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# Development and optimization of a sensitive pseudovirus-based assay for HIV-1 neutralizing antibodies detection using A3R5 cells

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#### ABSTRACT

Sensitive assays for HIV-1 neutralizing antibody detection are urgently needed for vaccine immunogen optimization and identification of protective immune response levels. In this study, we developed an easy-to-use HIV-1 pseudovirus neutralization assay based on a human  $CD4^+$  lymphoblastoid cell line A3R5 by employing a high efficient pseudovirus production system. Optimal conditions for cell counts, infection time, virus dose and concentration of DEAE-dextran were tested and identified. For T-cell lineadapted tier 1 virus strains, significantly higher inhibitory efficiency was observed for both monoclonal neutralizing antibody (4 fold) and plasma (2 fold) samples in A3R5 than those in TZM-bl assay. For circulating tier 2 strains, the A3R5 pseudovirus assay showed even much higher sensitivity for both neutralizing antibody (10 fold) and plasma (9 fold) samples. When sequential neutralizing antibody seroconverting samples were tested in both A3R5 and TZM-bl assays, the seroconverting points could be detected earlier for tier 1 (15.7 weeks) and tier 2 (68.3 weeks) strains in A3R5 assay respectively. The high sensitive pseudovirus assay using more physiological target cells could serve as an alternative to the TZM-bl assay for evaluation of vaccine-induced neutralizing antibodies and identification of the correlates of protection.

# Introduction

It is well accepted that neutralizing antibody (NAb) plays a pivotal role in most successful vaccines against infectious agents, such as polio virus, rabies virus, measles, influenza virus, human papillomavirus.<sup>[1](#page-8-0)</sup> Since the identification of human immunodeficiency virus (HIV) as the pathogen of the acquired immunodeficiency syndrome (AIDS), development of the prophylactic HIV vaccine, which could induce robust and broad NAbs, has been the primary goal to fight against this epidemic. Up to now, a number of potent NAbs have been isolated from HIV-1 infected individuals<sup>[2-4](#page-8-1)</sup> and the protective potency of them has been conformed in animal models.<sup>[5,6](#page-8-2)</sup> Unfortunately, no candidate vaccine, however, has been reported to yield this kind of NAbs. The challenge to develop an effective HIV vaccine was not just the design of appropriate immunogens, but also the establishment of standardized assays to evaluate the protective immune responses to provide information relevant to the in vivo outcomes and guide further modification of the immunogens.

Great efforts have been invested in the development, standardization and implementation of in vitro assays for evaluating potency and breadth of NAbs against  $HIV-1^{7-12}$ . The early neutralization assays employed the T-cell line-adapted (TCLA) viruses to infect cell lines, which were lately proved poorly

predictive of the in vivo outcomes. Subsequently, primary isolated viruses and peripheral blood mononuclear cells (PBMCs) were used for in vitro neutralization assays. However, due to the genetic polymorphisms of PBMCs from different donors and the variability of the primary virus isolates, the experiment variations of intra- or inter-laboratories were quite problematic, which largely restricted its wider applications. To circumvent variations of the tests, pseudoviruses and engeered cell lines were introduced to the neutralization assay. The pseudovirus neutralization assay based on TZM-bl cell was recommended as an optimized and validated approach to assess the sera from HIV-1 vaccines trials<sup>[8](#page-8-4)</sup>, which showed a number of advantages including: high versatility of virus strains, high reproducibility, high throughput, ease and safety of operation, and facilitation of Good Laboratory Clinical Practices validation<sup>13</sup>. The greatest concern about the engineered cell-line based assays was physiologic relevance and representativeness of the value for the in vivo outcomes<sup>[14](#page-8-6)</sup>. Disagreements have been reported between the results obtained between the PBMC-based and cell-line based assays<sup>[15](#page-8-7)</sup>. These discrepancies were found to be attributed to the differences of the surface molecule concentration of target cells, especially the CC chemokine receptor 5 (CCR5) number<sup>16</sup>, which served as a coreceptor for HIV-1 entry. Cell lines with more physiological levels of CCR5 were introduced to the

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neutralization assay, such as the T-lymphoblastoid cell line A3R5 with similar surface CCR5 expression to PBMC, which employed infectious molecular clones<sup>[17, 18](#page-8-9)</sup>. However, when A3R5 were used as target cells for pseudovirus infection, the luciferase signal-to-noise ratio was too weak to yield robust results (Montefiori, personal communication).

