ORIGINAL ARTICLE

Optimization of extraction parameters of pentacyclic triterpenoids from *Swertia chirata* **stem using response surface methodology**

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Abstract

In the present investigation, pentacyclic triterpenoids were extracted from diferent parts of *Swertia chirata* by solid–liquid refux extraction methods. The total pentacyclic triterpenoids (UA, OA, and BA) in extracted samples were determined by HPTLC method. Preliminary studies showed that stem part contains the maximum pentacyclic triterpenoid and was chosen for further studies. Response surface methodology (RSM) has been employed successfully by solid–liquid refux extraction methods for the optimization of different extraction variables viz., temperature $(X_1 35-70 \degree C)$, extraction time $(X_2 30-60 \text{ min})$, solvent composition (*X*₃ 20–80%), solvent-to-solid ratio (*X*₄ 30–60 mlg⁻¹), and particle size (*X*₅ 3–6 mm) on maximum recovery of triterpenoid from stem parts of *Swertia chirata*. A Plackett–Burman design has been used initially to screen out the three extraction factors viz., particle size, temperature, and solvent composition on yield of triterpenoid. Moreover, central composite design (CCD) was implemented to optimize the signifcant extraction parameters for maximum triterpenoid yield. Three extraction parameters viz., mean particle size (3 mm), temperature (65 °C), and methanol–ethyl acetate solvent composition (45%) can be considered as signifcant for the better yield of triterpenoid A second-order polynomial model satisfactorily fitted the experimental data with the R^2 values of 0.98 for the triterpenoid yield ($p < 0.001$), implying good agreement between the experimental triterpenoid yield (3.71%) to the predicted value (3.79%).

Keywords *Swertia chirata* · Pentacyclic triterpenoids · RSM · Solid–liquid extraction · HPTLC

Introduction

Swertia chirata (Family: Gentianaceae) is mainly present at the higher altitudes of tropical Asia, America, Africa, and Europe regions, extensively used in traditional medicine for curing various ailments (Negi et al. [2011\)](#page-9-0). *Swertia* is one of the most prized herbal drugs and its medical practice is also stated in Indian, UK, and US pharmacopoeias (Anonymous [1976](#page-8-0)). *Swertia chirata* is widely used for blood purifcation, skin diseases, malarial fever, dropsy, digestive, cough medicine, stimulating the heart, inhibiting cancer, scanty urine, laxative, febrifuge, dyspepsia anthelmintic, carminative, antidiarrhoeic, antiperiodic, asthma, etc. (Kumar et al. [2010](#page-9-1); Negi et al. [2011;](#page-9-0) Khanal et al. [2014](#page-9-2); Kumar and Staden [2015;](#page-9-3) Mahendran and Bai [2014](#page-9-4)). The medicinal value of *Swertia chirata* is due to the presence of some important bio-active compounds, such as secoiridoids, xanthonoids, triterpenoids etc. Among them, amarogentin and swertiamarin (Bhandari et al. [2006;](#page-8-1) Gupta et al. [2011;](#page-8-2) Samaddar et al. [2013\)](#page-9-5), mangiferin (Pandey et al. [2012](#page-9-6)), oleanolic acid, and ursolic acid (Gao et al. [2015](#page-8-3) ; Kshirsagar et al. [2015\)](#page-9-7) are the most important bio-active compounds that have been considered as signifcant phytochemical markers and leading keys for the authentication of *Swertia chirata*.

