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Deficits in visual working-memory capacity and general cognition in African Americans with psychosis

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Abstract

On average, patients with psychosis perform worse than controls on visual change-detection tasks, implying that psychosis is associated with reduced capacity of visual working memory (WM). In the present study, 79 patients diagnosed with various psychotic disorders and 166 controls, all African Americans, completed a change-detection task and several other neurocognitive measures. The aims of the study were to (1) determine whether we could observe a between-group difference in performance on the change-detection task in this sample; (2) establish whether such a difference could be specifically attributed to reduced WM capacity (k) ; and (3) estimate k in the context of the general cognitive deficit in psychosis. Consistent with previous studies, patients performed worse than controls on the change-detection task, on average. Bayesian hierarchical cognitive modeling of the data suggested that this between-group difference was driven by reduced k in patients, rather than differences in other psychologically meaningful model parameters (guessing behavior and lapse rate). Using the same modeling framework, we estimated the effect of psychosis on k while controlling for general intellectual ability $(g,$ obtained from the other neurocognitive measures). The results suggested that reduced k in patients was stronger than predicted by the between-group difference in g. Moreover, a mediation analysis suggested that the relationship between psychosis and g (i.e., the general cognitive deficit) was mediated by k. The results were consistent with the idea that reduced k is a specific deficit in psychosis, which contributes to the general cognitive deficit.

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The authors report no biomedical financial interests or potential conflicts of interest.

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Keywords

Psychosis; change detection; working memory; working memory capacity; Bayesian estimation; IQ

1. Introduction

Extensive prior research has investigated working-memory (WM) dysfunction in psychosis (for reviews, see Forbes et al. 2009; Lee and Park 2005; Piskulic et al. 2007). According to most definitions, WM encapsulates the storage and manipulation of temporary information (e.g., Miyake and Shah 1999). Numerous studies have reported differences in performance between patients and controls on simple visual change-detection tasks, or modifications thereof (e.g., Choi et al. 2012; Erickson et al. 2015; Glahn et al. 2003; Gold et al. 1997; Gold et al. 2010; Haenschel et al. 2007; Johnson et al. 2013; Leonard et al. 2013; Mayer et al. 2012). It is widely believed that performance on such tasks is limited by WM capacity (Cowan 2010; Luck and Vogel 2013). Therefore, reduced WM capacity may be a specific deficit in psychosis.

In the present study, patients with psychosis and controls completed a brief visual-change detection task, along with several other neurocognitive tests. The subject sample was unusual compared to those from previous studies (e.g., Johnson et al. 2013): all subjects were African Americans; the patient group comprised individuals with various diagnoses involving psychosis; and neither patients nor controls were excluded for having nonpsychotic psychiatric disorders.

The first aim of the study was to determine whether there would be a between-group difference in performance on the change-detection task, given our unusual sample characteristics. African Americans are underserved by psychiatric research, and there is a particular need to redress this balance for psychotic disorders, which are more common in this community than others (Schwartz and Blankenship 2014). Based on the foregoing literature, we expected patients to perform worse than controls, although we could not find any previous studies addressing this question in African Americans specifically. Moreover, we expected WM dysfunction to be a feature of psychosis *per se*, rather than of a specific diagnostic category (e.g., schizophrenia). We anticipated, however, that because we chose to include patients with various diagnoses, the between-group difference might be smaller in this study than in previous one. Another reason why the between-group difference might be small is that our control group included people with non-psychiatric disorders. Comorbidities are common in psychotic disorders (Addington et al. 2017). If comorbid disorders influence WM (e.g., Potvin et al. 2014; Rock et al. 2014; Stavro et al. 2013), these effects might have been conflated with the effect of psychosis on WM per se in previous studies, which typically excluded controls with any psychiatric disorder (e.g., Johnson et al. 2013).