In this communication, we employed a highly efficient pseu-dovirus production system<sup>[19](#page-8-10)</sup> to develop a robust pseudovirus neutralization assay based on A3R5. With all the advantages of pseudoviurs, the A3R5 assay showed significantly higher sensitivity than the TZM-bl assay, especially for the detection of weak neutralization against tier 2 HIV-1 strains.

#### **Results**

#### Optimization of cell number for A3R5 neutralization assay

To determine the optimal cell density of A3R5 assay, two NAbs (PG9 and 2F5) and two HIV-1 positive plasma samples (HB118 and BJ170) were tested against one pseudovirus (11036 from subtype B) at different cell densities (from  $1 \times 10^4$  to  $3.2 \times 10^5$ / well) ([Fig. 1\)](#page-1-0). The neutralization titer for the NAbs, showed as IC<sub>50</sub>, decreased sharply with the incremental input of cells (from  $1 \times 10^4$  to  $4 \times 10^4$ /well), and then reached a plateau (from  $4 \times$  $10^4$  to 3.2  $\times$  10<sup>5</sup>/well) ([Fig. 1A\)](#page-1-0). The similar performance was observed for the plasma samples, for which the  $ID_{50}$  values increased and then reached a plateau with the increasing of the target cell density [\(Fig. 1B](#page-1-0)). Data from the two types of samples demonstrated that the sensitivity of the assay increased with the incremental input of the target cells and reached a plateau when the cell number reached  $4 \times 10^4$ /well. It was of noted that goodness of fit  $(R^2 \text{ value})$  for the neutralization curve reached the maximum point when the cell number reached  $8 \times 10^4$ /well, and then decreased slightly with the cell input increasing [\(Fig. 1C](#page-1-0)). Considering both the sensitivity and the variation, the optimal cell number was identified as  $8 \times 10^4$ /well for the A3R5 assay. When the cell number ranged from  $4 \times 10^4$  to  $3.2 \times 10^5$ / well, little variation was found in the neutralization titers. The relatively wide range for the cell number demonstrated good robustness for the pseudovirus A3R5 assay.

#### Optimization of pseudovirus inoculum for neutralization

<span id="page-1-0"></span>We next investigated the optimal inoculum of pseudovirus for A3R5 assay. The amount of pseudovirus inoculum was

defined as  $TCID_{50}$ . The relationship between the pseudovirus input and the sensitivity of the assay was analyzed by detecting and calculating the  $ID_{50}$  with different virus dose (starting from 200 to  $16,000$  TCID<sub>50</sub>/well) [\(Fig. 2](#page-2-0)). A subtype B tier 2 pseudovirus 11036 and a plasma sample from a subtype B HIV-1 infected individuals were employed. Although the input dose of 200 showed the highest sensitivity ([Fig. 2A](#page-2-0)), the neutralization curve was non-smooth ( $R^2 =$ 0.83) [\(Fig. 2B\)](#page-2-0), which usually brought great variation. When the pseudovirus inoculum reached 800  $TCID_{50}/well$  or more, the acceptable  $R^2$  (not less than 0.98) values could be obtained for the neutralization curve ([Fig. 2B\)](#page-2-0). While the sensitivity would decrease dramatically with the virus input not less than 16,000 ([Fig. 2A](#page-2-0)), the validated pseudovirus dose was identified as a range from 800 to 16,000 TCID50/ well. The relatively wide range for the virus input also demonstrated good robustness for the pseudovirus A3R5 assay.

