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## Maternal multivitamin intake, plasma folate and vitamin B<sub>12</sub> levels and Autism Spectrum Disorder risk in offspring

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### Abstract

**Background**—To examine the prospective association between multivitamin supplementation during pregnancy and biomarker measures of maternal plasma folate and vitamin B<sub>12</sub> levels at birth and child's Autism Spectrum Disorder (ASD) risk.

**Methods**—This report included 1257 mother-child pairs, who were recruited at birth and prospectively followed through childhood at the Boston Medical Center. ASD was defined from diagnostic codes in electronic medical records. Maternal multivitamin supplementation was assessed via questionnaire interview; maternal plasma folate and B<sub>12</sub> were measured from samples taken 2-3 days after birth.

**Results**—Moderate (3-5 times/week) self-reported supplementation during pregnancy was associated with decreased risk of ASD, consistent with previous findings. Using this as the

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reference group, low (< 2 times/week) and high (>5 times/week) supplementation was associated with increased risk of ASD. Very high levels of maternal plasma folate at birth (> 60.3 nmol/L) had 2.5 times increased risk of ASD (95% confidence interval [CI] 1.3, 4.6) compared to folate levels in the middle 80<sup>th</sup> percentile, after adjusting for covariates including MTHFR genotype. Similarly, very high B<sub>12</sub> (> 536.8 pmol/L) showed 2.5 times increased risk (95% CI 1.4, 4.5).

**Conclusion**—There was a “U” shaped relationship between maternal multivitamin supplementation frequency and ASD risk. Extremely high maternal plasma folate and B<sub>12</sub> levels at birth were associated with ASD risk. This hypothesis-generating study does not question the importance of consuming adequate folic acid and vitamin B<sub>12</sub> during pregnancy; rather, raises new questions about the impact of extremely elevated levels of plasma folate and B<sub>12</sub> exposure in-utero on early brain development.

### Keywords

Autism; folate; vitamin B<sub>12</sub>; prenatal supplement intake

### Introduction

Autism Spectrum Disorder (ASD) is a heterogeneous group of neurodevelopmental conditions characterized by impaired social reciprocity, abnormal communication and repetitive or unusual behaviour.<sup>1, 2</sup> The prevalence of ASD was about five per 10,000 individuals in 1980s, but recent estimates in the U.S. suggest that it is now one in 68 individuals.<sup>3, 4</sup> The aetiology of ASD is complex, and includes the interplay of genetic and environmental factors.<sup>5, 6</sup> Folate is an essential B vitamin involved in nucleic acid synthesis, DNA methylation, and repair.<sup>7</sup> Folic acid is a synthetic form of folate that is commonly used to fortify foods, and consumed as nutritional supplements.<sup>8</sup> In light of substantial evidence that folic acid supplementation reduces the risk of neural tube defects (NTD) in offspring, the US Public Health Service recommended in 1992 that women of reproductive age consume 400 µg/d before and during pregnancy. Subsequently, mandatory fortification of cereal grain products at a suggested level of 140 µg folic acid/100 g was implemented in the US in 1998.<sup>9</sup>

In the post-fortification era, serum folate levels in the US have increased 2.5 times across all life stages, including among pregnant women.<sup>10</sup> A recent NHANES study showed that unmetabolized folic acid (either from folic acid supplementation or fortified grain products) has been detected in most of the U.S. population.<sup>11</sup> Furthermore, data from the Boston Birth Cohort showed a wide range of individual variation in plasma folate levels ranging from insufficient to excessive levels.<sup>12</sup> The association between folic acid intake during pregnancy and ASD risk in offspring has been equivocal. Several studies suggest that mothers who use a periconceptional multivitamin or folic acid supplementation are less likely to have offspring with ASD,<sup>2, 13</sup> yet, others have hypothesized an opposite relationship.<sup>14-16</sup> Preliminary studies that included both women's report of prenatal vitamin use and maternal biomarker data found a protective effect of prenatal vitamins intake on ASD based on report, but this relationship could not be confirmed using biomarker data.<sup>17, 18</sup> Given this background, in this hypothesis-generating study, we evaluated the relationship between self-reported pregnancy multivitamin intake, and plasma folate levels

in mothers at birth and ASD in offspring. Since both vitamin B<sub>12</sub> and folate are intricately involved in one-carbon metabolism and there are very few studies on B<sub>12</sub> status on human brain,<sup>19</sup> we also explored the association between maternal B<sub>12</sub> biomarker levels and ASD risk in offspring.

## Methods

### Participants and data collection procedure

The study included mother-infant pairs who were recruited at the Boston Medical Center (BMC) at the time of birth from 1998 to 2013 and followed up prospectively from 2003 to 2015 (supplemental Figure 1), as described elsewhere.<sup>12, 20</sup> Children who were not intending to receive paediatric care at the BMC were excluded. Mothers who had a post-birth blood sample for analysing plasma folate and B<sub>12</sub> and who had data on maternal multivitamin supplement intake during at least the third trimester were included in the analysis.

Mothers of newborns were approached 24-72 hours postpartum to participate in the study. After obtaining informed consent, a standard questionnaire was used to collect relevant maternal data including supplement intake. Maternal and infant medical records were reviewed using standardized abstraction forms to collect data on pre-pregnancy weight and pregnancy related complications. Maternal blood samples collected 24-72 hours post-delivery were later analysed for maternal plasma folate, B<sub>12</sub> and homocysteine levels. Children were followed from birth through both study visits and clinical paediatric visits at the BMC. Electronic Medical Records (EMR) containing clinicians' primary and secondary diagnoses using ICD-9 codes were obtained for every postnatal clinical visit starting in 2003. The study was approved by the Institutional Review Boards of the Johns Hopkins Bloomberg School of Public Health and Boston University Medical Center.

