

# Chlorophyll Can Be Reduced in Crop Canopies with Little Penalty to Photosynthesis<sup>1</sup>[OPEN]

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The hypothesis that reducing chlorophyll content (Chl) can increase canopy photosynthesis in soybeans was tested using an advanced model of canopy photosynthesis. The relationship among leaf Chl, leaf optical properties, and photosynthetic biochemical capacity was measured in 67 soybean (*Glycine max*) accessions showing large variation in leaf Chl. These relationships were integrated into a biophysical model of canopy-scale photosynthesis to simulate the intercanopy light environment and carbon assimilation capacity of canopies with wild type, a Chl-deficient mutant (*Y11y11*), and 67 other mutants spanning the extremes of Chl to quantify the impact of variation in leaf-level Chl on canopy-scale photosynthetic assimilation and identify possible opportunities for improving canopy photosynthesis through Chl reduction. These simulations demonstrate that canopy photosynthesis should not increase with Chl reduction due to increases in leaf reflectance and nonoptimal distribution of canopy nitrogen. However, similar rates of canopy photosynthesis can be maintained with a 9% savings in leaf nitrogen resulting from decreased Chl. Additionally, analysis of these simulations indicate that the inability of Chl reductions to increase photosynthesis arises primarily from the connection between Chl and leaf reflectance and secondarily from the mismatch between the vertical distribution of leaf nitrogen and the light absorption profile. These simulations suggest that future work should explore the possibility of using reduced Chl to improve canopy performance by adapting the distribution of the “saved” nitrogen within the canopy to take greater advantage of the more deeply penetrating light.

Global food production must increase to provide for the dietary needs of an increasing global population with greater affluence (Ray et al., 2013; Kromdijk and

Long, 2016). One strategy to increase food production per unit land area is to increase the efficiency of photosynthetic conversion of photosynthetic photon flux density (PPFD; units noted in abbreviation table for this and subsequent abbreviations) into biomass, which is currently less than half the theoretical maximum in many major food crops (Long et al., 2015; Ort and Melis, 2015; Slattery and Ort, 2015). One reason for suboptimal conversion efficiency is that photosynthesis saturates above 25% of full sunlight and most incoming PPFD is absorbed by fully green upper canopy leaves, meaning that the majority of light absorption occurs where photosynthesis is least efficient due to saturation whereas lower layers have greater efficiency due to shading (Long et al., 2006; Zhu et al., 2008; Ort and Melis, 2011; Drewry et al., 2014). This situation suggests that decreasing leaf absorbance ( $L_A$ ) through reductions in leaf chlorophyll content (Chl) could improve canopy assimilation efficiency by allowing more optimal distribution of PPFD within the canopy.

Increasing canopy photosynthesis through reduced Chl has been considered as a potential optimization strategy for over 30 years (as summarized in Laik, 1982; Osborne and Raven, 1986). In an early modeling

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B.J.W., R.A.S., and D.R.O. conceived the idea of using light-green soybean germplasm to parameterize a canopy model; B.J.W. made the measurements of the light-green soybean optical properties and gas exchange parameters; Y.B.C. measured soybean protein content; B.J.W., D.T.D., and A.V. designed, performed, and interpreted the simulations; and B.J.W., D.T.D., R.A.S., A.V., and D.R.O. wrote the final paper.

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approach integrating the simulated impact of Chl reduction of a single mutant accession to leaf and canopy light distribution to photosynthesis, Chl reduction was projected to increase soybean (*Glycine max* Merr.) canopy photosynthesis by 8% under clear-sky conditions, but much of this gain appears to be due to leaf-level improvements in light-saturated photosynthetic rates (Gutschick, 1984a, 1984b, 1988). Efforts to test the impact of reduced Chl on canopy-level carbon assimilation ( $A_{\text{can}}$ ) have produced conflicting results. Although rates of carbon assimilation ( $A$ ) of mutant algae with reduced light-harvesting capacity often show increased  $A$  when grown in mass culture (Melis, 1999; Polle et al., 2003; Mitra and Melis, 2008; Kirst et al., 2012), results from plant canopies are less clear perhaps because they represent complex arrangements of foliage through which radiation transfer processes and vertical variations in photosynthetic capacity interact (Leuning et al., 1995; De Pury and Farquhar, 1997; Baldocchi et al., 2002).

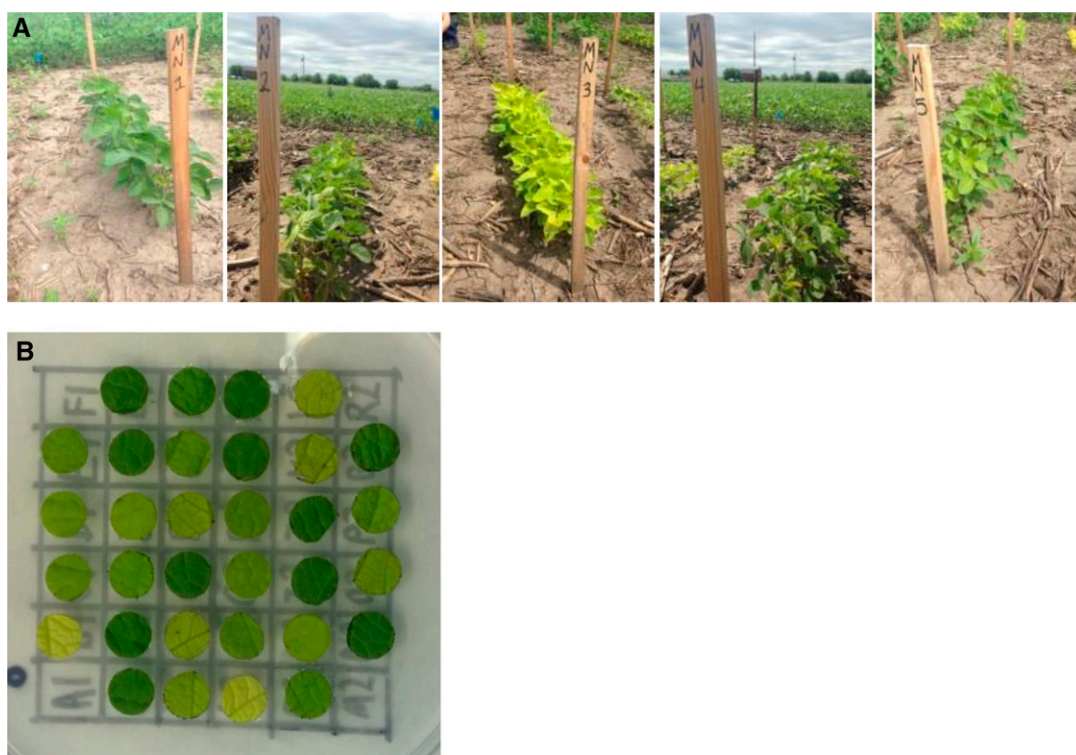
There is much experimental and computational work examining the possibility of increasing canopy photosynthesis through chlorophyll reduction on plant canopies on soybean (Gutschick, 1984a, 1984b, 1988; Pettigrew et al., 1989; Xu et al., 1994; Drewry et al., 2014; Slattery et al., 2016; 2017), which grows in dense canopies and has a large variety of accessions with reduced Chl (Supplemental Fig. S1; Fig. 1). One such accession is a magnesium chelatase mutant, *Y11y11* (Campbell et al., 2015), which contains less than half the Chl on a leaf area basis of its nearly isogenic wild type. Some experiments with *Y11y11* show an increase in  $A_{\text{can}}$  (Pettigrew et al., 1989) and others show little or no effect (Xu et al., 1994; Slattery et al., 2017) despite more even leaf-level light distribution to chloroplasts (Slattery et al., 2016). In the most systematic field examinations to date, leaf rates of carbon assimilation ( $A_{\text{leaf}}$ ),  $A_{\text{can}}$ , conversion efficiency of absorbed PPFD into biomass, and yield were compared between the *Y11y11* and the nearly isogenic wild type. Although there were no clear benefits to canopy-level carbon assimilation, there was also little detriment. Despite a greater than 50% reduction in Chl, only modest reductions in biomass and yield were observed likely due to the negative pleiotropic effects specific to *Y11y11*, discussed below (Slattery et al., 2017).

The conflicting results concerning the benefits of Chl reduction in higher plant canopies may partially result from the limitations of fieldwork where environment is variable and a limited number of accessions can be examined simultaneously. Given the complex interactions of dense plant canopies with incoming PPFD (i.e. Drewry et al., 2010a, Niinemets, 2007; Hikosaka et al., 2016), it is possible that a selected cultivar with reduced Chl has leaf optical properties that are sub- or supra-optimal for a given season depending on environmental forcing and plant development for that particular year. In addition, negative pleiotropic effects can accompany light-green phenotypes due to the specific nature of the mutation, further

confounding experimental results. For example, environmental differences combined with pleiotropic effects might explain the conflicting differences measured in *Y11y11*, which has higher water loss due to increased stomatal conductance. In water-replete conditions, *Y11y11* had higher  $A_{\text{can}}$  as compared to wild type (Pettigrew et al., 1989), whereas no difference was observed under water-limiting conditions (Slattery et al., 2017).

Because  $A$  measurements are often localized temporally or spatially to a few positions in the canopy, a vertically resolved modeling approach that incorporates the detailed biophysical coupling among radiation transfer, photosynthetic biochemical capacity, and canopy development can provide insights into the complex relationship between leaf Chl and  $A_{\text{can}}$ . Here we use a multilayer canopy-root-soil model (MLCan), which couples the biophysical, ecophysiological, and biochemical functions of above-ground vegetation with a vertically resolved model of soil moisture to simulate and directly evaluate the impacts of leaf Chl and associated optical properties in canopies that are otherwise identical (Drewry et al., 2010a, 2010b). MLCan is driven by meteorological data and integrates vertically resolved leaf-level exchanges of  $\text{CO}_2$ , water vapor, and energy to canopy-scale fluxes. Such canopy models use assumptions of leaf optical properties [leaf reflectance ( $L_R$ ), leaf transmittance ( $L_T$ ), and leaf absorbance ( $L_A$ )] to simulate within-canopy distributions of PPFD as functions of downwelling solar radiation, solar zenith angle, foliage density, and leaf angle distributions (De Pury and Farquhar, 1997; Campbell and Norman, 1998; Lai et al., 2000; Baldocchi et al., 2002; Drewry et al., 2010a).

An additional consideration of the ability of Chl reduction to increase canopy photosynthesis is the impact of subsequent redistribution of N and photosynthetic capacity. Chlorophyll is associated with a large portion of leaf nitrogen, not only present in the Chlorophyll (four molecules to complex the magnesium ion or  $0.06 \text{ g N mmol Chl}^{-1}$ ), but also in the Photosystem I and II core complexes (PSI and PSII, respectively), and the light harvesting complexes that coordinate the chlorophyll molecules for light energy capture. The chlorophyll binding proteins of the light reactions and antenna complex contain 5000, 6040, and 338 mol N (mol reaction center or LHCII molecule) $^{-1}$  for PSII, PSI, and LHCII, respectively (Hikosaka and Terashima, 1995). Assuming that PSII, PSI, and LHCII are associated with 60, 184, and 13 chlorophyll molecules each, this means that the total nitrogen associated with each chlorophyll molecule is 1.23, 0.52, and 0.42 g N mmol Chl $^{-1}$  for chlorophyll associated with PSII, PSI, and LHCII respectively (Evans and Seemann, 1989; Kühlbrandt et al., 1994; Hikosaka and Terashima, 1995; Niinemets and Tenhunen, 1997). In soybean, chlorophyll-related N is not trivial; for example, fully expanded field-grown soybean leaves contained  $1.75 \text{ g N m}^{-2}$  and Chl of  $320 \mu\text{mol m}^{-2}$  (Ainsworth et al., 2007), resulting in a total chlorophyll-associated N cost of 8% to 22% depending on how much chlorophyll is



**Figure 1.** Examples of some of the 67 soybean lines with decreased chlorophyll content (A). Leaf punches prepared for chlorophyll fluorescence imaging (B). Most of the lines were obtained from the USDA soybean germplasm collection and others from the Fast Neutron Soybean Mutagenesis project. Lines were characterized for chlorophyll content, leaf transmittance, and leaf reflectance.

partitioned to each chlorophyll binding protein. This nitrogen must be partitioned through the canopy, and theoretical analyses have suggested that the vertical distribution of leaf nitrogen should optimally be in direct proportion to light intensity at a given canopy layer (Field, 1983; Leuning et al., 1995; Sands, 1995), but experimental evidence demonstrates that canopies do not optimally distribute nitrogen, instead overinvesting nitrogen when irradiance is low and underinvesting when irradiances are high (Niinemets, 2007, 2015). These observations suggest two hypotheses that can be tested: 1) there is a significant N investment in Chl-related proteins that can be decreased through Chl reduction with minimal impact to canopy photosynthesis; and 2) changes in Chl impact canopy PPFD distribution enough to change optimal vertical nitrogen distribution.