Our second aim was to characterize performance using cognitive models. Psychophysics has a long history of applying such models to change-detection tasks. A popular category of model assumes that WM capacity is "slots-based," and allows researchers to directly

estimate the number of slots, here denoted by k (e.g., Rouder et al. 2008). Typically, previous studies collected many trials per subject in order to yield highly accurate estimates of k. However, recent theoretical work has shown that it is possible to obtain reasonable estimates of k from relatively few trials, via Bayesian hierarchical inference (Morey 2011). Here, we used this framework to estimate k, as well as the effects of covariates on k (e.g., psychosis), with greater accuracy than via traditional approaches based on maximumlikelihood estimation. The framework also allowed us to estimate other psychologically meaningful parameters, and the effects of covariates on those parameters. Based on previous research, we expected psychosis to influence k , but we did not know whether psychosis would influence the other parameters.

Patients with psychosis tend to perform worse than controls on many tasks, including composite measures of general intellectual ability, suggesting that they experience a general cognitive deficit (e.g., Dickinson et al. 2008). Our third aim was to estimate k within the context of this general deficit. Previous work has shown that k correlates at least moderately with many other measures, in patients with psychosis and healthy individuals (Johnson et al. 2013; Fukuda et al. 2010). Based on these findings, it could be argued that k constrains higher-order cognition, and also that reduced k contributes to the general cognitive deficit in psychosis. Indeed, many researchers have assumed this to be true, treating WM as the key to understanding cognitive dysfunction in psychosis (e.g., Goldman-Rakic 1994). The present study aimed to provide support for this idea, by (a) estimating the magnitude of the betweengroup difference in k while controlling for general intellectual ability; and (b) performing a mediation analysis (MacKinnon 2008). If reduced k contributes to the general deficit, there should be a between-group difference in k after controlling for differences in general ability, and k should mediate the relationship between psychosis and general ability. On the other hand, if the difference in k merely reflects the general deficit, it should be no stronger than predicted by differences in general ability, and there should be no mediation.

2. Materials and methods

2.1. Subjects

Subjects provided informed consent, and the review boards at Hartford Hospital and Yale University approved the study. Data were available from 79 patients with psychosis and 166 unaffected individuals. All subjects were African Americans from the Hartford area. Patients had various diagnoses including substantial psychotic features, namely schizophrenia $(N =$ 39), schizoaffective disorder ($N = 21$), psychotic bipolar disorder ($N = 7$), psychotic major depression ($N = 4$), and psychosis not otherwise specified ($N = 8$). Neither patients nor controls were excluded for having non-psychotic psychiatric disorders. DSM-IV diagnoses were made using structured clinical interviews (First et al. 2002) and a consensus process. Subjects were excluded for a history of major non-psychiatric medical disorders or FSIQ < 70. Table 1 provides additional information.

2.2. Change-detection task

On each trial, subjects saw a sample array containing three, four, or five circles positioned randomly on the computer screen, for 2 s (Fig. 1). After a 4-s delay, during which only a

fixation cross was shown, subjects saw a single circle, and indicated whether its position was the same as or different to one of the circles from the sample array. Feedback about response accuracy was given after responses on the first three (practice) trials, which were discarded from the analysis. No feedback was provided on the remaining trials. Responses were made using the left- and right-arrow keys on the keyboard, and response times were unlimited. After the practice trials, there were seven same trials and seven different trials per samplearray size, yielding 42 trials per subject.

2.3. Other cognitive measures

Subjects completed a test battery ("Charlie";<https://github.com/sammosummo/Charlie>) containing several other measures. We selected nine measures—which have all been used extensively in prior research, and whose psychometric properties and cognitive demands are well understood (see Table 1)—to derive a composite measure of general intellectual ability, ^g, via principal component analysis (see the Supplementary Text for details).

2.4. Bayesian hierarchical cognitive models

2.4.1. Motivation—Previous studies have often used simple formulae to calculate k from change-detection tasks (Cowan 2001; Pashler 1988). However, as discussed elsewhere (Rouder et al. 2011; Morey 2011), this approach has many limitations that can result in poor estimates of k, especially when there are relatively few trials per subject. Therefore, following Morey (Morey 2011), we analyzed the data using Bayesian hierarchical models. Here, "Bayesian" refers to the method of inference that uses Bayes' theorem to update beliefs about parameter values given the data (Gelman et al. 2013; Kruschke 2014), and "hierarchical" models are those with multiple levels of free parameters, such as a subject level and a group level (Gelman 2006). This approach provides better estimates of k than traditional methods—in fact, when there are few trials but many subjects, as was the case here, the parameter-recovery improvements are quite impressive. For proof, interested readers are encouraged to consult Morey (2011).

