

De Novo Variants in *GRIA4* Lead to Intellectual Disability with or without Seizures and Gait Abnormalities

Sonja Martin,¹ Adam Chamberlin,² Deepali N. Shinde,² Maja Hempel,³ Tim M. Strom,^{4,5} Allison Schreiber,⁶ Jessika Johannsen,⁷ Lilian Bomme Ousager,⁸ Martin J. Larsen,⁸ Lars Kjaersgaard Hansen,⁹ Ali Fatemi,^{10,11} Julie S. Cohen,¹⁰ Johannes Lemke,¹ Kristina P. Sørensen,⁸ Katherine L. Helbig,² Davor Lessel,³ and Rami Abou Jamra^{1,*}

Using trio whole-exome sequencing, we have identified *de novo* heterozygous pathogenic variants in *GRIA4* in five unrelated individuals with intellectual disability and other symptoms. *GRIA4* encodes an AMPA receptor subunit known as GluR4, which is found on excitatory glutamatergic synapses and is important for learning and memory. Four of the variants are located in the highly conserved SYTNLAALF motif in the transmembrane protein M3, and the fifth is in an extra-cellular domain. Molecular modeling of the altered protein showed that three of the variants in the SYTNLAALF motif orient toward the center of the pore region and most likely lead to disturbance of the gating mechanism. The fourth variant in the SYTNLAALF motif most likely results in reduced permeability. The variant in the extracellular domain potentially interferes with the binding between the monomers. On the basis of clinical information and genetic results, and the fact that other subunits of the AMPA receptor have already been associated with neurodevelopmental disorders, we suggest that pathogenic *de novo* variants in *GRIA4* lead to intellectual disability with or without seizures, gait abnormalities, problems of social behavior, and other variable features.

Intellectual disability (ID) has a prevalence of about 1%¹ and is characterized by substantial limitations in both intellectual functioning and adaptive behavior starting before the age of 18 years (ICD-10 2016, World Health Organization). Recent studies have shown that *de novo* variants are a frequent cause of neurodevelopmental disorders.²

At five centers in Germany, Denmark, and the United States, we clinically examined five unrelated individuals with neurodevelopmental disorders, primarily ID. In addition, four of the five affected individuals had seizures or abnormal electroencephalography (EEG). Further symptoms were muscular hypertonia followed by spasticity in later age, abnormal brain MRI, and different behavioral disorders (Table 1 and Supplemental Note: Case Reports).

This study was approved by the ethics committees of the University of Leipzig (402/16-ek) and the University Medical Center of Hamburg-Eppendorf (PV3802). Informed consent was obtained from all examined individuals or their guardians. Otherwise, testing was done as part of routine clinical care, and therefore institutional ethics approval was not required. All families provided informed consent for clinical testing and publication.

We performed exome sequencing for all five individuals as a trio analysis including DNA samples of both biological parents. DNA from the proband and the parents was subjected

to exome capture by NimbleGen SeqCap EZ MedExome (Roche), NimbleGen SeqCap EZ VCR (Roche), SureSelect Human All Exon 50Mb V5 (Agilent), or the Nextera Rapid Capture Exome Kit (Illumina). Sequencing was performed on an Illumina NextSeq 500, NextSeq 550, HiSeq 2000, or HiSeq 2500. For proband 1, raw reads were aligned with the Burrows-Wheeler Aligner (BWA-MEM) v.0.7.15,³ and the Genome Analysis Toolkit Best Practices pipeline v.3.8-0 was used for variant calling.⁴ Annotation and filtering of variants was performed with VarSeq 1.4.6 (Golden Helix) for proband 1 and his parents. For the other four probands, bioinformatic preparation of the data and data annotation and interpretation were performed with house-made pipelines as previously reported (see Supplemental Note: Exome Sequencing).^{5–7} Variants of interest were confirmed by dideoxy sequencing, and cosegregation analysis was performed in all informative, available family members. This revealed *de novo* missense variants in *GRIA4* (MIM: 138246) in the five probands: c.1915A>T (p.Thr639Ser), c.1921A>G (p.Asn641Asp), c.1928C>G (p.Ala643Gly), c.1931C>T (p.Ala644Val), and c.2090G>C (p.Arg697Pro) (see Table S1). Further protein-changing, *de novo* variants were not identified in any of the families (see also Table S2). None of the variants in *GRIA4* have been observed in gnomAD⁸ (accessed October 2017) or the 1000 Genomes Project.⁹ Most of the *in silico* programs predicted the variants to be

