



Published in final edited form as:

Rev Anal Chem. 2017 December ; 36(4): . doi:10.1515/revac-2016-0037.

## Bioanalytical applications of surface-enhanced Raman spectroscopy: *de novo* molecular identification

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### Abstract

Surface enhanced Raman scattering (SERS) has become a powerful technique for trace analysis of biomolecules. The use of SERS-tags has evolved into clinical diagnostics, the enhancement of the intrinsic signal of biomolecules on SERS active materials shows tremendous promise for the analysis of biomolecules and potential biomedical assays. The detection of the *de novo* signal from a wide range of biomolecules has been reported to date. In this review, we examine different classes of biomolecules for the signals observed and experimental details that enable their detection. In particular, we survey nucleic acids, amino acids, peptides, proteins, metabolites, and pathogens. The signals observed show that the interaction of the biomolecule with the enhancing nanostructure has a significant influence on the observed spectrum. Additional experiments demonstrate that internal standards can correct for intensity fluctuations and provide quantitative analysis. Experimental methods that control the interaction at the surface are providing for reproducible SERS signals. Results suggest that combining advances in methodology with the development of libraries for SERS spectra may enable the characterization of biomolecules complementary to other existing methods.

### INTRODUCTION

The detection and identification of biomolecules is fundamental to biomedical diagnostics, a challenge which surface enhanced Raman scattering (SERS) is poised to make an impact.<sup>1-3</sup> Recent advances in systems biology have shown that monitoring the levels of multiple molecular species can provide a holistic perspective on the health or disease of an organism. Understanding the interconnected biochemical pathways requires assays that assess multiple molecules in complex biofluids with high sensitivity and selectivity.<sup>4-6</sup> The intrinsic molecular fingerprint, or *de novo* Raman signal of a molecule, combined with the improved sensitivity of SERS, provides an attractive approach for identifying and quantifying molecules for diagnostic purposes.

SERS provides molecular identification on the basis of the structure of the analyte, providing complementary analysis to other technologies currently used for biomolecular identification. The current technologies for molecular identification include fluorescence, nuclear magnetic resonance (NMR), infrared (IR), Raman, and mass spectrometry (MS);

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each with distinct advantages and disadvantages. Fluorescence can enable trace detection, down to the single molecule level; however, the number of natively fluorescent molecules is limited and requires extra sample preparation steps to attach chromophores. Additionally, the fluorescence spectra of biomolecules are quite indistinct and it is complicated to distinguish similar fluorophores within a sample. The sensitivity of fluorescence enables quick measurements of the kinetic activity of proteins, such as receptor-ligand binding and folding, but is of limited use for identification of analytes.<sup>7-8</sup> In contrast, NMR provides exquisite structural information that can be deconvoluted into the absolute biomolecule structures.<sup>6, 9-10</sup> However, NMR characterization is limited by the concentrations needed, requiring longer analysis times, and larger samples relative to other analytical techniques.<sup>6, 10</sup> MS has become the analysis method of choice. Current high-resolution mass spectrometers can provide empirical formulas of molecules for identification, and tandem (MS-MS) experiments can sequence peptides and proteins. Additionally, MS has excellent sensitivity and the ability to couple to separation techniques such as liquid chromatography (LC), gas chromatography (GC) and capillary electrophoresis (CE) for separation and detection of biomolecules in complex mixtures.<sup>6, 10-13</sup> Despite the many advantages of MS, identification of certain classes of molecules are still problematic. Poorly ionizing molecules, structural isomers (i.e. same empirical formula but different structures), and difficulties with small molecule identification in LC-MS experiments can challenge MS-based experiments. It was noted that less than 2% of the MS spectra recorded in an LC-MS metabolomics experiment can be associated with a specific molecule.<sup>14</sup> Vibrational spectroscopy (IR and Raman) also provides structural information that can be used for molecular identification.<sup>15-16</sup> IR, however, shows poor sensitivity when detecting low concentration analytes in aqueous environments due to the strong water interference. Spontaneous Raman spectroscopy, while free from water interference, suffers from low intrinsic signals. While Raman, in various forms, has seen increasing use in biomedical microscopy,<sup>17</sup> small molecule identification typically requires some form of signal enhancement.

Since its discovery, SERS has advanced to become a promising bioanalytical technique, combining the structural information present in the Raman signal with ultrasensitive detection. Raman scattering arises from the inelastic scattering of monochromatic light (i.e. laser) as the frequency of photons change upon interaction with a molecule. This frequency shift correlates to the vibrational modes of the molecule, which gives rise to a molecular fingerprint. The intensity of Raman scattering is proportional to the analyte concentration in the detection volume, which allows both qualitative and quantitative measurements.<sup>3, 17-18</sup> Interactions with nanomaterials provide sufficient Raman signal enhancements for bioanalytical studies, in which the analytes concentrations are within micro to nanomolar range. It has been shown that enhancements arise from near-field effects between molecules and nanostructured noble metal surfaces, commonly gold and silver.<sup>19-20</sup> When an electromagnetic wave interacts with metal nanostructures, it excites the localized surface plasmon resonance (LSPR) on the surface.<sup>18</sup> The SERS intensity is distance dependent, and the target analyte has to be in very close proximity to the metal surface in order to generate enhanced scattering.<sup>21</sup> There are reports of the Raman scattering being enhanced as much as  $10^{11}$ .<sup>22-23</sup> Additional enhancements can be achieved when the incident laser frequency and

nanomaterial plasmon resonance match an electronic transition state of the absorbed molecule, similar to resonance Raman scattering (RRS), resulting in surface-enhanced resonance Raman scattering (SERRS).<sup>24–25</sup> Under appropriate conditions, the Raman scattering from a single molecule can be detected.<sup>20, 26–27</sup> SERS, like Raman, is relatively insensitive to water, making it a suitable detection method for samples in aqueous environments such as biological materials. The electromagnetic field enhancement associated with increased local fields around nanomaterials, suggests a generic method for increasing the Raman signal of a wide range of molecules.

SERS detection can be divided into two categories for molecular detection. Figure 1 illustrates the common approaches to SERS detection. Perhaps the most common method is to prepare nanoparticles (NPs) functionalized with specific molecules that give a distinct Raman spectrum and can be used with affinity reagents to “tag” specific molecules. Thus, indirect detection is based on the signal of the SERS “labels” or “tags” attached to the target analytes instead of the analytes itself. The advantage of SERS tags versus fluorophores is the distinctive Raman spectrum associated with different reporter molecules would facilitate multiplex analysis.<sup>28</sup>

SERS tags have been used with flow cytometry<sup>29–30</sup>, SERS based immunoassays<sup>31–33</sup>, and analyte-induced SERS tags aggregation assays<sup>34–35</sup> to detect DNA, proteins, cells, and other biomarkers. The Vo-Dinh group was the first to utilize SERS-based molecular sentinel nanoprobe for multiplex detection of DNA.<sup>36</sup> Two molecular sentinel probes tagged with Cy3 and TAMRA as Raman reporters were applied to detect two genes characteristic of breast cancers. The nanoprobe consisted of a Raman reporter attached to a hairpin DNA probe strand with a silver NP at the other end, which led to a decrease or disappearance of the SERS signal upon hybridization by disrupting the hairpin structure and distancing the reporter from the silver NP. Instead of obtaining ‘turn off’ SERS signal upon hybridization, positive signal enhancement was demonstrated by Graham et al. by using resonant dye-coated silver NPs functionalized with thiolated DNA probe sequences.<sup>37</sup> Hybridization with complementary DNA strands facilitates NP aggregation, which substantially increases the SERS signal. Recent advances have further increased the sensitivity of SERS-based ELISAs to a level comparable with fluorescence-based immunoassay techniques for multiplex protein biomarkers detection over a large dynamic range.<sup>38–40</sup>

The basis for SERS-tags is the adsorption of specific molecules that give rise to distinctive Raman signals. In principle, any molecule at the NP surface should give rise to increased scattering. This suggests a powerful method of molecular identification. Direct label-free SERS detection originates from the conformation and the orientation of molecules on the metal surface. While this can provide heterogeneous signals, it also provides label-free detection that does not require additional sample preparation or affinity reagents. Indeed, direct SERS detection has been utilized for a number of different biomolecules.

This review focuses on the aspects of direct, label-free SERS analysis and identification of various biomolecules ranging from nucleic acids, proteins, carbohydrates, and nucleotides to small molecule metabolites. While direct detection may seem straightforward, complications have been attributed to low Raman cross-sections of certain analytes as well as interferences

in complex biofluids. Quantitative SERS has been notoriously difficult, with challenges attributed to subtle differences in nanostructure that affect the observed Raman enhancements.<sup>41</sup> All of these listed factors remain challenges for SERS detection and identification of biomolecules. Here we focus on which molecules have been detected, looking for trends in the classes of molecules investigated and signals observed and surveying the advances that are facilitating *de novo* detection of biomolecules by SERS.

## NUCLEIC ACIDS AND NUCLEOTIDES

Nucleic acid detection, the components of DNA and RNA, has attracted much attention over the years for identifying pathogens, infectious agents, and cancerous diseases. Common conventional detection methods for nucleic acids, include polymerase chain reaction (PCR) and fluorescence; however, SERS detection offers simple procedures and intrinsically narrow bands that facilitate multiplexed analysis.

### Molecular Orientation Affects the SERS Spectra DNA

Early SERS experiments with DNA focused on understanding how the orientation of each DNA base on the metal surface affected the SERS spectra. Otto et al. used a Raman microprobe system to detect DNA bases of adenine, guanine, thymine, and cytosine that adsorbed on a roughen silver working electrode.<sup>42</sup> SERS spectra showed significant frequency shifts and changes in the relative intensities and widths of peaks when compared to the spontaneous Raman spectra observed from each base in solution, indicating the molecular orientation at the metal surface is an important factor. For example, they reported differences in behavior of the carbonyl groups attached to the pyrimidine ring in DNA bases at approximately  $1640\text{ cm}^{-1}$ . The SERS spectra of cytosine and thymine show strong carbonyl stretching modes; however, in the case of thymine, two carbonyl bands were expected but only one was observed.<sup>42</sup> Moreover, guanine's carbonyl peak intensity was very weak and sometimes could not be seen, which indicates not all DNA bases orient in the same direction to the metal surface. Improvements in SERS-active nanostructures preparation remarkably improved the detection range of nucleic acids. NIR excitation of SERS from colloidal clusters provided enhancement that was capable of detecting a single DNA base.<sup>43</sup> Sub-micromolar label-free SERS detection of mononucleotides was done by Bell and Sirimuthu using silver colloids aggregated with  $\text{MgSO}_4$ .<sup>44</sup> They reported the SERS spectra of the five major nucleotides (adenine, guanine, thymine, cytosine, and uracil) along with their nucleosides, which had similar spectra that suggest they bind to the surface in the same way, but with enough distinct Raman bands to be easily distinguished. Moreover, the SERS spectra of adenine, adenosine, and dAMP showed differences associated with the phosphate and sugar groups that indicate slight changes in the orientation at metal surface can lead to significant changes in SERS spectra and signal intensity.<sup>44</sup> In a later study, Lo et al. were able to achieve label free detection of sub-picomolar DNA bases and ds-DNA using AgNPs decorated Au-nanotip SERS arrays.<sup>45</sup>

### Thiol-Modified Oligonucleotides

Thiol modification of the DNA can bond the DNA to the SERS substrate, bringing the DNA in close proximity to the strong enhancing field, and facilitate uniform orientation of the DNA

at the metal surface. Barhoumi and Halas have reported on DNA hybridization and the adsorption of thiolated single stranded (ssDNA) and double stranded DNA (dsDNA) to Au nanoshells.<sup>46–48</sup> They observed that the Au nanoshells provide highly reproducible SERS signals of DNA oligomers following thermal treatment of the DNA to achieve extended linear conformations.<sup>48</sup> Despite the different contributions of each base in ssDNA and dsDNA, the SERS spectra was dominated by the adenine vibrational modes at  $736\text{ cm}^{-1}$ , which obscures other features.<sup>46, 48</sup> The dominating adenine signal, however, can be used as a marker for DNA hybridization. In a further study, the adenine on the DNA probe sequence was substituted with 2-aminopurine, which is an artificial adenine isomer.<sup>46</sup> The adenine signal at  $736\text{ cm}^{-1}$ , which was not observed with adenine free DNA probe, was strongly enhanced upon hybridization with the adenine containing target sequence. The peak ratio between adenine and 2-aminopurine was used to determine DNA hybridization efficiency. Papadopoulou and Bell confirmed that DNA adsorbed through thiol linkers on AuNPs aggregated with  $\text{MgSO}_4$  orient perpendicular to the metal surface and the SERS spectra are dominated by the adenine ring mode at  $736\text{ cm}^{-1}$ , as shown in Figure 2.<sup>49</sup> However, unthiolated DNA can reduce the overwhelming adenine signal by promoting non-specific binding of the DNA nucleobases, which reorients the DNA on metal surface with weaker adenine bands in the SERS spectra.<sup>49</sup> The spectra changes were applied to detect DNA hybridization of a molecular beacon.