Here we parameterize MLC<sub>can</sub> with the field measured relationships among Chl, leaf optical properties, and leaf biochemistry to more realistically simulate the integrated canopy response to Chl reduction, as well as resolve leaf-level variations that could translate to changes in  $A_{\text{can}}$  and determine the metabolic savings (in terms of actual chlorophyll molecules and associated proteins) of canopies with reduced leaf absorbance ( $L_A$ ). In one set of simulations, this revised MLC<sub>can</sub> model was parameterized with leaf properties of a wild type (*cv* Clark) and the nearly isogenic low-Chl *Y11y11*

mutant (Pettigrew et al., 1989; Campbell et al., 2015; Slattery et al., 2017). In a second set of simulations, we simulated synthetic canopies constructed with foliage across a range of Chl that indicate Chl can be reduced by up to 80% with only slight decreases in  $A_{\text{can}}$ . Additionally, whereas Chl reduction can often result in vertically resolved canopy domains with higher light use efficiencies, these increased efficiencies only resulted in higher net  $A$  in the lower canopy layers of dense canopies and did not result in a net canopy improvement to carbon fixation capacity. Furthermore, although lower Chl canopies were expected to have different optimal nitrogen distributions, changes in N distribution through the canopy alone were not found to increase  $A_{\text{can}}$  as compared to dark-green canopies. These findings present valuable quantitative relationships among Chl, leaf optical properties, and biochemistry for future efforts in optimizing canopy performance through Chl reduction, and more broadly for modeling the impact of Chl variation on canopy biophysics.

## RESULTS

We present the results of the empirical measurements and numerous canopy simulations in three main subsections to better organize their content and motivation.

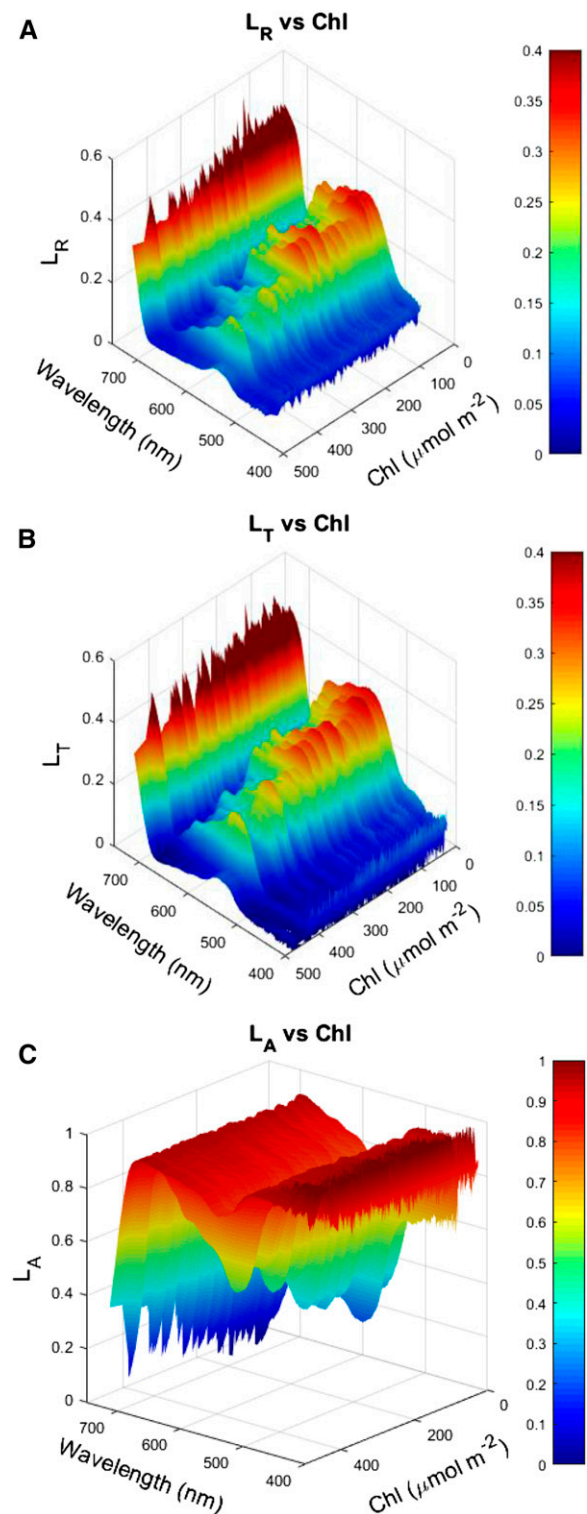


The first subsection (“Empirical Relationships among Leaf Optical Properties, Photosynthetic Biochemistry, and Chl”) presents the primary empirical relationships among leaf optical properties, photosynthetic biochemistry, and Chl measured across the panel of field-grown soybean accessions displaying a range of Chl that were used to parameterize subsequent canopy simulations in the second and third subsections (“Comparisons between a Simulated Wild-Type and *Y11y11* Canopy” and “Using Synthetic Canopies To Explore a Range of Chl and Nitrogen Distributions”). The second subsection (“Comparisons between a Simulated Wild-Type and *Y11y11* Canopy”) tests if a specific accession (*Y11y11*) is expected to show an increased canopy and/or within-canopy performance through detailed daily and season-long comparisons between a simulated canopy of wild-type and *Y11y11* soybeans. This accession was selected due to the amount of available field data to provide parameterizations and model validation. The third subsection (“Using Synthetic Canopies to Explore a Range of Chl and N Distributions”) determines, by simulating synthetic canopies with a broad range of Chl amounts, if there is any change in leaf Chl level that would be predicted to increase photosynthetic performance. The impact of biochemical capacity scaling with Chl is explored in the simulations of “Empirical Relationships among Leaf Optical Properties, Photosynthetic Biochemistry, and Chl” and “Comparisons between a Simulated Wild-Type and *Y11y11* Canopy” by either including or not the relationship measured and presented in “Empirical Relationships among Leaf Optical Properties, Photosynthetic Biochemistry, and Chl”. Additionally, the impact of changing nitrogen distribution, and subsequent vertical biochemical capacity distribution, is investigated in both sets of simulations.

#### Empirical Relationships among Leaf Optical Properties, Photosynthetic Biochemistry, and Chl

A panel of 67 Chl deficient mutants and parent lines provided an approximately uniform distribution of Chl across the range of approximately  $100 \mu\text{mol m}^{-2}$  to  $500 \mu\text{mol m}^{-2}$  resulting in a similarly wide range of leaf optical properties (Fig. 2). As expected,  $L_R$  and  $L_T$  decreased with increasing Chl whereas  $L_A$  increased with increasing Chl (Fig. 2). Interestingly, although the ratio of  $L_R$  to  $L_T$  showed no clear trend as a function of Chl (Supplemental Fig. S1A), the average value across all accessions was approximately 1 across most of the photosynthetically active radiation (PAR) spectrum (Supplemental Fig. S1B). The relationships between Chl and leaf optical properties for the PAR region of the spectrum from the data are shown in Figure 2 (see Eqs. 1 and 2; and see final fits in Supplemental Fig. S3).

There was a clear relationship between Chl and both  $V_{\text{cmax}}$  and  $J_{\text{max}}$  in our accessions with reduced Chl (Fig. 3; Eqs. 3 and 4). There was also a strong



**Figure 2.** Shown are the relationships between leaf chlorophyll content and reflectance ( $L_R$ , A), transmittance ( $L_T$ , B), and absorbance ( $L_A$ , C) across the spectrum of photosynthetically active radiation.

relationship between Chl and total carotenoids ( $R^2 = 0.75$ ; Supplemental Fig. S2). This relationship was linear for much of the Chl range with between 0.3 and 0.4

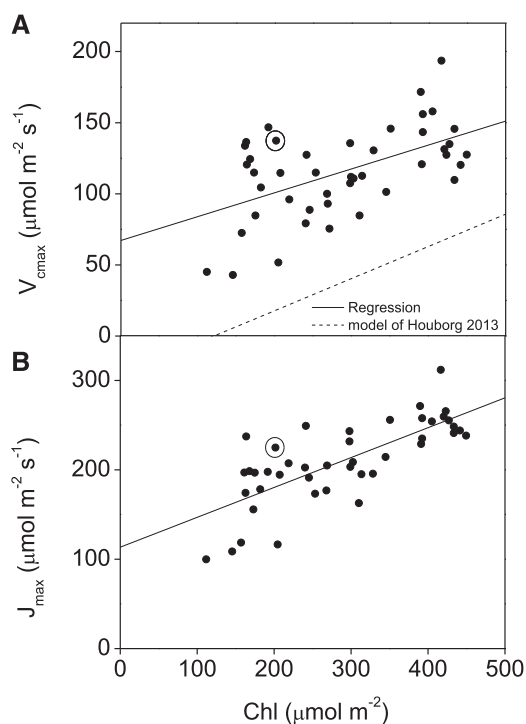
carotenoid molecules per chlorophyll molecule but increased at low Chl until there were more carotenoid molecules than chlorophyll molecules (Supplemental Fig. 2B).

Notably, there was variability in the relationship between  $V_{\text{cmax}}$  and Chl, indicating some cultivars maintained higher  $V_{\text{cmax}}$  for a given Chl than others, and pointing to the potential for the development of plants with low Chl and high photosynthetic biochemical capacity. Consistent with past work, which saw no significant differences in the  $V_{\text{cmax}}$  or  $J_{\text{max}}$  of *Y11y11* compared to the wild type (Slattery et al., 2017), we saw only slight differences in the  $V_{\text{cmax}}$  or  $J_{\text{max}}$  of *Y11y11* as compared to the higher Chl lines (Fig. 3). A similar increase in variability across the mean was observed in  $J_{\text{max}}$  as Chl decreased below approximately  $250 \mu\text{mol m}^{-2}$  ( $R^2$  of 0.21). This decrease in  $J_{\text{max}}$  could have been driven in part by lower photosystem II (PSII) quantum efficiency in some mutant lines with reduced Chl. Indeed, chlorophyll fluorescence analysis revealed that the maximum efficiency that PSII was able to use photons to perform photochemistry ( $F_v/F_m$ ), and electron transport rates showed similar increasing

variability in these parameters in lines with reduced Chl (Supplemental Fig. S4; Baker, 2008). There was no clear correlation between Chl and stomatal conductance ( $g_s$ ) or leaf day respiration ( $R_d$ ; Supplemental Fig. S5).