¹Institute of Human Genetics, University Medical Center Leipzig, 04103 Leipzig, Germany; ²Division of Clinical Genomics, Ambry Genetics, Aliso Viejo, CA 92565, USA; ³Institute of Human Genetics, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany; ⁴Institute of Human Genetics, Helmholtz Zentrum München, 85764 Neuherberg, Germany; ⁵Institute of Human Genetics, Technische Universität München, 81675 Munich, Germany; ⁶Genomic Medicine Institute, Cleveland Clinic, Cleveland, OH 44195, USA; ⁷Department of Pediatrics, University Medical Center Hamburg-Eppendorf, 20246 Hamburg, Germany; ⁸Department of Clinical Genetics, Odense University Hospital, 5000 Odense, Denmark; ⁹Department of Paediatrics, Odense University Hospital, 5000 Odense, Denmark; ¹⁰Division of Neurogenetics and Hugo W. Moser Research Institute, Kennedy Krieger Institute, Baltimore, MD 21205, USA; ¹¹Departments of Neurology and Pediatrics, Johns Hopkins Medical Institutions, Baltimore, MD 21205, USA

*Correspondence: rami.aboujamra@medizin.uni-leipzig.de
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Table 1. Clinical Features of Individuals with Predicted Deleterious Variants in *GRIA4*

	Proband				
	1	2	3	4	5
Age at last examination	15 years	21 years	4 years	4 years	4 years
Sex	male	male	male	male	female
HGVS DNA reference	c.1915A>T	c.1921A>G	c.1928C>G	c.1931C>T	c.2090G>C
Variant	p.Thr639Ser	p.Asn641Asp	p.Ala643Gly	p.Ala644Val	p.Arg697Pro
Prenatal period	unremarkable pregnancy after IVF	unremarkable	maternal pre-eclampsia	unremarkable	unremarkable
Gestational week at birth	40	40	34	39	40
Birth parameters	weight 3,755 g (62P), length 53 cm (59P), OFC not known	weight 3,320 g (25P), length and OFC not known	weight 3,033 g (95P), length 47 cm (61P), OFC 34 cm (86P)	weight 3,450 g (47P), length 53 cm (68P), OFC 37 cm (90P)	weight 4,150 g (95P), length 53 cm (72P), OFC 36 cm (80P)
Neonatal period	stiffness (stiff baby syndrome), irritability, excessive startle reflex	irritability, stiffness	hypertonia, nystagmus, increased startle reflex	unremarkable	unremarkable
Congenital anomalies	none	none	none	none	none
Postnatal growth	unremarkable	failure to thrive, short stature, microcephaly	unremarkable	unremarkable	unremarkable
Developmental delay	mild to moderate	severe	severe	moderate to severe	mild to moderate
Speech	speech with dysarthria	non-verbal communication	non-verbal communication	non-verbal communication	single words and a few two-word sentences
Brain MRI	unremarkable	bilateral symmetric extensive atrophy of frontal lobes, mild frontal ventriculomegaly, thin corpus callosum	optic nerve hypoplasia	unremarkable	unremarkable
Social behavior	interaction with adults, reduced attention span, tension with irritability and anxiety	social smile, interaction with caregivers	occasional response to voice	3 years: hyperactivity, reduced attention span, aggressive behavior, reduced interaction with other children; 4 years: non-verbal communication, ability to focus and play	lack of distance toward adults, reduced interaction with other children, strong searching for physical contact, mood changes with aggressive behavior and attention deficits
Muscle tone	hyperekplexia with exaggerated head-retraction reflex, stiffness, and hypertonia	severe spastic quadriplegia and hypertonia with contractures	spasticity	mild muscular hypotonia (neonatal)	unremarkable
Walking abilities	difficulties when walking in a straight line, stiff gait, ability to run	inability to walk	supported walking	clumsy gait	yes
Seizures	no, but severe contraction burst in relation to trauma	intractable generalized seizures, onset at 5 weeks	seizure-like episodes, onset at 14 months	febrile seizures at 13 months	no

(Continued on next page)