### Identification of children with ASD

Based on EMR, children who were ever diagnosed with autism (ICD-9 code 299.00), Asperger syndrome (299.80) and/or pervasive developmental disorder not otherwise specified (299.90) were categorized as having ASD, as described elsewhere.<sup>21</sup> Children who concomitantly had ASD and ADHD, ASD and other developmental disabilities, or ASD and intellectual disabilities were classified as having ASD. Children without ASD, ADHD (314.0-314.9), other developmental (315.0 - 315.9), or intellectual disabilities (317 - 319) constituted the 'neurotypical' group. Children diagnosed with ADHD or other developmental or intellectual disabilities without concurrent ASD were excluded from the analysis. One subject who had Ventricular Septal Defect (VSD), but was miscoded as having ASD, was identified and excluded. For sensitivity analyses, children with ASD were restricted to those with an ASD code for at least 2 visits and where at least one visit was with a specialist (developmental behavioural paediatrician, paediatric neurologist or child psychologist). Sensitivity analyses were also implemented restricting neurotypical children to only those that did not have other competing diagnoses, including congenital anomalies and psychiatric or behavioural disorders (see supplemental Table 1).

## Exposures

Plasma folate was measured using chemiluminescent immunoassay with diagnostic kits (Shenzhen New Industries Biomedical Engineering Co., Ltd. China) and plasma B<sub>12</sub> was measured using the Beckman Coulter ACCESS Immunoassay System (Beckman-Coulter Canada, Mississauga, Canada) using a MAGLUMI 2000 Analyzer. The interassay coefficient of variation was less than 4%.<sup>12</sup> Mothers with the lowest and highest deciles (top and bottom 10<sup>th</sup> percentiles) of the plasma folate (<14.7 and 60.3 nmol/L) and B<sub>12</sub> (<247.0 and 536.8 pmol/L) distributions were compared against the middle 80<sup>th</sup> percentile.

Preconception multivitamin intake was dichotomized (no vs. yes) and prenatal multivitamin supplement intake was coded as a categorical variable (supplement 2 times/week, 3-5 times/week and >5 times/week). Mothers ever diagnosed with diabetes (250.00-250.93) were identified as pregestational diabetes cases and those ever diagnosed with diabetes mellitus complicating pregnancy (648.00 and 648.03) comprised gestational diabetes cases.

## Covariates

Plasma homocysteine levels were measured using automatic clinical analyzers (Beckman-Coulter). Homocysteine was considered as a binary variable with the top 10<sup>th</sup> percentile of the distribution compared against the bottom 90<sup>th</sup> percentile (<11.7 µmol/L). Other covariates were chosen *a priori* based on previous studies looking at maternal nutritional status and the risk of ASD.<sup>13, 22</sup> Neonates who were delivered at or after 37 completed weeks of gestation were considered full term; those delivered <34 weeks, and 34 but <37 weeks of gestation were considered early and late preterm, respectively. Race-ethnicity was categorized into black, white, Hispanic and Other. Other covariates assessed were: child sex (female vs. male), maternal age at delivery, smoking during pregnancy (“ever smoked” 3 months before pregnancy/during pregnancy vs. “no smoking” during preconception/pregnancy), parity (not including the index pregnancy), maternal education (high school or less vs. some college or more), year of the baby's birth (1998-2006 vs. 2007-2013) and Methylene tetrahydrofolate reductase (MTHFR) C677T genotypes (CC vs. CT vs. TT).

## Statistical Analyses

The primary outcome variable was ASD and exposures were maternal vitamin supplementation during preconception, 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> trimesters or maternal plasma folate and B<sub>12</sub> levels in the days after birth. Preliminary data analysis was performed to compare neurotypical children and those with ASD using chi-squared tests for categorical variables and ANOVA for continuous variables. We fitted a Cox proportional hazard regression model to estimate hazard ratios and account for the variability in length of follow-up. Birth of the child was defined as the time of origin and the child's first postnatal visit recorded in the EMR was defined as the time of entry. The child exited the ASD risk pool if he/she had the event (ASD diagnosis) or was censored after his/her recorded last postnatal visit. In addition, the role of maternal MTHFR C677T genotype was examined via stratification and cross-product proportional hazard regression analyses. We tested the interaction of maternal folate (as a binary variable) and 1) B<sub>12</sub> levels (as a binary variable) and 2) MTHFR C677T (as a categorical variable) on the risk of ASD.

## Results

A total of 1257 mother-infant pairs were included in the analysis, of which 86 were ASD cases and 1171 were children with neurotypical development (supplemental Figure 1). Children with ASD had co-morbidities including ADHD (n=25), intellectual disabilities (n=39) and other developmental disabilities (n=76), that were not mutually exclusive. Table 1 describes the characteristics of mothers and children in each group. The frequency of multivitamin supplement intake during third trimester differed between mothers whose children had ASD when compared to those who had children with neurotypical development. They were also more likely to have higher pre-pregnancy BMI, pre-gestational/gestational diabetes and very high maternal B<sub>12</sub> (< 90<sup>th</sup> percentile). The distribution of folate and B<sub>12</sub> levels in our study population is consistent with the NHANES data for women of reproductive age (supplemental Figure 2) and detailed comparisons are provided in supplemental Tables 2 and 3. To understand the impact of differential follow-up, analyses were conducted on this cohort comparing baseline characteristics of the children included in the analyses and those excluded from the analyses.