Besides providing better estimates of k , the Bayesian approach held other advantages. The models provided estimates of other psychologically meaningful parameters (see next section). More generally, Bayesian statistics have the potential to resolve many of the deeprooted problems of traditional null-hypothesis testing, and as a result are rapidly becoming mainstream in the psychological sciences. For contemporary discussions, see Kruschke and Liddell (2017), and Rouder et al. (2016).

2.4.2. Design—We assumed that responses in the change-detection task were made according to the decision process proposed by Rouder et al. (2008), which contains three variables: k , the number of slots; s , the probability of guessing "different" when the probed item was not remembered on a given trial; and z, one minus the probability that the subject suffered a "lapse in attention" on a given trial. This decision process formed the basis of several Bayesian models, which predicted the probabilities of hits (correct responses on different trials) and false alarms (incorrect response on same trials). The decision-process variables k, s, and z were transformations of subject-level free parameters, denoted by κ , ς , and ζ , respectively. The means of the prior distributions on these parameters $(\mu_{(x)}, \mu_{(y)})$, and

data, which differed from each other only in terms of the covariates and coefficients included in the linear functions. Figure 2 specifies this framework graphically, and the Supplementary Text provides the equations and further details about model fitting and checking.

2.5. Mediation analysis

We performed a mediation analysis to determine whether the relationship between psychosis and g was mediated by k . The independent variable was psychosis, coded so that 0 indicated a control and 1 indicated a patient. The dependent variable was g. The mediator was the mean of the subject-specific posterior distributions on κ under a cognitive model with intercepts as the only covariates on $\mu(x)$, $\mu(y)$, and $\mu(y)$. The paths in the mediation model were estimated via Bayesian inference (Yuan and MacKinnon 2009) using "default" priors (Nuijten et al. 2015), which allowed the analytic computation of Bayes factors (BFs; see the Supplementary Text for details).

3. Results

3.1. Aim 1: Between-group differences in change detection

Table 1 and Figure 3 show subjects' performance on the change-detection task. As expected, patients made fewer correct responses than controls, on average. However, the effect size (Hedge's $g^* = 0.624$) was somewhat smaller than observed in previous studies, which often reported effect sizes exceeding 1 (e.g., Johnson et al. 2013).

We speculated that the relatively modest between-group difference might be related to the fact that the patient sample included people with various psychotic disorders. We therefore tested whether the two largest diagnostic subgroups, schizophrenia and schizoaffective disorder, differed in performance using a Welch's t test, which was not significant $\left[\frac{\xi(52.0)}{2} \right]$ -0.747 ; $p = 0.458$]. We did not perform similar tests on the other subgroups due to their small sizes. The difference between patients taking and not taking antipsychotic medication was also not significant $[(47.9) = 0.997; p = 0.324]$. We further speculated that nonpsychotic psychiatric disorders might have played a role. However, Welch's t tests indicated a moderate, nominally significant difference between controls with and without a diagnosis of alcohol abuse or dependency $[(61.5) = 2.02; p = 0.048; Hedge's g* = 0.386]$, but not differences between controls with and without any other disorders ($p \ge 0.574$).

3.2. Aim 2: Effects of psychosis on k, s, and z

Our first cognitive model (Model 1) included intercepts and psychosis (coded so that 0 indicated a control and 1 indicated a patient) as covariates on $\mu_{(x)}, \mu_{(y)}$, and $\mu_{(x)}$. Table 2 summarizes the posterior distributions under this and other models. Based on inspection of the posterior means 95% highest-density regions reported in the table, it appears that psychosis had a credibly non-zero effect on k , but not on either s or z . To more concretely test the idea that psychosis influenced k , but not s or z , we fitted a simplified model (Model 2), which included an intercept and psychosis as covariates $\mu(x)$, but only intercepts on μ_{ζ} ,

and μ_{Q} . The deviance information criterion (DIC; Spiegelhalter et al. 2002) of this model was lower than that of the first model, suggesting that it was more parsimonious. We also fitted a third model (Model 3), which included only intercepts on all three means: this model had a higher DIC than Model 2, suggesting that the difference in DIC between Models 1 and 2 was not simply due to reduced model complexity.