Table 1. Continued

	Proband				
	1	2	3	4	5
Craniofacial dysmorphism	large ears	prognathism, midface retrusion, short philtrum, large ears	large ears	no	no
EEG	unremarkable	diffuse cerebral disturbance without electrographic correlates to the seizures	generalized slowing, no epileptiform discharges	generalized spikes and waves during sleep	unremarkable
Additional features or notes	sleeping problems in childhood	bilateral hiatal hernias; gastroesophageal reflux; feeding difficulties; apneas; recurrent respiratory infections in first year of life; strabismus; choreiform movements	-	stereotypic hand movements	hyporeflexia, simian crease on both hands

Abbreviations are as follows: IVF, *in vitro* fertilization; OFC, occipitofrontal circumference; MRI, magnetic resonance imaging; and P (e.g., 62P), percentile.

pathogenic, however inconstantly. In addition, *GRIA4* is intolerant of loss-of-function variants (pLI = 0.99) and missense variants (Z score = 3.16).⁸

GRIA4 encodes GluR4, a subunit of the alpha-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptor.^{10,11} These glutamate-dependent receptors consist of the four subunits GluR1, GluR2, GluR3, and GluR4¹² and have an important function in synaptic transmission, activity-dependent synaptic plasticity, and the control of network activity.¹³ AMPA receptors belong to the group of glutamate-gated cationic ion channels and are important for various neurologic functions, such as synaptic communication¹¹ and long-term potentiation.¹⁴ AMPA receptors (encoded by *GRIA1* [MIM: 138248], *GRIA2* [MIM: 138247], *GRIA3* [MIM: 305915], and *GRIA4*) share vast similarities with other ionotropic glutamate receptors, comprising kainate receptors (encoded by *GRIK1* [MIM: 138245], *GRIK2* [MIM: 138244], *GRIK3* [MIM: 138243], *GRIK4* [MIM: 600282], and *GRIK5* [MIM: 600283]), NMDA receptors (encoded by *GRIN1* [MIM: 138249], *GRIN2A* [MIM: 138253], *GRIN2B* [MIM: 138252], *GRIN2C* [MIM: 138254], *GRIN2D* [MIM: 602717], *GRIN3A* [MIM: 606650], and *GRIN3B* [MIM: 606651]), and δ receptors (encoded by *GRID1* [MIM: 610659] and *GRID2* [MIM: 602368]).^{12,15} Pathogenic variants in several of these genes have been associated with developmental delay, ID, different epilepsy disorders (including epileptic encephalopathy), and ataxia.^{16–35}

Subunits GluR1–GluR4 of the AMPA receptor can form both homo- and heteromers but are usually heteromeric.^{12,36} The relative combination ratios of the four subunits varies in different types of neurons,³⁶ and the conductance properties of the receptors are dependent on the assembly of their subunits.³⁷ *GRIA1* encodes GluR1 and is seen as an important element in associative memory formation.³⁸ *GRIA1* has been associated with ID and neurodevelopmental disorders due to a recurrent *de novo* missense variant, c.1906G>A (p.Ala636Thr).^{24,28} *GRIA2* encodes GluR2. It has been shown that receptors lacking GluR2 have a higher Ca²⁺ permeability and channel conductance (reviewed by Isaac et al.³⁹). A deletion comprising exons 1 and 2 of *GRIA2* has been described as a possible cause of ID (and gait abnormalities and abnormal behavior),⁴⁰ and an in-frame deletion in *GRIA2* has been described in a person with ID.² Pathogenic variants in *GRIA3* have been associated with X-linked mental retardation 94 (MIM: 300699). Different variants are described in the literature in persons with ID, seizures, autistic features, short stature, and behavioral problems.^{16,27,29,30} *GRIA4*, the main subject of this study, has not yet been reported in association with any disorder. In rat brains, the subunit GluR4, encoded by *Gria4*, is found ubiquitously in the CNS, but its amount is relatively high in CA1 pyramidal cells and the dentate gyrus of the hippocampus, layers III and IV of the cerebral cortex, and the granule cells of the cerebellum.⁴¹ In the hippocampus, GluR4 was more abundant only in the first postnatal