Nowadays, pentacyclic triterpenoids viz., ursolic acid (UA), oleanolic acid (OA) and betulinic acid (BA) are in great demands due to its application in food and pharmaceutical industries. Recently, Silva et al. ([2016\)](#page-9-8) reported OA, UA, and BA as food supplements and pharmaceutical agents to cure Diabetes type 2. Besides potent drugs to cure diabetes, oleanolic acid (OA) exhibits anti-microbial (Jesus et al. [2015\)](#page-9-9), anti-tumor (Soica et al. [2014](#page-9-10)) anti-infammatory, and antioxidant activities (Liu [1995](#page-9-11)). Moreover, ursolic acid (UA) exhibits anti-microbial (Jesus et al. [2015\)](#page-9-9), antitumor (Bonaccorsi et al. [2008;](#page-8-4) Soica et al. [2014\)](#page-9-10) activities and betulinic acid (BA) shows anti-HIV, anti-malarial, and

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anti-cancer activities (Yogeeswari and Sriram [2005;](#page-9-12) Gheorgheosu et al. [2014](#page-8-5)). UA and OA are also known to inhibit prostate carcinoma, hepatocellular carcinoma, cervical carcinoma, lung carcinoma, and enhancing cellular immune response (Liu [1995;](#page-9-11) Yang et al. [2013\)](#page-9-13).

present in the experimental design. In the last few years. Response surface methodology (RSM) has been extensively applied in the standardization of various extraction

Thus, pentacyclic triterpenoids viz., UA, OA, and BA are industrially important plant-derived compounds, so efficient extraction is the first major step in the recovery, and refnement of these bio-active compounds. Extraction of these compounds was carried out using diferent conventional solid–liquid extraction techniques like soxhlet method (Gopal et al. [2014](#page-8-6)), refux method (Gao et al. [2015](#page-8-3)), and cold extraction (Kshirsagar et al. [2015\)](#page-9-7). Moreover, quality of herbal medicines has been afected by various extraction parameters such as temperature, extraction time, type of solvent used, composition of diferent solvents, number of extraction steps, solid-to-liquid ratio, and mean particle size (Gad et al. [2013\)](#page-8-7). In *Swertia* and other medicinal plants, different solvents such as methanol (Kshirsagar et al. [2015](#page-9-7); Gao et al. [2015](#page-8-3); Gopal et al. [2014](#page-8-6); Gupta et al. [2011](#page-8-2); Li et al. [2011\)](#page-9-14) and ethanol (Verma et al. [2013\)](#page-9-15) have been used for the extraction of UA, OA, and BA.

Optimization of extraction parameter by one factor at a time is time consuming, laborious, and inaccurate due to ignorance of the interactive efect of extraction factors on extraction yield. It is, therefore, absolutely needed to optimize the solid–liquid extraction technique recovering better yield of triterpenoids. Response surface methodology (RSM) is a statistical experimental protocol used in mathematical modeling for optimization of extraction and other bioprocess, where multiple variables or responses are

and bioprocesses techniques (Bai et al. [2010](#page-8-8); Cheok et al. [2012;](#page-8-9) Liang et al. [2013](#page-9-16); Sheng et al. [2013](#page-9-17); Wang et al. [2014](#page-9-18); Alberti et al. [2014;](#page-8-10) Ilaiyaraja et al. [2015](#page-9-19); Jacob et al. [2016](#page-9-20); Ameer et al. [2017](#page-8-11)). Therefore, RSM has been evidenced as an efficient modern tool for the screening of significant multiple variables at a time and to check the interactions between independent variables.

The present study was undertaken to optimize the level of various extraction parameters viz., extraction time, temperature, particle size, solvent composition, and solvent–solid ratio to maximize the recovery of pentacyclic triterpenoids from *S. chirata* stem.

Materials and methods

Plant material and chemicals

The whole plant material of *S. chirata* (vegetative phase) was collected from Chakrata district (Uttrakhand). Plants were authenticated by a taxonomist expert. The plant material was washed with tap water and shade dried and stored at room temperature. Before extraction, the plant materials were separated into roots, stem and leaves and were ground separately in an electric grinder.

Reagents, i.e., Hexane, Ethyl Acetate, Acetone, Ethanol, Sulphuric acid, chloroform etc., were of HPLC grade that have been procured from Sigma-Aldrich company (USA). The authentic marker compounds, i.e., UA, OA, and BA (> 98% purity), were also obtained from Sigma-Aldrich (USA). The methanol used for extraction is of AR grade (Analytical grade). The stock solutions of each marker were stored at 4 °C after prepared in methanol solvent (1 mg/ml).