### Quantitative Analysis of Oligonucleotides

Overall, the surface chemistry of different NP colloids affects the SERS signals of adsorbed DNA.<sup>50</sup> Also, aggregating agents serve a critical role in the affinity between the DNA and the metal surface resulting in varied SERS spectra of ssDNA and dsDNA.<sup>50</sup> Quantitative SERS analysis of nucleotides is often challenging since the strands are made up by similar bases that can all contribute equally to the SERS spectra. Moreover, the reproducibility and sensitivity of SERS studies on DNA rely heavily on the experimental conditions and the orientation of DNA strands on the metal surface.

Smaller nucleotide sequences of microRNA (miRNA) can be analyzed using appropriate statistical analysis.<sup>51–52</sup> Abell et al. demonstrated label free detection of miRNAs adsorbed on Ag nanorods coated onto a multi-well chip.<sup>51</sup> Characteristic bands of the four nucleotides were deconvoluted from the SERS spectra, which allowed quantitative analysis of the ratios of bases comprising the mixture. Prado et al. previously demonstrated that least squares analysis could quantify oligoribonucleotides polyadenosine (pA), polyuridine (pU), polycytosine (pC) and polyguanosine (pG) using Ag colloids with the limit of detection (LOD) varying from 2 pM to 20 pM.<sup>53</sup> In general, the surface area of the mixed Raman spectrum is broken down into the sum of the surface areas of each RNA bases' spectrum, which is then fit to the linear spectrum using least square analysis to calculate the percentage of each nucleotide in the mixture.<sup>51, 53</sup> There are significant differences between the miRNAs spectra after treatment of noncomplementary and complementary targets which denote hybridization. Least square analysis was also performed to estimate the composition of A, C, G, and U after hybridization and better determine the hybridization efficiency.<sup>51</sup>

Internal standards are employed to correct for any variations associated with SERS substrate and instrumental conditions. Zhang et al. utilized magnetic microspheres AgNPs as an SERS substrate coated with probe DNA and treated with mercaptobenzoic acid (MBA) solution.<sup>54</sup> The SERS intensity of DNA increased with the increase in MBA signals. Therefore, by detecting MBA and plotting the intensity of MBA as function of DNA concentrations, a calibration curve was generated to quantify the DNA concentration in a mixture with a LOD of 10 nM. This work, however, was not truly a direct detection of DNA since the SERS spectra originated from MBA instead of DNA strands. Other studies have treated the backbone phosphate stretch at  $1087\text{ cm}^{-1}$  as an intrinsic internal standard for a more direct, quantitative analysis of the fraction of each base in DNA.<sup>55-56</sup>

### Direct Detection of Oligonucleotides and Modifications

ssDNA and dsDNA can spontaneously adsorb directly to Ag silver colloids through the nucleotide side chains without the need for labeling or thiolation. The observed SERS spectra of unthiolated DNA arose from each constituent base, which identified nucleotide components in the DNA sequence.<sup>57-58</sup> Digital subtraction produced difference spectrum that contained positive and negative features attributed to changes in the DNA sequence, and even detecting single base mismatches in short DNA strands.<sup>57</sup> Recently, Guerrini et al. introduced the use of spermine bound to AgNPs for label free and direct analysis of DNA duplexes.<sup>59</sup> Spermine acts as a stabilizer to promote controlled aggregation between positively charged AgNPs and negatively charged DNAs into small clusters without the need of external aggregation agents. Single base mismatches and base methylations (5-methylated cysteine and N6-methylated adenine) in DNA duplexes were detected for the first time by digital subtraction of the SERS spectrum of original dsDNA sequence from other samples as demonstrated in Figure 3.<sup>59</sup> Spermine stabilized AgNPs were further combined with chemometrics and microfluidics to reduce the amount of sample used and accurately quantify chemical modifications in DNA sequences.<sup>60</sup>

Beyond identification of the base composition of DNA and RNA strands, the conformation changes of nucleic acids sequences can provide important information for diagnosis.<sup>28, 58, 61</sup> The Bell group demonstrated the ability to successfully separate and identify five DNA strains of *Escherichia coli* (*E. coli*) without the need for labeling or multivariate analysis.<sup>58</sup> Changes in SERS spectra of each *E. coli* strain were dependent on the nucleobase composition of DNA sequences. For example, the ring breathing and carbonyl stretching modes of cytidine and thymidine at  $793\text{ cm}^{-1}$  and  $1636\text{ cm}^{-1}$  are more intense in the SERS spectrum of specific strains. Similarly, the characteristic bands of adenosine at  $737\text{ cm}^{-1}$  and  $1329\text{ cm}^{-1}$  are more intense in the spectrum of other strains, which contained more adenosine nucleotides. The SERS spectra show changes with respect to the order of the bases, indicating SERS is indeed a valuable technique to extract DNA information from biological samples.<sup>58</sup> Chen et al. developed methodology to detect and differentiate RNAs in the serum of colorectal cancer patients.<sup>62</sup> RNA was extracted from serum at concentrations ranging from 18.8 to 56.3 ng/ $\mu\text{L}$ . The differences between SERS spectra of normal and cancer groups can be tentatively assigned to different vibrational modes that are associated with the molecular changes and transformation of RNAs in cancerous serum. Further, a label-free multifunctional probe was fabricated and coupled to SERS through dual circular-



strand displacement for targeted miRNAs detection with significant signal amplification.<sup>63</sup> miRNA 203 was selected as a model analyte. They found that the SERS intensity increased with the increases in concentration of miRNA enabling quantitative analysis of miRNA in a mixture. The LOD was determined to be 6.3 fM. This method was also tested on miRNA 203 extracted from human epithelial cancer cell lines and shows good agreement with qRT-PCR results.<sup>63</sup>

## AMINO ACIDS, PEPTIDES, AND PROTEINS

SERS has been used to identify proteins based on the contributions of the amino acids in the protein sequence and resonance enhancement of intrinsic chromophores. Because the vibrational modes that give rise to the SERS spectrum are sensitive to structure, conformational changes can be monitored as well.

### Detection of Individual Amino Acids

The basic amino acids have been detected by SERS. O'Neal et al. demonstrated a quantitative analysis of glutamic acid (Glu) and aspartic acid (Asp) at concentrations ranging between 0.4 to 5  $\mu\text{M}$ .<sup>64</sup> Differences in the observed Raman bands indicate chemical fluctuations in traumatized rat brain tissues.<sup>64</sup> Nascimento et al. studied the effect of salts (NaCl, MgCl<sub>2</sub>, KBr, CaSO<sub>4</sub>, K<sub>2</sub>SO<sub>4</sub>, MgSO<sub>4</sub>, KI, NH<sub>4</sub>Cl, SrCl<sub>2</sub>, CaCl<sub>2</sub>, Na<sub>2</sub>SO<sub>4</sub>) on sodium and borohydride citrate colloids to detect amino acids in prebiotic Earth conditions.<sup>65</sup> The amino acids investigated were alanine (Ala), glycine (Gly), cysteine (Cys), and 2-aminoisobutyric acid, and it was reported that the amino acids could not be characterized by the SERS spectra in the presence of higher concentration salts.<sup>65</sup>

Similar to nucleotides, the interactions between the amino acids and the surface has a significant effect on the observed vibrational bands. Other amino acids have been investigated such as D-penicillamine, an amino acid related to Cys and valine (Val). D-penicillamine was detected at various pH levels.<sup>66</sup> López-Ramírez et al. reported that the major vibrational bands were associated with the thiol, amine, and the carboxylic acids groups of the amino acid. However, from the enhanced intensity and red shift of the C-S stretch it was concluded that a chemical bond forms between the NP and the sulfur group.<sup>66</sup> With the knowledge that thiol groups act as anchors between desired analytes and NPs, Du et al. investigated the use of Ag@oxide nanoplates to detect biomolecules with and without thiol groups.<sup>67</sup> The Ag nanoplates were coated with ultrathin layers of SiO<sub>2</sub> or TiO<sub>2</sub>. 4-aminothiophenol (4-ATP) was used to prove that the modified NPs can detect model analytes containing thiols. 4-ATP showed characteristic peaks of the benzene and amine group. The amino acid, Gly, was used to model a carboxyl containing analyte. They showed that the carboxylic group can strongly absorb to the oxide layer of the NP, making it possible to detect a wider range of biomolecules that do not contain thiol moieties.<sup>67</sup>

Individual amino acids can be detected by separating the components with techniques such as capillary electrophoresis (CE). He et al. used a post-column CE-SERS to detect amino acids.<sup>68</sup> The SERS-active substrate was located on the moving collection plate. The CE elution trail was then retraced with a Raman microscope to collect the desired SERS spectra. They showed the biological relevance of their set-up detecting two amino acids: tyrosine

(Tyr) and tryptophan (Trp).<sup>68</sup> Negri and Schultz demonstrated capillary zone electrophoresis (CZE) with sheath flow SERS detection was able to separate and identify all 20 amino acids through characteristic vibrational bands.<sup>69</sup> From this study, it was reported that the amino, carboxylate, or side chain groups can interact with the metal NP and therefore contribute to the SERS spectra.<sup>69</sup>

## Peptides

In addition to individual amino-acids, peptides have also been investigated. Wei et al. used Au nanoshells to obtain highly reproducible SERS spectra of cysteine-containing aromatic di-peptides: tryptophan-cysteine, tyrosine-cysteine, and phenylalanine-cysteine, and penetratin (peptide oligomer containing 19 amino acids).<sup>70</sup> This study suggested that the SERS spectra were dominated by the aromatic residues and the peptide backbone, which may help predict major features in more complex peptide and protein spectra, such as penetratin. The predicted and experimental spectra of penetratin showed high agreement except in the backbone region.<sup>70</sup>

Using the CZE-sheath flow SERS method mentioned above, Negri et al. also detected and identified eight biologically-active peptides.<sup>71</sup> The peptides were chosen to have aromatic and/or sulfur-containing amino acid residues: amyloid  $\beta$ -protein, Angiotensin I, Angiotensin II, Angiotensin III, bombesin, laminin pentapeptide, somatostatin, and substance P.<sup>71</sup> Figure 4 shows the average SERS spectra of the eight different peptides. The aromatic, sulfur-containing, or side chain vibrations are denoted to show diversity between the peptides.

## Amide I Mode

The amide I mode is commonly used to assign backbone structure. SERS characterization of the amide backbone has generated conflicting results. As noted above, Wei et al.'s study of penetratin showed discrepancies in the backbone region.<sup>70</sup> A tip-enhanced Raman scattering (TERS) study of model peptides exhibited only vibrational bands associated with aromatic amino acids with a distinct lack of signals from the amide backbone.<sup>72</sup>

The sporadic observation of the amide I mode in SERS and TERS spectra was investigated by Kurouski et al. with insulin fibrils and model peptides.<sup>73</sup> They explored native insulin along with the homo-peptides containing Gly-, Ala-, Tyr-, and Trp- rich side chains to verify the side chain size dependence. For insulin, the observed vibrational bands were associated with the amino acid residues: Tyr, Cys, and Phe. Only 50% of the insulin spectra showed peaks assignable to an amide I band whereas all the penta-Gly homo-peptide spectra showed intense amide I bands. The short peptides also showed intense CH<sub>2</sub> and C-C stretching bands. Since the side chains in the insulin fibrils are so bulky the peptide bond is further away from the metal NP decreasing the SERS signal of the amide I vibration. Kurouski et al. hypothesize that the absence of the amide I band is dependent on the size of the amino acid side chains.<sup>73</sup>

Nonetheless SERS has been used to investigate the structure of other proteins. Dou et al. used NIR SERS (1064 nm) to detect protein antigens without the need for bound/free antigen separation.<sup>74</sup> In this study, they were able to detect anti-mouse IgG and IgG-anti-IgG complex at 0.22 nM and 19 nM, respectively. The  $\beta$ -sheet rich structure was identified in the



SERS spectrum by the amide I and III vibrational bands at  $1673\text{ cm}^{-1}$  and  $1239\text{ cm}^{-1}$ , respectively. However, these bands were weak compared to the multiple vibrational bands from the Tyr and Trp residues.<sup>74</sup>

### De Novo SERRS of Proteins

Single protein detection was demonstrated independently by Xu et al. and Bizzarri and Cannistraro using SERRS. Xu et al. used  $514.5\text{ nm}$  laser excitation to detect  $100\text{ nM}$  hemoglobin (Hb).<sup>75</sup> While, Bizzarri and Cannistraro detected myoglobin (Mb) at  $100\text{ nM}$  at the excitation wavelength of  $633\text{ nm}$ .<sup>76</sup> The single molecule SERRS experiments showed temporal fluctuations common with single molecule experiments. The detection of native met-Mb at concentrations as low as  $1\times 10^{-7}\text{ M}$  was also achieved with the use of a T-shaped flow system.<sup>77</sup> The vibrational bands associated with the in-plane porphyrin ring of the heme group dominate the SERRS spectra of Mb because of the resonance enhancement.<sup>76-77</sup> Other proteins, such as green fluorescent protein (GFP), have been detected at single molecule concentrations by SERRS, in the case of GFP using an excitation wavelength of  $488\text{ nm}$ .<sup>78</sup> The prominent vibrational modes observed were from the delocalized imidazolinone/exocyclic C=C modes of the chromophore,<sup>78</sup> consistent with previously performed SERRS experiments. Delfino et al. detected single molecule yeast cytochrome c at a concentration of  $1.7\times 10^{-12}\text{ M}$  for both suspended and immobilized samples at the excitation wavelength of  $514.5\text{ nm}$ .<sup>79</sup> The main vibrations are associated with the pyrrole breathing mode  $C_{\alpha}C_m$  mode.<sup>79</sup>

