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## Changes in LDL size phenotypes associate with changes in apolipoprotein C-III glycoforms after dietary interventions

Saulo Mendoza<sup>1,\*</sup>, Olgica Trenchevska<sup>2,\*</sup>, Sarah M. King<sup>3</sup>, Randall W. Nelson<sup>2</sup>, Dobrin Nedelkov<sup>2</sup>, Ronald M. Krauss<sup>3</sup>, and Hussein N. Yassine<sup>1,\*</sup>

<sup>1</sup>Department of Medicine, University of Southern California, Los Angeles, USA

<sup>2</sup>The Biodesign Institute, Arizona State University, Tempe, AZ, USA

<sup>3</sup>Atherosclerosis Research, Children's Hospital Oakland Research Institute, Oakland, California, USA

### Abstract

**Background**—The presence of small dense LDL is associated with obesity and type 2 diabetes and an increased risk for cardiovascular disease. Apolipoprotein C-III (apoC-III) is involved in the formation of small dense LDL, but the exact mechanisms are still not well defined. ApoC-III is a glycosylated apolipoprotein, with three major glycoforms: apoC-III<sub>0</sub>, apoC-III<sub>1</sub>, and apoC-III<sub>2</sub> that contain zero, one, or two molecules of sialic acid, respectively. In our previous work, we reported an association among apoC-III<sub>0</sub> and apoC-III<sub>1</sub>, but not apoC-III<sub>2</sub> with fasting plasma triglyceride levels in obesity and type 2 diabetes.

**Objective**—The goal of this study was to determine the relationship between changes in the major apoC-III glycoforms and small dense LDL levels after dietary interventions.

**Methods**—Mass spectrometric immunoassay (MSIA) was performed on fasting plasma samples from 61 subjects who underwent either a high carbohydrate diet (n=34) or a weight loss intervention (n=27).

**Results**—After both dietary interventions, changes in total apoC-III concentrations were associated with changes in LDL peak particle diameter ( $r = -0.58$ ,  $p < 0.0001$ ). Increases in total apoC-III concentrations following the high carbohydrate diet were associated with decreases in LDL size ( $r = -0.53$ ,  $p = 0.001$ ), and decreases in apoC-III concentrations following weight loss were associated with increases in LDL peak particle diameter ( $r = -0.54$ ,  $p = 0.004$ ). Changes in concentrations of apoC-III<sub>1</sub> and apoC-III<sub>0</sub>, but not apoC-III<sub>2</sub>, were associated with changes in LDL peak particle diameter in both the weight loss and high carbohydrate interventions.

\* **Corresponding Author:** Hussein Yassine, MD, Assistant Professor, University of Southern California, 2250 Alcazar St, rm 210, Los Angeles, CA 90033, USA, hyassine@usc.edu, Phone: +1-323-442-1909, Fax: +1-323-442-2808.

\*Equal contributions

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There are no conflicts of interest.

**Conclusion**—We conclude that apoC-III<sub>0</sub> and apoC-III<sub>1</sub>, but not apoC-III<sub>2</sub> are associated with the formation of small dense LDL.

### Keywords

Low density lipoprotein; metabolism; Apolipoprotein C-III; mass spectrometry; sialylation

## Introduction

In the US, about two thirds of the population is estimated to be either obese or overweight (1). Obesity is associated with increased risk of type 2 diabetes and cardiovascular disease (CVD) (2). One obesity-associated CVD risk factor is increased concentration of small dense low-density lipoprotein (LDL) particles (3). Type 2 diabetes and metabolic syndrome are known to be associated with higher incidence of the small dense LDL phenotype (4). LDL size is influenced both genetically and by dietary or weight loss interventions (5, 6). High carbohydrate diets are associated with increased plasma levels of small dense LDL(7). In contrast, weight loss interventions are associated with an increase in LDL particle size that shifts from small dense to larger and more buoyant(5).

The mechanism(s) for the formation of small dense LDLs are not well understood, however apoC-III appears to be implicated in their formation (8, 9). A high carbohydrate diet increased the production and reduced the plasma clearance of VLDL particles (10). The changes in VLDL metabolism are attributed in part to increases in plasma apoC-III concentrations (11). VLDLs are the main precursors of LDLs, and higher VLDL levels promote the production of small dense LDL at least in part by the action of hepatic lipase (9). By this mechanism, apoC-III appears to influence the formation of smaller denser LDL (8). ApoC-III rich small dense LDL are also more prone to bind arterial proteoglycans, thereby increasing the affinity of small dense LDL to arterial walls and contributing to the atherogenicity of apoC-III (12–14).

ApoC-III exists in several different glycoforms in plasma; the most common glycosylated forms are apoC-III<sub>0</sub>, apoC-III<sub>1</sub> and apoC-III<sub>2</sub>. These glycoforms differ by their sialic acid content in the glycan motif, having zero, one and two sialic acid residues correspondingly, attached to a glycan core of N-acetylgalactosamine (Gal-NAc) and galactose (Gal) (15). Sialylation occurs in the Golgi apparatus, and is mediated by a group of sialyltransferases, whereas lysosomal neuraminidase regulates the desialylation (16). Assessment of apoC-III's sialylated glycoforms using traditional approaches such as isoelectric focusing is time consuming and not amenable to large sample sizes. We developed a mass spectrometric immunoassay (MSIA) to assess apoC-III glycoforms in plasma (15, 17). MSIA allows for identification of 12 different apoC-III glycoforms that differ by their content of galactose (Gal), N-acetyl galactosamine (GalNAc), fucose, alanine truncations or sialic acid residues in a single assay and in a high throughput manner.