Maternal multivitamin supplement intake preconception was not statistically significantly associated with the risk of ASD in children (Table 2). Consistent with previous research,<sup>13</sup> 1<sup>st</sup> trimester supplement intake (< 3 times/week) was protective against ASD risk when compared to those who reported taking a supplement <3 times/week; or conversely, low levels of multivitamin supplement intake were associated with increased ASD risk. When supplement intake was stratified by intake frequency, a “U” shaped relationship was observed, with maternal supplement intake < 2 times/week and >5 times/week during both demonstrating statistically significantly increased risk for ASD (Table 2 and Figure 1). This “U” shaped relationship was consistent across all trimesters and became stronger after adjusting for potential confounders.

Elevated maternal plasma B<sub>12</sub> (>600 pmol/L) compared to non-elevated (< 200 – 600 pmol/L) levels was associated with increase in risk of ASD in offspring in both unadjusted and adjusted models (Table 3).<sup>23</sup> Deficient B<sub>12</sub> (<200 pmol/L) compared to normal levels was not associated with ASD risk (Table 3).

The risk of ASD in children along the continuum of plasma folate and B<sub>12</sub> is presented in Figure 2 and supplemental Tables 4 and 5. Using the WHO suggested threshold, the risk of ASD was not significantly different between children when their mothers had possibly deficient (<13.5 nmol/L) or excess (>45.3 nmol/L) plasma folate levels after birth, compared to mothers who had normal levels (< 13.5 to < 45.3) (Table 3). However, the risk of ASD among mother-child pairs with higher levels of plasma folate suggests association at increasing folate levels.

We categorized and compared the lowest and highest deciles with the middle 80<sup>th</sup> percentiles for maternal folate (<14.7 and < 60.3 nmol/L) and B<sub>12</sub> levels (<247.0 and < 536.8 pmol/L). Mothers with plasma folate levels in the highest 10<sup>th</sup> percentile, when compared with the middle 80<sup>th</sup> percentile, had a significant increase in the risk of children's ASD in both unadjusted and adjusted models (Table 3). Mothers who had plasma folate levels in the

lowest decile did not have increased ASD risk in children in the adjusted model (Table 3). Plasma B<sub>12</sub> levels in the top decile, when compared to the middle 80%, was associated with about two and half times increased risk (Table 3). However, the risk of ASD in children whose mothers had lowest levels of plasma B<sub>12</sub> was not significantly different than the referent group in both models. Similarly, elevated maternal plasma homocysteine when compared to non-elevated levels did not alter ASD risk even after accounting for confounders (Table 3).

We compared self-reported maternal multivitamin supplement intake to measured biomarker levels after birth. Nearly all mothers took supplements during pregnancy; the frequencies of use for 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> trimesters were 86.2%, 90.2% and 89.1% respectively. Within each supplement intake category, there was a range in maternal plasma folate and B<sub>12</sub> levels (supplemental Table 6, supplemental Figures 3 and 4). There were no differences in the mean plasma folate levels between non-supplement users and different levels of supplement users, except those who had supplements 3-5 times/week. The percentage of mothers with elevated plasma folate and B<sub>12</sub> did not vary between different levels of supplement intake. When supplement intake was stratified by parity, a greater percentage of nulliparous women were likely to consume supplements >5 times/week in the 1<sup>st</sup> and 3<sup>rd</sup> trimesters (supplemental Table 7).

We assessed the joint effects of maternal plasma folate and B<sub>12</sub> levels on the risk of ASD in children by considering mothers who had both biomarkers in the middle 80<sup>th</sup> percentile at delivery as the referent category (Table 3). The risk of ASD in children was not different between mothers with only one or the other biomarker in an extreme decile compared to mothers who had both biomarkers in the middle 80<sup>th</sup> percentile, after adjusting for confounders. For mothers who had *at least one* biomarker level (plasma folate and/or B<sub>12</sub>) in the lowest decile, the risk of ASD in children was not different, nor was it for *both* in the lowest decile (Table 3). Mothers who had *at least one* or *both* biomarkers in the top decile did have an increased risk for ASD in their offspring in adjusted models (Table 3). There was an interaction between maternal folate and B<sub>12</sub> ( $P < 0.01$ ).

MTHFR genotype was available for 96.5% of the mothers ( $n=1213$ ) of which 794 (65.9%), 347 (28.6%) and 72 (5.9%) had CC, CT and TT genotype respectively. In this sample, maternal MTHFR genotype did not differ between children with neurotypical development and those with ASD (supplemental Table 8). No differences in genotype were observed by maternal folate and B<sub>12</sub> levels (supplemental Table 9). The geometric means of plasma folate were also not significantly different across different genotypes (supplemental Table 10) and there was no interaction between maternal folate and MTHFR genotype status on ASD risk.

To assess the influence of EMR misclassification of ASD or neurotypical development on these findings, a sensitivity analysis (supplemental Table 11) was conducted applying stringent criteria for case diagnosis (ASD code for at least 2 visits, including a specialist) and for children with neurotypical development (excluding potential developmental disability indications) (supplemental Table 1). The results demonstrate slightly stronger associations in this smaller sample; mothers who had plasma folate in the top decile had

more than two-fold greater ASD risk (HR 2.4, 95% CI 1.1, 5.0) and with plasma B<sub>12</sub> in the top decile had almost four times increased risk (HR 3.9, 95% CI 2.0, 7.7), after adjusting for confounders. Consistent with results in the full sample, the risk of ASD was highest when mothers had elevated levels of both plasma folate and B<sub>12</sub> (HR 16.4, 95% CI 6.5, 41.7).