SERRS has also been used to detect proteins in various studies other than single molecule detection. Zheng et al. used SERRS to detect mutants of iso-1-cytochrome c at the excitation wavelength of  $413\text{ nm}$ .<sup>80</sup> In this investigation two mutants were studied: the wild type protein, where the cysteine-102 was replaced with serine (Ser) to avoid dimerization, and where the phenylalanine-82 (Phe) was replaced with histidine (His).<sup>80</sup> Han et al. used the layer-by-layer technique (Au/Ag) with SERRS to quantify Atto610-biotin with  $568\text{ nm}$  excitation.<sup>81</sup> The detection limit was  $10\text{ pg/mL}$ . They reported that the amino acids with high hydrophobicity bind to the metal surface causing those residues to dominate the spectra.<sup>81</sup>

Proteins with chromophores have also been detected without the use of a resonant excitation source. By exciting at multiple wavelengths ( $488$ ,  $514$ , and  $633\text{ nm}$ ), Etchegoin et al. monitored the oxygenation of the Hb with the Fe spin vibration at  $1640\text{ cm}^{-1}$ . It was reported that the size difference between the oxygenated and deoxygenated Hb comes from the absorbed or released oxygen.<sup>82</sup>

### Nanoparticle-Protein Interactions

Proteins without chromophores have also been detected, identified, and quantified in recent years. With the use of an adaptive substrate, recombinant human insulin and insulin lispro were detected at a surface density of  $80\text{ fmol/mm}^2$ .<sup>83</sup> The adaptive substrate allowed for the restructuring of the NPs in the presence of the protein; it is suggested that the proteins acted like “glue” for the NPs therefore, decreasing the distance between NPs and increasing the SERS signal. The major vibrational bands were associated Phe, Tyr, the amide I and amide

III bands of the peptide backbone. The spectral difference between the two insulins came from the Phe B1 displacement and the alpha-helical N-terminus of the B-chain in human insulin.<sup>83</sup>

Wang et al. utilized a graphene-Au nano-pyramid substrate to detect lysozyme at nanomolar concentrations, achieving single protein detection in the absence of a chromophore.<sup>84</sup> They reported that the lysozyme vibrational band associated with C-N mode showed a greater enhancement compared to the CH<sub>2</sub> bending mode,<sup>84</sup> which suggests how the protein associated with the nanopyramid substrate.

Chowdhury et al. were able to quantify two purified human integrins:  $\alpha 5\beta 1$  and  $\alpha V\beta 3$ .<sup>85</sup> From the observed peaks, it was suggested that the  $\alpha 5\beta 1$  integrin adsorbs to the NP at the alpha-helix region. Peaks from aromatic amino acid residues were also observed: Tyr, Phe, His, and Trp. The peptide backbone was observed through the amide I and III vibrational modes. They reported the LOD to be 30 nM and 60 nM for  $\alpha 5\beta 1$  and  $\alpha V\beta 3$ , respectively.<sup>85</sup>

Yang et al. used a tip-coated multimode fiber (TCMMF) probe to create a NP-protein-NP sandwich to quantify lysozyme and cytochrome c with a detection limit of 0.2  $\mu\text{g}/\text{mL}$ .<sup>86</sup> The major peaks for lysozyme were associated with Trp (765 and 1359  $\text{cm}^{-1}$ ), CH<sub>2</sub> scissoring (1442  $\text{cm}^{-1}$ ), Phe, His, and Trp (1508  $\text{cm}^{-1}$ ), and the amide I band (1646  $\text{cm}^{-1}$ ). The main SERS peaks for cytochrome c, a heme protein, come from the NH<sub>3</sub> deformation (1121  $\text{cm}^{-1}$ ), CH<sub>2</sub> (1313  $\text{cm}^{-1}$ ), Trp (1363 and 1554  $\text{cm}^{-1}$ ), carboxylic acid (1392  $\text{cm}^{-1}$ ), and amide I (1622  $\text{cm}^{-1}$ ). The proteins have very similar spectra however the lysozyme obtains additional vibrational bands from Tyr, Trp, and Phe.<sup>86</sup>

Modulating the protein nanoparticle interaction can significantly alter the observed SERS spectrum proteins. In Figure 5, Xu et al. used iodide modified citrate reduced AgNPs to obtain very reproducible SERS signals, that resembled spontaneous Raman signals, from lysozyme, avidin, BSA, cytochrome c, Hb, Trp, and Phe.<sup>87</sup> It is believed that the iodide enables a reproducible signal by maintaining the native states of the proteins. The LOD for lysozyme was 3  $\mu\text{g}/\text{mL}$ . Avidin and BSA showed characteristics of disulfide bonds, Phe, Trp, CH<sub>2</sub>, and amide. Cytochrome c and Hb were dominated by the vibrations associated with the heme. Lysozyme showed peaks relating to disulfide bonds, Trp, Phe, CH<sub>2</sub>, and the peptide backbone (amide I and III).<sup>87</sup>

Ivleva et al. employed label-free in situ SERS to image biofilms. Reference samples of BSA, l-phenylalanine, cellulose, dextran, xanthan, gellan, and alginic acid were collected.<sup>88</sup> The glucosidic ring mode vibrations were very strong and the prominent carboxylic bands suggest that this is where the proteins adsorb to the surface of the NP.<sup>88</sup> Binary mixtures of cytochrome c, lysozyme, Hb, HSA, apotransferrin, IgG, fibrinogen, and IgA were discriminated using principal component analysis on the observed SERS spectra.<sup>89</sup> The binary mixtures were based on the size of the proteins: Lys-apoTrf, Cyt c-Hb, HSA-Fb, and IgG-IgA. They reported that the affinity between the protein and NP was significantly dependent on the charge of the protein. The apoTrf showed stronger affinity (than lysozyme) to the NP through the functional groups: COO, SH, and OH. A larger protein may not be

able to adhere to the NP through weak ionic interactions unless a protein has covalent interactions to the NP.<sup>89</sup>

### Limits of Detection and Quantification of Proteins

Han et al. investigated the quantification of lysozyme and catalase in aqueous solutions as shown in Figure 6.<sup>90</sup> The LOD was calculated to be 5 µg/mL and 50 ng/mL for lysozyme and catalase, respectively. Lysozyme showed vibrational bands from the amide and aromatic amino acid residues (Phe, Tyr, and Trp). They reported the detection of ribonuclease B, avidin, Hb, and cytochrome c in aqueous solutions was also achievable.<sup>90</sup> The heme proteins were dominated by the heme group vibrations as previously reported.

Protein A and IgG were quantified with the use of Ag NPs arranged on a silicon substrate.<sup>91</sup> The LOD for protein A and IgG were determined to be 5 nM and 500 fM, respectively, with this substrate. Protein A has high concentrations of lysine (Lys), glutamine (Gln), and asparagine (Asn), which are observed in the SERS spectra at 1150 and 1507 cm<sup>-1</sup> (Lys) and 1272 cm<sup>-1</sup> (Gln and Asn). IgG showed vibrational bands associated with Trp and Tyr. For IgG the 1649 cm<sup>-1</sup> peak was used follow the adsorption process.<sup>91</sup> Silver nanostructured surfaces on stainless steel were used to quantify albumin and globulin.<sup>92</sup> The LOD was reported at 400 µg/mL.<sup>92</sup> Hughes et al. used antibody-functionalized gold NP to detect mouse monoclonal anti-Erythropoietin (EPO) and caffeine.<sup>93</sup> The lower limits of quantification for EPO and caffeine were 3.5×10<sup>-13</sup> M and 1×10<sup>-10</sup> M, respectively. The EPO concentration was comparable to the human urine concentration. Caffeine showed a band associated with the imidazole trigonal ring stretch that was used for quantification. The EPO was quantified using the CH<sub>2</sub> vibrational band.<sup>93</sup> Buividas et al. developed a gold coated grating-like ripple structure for fast and reliable detection of amyloid-β 40 oligomers (Aβ-40).<sup>94</sup> The roughened surface allowed for the detection of Aβ-40 with concentrations ranging between 10 nM and 10 µM. Most vibrational bands were associated with the L-Phe but vibrational bands related with L-Val and L-Tyr amino acids were also observed.<sup>94</sup>

The use of convective assembly between proteins and NPs allowed for the quantification of BSA, catalase, pepsin, cytochrome c, avidin, and lysozyme.<sup>95</sup> The LOD for all the proteins was 0.5 µg/mL. The vibrational bands were mainly associated with amino acids residues: Cys, Trp, Phe, and Tyr. However, the peptide backbone was observed from the amide I and III vibrational modes.<sup>95</sup> Convective assembly was also used detect proteins in binary and ternary mixtures such as: IgA with Hb and avidin with cytochrome c, and insulin with human serum albumin (HSA) and IgA.<sup>96</sup> The use of Euclidian distance plots allowed for the distinguish between proteins based on their associated bands: IgA (928 and 950 cm<sup>-1</sup>), Hb (971 and 1326 cm<sup>-1</sup>), and cytochrome c (1254 and 1366 cm<sup>-1</sup>).<sup>96</sup> Another study investigated the fabrication of a highly sensitive chip-based substrate for the quantification of BSA, mouse IgG, and cardiac troponin T (CTnT) where the detection limit of 3 ng/mL was achieved.<sup>97</sup> The signal for all three proteins were dominated by the amino acid residues: Trp, Tyr, Cys, His, and Phe.<sup>97</sup> The suspended droplet technique was used to quantify HSA, transferrin, Mb, cytochrome c, avidin, and lysozyme with a detection limit of 0.5 µg/mL.<sup>98</sup> From the obtained spectra it is observed that the phenylalanine residue and the C-O, C-N stretch dominate. Other amino acid residues and vibrational bands associated with the

peptide backbone were also noted.<sup>98</sup> Kahraman et al. also observed a LOD of 0.5  $\mu\text{g/mL}$  for BSA, Hb, thrombin, avidin, cytochrome c, and lysozyme by using hydrophobicity-driven self-assembly.<sup>99</sup> For quantification, a reference peak was chosen for each protein: lysozyme ( $760\text{ cm}^{-1}$ ), cytochrome c ( $1005$  and  $1262\text{ cm}^{-1}$ ), and avidin ( $945\text{ cm}^{-1}$ ).<sup>99</sup>

The fabrication of a 3D plasmonic silver nanovoid structure was reported to obtain label-free protein detection by SERS at the excitation wavelength of 785 nm.<sup>100</sup> The protein solutions were drop coated and naturally dried on the nanovoid structure. BSA, Hb, thrombin, avidin, cytochrome c, and lysozyme were detected with a LOD of approximately 0.05  $\mu\text{g/mL}$ . The fabricated nanovoid structure provided more reproducible spectra than colloidal NPs. The band assignments for all the proteins are mainly associated with the amino acid residues: Cys, Phe, Tyr, and Trp. The peptide backbone was also observed though the amide I and III bands.<sup>100</sup>

### Nano- and Microfluidic Approaches to In Situ Protein Characterization

Nano- and microfluidic devices have been investigated to characterize proteins in solution environments. Studies have shown that the interaction between the NP and protein denatures or changes the protein conformation. To address this challenge nanofluidic devices have been implemented obtain high signal without interfering with the naturally structure of the protein.  $\beta$ -amyloid 40, a protein critically involved in Alzheimer's disease, was detected with the use of a nanofluidic device.<sup>101</sup> The device allowed the mixture of NPs and proteins to be transported through a microfluidic channel to the entrance of a nanochannel where the analyte and NP were concentrated and confined, leading to an enhanced SERS signal. Other proteins such as bovine serum albumin (BSA) and bovine pancreas insulin were also detected with this nanofluidic device. The spectra were mainly dominated by the amino acids containing aromatic side chains (Phe, Tyr, and His). However, it was reported that protein-NP interaction occurs at the His residue.<sup>101</sup> Zhou et al. used a low-cost T-shape poly(dimethylsiloxane) microfluidic chip to mix NPs with proteins to quantify the amount of BSA.<sup>102</sup> They reported a LOD of 1 pM. The BSA vibrational bands were associated with Tyr, Trp, Phe, and amide I. A mixture of BSA and cytochrome c was also investigated; showing different proteins could be distinguished based on the vibrational bands observed.<sup>102</sup>

### Protein Kinetics and Conformational Changes

Because SERS can be obtained in solution, it is possible to monitor enzymatic reactions and changes in protein conformation. Double-Cys mutants of FynSH3 (K105C/S115C and A95C/V141C) were expressed by pET vector in *E. coli* and detected at concentrations as low as  $5 \times 10^{-9}\text{ M}$ .<sup>103</sup> The Cys residues promoted 'self-assembly' with the NPs creating a 'hot spot'. Strong C-S vibrational mode was observed.<sup>103</sup> Das et al. employed off-resonant SERS ( $\lambda_{\text{ex}}=830\text{ nm}$ ) for lysozyme, ribonuclease-B, BSA, and Mb at various temperatures.<sup>104</sup> BSA's spectrum is dominated by the amide I and III, Phe, Trp, Tyr, and Cys vibrational bands at room temperature. The vibrational bands remained constant over the various temperatures besides at the 2 extreme temperatures. The lysozyme spectrum was dominated by the amide I band however bands associated with the amide II and III, Cys (C-S), Trp (indole ring), and Phe were also observed.<sup>104</sup>