The degree of apoC-III sialylation is under metabolic control. In previous work, we reported an association of apoC-III<sub>0</sub> and apoC-III<sub>1</sub>, but not apoC-III<sub>2</sub> with fasting plasma triglyceride (TG) levels in a group of obese youth with high TG levels (15), and in the setting of type 2 diabetes(18). Decreased apoC-III<sub>2</sub> to apoC-III<sub>1</sub> ratio was also observed in adults with

metabolic syndrome (19). The apoC-III<sub>2</sub> to apoC-III<sub>1</sub> ratio was reduced following weight loss by caloric restriction (20), or bariatric surgery (21). In contrast, increased apoC-III<sub>0</sub> was observed after carbohydrate feeding in rats and humans (22, 23). We hypothesized that apoC-III<sub>0</sub> and apoC-III<sub>1</sub>, but not apoC-III<sub>2</sub> were associated with small dense LDL peak particle diameter in samples from individuals who either underwent a high carbohydrate diet (carb), or diet-induced weight loss intervention.

## Materials and Methods

### IRB

The studies were approved by the Lawrence Berkeley Laboratory at University of California, and by the Children's Hospital Oakland Research Institute IRBs.

### Participants

This is a post-hoc analysis of samples obtained from two dietary interventions (weight loss or high carbohydrate). The participants had no history of cardiovascular disease or other chronic diseases and were not taking medications that affect lipid metabolism, blood thinning agents or hormones. All participants had a BMI between 25 kg/m<sup>2</sup> and 30 kg/m<sup>2</sup>, LDL cholesterol lower than 95<sup>th</sup> percentile, TG concentration <500mg/dl, fasting glucose concentration <126mg/dl, systolic blood pressure <150 mm Hg, diastolic blood pressure < 90 mm Hg, were non-smokers, and did not drink during the duration the study was performed.

**Weight loss intervention**—Plasma samples were obtained from a previously conducted study (5), in which over a 3-year period, 133 overweight men (BMI:25–30 kg/m<sup>2</sup>) were enrolled in a dietary intervention program designed to reduce weight by ~9 kg (weight loss arm; *n* = 96) or maintain weight (control arm; *n* = 37). The diet was designed to provide 40% carbohydrate, 40% fat (14% saturated, 19% monounsaturated, and 7% polyunsaturated), and 20% protein over 6-day cycles. Men were recruited based on LDL subclass pattern (A-large buoyant, or B-small dense), placed on a run-in study diet for 3 weeks, and randomized into the two arms of the study. Men in the weight loss arm followed a 9-week weight loss program followed by a 4-week weight-stable period. ApoC-III measurements were performed on available plasma obtained from a subset of overweight men in the weight loss arm who converted from pattern B to pattern A LDL (*n*=15) and in men who continued to express pattern B LDL (*n*=12) after achieving a BMI of less than 25kg/m<sup>2</sup> through diet.

**High carbohydrate dietary intervention**—Plasma was obtained after overnight fast from 34 men who had undergone an isocaloric dietary intervention consisting of a low carbohydrate (carb) diet followed by a high carb, and who were determined to have LDL pattern A on the low carb diet. The diets were consumed for either 3 week (*n*=10) or 4 week (*n*=24); the results did not differ for these groups, and were therefore pooled. The dietary protocol was administered on an outpatient basis as described for a previous study [7]. In brief, the low carb diet was designed to supply 45% of calories from carb and 40% from fat (13.0% saturated, 11.0% monounsaturated, 13.8% polyunsaturated, and 3.4% *trans*), with

15% protein. The high carb diet was designed to supply 65% of calories from carb and 20% of calories from fat (4.9% saturated, 9.9% monounsaturated, 5.1% polyunsaturated, and 2.4% *trans*), with 15% protein. There were no differences in dietary cholesterol and the ratio of simple:complex carbohydrate was  $\approx$ 50:50 with both diets. ApoC-III measurements were performed on available plasma selected from participants who converted from pattern A to pattern B LDL (n=14) and who continued to express pattern A LDL (n=20) after completing the carb intervention.

### Laboratory Measurements

Plasma samples were prepared within 2 h of collection from venous blood collected in tubes containing Na<sub>2</sub>EDTA (1.4 g/l) and a preservative cocktail of protease and bacterial inhibitors. Blood and plasma were kept at 4 °C throughout processing. Plasma total cholesterol (TC) and TG concentrations were determined by enzymatic procedures on an Express 550 Plus analyzer (Ciba Corning, Oberlin, OH). These measurements were consistently in control as monitored by the Centers for Disease Control and Prevention—National Heart, Lung, and Blood Institute standardization program. HDL cholesterol was measured after dextran sulfate precipitation of plasma. LDL cholesterol was calculated from the formula of Friedewald *et al.* with all plasma samples in this study having TG concentrations <400 mg/dl(24). Apolipoproteins A-I (apoA-I) and B (apoB) were measured by immunoturbidimetric assay (Express 550 Plus Analyzer; Bacton Assay Systems, San Marcos, CA).

### LDL phenotype

Non-denaturing polyacrylamide gradient gel electrophoresis with lipid staining of plasma was performed as described previously for determination of peak LDL particle diameter and LDL subclass patterns A and B (7). Pattern B is characterized by a major peak of smaller, denser LDL particles (LDL-III, diameter 255A<sup>0</sup> or less), often with skewing to larger particle diameters. Pattern A is characterized by a predominance of larger, more buoyant LDL particles (LDL-I or II diameter 264A<sup>0</sup> or greater), often with skewing to smaller particle diameters (25).