## Comment

### Main findings

The results show that moderate intake (3-5 times/week) of multivitamin supplements during pregnancy is associated with decreased risk of ASD in offspring, consistent with the previous literature.<sup>2, 13</sup> Upon further examination of the risk at the low and high ends of intake, the study results further suggest that while infrequent intake ( 2 times/week) of multivitamin supplements is associated with increased risk of ASD, as has been reported previously, high frequency of multivitamin supplement intake (>5 times/week) is also associated with increased risk of ASD in children in this cohort, compared to moderate intake. This is the first study to report on the prospective association between measured maternal plasma folate and B<sub>12</sub> biomarkers at birth and risk of ASD in offspring in a large, prospective US birth cohort. Consistent with the “U-shaped” finding for supplement intake, the biomarker analyses showed that very high levels of maternal plasma folate and B<sub>12</sub> ( 90<sup>th</sup> percentile) at birth were also associated with increased risk of ASD in offspring.

With regard to post-delivery biomarkers, which are reasonably consistent with third trimester pregnancy levels,<sup>24, 25</sup> maternal plasma folate and B<sub>12</sub> in the highest decile ( 90<sup>th</sup> percentile) were each associated with increased risk of ASD in children. A moderate level of self-reported supplement intake (3-5 times/week) is protective against ASD, but that an increased risk is observed with higher and lower intake of multivitamin supplements, and with very high concentrations of plasma folate ( 90<sup>th</sup> percentile) and B<sub>12</sub> ( 90<sup>th</sup> percentile) biomarkers at birth. This observation suggests that while deficiency is detrimental, excess nutrient status might also be associated with elevated risk.

### Interpretation

For over a century, it has been known that the dose-response relationship for many micronutrients is non-monotonic: at low levels benefits increase with intake until plateauing at optimal concentrations, with toxicity at higher levels, as regulatory mechanisms become overwhelmed.<sup>26, 27</sup> In a landmark paper, Daly et al. showed that maximum NTD risk was observed in mothers who had folate deficiency (0-4.4 nmol/L). There was a dose response relationship with risk plateauing beyond maternal folate levels of 11.3 nmol/L and no apparent additional benefits beyond levels of 15.9 nmol/L.<sup>28</sup> At the other end of the spectrum, this study observed an increased ASD risk when mothers had plasma folate levels in the top decile during the third trimester (corresponding to 60.3 nmol/L), which is well beyond the highest level recommended by WHO (45.3 nmol/L).

This study is not able to directly attribute the source of these high levels for the top decile.

It is possible that adverse birth outcomes such as NTD, spontaneous abortions, stillbirths and developmental disabilities,<sup>29, 30, 31</sup> in a previous delivery might have prompted some

pregnant women to consume higher dosages of prenatal vitamins, which could explain elevated biomarker levels. However, there were no significant difference in previous adverse pregnancy outcomes between mothers with ASD and children with neurotypical development in this sample. Upon further examination of EMR-based medication history for mothers of children with ASD, none of them were prescribed megadoses of prenatal vitamins.

Mandatory folic acid fortification was instituted in the U.S. in January 1998 and the BBC began enrolling mothers in October 1998. Considering that BBC is a post-fortification study, it is possible that women with high folic acid intake also consumed folic acid from multiple sources including fortified foods, possibly creating an accumulation of folic acid, as observed in a recent NHANES study.<sup>32</sup> In this sample, mothers who consumed more supplements were also more likely to have consumed fortified foods (e.g. pasta, bread, cereal), suggesting that the combination of dietary folic acid along with supplement intake might have potentially resulted in elevated levels, although correlations between supplement intake and biomarker levels were not extremely high.

This study notes that the highest ASD risk (HR 13.7, 95% CI 6.5, 28.9) was observed in children of mothers with both plasma folate and B<sub>12</sub> elevated ( 90<sup>th</sup> percentile). In addition, a significant interaction was observed between plasma folate and B<sub>12</sub> (p<0.001) suggesting possible perturbation in one-carbon metabolism, which intimately involves both micronutrients.

One possible theory suggests that elevated maternal folate levels in the past few decades have altered natural selection, increasing the survival rates of those with the MTHFR C677T polymorphism by reducing miscarriage rates.<sup>15</sup> It is also possible that genetic variation may interfere with folic acid absorption or metabolism to folate precluding the benefits of folic acid and promoting accumulation in maternal blood. In this study, there was no relationship between the MTHFR C677T variant, plasma folate and ASD risk. However, the study did not exhaustively examine variation in this gene, or other genes in the one-carbon cycle. Also, due to the small number of mothers with TT genotype in this sample, the study might be underpowered to address this question.

It is not surprising that some nutrients are tightly regulated within a narrow range, given that both deficiency and excess can induce abnormal brain development.<sup>33</sup> The concentration of nutrients can have an impact on the brain development with a nutrient that promotes normal development in one concentration may be toxic at another.<sup>33</sup> Similarly, the timing of supplementation or deficiency can also have a role to play in brain function.<sup>33, 34</sup> Pregnant women have superior absorption of folic acid and B<sub>12</sub> compared to non-pregnant counterparts<sup>35</sup> and excrete minimal folate in urine during the third trimester<sup>36</sup> – all of which could have lead to increased plasma levels. Considering the elevated maternal levels in addition to foetus's ability to actively absorb micronutrients, it is likely that some offspring may have accumulated high levels of these micronutrients. The foetal brain is vulnerable to nutritional insults especially during the third trimester, when several neurological processes including synaptogenesis increase in cortical volume and cortical



connectivity between different regions, myelination and pruning are occurring at a rapid rate.<sup>33, 37-40</sup>