## Optimization of diethylaminoethyl (DEAE)-dextran concentration for pseudovirus infection

Next, we optimized the DEAE-dextran concentration ranging from 1.7 to 144  $\mu$ g/ml. RLU values were found to increase with the increasing amounts of DEAE-dextran between 1.7 to 9.9  $\mu$ g/ml; the RLU readings decreased sharply with the concentration of DEAE-dextran reaching over  $24.2 \mu$ g/ml [\(Fig. 3A](#page-2-1)). The decreased RLU values were found to be due to cellular toxicity induced by DEAE-dextran, especially when DEAE-dextran concentration reached 15.5  $\mu$ g/ml or higher [\(Fig. 3B](#page-2-1)). The optimal concentration of DEAE-Dextran was identified as 10  $\mu$ g/ml to yield satisfactory signal-to-noise ratio without obvious effects on cell viability.

## Determination of incubation time for the pseudovirus A3R5 assay

As part of optimization process, we investigated the proper incubation time for pseudovirus infection assay. A3R5 cells were infected with two-fold serial diluted pseudovirus (39–14 and 11036 from subtype B) and read with luminometer at day 1, 2, 3, 4, 5, 6 and 7 post infection respectively. RLU values increased with prolonging incubation time and reached a maximum point at day 3, and then decreased [\(Fig. 4](#page-2-2)). So the incubation time was identified as 3 days.



Figure 1. Optimization of cell numbers for the A3R5 pseudovirus assay. (A) Influence of cell numbers on neutralization results when tested against monoclonal neutralizing antibody samples (PG9 and 2F5) with pseudovirus 11036. (B) Influence of cell numbers on neutralization results when tested against serum samples (HB118 and BJ170) with 11036. (C) Effect of the cell density on the fitness of the neutralization curve.

<span id="page-2-0"></span>

Figure 2. Optimization of the pseudovirus input for the A3R5 pseudovirus assay. (A) The neutralization curve under different pseudovirus inoculum. (B) Effect of the pseudovirus input amount on the fitness of the neutralization curve.

#### Pseudovirus infection to A3R5 cell

To further investigate the infectivity of the pseudovirus to A3R5, a total of 30 pseudoviruses (10 from CRF01\_AE, 10 from B/B' and 10 from CRF07/08\_BC/C) were screened for their ability to infect the target cells. The average relative luminescence unit (RLU) for the 30 pseudoviruses (5 fold dilution) reached 947041 (the RLU for cell control was 52), which made the luciferase signal-to-noise ratio (18212) high enough for the neutralization assay. When the infectivity of the pseudoviruses derived from different subtypes was compared, no significant difference ( $p > 0.05$ , one-way ANOVA) were found [\(Fig. 5A](#page-3-0)). Similarly, neither the infection phase nor the neutralization sensitivity showed significant effects ( $p > 0.05$ , unpaired t-test) on the infectivity of the pseudoviruses [\(Fig. 5B](#page-3-0) and [C\)](#page-3-0). Pseudoviruses generated in this high-titer system could efficiently infect the A3R5 cells to meet the requirement of the signal-tonoise for neutralization assay.

## Validation of the A3R5 assay

#### **Specificity**

To test non-specific background of human plasma samples, twenty HIV-1 negative samples were used to establish a threshold or Limit of Blank (LOB) in A3R5 assay. These plasma samples were tested against a panel of seven pseudoviruses (SF162, Bal26 from tier 1 subtype B; the remaining from tier 2 strains, 11317 from subtype C; 11056, 11058 from subtype B; BJ5.11, GX24.8 from CRF01\_AE) ([Fig. 6A\)](#page-3-1). The  $ID_{50}$  value 43 was established as the negativity threshold (non-parametric calcula- $\[\text{tion}\]^{18}$  $\[\text{tion}\]^{18}$  $\[\text{tion}\]^{18}$  in A3R5 assay based on the 140 plasma/virus

<span id="page-2-1"></span>

<span id="page-2-2"></span>Figure 3. Optimization of DEAE-dextran concentration for the A3R5 pseudovirus assay. Effect of the DEAE-dextran concentration on infectivity of the pseudovirus (A) and the viability of the A3R5 cells (B).



Figure 4. Determination of incubation time for the pseudovirus A3R5 assay. Relationship between the RLU and the incubation time for pseudovirus 39–14 (A) and 11306 (B).