### ApoC-III ELISA

Total apoC-III concentrations were determined by sandwich ELISA. ELISA was performed as previously described (15), using apoC-III affinity purified antibodies and apoC-III protein standard from Academy Biomedical (apoC-III (33A-G2b), and HRP Goat Anti-Human apoC-III (33H-G2a)). ApoC-III antibody was tested for cross reactivity and there was no evidence that apoC-III antibody cross reacted with any of the analyzed apolipoproteins (apoC-II, apoC-I). The inter- and intra-assay coefficients of variation were less than 10%.

### MSIA

Measurements of apoC-III glycoforms were performed using triplexed mass spectrometric immunoassay (MSIA) for analysis of apoC-I, apoC-II and apoC-III, as previously described (17). In short, affinity pipettes were first complexed with corresponding antibodies (0.4, 2.25 and 2.5  $\mu$ g of anti-apoC-I, anti-apoC-II and anti-apoC-III per pipette respectively). Samples

(1  $\mu$ L plasma) were diluted 120-fold in PBS, 0.1% Tween, and then a Multitek 96-channel robot was used to capture all apoC glycoforms from each analytical sample by repeated aspirations through the pipette tip. Non-specifically bound proteins were rinsed away, whereas captured apolipoproteins were eluted directly onto a 96-well formatted MALDI target using a sinapinic acid matrix. Using an Ultraflex III MALDI-TOF instrument (Bruker, Billerica, MA) operating in positive ion mode, linear mass spectra were acquired from each sample spot. On average, 5000 laser shots mass spectra were saved for each sample. Obtained mass spectra were internally calibrated using protein calibration standard-I, and further processed with Flex Analysis 3.0 software (Bruker Daltonics). All peaks representing apolipoproteins and their glycoforms were integrated baseline-to-baseline using Zebra 1.0 software (Intrinsic Bioprobes Inc.), and the obtained peak area values were tabulated. The peak areas were corrected individually with baseline noise-bin signals. The abundance of each apoC-III glycoform was calculated as relative percentage of the total apoC-III. MSIA can detect a total of 12 apoC-III glycoforms (Supplementary Figure 1), which present with variations in the content of galactose (Gal), N-acetyl galactosamine (GalNAc), fucose, alanine truncations or sialic acid residues. Because of the higher abundance and potential functional importance of the sialylations, analysis of apoC-III was largely restricted to these glycoforms. Characteristics of apoC-III glycoforms identified by MSIA are summarized in Supplementary Table 1. The concentration of each glycoform was obtained by multiplying the relative abundance of each apoC-III glycoform obtained by MSIA with total apoC-III concentrations obtained by the ELISA. The four glycoforms analyzed in this study were apoC-III<sub>0a</sub>, and apoC-III<sub>0b</sub>, apoC-III<sub>1</sub>, apoC-III<sub>2</sub> given the functional relevance of apoC-III sialylation and the lower abundance of the other glycoforms. ApoC-III<sub>0a</sub> corresponds to the full-length sequence of unmodified apoC-III protein (MW = 8765 Da), and apoC-III<sub>0b</sub> has galactose and GalNAc residues. Plasma apoC-III<sub>1</sub> was greatest in abundance, followed by apoC-III<sub>0b</sub> and apoC-III<sub>2</sub>, whereas apoC-III<sub>0a</sub> concentrations were least in abundance.

### Statistical Analysis

Based on a previous study that examined total apoC-III levels in triglyceride-rich particles (TRL) after a high carbohydrate diet (7), apoC-III concentrations increased by 22  $\mu$ g/mL with a SD of 36. This translates into an effect size of 0.61. With 34 participants who completed the high carb dietary program, we estimated that we will have 93% power of detecting a significant change in total apoC-III after the intervention with a two-sided  $\alpha=0.05$ . We expected a similar effect size for the weight loss program on decreasing apoC-III concentrations. The goal of the study was to test the association of apoC-III glycoforms with conversion or regression from small dense LDL peak particle diameter (pattern A or pattern B) during the weight loss or the high carbohydrate dietary interventions, and these two groups were pre-specified. Data were summarized as means and SD for normally distributed data, and medians and interquartile range for TG levels (not normally distributed). Changes in apoC-III glycoforms, LDL size, TG levels and HDL cholesterol were defined as the difference between the measurements at baseline and follow-up. Comparisons at baseline, as well as the changes pre- and post-treatment were made using a paired t-test or using a multivariate linear regression model to allow for adjustment of baseline variables or covariates. The dependent variable in the model was LDL particle size. The independent variables were the apoC-III glycoforms. The main covariates were

TG, HDL-C, apoB and apoA-1 concentrations. Correlations coefficients were presented using Pearson (normally distributed) or Spearman correlation coefficient (skewed distributions). Statistical significance for the four apoC-III glycoforms was defined by  $p$  value  $<0.0125$  to account for multiple comparisons ( $0.05/4$  glycoforms examined). Statistical program R version 3.3 was used to perform the data analyses.

## Results

### Baseline characteristics of the study groups

ApoC-III glycoform concentrations were measured in a total of 61 samples from predominantly white middle-aged males, with no known history of cardiovascular disease or other chronic conditions. Characteristics of the two study populations before intervention (carb diet or pre-weight loss) are shown in Table 1. By design, all men in the weight loss group had pattern B LDL, whereas all the men in the high carb group had pattern A LDL, as reflected by a significant difference in peak particle diameter. As expected, the weight loss group had higher BMI, higher total cholesterol, lower HDL cholesterol, lower apoA-I concentrations, and higher apoB and LDL cholesterol concentrations when compared to the high carb group. Total plasma apoC-III concentrations were higher in participants with pattern B LDL in comparison to those with pattern A LDL.