Keskin et al. used a hydrophobic glass surface to execute the suspended droplet technique of ternary mixtures under different temperature conditions.<sup>105</sup> The conformational changes of the proteins were investigated for the temperature gradient of 30 to 70 °C. The two different mixtures were HSA-transferrin-Hb and HSA-fibrinogen-Hb. They concluded that the proteins do show different behaviors based on the interactions in the mixtures and with the NPs. At the different temperatures, the human serum transferrin denatured, as evidenced by increased Phe peaks at 676 cm<sup>-1</sup> and 1001 cm<sup>-1</sup> that suggest the Phe residues and the NPs interact more. Fibrinogen (critical for hemostasis) was also studied at various temperatures. Peaks associated with S-S stretching, Trp, proline (Pro), and Ala were observed. However, at high temperatures, 60 and 70°C, an intense Tyr (640 cm<sup>-1</sup>) and C-C stretching (671 cm<sup>-1</sup>) vibrational modes were observed, suggesting a change in structure at 50°C.<sup>105</sup>

Li et al. monitored protein tyrosine nitration (PTN) for BSA and three different peptide sequences.<sup>106</sup> The peptide sequences were IEDNE(Y)TARQGGC, FSA(Y)LER, and I(Y)GEFKKKAAC. They also studied the hemin-catalyzed PTN process for BSA and human blood serum samples. This process allows for the in-situ monitoring of in-vitro hemin-catalyzed protein tyrosine nitration. Nitration was monitored by the increase in the 330–400 cm<sup>-1</sup> vibrational bands.<sup>106</sup>

### Biorecognition

Biorecognition by proteins has been studied by SERS. Li et al. investigated carbohydrate-protein binding, relevant to human influenza hemagglutinins, by incubating gold NPs with various solutions of galectin 1, galectin 3, and BSA.<sup>107</sup> Wang and Xiao further investigated protein-ligand binding interactions by comparing the SERS signal of ligand functionalized NPs mixed with soluble proteins against TERS experiments of immobilized proteins on surfaces binding the ligand functionalized NPs.<sup>108–111</sup> On the basis of the amino acids detected, it is believed that the SERS spectrum can identify the amino acid residues of, for example, an integrin receptor binding to an RGD peptide ligand.<sup>110</sup> Figure 7 shows how the SERS spectrum from purified  $\alpha_v\beta_3$  integrins mixed with RGD functionalized NPs strongly resembles the spectrum observed from TERS detection of the same functionalized NPs interacting on a cell membrane with TERS detection.<sup>110</sup> The amino acid selectivity of this recognition assay was probed by mutating a tryptophan near the binding pocket in streptavidin, which dramatically altered the observed SERS spectrum and thereby identified the binding site.<sup>108</sup>

### Towards Clinical Applications

One application of SERS for protein detection is the “Western SERS” demonstrated by Han et al., which allows for the label-free multi-protein detection on a nitrocellulose membrane.<sup>112</sup> With the use of SERRS they were able to quantify bovine Mb with an estimated LOD of 4 ng.<sup>112</sup> The Mb showed vibrational bands associated with the heme group. They were also able to detect BSA, HSA, and lysozyme on the nitrocellulose membrane. These proteins exhibited bands from the amide I and III structures along with the Tyr, Phe, and Trp residues.<sup>112</sup>

Further development of such assays is leading toward clinical applications of SERS based protein detection. Wang et al. detected purified albumin and globulin from healthy and colorectal cancer patients.<sup>113</sup> PCA-LDA analysis was used to provide a “yes/no” assessment of colorectal cancer. They assigned the major peaks of albumin and globulin to: Trp, Phe, Tyr, methionine (Met), amide I (alpha helix:  $1646\text{ cm}^{-1}$  and beta sheet:  $1706\text{ cm}^{-1}$ ) and III, S-S stretching, C-S stretch, C-C and  $\text{CH}_2$ . Changes in amide I vibrations suggest that the cancer patients’ samples contained less alpha helix regions and more beta sheets than the normal samples.<sup>113</sup>

## METABOLITES

Increased interest in characterizing metabolites, the small molecules involved in metabolic pathways, has resulted in the new detection methods complimentary to the gold standards: MS and NMR. SERS has been used in various studies to detect and quantify metabolites related to pesticides, natural biological pathways, and drug metabolism.

### Vitamins

The various B vitamins have been detected by SERS. Bailey et al. used the combination of microfluidics, sheath-flow SERS, and amperometry to quantify vitamin B<sub>2</sub>, also known as riboflavin.<sup>114</sup> Silver was electrodeposited onto a gold electrode to act as a SERS active electrode. The SERS detection limit was observed at 1 nM while the electrochemical detection limit was 89 nM. They reported the orientation of the analyte relative to the SERS electrode contributes to the enhancement of certain vibrational bands.<sup>114</sup> Stokes et al. quantified folic acid (pterin based-vitamin B<sub>9</sub>) in water and detected it in human serum.<sup>115</sup> The LOD was calculated to be 0.018  $\mu\text{M}$ . The main vibrational modes observed in the SERS spectrum were associated with the coupled phenyl ring deformations.<sup>115</sup> Other pterin containing compounds such as tetrahydropterin and dihydropterin were identified and quantified at the optimal excitation wavelength of 514.5 nm in water and rat serum.<sup>116</sup> The LOD was reported as 1 nM for the pterins.<sup>116</sup>

Folic acid and the cancer drug, methotrexate, were also quantified in complex mixtures.<sup>117</sup> A sandwich substrate technique was implemented by drop coating the target analyte/NP mixture onto the planar substrate. The planar substrate was fabricated by galvanic displacement of AgNPs onto copper foils. The LOD for this technique was reported to be 100 pM. However, the LOD of folic acid in PBS buffer with 1% BSA was 1nM. The characteristic Raman bands for quantification for folic acid and methotrexate were 1502 and  $1563\text{ cm}^{-1}$ , respectively.<sup>117</sup>

$\beta$ -carotene, a precursor to vitamin A, was detected in whole blood using SERS.<sup>118</sup> The SERS signal selectively probed either  $\beta$ -carotene or hemoglobin with various excitations wavelength: 785 nm and 514 nm. At 514 nm, the SERS spectra of whole blood was dominated by the vibrational bands associated with heme group while the normal Raman spectra were dominated with  $\beta$ -carotene bands.<sup>118</sup>

DeVault and Sepaniak used capillary electrophoresis with off-column SERS to separate and detect riboflavin from benzyoxyresorufin, and resorufin.<sup>119</sup> An electrofilament was used to



deposit the CE elution onto a silver colloid coated aluminum block where the SERS detection would later be performed. With this system, the three analytes were detected in the micromolar regime.<sup>119</sup> Another study used LC-sheath flow SERS to separate and detect thiamine (vitamin B<sub>1</sub>), folic acid, and riboflavin.<sup>120</sup> Quantification of the analytes was achieved using acetonitrile from the mobile phase as an internal standard. Figure 8, shows the average SERS spectra of the three analytes at various concentrations. The peak area ratio between the internal standard and analyte shows a linear relationship with concentration. The SERS LOD for thiamine, folic acid, and riboflavin were reported as 1.02  $\mu\text{M}$ , 0.94  $\mu\text{M}$ , and 84 nM, respectively. The unique vibrational bands allowed for the identification of the three vitamins. Observed vibrational bands for thiamine were associated with the protonated pyrimidine ring stretch. The SERS spectrum of folic acid was dominated by the C-H rocking, C=N asymmetric stretch, and the C=O stretch + N-H bending modes. While, riboflavin showed peaks associated with the C=O bending, C-N stretch of the pyrazine ring, C-C stretch, C-CH<sub>3</sub> bending, C-N stretching, and C-C stretching of the benzene.<sup>120</sup>

### Neurotransmitters

Wang et al. used a graphene-gold tip hybrid platform to detect single molecule dopamine and serotonin ( $10^{-10}$  M).<sup>121</sup> They also reported the detection of these neurotransmitters at concentrations as low as  $10^{-9}$  M in simulated body fluids supplemented with background serum proteins. The dominant vibrational band for dopamine was associated with the C=C stretching mode. Indole ring vibrations dominated the serotonin spectrum. It was reported that the  $\pi$ - $\pi$  interactions between the ring structures and the graphene contributed to the chemical enhancement.<sup>121</sup> Bailey et al. detected the neurotransmitters: dopamine, serotonin, norepinephrine, epinephrine, and catechol to investigate the role of surface absorption in SERS.<sup>122</sup> The dominant vibrational bands were associated with phenol moiety, which suggest that this area of the neurotransmitter adsorbs to the SERS active-surface. It was reported that the affinity and orientation is highly correlated to the SERS enhancement.<sup>122</sup> Li et al. fabricated a stationary nanoporous gold disk in a microfluidic device to quantify dopamine and urea.<sup>123</sup> The LOD for dopamine and urea was 32.4 nM and 0.67 mM, respectively.<sup>123</sup>

### Metabolites in Biological Matrices

Other small molecules have also been identified and quantified by SERS in biological fluids. Isotope dilution was used to quantify serum creatinine; ultrafiltration and ion exchange treatment was used to remove any interfering substances from the serum.<sup>124</sup> The LOD was reported to be 0.1  $\mu\text{g/mL}$ .<sup>124</sup> However, Premasiri et al. used gold NPs to detect creatinine, urea (the major component in urine), and uric acid in urine samples.<sup>125</sup> They show it is possible to detect nitrogen compounds in the presence of other compounds without separation. The major vibrational band near  $1000\text{ cm}^{-1}$  corresponds to the symmetric C-N stretch of urea. The SERS spectrum of uric acid was dominated by the peaks at 464, 783, and  $1745\text{ cm}^{-1}$ .<sup>125</sup>

Li et al. used stamping SERS with a nanoporous gold disk as SERS substrates to detect creatinine.<sup>126</sup> A droplet of the desired analyte was dried on a PDMS surface before the SERS substrate was stamped against the PDMS film creating contact between the NP and

the analyte. It was reported that creatinine in water had a LOD of 13.2 nM. Creatinine was also studied in the presence of urea and mouse urine. The calculated LOD for creatinine in mouse urine was 0.68 mg/mL. From this study, it was reported that creatinine can be observed in the presence of 100× higher concentration of urea.<sup>126</sup>

Lussier et al. used gold nanoraspberries as a plasmonic nanosensor to detect low level metabolites excreted from living Madin-Darby canine (MDCK11) kidney cells.<sup>127</sup> PCA was used to extract SERS spectra of pyruvate, lactate, ATP, glucose, and urea while dynamic SERS (DSERS) was used to monitor the single molecule fluctuations of the metabolites.<sup>127</sup> Glucose sensing was investigated by Yonzon et al. with the use of (1-mercaptoundeca-11-yl)tri(ethylene glycol) modified silver film over nanospheres (AgFON) on copper substrates.<sup>128</sup> A small volume flow cell was used to minimize environmental interferences at the SERS active surface. The major vibrational bands for glucose were associated with the C-C-H bend ( $1320\text{ cm}^{-1}$ ), C-C+C-O stretch ( $1260, 1168, 1124\text{ cm}^{-1}$ ), and C-OH stretch ( $1076\text{ cm}^{-1}$ ). To prove biological relevance, glucose was also detected in the presence of serum albumin at physiological concentrations (3.9–5.5 mM).<sup>128</sup>

### Drug Metabolites

Oxypurinol, the active metabolite of the pharmaceutical drug allopurinol, was investigated for potential drug screening.<sup>129</sup> Oxypurinol is the xanthine oxidase inhibitor. It was reported that this SERS technique was comparable to the standard HPLC method, as shown in Figure 9b. Hydroxylamine reduce silver colloids were used as the SERS-active substrate to monitor the chemical cascade between hypoxanthine and the enzyme xanthine oxidase.<sup>129</sup> Hypoxanthine, xanthine, uric acid, and oxypurinol were all detected using SERS.