3.3. Aim 3(a): Controlling for general ability

Table 2 shows posterior distributions on the coefficients under Model 4, which contained an intercept, psychosis, age (in decades, minus 1.8), sex (−0.5 indicating male and 0.5 indicating female), g, and an interaction between psychosis and g as covariates on $\mu(x)$. Thus, Model 4 estimated the influence of psychosis on k whilst controlling for the potential influences of other factors, including general intellectual ability. The influence of psychosis on k was lower under this model than previous models, but was still credibly non-zero—in other words, the influence of psychosis on k was larger than predicted by the general cognitive deficit. Neither age nor sex appeared to influence k. While there was a strong, credibly non-zero influence of g , the interaction term was negligible, suggesting that the relationship between g and k was similar across patients and controls.

3.4. Aim 3(b): Mediation

Figure 4 summarizes the results of the mediation analysis. Under a model without mediation, there was decisive evidence (BF = 2156; see Jeffreys, 1961) for a direct effect of psychosis on g (coefficient = -0.288). Under a model with mediation, there was decisive evidence for an *indirect* effect of psychosis on g via k (coefficient = -0.275×0.401 = -0.110 ; BF = 791), and moderate evidence for the direct effect (coefficient = -0.178 ; BF = 7.17). These results suggest partial mediation.

4. Discussion

Patients with psychosis usually perform worse than controls on simple visual changedetection tasks (e.g., Choi et al. 2012; Erickson et al. 2015; Glahn et al. 2003; Gold et al. 1997; Gold et al. 2010; Haenschel et al. 2007; Johnson et al. 2013; Leonard et al. 2013; Mayer et al. 2012). Despite several differences in sample characteristics between the present study and previous ones—all of our subjects were African Americans, patients had various psychotic disorders, and controls were not excluded for other psychiatric disorders—we replicated this basic observation. The results suggest that previous findings concerning cognitive deficits in patients with psychosis from mixed or other groups generalize to African Americans, which is an important finding in our view, because African Americans are underserved by psychiatric research, despite being disproportionately affected by psychosis (Schwartz and Blankenship 2014).

However, a limitation of the present work is that the between-group difference was smaller than typically observed. We speculated that our heterogeneous patient group and inclusion of controls with other psychiatric disorders might have contributed to the modest effect size, but found no clear evidence that these features influenced the results. Since we were not able

to identify the precise cause of this modest effect, it is difficult to gauge how generalizable our results might be to future studies.

Our cognitive models suggested that patients with psychosis had smaller k (the number of slots in visual WM) than controls. The same models suggested that there was little difference in either guessing or lapsing behavior between the groups. These findings were consistent with those of several previous studies. For example, Gold et al. (2010) found that ^k, but not the precision of WM representations, was smaller in 31 patients with psychosis and 26 controls when measured using a delayed-estimation task (Wilken and Ma 2004). Likewise, in the study by Johnson et al. (2013), 99 patients with psychosis had smaller k, on average, than 77 healthy controls, measured via a change-localization task (similar to change detection).

It is worth pointing out that the present study, like previous studies, assumed that visual WM is "slots based"—that is, that individuals can remember up to k items at once, without any loss in precision of their representations. While this view has been dominant for many years (Cowan 2010; Luck and Vogel 2013), more recently, some researchers have characterized WM capacity as a "flexible resource", that can be used to remember more or fewer items with less or greater precision, depending on the context (Ma et al. 2014). This changing perspective on visual WM may have important implications for future psychiatric research. For instance, under the flexible-resource view, patients with psychosis could do poorly on change-detection tasks due to having reduced WM resource, or for more subtle reasons, such as resource inflexibility (i.e., a difficulty in appropriately allocating the resource to taskrelevant features of the visual scene).