Human and animal studies have shown that sub-optimal intake of folic acid during pregnancy can induce persistent changes in the offspring's genome, thereby influencing physiological outcomes.<sup>41-45</sup> With regards to neurocognitive development, increase in maternal folate during gestation in animal models alters gene expression in cerebral and cerebellar hemispheres.<sup>41, 42</sup> Specifically, key developmental genes involved in neural pathways, gamma-Aminobutyric acid (GABA), dopamine-serotonin and synaptic plasticity demonstrated altered expression and impairment in many of the pathways are linked to ASD.<sup>42, 46, 47</sup> Prolonged exposure to high folic acid has been shown to alter offspring's behaviour, including greater anxiety-like behaviour, ultrasonic vocalizations in pups (linked to autism in mouse models) and hyperactivity.<sup>42</sup> B<sub>12</sub> plays an important role in DNA methylation, in addition to being integrally involved in myelination,<sup>48</sup> cellular growth and differentiation.<sup>49</sup> Yet, there is a dearth of research on the role of B<sub>12</sub> status on developing brains.<sup>19</sup> A cross-sectional study showed that maternal B<sub>12</sub> measured at parturition was inversely associated with DNA methylation of insulin-like growth factor (IGF-2) in cord blood.<sup>50</sup>

### Limitations and Strengths of the study

Case and neurotypical development classification was based on EMR, rather than using adjudication based on research-reliable gold standard diagnostic assessments such as the Autism Diagnostic Interview-Revised (ADI-R) or the Autism Diagnostic Observation Schedule (ADOS).<sup>51</sup> This approach enables consideration of all children with available administrative data, but may misclassify some children as ASD who have other developmental or behavioural problems. The study findings were consistent even when restricting cases to those with multiple visits indicating an ASD diagnostic code, including by a specialist. Further, if such misclassification of atypical, but non-ASD children exists in the sample, the results would imply that elevated maternal plasma folate and B<sub>12</sub> levels could have implications in other developmental disabilities beyond ASD.

Maternal dietary intake data during preconception and pregnancy might have provided additional perspectives on the study results and lack of this information is a limitation. Maternal plasma folate and B<sub>12</sub> were measured 24-72 hours after delivery; this may reflect maternal folate and B<sub>12</sub> levels only during the third trimester and may not reflect early pregnancy status. Despite plasma folate being a marker of recent intake and more susceptible to variations in diet, it is well correlated with red blood cell folate and thus is a reliable marker.<sup>52</sup> Although we had information on the frequency of maternal multivitamin supplement intake, lack of information on specific dosage is a limitation. A study based on NHANES data suggests that a majority of pregnant women consume 800 µg of folic acid when using supplements and we assume this to be true of women in this cohort as well.<sup>53</sup> We adjusted for well-recognized ASD risk factors, but there is a possibility of residual confounding. Finally, the study population consists mainly of urban low-income minority women and extrapolation of study findings to other populations should be made with caution.

While the study suggests that very low or very high maternal folate and B<sub>12</sub> measured at delivery may be associated with ASD in offspring, further research in the following areas will be beneficial, beginning with replication of these findings in independent cohorts with more vigorous ASD phenotyping, understanding the mechanism connecting elevated folate, B<sub>12</sub> to neurocognitive development and examining the source of elevated biomarkers. Further, more research is needed to understand if the window of exposure affects the impact of folic acid supplementation.