### Association between apoC-III glycoforms and LDL size at baseline

In all the study participants, a significant inverse association was observed at baseline between LDL peak particle diameter and the total apoC-III concentration ( $n=61$ ,  $r=-0.28$ ,  $p=0.02$ ). This association was driven by apoC-III<sub>1</sub> ( $n=61$ ,  $r=-0.35$ ,  $p=0.005$ ) and apoC-III<sub>0b</sub> ( $n=61$ ,  $r=-0.43$ ,  $p<0.001$ ), but not apoC-III<sub>2</sub> ( $n=61$ ,  $p=0.6$ ) and apoC-III<sub>0a</sub> ( $n=61$ ,  $p=0.7$ ). A significant positive association between TG and total apoC-III concentration ( $n=61$ ,  $r=0.28$ ,  $p=0.02$ ), apoC-III<sub>1</sub> ( $n=61$ ,  $r=0.36$ ,  $p=0.005$ ) and apoC-III<sub>0b</sub> ( $n=61$ ,  $r=0.42$ ,  $p=0.0007$ ), but not apoC-III<sub>2</sub> ( $n=61$ ,  $r=-0.1$ ,  $p=0.4$ ), or apoC-III<sub>0a</sub> ( $n=61$ ,  $r=0.1$ ,  $p=0.4$ ) was observed. Using a multivariate regression analysis with LDL peak particle diameter as the dependent variable, the association with apoC-III<sub>1</sub> ( $p=0.09$ ), apoC-III<sub>0b</sub> ( $p=0.2$ ) did not persist after adjusting for fasting plasma TG concentrations.

### Association between the change in apoC-III glycoforms and the change in LDL size

After both dietary interventions, changes in total apoC-III concentrations were associated with changes in LDL peak particle diameter ( $n=61$ ,  $r=-0.58$ ,  $p<0.0001$ , Figure 1A). Increases in total apoC-III concentrations following the high carb diet were associated with decreases in LDL size ( $n=34$ ,  $r=-0.53$ ,  $p=0.001$ ), and decreases in apoC-III concentrations following weight loss were associated with increases in LDL peak particle diameter ( $n=27$ ,  $r=-0.54$ ,  $p=0.004$ ). The changes in apoC-III glycoforms concentrations after the weight loss or high carb diet are presented in Table 2. The analysis of the individual apoC-III glycoforms revealed that changes in LDL peak particle diameter were significantly associated with changes in apoC-III<sub>0a</sub> ( $n=61$ ,  $r=-0.57$ ,  $p<0.001$ , Figure 1B), apoC-III<sub>0b</sub> ( $n=61$ ,  $r=-0.48$ ,  $p<0.001$ , Figure 1C) and apoC-III<sub>1</sub> ( $n=61$ ,  $r=-0.53$ ,  $p<0.0001$ , Figure 1D) but not apoC-III<sub>2</sub> ( $n=61$ ,  $p=0.99$ , Figure 1E). The ratio of glycosylated apoC-III to native apoC-III was computed. Changes in apoC-III<sub>0b</sub>/apoC-III<sub>0a</sub> ( $r=0.39$ ,  $p=0.002$ , Figure 2A),

apoC-III<sub>1</sub>/ apoC-III<sub>0a</sub> ( $r=0.41$ ,  $p=0.001$ , Figure 2B) and apoC-III<sub>2</sub>/ apoC-III<sub>0a</sub> ( $r=0.43$ ,  $p=0.0005$ , Figure 2C) were significantly associated with greater changes in LDL peak particle size, indicating that the addition of galactose, one sialic and two sialic acids attenuate the association of apoC-III with small LDL peak particle size.

### Change in apoC-III glycoforms differed by LDL pattern groups

The change in apoC-III<sub>0a</sub>, apoC-III<sub>0b</sub> and apoC-III<sub>1</sub> differed significantly between converters and non-converters in both groups (all,  $p<0.001$ ). Changes in TG levels were associated with changes in apoC-III<sub>0a</sub>, apoC-III<sub>0b</sub>, and apoC-III<sub>1</sub> concentration but not with changes in apoC-III<sub>2</sub>. In a multivariate regression analysis with peak particle diameter as the dependent variable and apoC-III glycoforms, TG, HDL, ApoA-1, apoB as covariates, changes in only apoC-III<sub>1</sub> and HDL cholesterol were independently associated with changes in LDL peak particle diameter.

### Effect of the high carb dietary intervention on apoC-III glycoforms

The changes in apoC-III glycoforms were analyzed in 14 converters to pattern B and 20 non-converters after the high carb diet (Table 3). The changes in apoC-III differed between the converters and non-converters to pattern B LDL ( $p=0.009$ ), and was independent of baseline apoC-III levels ( $p<0.01$ ). In the converters group, concentrations of apoC-III<sub>1</sub>, apoC-III<sub>0a</sub> and apoC-III<sub>0b</sub> were significantly increased, whereas apoC-III<sub>2</sub> levels did not change. Converters also demonstrated increases in TG, and apoB concentrations and decreases in HDL cholesterol and apoA-I concentrations compared to non-converters. In a multivariate model with the change in LDL peak particle diameter as the dependent variable, the effect of apoC-III glycoforms on particle size was attenuated after adjusting to changes in HDL-C and TG concentrations: apoC-III<sub>1</sub> ( $p=0.08$ ), apoC-III<sub>0a</sub> ( $p=0.09$ ) and apoC-III<sub>0b</sub> ( $p=0.04$ ). Among the non-converters, there was a small but significant decrease in LDL peak particle diameter that was not associated with changes in apoC-III glycoforms, TG or HDL cholesterol.