<span id="page-3-0"></span>

Figure 5. Infectivity of pseudoviruses to A3R5 cells. Pseudoviruses were diluted at 5 fold in triplicate and infected A3R5 cell. The average relative luminescent unit (RLU) values are indicated. (A) The infectivity of pseudoviruses from different subtypes. (B) The infectivity of pseudoviruses from different infection phases. (C) The infectivity of pseudoviruses with different neutralization sensitivities.

combinations data. Therefore, we defined the LOB as 50 for the  $ID_{50}$  value. When 50 for  $ID_{50}$  was used as the cutoff value, only 1 of 140 plasma/virus was considered as positive (false positive rate 0.7%) for A3R5, which is acceptable.

#### Reproducibility

To determine the reproducibility of theA3R5 assay, two plasma samples (HJ182 and HB188) were employed to test against pseudovirus 11036. Each plasma sample was tested ten times in three independent runs by different operators ([Fig. 6B\)](#page-3-1). When the titers of plasma samples were calculated, the intra-assay and inter-assay coefficients of variation were 16.9–26.6% and 21.2–25.6%, respectively, indicating good reproducibility.

#### Linear range and detection limits

The linear range for the A3R5 assay is the interval between the upper and lower concentration of an inhibitor for which it has been proved that the analytical procedure has a suitable level of linearity. Neutralization curves generated with plasma samples give a consistent pattern of linearity over a range of 20–80% reductions in RLU within a determined virus dose range [\(Fig. 2A\)](#page-2-0). Values in this linear range were proportional to the concentration of neutralizing antibodies in the sample.

<span id="page-3-1"></span>Limit of quantitation (LOQ) is the lowest concentration of tested sample that can be quantified. The LOQ of the A3R5

assay was defined as lowest concentration of neutralizing antibody or the highest dilution of plasma that reduces RLU by 50%. The 50% point was chosen because it lies in the middle of neutralization curves (20–80% reductions in RLU). Failure to score at least 50% reduction of RLU at the determined dilution of concentration was considered as negative.

# Comparison of the pseudovirus A3R5 assay with the TZM-bl assay

Firstly, we compared performances of two subtype B tier 1 pseudoviruses (SF162 and Bal.26) in the two kinds of target cells, for which we employed five NAbs (2F5, 4E10, PG16, 2G12 and b12) and five subtype B HIV-1 positive plasma samples (HB4, HB118, HB120, BJ182 and TJ208) ([Fig. 7\)](#page-4-0). For two tier 1 pseudoviruses, both of the NAb and plasma samples showed higher inhibitory efficiency in the A3R5 assays (Wilcoxon matched pairs test,  $p < 0.05$  for NAbs, and  $p < 0.01$  for plasma samples. Compared to the TZM-bl assay, the sensitivity of A3R5 assay enhanced 4 and 2 fold for NAb [\(Fig. 7E](#page-4-0)) and plasma samples [\(Fig. 7F\)](#page-4-0) respectively.

Then, we investigated the sensitivity of the two assays, using the above NAbs and plasma samples for subtype B tier 2 pseudovirus (11036 and 11058), and another five CRF07/08\_BC plasma samples (GZ48, HN60, GX66, GX90 and GX95) for



Figure 6. Validation of the pseudovirus A3R5 assay. (A) specificity: 20 HIV-1-negative plasma samples were tested against a panel of seven pseudoviruses (SF162, Bal26 from tier 1 subtype B; the remaining from tier 2 strains, 11317 from subtype C; 11056, 11058 from subtype B; BJ5.11, GX24.8 from CRF01\_AE). (B) Reproducibility: two plasma samples (HJ182 and HB188) were employed to test against pseudovirus 11036. Each plasma sample was tested ten times in three independent runs by different operators.