Farquharson et al. investigated the SERS detection of the cancer medication, 5-fluorouracil (5-FU), and the two major metabolites of 5-FU, 5-fluorouridine (5-FUrd) and 5-fluoro-2'-deoxyuridine (5-FdUrd).<sup>130</sup> 5-FU was detected in saliva within the physiological range, 1–30  $\mu\text{g/mL}$ . The 5-FU spectrum is dominated by the pyrimidine ring, trigonal ring plus C-F stretching mode, a ring plus C-H wagging mode, a ring plus N-H wagging mode, and the symmetric C=O stretch. The 5-FUrd and 5-FdUrd spectra are dominated by the same vibrational modes as 5-FU, however, the 5-FdUrd had an additional peak corresponding to the combination of ring and ribose vibrational modes. It is suggested that the analytes interact with the metal NPs through the  $\text{N}_3$  giving the analyte an upright geometry relative to the NP.<sup>130</sup>

Han et al. used core-shell silver coated gold NPs to identify the antitumor drug, 6-mercaptopurine (6MP), along with the drug metabolites, 6-thioinosine monophosphate (6TIMP) and 6-mercaptopurine-ribose (6MPR).<sup>131</sup> They monitored the real-time metabolism of 6-mercaptopurine in living tumor cells. It was reported that the 6MP and the 6MPR absorbed to the NP surface through the thiol group and the lone pair on the N atom. The dominant vibrational bands were associated with the purine ring therefore there was significant spectral overlap between the different analytes.<sup>131</sup> Aqueous silver colloids have also been used to detect other low level anticancer drugs such as sunitinib, SN-38, irinotecan, paclitaxel, and doxorubicin at 633 nm excitation.<sup>132</sup> The colloids and analyte mixtures were drop coated onto aluminum. Paclitaxel showed no SERS signal while the

other anticancer drugs were quantifiable. The LOD for sunitinib, SN-38, and irinotecan were calculated as 11–15 ng/mm<sup>2</sup> (18–26 ng), 11–28 ng/mm<sup>2</sup> (20–50 ng), and 34–40 ng/mm<sup>2</sup> (60–70 ng), respectively. This technique allowed for the detection of these analytes at medically relevant concentrations (10<sup>2</sup>–10<sup>3</sup> ng).<sup>132</sup> Yuen et al. earlier reported detecting paclitaxel in blood plasma using microwave-treated Au-polystyrene beads as the SERS-active substrate.<sup>133</sup> Concentrations varying from 1.0 μM to 10 nM were detected using this method. The major vibrational bands for paclitaxel were associated with the C-C and C=C stretching modes.<sup>133</sup>

Other prescription drugs have been quantified by SERS. A study investigated the quantification of tricyclic antidepressants in plasma, imipramine and desipramine with Ag colloids.<sup>134</sup> The LOD for imipramine was reported as 9.8×10<sup>-7</sup> M. While the LOD for desipramine was not reported, it was stated that the pharmaceutical was undetectable under 10 μM. The high enhancement of the 1432 cm<sup>-1</sup> band suggests that aliphatic chain was near the silver colloids. The 1206 and 1228 cm<sup>-1</sup> bands corresponding to the lone pair of electrons on the N, suggest another interaction with the Ag colloids through the lone pair of electrons.<sup>134</sup> Choi et al. detected promethazine (pain medication) and urea with a SERS active silver coated nanodome sensor.<sup>135</sup> The sensor can be placed in line with biomedical tubing for the real-time detection of biomolecules in flow. The LOD for promethazine and urea are 2.32 mg/mL and 13 mM, respectively. Urea was identified by the symmetric C-N stretch at 1000 cm<sup>-1</sup>. Whereas, promethazine showed dominant vibrational bands associated with the ring-breathing mode of the aromatic ring (1030 cm<sup>-1</sup>) and the aromatic C=C stretching mode (1567 and 1589 cm<sup>-1</sup>).<sup>135</sup> Levene et al. tested different metal colloids to detect the β blocker, propranolol.<sup>136</sup> The largest enhancement and most consistent spectra occurred with citrate reduction of HAuCl<sub>4</sub> at the excitation wavelength 785 nm. Propranolol showed a well-defined naphthalene ring stretch at 1375 cm<sup>-1</sup>. The LOD was reported as 7.97 nM, which is within physiological concentrations in human blood.<sup>136</sup>

Clarke et al. used SERS to detect multiple different antibiotics such as: carbenicillin, ampicillin, penicillin V, penicillin G, and 6-aminopenicillanic acid.<sup>137</sup> The antibiotics were detected at concentrations as low as 62.5 mM in aqueous solutions. A quantitative analysis of penicillin G in fermentation broth had a detection limit of 20 mg/mL. The core structure of penicillin consists of a thiazolidine ring, four-membered beta-lactam ring, and a variable side chain that usually consists of a benzene ring. The five different antibiotics showed distinctions in their SERS spectra based off the variability of the side chain. Quantifiable peaks for penicillin G were associated with the aromatic residues, benzene, and peaks that were combination of multiple vibrations.<sup>137</sup>

Recreational drugs have also been investigated for medical diagnostics and law enforcement monitoring. SERS detection suggests a fast, reliable, and inexpensive detection method.

The metabolites of caffeine were detected in tertiary mixtures using citrate reduced silver colloid based SERS.<sup>138</sup> Caffeine and its metabolites, theobromine and paraxanthine, were quantified at concentrations relevant to human fluids. Alternating least squares and neural network modelling were able to quantify the metabolites without the need for separation. The caffeine and paraxanthine spectra showed vibrational bands associated with the C=O

deformation. For theobromine, the vibrational band for  $\nu(\text{C-N})+\rho(\text{CH}_3)$  was observed in the tertiary mixture.<sup>138</sup>

Nicotine, cotinine, and anabasine were detected with the use of gold colloids at the excitation wavelength 785 nm.<sup>139</sup> With the use of standard addition, the nicotine metabolites were detected in pure solutions, mixtures, and human urine. They used multivariate curve resolution-alternating least squares (MCR-ALS) to compensate for the matrix effects from the urine to be able to quantify the nicotine without separation. Vibrational bands were associated with the symmetrical breathing ( $1032\text{ cm}^{-1}$ ) and the trigonal deformation ( $1052\text{ cm}^{-1}$ ) of the pyridine. Since the three metabolites all consist of the same backbone, the SERS spectra contained significant overlap.<sup>139</sup> Another study reported the simultaneous detection of a tertiary mixture of nicotine, cotinine, and trans-3'-hydroxycotinine by SERS.<sup>140</sup> Here, they investigated the pH dependence of these analytes on the SERS signal. They analyzed the SERS spectra of the tertiary mixture with the artificial neural network.<sup>140</sup> An additional study explored, magnetically optimized SERS with the use of gold dotted magnetic nanocomposites to detect cotinine and benzoylecgonine (BZE) in saliva.<sup>141</sup> The LOD of cotinine and BZE were reported as 8.8 ppb and 29 ppb, respectively, which is below the 50 ppb detection limit currently set by SAMHSA for drugs in saliva. They reported the spectral difference between cotinine and BZE could lead to the distinction between drug addicts and smokers.<sup>141</sup>

Sanles-Sobrido et al. showed the direct and indirect detection of BZE with silver coated carbon nanotubes.<sup>142</sup> The label-free indirect detection of BZE with mouse mAb anti-BZE was quantifiable at physiological concentrations. The BZE spectrum showed vibrational bands associated with the C=C stretching, C-H bending, NC-H stretching, C-N stretching, ring breathing, pyrrolidine C-C stretching, C-H deformation, and piperidine C-C stretching. The antibody complex shows an enhancement in the  $693\text{ cm}^{-1}$  band.<sup>142</sup> BZE in human urine was detected with the combination of CE-sheath flow SERS and with DSERS.<sup>143</sup> Direct detection of BZE was observed in urine at a physiologically relevant concentration of 30 ng/mL.<sup>143</sup>

SERS was employed as a detection technique for HPLC separations for other recreational drugs detection such as cocaine, heroin and amphetamine or the pharmaceuticals (Nor-) papaverine and procaine.<sup>144</sup> The LC fraction of specific analytes was collected and deposited into a microplate well containing a SERS substrate. The off-line SERS detection of these drugs varied from 2  $\mu\text{g}/\text{well}$  to 75 ng/well.<sup>144</sup> Psychoactive drugs such as dihydrocodeine, doxepine, citalopram, trimipramine, carbamazepine, methadone were analyzed in human blood and urine.<sup>145</sup> By collecting HPLC fractions and depositing them onto gelatin-based silver halide dispersion wells, the detection limit in the 1  $\mu\text{g}/\text{sample}$  regime was achieved.<sup>145</sup>

Gold and silver-doped sol-gel SERS substrates incorporated inside capillaries were used to detect and quantify 80 different drugs and metabolites.<sup>146</sup> Cocaine and five different drugs were spiked into saliva and successfully detected at concentrations as low as 1 ppm.<sup>146</sup> A rapid, SERS detection was developed for multiplex screenings of drugs with LOD ranging from 0.09 – 0.39  $\mu\text{g}/\text{mL}$ .<sup>147</sup> The binding between drugs and HSA can lead to changes in

conformation of HSA when drug-protein complexes form that are evident in the SERS spectra. HSA was employed as a model tethering protein to specifically bind four different classes of drug molecules: barbiturate, benzodiazepine, amphetamine and cocaine with the use of silver core-gold shell NPs. The analytes quantified were butalbital (barbiturate), alpha-hydroxyalprazolam (benzodiazepine, used to enhance heroin and cocaine), pseudoephedrine (precursor of methamphetamine) and BZE (metabolite of cocaine). Thus, demonstrating SERS as a promising tool to monitor drug binding efficiency and quantify the amount of bound drugs in a complex mixture.<sup>147</sup> Fabriciova et al. also investigated the interaction between anthraquinone drugs and HSA.<sup>148</sup> The defatted HSA was used to understand the influence of fatty acids in the binding event by comparing the SERS spectra of the various complexes. It was reported that emodin, an antitumor drug, can interact with HSA through the I and II Sudlow binding sites.<sup>148</sup>

## Pesticides

Pesticide metabolites have also been investigated with SERS. The major metabolite of the pesticide cyromazine is melamine. Melamine has been investigated in complex mixtures without using a separation technique. The FDA set detection limit for melamine in food is 2.5 ppm. Lin et al. quantified melamine in gluten, chicken feed, cake, and noodles.<sup>149</sup> The SERS LOD of melamine was reported as 0.033  $\mu\text{g/mL}$  compared to the LOD for HPLC of 1  $\mu\text{g/mL}$ . Melamine was quantified using the characteristic vibrational band at 682  $\text{cm}^{-1}$ .<sup>149</sup> Wen et al. used suspended gold NPs to detect melamine in five different materials such as sucrose, urea, arginine, histidine, and cell culture media.<sup>150</sup> The LOD was calculated to be 10 ppb in a water/acetonitrile solvent but only 500 ppb in the raw materials.<sup>150</sup>

The insecticide, acephate, and its metabolite, methamidophos, were extracted from urine and detected using SERS.<sup>151</sup> The major vibrational bands for acephate are associated with the P-O-C, P-S-C, and ketone features. To determine the spectral overlap between acephate and urea, urea was detected on the same electrochemically roughed substrates. It was also reported that acephate can be efficiently extracted from urine to remove the overwhelming urea interference to obtain ppb detection limits.<sup>151</sup>

Carrillo-Carrión et al. coupled capillary-LC and SERS detection through a microdispenser interface to separate and quantify the four pesticides: atrazine, terbutylazine, chlortoluron, and diuron.<sup>152</sup> A highly sensitive SERS-active Ag-Quantum dot substrate was fabricated for at line SERS detection. The calculated SERS LOD ranged between 0.1–0.2  $\mu\text{g/mL}$  depending on the different pesticides. The LOD calculated for the LC-UV ranged from 1.0–1.5  $\mu\text{g/mL}$ .<sup>152</sup> The fungicide and animal repellent, thiram, was quantified by coupling capillary HPLC-SERS.<sup>153</sup> The SERS substrate was fabricated at the inner wall of the capillary with Ag@Au NPs, achieving a LOD as low as  $10^{-7}$  M.<sup>153</sup>

## Metabolic Biomarkers

The neuroendocrine tumor marker, metanephrine, was identified and quantified using the NP sandwich technique.<sup>154</sup> The LOD was calculated to be 50  $\mu\text{M}$ . Many of the observed vibrational bands were associated with the catechol moiety especially the intense C-C stretching mode at 1279  $\text{cm}^{-1}$ . This is very similar to the epinephrine and dopamine SERS

spectra. They reported that the C-N vibration was not enhanced in the SERS spectra compared to the normal Raman therefore it was concluded that metanephrine adsorbed to the surface through the lone pair of the O<sub>10</sub> atom resulting in a tilted orientation.<sup>154</sup>

Glycerophosphoinositol (GroPIns) is a metabolite associated with the oncogenic Ras transformation in epithelial cells. De Luca et al. compared the SERS spectra of GroPIns, myo-inositol, and glycerol when using traditional silver colloids with their lithographically designed gold fishnet planar substrates.<sup>155</sup> The fishnet planar substrates allowed for a more reproducible spectrum. At  $\lambda_{\text{ex}} = 532$  nm, the detection and identification of the three biomarkers was achieved with a sensitivity of 200 nM. The phosphodioxy group in GroPIns showed a very strong vibrational band at 1080 cm<sup>-1</sup>, however, the C-C and C-O bonds also produced a complex array of vibrational bands. Glycerol was dominated by the C-C stretching and CH<sub>2</sub> deformation modes. While myo-inositol showed spectral overlap with the GroPIns, it also showed an additional and intense C-C-O stretching band at 1005 cm<sup>-1</sup>.<sup>155</sup>

## BACTERIA

Rapid detection and classification of microorganism is essential in the field of microbiology, pathology as well as medical diagnosis. Current technology for molecular identification of bacteria includes PCR, which can detect and amplify bacterial strains from the cultured bacterial cells samples using target primer sequences to facilitate specificity of bacterial DNA/RNA detection. Pathogenic bacteria have been major risk in clinical, environmental and biosecurity fields and there is a need for accurate and rapid identification of the bacteria. In many cases, especially when dealing with infectious diseases, the ability to obtain immediate result is essential to maximize the effectiveness of target antibiotics within their therapeutic window. For this reason, SERS has been proven to be a powerful analytical tool for highly sensitive and rapid bacteria detection down to molecular level without the need of lengthy cell culturing time.