## Conclusion

The aetiology of ASD is complex and this study provides a new perspective but not a definitive explanation. This hypothesis-generating study does not question the importance of consuming adequate amounts of folic acid and B<sub>12</sub> during pregnancy; the results confirm the protective effects of adequate vitamin supplementation for ASD risk, as observed in previous studies. Rather, it raises questions about whether excessive amounts of maternal folate and B<sub>12</sub> may be harmful if a “U-shaped” risk curve is confirmed, as has been observed for other health-related risk.<sup>54</sup> This result suggests further investigation of this potentially U-shaped risk in other cohorts and underscores the critical importance of identifying optimum maternal levels of folate and B<sub>12</sub> for foetal/child neurodevelopment.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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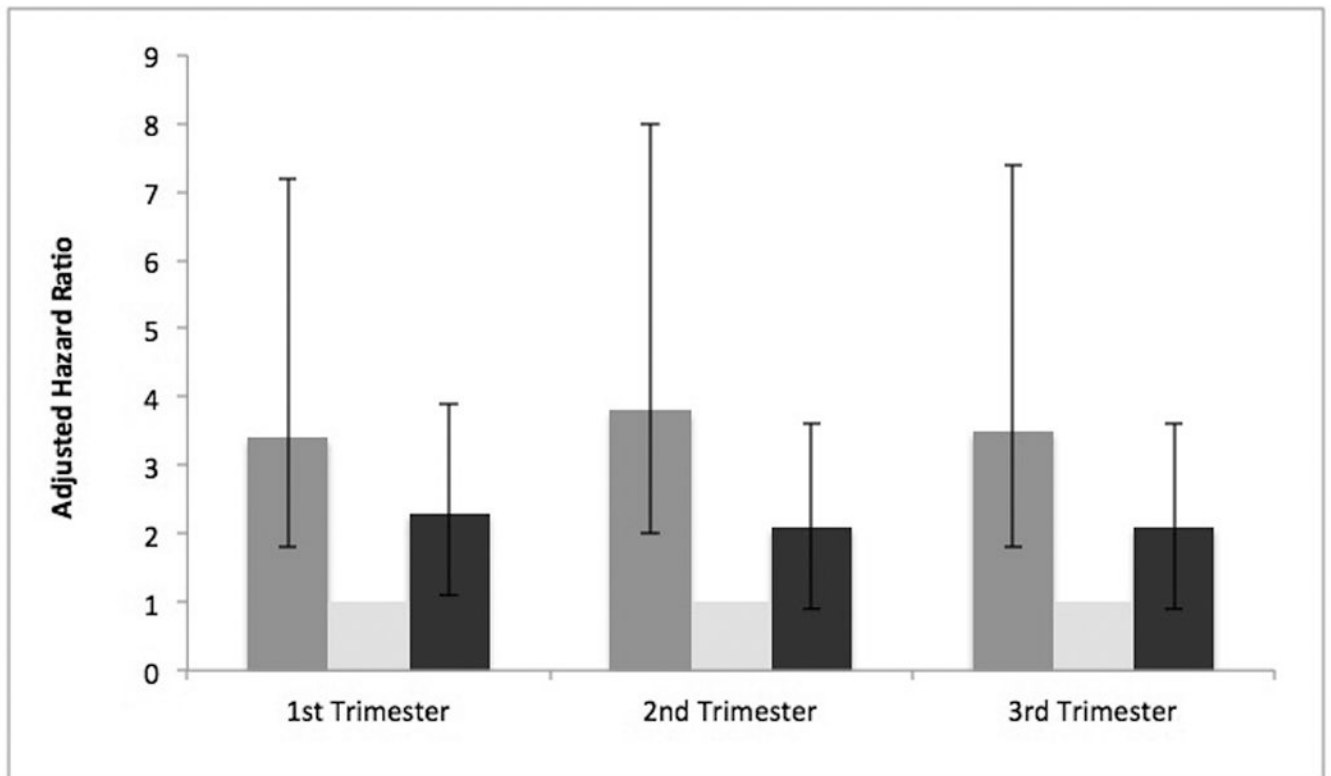
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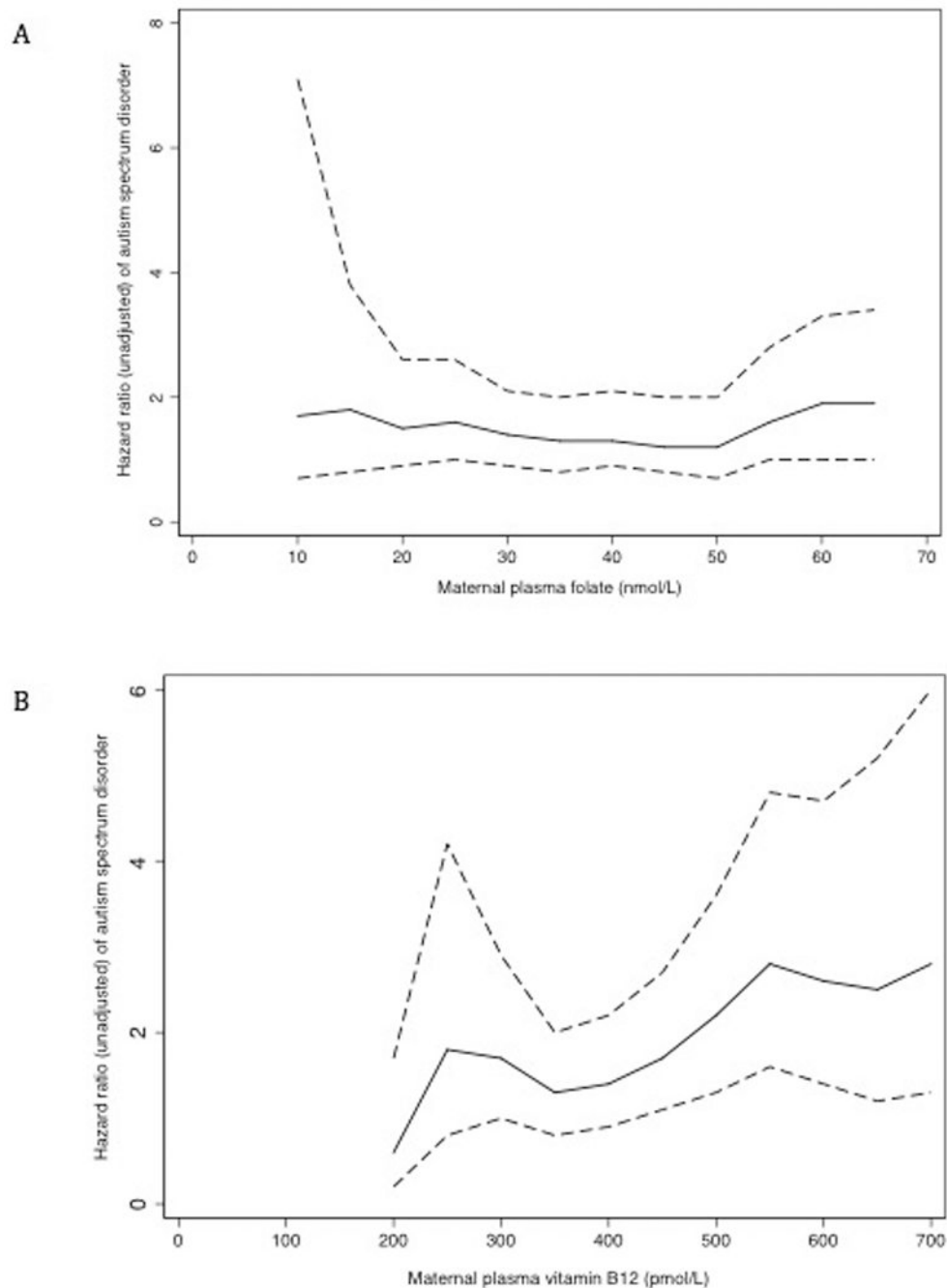
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**Figure 1. Maternal self-reported multivitamin supplement intake and ASD risk in offspring in the Boston Birth Cohort (N=1,257)**

Adjusted association between maternal multivitamin supplement intake during 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> trimesters and risk of ASD in offspring (Ref category: supplement intake 3-5 times/week); Adjusted for maternal characteristics: age, education, parity, BMI, smoking status, diabetes status, race and MTHFR genotype; offspring characteristics: gestational age, sex and year of birth