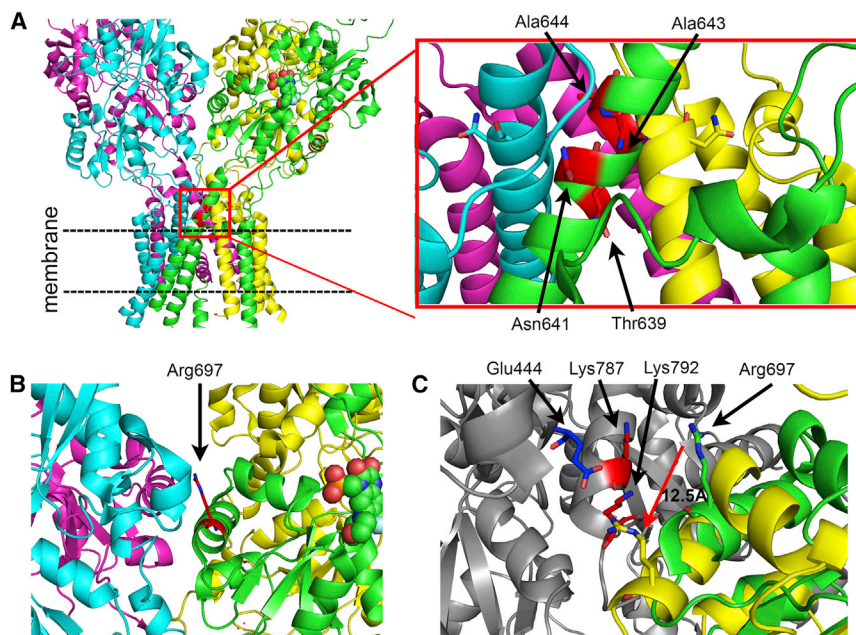


Figure 2. Tetrameric Structure of GRIA4 with Variants and Allosteric Movements

The structure of GRIA4 was built off the homologous structure of GRIA2 for *Rattus norvegicus* in the complex with an agonist (PDB: 3KG2⁴⁵). The homology model of Gria4 was built off the homology modeling module of ROSETTA,⁶⁰ where the sequence alignments were generated with TCOFFEE.^{61,62} The energy calculations of both and the destabilization of internal structure were calculated with the FoldX program.⁶³ The images were generated with PyMOL (PyMOL Molecular Graphics System, v.1.8, Schrödinger).

(A) GRIA4 tetramer with residues in the SYTANLAAF motif of M3. The residue positions of missense variants are indicated by red sticks.

(B) GRIA4 tetramer with a variant in the ligand binding domain. The residue position of wild-type Arg697 is indicated by red sticks.

(C) Arg697 moves by more than 10 Å from the ligand-bound (green; PDB: 3KG2¹⁰) to

the apo (yellow; PDB: 4U2P⁶²) state. Arg697 interacts with residues on the adjacent monomer (gray), including the repulsive Lys787 and Lys792 (red sticks) in the ligand-bound state and the attractive Glu444 (blue sticks) in the apo state.

significantly affect the function of the channel by disrupting the interactions between monomers in the membrane and disrupting the gating and transport of ions through the channel. In addition, the variant c.1931C>T (p.Ala644Val) in proband 4 is at the same position in the motif as the pathogenic variants in GRIA1 (p.Ala636Thr)^{24,28} and GRID2 (p.Ala654Asp and p.Ala654Thr),²⁶ as well as in the functionally well-studied Lurcher mouse model. The Lurcher variant (SYTANLAAF to SYTANLATF in the GluRδ2 receptor) in one subunit of a heteromeric glutamate receptor is functionally dominant, leads to spontaneous channel activity, and slows channel kinetics, even though the changes in gating kinetics are increased in homomeric Lc-mutated forms.⁵²

Conversely, for the known variants p.Asn641Lys and p.Asn641Cys, variants at the equivalent position in the paralog GRIA2 (p.Asn619Lys and p.Asn619Cys) are associated with significant loss of permeability.⁵⁷ Our molecular modeling showed that residue Asn641 orients toward the adjacent monomers, interacts with the backbone atoms of the nearby loops, and thus stabilizes the open and closed states and most likely mediates the transition between states. Loss of this functionality either inhibits the transition or allows the channel to become trapped in an inactivated state.