### Effect of the weight loss dietary intervention on apoC-III glycoforms

Changes in apoC-III glycoforms were analyzed in 15 participants who converted from pattern B to pattern A, and in 12 participants who remained at pattern B after the intervention (Table 4). The amount of weight loss did not differ between the groups, and was associated with a significant increase in LDL size, HDL-C and apoA-I concentrations, and a decrease in TG and apoB concentrations. Participants who converted to pattern A had significant decreases in TG, and increases in HDL-C and apoA-I concentrations. ApoC-III<sub>0a</sub>, apoC-III<sub>0b</sub>, and apoC-III<sub>1</sub>, but not apoC-III<sub>2</sub>, decreased after the weight loss intervention in both converters and non-converters. The change in apoC-III glycoforms differed between those who converted to pattern A, or remained at pattern B ( $p=0.02$ ). ApoC-III<sub>0a</sub>, apoC-III<sub>0b</sub>, and apoC-III<sub>1</sub> but not apoC-III<sub>2</sub> concentrations decreased significantly more in converters compared to non-converters. Using a multivariate regression model, apoC-III<sub>1</sub> and HDL-C ( $p<0.001$  for both) concentrations were the only significant predictors of LDL peak particle diameter following weight loss, independent of changes in TG levels, or the other variables. Representative mass spectra from participants who converted from pattern A to pattern B after the high carb diet and pattern B to pattern A after weight loss illustrating the change in

apoC-III<sub>0a</sub>, apoC-III<sub>0b</sub>, and apoC-III<sub>1</sub> in relation to apoC-III<sub>2</sub> are presented in Supplemental Figure 2.

## Discussion

This study provides the first detailed characterization of apoC-III glycoforms following weight loss or high carbohydrate dietary interventions in relation to changes in peak LDL particle diameter. Our findings demonstrate that changes of apoC-III<sub>1</sub>, apoC-III<sub>0a</sub> and apoC-III<sub>0b</sub>, but not apoC-III<sub>2</sub> were associated with changes in LDL peak particle diameter in both interventions. We have previously reported that apoC-III<sub>1</sub>, apoC-III<sub>0a</sub> and apoC-III<sub>0b</sub> but not apoC-III<sub>2</sub> associate with fasting TG levels in a group of obese prediabetic individuals (15) and in patients with type II diabetes (18). We now demonstrate that these apoC-III glycoforms associate with the changes in small dense LDL using two interventions that promoted (high carb diet) or reversed (weight loss) small dense LDL phenotype.

Although LDL subclass pattern B is, in part, genetically influenced (26), it can also be significantly modulated by environmental factors, including dietary carbohydrate intake and adiposity (6). High carbohydrate diet impairs the clearance of VLDL particles (10). There is evidence to support that the effect of such a diet on VLDL clearance is mediated at least in part by apoC-III metabolism. High carbohydrate intake enhances the expression of apoC-III through mechanisms that involve insulin and glucose signaling pathways (27–29). Using kinetic studies, the Sacks group demonstrated that the kinetics of apoC-III in plasma determine the clearance of TRL; possibly through interactions with apoE (8). In normotriglyceridemic participants, the majority of TRL is secreted together with apoE and undergoes fast removal from the circulation by apoE-dependent liver uptake mechanisms. In contrast, in patients with hypertriglyceridemia, TRL metabolism shifts from an apoE-dominated system to an apoC-III-dominated system, which contributes to reduced VLDL clearance. Formation of small dense LDL is linked to apoC-III via increased flux of the overproduced apoC-III-containing VLDL through lipolytic pathways involving hepatic lipase.

The observation that weight loss and increased carbohydrate intake induce opposing phenotypic response on LDL size in conjunction with modulation of apoC-III glycoform metabolism indicate that sialylations may influence changes in LDL size phenotypes. Falko et al. investigated changes in apoC-III glycoforms after a high carb diet for 7 days (23). The investigators identified increases in apoC-III<sub>0</sub> and apoC-III<sub>1</sub> but not in apoC-III<sub>2</sub> in both plasma and VLDL. Similar to the human study, rats fed a high carbohydrate diet selectively increased apoC-III<sub>0</sub> and apoC-III<sub>1</sub>, but not apoC-III<sub>2</sub> in plasma and liver perfusates (22). In a glycome biomarker study, the ratio of apoC-III<sub>2</sub> to apoC-III<sub>1</sub> increased 90 days after gastric bypass in 44 participants (21). Moreover, severe caloric restriction in women increased the ratio of apoC-III<sub>2</sub> to the other apoC-III glycoforms (20). These findings support that the sialylation profile of apoC-III changes after carbohydrate feeding or weight loss interventions.

Sialylation may alter the catabolism of apoC-III glycoforms. Faster catabolism of apoC-III<sub>2</sub>-containing TRL could account for the lack of association with small LDL density patterns or



with elevated TG levels. Sialylation alters the charge of apoC-III on TRL by conferring a negative charge. It is known that apoC-III inhibits apoE-mediated TRL binding and uptake by hepatic receptors (30, 31). The altered charge of apoC-III conferred by disialylation could impede its ability to compete against apoE for TRL particle binding, resulting in reduced inhibition of apoE-mediated delivery of TRL to receptors. We and others have demonstrated that apoC-III<sub>2</sub> is a less efficient inhibitor of VLDL cellular uptake compared with apoC-III<sub>0</sub> or apoC-III<sub>1</sub> on hepatic receptors *ex vivo* (18, 32). This is also demonstrated with sialylation of apoE enhancing the uptake of HDL in HepG2 cells (33). The role of apoC-III sialylation on lipid metabolism *in vivo* merits additional studies.