Bodelon et al. used gold nanostructures porous substrates to investigate *in vitro* pyrocyenin production by *P. aeruginosa* by SERRS ( $\lambda_{\text{ex}} = 785$  nm).<sup>156</sup> The LOD by wet state immersion was reported to be 10<sup>-14</sup> M.<sup>156</sup>

Bell et al. employed SERS to detect dipicolinic acid (DPA), the metabolite responsible for the heat resistance of bacillus endospores and a biomarker for the bacterial spores.<sup>157</sup> Different aggregating agents for silver colloids were tested and it was found that sulfate aggregation resulted in remarkable increase in SERS signals of DPA. The internal standard, CNS<sup>-</sup>, allowed for the quantitative analysis of DPA by plotting the intensity ratio between peak 1010 cm<sup>-1</sup> (DPA) and the thiocyanate stretch at 2120 cm<sup>-1</sup>.<sup>157</sup> However, Zhang et al. used silver film over nanospheres to detect *Bacillus anthracis* spores and calcium dipicolinate (CaDPA).<sup>158</sup> They were able to detect the spores at concentrations below the infectious dose, reporting an LOD of 2.6×10<sup>3</sup> spores. The LOD for CaDPA was reported at 3.1 μM. Citrate reduced silver colloids were also used to detect DPA and glutaric acid.<sup>159</sup> DPA was detected in both pure samples and when extracted from bacillus spores. Glutaric acid was used as an internal standard for quantification. The LOD for DPA was reported as



10.2 ppb. DPA has a strong vibrational band at  $1006\text{ cm}^{-1}$  associated with the pyridine ring-breathing mode.<sup>159</sup> Another SERS analysis of DPA used meso-droplets on super hydrophobic wires with hydrophilic tips to significantly decrease sample size.<sup>160</sup> However, this technique increases sensitivity for DPA. It was reported that the LOD was  $1 \times 10^{-6}\text{ M}$  which is equal to  $3.34 \times 10^{-5}\text{ }\mu\text{g}$  in a  $0.2\text{ }\mu\text{L}$  droplet.<sup>160</sup>

### Bacteria Classification

Efrima and colleagues performed early experiments on SERS detection of bacteria by coating a silver metal colloidal layer on the bacterial cell wall.<sup>161–162</sup> Intense SERS signal were obtained from four different bacteria: *E. coli*, *A. calcoaceticus* RAG-1, *P. aeruginosa* YS-7 and *B. megaterium*. However, under closer examination, they reported that the SERS spectra of these bacteria were quite similar despite having significant biological differences, such as Gram positive, Gram negative, and differing contents in the cell walls.<sup>162</sup> Their control SERS experiments suggested that the bacteria spectra were dominated by the flavin adenine dinucleotide modes, which has a larger Raman cross-section compared to other elements of the cell wall, and complicating interpretation.

The first successful experiment to discriminate multiple bacteria strains was done by Goodacre et al. where they employed aggregated silver colloid substrate and multivariate statistical analysis to six bacterial species isolated from urinary tract infections.<sup>163</sup> They observed SERS spectra of different bacteria species share the same main features, which are attributed to their similar constituents of the cell wall. For instance, they reported that the Gram-negative bacteria (two *Klebsiella* spp. and *E. coli*) expressed similar SERS spectra, such as the broad peaks at  $651\text{ cm}^{-1}$  follow by small shoulder peaks at  $720\text{ cm}^{-1}$ , although some differences can still be observed within the region of  $480\text{ cm}^{-1}$  to  $800\text{ cm}^{-1}$ . Interestingly, the Gram-negative *Proteus* and Gram-positive *Enterococcus* both show an intense peak at approximately  $730\text{ cm}^{-1}$ , which is assigned to vibrational modes of glycosidic ring of N-acetyl-D-glucosamine and N-acetylmuramic acid abundant in the cell walls. In a further study also done by this group, three related species of Bacillus bacteria (*B. amyloliquefaciens*, *B. licheniformis*, and *B. subtilis*) were successfully analyzed and discriminated by SERS.<sup>164</sup> The peak at  $626\text{ cm}^{-1}$  was observed in the SERS spectra of BS8 but not in the BS6 and BS10. Also, there were clear differences in the relative intensities of the peaks at  $732\text{ cm}^{-1}$  and  $662\text{ cm}^{-1}$  across the SERS spectra of the three *B. subtilis* isolates. Using cluster analysis and PC-DFA ordination plot as shown in Figure 10, different groups of bacteria can be discriminated based on their SERS spectral fingerprints. Their results indicate it is possible for SERS spectra to identify not only different bacterial species but also different strains/isolates within the same species. In addition to species differentiation, the SERS fingerprints was able to specify mutations in bacterial strains as demonstrated in Figure 11.<sup>165</sup> In most cases, the strong peaks near  $732\text{ cm}^{-1}$  can be attributed to the adenosine nucleic acid base of bacterial cell components<sup>58, 165</sup> while the vibrational bands within  $930\text{ cm}^{-1}$  to  $1130\text{ cm}^{-1}$  have been previously assigned to phospholipids.<sup>163–165</sup> Amide I, II, III vibrations and the carboxylic stretches that associated with the protein backbones are reported within the range of  $1220\text{ cm}^{-1}$  to  $1660\text{ cm}^{-1}$ .<sup>164–166</sup>

## Bacterial Biomarkers

Although SERS has shown excellent ability to differentiate strains of bacterial species, in many cases it is the bacterial spores that serves as markers for biohazards, contaminations, and biological warfare agents. There were multiple studies on SERS detection of dipicolinic acid (DPA), which is found in anthrax bacterial spores.<sup>157, 159–160, 164</sup> The SERS spectra of DPA discussed in the above sections are evidence for SERS detection of the bacterial spores. Many approaches were developed to quantify DPA isolated from *Bacillus* spores such as adding an internal standard (thiocyanate<sup>157</sup> or glutaric acid<sup>159</sup>), multivariate analysis to quantify the concentration of DPA and spore counts<sup>159–160, 164</sup>, or using SERS of meso-droplets on superhydrophobic wires for significant reduction in sample size<sup>160</sup>. Appropriate limit of detections for DPA by SERS were obtained by multiple methods with total sample mass ranging from  $2 \times 10^{-3} \mu\text{g}$  (1100 pores) –  $3.34 \times 10^{-5} \mu\text{g}$  (18.3 pores), which were considerably below the infective dose of anthrax. Recently, SERS has been applied widely into medical diagnosis for detection of viruses<sup>166–167</sup>, food borne pathogenic bacteria<sup>168</sup>, respiratory tract pathogens collected from true clinical throat swab samples<sup>169–170</sup>, and it was able to provide comparable results to that determined by qPCR.

It is very important to note that the peaks assignments in the above studies are tentative and a molecular level interpretation of these bacterial SERS spectra has been very challenging in the early SERS studies of bacteria. Development of a SERS spectral library for bacteria facilitates identifying different bacterial strains and species with high certainty. Ziegler et al. successfully employed cluster analysis method on SERS data to create a ‘barcoding’ library for bacterial species.<sup>171</sup> Binary barcodes generated with PCA algorithms were applied onto the normalized second derivative SERS spectrum to differentiate spectra. The use of second derivative SERS spectra can reduce background and signal fluctuations that usually obstruct SERS reproducibility.<sup>171</sup> Moreover, the bacterial ‘barcode’ was expected to be unique for each species and can be utilized into a priori reference library of known barcodes to facilitate rapid bacterial identification by SERS. In an expanded work, this barcoding bacterial SERS method was employed to successfully identify 17 isolates and microorganism from whole blood and blinded blood samples spiked with *E. coli* and *S. aureus* with high sensitivity and specificity.<sup>172</sup>

Multiple techniques have been developed to achieve high-throughput and highly reproducible SERS experiments in order to build a reliable reference spectral library for bacterial strains and species. Cheng et al. reported the development of a microfluidic platform for concentrating pathogens from blood onto the SERS-active roughen electrode while sorting out other macromolecules by hybrid electrokinetic or dielectrophoresis.<sup>173</sup> They were successfully identify three bacteria (*S. aureus*, *E. coli* and *P. aeruginosa*) from a mixture of whole red blood cells without the need to use any antibody. Knauer et al. performed a series of studies to develop a microarray readout chip based on SERS with immobilized antibodies and Ag colloid agglomeration for microorganism detections.<sup>174–176</sup> This SERS based immunoassay was able to nondestructively detect and quantify two different bacteria in an aqueous environment. They reported the differences in their SERS spectra were associated with differences in cell wall constituents such as the Raman shift originated from amide I at  $1640\text{--}1680 \text{ cm}^{-1}$ , deformation  $\text{CH}_2$  of saturated lipids at  $1440\text{--}$

1460  $\text{cm}^{-1}$  or the Phe skeletal bands at 620  $\text{cm}^{-1}$ . The strong intensity of both  $\text{COO}^-$  and  $\text{NH}_2$  vibrational modes indicate that the silver colloids bind with the bacterial cells through amine and carboxylate linkages.<sup>174–175</sup> In a later work, they were able to embed the antibodies immobilized chip into a flow cell where it is then flushed with bacterial samples.<sup>176</sup> Quantification was performed by SERS mapping with LOD to be 4,485 cells/mL.

Recent work done by the Ziegler group combined SERS with spectral fitting and mass spectrometry for metabolomics profiling purposes with bacteria.<sup>177</sup> The SERS capacity for bacterial identification as well as the biochemical origins of the vibrational fingerprints on SERS spectra was fully exploit. In general, SERS spectra of bacteria were obtained with Ag-coated  $\text{SiO}_2$  SERS chip substrate at 785 nm, which can eliminate strong SERS signals contributed from bacterial cell wall (lipids, polysaccharides, peptidoglycan, and proteins). Analysis of SERS spectra together with isotopic labeling and MS resulted in dominating features of purine degradation products: adenine, hypoxanthine, xanthine, guanine, uric acid, and AMP as the bacterial cell went through the starvation process.<sup>177</sup> The results provided a fundamental point for the development of SERS as rapid bacterial detection as well as a mean to monitor cellular activity and the kinetic of metabolic pathway.

## Summary and Future Outlook

The results reported above clearly demonstrate that SERS can both identify and quantify diverse biomolecules on the basis of the intrinsic vibrations within a molecule. While SERS-tags have evolved into regulatory approved clinical assays,<sup>178</sup> the *de novo* identification of biomolecules is quickly catching up. *De novo* SERS detection described above indicates that a number of factors are important for SERS identification and quantification.

How the biomolecules interact with the nanostructure is key. The SERS spectrum is often different from the spontaneous Raman spectrum. For the different classes of molecules above, there are consistent trends in what is observed in the SERS spectrum.

First, the functional groups on the molecule that interact with the nanostructure are preferentially enhanced. The enhancement is dependent upon the orientation of molecule. In nucleotides, the 736  $\text{cm}^{-1}$  band of adenine was observed to overwhelm other signals when oriented in an extended geometry from the surface but diminish in intensity when DNA oriented parallel to the surface.<sup>44</sup> The interactions between the carbonyls and the nanostructures resulted in frequency shifts suggesting an adsorption geometry.<sup>42</sup> Similarly for amino acids, red shifted C-S peaks suggest binding through thiols,<sup>67</sup> while the observation of specific residues suggest how amino acids interact with the nanostructures. By changing the surface chemistry, such as coating the nanostructures with oxides,<sup>67</sup> new molecules can bind to the nanostructures to be identified by SERS.

Aromatic functional groups are often observed in SERS spectra. This is well documented above, particularly for proteins. While a large number of different proteins have been detected by SERS, the aromatic amino acids are consistently reported in the spectra. The heavy influence of aromatic groups on the observed spectra may cause concern about the ability to identify non-aromatic molecules; however, examples above such as the

differentiation of the 20 amino acids indicates that molecules still provide a unique pattern in the SERS spectrum,<sup>69</sup> albeit often different from the spontaneous Raman spectrum.

The reproducibility of SERS spectra has long been attributed with nanostructures used for enhancement. This has perhaps resulted in a perception of irreproducibility with SERS data. Indeed results above suggest that changes in aggregation state can affect the observed signals;<sup>65</sup> however, strong similarities were observed between SERS spectra of a protein mixed with aggregated NPs and TERS spectra from NPs interacting with the same protein on surfaces that suggest the nature of the molecules interaction with the nanostructure is most important.<sup>109–111</sup> This hypothesis would not rule out signal changes observed with different metals comprising the nanostructures. Because the enhancement observed is also dependent upon the nature of the interaction with the nanostructures, varied signal intensities have also been observed. Here the use of internal standards has shown that the enhancements can be corrected and provide quantitative analysis.<sup>55–56, 120</sup>

Because the interaction with the surface is critical to the observed signals, there are consequences for SERS analysis in mixtures. While the narrow lines associated with Raman spectra have increased multiplexing capability with SERS tags,<sup>28</sup> *de novo* SERS detection will always be sensitive to the molecule that interacts most strongly with the surface. Technological advances combining SERS with separation techniques, such as LC and CE,<sup>68, 120, 179–180</sup> illustrates the potential of SERS for general-purpose molecular identification. Perhaps most important for the advance of SERS based molecular identification is the development of libraries for SERS spectra. Since Ziegler and coworkers provided proof-of-concept data for this idea,<sup>171</sup> the number of spectra available for spectral matching remains low. Similar to the utility of GC-MS databases, SERS could also be used for library-matched identification. The advantage of SERS is the significantly reduced cost of Raman instrumentation relative to mass spectrometers.<sup>181–182</sup>

Overall, the rapid progress has poised SERS to play a key role for biomolecular identification and quantification, which may enable next generation diagnostics.

