease associated with exposure to selective serotonin reuptake inhibitors (SSRIs) and serotonin-norepinephrine reuptake inhibitors (SNRIs)

. endocrine disorders provides a more complete picture of the risks and benefits of different pharmacologic treatments. For the latter group, HVDs have a significant window period when clinical signs and symptoms are not present, a paradigm that supports the development of medical treatments to delay or prevent the need for surgical or percutaneous valvular intervention. An examination of the neurochemical basis of HVD reveals several clinically relevant perspectives for both of these groups.

Serotonergic $5HT_2$ receptors, particularly $5HT_{2B}$, have been shown to induce pathological remodeling of heart valves through a variety of mechanisms.^{39,40,50,[52](#page-7-0)} 5HT_{2B} activation is a common feature of valvulopathic drugs, and $5HT_{2B}$ agonism is a characteristic screened by the US National Institute of Mental Health Psychoactive Drug Screening Program to identify drug compounds with potential adverse effects on valves.⁸⁷ Research studies have been done in an attempt to block these receptors when using serotonin. $5HT_{2B}$ antagonists in vitro have been used to inhibit 5HT-induced valve remodeling and reduce intracellular oxidative stress.^{[27](#page-7-0)[,88](#page-8-0)} Antagonism of $5HT_{2B}$ receptors has been shown to attenuate p38 MAPK activation by avoiding pathological TGF- β signalling, possibly by receptor internalization of Src within the antagonized GPCRs.⁸⁹ In vivo murine studies support this work, and have found that terguride, a dopamine receptor agonist and a $5HT_{2A}$ and $5HT_{2B}$ antagonist, protects heart valves from fibrosis and heart failure during longterm 5HT administration and post-banding of the pulmonary artery, respectively[.47,](#page-7-0)[90](#page-8-0) Rats given pergolide, a valvulopathic ergoline derivative,

developed severe aortic and mitral regurgitation secondary to valvular thickening, but administration of the $5HT_{2B}$ receptor antagonist cyproheptadine prevented these effects.⁹¹

The serotonin-norepinephrine reuptake inhibitor (SNRI) sibutramine has been repeatedly shown to exempt heart valves from the damage observed with other serotonergic drugs, and in some studies has even been shown to attenuate pathological cardiac remodeling in obese patients.^{92–93} However, it is unclear how much of this cardioprotective effect is due to weight loss and caloric restriction and how much is due to cellular signalling mechanisms. Because sibutramine blocks reuptake of a spectrum of monoamines, it remains to be conclusively determined whether pure SSRIs infer a greater risk for HVD (see Table 2). An early case control study examining large cohorts of patients exposed to SSRI before hospitalization found no association between SSRI use and relative risk of developing $HVD⁹⁴$ It is notable that the patients in this study were exposed to SSRIs for unknown amounts of time. A recent study that accounted for dose and treatment duration, albeit in smaller cohorts, established a dose-dependent relationship between SSRI use and HVD with a significant odds ratio of $3.08⁹⁵$ In a progressive disorder such as HVD, duration of SSRI exposure is likely an important factor in considering associations. Another study evaluated SSRI use among patients who had taken benfluorex, an anorexic with $5HT_{2B}$ agonist activity, and found that SSRI use did not correlate with an increased risk of valvular regurgitation.⁹⁶ However, because benfluorex has been shown to bind $5HT_2$ receptors, these results may not effectively

Table 3 Selected neurotransmitters shown to influence the biomechanical properties of heart valves and potentially affect the progression of HVD

estimate the risk associated with SSRIs alone.^{[92](#page-8-0)} Further studies must be performed which account for the dose and duration of SSRI exposure, exclusive of other valvulopathic agents, in order to properly estimate the safety of these drugs.

This body of evidence would suggest an increasing role for multidisciplinary teams when selecting treatments for patients with psychiatric or neurologic disorders. Psychiatrists and neurologists must work closely with cardiac specialists to ensure that treatments for psychiatric disorders do not unintentionally contribute to the development and progression of valvular diseases. These patients are at significant risk to go un- or under-monitored if what we have learned from past clinical experiences is not kept in mind. Further study of these medications will provide a clearer and more comprehensive picture of the potential valvular implications of medications that affect neurotransmitters, which will facilitate a more accurate assessment of their risks and benefits.

4. Conclusions

The regulation of valve interstitial cell phenotypic plasticity and its effect on ECM architecture remains a key unanswered question in heart valve biology. This may have significant implications for clinical practice as there are no molecular-based tools to determine the risk of HVD patients for adverse clinical outcomes. Here we present evidence of mechanisms by which neurotransmitters influence the behaviour of heart valve cells. Dysregulation of these mechanisms may initiate changes to the valve microstructure associated with HVD, of which serotonin dysregulation seems to be the most prominent. Interestingly, neurotransmitters are able to effect these changes through both neuronal and systemic pathways. Invasive structural intervention, either percutaneously or through a surgical approach, is currently the only therapy for HVD, and patients are thus forced to wait until the disease progresses to operable severity. The molecular neurotransmitter systems discussed here represent potential targets for future medical therapies for HVD. HVD is a complex disorder that progresses through many phases, and the neurotransmitters we present here may provide new insights into the pharmacodynamics and offers a variety of drug targets for different stages of the disease.

Highlights

- Neurotransmitter molecules may regulate molecular mechanisms of HVD (Table 3).
- Valve interstitial cells multiply and transform in response to monoamine signals.
- $5HT_{2B}$ receptor antagonism may represent a therapy for subclinical valve disease.
- Future topics of research include clearer elucidation of the mechanisms through which neurotransmitters may promote HVDs and sympathetic leaflet innervation.

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