### Comparisons between a Simulated Wild-Type and *Y11y11* Canopy

The relationships measured above were next incorporated into daily and seasonal simulations of wild-type and *Y11y11* soybean canopies to investigate the PPFD and  $A$  distribution of this well-studied accession. Seasonal incident PPFD, air temperature, precipitation, and ambient water vapor pressure were identical between the wild-type and *Y11y11* simulations whereas seasonal variations in leaf area index (LAI) and Chl were constructed from interpolated measurements from the 2013 growing season (Supplemental Fig. S6; Slattery et al., 2017). As shown previously, the seasonal LAI was similar between wild type and *Y11y11* (Supplemental Fig. 6E), but the leaf area distribution (LAD) of *Y11y11* was denser in the lower canopy (Slattery et al., 2017). Leaf optical properties were varied over the growing season according to leaf Chl using the empirically measured relationship for each cultivar (Fig. 2, Supplemental Fig. S3; Eqs. 1 and 2). Additionally, although not incorporated into MLCan but important for understanding N use efficiency and partitioning, neither leaf nitrogen nor soluble protein content were different between wild type and *Y11y11* for most sampled days, the exception being a significantly higher soluble protein content on a leaf area and weight basis on days of the year (DOY 193; Supplemental Fig. S7). Interestingly, because *Y11y11* had approximately half the Chl as wild type, and leaf nitrogen and soluble protein were similar, this resulted in a lower percentage of leaf nitrogen associated with Chl, assuming all Chl was bound by LHCII, the lowest nitrogen containing chlorophyll binding protein ( $0.42 \text{ g nitrogen mmol Chl}^{-1}$ ) and a higher protein/Chl ratio in *Y11y11* (Supplemental Fig. S7).



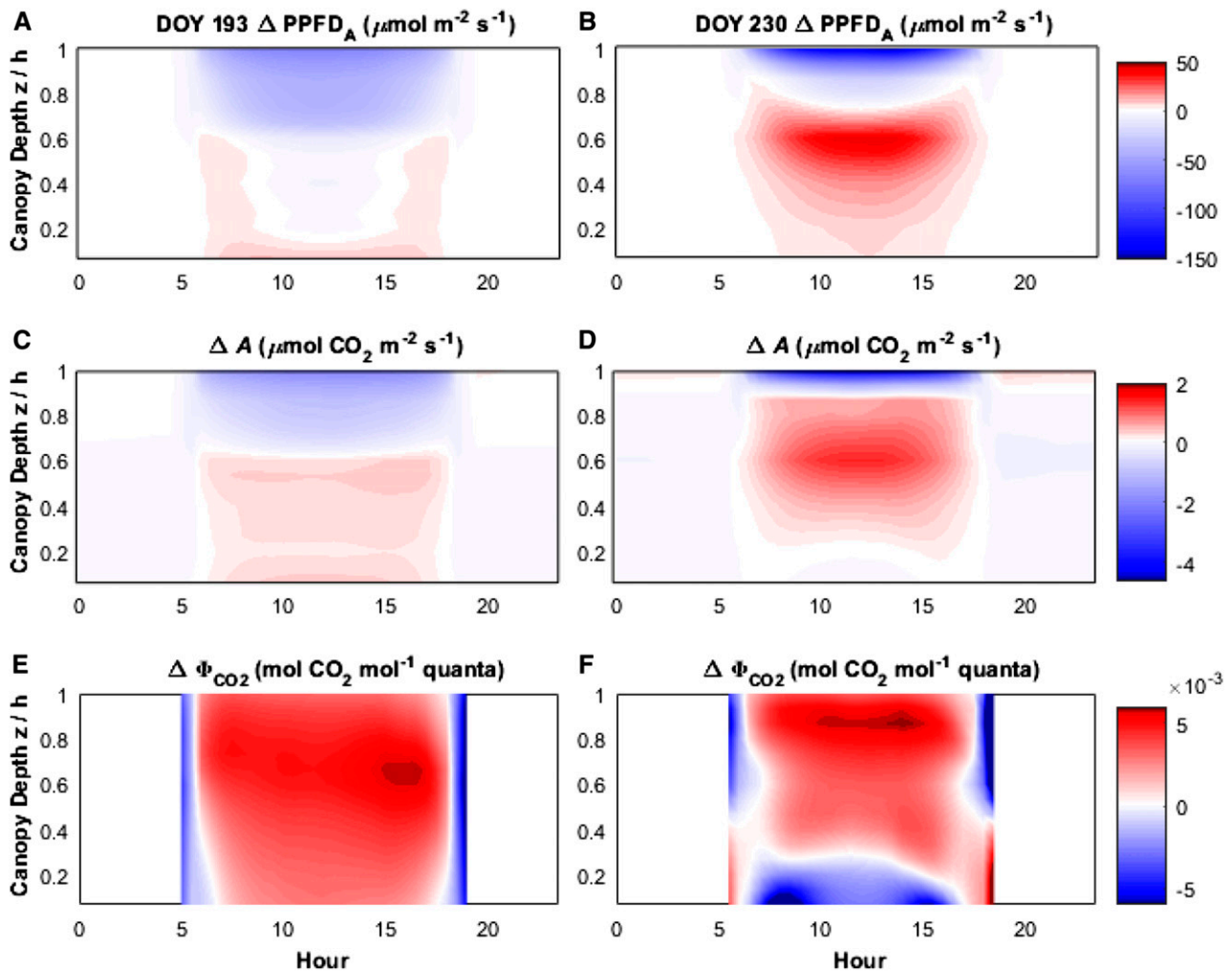
**Figure 3.** a, b, Observed impact of the variation in Chl content on photosynthetic performance. Forty-five plants from various cultivars of soybean were measured using a 6400-XT gas exchange system (LI-COR) to derive maximum rate of carboxylation ( $V_{\text{cmax}}$ ) and electron transport ( $J_{\text{max}}$ ) from photosynthetic carbon dioxide response curves. Chl was determined from SPAD (Soil Plant Analysis Development) measurements according to the relationship presented in Supplemental Fig. S12. Also shown is the modeled relationship of Chl to  $V_{\text{cmax}}$  of Houborg et al. (2013; dotted line). Circled data represents the values measured in the low chlorophyll mutant *Y11y11*.

### Daily Simulations of Wild-Type and *Y11y11* Soybean with Accession-Specific Parameterizations

To examine the temporally and spatially resolved impacts of variations in chlorophyll content and associated leaf optical properties of field-grown *Y11y11*, simulations were conducted for two representative DOYs (193 and 230) with similar total downwelling PPFD but distinguished by canopy LAI (early and late growing season). These simulations allowed us to visualize the differences in the radiative regime and vegetation function that were induced by the contrasting properties of wild-type and *Y11y11* plants, both throughout the vertical canopy space and over the course of diurnal variation in environmental forcing

(Fig. 4). Early in the season when LAI was low (approximately 2.7), wild-type canopies had only slightly greater  $PPFD_A$  than their light-green counterparts in the upper approximately 40% of the canopy, which drove greater  $A$  at upper layers within the canopy in wild type (Fig. 4, A and C). Later in the season when the canopies were more dense, wild-type canopies had greater  $PPFD_A$  in the upper approximately 10% to 20% of the canopy, whereas  $Y11y11$   $PPFD_A$  was greater in the lower 75% to 80% (Fig. 4B). These differences in  $PPFD_A$  distribution in denser canopies drove different rates of  $A$  throughout the vertical canopy domain, with wild-type plants having greater  $A$

in the top 10% of the canopy at midday and  $Y11y11$  having higher  $A$  in the lower 90% of the canopy relative to wild-type plants (Fig. 4D). On both days,  $Y11y11$  canopies generally had a higher quantum efficiency ( $\Phi_{CO_2}$ ) in most canopy layers, indicating more efficient use of  $PPFD_A$  due to less oversaturation of photosynthetic capacity (Fig. 4, E and F). On DOY 193, the  $Y11y11$  canopy was more efficient throughout the entire vertical profile for most of the day, excepting short periods at the beginning and end of each photoperiod when oversaturation was low in both canopies due to low incident light levels. On DOY 230, the  $Y11y11$  canopy was more efficient throughout the entire



**Figure 4.** Vertically resolved, diurnal differences in absorbed PPFD ( $\Delta PPFD_A$ ; A and B), net photosynthetic  $\text{CO}_2$  assimilation ( $\Delta A$ ; C and D), and the quantum efficiency of  $\text{CO}_2$  assimilation ( $\Delta \Phi_{CO_2}$ ; E and F) between a mutant with reduced chlorophyll content ( $Y11y11$ ) and wild-type before canopy closure early in the growing season (DOY 193 LAI = 2.7; canopy A, C and E) and at peak LAI (DOY 230 LAI = 7.5; canopy B, D and F). Color map shows the difference between  $Y11y11$  and wild-type canopies ( $Y11y11$ , wild-type values) at each of the vertical canopy regions above ground normalized by the canopy height ( $z/h$ ). Positive values (yellow/red) represent regions of the canopy where  $Y11y11$  has a larger value than wild type, and blue values are regions where the wild-type values are higher. The two selected days were both cloudless and received similar amounts of total downwelling radiation (Supplemental Fig. S5). Wild-type and  $Y11y11$  canopies were parameterized using genotype-specific chlorophyll contents and leaf area indices. Values are expressed on a ground area basis. WT, wild type.

vertical profile for most of the day, except for the bottom 20% of the canopy (Fig. 4f).

#### *Daily Simulations of Wild Type and Y11y11 in Response to LAI with Constant Meteorological Forcing to Assess the Impact of Biochemical Scaling with Chl and N Distribution*

We next repeated the simulations of Figure 4 to determine the impact of scaling biochemical capacity with Chl through a canopy with Chl (approximately 50% reduction) similar to *Y11y11*. Wild type was represented as high Chl = 400  $\mu\text{mol m}^{-2}$  and *Y11y11* as low Chl = 200  $\mu\text{mol m}^{-2}$  for each of the two assumed LAIs (less dense LAI = 2.7  $\text{m}^2 \text{m}^{-2}$  and more dense LAI = 7.5  $\text{m}^2 \text{m}^{-2}$ ). The simulations using the averaged diurnal cycle of environmental forcing revealed a response similar to the season-long simulations when photosynthetic biochemical capacity was not scaled with Chl (Supplemental Fig. S8). In less dense canopies, high Chl canopies had higher  $PPFD_A$  than low Chl canopies at every canopy layer, which in turn drove higher or equal  $A$  at every canopy layer (Supplemental Fig. S8, A and C). Interestingly, the higher  $PPFD_A$  of high Chl canopies did not drive  $A$  as efficiently as low Chl canopies, as can be seen from the higher  $\Phi_{\text{CO}_2}$  of low Chl canopies at midday throughout much of the canopy profile (Supplemental Fig. S8E). In denser canopies, high Chl values produced higher  $PPFD_A$  in the upper layers, but not in the lower, resulting in slightly higher  $A$  in the lower canopy of low Chl canopies, where effective shading by the upper canopy foliage was relatively reduced (Supplemental Fig. S8, B and D). As expected, the increased light distribution through the canopy resulted in a greater  $\Phi_{\text{CO}_2}$  of low Chl canopies through much of the canopy profile (Supplemental Fig. S8F). Interestingly, high Chl canopies had a slightly greater  $\Phi_{\text{CO}_2}$  in the very lowest regions of the canopy (Supplemental Fig. 8F).

However, when photosynthetic biochemical capacity was scaled according to Eqs. 3 and 4,  $A$  was reduced independently of differences in LAI (Supplemental Fig. S9, A to D). This resulted in large decreases in  $\Phi_{\text{CO}_2}$  in both LAI simulations during the majority of the day when light levels were highest (Supplemental Fig. S9, E and F). The regions of improved *Y11y11* net carbon exchange forecasted during night-time were a result of decreased modeled  $R_d$ , stemming from MLCAN's use of  $V_{\text{cmax}}$  to estimate rates of  $R_d$  ( $R_d = 0.015 * V_{\text{cmax}}$ ).