## Acknowledgments

The authors acknowledge support from the National Science Foundation award DBI-1455445, and the National Cancer Institute, part of the United States National Institutes of Health, award R33 CA206922.

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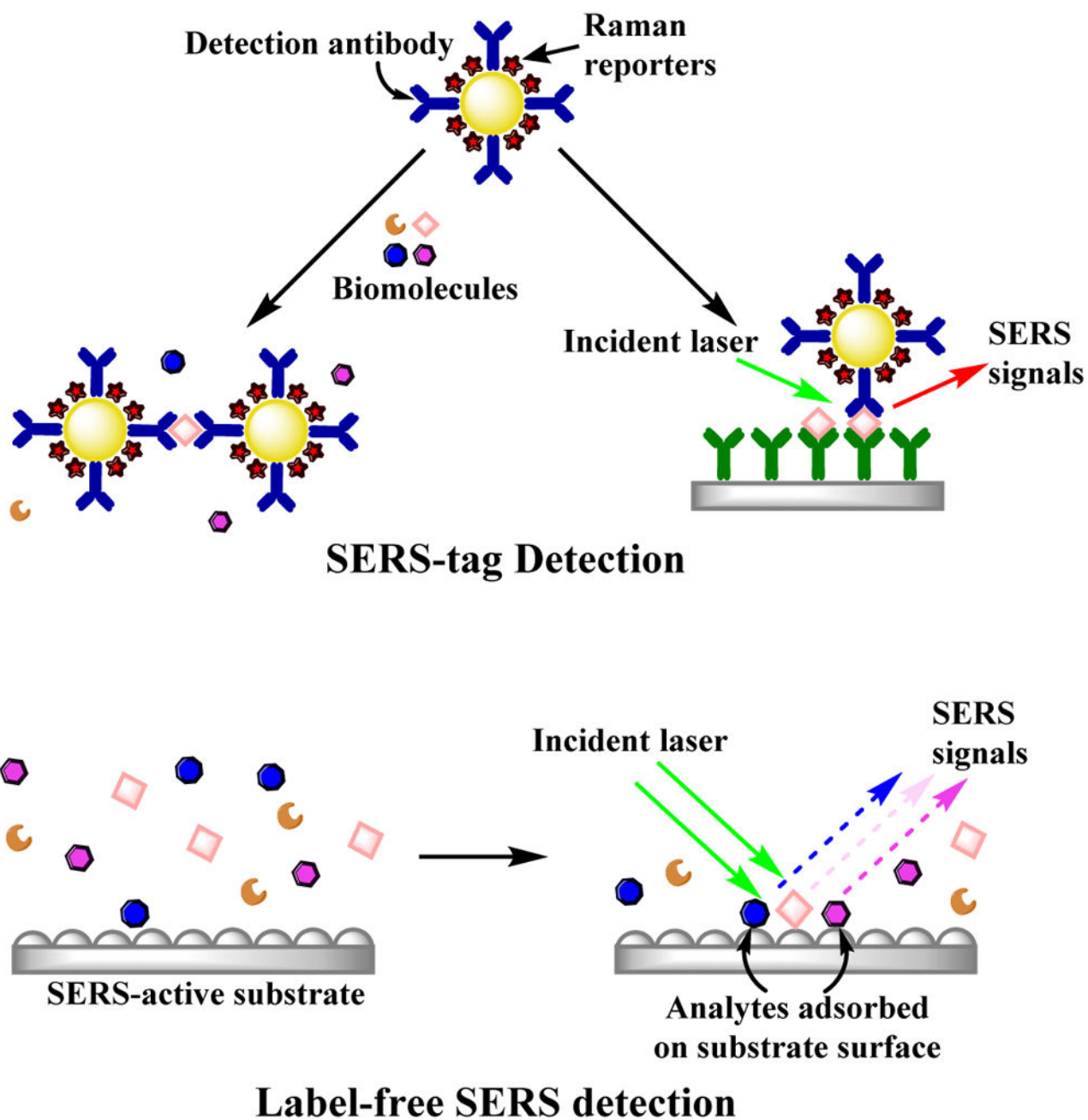


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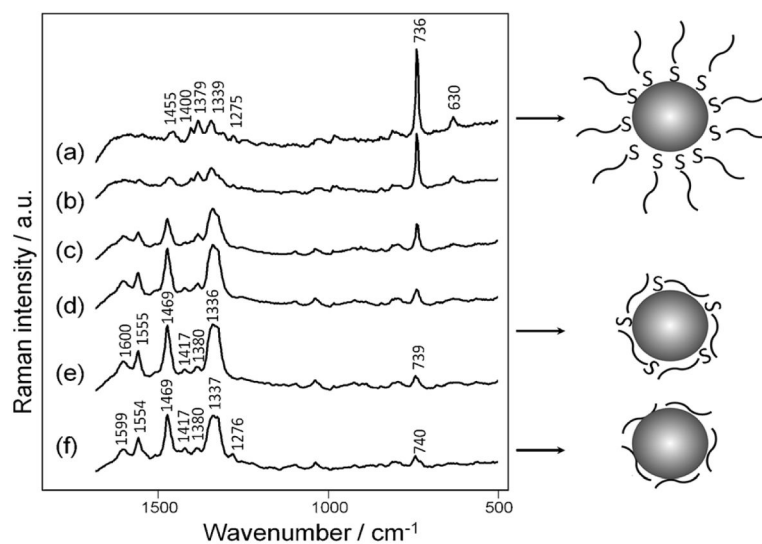


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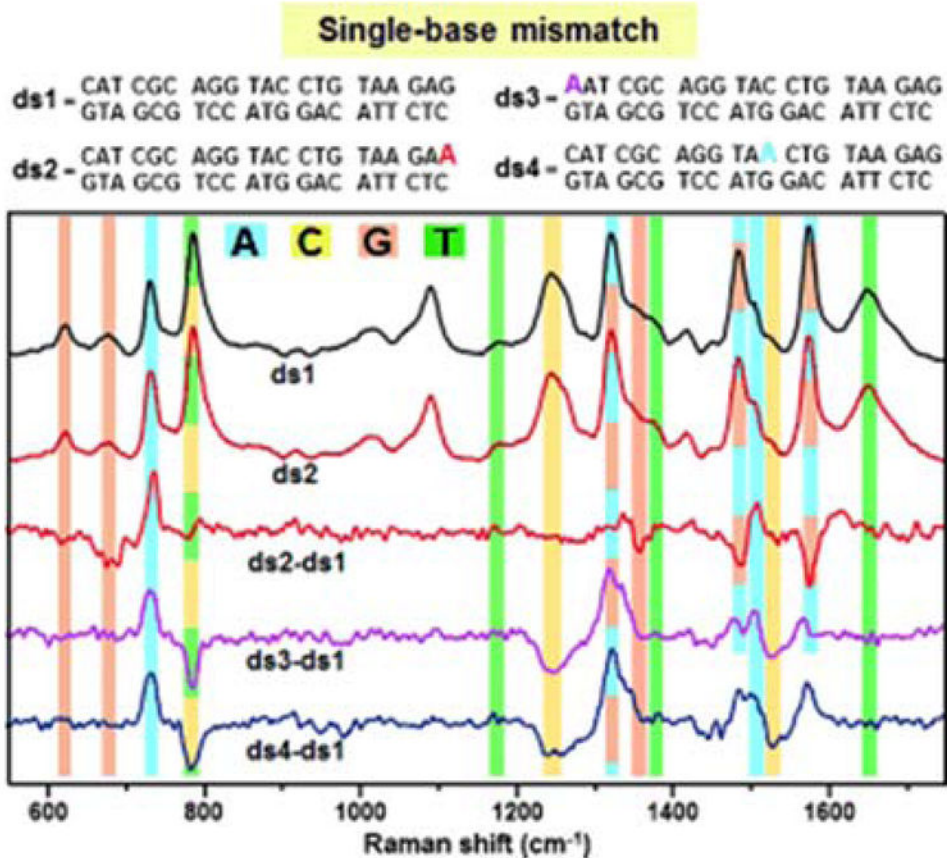
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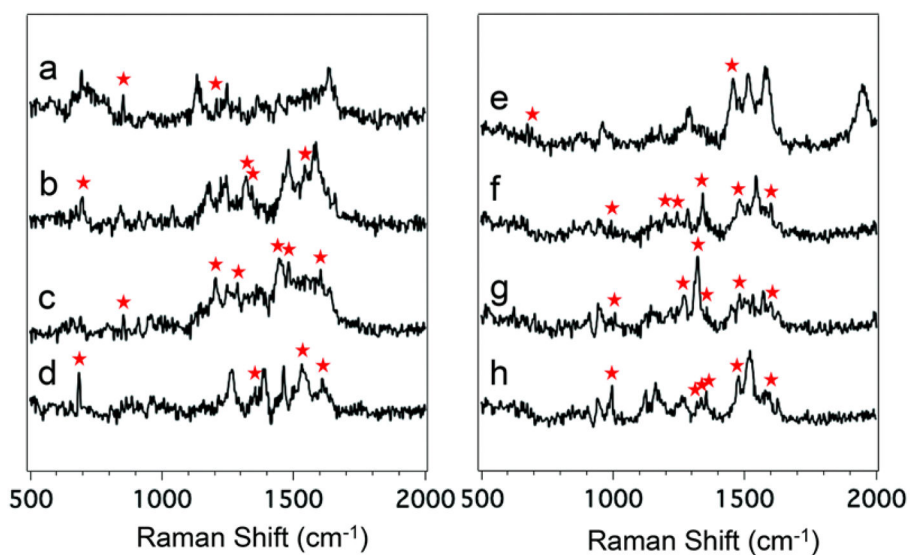
**Figure 1.** The diagram illustrates the differences between the label-free SERS detection and the SERS approaches using dye labels as reporter molecules. SERS-tags provide the SERS signal from a reporter molecule when the analyte interacts via some form of molecular recognition chemistry (e.g. antibodies or nucleic acid sequence). In contrast, label-free SERS directly detects the signal originating from the conformation and the orientation of the analytes adsorbed on the SERS-active substrate (direct detection). Label free SERS can also be accomplished using nanoparticles.



**Figure 2.** SERS spectra of thiolated poly A adsorbed on AuNPs aggregated with MgSO<sub>4</sub> at concentrations (a)–(e) 10<sup>-4</sup> – 10<sup>-8</sup> M and unthiolated poly A at 10<sup>-5</sup> M (f). The diagram represents that unthiolated DNA can reduce the overwhelming adenine signal at 736 cm<sup>-1</sup> through the reorientation of the DNA on metal surface, with weaker adenine bands shown in the SERS spectra. Reproduced from Ref. 49 with permission of The Royal Society of Chemistry.

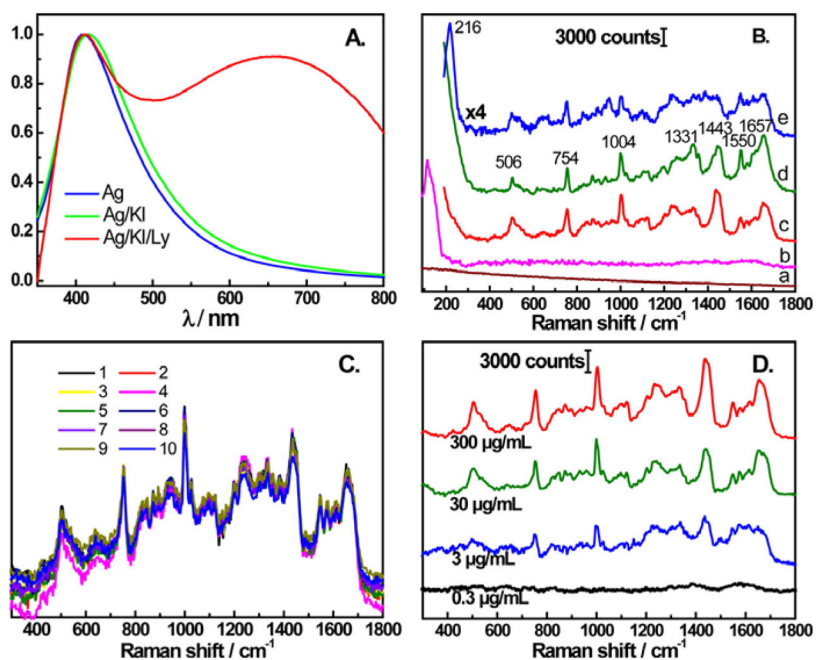


**Figure 3.** The detection single-base mismatches in DNA was demonstrated by digital subtraction between the SERS spectrum of the original dsDNA and from mismatched duplexes containing one adenine base (A) replacing one guanine (G) (ds2), one terminal cytosine (C) (ds3), and one internal C (ds4). Vibrational modes associated with nucleotide bands are highlighted in: blue (A), yellow (C), orange (G), and green (T). Reproduced from Ref. 59 with permission from John Wiley & Sons, Inc.