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Figure 7. Comparison of the pseudovirus A3R5 assay with the TZM-bl assay tested against tier 1 pseudoviruses. Five NAbs (2F5, 4E10, PG16, 2G12 and b12) were tested against two pseudoviruses SF162 (A) and Bal.26 (B). Five plasma samples (HB4, HB118, HB120, BJ182 and TJ208) were tested against the same two pseudoviruses SF162 (C) and Bal.26 (D). The diagonal line depicts x = y (TZM-bl ID<sub>50</sub>/IC<sub>50</sub> = A3R5 ID<sub>50</sub>/IC<sub>50</sub> values). Statistical analyses for NAb (E) and plasma (F) samples were conducted respectively (Wilcoxon matched pairs test,  $^*$  for  $p$  < 0.05, and  $^{**}$  for  $p$  < 0.01).

subtype C tier 2 pseudoviruses (11317 and 11506) [\(Fig. 8\)](#page-5-0). For the tier 2 pseudoviruses, the A3R5 assay gave much higher sensitivity against NAbs (10 fold higher) and plasma samples (9 fold higher) (Wilcoxon matched pairs test,  $p < 0.001$  for both NAbs and plasma samples) ([Fig. 8I](#page-5-0) and [J\)](#page-5-0).

Besides NAb and chronic HIV-1 positive samples, we tested serial NAb seroconverting plasma samples against the pseudoviruses in both A3R5 and TZM-bl cells. Serial NAb seroconverting plasma samples were collected from 8 CRF01\_AE-infected individuals (YA087, YA093, YA99, YA141, YA155, YA165, YA218 and YA233) from Beijing You'an Hospital (Table S1). Similarly, we detected the neutralizing antibody titers for samples from each blood collecting point against a tier 1 pseudovirus SF162 in both A3R5 and TZM-bl assays ([Fig. 9\)](#page-5-1). For most of the samples, higher  $ID_{50}$ values were obtained in the A3R5 assay. The average seroconverting point detecting in A3R5 was 15.7 weeks earlier than that in TZM-bl assay.

Finally, the CRF01\_AE seroconverting samples were tested against three tier 2 pseudovirruse strains derived from the same subtype (BJ5.11, GX24.8 and GX71.18)<sup>[20](#page-8-12)</sup>. The neutralizing titers detected in A3R5 were much higher than those in TZMbl cells. And the average seroconverting points was 68.3 weeks earlier in A3R5 than that in TZM-bl assay [\(Fig. 10\)](#page-6-0).

# **Discussion**

Here we developed a high-sensitive pseudovirus neutralization assay based on a T-lymphoblastoid cell line A3R5 by using a high-efficient pseudovirus production system<sup>[19](#page-8-10)</sup>. According to our knowledge, HIV-1 pseudovirus has not been successfully applied for neutralization detection in the primary PBMC or Tlymphocyte derived cell lines due to its relatively weak signals. Almost 100 times more RLUs could be generated in our new system than the traditional one to yield robust signal-to-noise ratio to meet the requirements for A3R5 and PBMC (data not shown) assays.

Compared with the traditional pseudovirus neutralization based on TZM-bl cells, the A3R5 assay showed significantly higher sensitivity for both quality and quantity assessment,

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Figure 8. Comparison of the pseudovirus A3R5 assay with the TZM-bl assay tested against tier 2 pseudoviruses. Five NAbs were tested against four tier 2 pseudoviruses 11036 (A), 11058 (B) and 11317 (C), and 11506 (D). Five plasma samples were tested against the same four pseudoviruses 11036 (E), 11058 (F) and 11317 (G), and 11506 (H). The diagonal line depicts x = y (TZM-bl ID<sub>50</sub>/IC<sub>50</sub> = A3R5 ID<sub>50</sub>/IC<sub>50</sub> values). Statistical analyses for NAb (I) and plasma (J) samples were conducted respectively (Wilcoxon matched pairs test,  $***$  for  $p<$  0.001=.

which was similar to the comparison of pseudovirus TZM-bl assay and the IMC (infectious molecular clone) A3R5 assay<sup>17</sup>. The enhanced sensitivity was observed for both tier 1 and tier 2 pseudoviruses in A3R5 assay. The enhanced fold change of neutralization titers for tier 2 pseudoviruses was greater than that for tier 1 pseudoviruses, which was also observed in the post-vaccinated samples<sup>21</sup>. In that study, samples from the two phase III clinical trials were tested using the pseudovirus TZM-

bl and IMC A3R5 assay. Neutralization of tier 1 viruses was detected in both  $RV144^{22}$  and  $Vax003^{23}$  $Vax003^{23}$  $Vax003^{23}$ . Sporadic weak neutralization of tier 2 viruses was only detected in Vax003 using A3R5 approach<sup>21</sup>. It was reported that the ultra-sensitivity of the A3R5 assay was attributed to the relatively low level of sur-face CCR5 molecule compared to TZM-bl<sup>[17](#page-8-9)</sup>. Similar CCR5 reporter density was also observed in our study (data not shown). However, the CCR5 was not the only cause. The