Patients with psychosis experience a general cognitive deficit, and it is sometimes unclear whether a between-group difference in performance on a particular task is driven by a specific or the general deficit (e.g., Reilly et al. 2016; Reilly and Sweeney 2014; Kristian Hill et al. 2015). Many previous studies have assumed that WM is specifically disrupted in psychosis (Forbes et al. 2009; Lee and Park 2005; Piskulic et al. 2007). Two findings from present study support for this assumption. First, we found that psychosis influenced k , while controlling for g . This finding complements those from the study by Johnson et al. (2013), which reported positive correlations between k and performance on many other tasks. The authors performed several detailed analyses of their data, including linear regressions which tested to what extent k could explain the between-group differences on the other tasks. Intriguingly, found that k explained about 40% of the variance in those differences, consistent with the idea that k constrains other aspects of cognition. However, they did not test the reverse of this relationship, which is also important for demonstrating that smaller k is a specific impairment in psychosis, as we did in the present study. Second, via mediation analysis, we found decisive evidence for an indirect (mediated) relationship between psychosis and general intellectual ability via k (MacKinnon 2008). However, the mediation effect was partial, not complete—in other words, psychosis appeared to influence additional aspects of cognition besides k , which also contributed to the general cognitive deficit.

Another limitation of the present study was that our change-detection task was quite brief, containing fewer trials per sample-array size and per subject that some previous studies.

Naturally, fewer trials lead to poorer estimates of k , and could have contributed to the smaller between-group difference observed in the present study than in previous ones. However, the Bayesian hierarchical models meliorated this limitation (see Morey 2011). Moreover, our task was clearly sufficient to reveal a between-group difference in k while controlling for g , and a clear mediation by k on the relationship between psychosis and g . Here, as in a previous study from our laboratory (Mathias et al. 2017), we argue that the Bayesian approach allows complex cognitive models to be applied to the data from brief neuropsychological tests completed by groups of individuals with mental illness. While this approach has gained popularity in the basic sciences (Lee and Wagenmakers 2014), it remains underused in psychiatry, where it has arguably even stronger advantages, because it is generally more difficult to collect good quality data from patients than healthy individuals.

Cognitive abilities predict functional outcome in patients with psychosis (Green 1996; Green et al. 2000). Since WM plays a crucial role in daily life, WM dysfunction could lead to difficulties in everyday functioning, for example in forming relationships or maintaining employment. Indeed, previous studies have found specific correlations between performance on WM tasks and measures of functional outcome (Bowie et al. 2008; Shamsi et al. 2011; González-Ortega et al. 2013). Based on our conclusions from the present study—namely, that k is a specific deficit in psychosis, which contributes to the general cognitive deficit we speculate that the frequently observed correlations between cognition and functional outcome might be at least partly driven by k, which could be tested in future studies.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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Figure 2.

Directed acyclic graph of the structure of all the Bayesian hierarchical cognitive models. Panels represent iterations, unfilled single-lined circles represent continuous stochastic random variables, unfilled double-lined circles represent deterministic variables, shaded squares represent discrete observed random variables, and dots represent constants. Variables and constants not defined elsewhere are D , the number of different trials; S , the number of same trials; H , the number of hits; F , the number of false alarms; M , the samplearray size; \mathbf{X}_{κ} , $\mathbf{X}_{(\zeta)}$, and $\mathbf{X}_{(\zeta)}$, design matrices for $\mu_{(\kappa)}, \mu_{(\zeta)}$, and $\mu_{(\zeta)}$, respectively.

Figure 3.

Hits, false alarms, and overall accuracy on the change-detection task. Ordinates are group means and error bars are 95% bootstrap confidence intervals.

Figure 4.

Results of the mediation analysis. Values are path strengths (posterior mean standardized regression coefficients) under a model without mediation (top figure) and a model with mediation (bottom figure).

Table 1

Subject information. Subject information.

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Table 2

Summary of the posterior distributions on the group-level free parameters under the various cognitive models.

DIC, deviance information criterion

SD, standard deviation

HDR, highest-density region