The fifth variant in this study is c.2090G>C, leading to the protein change p.Arg697Pro, which is located outside the SYTANLAAF motif. Pathogenic variants leading to protein changes outside of the SYTANLAAF sequence have already been described in association with ID, different epilepsy disorders, or ataxia in the glutamate receptor genes *GRIA3*, *GRIN1*, *GRIN2A*, *GRIN2B*, *GRIN2D*, *GRID2*, and *GRIK2*.^{16–27,29–34,55} In the homologous segments of the protein sequence around our variant

p.Arg697Pro, pathogenic variants (e.g., the two variants in GluR3, p.Met706Thr [c.2117T>C] and p.Gly721Arg [c.2161G>A]) have also been identified.^{16,27} The region around Arg697 lies at the interface between monomers in the tetramer within the ligand binding domain (Figure 2), which is involved in agonist and antagonist binding and activation of the transmembrane domain.⁵⁸ The residue itself is partially exposed and does not appear to engage in strong interactions with residues on adjacent monomers. It should be noted that between the homology models of the bound (PDB: 3KG2⁴⁵) and apo (PDB: 4U2P⁵⁹) states, Arg697 moves by more than 10 Å (see Figure 2). Additionally, the conformation for the ligand-bound state places Arg697 near repulsive side chains on Lys787 and Lys792, whereas in the apo state it interacts favorably with Glu444 of the adjacent monomers, thereby stabilizing the apo state. The variant identified in this study, p.Arg697Pro, leads to the insertion of a helix breaker in the middle of an α helix at the interface between monomers. So, p.Arg697Pro leads to the disruption of the α helix and potentially to local unwinding, which in turn interferes with binding between monomers. Additionally, the loss of the charged sidechain disturbs the equilibrium between the two states. This is not the case for variant p.Arg697Gln, which has an allele frequency of 0.00002 in gnomAD⁸ (see Figure 1). The destabilization energy of p.Arg697Gln is small (0.17 kcal), most likely indicating that the change does not strongly disrupt protein function. Variant p.Arg697Gln has a more subtle effect and could interfere at an intermediate stage in monomer folding or tetramerization and most likely affects functionality more mildly such that it might be imperceptible from baseline variations.

The importance of *GRIA4* in neuronal function, the positions of the identified variants and their predicted effects on the protein, and the previously published data on the other subunits of the AMPA receptor and other glutamate receptors let us consider the *de novo* variants in *GRIA4* in this study as causative for the phenotypes of our probands. The data that we have let us assume that the pathogenic effect is due to a dominant functional effect and not loss of function. This is in line with other pathogenic variants in glutamate receptors. In addition, the positions of our variants and the executed molecular modeling suggest some degree of genotype-phenotype correlation: a mild phenotype of the girl with the p.Arg697Pro variant outside the SYTANLAAF-motif and a more severe phenotype for variants within the motif. Also, within the motif, the variant at position 639 (which has a lesser effect on the structural destabilization of *GRIA4*) is in an individual with milder symptoms. However, this still requires further analysis and the identification of additional affected individuals. In conclusion, we suggest *de novo*, heterozygous pathogenic variants in *GRIA4* as causative for ID with or without seizures and gait abnormalities.

Accession Numbers

The accession numbers for the *GRIA4* variants reported in this paper are ClinVar: SCV000611121, SCV000611122, SCV000611123, SCV000611124, SCV000611125, and SCV000611126.

Supplemental Data

Supplemental Data include two Supplemental Notes and four tables and can be found with this article online at <https://doi.org/10.1016/j.ajhg.2017.11.004>.

Conflicts of Interest

A.C., D.N.S., and K.L.H. are employed by and receive a salary from Ambry Genetics. A.F. is a consultant to Ambry Genetics. J.S.C. is a consultant to Invitae. Exome sequencing is a commercially available test.

Web Resources

ClinVar, <https://www.ncbi.nlm.nih.gov/clinvar/>
GenBank, <https://www.ncbi.nlm.nih.gov/genbank/>
Genome Aggregation Database (gnomAD), <http://gnomad.broadinstitute.org/>
HGMD, <http://www.hgmd.cf.ac.uk/ac/index.php>
MutationTaster, <http://www.mutationtaster.org/>
OMIM, <http://omim.org/>
PolyPhen-2, <http://genetics.bwh.harvard.edu/pph2/>
Protter, <http://wlab.ethz.ch/protter/>
PubMed, <https://www.ncbi.nlm.nih.gov/pubmed/>
REVEL: Rare Exome Variant Ensemble Learner, <https://sites.google.com/site/revelgenomics/>
RSCB Protein Data Bank, <https://www.rcsb.org/pdb/home/home.do>
UCSC Genome Browser, <https://genome.ucsc.edu/>
Varvis, <https://www.limbus-medtec.com/>
wANNOVAR, <http://wannovar.wglab.org/>

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