#### *Seasonal Simulations of Wild Type and Y11y11*

We next used this approach to examine the dynamics of  $PPFD_A$  and  $A$  throughout the canopy across the entire 2013 growing season using observed forcing data from midday as measured in Champaign, IL. Figure 5 shows the differences between wild-type and *Y11y11* canopies over an almost 90-d period. The same general trends seen in the representative days presented in Figure 4 are seen throughout the growing season. Early and late in the season, there was little difference in

$PPFD_A$  or  $A$  between wild type and *Y11y11*, except for slightly larger values for wild-type plants in the uppermost canopy layers (Fig. 5, a and c). As canopy density increased between DOYs 200 and 250,  $PPFD_A$  and  $A$  were increased in the uppermost canopy layers of wild type relative to *Y11y11*, but the percentage of the vertical profile where *Y11y11*  $PPFD_A$  and  $A$  was greater than wild type increased and peaked when LAI was the highest (approximately DOY 235; Supplemental Fig. S6e) during clear-sky conditions when radiation forcing was greatest. For the daily integrals of  $PPFD_A$  and  $A$  over the duration of the growing season, wild-type canopies absorbed more PPFD (10%) and assimilated more carbon dioxide (4%) than *Y11y11* (Fig. 5, a, b, and d).

The seasonal  $\Phi_{\text{CO}_2}$  variations showed that *Y11y11* canopies were more light-use efficient than wild-type canopies at many canopy layers and on many days during the growing season (Fig. 6). With simulations parameterized with *Y11y11*-specific LAI values and using wild-type biochemical capacity, the vertical profile of  $\Phi_{\text{CO}_2}$  agreed with the diurnal profiles from single days, with increased  $\Phi_{\text{CO}_2}$  in *Y11y11* simulated at the upper canopy during periods when LAI was the highest (Fig. 6, a and b; Supplemental Figs. S6 and S8). The increased  $\Phi_{\text{CO}_2}$  for *Y11y11* in the upper canopy when biochemical parameters were held constant decreased in simulations performed using constant biochemical parameters and LAI and LAD values from wild-type canopies (Fig. 6c). With both assumptions of LAI,  $\Phi_{\text{CO}_2}$  appeared at first to increase in *Y11y11* as indicated by the more red regions of the vertical canopy across the season when photosynthetic biochemistry was scaled with Chl (Fig. 6, b and d). Although this simulation indicated a higher average  $\Phi_{\text{CO}_2}$  value in *Y11y11* with biochemical scaling, a closer examination revealed that the improvements in  $\Phi_{\text{CO}_2}$  occurred only when  $PPFD_A$  and  $A_n$  were the lowest (Supplemental Fig. S10).

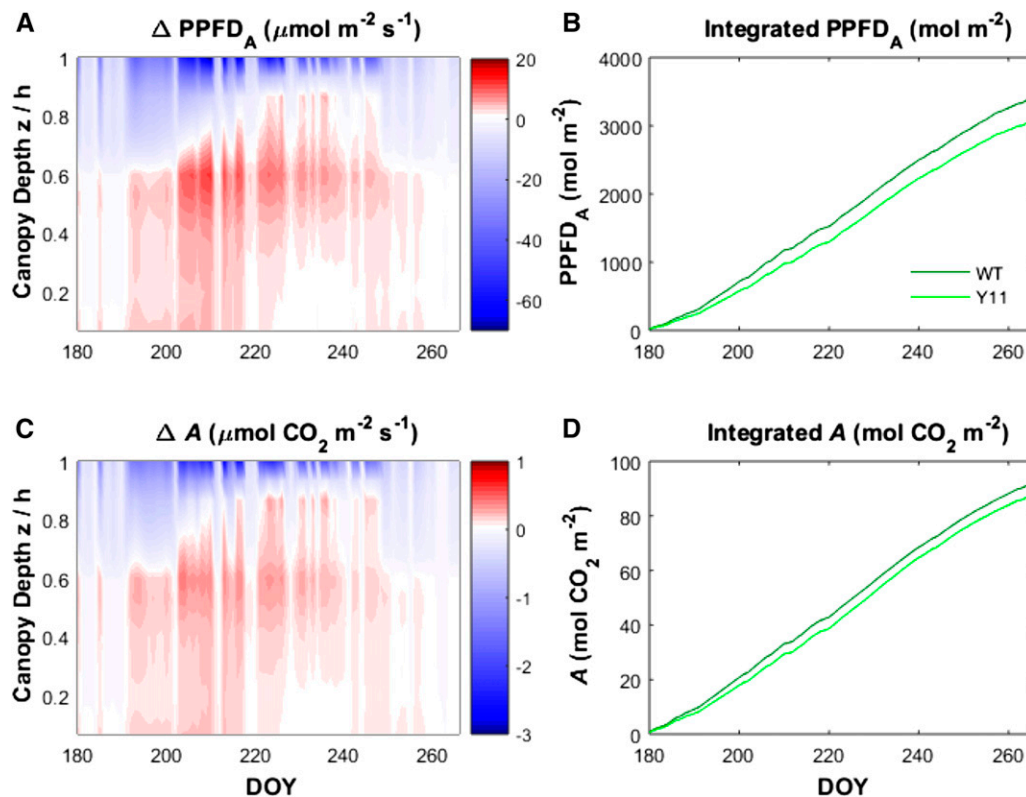
#### **Using Synthetic Canopies To Explore a Range of Chl and Nitrogen Distributions**

We next used the field data collected across the diverse soybean accessions to develop a second set of simulation experiments designed to quantify canopy performance as a function of leaf Chl. For these simulations of synthetic canopies, the observed LAI values from wild-type soybeans measured in the 2013 field season were used to allow us to focus on the impacts of varying Chl, photosynthetic biochemical capacity, and canopy nitrogen distribution. Chl was varied across a range representative of the natural variability seen in the experimental population (25  $\mu\text{mol m}^{-2}$  to 500  $\mu\text{mol m}^{-2}$ ).

#### *Impact of Assumptions of Leaf Optical Properties to Seasonal Radiative Regimes*

Because PPFD reflected from the top of the canopy cannot be used for photosynthesis, the relationship





**Figure 5.** Vertically resolved differences in absorbed photosynthetically active radiation ( $\Delta PPFD_A$ , A) and net  $CO_2$  assimilation ( $\Delta A$ , canopy A, C) between a simulated soybean canopy with reduced chlorophyll content (*Y11y11*) and a wild-type canopy, at midday time points for DOY spanning the growing season. Vertical profiles (height above ground normalized by canopy height,  $z/h$ ) are presented for midday periods (1:00 PM) of each day throughout the growing season. Also shown are the seasonally integrated values for  $PPFD_A$  (B) and A (D). Color map shows the difference between *Y11y11* and wild-type canopies (*Y11y11*, wild-type values) at each of the canopy regions, normalized by the canopy height ( $z/h$ ). Positive values (more red) represent regions of the canopy where *Y11y11* has a larger value than wild type, and more blue values are regions where the wild-type values are higher. These simulations were driven by field-measured incident radiation, temperatures, and precipitation (see Supplemental Fig. S5). Wild-type and *Y11y11* canopies were parameterized using genotype-specific chlorophyll contents and leaf area indices (Slattery et al., 2017). WT, wild type.

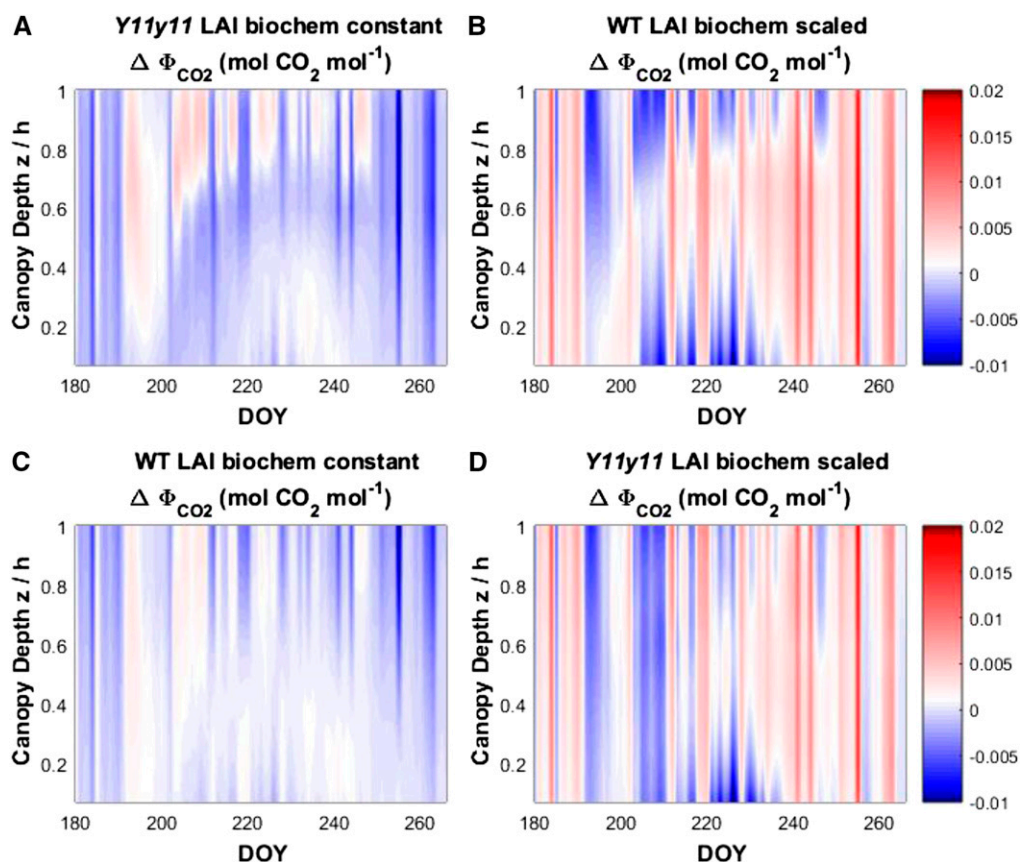
between  $L_R$  and  $L_R$  with Chl (Fig. 2; Supplemental Fig. S2) translates to an increase in PPFD lost due to reflection as Chl decreases. To determine the total impact of increasing PPFD reflectance from the top of the canopy with decreasing Chl on canopy absorbance ( $Can_A$ ), we simulated two distinct scenarios. In the first scenario (Fig. 7, a, c, and e), we scaled  $L_R$  with Chl according to Eq. 2. In the second scenario (Fig. 7, b, d, and f), we maintained  $L_R$  at a negligible value.  $L_R$  was always assumed to scale with Chl according to Eq. 1. Canopy optical properties were closely related to the leaf optical properties applied throughout the canopy, as well as total canopy LAI. Canopies with higher leaf Chl showed corresponding decreases in canopy transmittance ( $Can_T$ ) and reflectance ( $Can_R$ ; Figure 7, a, c, and e). Seasonal variations in LAI drove additional interactions, with the peak of the growing season resulting in significantly decreased  $Can_R$  and  $Can_T$ , as most PPFD was absorbed by the vegetation across all Chl levels for the canopy densities examined here. When  $L_R$  was modeled as negligible to assess the impact of increased

albedo loss to  $Can_A$  in canopies with reduced Chl, there was very little  $Can_R$  compared to canopies with  $L_R$  scaled according to Eq. 2 (Fig. 7, a and b). The small amount of  $Can_R$  early and late season arose from the soil reflectance when the canopy was least dense. Decreased  $Can_R$  translated to increased  $Can_A$  in canopies with negligible  $L_R$ , especially when Chl was low (Fig. 7, e and d). Because these simulations characterize only the light environment of the canopy, they were not sensitive to different assumptions of photosynthetic capacity distribution or sensitivity to Chl.