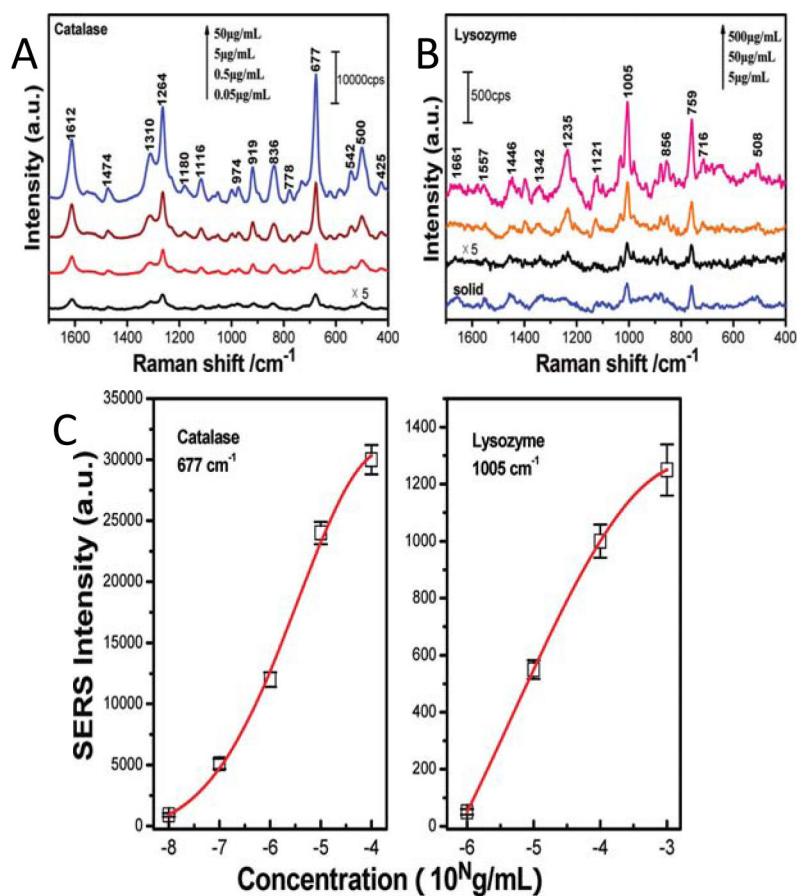


**Figure 4.** Average SERS spectra of the eight detected peptides: Laminin Pentapeptide (a), Bombesin (b), Angiotensin III (c), Somatostatin (d), Amyloid beta-protein (e), Angiotensin I (f), Angiotensin II (g), and Substance P (h). The differentiating vibrational bands are labeled with a red asterisk. Reproduced from Ref. 71 with permission from The Royal Society of Chemistry.

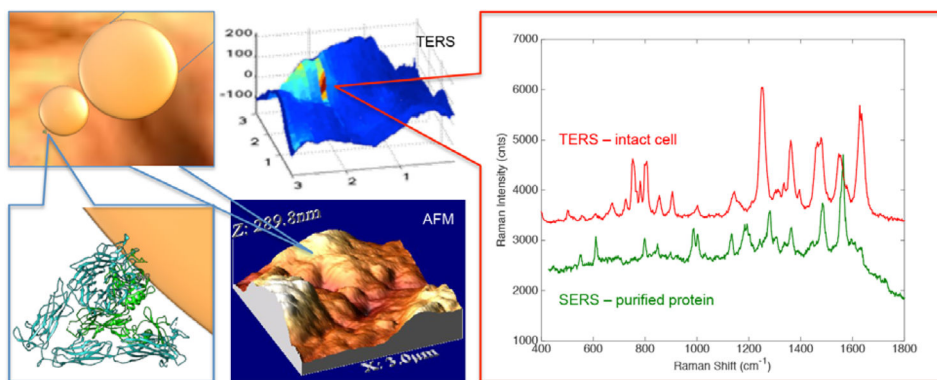




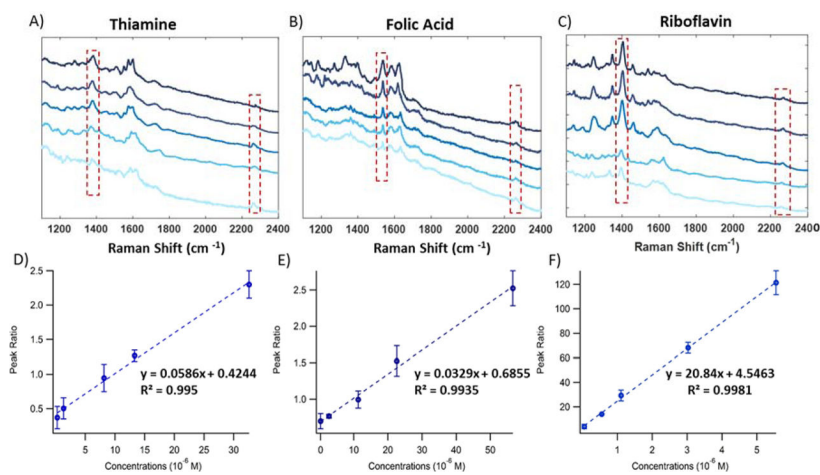
**Figure 5.** (A) Comparison of UV-vis spectra of Ag NPs, Ag iodide-modified NPs, and Ag iodide-modified NPs with lysozyme. (B) SERS spectra of Ag NPs (a), Ag iodide-modified NPs (b), Ag iodide-modified NPs with 300 µg/mL of lysozyme (c), normal Raman spectra of 100 mg/mL of lysozyme (d), and SERS spectra of Ag NPs with 300 µg/mL of lysozyme (e). (C) Ten consecutive SERS spectra of lysozyme with the iodide-modified NPs. (D) SERS spectra of lysozyme with the iodide-modified NPs at concentrations ranging between 0.3 µg/mL to 300 µg/mL. Reprinted with permission from Ref. 87. Copyright 2014 American Chemical Society.



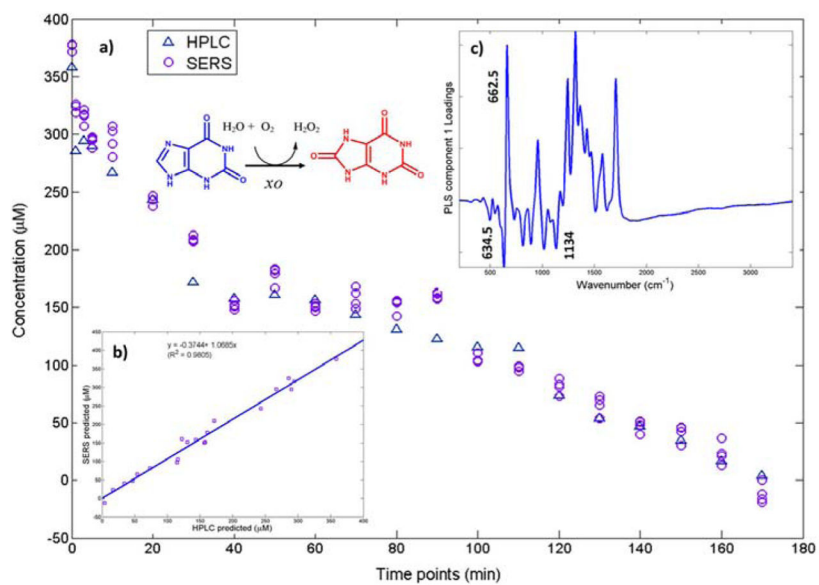
**Figure 6.** The SERS spectra of aqueous catalase (A) and lysozyme (B) are shown at concentrations ranging between 0.05 µg/mL to 500 µg/mL. (C) The SERS intensity of characteristic bands shows a concentration dependence for catalase (677 cm<sup>-1</sup>) and lysozyme (1005 cm<sup>-1</sup>). The red line is the Gaussian fit. Adapted with permission from Ref. 90. Copyright 2009 American Chemical Society.



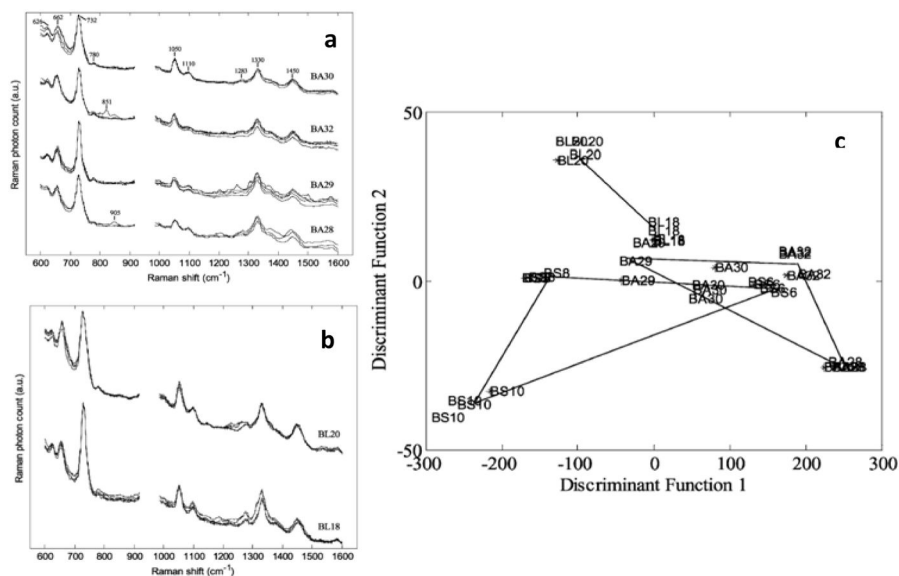
**Figure 7.** The spectrum from an RGD-functionalized nanoparticle bound to a cellular membrane and detected with a Au-ball TERS tip gives rise to a spectrum that is statistically similar to that observed mixing purified  $\alpha_v\beta_3$  integrin with the functionalized nanoparticles. The features observed in these spectra correspond to features known to be in the binding pocket of the protein.



**Figure 8.** SERS spectra of various concentrations of thiamine (a), folic acid (b), and riboflavin (c) with a constant acetonitrile peak present. Peak area ratio between acetonitrile and thiamine (d), folic acid (e), and riboflavin (f) all show a linear dependence with concentration. Adapted from Ref.120 with permission of The Royal Society of Chemistry.

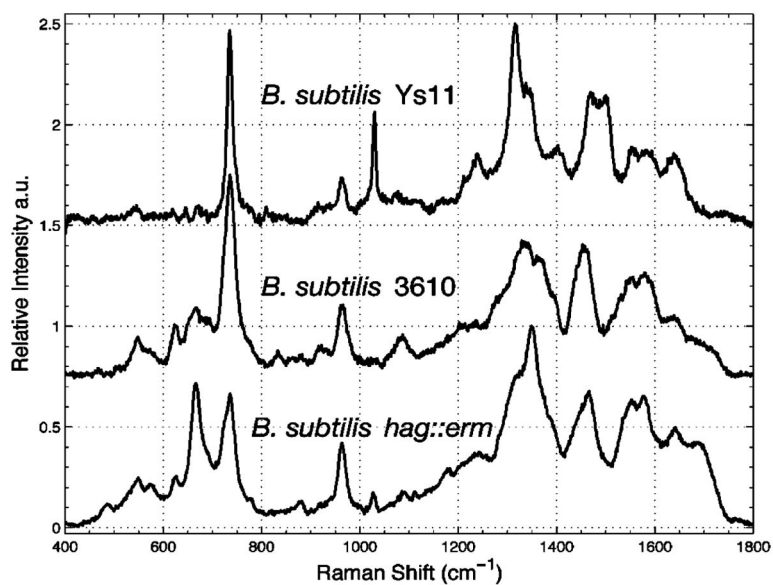


**Figure 9.** (a) Partial least-squares regression results of SERS and HPLC concentration versus time results. Reaction present is xanthine to uric acid. (b) Plot of predicted SERS concentrations versus HPLC concentrations. (c) Partial least-squares loadings plot showing characteristics of the xanthine ( $662.5 \text{ cm}^{-1}$ ) to uric acid ( $634.5$  and  $1134 \text{ cm}^{-1}$ ) reaction. Reprinted with permission from Ref. 129. Copyright 2016 American Chemical Society.



**Figure 10.** Example of SERS spectra with four replicates for each strain taken from (a) *B. amyloliquefaciens*, and (b) *B. licheniformis*. A cross validated PCA-DFA (c) of all 9 strains of *Bacillus* with 7 PCs and discriminant function cluster algorithm (DFA) shows successful isolation between the bacterial strains with good experimental reproducibility. Adapted from Ref.164 with permission of The Royal Society of Chemistry.





**Figure 11.** SERS fingerprints show evidence of mutants specificity in wild-type *B. subtilis* 3610 cells, wild-type *B. subtilis* Ys11 cells, and *B. subtilis* 3610 congenic mutant cells lacking flagella with the *hag* gene is deleted (*hag::erm*). Reprinted with permission from Ref. 165. Copyright 2005 American Chemical Society.