It is interesting to note a heterogeneous response in LDL patterns to weight loss and high carb diet interventions. In the weight loss study, 58% of participants with pattern B LDL who successfully lost weight converted to pattern A(5), and in the high carb intervention, 41% participants converted from pattern A to pattern B(7). It is likely that genetic polymorphisms (such as single nucleotide polymorphisms-SNPs) influence the response to variation in dietary interventions. GWAS analysis identified significant associations of pattern B LDL with genetic variants in 14 different genes. One of the significant SNPs is rs964184, which is located in the APOA5/C3 gene locus (34). In addition, Human GWAS studies also implicate GALNT2 as an enzyme that regulates HDL and TG metabolism (35), potentially by modulating apoC-III glycosylation (36). GALNT2 enzyme carries the first step of apoC-III glycosylation by adding galactose and galNAC to native apoC-III. Carriers of GALNT2 gene variants have altered apoC-III sialylation patterns (37).

This study has some limitations. This is a post-hoc analysis of samples from previously completed studies in which eligible participants underwent dietary interventions. However, these studies were carefully designed, and samples were pre-specified from participants who responded or did not respond to the dietary interventions to better assess the association between apoC-III glycoforms and LDL peak particle size. Our findings revealed internal consistency in the change in apoC-III glycoforms across the two groups.

A second limitation is that the study was conducted only in white men; therefore, our results may not apply to women or persons from different ethnicities. Samples for these the current analyses were stored for many years at -80 °C before measurements of apoC-III glycoforms were performed. Previous investigation of the effects of storage time and freeze/thaw cycles on these assays has indicated that the measurements are relatively stable (15, 17).

In conclusion, our study demonstrates that apoC-III<sub>1</sub>, apoC-III<sub>0a</sub>, and apoC-III<sub>0b</sub>, but not apoC-III<sub>2</sub> are associated with changes in small dense LDL after the dietary interventions. This study was limited by virtue of the specific population recruited and the interventions used. Our findings support that sialylation of apoC-III has functional relevance to lipoprotein metabolism. Strategies that modify apoC-III should take into account its sialylation profile.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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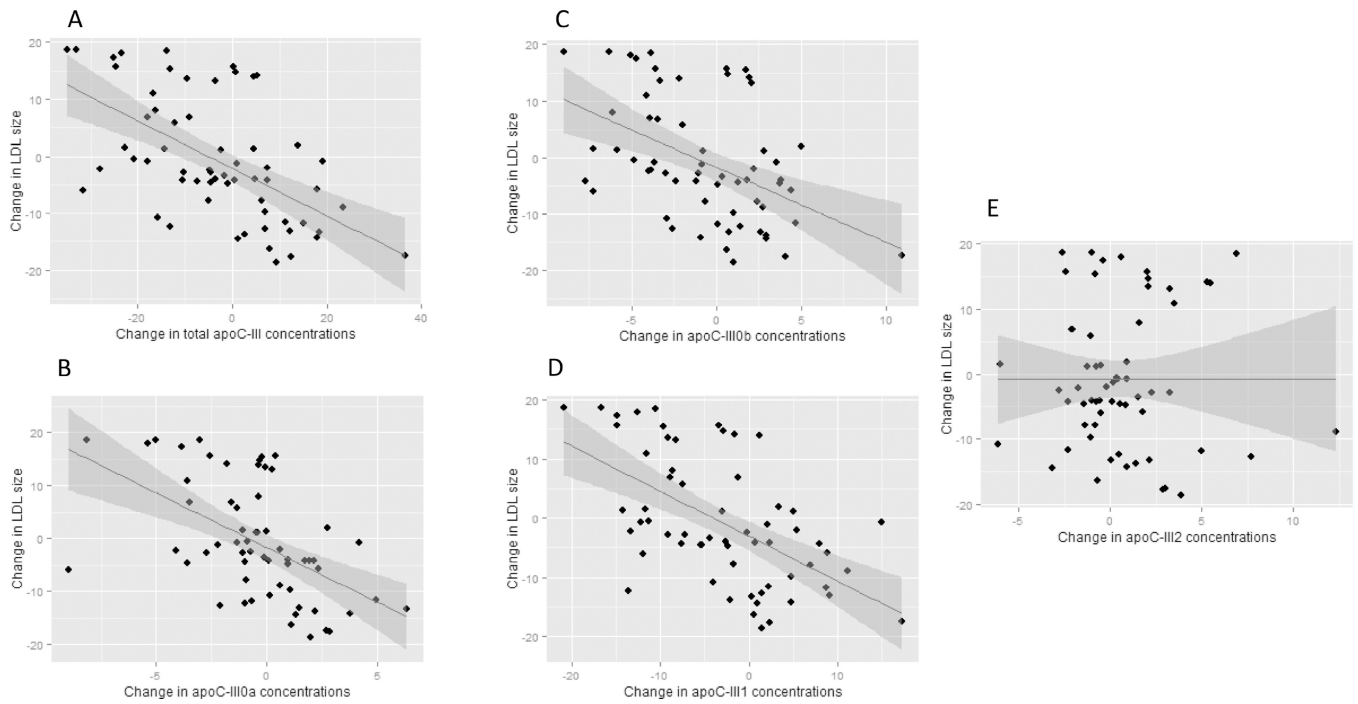
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### Highlights