**Figure 2. Association between maternal folate and vitamin B<sub>12</sub> concentrations and risk of ASD in offspring in the Boston Birth Cohort (N=1,257)**

Unadjusted association between maternal plasma folate (panel A) and plasma vitamin B<sub>12</sub> (panel B) levels at different cut-off points and risk of ASD in offspring. The unadjusted HR for plasma folate (panel A) was truncated at 65 nmol/L due to the small sample size beyond the specified cutoff point (unadjusted HR for mothers whose plasma folate  $\geq$  65 nmol/L was 1.9, 95% CI 1.0, 3.4; n=113). The unadjusted HR for plasma vitamin B<sub>12</sub> (panel B) was truncated at 700 pmol/L due to declining sample sizes beyond the specified cutoff point

(unadjusted HR for mothers whose plasma vitamin B<sub>12</sub> < 700 pmol/L was 2.8, 95% CI, 1.3, 6.0; n=45).

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**Table 1**  
**Maternal and offspring characteristics by offspring case status (neurotypical vs. ASD) in the Boston Birth Cohort<sup>a</sup>**

Characteristics	Neurotypical (n=1,171)	ASD (n=86)
Mothers		
Age at birth (years), mean (SD)	28.3 (6.6)	30.9 (6.5)
Parity (%)		
0	501 (42.8)	31 (36.1)
1	337 (28.8)	37 (43.0)
2 or more	326 (27.8)	18 (20.9)
Missing	7 (0.6)	0 (0.0)
Mother's education (%)		
High School or less	754 (64.4)	48 (55.8)
Some college or more	415 (35.3)	37 (43.0)
Missing	4 (0.3)	1 (1.2)
Maternal BMI (SD)	26.3 (6.2)	28.1 (7.6)
Diabetes (%)		
No	1056 (90.2)	70 (81.4)
Gestational diabetes mellitus	67 (5.7)	8 (9.3)
Diabetes mellitus	48 (4.1)	7 (8.1)
Missing	0 (0.0)	1 (1.2)
Smoking during & 3 months prior to pregnancy (%)		
No	1000 (85.4)	69 (80.2)
Yes	164 (14.0)	15 (17.4)
Missing	7 (0.6)	2 (2.3)
Maternal plasma folate (%)		
<14.7 nmol/L (<10 <sup>th</sup> percentile)	118 (10.1)	7 (8.1)
14.7 to <60.3 nmol/L (10-90 percentile)	942 (80.4)	65 (75.6)
60.3 nmol/L ( 90 <sup>th</sup> percentile)	111 (9.5)	14 (16.3)
Maternal plasma vitamin B <sub>12</sub> (%)		
<247.0 pmol/L (<10 <sup>th</sup> percentile)	119 (10.2)	6 (7.0)
247.0 to <536.8 pmol/L 10-90 percentile)	945 (80.7)	62 (72.1)
536.8 pmol/L ( 90 <sup>th</sup> percentile)	107 (9.1)	18 (20.9)
Maternal plasma homocysteine (%)		
<11.7 μmol/L (<90 <sup>th</sup> percentile)	1050 (89.7)	80 (93.0)
11.7 μmol/L ( 90 <sup>th</sup> percentile)	121 (10.3)	6 (7.0)
Maternal supplement intake (3 <sup>rd</sup> trimester) (%)		
<2 times/week	116 (9.9)	15 (17.4)
3 – 5 times/week	447 (38.2)	19 (22.1)
>5 times/week	608 (51.9)	50 (60.5)
Maternal <i>MTHFR</i> genotype		
CC	742 (63.4)	52 (60.5)

Characteristics	Neurotypical (n=1,171)	ASD (n=86)
CT	323 (27.6)	24 (27.9)
TT	65 (5.6)	7 (8.1)
Missing	41 (3.5)	3 (3.5)
Offspring		
Gender (%)		
Male	525 (44.8)	63 (73.3)
Female	646 (55.2)	23 (26.7)
Gestational age (%)		
Term	911 (77.8)	57 (66.3)
Late preterm (34-36 weeks)	161 (13.8)	13 (15.1)
Early preterm (<34 weeks)	99 (8.5)	16 (18.6)
Year of birth (%)		
1998-2006	526 (44.9)	38 (44.2)
2007-2013	645 (55.1)	48 (55.8)

<sup>a</sup>The Boston Birth Cohort uses a rolling enrolment and the study sample were enrolled between 1998 and 2013, and have been followed up from birth up to the last visit recorded in the EMR

BMI, body mass index; *MTHFR*, methylene tetrahydrofolate reductase

**Table 2**  
**Maternal self-reported multivitamin intake during preconception and 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> trimesters and ASD risk in offspring in the Boston Birth Cohort (N=1,257)**

Maternal Supplement intake	N <sup>b</sup>	Unadjusted	Adjusted <sup>a</sup>
		HR (95% CI)	HR (95% CI)
<b>Preconception</b>			
Yes	54	0.4 (0.1, 1.8)	0.5 (0.1, 2.1)
No	1087	1.0 (Reference)	1.0 (Reference)
<b>First Trimester</b>			
2 times/week	164	2.1 (1.1, 4.0)	3.4 (1.6, 7.2)
3-5 times/week	457	1.0 (Reference)	1.0 (Reference)
>5 times/week	628	1.9 (1.1, 3.1)	2.3 (1.2, 3.9)
<b>Second Trimester</b>			
2 times/week	115	2.6 (1.3, 5.3)	3.8 (1.8, 8.0)
3-5 times/week	466	1.0 (Reference)	1.0 (Reference)
>5 times/week	674	1.8 (1.1, 3.0)	2.1 (1.2, 3.6)
<b>Third Trimester</b>			
2 times/week	131	2.7 (1.4, 5.3)	3.5 (1.7, 7.4)
3-5 times/week	466	1.0 (Reference)	1.0 (Reference)
>5 times/week	660	1.8 (1.1, 3.1)	2.1 (1.2, 3.6)