#### Impact of Chl Reduction to Seasonal Canopy Photosynthesis

We next examined the impact of Chl variation on  $A_{can}$  and  $\Phi_{CO_2}$  with various assumptions of  $L_R$  Chl response and biochemical sensitivity (Fig. 8). Reductions in Chl decreased  $A_{can}$  under all simulated conditions except for when  $L_R$  was kept at a negligible value and biochemistry was not scaled with Chl, where  $A_{can}$  increased by a maximal approximately 2% when Chl was





**Figure 6.** Season-long differences in the midday quantum efficiency of  $\text{CO}_2$  assimilation ( $\Delta\Phi_{\text{CO}_2}$ ) between *Y11y11* soybean mutants (*Y11y11*) and wild type across the vertical profile of the canopies (height above ground normalized by canopy height,  $z/h$ ) for the 2013 growing season. Color map shows the difference between *Y11y11* and wild-type canopies (*Y11y11*, wild-type values) at each of the canopy regions above ground normalized by the canopy height ( $z/h$ ). Positive values (more red) represent regions of the canopy where *Y11y11* has a larger value than wild type, and more blue values are regions where the wild-type values are higher. Simulations were performed assuming the genotype-specific Chl content and *Y11y11* values for (A) LAI and constant photosynthetic biochemical capacity ( $V_{\text{cmax}}$  and  $J_{\text{max}}$ ), (B) wild-type LAI and biochemical capacity scaled with Chl, (C) wild-type LAI and a constant photosynthetic biochemical capacity, and (d) *Y11y11* values for LAI and biochemical capacity scaled with Chl. Environmental forcing (precipitation, downwelling radiation, temperature,  $\text{H}_2\text{O}$  vapor pressure, and wind speed) for the simulations were taken from the 2013 growing-season. WT, wild type.

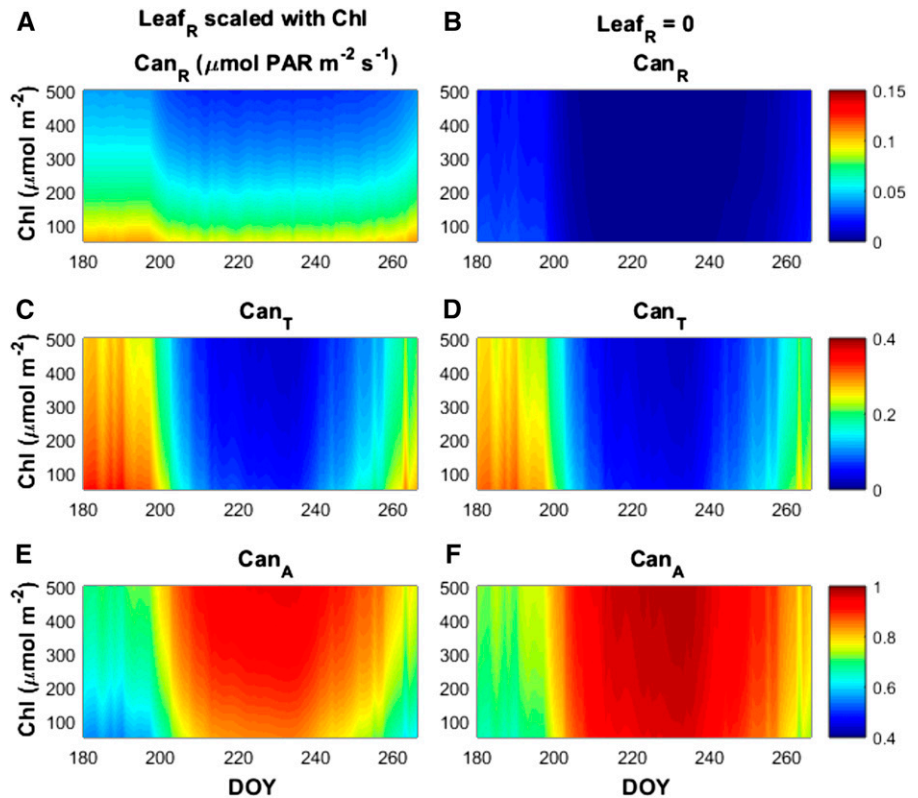
$75 \mu\text{mol m}^{-2}$  (Fig. 8a; Supplemental Fig. S10). When photosynthetic capacity was held constant but  $L_R$  scaled with Chl, noticeable reductions occurred in  $A_{\text{can}}$  below  $275 \mu\text{mol m}^{-2}$  (Fig. 8a). When leaf photosynthetic biochemical capacity was varied with Chl according to our empirical relationships, canopy performance was highly sensitive to Chl with a steep decrease in  $A_{\text{can}}$  along the simulated Chl gradient (Fig. 8a).  $\Phi_{\text{CO}_2}$  increased when photosynthetic biochemical capacity was held constant (Fig. 8b; Supplemental Fig. S10). In contrast,  $\Phi_{\text{CO}_2}$  generally decreased when leaf photosynthetic biochemical capacity decreased with Chl.

#### Combined Impact of Leaf Optics and N Distribution on Canopy Photosynthesis for a Representative Day

In MLCAN, the vertical distribution of photosynthetic capacity is scaled with N assuming an exponential

decay function containing  $k_n$  in the exponent (see “Materials and Methods”; De Pury and Farquhar, 1997). To determine if the greater  $\Phi_{\text{CO}_2}$  and PPFD penetration in the low Chl canopies could result in improved  $A_{\text{can}}$  by distributing biochemical capacity deeper into these regions, we next ran one-day simulations where Chl and nitrogen distribution were varied combinatorially. To modify nitrogen distribution, we used different values of the exponential decay term  $k_n$  (set as 0.5 as a default and in previous simulations) to determine the impact on canopy PPFD absorbance and performance among three different Chl contents (Supplemental Fig. S12). In these simulations the nitrogen distributions of different  $k_n$  assumptions were scaled so that total canopy nitrogen was not varied with  $k_n$  (see “Materials and Methods”). Higher  $k_n$  resulted in N more quickly decreasing with depth in the canopy (Supplemental Fig. S12). Additionally, decreased leaf

**Figure 7.** Total canopy reflectance ( $Can_R$ ; A and B), transmittance ( $Can_T$ ; C and D), and absorbance ( $Can_A$ ; E and F) in simulated canopies composed of a range of leaf Chl content going from dark-green ( $500 \mu\text{mol m}^{-2}$ ) to light-green ( $50 \mu\text{mol m}^{-2}$ ) according to DOY within the growing season. Total values for each optical property were determined by summing the diurnal values for PPFD reflected, transmitted, or absorbed by the canopy divided by the summed total of diurnal incoming PPFD. Simulations were performed assuming leaf reflectance ( $Leaf_R$ ) and transmittance ( $Leaf_T$ ) covaried according to empirical relationships (A, canopy C, and E; Eqs. 1 and 2) derived from observations of diverse soybean lines (see Fig. 2) spanning a wide range of Chl as indicated by the decreasing Chl displayed on the y axis. Alternatively, canopies were simulated with  $Leaf_R$  set to a negligible value at every Chl (B, D, and F) to show the impact of leaf reflective loss on total canopy optical properties.



Chl increased the proportion of PPFD absorbed in the lower canopy in the three Chl values shown ( $400$ ,  $200$ , and  $50 \mu\text{mol m}^{-2}$ ). There was good agreement with the N distribution and PPFD absorbance profiles at  $k_n$  values between  $0.3$  and  $0.5$  when  $\text{Chl} = 400 \mu\text{mol m}^{-2}$  and  $200 \mu\text{mol m}^{-2}$ , whereas at the lowest Chl of  $50 \mu\text{mol m}^{-2}$  the best agreement was observed at  $k_n$  values slightly less than  $0.3$ . This suggests that the optimal nitrogen distribution, represented by  $k_n$ , should be somewhat sensitive to Chl.

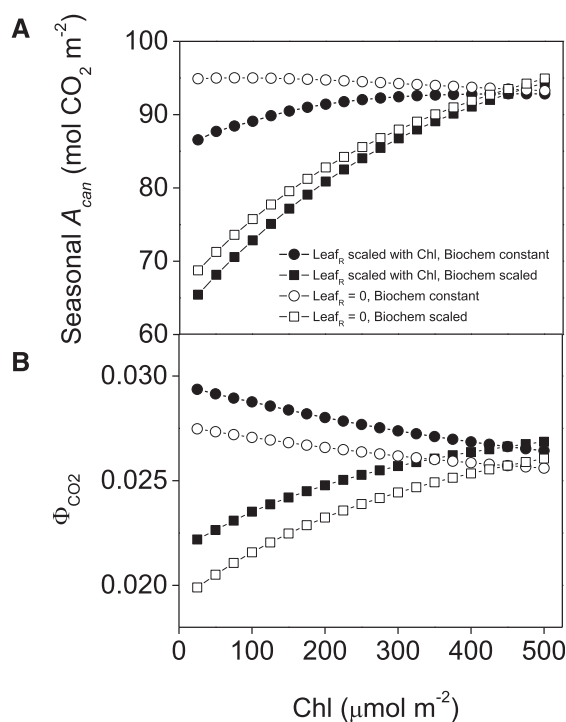
To determine the combinatorial effect of nitrogen distribution and Chl to  $A_{\text{can}}$ , we performed daily simulations of  $A_{\text{can}}$  parameterized with different assumptions of  $A_{\text{can}}$  and  $k_n$  under average field data weather forcing and  $\text{LAI} = 7.5 \text{ m}^2 \text{ m}^{-2}$  (Fig. 9). These simulations confirmed that the  $k_n$  value that produces the highest  $A_{\text{can}}$  changes with Chl, as can be seen by the shifting regions of highest  $A_{\text{can}}$  as Chl decreased under both assumptions of  $L_R$  (Fig. 9). When  $L_R$  was scaled with Chl, the optimal  $k_n$  shifted from approximately  $0.45 \mu\text{mol m}^{-2}$  at  $500 \mu\text{mol m}^{-2}$  to approximately  $0.35$  at the lowest Chl values (Fig. 9a), in agreement with the values of  $k_n$  that produced nitrogen profiles in the closest agreement with  $PPFD_A$  profiles (Supplemental Fig. S12). In all cases when  $L_R$  was scaled with Chl,  $A_{\text{can}}$  decreased with Chl, regardless of  $k_n$  (Fig. 9a). Consistent with the seasonal simulations (Fig. 8),  $A_{\text{can}}$  increased with reduced Chl when  $L_R$  was set to a negligible value (Fig. 9b). Interestingly, the increase in  $A_{\text{can}}$  was dependent on  $k_n$ , further demonstrating that  $L_R$  and N

distribution conspire to impair the ability of Chl reductions to increase  $A_{\text{can}}$ .

## DISCUSSION

### Chl Reduction Alone Does Not Directly Improve Canopy Photosynthesis

Our findings predict that reductions in Chl are not expected to increase  $A_{\text{can}}$  by increasing light penetration into soybean canopies when the canopy is examined as a whole under realistic modeling assumptions (Fig. 8; Supplemental Fig. S10). However, a light-green canopy can have regions of greater  $PPFD_A$  and  $A$ , resulting in increased  $\Phi_{\text{CO}_2}$  through much of the vertical canopy domain of light-green mutants (Figs. 4 to 6; Supplemental Fig. S8). For the simulations conducted here, the gains in  $\Phi_{\text{CO}_2}$  in the lower light-green canopy were not enough to offset the higher  $A$  of upper-canopy foliage in dark-green canopies. The increase in  $A$  found in the lower regions of the light-green canopy during the part of the growing season with peak LAI and greatest incident PPFD (Figs. 4 and 5) indicates that improvements to total light-green canopy performance could be realized if biochemical capacity were redistributed to better take advantage of redistributed PPFD (discussed further below). Our findings also indicate that Chl can be drastically reduced with little impact to  $A_{\text{can}}$ , suggesting an overinvestment in Chl and an



**Figure 8.** Impact of Chl content reduction on the seasonal simulated soybean photosynthesis ( $A_{can}$ ; a) and the quantum efficiency of  $\text{CO}_2$  fixation ( $\Phi_{\text{CO}_2}$ ; b). Seasonal values are shown for the 2013 growing season (see Supplemental Fig. S5). Simulations were performed assuming leaf photosynthetic biochemical capacity remained constant despite chlorophyll content (Chl; circles) or that it scaled with Chl according to Eqs. 3 and 4 (squares). Leaf reflectance and transmittance were also assumed to both vary with Chl according to Eqs. 1 and 2 (solid symbols), while leaf reflectance remained constant despite changes in Chl (open symbols) to determine the impact of changes in reflective loss on canopy performance.

underutilization of photosynthetic biochemical capacity in much of the canopy space of modern soybean cultivars.