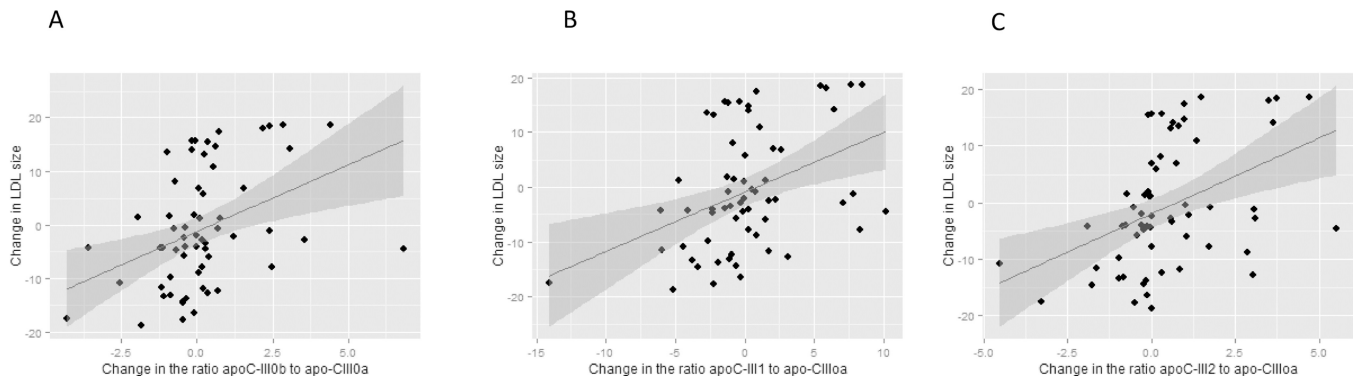
Apolipoprotein C-III (apoC-III) is associated with the formation of small dense LDL.

Plasma apoC-III exists in multiple glycoforms that contain zero, one, or two molecules of sialic acid.

Di-sialylated apoC-III is not associated with small dense LDL after dietary interventions.



**Figure 1.** The association of the change in LDL size with the change in apoC-III glycoform concentrations following high carbohydrate diet and weight loss interventions (n=61). With the exception of apoC-III<sub>2</sub>, an inverse association was observed between the change in apoC-III glycoform concentrations and the change in LDL size after both interventions.



**Figure 2.** The association of the change in LDL size with the change in the ratios of apoC-III<sub>0b</sub>, apoC-III<sub>1</sub> and apoC-III<sub>2</sub> to apoC-III<sub>0a</sub> glycoform following high carbohydrate diet and weight loss interventions (n=61). These results indicate that the addition of galactose and galNAC, one sialic and two sialic acids attenuate the association of greater apoC-III concentrations with lower LDL peak particle size.

**Table 1**

Characteristics of participants

Measurement	High carb Intervention (n=34)	Weight Loss Intervention (n=27)	p-value
Age (years)	47.79 (12.51)	48 (7.57)	0.9
LDL Size (A <sup>0</sup> )	267.98 (4.11)	250.96 (3.31)	<.0001
BMI (kg/m <sup>2</sup> )	25.86(2.97)	27.12 (1.11)	0.03
TG (mg/dL)	93.00 (73,119)	182.00 (144.4, 217)	<.0001
TC (mg/dL)	178.32 (28.71)	201.81 (23.00)	0.0008
LDL-C (mg/dL)	115.97 (24.85)	123.33 (25.05)	0.26
HDL-C (mg/dL)	42.76 (8.41)	37.58 (8.24)	0.02
ApoB (ug/mL)	88.39 (16.02)	109.03 (14.98)	<.0001
ApoA-I (ug/mL)	110.09 (15.56)	98.48 (14.28)	0.004
ApoC-III Total (ug/mL)	77.58 (17.03)	86.92 (11.28)	0.012
ApoC-III <sub>0a</sub> (ug/mL)	5.57 (3.10)	6.50 (2.76)	0.2
ApoC-III <sub>0b</sub> (ug/mL)	14.78 (3.63)	17.76 (2.70)	<.0001
ApoC-III <sub>1</sub> (ug/mL)	39.38 (9.45)	45.29 (5.82)	0.004
ApoC-III <sub>2</sub> (ug/mL)	10.73(3.37)	10.60 (2.96)	0.87

Means (SD) for normally distributed variables or Means (interquartile range) for non-normal distribution

Groups were compared using a Wilcoxon T-test

BMI: Body mass index. TG: Triglyceride. TC: Total cholesterol



**Table 2**

Effect of high carb diet and weight loss on LDL size and apoC-III proteoforms

	Low Carb Pre (n=34)	High Carb Post (n=34)	p-value	Weight Loss Pre (n=27)	Weight Loss Post (n=27)	p-value
ApoC-III Total (ug/mL)	77.58 (17.03)	78.91 (17.06)	0.6	86.92 (11.28)	77.90 (5.90)	<.001
ApoC-III <sub>2</sub> (ug/mL)	10.73(3.37)	11.12 (4.00)	0.5	10.60 (2.96)	11.51 (3.48)	0.2
ApoC-III <sub>1</sub> (ug/mL)	39.38 (9.45)	39.11 (9.91)	0.9	45.29 (5.82)	38.85 (4.60)	<.0001
ApoC-III <sub>0a</sub> (ug/mL)	5.57 (3.10)	5.91 (3.18)	0.5	6.50 (2.76)	5.11 (2.19)	<.001
ApoC-III <sub>0b</sub> (ug/mL)	14.78 (3.63)	14.92 (4.10)	0.8	17.76 (2.70)	15.95 (2.05)	<.001
LDL Size (Å <sup>b</sup> )	267.98 (4.11)	260.39 (7.39)	<.0001	250.96 (3.31)	258.82 (10.44)	<.0001
BMI (kg/m <sup>2</sup> )	25.86(2.97)	25.70 (2.90)	0.6	27.12 (1.11)	24.72 (1.15)	<.0001
TG (mg/dL)	98.05 (33.15)	109.5 (40.50)	0.2	204.44 (86.37)	150.70 (68.21)	0.002
TC (mg/dL)	178.32 (28.71)	177.94 (30.61)	0.9	201.81 (23.00)	199 (22.72)	0.6
LDL-C (mg/dL)	115.97 (24.85)	115.08 (27.75)	0.8	123.33 (25.05)	125.33 (21.31)	0.7
HDL-C (mg/dL)	42.76 (8.41)	40.88 (9.66)	0.2	37.58 (8.24)	43.54 (11.21)	0.007
ApoB (mg/dL)	88.39 (16.02)	91.41 (17.00)	0.2	109.03 (14.98)	87.48 (13.30)	<.0001
ApoA-I (mg/dL)	110.09 (15.56)	107.29 (14.35)	0.2	98.48 (14.28)	118.92 (19.00)	<.0001