<sup>a</sup>Adjusted for maternal characteristics: age, education, parity, BMI, smoking status, diabetes status, race and MTHFR genotype; offspring characteristics: gestational age, sex and year of birth

<sup>b</sup>N may be different for preconception and each trimester periods due to missing data

BMI, body mass index; *MTHFR*, methylene tetrahydrofolate reductase

**Table 3**  
**Maternal plasma folate, vitamin B<sub>12</sub> concentrations in samples obtained 24-72 hours after delivery and risk of ASD in offspring in the Boston Birth Cohort (N=1,257)**

	n	Unadjusted HR (95% CI)	Adjusted <sup>a</sup> HR (95% CI)
<b>Maternal folate - WHO cutpoints</b>			
<13.5 nmol/L	103	0.7 (0.3, 1.6)	1.1 (0.5, 2.8)
13.5 nmol/L to 45.3 nmol/L	852	1.0 (Reference)	1.0 (Reference)
>45.3 nmol/L (corresponds to 76 <sup>th</sup> percentile)	302	1.2 (0.8, 2.0)	1.5 (0.9, 2.5)
<b>Maternal vitamin B<sub>12</sub></b>			
<200 pmol/L	35	1.7 (0.6, 4.8)	1.9 (0.7, 5.3)
200 pmol/L to 600 pmol/L	1136	1.0 (Reference)	1.0 (Reference)
>600 pmol/L (corresponds to 93.1 <sup>th</sup> percentile)	86	2.7 (1.4, 4.9)	3.0 (1.6, 5.7)
<b>Maternal Folate – Extreme Deciles</b>			
<14.7 nmol/L (<10 <sup>th</sup> percentile)	125	0.7 (0.3, 1.5)	1.2 (0.5, 2.8)
>14.7 to <60.3 ( 10 <sup>th</sup> to <90 <sup>th</sup> , middle 80 <sup>th</sup> percentile)	1007	1.0 (Reference)	1.0 (Reference)
60.3 nmol/L ( 90 <sup>th</sup> percentile)	125	1.8 (1.0, 3.2)	2.5 (1.3, 4.6)
<b>Vitamin B<sub>12</sub> – Extreme Deciles</b>			
<247.0pmol/L (<10 <sup>th</sup> percentile)	125	0.7 (0.3, 1.6)	0.7 (0.3, 1.7)
247.0 to <536.8 ( 10 <sup>th</sup> to <90 <sup>th</sup> , middle 80 <sup>th</sup> percentile)	1007	1.0 (Reference)	1.0 (Reference)
536.8pmol/L ( 90 <sup>th</sup> percentile)	125	2.6 (1.6, 4.5)	2.5 (1.4, 4.5)
<b>Joint effects of folate &amp; vitamin B<sub>12</sub> Percentiles<sup>b</sup></b>			
Folate & vitamin B <sub>12</sub> (10-90 percentile)	815	1.0 (Reference)	1.0 (Reference)
Folate (<10 <sup>th</sup> percentile) & vitamin B <sub>12</sub> (10-90 percentile)	104	0.6 (0.2, 1.5)	0.8 (0.3, 2.2)
Folate ( 90 <sup>th</sup> percentile) & vitamin B <sub>12</sub> (10-90 percentile)	88	0.6 (0.2, 1.8)	0.8 (0.2, 2.6)
Vitamin B <sub>12</sub> (<10 <sup>th</sup> percentile) & Folate (10-90 percentile)	94	0.6 (0.2, 1.6)	0.5 (0.2, 1.6)
Vitamin B <sub>12</sub> ( 90 <sup>th</sup> percentile) & Folate (10-90 percentile)	98	1.2 (0.6, 2.7)	1.1 (0.5, 2.4)
Either Folate & vitamin B <sub>12</sub> (<10 percentile) <sup>c</sup>	229	0.6 (0.3, 1.1)	0.8 (0.4, 1.5)
Both Folate & vitamin B <sub>12</sub> (<10 percentile)	21	1.1 (0.3, 4.5)	2.4 (0.5, 10.4)
Either Folate or vitamin B <sub>12</sub> ( 90 percentile) <sup>d</sup>	223	1.6 (0.9, 2.5)	1.8 (1.1, 3.1)
Both Folate & vitamin B <sub>12</sub> ( 90 percentile)	27	6.3 (3.3, 12.1)	13.7 (6.5, 28.9)
<b>Maternal Homocysteine</b>			
11.7 µmol/L ( 90 percentile)	127	0.5 (0.2, 1.1)	0.5 (0.2, 1.4)

<sup>a</sup> Adjusted for maternal characteristics: age, education, parity, BMI, smoking status, diabetes status, race and MTHFR genotype; offspring characteristics: gestational age, sex and year of birth

<sup>b</sup> There was interaction between maternal plasma folate and vitamin B<sub>12</sub> (P<0.01)

<sup>c</sup> Either folate or vitamin B<sub>12</sub> <10<sup>th</sup> percentile compares the risk of having a ASD child in mothers who had at least one of the biomarkers <10<sup>th</sup> percentile versus those who had both of these biomarkers in the middle 80<sup>th</sup> percentile

<sup>d</sup> Either folate or vitamin B<sub>12</sub> 90<sup>th</sup> percentile compares the risk of having a ASD child in mothers who had at least one of the biomarkers 90<sup>th</sup> percentile versus those who had both of these biomarkers in the middle 80<sup>th</sup> percentile