### Nitrogen Distribution and the Future of Light-Green Canopies

The inability of light-green canopies to achieve increased  $A_{can}$ , despite increases in  $PPFD_A$  and  $A$  in the lower canopy, partially results from the way photosynthetic biochemical capacity is distributed spatially through the canopy (Fig. 9; Supplemental Fig. S12). MLCan is coded to decrease photosynthetic biochemical capacity exponentially with canopy depth, according to the decay of nitrogen content found in a variety of crops and herbaceous plants including >16 cultivars of wheat, *Vicia faba*, *Oryza sativa*, *G. max*, *Sorghum bicolor*, *Amaranthus cruentus*, *Helianthus annuus*, *Hibiscus cannabinus*, *Cynara cardunculus*, and *Carex acutiformis* (Schieving et al., 1992; Anten et al., 1995; Anten, 1997; De Pury and Farquhar, 1997; Del Pozo and Dennett, 1999; Dreccer et al., 2000; Yin et al., 2003; Archontoulis

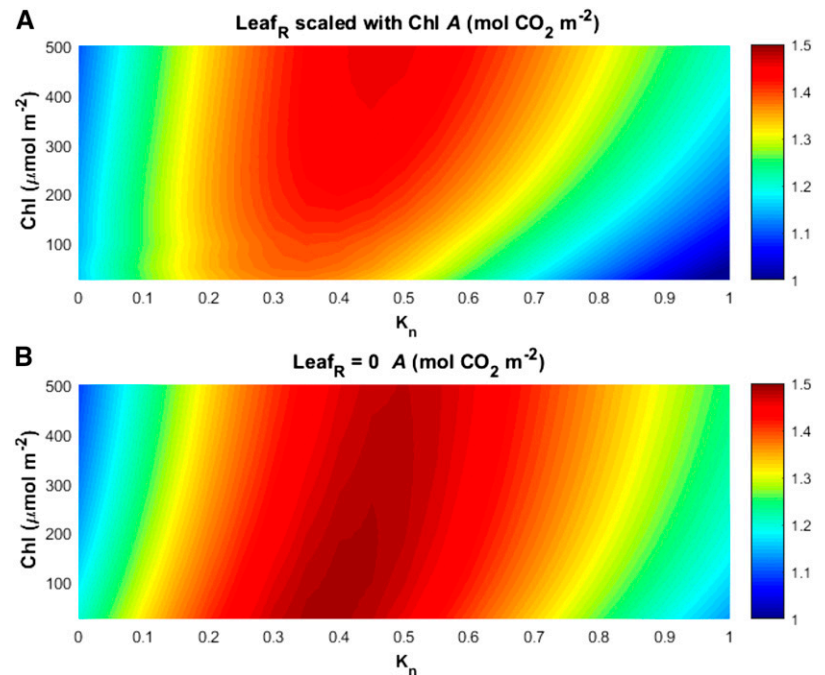
et al., 2011; Moreau et al., 2012). Because of the lack of repartitioning the nitrogen profile in the simulations, the relative increase in availability of PPFD over the majority of the vertical canopy space in the low Chl canopy (Figs. 4 and 5) did not result in higher  $A_{can}$  partially because photosynthetic biochemical capacity was not repartitioned spatially to regions where the light-green canopy was most efficient, such as in the deepest canopy regions (Fig. 9). For example, on DOY 230, the lower 20% of the canopy absorbed more PPFD (Fig. 4b) but had a lower  $A$  (Fig. 4d), resulting in a lower  $\Phi_{\text{CO}_2}$  (Fig. 4f).

The optimal readjustment in nitrogen distribution would need to vary temporally (or with LAI) as illustrated from the differences in the example DOYs of Figure 4 to take best advantage of the redistributed PPFD in light-green canopies. Similarly, the simulations also revealed  $A_{can}$  did not increase with Chl reduction despite many combinations of Chl and  $k_n$  (Fig. 9a). It has also been proposed that a nitrogen reallocation within photosynthetic capacity has the potential to improve  $A$  within a single leaf in future climates based on modeling of optimal partitioning of  $V_{cmax}$  and  $J_{max}$  under future climate scenarios (Kromdijk and Long, 2016). The use of  $k_n$  to represent nitrogen distribution has not been conclusively linked to biological constraints, suggesting that other distributions are possible. Future work could explore direct optimizations of nitrogen distribution in the canopy with validation work in field-grown canopies to determine if more complex nitrogen distribution strategies could take better advantage of redistributed PPFD under current and future climates.

Although these simulations and field data do not support that Chl reductions would result in higher  $A$  through more optimal light distribution when implemented alone or even with redistributions of nitrogen across the canopy profile, they do indicate that a canopy can accommodate drastic reductions of Chl (as low as 16% of wild type), with only minimal impacts on  $A_{can}$ . If a plant could have similar  $A$  with less investment in nitrogen associated with Chl (both directly in Chl and in Chl-associated protein complexes), this would free-up nitrogen to be remobilized from antennae complexes to more limiting processes within the leaf. Indeed, leaf nitrogen seems to be conserved despite the reduced Chl of *Y11y11* (Supplemental Fig. S7), meaning that nitrogen assimilation and uptake in soybean is not sensitive to the demand based on chlorophyll biosynthesis. Although it is not clear where nitrogen is diverted to in reduced Chl mutants, this nitrogen could have substantial benefits if reinvested into photosynthesis, especially because many of the enzymes involved in carbon metabolism appear to have limiting suboptimal activities (Zhu et al., 2007). For example, a reduction of Chl from 500 to 100  $\mu\text{mol m}^{-2}$  would result in a savings of 400  $\mu\text{mol m}^{-2}$ , which translates to a nitrogen savings of 0.17 g nitrogen  $\text{m}^{-2}$ , assuming all the reduced Chl was bound to the light harvesting complex for photosystem II, the lowest nitrogen containing chlorophyll binding protein (0.42 g N mmol



**Figure 9.** The response of total daily canopy carbon assimilation ( $A_{can}$ ) to different assumptions of Chl and canopy nitrogen distribution profiles. Canopy nitrogen profiles were adjusted through varying  $k_n$ , a term describing the exponential decay of nitrogen through a canopy profile (see Supplemental Fig. S7 and “Materials and Methods” for more detail). Environmental forcing (radiation, temperature, relative humidity, etc.) was taken as the average daily conditions for the 2013 growing season. Shown are the relationships among Chl, N distribution, and canopy A, assuming leaf reflectance ( $Leaf_R$ ) and transmittance ( $Leaf_T$ ) covaried according to empirical relationships (A) and assuming  $Leaf_R$  was negligible (B).



$Chl^{-1}$ ). This would represent an 8% to 12% savings in total leaf nitrogen, assuming the wild-type leaf N contents we measured during the field season (Supplemental Fig. S7). Such nitrogen savings could have a sizable positive impact on yield, because even nitrogen-fixing *G. max* is often nitrogen-limited (Salvagiotti et al., 2008). It is interesting that in *Y11y11*, the nitrogen saved from reduced Chl investment is still maintained by the leaf as evidenced by the identical nitrogen and protein contents of both genotypes for all but one sampling day (Supplemental Fig. S7), raising the question of where nitrogen is mobilized in reduced Chl plants if not to photosynthesis.

The positive impact of redirecting nitrogen from Chl to limiting enzymes in photosynthesis was recently demonstrated in a simulated rice canopy using a ray-tracing algorithm coupled to a complete biochemical representation of  $C_3$  photosynthesis (Song et al., 2017). In this model, there was only a modest benefit of reducing Chl content alone (Approximately 3% increase in  $A_{can}$ ), but when the saved nitrogen was reinvested in limiting enzymatic steps of  $C_3$  photosynthesis, both  $A_{can}$  and nitrogen use efficiency could be increased by 30%. The next logical step for canopy optimization through canopy Chl reduction is therefore to understand where nitrogen is partitioned in plants with reduced Chl and how this repartitioning can be more optimally engineered. In addition to the coupled ray-tracing and  $C_3$  metabolic model of Song et al. (2017), there are additional frameworks for repartitioning nitrogen based on five competing photosynthetic sinks using a colimiting model parameterized by empirically derived relationships (Hikosaka and Terashima, 1995). This particular model, however, is not compatible with MLCan because MLCan uses the mechanistic biochemical

relationships of photosynthesis to simulate canopy response to radiation and atmospheric conditions. The relationship between Chl and  $V_{cmax}$  and  $J_{max}$  indicate that nitrogen reallocation from antenna complexes to photosynthetic biochemical capacity does not happen generally as a result of mutations that reduce Chl (discussed further below), but it is possible that more targeted engineering strategies could help achieve this goal.

#### Tradeoffs between Leaf Reflectance and Transmittance Limit the Benefits of Light-Green Canopies

The inability of Chl reductions to increase canopy light penetration enough to increase  $A$  also partially results from the observed relationship between  $L_R$  and  $L_T$  (Fig. 2; Supplemental Fig. S2). Both  $L_R$  and  $L_T$  increase with decreasing Chl because both originate from the scattering of light within the complex inner anatomy of the leaf due to the differences in the refractive indices between air (1.00) and cell material (approximately 1.48; Woolley, 1971). Thus,  $L_R$  and  $L_T$  only differ in the direction of the light scattering and result from the many air-to-cell interfaces within a leaf (Woolley, 1971; Terashima and Saeki, 1983), a relationship confirmed in our observations (Fig. 2; Supplemental Fig. S3). The largest amount of reflected PPFD occurs from the upper canopy layer, where incident radiation is greatest. Reflected PPFD from the upper canopy is lost from the canopy and not available to drive  $A$ , resulting in decreased  $Can_A$  (Fig. 7e). The decrease in  $Can_A$  is not fully compensated for by increases in  $\Phi_{CO_2}$  when  $L_R$  and  $L_T$  are set equal to the relationships in Eqs. 1 and 2 (Fig. 8b), resulting in decreased  $A$  in lower Chl canopies (Fig. 8a).



Only when  $L_R$  is reduced to a negligible value do simulated reduced Chl canopies have greater  $A_{\text{can}}$  (Figs. 8a and 9b), demonstrating that the increased reflective loss of PPFD from the leaf surface accompanying Chl reduction partially explains their reduced  $A_{\text{can}}$ .

The ability of light-green canopies to increase  $A$  when  $L_R$  is reduced to a negligible value may help explain why Chl reductions in algal cultures have resulted in increased photosynthesis and growth culture (Melis, 1999; Polle et al., 2003; Mitra and Melis, 2008; Kirst et al., 2012). In *Chlorella* cultures, light absorption is well represented by the Beer-Lambert law at a variety of cellular densities, without the necessity for consideration of reflectance (Lee, 1999). The difference in reflective properties between a leaf and an algal culture could be the result of both the decreased differences in refractive indices between the algal cell (1.047 to 1.092; Spinrad and Brown, 1986) and the aqueous culture medium (1.33) compared to leaves and the less cell-dense culture conditions, which minimize cell-to-medium light scattering. For example, in the experiments of Kirst et al. (2012), that reported improved growth of *Chlamydomonas reinhardtii* with reduced antenna complexes, cells were cultured at densities  $1\text{--}3 \times 10^6$  cells  $\text{mL}^{-1}$ . Leaf cell density is not reported directly, but can be approximated based on available data. Based on anatomical data, a single soybean leaf has approximately  $2.5 \times 10^6$  cells  $\text{cm}^{-2}$  in the palisade layers alone (Dornhoff and Shibles, 1976). Spongy mesophyll cells are smaller than palisade cells but are not packed as tightly. Assuming their cellular density per leaf surface area is similar to palisade cells, this means that a single leaf layer has a similar order of magnitude of light-scattering cells as a  $\text{cm}^3$  of algal culture. Additionally, leaves are arranged in a variety of angles relative to each other, which would further serve to increase canopy light scattering. Due to these fundamental differences between the culture properties of algae and plants, it is impossible to completely separate  $L_R$  from  $L_T$ , but even reducing the relationship between the two would increase  $A_{\text{can}}$  in reduced-Chl canopies relative to canopies with  $L_R$  according to Eq. 2.