<span id="page-5-1"></span>

Figure 9. Comparison of A3R5 and TZM-bl assay using NAb seroconverting samples against tier 1 pseudoviurs. Serial NAb seroconverting plasma samples collected from 8 CRF01\_AE-infected individuals were tested against pseudovirus SF162 in both A3R5 and TZM-bl assays. The dash and full lines indicate the cutoff values for A3R5 and TZM-bl assay respectively.

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Figure 10. Comparison of A3R5 and TZM-bl assay using NAb seroconverting samples against tier 2 pseudoviurses. Serial NAb seroconverting plasma samples were tested against 3 tier 2 pseudoviruses in both A3R5 and TZM-bl assays. The dash and full lines indicate the cutoff values for A3R5 and TZM-bl assay respectively.

surface CCR5 numbers of the A3R5 was higher than the CD3.8 stimulated PBMCs, while the A3R5 assay showed higher sensitivity than PBMC assay for most tests [17]. Another high sensitive method, TCLA/cell line assay, have been proved to be poorly predictive of the in vivo protection, mainly due to virus strains employed in this assay. The TCLA strains altered their neutralization sensitivity and envelope host cell protein composite during the passage in different cell types<sup>14, 24</sup>. Although the Envs of the pseudoviruses are identical to the primary ones, more comprehensive studies will be required to investigate the mechanism behind the super sensitivity, and the correlation between the potential values of certain Abs or vaccine immunogenicity and the neutralization efficiency identified in the A3R5 pseudovirus assay.

Although HIV-1 prophylactic vaccine still remains elusive, cross-reactive neutralizing antibodies have been found in about 50% HIV-1 chronically infected individuals, on average 2.5 years after infection<sup>25</sup>. Recently, this kind of broadly neutralizing antibodies has also been identified in some patients in the first  $year<sup>26-28</sup>$  or even six months<sup>29</sup> of infection in some rare conditions. Deciphering the mechanisms behind the development of broadly neutralizing antibodies, especially for the early infections, may facilitate the design of better immunogens and vaccination strategies. Correlation of neutralizing antibody induction and virus or host factors has not been fully identified, partially attributed to the limited numbers of neutralizers developed in the first months after infection<sup>[29](#page-9-0)</sup>. Most of these studies employed the TZM-bl assay to determine the neutralization status of the infected subjects<sup>26-29</sup>, which may miss some modest or weak neutralizers due to the relative low sensitivity of the method. The newly developed pseudovirus neutralization assay based on A3R5 cells showed significantly higher sensitivity compared to the widely-used TZM-bl assay and could detect seroconverting points of neutralizing antibodies 68.3 weeks earlier, which could serve as an alternative to the TZM-bl assay, especially for the conditions requiring high sensitivity. For the immunogen design and modifications, high-sensitive evaluating assay could monitor minute advancements over the original one. Combining these small progresses may yield breakthroughs in the HIV-1 vaccine development. For HIV-1 vaccine clinical trials, high sensitive and easy-to-use neutralization assay are also urgently needed to establish the limit protection level for neutralizing antibodies.

Compared to the A3R5 assay using IMC, the new A3R5 pseudovirus assay could employ the same Env-expressing plasmids as the TZM-bl assay. Hundreds of plasmids have been constructed for the generation of pseudoviruses for TZM-bl assays, which could be transferred smoothly to produce pseudoviruses for A3R5 assay by cotransfecting 293T cells together with pSG3 $\Delta$ Env.fluc instead of pSG3 $\Delta$ Env. The A3R5 pseudovirus assay inherits all the advantages of the TZM-bl assay, such as high versatility of virus strains, high reproducibility, high throughput, low cost, ease and safety of operation.