Extraction of triterpenoid

Preliminary experiment was conducted for screening of potent part containing triterpenoids. The dried plant part, i.e., root, stem, and leaves 1 g each, were extracted using 50 ml of methanol solvent by heat refux method to screen the potent part containing pentacyclic triterpenoids. To assess the efect of solid–liquid extraction on the yield of triterpenoid, experiments were done using diferent extraction variables viz., temperature $(X_1 \ 35-70 \ ^{\circ}C)$, extraction time (X_2 30–60 min), solvent composition (X_3 20–80%), solvent-to-solid ratio (X_4 30–60 ml g⁻¹), and particle size $(X_5$ 3–6 mm). Dry stem powder (1.0 g) was placed in a 50 ml round bottom fask and extraction was done by refux method in temperature controlled hot water bath under different extraction conditions (temperature, diferent solvent mixtures, mean particle size, and solid–liquid ratio).

All samples were concentrated and dried under vacuum and fnally kept at 4 °C in refrigerator for further analysis. Stock solutions of 10 mg OA, UA, and BA were standardized and prepared in 10 mL of methanol solvent.

HPTLC method for estimation of triterpenoid content

The amount of OA, UA, and BA was estimated by the modifed method of Sethiya and Mishra ([2015\)](#page-9-21) using HPTLC. The HPTLC system comprised of a CAMAG (Muttenz, Switzerland) Linomat-5 automatic sample applicator and CATS software (version: 1.4.4.6337) CAMAG TLC scanner-3. The stationary phase comprised of 20 cm \times 10 cm pre-coated silica gel 60 F_{254} TLC aluminium plates with 0.25 mm layer thickness (Merck). With Linomat-5, automatic sample applicator equipped with a 100 µl Hamilton syringe; samples were applied to the plates as 6 mm-wide bands (Delivery rate of Hamilton syringe was 150 nls−1). Methanol was used as the sample solvent type.

The plates were pre-derivatized with 1% iodine solution in chloroform and post-derivatized with 10% ethanolic (v/v) H_2SO_4 . The mobile phase was Hexane–Ethyl Acetate–Acetone 8.2:1.8:0.1 (v/v) saturated in CAMAG twin trough glass chamber. Densitometric scanning was performed at 530 nm. OA, UA, and BA gave well-resolved spots at R_f 0.8, 0.62, and 0.58, respectively (Fig. [1](#page-3-0)).

Preparation of calibration curve of UA, OA, and BA

For preparation of calibration curves of UA, OA, and BA, diferent concentrations of working standard solution [2 μl (200 ng), 4 μl (400 ng), 6 μl (600 ng), 8 μl (800 ng), and 10 μl (1000 ng)] were applied to obtain Linearity Range of 200–1000 ng/spot. Densitometric scanning was performed at $\lambda = 520$ nm.

Validation of the developed method

Method validation was performed on the parameters such as linearity, limit of sensitivity, specifcity, precision, accuracy, recovery, and robustness following the methods (Wojciak-Kosior [\(2007\)](#page-9-22) with modifcations.

Experimentation and statistical study

Experimentation has been conducted in two stages, frst, Plackett–Burman design was used for the testing of important independent parameters, and then, central composite design was used to check the optimum level and possible interactions between signifcant parameters. Minitab statistical software package was used for experimental design.

Plackett–Burman model

For optimization of triterpenoid extraction from *Swertia chirata*, Plackett–Burman model has been used to evaluate the substantial parameters. Plackett–Burman design is based on the frst-order model:

$$
Y = \beta_0 + \sum \beta_i X_i \tag{1}
$$

where *Y* is the expected target function, β_0 is the scaling constant, and β_i is the regression coefficients. The effect of variables (i.e., mean particle size, temperature, solvent composition, time, and solid–solvent ratio on triterpenoid extraction were tested. Experiment was conducted at two levels in which (+) means maximum value and (−) means minimum value (depicted in Table [1\)](#page-3-1). All variables mentioned above were tested in duplicates by conducting 12 experiments (scheme depicted in Table [2](#page-4-0)). The signifcant parameters have been tested from regression analyses at 5% level ($P < 0.05$), as shown in Tables [3](#page-4-1) and [4](#page-4-2).