Theoretically,  $L_T$  and  $L_R$  could be separated from each other if internal leaf architecture were simplified to limit the amount of cell-to-air interfaces. This could be accomplished by decreasing the cell number or complexity of the intercellular airspace, such as is seen in the *reticulata* mutants in *Arabidopsis* (González-Bayón et al., 2006). However, this may negatively impact the efficiency of internal  $\text{CO}_2$  diffusion and subsequent leaf photosynthesis (Syvertsen et al., 1995; Evans and von Caemmerer, 1996; Flexas et al., 2007).

### The Relationship between Chl and Photosynthetic Capacity Gives Insight to Past Work with Light-Green Canopies

We identified an important correlation between Chl and photosynthetic biochemical capacity ( $J_{\text{max}}$  and

$V_{\text{cmax}}$ ) found in naturally and chemically derived soybean accessions that drastically alters the impact of Chl reduction on canopy performance (Figs. 3 and 8; Supplemental Figs. S8, S9, and S11). The correlation between Chl and  $V_{\text{cmax}}$  had a higher  $y$ -intercept and lower slope than a relationship based on predicted N content and secondary relationships, suggesting that the model of Houborg et al. (2013) may not apply well at least to soybean. The driver linking Chl to  $J_{\text{max}}$  and  $V_{\text{cmax}}$  is currently not known, but there were cultivars that had reduced Chl without a corresponding decrease in photosynthetic biochemical capacity in the 45 lines we surveyed with gas exchange (Fig. 3). A similar inconsistent relationship between Chl and biochemical capacity was seen across soybean cultivars with years of release dates between 1923 and 2007 (Koester et al., 2014). The presence of accessions with high biochemical capacity and low Chl indicates there is not an underlying genetic linkage or immutable mechanism that links the two, and that the correlation can be avoided. The relationship between Chl and photosynthetic biochemical capacity found in naturally and chemically derived soybean accessions would hamper efforts to breed for reduced chlorophyll production lines through traditional methods, and highlights the need for targeted genetic markers or genetic engineering.

Although our findings show that, in general, Chl is correlated with biochemical performance measured as  $V_{\text{cmax}}$  and  $J_{\text{max}}$  across a broad panel of soybean mutant accessions, the case may be different in other species and dependent on the genetic and biochemical source of the mutation. A rice mutant with greatly reduced Chl was reported to have large increases in both  $V_{\text{cmax}}$  and  $J_{\text{max}}$  (Gu et al., 2017a, 2017b). Canopies of this mutant had significant increases in  $A_{\text{can}}$ . The differences between our results in soybean and this single mutant in rice could indicate species-specific differences in how nitrogen is repartitioned when chlorophyll production is impaired, or simply that the mutant they used for their study represents an exception to the general trend. Our simulations predict that the increased  $A_{\text{can}}$  of this light-green rice cultivar was likely due more to the increases in  $V_{\text{cmax}}$  and  $J_{\text{max}}$  and not from PPFD redistribution. Indeed, the rice mutant has higher  $A_{\text{leaf}}$  at saturating irradiances, indicating that the leaf capacity for photosynthesis was greater than wild type.

Furthermore, a *Nicotiana tabacum* mutant with substantially reduced Chl also reported an increase in biomass yield when grown in dense stands (Kirst et al., 2017). The discrepancy between this modeling work in soybean and this recent report in *N. tabacum* could be attributed to a variety of reasons. Our simulations were parameterized specifically for field-grown soybean, and differences in leaf thickness, arrangement, and weather conditions (the *N. tabacum* was grown in a greenhouse) could impact the results. Additionally, the *N. tabacum* mutants were harvested several days after the wild type to make up for developmental differences, which could confound the interpretation of the measured increases in biomass. Taken at face value, our

simulations indicate that the increase in growth found in *N. tabacum* likely resulted from factors other than just canopy PPFD redistribution, such as developmental differences or increases in  $V_{\text{cmax}}$  and/or  $J_{\text{max}}$  as was found in the rice cultivar.

### Pleiotropic Effects of Chl Reduction

Because past work with *Y11y11* indicates that chlorophyll reduction can be accompanied with an increase in stomatal conductance (Campbell et al., 2015; Slattery et al., 2017), what other pleiotropic effects might be expected from reduced chlorophyll mutants? Chlorophyll precursors are derived from the isoprenoid pathway, which also is involved in the biosynthesis of a myriad of plant secondary metabolites including carotenoids, gibberellins, and tocopherols (Lange and Ghassemian, 2003). Because chlorophyll reduction could be caused by any perturbation downstream of final chlorophyll biosynthesis, it is possible that molecules that share chlorophyll's biosynthetic pathway could be impacted in reduced chlorophyll plants. For example, there was a strong correlation between Chl and total carotenoid content (Supplemental Fig. S2A) that resulted in more carotenoids per chlorophyll at low Chl (Supplemental Fig. S2 similar to the relationship found in *Coffea canephora* Pierre leaves (Netto et al., 2005). Carotenoids play a role in regulating non-photochemical quenching and harvesting light energy in spectral regions that chlorophyll does not absorb strongly (Ort, 2001; Telfer et al., 2008), indicating that these processes might be impacted in low Chl mutants. Interestingly, lower carotenoid content also correlates with ABA levels in plants with transgenically impaired carotenoid synthesis (Lindgren et al., 2003). Whereas ABA triggers stomatal closure (Farquhar and Sharkey, 1982), the relationship between carotenoid content and stomatal closure was not apparent in the 45 accessions that were examined via gas exchange (Supplemental Fig. S5a). Chl reduction may also reduce the quantity of reactive oxygen species generated from overexcited reaction centers (Vass and Cser, 2009) by reducing total light energy absorption, perhaps resulting in surplus antioxidant capacity and improved stress response (Foyer and Shigeoka, 2011).

### CONCLUSIONS

Our findings indicate that whereas reductions in Chl alone are not expected to increase *A* in soybean, they can result in increased  $\Phi_{\text{CO}_2}$  and carbon fixation in individual domains of the canopy. The inability of Chl reduction to increase canopy photosynthesis results primarily from the fundamental linkage between  $L_R$  and  $L_T$ , with the vertical distribution of nitrogen being a secondary factor. Nevertheless, our simulations show that canopies can assimilate similar amounts of carbon dioxide with significant reductions in Chl. Future

efforts should focus on repartitioning nitrogen from excess chlorophyll into more beneficial investments, such as  $V_{\text{cmax}}$  and  $J_{\text{max}}$ .

## MATERIALS AND METHODS

### Field Measurements for MLCAN Parameterization

Soybean germplasm (67 lines) with previously described "light-green" phenotypes were planted in 1.5-m rows using standard agronomic practice (specific lines listed in Supplemental File S1). Seed was obtained from the United States Department of Agriculture Soybean germplasm collection and from the Fast Neutron Soybean Mutagenesis Project (<http://parrotlab.uga.edu/parrotlab/Mutagenesis/index.php>) and when available, included the parental lines of the light-green cultivar. Due to seed limitations, a single row of each variety was planted in a single randomized block, with edges bordered by wild-type soybean (*Glycine max* Merr., cultivar "Clark") rows. Leaves for analysis were harvested at two points in the growing season by predawn cutting from the plant followed by recutting the petioles under water. Plants were then transferred in a darkened container to the lab for Chl, total carotenoid, and optical property determination.  $L_R$ ,  $L_T$ ,  $L_A$ , and SPAD (Soil Plant Analysis Development; Chlorophyll Meter SPAD-502 Plus; Konica Minolta) were determined on three to five replicates of each line and Chl was determined on the same area using ethanol extraction (Ritchie, 2006). The relationship used to convert SPAD readings to Chl was calculated using an exponential function (Supplemental Fig. S13). Leaf optical properties were determined using an integrating sphere and spectroradiometer using a tungsten-halide source (Jaz Spectroclip; Ocean Optics) with a source in the visible range although it should be noted that the source has reduced output between approximately 400 nm and 500 nm, meaning that the measurements of blue light are less reliable than that for the other colors. The integrating sphere/spectroradiometer was first calibrated with a Spectralon 99% reflectance standard (Labsphere) and the black standard included with the instrument. Dark-adapted and steady-state fluorescence were measured using a fluorescence camera on leaf disks placed on agar plates.

The relationships between leaf optics and Chl that were used to define leaf optical properties in MLCAN as a function of Chl were:

$$\text{Leaf}_{fr} = 0.228e^{-0.00288(\text{Chl})} \quad (1)$$

( $R^2 = 0.50$ ) and

$$\text{Leaf}_{fr} = 0.208e^{-0.00217(\text{Chl})} \quad (2)$$

( $R^2 = 0.52$ ). The  $R^2$  values of the relationships between Chl and leaf optics (Eqs. 1 and 2) indicate that there are factors impacting leaf optics other than Chl. This is to be expected given the diverse genetic nature of the soybean accessions measured and the complex interaction of leaf anatomy with incident PPFD (Osborne and Raven, 1986) as well as measurement noise. Indeed, past work measuring the relationship of Chl with  $L_A$  observe a similar degree of variance (Osborne and Raven, 1986; Evans and Poorter, 2001). For our simulations, we assumed that these other factors would be constant in a leaf where only the Chl was reduced and used the above equations to simulate the impact of Chl on leaf optics.

The response of  $A_{\text{leaf}}$  to carbon dioxide concentration ( $A-C_i$ ) was measured in the light-green and parental cultivars to determine the relationship between Chl and photosynthetic biochemical capacity ( $V_{\text{cmax}}$  and  $J_{\text{max}}$ ). A subset of 45 cultivars was selected with a wide variation in Chl for measurements and harvested by predawn petiolar cutting and kept under partial (between 20 PPFD and 200 PPFD) illumination before measurements. A full  $A-C_i$  curve was measured for each cultivar using a model no. 6400XT (LI-COR Biosciences) and SPAD measured as a proxy for Chl. SPAD estimates of Chl based on the above calibration (Supplemental Fig. S13) were used because leaf samples were not harvested for direct determination. Measurements were made using a 2 cm<sup>2</sup> measuring area, a flow rate of 300  $\mu\text{mol s}^{-1}$ , and CO<sub>2</sub> reference concentration sequence of 400, 300, 200, 100, 50, 400, 600, 800, 1000, 1300, and 400 PPM CO<sub>2</sub>. The instrument's block temperature was maintained at 3° below ambient temperature, resulting in leaf temperatures between 25° and 30° depending on the time of day the measurement was made. Measurements were randomized and limited to the hours between 9:00 and 14:00 to avoid end-of-day photosynthetic depression.  $V_{\text{cmax}}$  and  $J_{\text{max}}$  were determined by fitting  $A-C_i$  curves and normalized

to 25°C using the temperature response of each determined previously for *Nicotiana tabacum* (Bernacchi et al., 2001, 2002, 2003; Sharkey et al., 2007). The relationship between  $V_{\text{cmax}}$  and Chl produced from this dataset was

$$V_{\text{cmax}} = 0.17(\text{Chl}) + 67.2 \quad (3)$$

with an  $R^2$  of 0.27, and the relationship between  $J_{\text{max}}$  and Chl was

$$J_{\text{max}} = 0.33(\text{Chl}) + 113.4 \quad (4)$$

with an  $R^2$  of 0.54.