Since it is unknown what level and breadth of neutralization in any assay will predict a significantly protective vaccine effect, the newly developed A3R5 pseudovirus assay could provide a complementary choice for the HIV-1 neutralizing antibody detection, especially for the samples with low neutralization potency against the circulating tier 2 strains.

In this communication, we developed and optimized a HIV-1 pseudovirus neutralization assay based on A3R5 cells, which showed significantly higher sensitivity over the traditional pseudovirus assay based on TZM-bl cells, especially when the circulating strains of pseudovirus were tested against. The easyto-use and high sensitive method may facilitate the study of protection mechanism for HIV-1 vaccines and guide the optimization of the immnogen designs.

### Material and methods

## Cell lines, antibodies, plasma and plasmids

The following reagents were obtained from AIDS Research and Reference Reagent Program, Division of AIDS, NIAID, NIH: TZM-bl cells and Env-deficient HIV-1 backbone plasmid  $pSG3^{\triangle env}$  (Dr. John C. Kappes, Dr. Xiaoyun Wu and Tranzyme Inc.); A3R5 (Dr. Robert Mclinden, Henry M. Jackson Foundation for the Advancement of Military Medicine); broadly neutralizing antibodies (bNAbs) 2F5, 4E10, 2G12 (Dr. Hermann Katinger); PG9, PG16, G1b12 (Dr. Dennis Burton and Carlos Barbas). Plasmids SF162 (Drs. L. Stamatatos and C. Cheng-Mayer); BaL.26 (Dr. John Mascola); NIH Cat#11017, 11036 and 11058 from standard subtype B panel<sup>30</sup>; NIH Cat#11307, 11[31](#page-9-2)7, 11505 and 11506 from standard subtype C panel<sup>31</sup>.

Chronically HIV-1-infected plasma samples were selected from plasma pools deposited in our laboratory<sup>[20, 32, 33](#page-8-12)</sup>, including 6 subtype B' samples (HB4, HB118, HB120, BJ170, BJ182 and TJ208), 5 CRF07/08\_BC samples (GZ48, HN60, GX66, GX90 and GX95). Serial NAb seroconverting plasma samples were collected from CRF01\_AE-infected individuals (YA087, YA093, YA99, YA141, YA155, YA165, YA218 and YA233) from Beijing You'an Hospital (Table S1). HIV-1 negative plasma samples were selected from a blood bank (Shanghai RAAS Blood Products Co. Ltd, Shanghai, China)<sup>[34](#page-9-3)</sup> Written informed consent was obtained from all donors. All plasma samples were treat-naive and heated at  $56^{\circ}$ C 1 h before using.

Pseudoviruses derived from China have been described previously<sup>20, 33</sup>, including: SHX335.24, SH188.6, GX83.47, GZ187.10, GX91.2, YN192.16, GX28.31, BJX4.6, GX43-2, YN108R-4, GX75-20, YN148R-9, YN99R-5, BJ23-1, 176–1, 171–13, 121B-16, YA-192, 39–14, BJ5.11, GX24.8, GX71.18.

In the optimization of the A3R5 assay, two pseudoviruses were employed: 11306 (for cell number, virus dose, DEAE concentration and incubation time) and 39–14 (for DEAE concentration and incubation time). 11306 is a pseudovirus strain originated from US, which was firstly constructed in Dr. Montefiori's laboratory and served as a well-known standard subtype B strain [30]. 39–14 is a subtype B pseudovirus strain constructed in our laboratory<sup>33</sup>. A 30 pseudovirus panel was used to investigate the infectivity of pseudovirus to A3R5 cells and confirm the feasibility of this assay especially for strains from China.

293T and TZM-bl cells were maintained in a 5%  $CO<sub>2</sub>$  environment at 37 °C in high glucose DMEM supplemented with 10% FBS, penicillin (100 IU/ml), and streptomycin (100  $\mu$ g/ ml), and passaged every 2–3 days. A3R5 cells were cultured in RPMI-1640 supplemented with 10% FBS, penicillin (100 IU/ ml), and streptomycin (100  $\mu$ g/ml) in 5% CO<sub>2</sub> /37°C conditions.