Means (SD)

Groups pre post were compared using a Paired T-test

BMI: Body mass index. TG: Triglyceride. TC: Total cholesterol

**Table 3**  
Effect of high carb diet on LDL size and apoC-III proteoforms by LDL conversion groups

	Pattern A Low Carb (n=14)	Pattern B High Carb (n=14)	p-value	Pattern A Low Carb (n=20)	Pattern A High Carb (n=20)	p value
ApoC-III Total (ug/mL)	72.55 (13.50)	83.65 (18.18)	0.004	81.1 (18.64)	75.59 (15.84)	0.11
ApoC-III <sub>2</sub> (ug/mL)	9.32 (3.05)	10.63 (4.54)	0.04	11.72 (3.30)	11.47 (3.65)	0.96
ApoC-III <sub>1</sub> (ug/mL)	38.47 (8.57)	42.47 (10.91)	<.0001	40.01 (10.19)	36.76 (8.66)	0.17
ApoC-III <sub>0a</sub> (ug/mL)	4.63 (2.71)	6.70 (3.29)	0.004	6.23 (3.24)	5.35 (3.06)	0.44
ApoC-III <sub>0b</sub> (ug/mL)	13.88 (2.38)	16.48 (3.42)	0.008	15.41 (4.24)	13.83 (4.26)	0.26
LDL Size (A <sup>b</sup> )	266.007 (1.95)	253.42 (5.30)	<.0001	269.37 (4.68)	265.27 (3.87)	<.0001
BMI (kg/m <sup>2</sup> )	26.52 (3.09)	26.47 (3.12)	0.3	25.405 (2.87)	25.17 (2.69)	0.03
TG (mg/dL)	94.92 (37.66)	143.07 (35.58)	0.0007	100.25 (30.44)	86 (23.90)	0.126
TC (mg/dL)	169.21 (29.51)	174.5 (30.88)	0.07	184.7 (27.05)	180.35 (30.99)	0.778
LDL-C (mg/dL)	110.92 (25.39)	112.21 (27.33)	0.147	119.5 (24.48)	117.1 (28.56)	0.05
HDL-C (mg/dL)	39.28 (6.25)	33.57 (5.91)	<.0001	45.2 (9.00)	46 (8.44)	0.15
ApoB (mg/dL)	85.28 (18.54)	98.21 (16.95)	<.0001	90.68 (13.96)	86.65 (15.72)	0.05
ApoA-I (mg/dL)	103.5 (12.20)	100.5 (12.81)	0.0012	114.94 (16.25)	112.05 (13.70)	0.13

Means (SD)

Groups pre and post were compared using a paired T-test

BMI: Body mass index. TG: Triglyceride. TC: Total cholesterol

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**Table 4**  
Effect of weight loss on LDL size and apoC-III proteoforms by LDL conversion groups

	Pattern B Pre (n=15)	Pattern A Post (n=15)	p-value	Pattern B Pre (n=12)	Pattern B Post (n=12)	p-value
ApoC-III Total (ug/mL)	91.29 (10.55)	77.7 (4.84)	0.001	81.45 (10.02)	78.15 (7.24)	0.14
ApoC-III <sub>2</sub> (ug/mL)	11.06 (3.45)	12.76 (3.69)	0.037	10.03 (2.21)	9.94 (2.55)	0.96
ApoC-III <sub>1</sub> (ug/mL)	47.39 (5.57)	37.77 (4.29)	<0.001	42.68 (5.21)	40.21 (4.81)	0.17
ApoC-III <sub>0a</sub> (ug/mL)	7.17 (2.97)	4.89 (2.41)	0.004	5.66 (2.34)	5.38 (1.95)	0.44
ApoC-III <sub>0b</sub> (ug/mL)	18.3 (2.94)	15.55 (1.61)	0.008	17.08 (2.32)	16.46 (2.49)	0.26
LDL Size (A <sup>b</sup> )	252.39 (2.41)	267.47 (3.60)	<0.001	249.18 (3.52)	248.01 (3.46)	<0.001
BMI (kg/m <sup>2</sup> )	26.89 (1.07)	24.09 (0.63)	<0.001	27.42 (1.14)	25.51 (1.20)	<0.001
TG (mg/dL)	172.6 (63.88)	104.86 (23.78)	0.0007	244.25 (96.58)	208 (61.91)	0.126
TC (mg/dL)	210.46 (23.68)	199.2 (24.07)	0.07	191 (17.52)	198.75 (21.97)	0.778
LDL-C (mg/dL)	134.6 (22.09)	127.53 (22.05)	0.147	109.25 (21.76)	122.58 (20.97)	0.05
HDL-C (mg/dL)	41.36 (8.77)	50.66 (8.89)	<0.001	32.86 (4.37)	34.63 (6.40)	0.16
ApoB (mg/dL)	111.53 (15.87)	81.33 (11.96)	<0.001	105.91 (13.82)	95.16 (10.95)	0.05
ApoA-I (mg/dL)	99.6 (16.77)	126.46 (18.62)	0.001	97.08 (10.98)	109.5 (15.44)	0.13

Means (SD)

Groups pre and post were compared using a paired T-test

BMI: Body mass index. TG: Triglyceride. TC: Total cholesterol