Leaf nitrogen content was measured in field-harvested samples of wild type and *Y11y11* from the 2013 season on five different growing days. Samples were oven-dried for 3 d and ground using a ball mill (BT&C; Geno/Grinder) and analyzed using an elemental analyzer (4010CHNSO Analyzer; Costech Analytical Technologies). Acetanilide (National Institute of Science and Technology) was used as a standard.

Leaf soluble protein content was determined by the Bradford method (Bradford, 1976). Samples (1-cm diameter leaf disk) were collected in three plots during the 2013 season over four growing days. Tissues were rapidly frozen in liquid N, stored at -80°C, and ground by using a glass homogenizer while frozen. After extraction buffer was added, extracts were centrifuged at 18,000g at 4°C for 5 min. Supernatants were collected and mixed with a protein assay dye (Bio-Rad). Absorbance of samples along with bovine serum albumin as a standard was measured at 595 nm by microplate reader (BioTek).

## Implementing the Impact of Chl into MLCan

MLCan treats PAR as a single broadband radiation stream by dividing total downwelling radiation between long and shortwave, then further partitioning shortwave radiation between PAR and near infra-red (Campbell and Norman, 1998; Drewry et al., 2010a). We measured leaf optical properties ( $L_R$ ,  $L_T$ , and  $L_A$ ) at approximately 34 nm resolution across the PAR portion of the spectrum (400 nm to 700 nm), requiring us to aggregate leaf spectral properties to broadband PPFD values. To do this,  $L_R$ ,  $L_T$ , and  $L_A$  were averaged into 25-nm increments between 400 nm and 700 nm. Twenty-five nanometers were selected as an interval because it gave reasonable resolution across both the chlorophyll absorption spectra and the solar spectra for weighted averaging.  $L_R$ ,  $L_T$ , and  $L_A$  for each 25-nm value were then multiplied by the percentage of solar radiation incident relative to the total PAR solar radiation using a reference solar spectrum, to produce weighted values ( $L_{R'}$ ,  $L_{T'}$ , and  $L_{A'}$ ; ASTM, 2012). The weighted  $L_{R'}$ ,  $L_{T'}$ , and  $L_{A'}$  values from each 25-nm increment were then summed to produce a weighted average across the PAR spectrum, which was then fit to produce empirical allometric relationships between optical properties and Chl (Eqs. 1 and 2).

Equations 1 and 2 were used to vary leaf-level optics based on the value of Chl used in MLCan. To accomplish this, MLCan was modified so that  $L_R$ ,  $L_T$ , and  $L_A$  were varied for each 30-min time step, instead of being assigned as constant default values. In the first series of simulations representing wild-type and *Y11y11* canopies, time-step-specific Chl was interpolated from values measured for each genotype throughout the growing season (Slattery et al., 2017).  $L_R$  and  $L_T$  were calculated for each time step using Eqs. 1 and 2, and  $L_A$  determined according to  $1 - L_R - L_T$ .

Because the relationships of  $L_R$  and  $L_T$  were determined as a function of Chl, MLCan was modified to incorporate the individual relationships of both. MLCan, like many canopy models, assumes that  $L_R$  is equal to  $L_T$ , which simplifies the derivation of the extinction and scattering of radiation throughout the canopy. Leaf-level optics were scaled to the canopy-layer level by using a PPFD extinction ( $K_h$ ) and reflectance ( $\rho_h$ ) coefficient that considered  $L_R$  was not equal to  $L_T$ , allowing us to individually vary both parameters. Specifically, the original MLCan formulation of

$$K_h = (1 - \sigma)^{0.5} \quad (5)$$

where  $\sigma$  represents a scattering coefficient equal to  $L_R + L_T$  and assuming  $L_R = L_T$ , was replaced with

$$K_h = \left( [1 - \text{Leaf}_T]^2 - \text{Leaf}_R^2 \right)^{0.5} \quad (6)$$

and

$$\rho_h = \frac{1 - (1 - \sigma)^{0.5}}{1 + (1 - \sigma)^{0.5}} \quad (7)$$

was replaced with

$$\rho_h = \frac{(1 - \text{Leaf}_T - K_h)^{0.5}}{\text{Leaf}_R} \quad (8)$$

Details on the derivation of the equations and their use in MLCan can be found in Eqs. 2.20 to Eq. 2.23 in Goudriaan (1977) and Supplemental Eq. 23 in Drewry et al. (2010a). For each time-step, radiation was distributed through the canopy iteratively until all incoming radiation was either absorbed by a canopy layer or the soil, or reflected into the atmosphere to account for the intercanopy scattering and absorbance of incoming light. Soil reflectance was assumed to be 0.2.

In simulations including a scaling of  $V_{\text{cmax}}$  and  $J_{\text{max}}$  with Chl, leaf biochemistry was modified as a function of Chl for each time step using the empirical measurements according to Eqs. 3 and 4. This did not impact the simulations where Chl was constant throughout the growing season, but did have an impact when the wild-type and *Y11y11* canopies were simulated using field-measured seasonal Chl values. In all simulations Chl was considered constant through the canopy with no developmental-dependent effects. The vertical distribution of Chl was not accounted for, meaning that all leaves had the same assumed Chl for a given time step. For simulations determining the impact of uncoupling  $L_R$  from  $L_T$ ,  $L_R$  in Eq. 2 was maintained at a constant value for Chl of 450  $\mu\text{mol m}^{-2}$ , whereas  $L_T$  varied according to Eq. 1 and  $L_A$  determined as  $1 - L_R - L_T$ .

All meteorological, physiological, and ecological data are available by contacting B.J.W. For access to MLCan code, contact D.T.D.

## Simulations Comparing a Wild-Type and *Y11y11* Canopy

Field measurements from the 2013 growing season were used to parameterize the canopy simulations of wild-type and *Y11y11* soybean canopies. Seasonal leaf area density, LAI, and Chl were taken from field measurements as reported previously (Slattery et al., 2017). Photosynthetic biochemical parameters ( $V_{\text{cmax}}$  and  $J_{\text{max}}$ ) were assumed as outlined in the various modeled scenarios. Precipitation data were taken from the nearby Willard Airport weather station with radiation, windspeed, and temperature from other nearby sources as reported previously (Bagley et al., 2015).

It was a particularly wet spring with little additional precipitation during the growing season and the modeled soil moisture drove an end-of-season modeled stomatal closure. Modeled soil moisture did not agree well with field measurements, so the soil-model was constrained to never drop below measured values in the *Y11y11* or the full Chl simulations. Soil moisture was measured on a biweekly basis in a nearby plot of soybean (*cv* Pana, PI 597387) using a capacitance probe (Diviner-2000, Sentek Sensor Technologies) inserted into access tubes. Measurements were made in three access tubes at 10 cm increments between depths of 5 and 105 cm and averaged together to constrain the model. The raw data were converted to gravimetric data using a calibration determined in prepared soils (Paltineanu and Starr, 1997).

## Simulating the Impact of a Range of Chl and Nitrogen Distribution on Canopy Performance

To determine canopy performance under a wider range of Chl, the 2013 season was simulated using Chl ranging from 25  $\mu\text{mol m}^{-2}$  to 500  $\mu\text{mol m}^{-2}$ . This simulation was done both assuming and not assuming that  $V_{\text{cmax}}$  and  $J_{\text{max}}$  scale according to Chl and assuming that  $L_R$  was maintained at a value calculated when Chl = 450  $\mu\text{mol m}^{-2}$  (Eq. 2).

The impact of changes to the distribution profile of nitrogen to canopy performance was investigated in MLCan by altering the coefficient for the exponential function that represents the distribution of nitrogen through the canopy. Specifically, MLCan represents the canopy nitrogen profile, which scales  $V_{\text{cmax}}$  and  $J_{\text{max}}$  through the canopy as a function of nitrogen, according to the relationship

$$V_{\text{cmax}}(\xi) = V_{\text{cmax}}^{\text{top}} \exp[-k_n \cdot \xi] \quad (9)$$

where  $\xi$ ,  $V_{\text{cmax}}$ , and  $V_{\text{cmax}}^{\text{top}}$  is equal to the cumulative LAI,  $V_{\text{cmax}}$  at the layer being modeled and the  $V_{\text{cmax}}$  at the top of the canopy, respectively (De Pury and Farquhar, 1997). To not include the effects of having more or less total nitrogen

in a canopy as a result of changing  $k_n$ , MLCan was amended to use the relationship above with the default value of  $k_n = 0.5$  to first produce a baseline canopy nitrogen content. This baseline total nitrogen content was then scaled according to the relationship above to produce canopies with the same total amount of nitrogen but different profiles.

## Supplemental Data

The following supplemental materials are available.

**Supplemental Figure S1.** Ratio of leaf transmittance ( $L_T$ ) to reflectance ( $L_R$ ) as a function of chlorophyll content.

**Supplemental Figure S2.** Relationship between Chl content and total carotenoid content (a) and the ratio of carotenoid to Chl across the 67 reduced-Chl accessions as measured on two separate field days.

**Supplemental Figure S3.** Relationship between Chl content and leaf reflectance ( $Leaf_R$ , a) and transmittance ( $Leaf_T$ , b) determined from 67 soybean accessions with varying amounts of Chl.

**Supplemental Figure S4.** Relationship between maximum variable fluorescence and electron transport rate.

**Supplemental Figure S5.** Impact of variation in Chl content on stomatal conductance ( $g_s$ ) and rates of day respiration ( $R_d$ ) in 45 plants from various cultivars of soybean as measured by fitting photosynthetic  $CO_2$  response curves.

**Supplemental Figure S6.** Season-long incident photosynthetic photon flux density (PPFD; a), air temperature (b), precipitation (c), and  $H_2O$  vapor pressure (d) as measured in Slattery et al. (2017) and used to parameterize our season-long simulations.

**Supplemental Figure S7.** Seasonal values for measured leaf N, measured Chl content, calculated nitrogen associated with Chl (N in Chl;  $g\ m^{-2}$ ), and a lower bounds calculation of the percent leaf nitrogen associated with Chl (N in Chl; %) for DOY.

**Supplemental Figure S8.** Diurnal differences in absorbed PPF (  $\Delta PPF_{A_i}$ ; a and b), net photosynthetic  $CO_2$  assimilation ( $\Delta A$ ; c and d), and the quantum efficiency of  $CO_2$  assimilation ( $\Delta \Phi_{CO_2}$ ; e and f) between canopies with chlorophyll content (Chl) = 400 and Chl = 200 representing wild-type and reduced Chl mutants.

**Supplemental Figure S9.** Diurnal differences in absorbed PPF radiation ( $\Delta PPF_{A_i}$ ; a and b), net photosynthetic  $CO_2$  assimilation ( $\Delta A$ ; c and d), and the quantum efficiency of  $CO_2$  assimilation ( $\Delta \Phi_{CO_2}$ ; e and f) between canopies with chlorophyll content (Chl) = 400 and Chl = 200 representing wild-type and reduced Chl mutants.

**Supplemental Figure S10.** Season-long relationships between the daily midday differences in the quantum efficiency of  $CO_2$  assimilation ( $\Delta \Phi_{CO_2}$ ) among *Y11y11* soybean mutants and wild-type and net carbon assimilation ( $A_n$ ; a) and absorbed photosynthetic photon flux density ( $PPFD_{A_i}$ ; b).

**Supplemental Figure S11.** Impact of Chl content reduction on carbon fixation in simulated soybean canopies.

**Supplemental Figure S12.** Impact of changes to the coefficient of nitrogen distribution ( $k_n$ ) on the vertical distribution of nitrogen through the canopy profile compared to the PPF absorbed normalized to the uppermost canopy layer of nitrogen partitioning or absorption.

**Supplemental Figure S13.** Relationship between SPAD and Chl content as measured in various soybean cultivars with differing Chl.

**Supplemental Data.** Cultivars and gas exchange measurements.

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