#### Preparation and titration of pseudoviruses

293T cells were cotansfected with env-expressing plasmid and HIV-1 backbone plasmid ( $pSG3^{\triangle env35}$  $pSG3^{\triangle env35}$  $pSG3^{\triangle env35}$  for TZM-bl assay, pSG3 $\Delta$ Env. fluc<sup>[19](#page-8-10)</sup> for A3R5 assay) at a mass ratio of 1:2 using Polyethylenimine (PEI) (Alfa Aesar, 43896) according to the manufacture's instruction. The supernatant containing pseudovirus was harvested 48 h after transfection and centrifuged at 1000 g for 10 min to remove cell debris. Then the supernatant was filtered with micropore filter (0.45  $\mu$ m) and adjusted the FBS concentration to 20%, and stored at  $-80^{\circ}$ C.

The 50% tissue culture infectious dose  $(TCID_{50})$  of pseudovirus for TZM-bl assay was determined as described previously $12$ .

For A3R5 assay, a 5-fold serial dilution of pseudovirus was made with a beginning dilution of 5 fold in quadruplicate wells in a 96-well cell culture plate. Then 100  $\mu$ l A3R5 cells were adjusted to  $8 \times 10^5$ /ml and added into each well of the above plate. Following 72 hours incubation at  $37^{\circ}$ C/5% CO<sub>2</sub>, the cell culture plate was centrifuged at 900 g for 10 min. Before the luciferase detection, the appropriate volume of supernatant was aspirated to leave 100  $\mu$ l in each well without disturbing the cells, and then 100  $\mu$ l substrate Bright-Glo (Promega, E2650) was added into each well and incubated for 2 min at room temperature. Then, a total of 150  $\mu$ l of lysate was transferred to a solid white plate for measurement of luminescence using GLOMAXTM 96 microplate luminometer (Promega). The  $TCID_{50}$  value was calculated using the Reed-Muench method $36$ .

#### Neutralization assay

Neutralization in the TZM-bl cells was performed as described previously $12$ .

For neutralization assay based on A3R5, 3-fold serial dilutions of serum or monoclonal NAb samples were made in duplicate with the beginning dilution of 30 fold or 25  $\mu$ g/ml in a total volume of 100  $\mu$ l. Then 50  $\mu$ l pseudoviruses were added into each well and incubated at  $37^{\circ}$ C for 60 min. Following the incubation, 100  $\mu$ l A3R5 cells with a concentration of 8  $\times$  10<sup>5</sup> cell/ml were added into the 96-plates to adjust the working concentration of DEAE-Dextran to 10  $\mu$ g/ml. And then the luminescence was detected as described in the titration section.

#### Statistical analysis

All 50% inhibitory concentration/ 50% inhibitory dose  $(IC_{50}/$ ID50) values were calculated using a formally validated Excel-based macro<sup>[37](#page-9-7)</sup>. Neutralizing antibody titers were represented as the reciprocal of serum dilution required to reduce RLU by 50%.  $IC_{50}/ID_{50}$  values were compared between A3R5 and TZM-bl assays for tier 1 and tier 2 pseudovirus respectively, using Wilcoxon matched pairs test. Statistical analyses were performed using GraphPad Prism Software 5.0 (GraphPad, San Diego, CA, USA).

Supplementary Materials: Table S1 Information of the serial NAb seroconverting plasma samples.

# Disclosure of potential conflicts of interest

The authors declare no conflict of interest. The funding bodies had no role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript.

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## <span id="page-8-5"></span>Author contributions

<span id="page-8-6"></span>Qingqing Chen, Jianhui Nie, and Youchun Wang wrote the manuscript; Youchun Wang, Qingqing Chen, Jianhui Nie, Weijin Huang, and Hao Wu conceived and designed the experiments; Qingqing Chen, Jianhui Nie, Weijin Huang, Yanmei Jiao, Lan Li, Tong Zhang, and Juan Zhao conducted the experiments. All the authors have read and approved the final manuscript.

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