Central composite model

To defne the optimal level of important extraction factors already screened out by means of Placket–Burman design, a central composite design has been employed. Optimum levels of three signifcant variables, i.e., temperature, solvent composition, and mean particle size on triterpenoid

Fig. 1 a Chromatogram of betulinic acid (standard). **b** Chromatogram of ursolic acid and oleanolic acid (standard). **c** Chromatogram of *Swertia chirata* stem. **d** Chromatogram of *Swertia chirata* leaf. **e** Chromatogram of *Swertia chirata* root

Table 1 Diferent extraction parameters used for triterpenoid extraction from *Swertia chirata*

Variable code Variables		High level $(+)$ Low level $(-)$	
X_1	Temperature	70° C	35° C
X_2	Time	60 min .	30 min.
X_3	Solvent composition (methanol and ethyl acetate ratio)	80% (v/v)	20% (v/v)
X_4	Solvent: solid ratio	$60:1 \, (\text{ml/g})$	$30:1 \ (ml/g)$
X_{5}	Particle size	6 mm	3 mm

extraction, were studied (Table [5\)](#page-4-3). In this design, five variable levels were tested, i.e., $-a$, -1 , 0, $+1$, $+a$ ($a = 2^{n/4}$), where n is the sum of variables and 0 relates to the central point (as shown in Table [5\)](#page-4-3). This number of variable levels was selected for our experimental preliminary work.

Optimal level for each signifcant variable has been calculated with equation, as given by Paul et al. ([1992\)](#page-9-23):

Coded value =
$$
\frac{\text{actual level} - (\text{high level} + \text{low level})/2}{(\text{high level} - \text{low level})/2}.
$$

\n(2)

The designed experimentation scheme is given in Table [6](#page-5-0). Total triterpenoid content has been evaluated by applying second-order polynomial equation, as given below:

$$
Y = \beta_0 + \beta_1 X_1 + \beta_3 X_3 + \beta_5 X_5
$$

+ $\beta_{11} X_1^2 + \beta_{33} X_3^2 + \beta_{55} X_5^2$
+ $\beta_{13} X_1 X_3 + \beta_{15} X_1 X_5$
+ $\beta_{31} X_3 X_1 + \beta_{35} X_3 X_5$
+ $\beta_{51} X_5 X_1 + \beta_{53} X_5 X_3$ (3)

in which *Y* shows the predicted response, β_0 is a scaling constant; X_1 , X_3 and X_5 show the levels of the extraction factors; β_1 , β_3 , and β_5 are linear coefficients; β_{11} , β_{33} , and β_{55} are quadratic coefficients; and β_{13} , β_{15} , β_{31} , β_{35} , β_{51} , and β_{53}

Table 2 Yield of total triterpenoid from *Swertia chirata* using diferent extraction variables

Table 4 Analysis of variance

for % triterpenoid

Table 5 Treatment variables for optimization of triterpenoid extraction from *Swertia chirata* using central composite design

are the interactive coefficients. Regression coefficients and analysis of variance (ANOVA) have been assessed for total triterpenoid content from *Swertia chirata*. Contour plots of % triterpenoids for each interactive coefficient have been drawn with the help of Minitab statistical software package (Fig. [2\)](#page-6-0).

Results and discussion

In this study, quantitative estimation of triterpenoids in different extracts was quantifed by HPTLC methods. HPTLC fngerprinting (Fig. [1c](#page-3-0)–e) on diferent parts of *Swertia chirata* showed that triterpenoids were present highest in stem parts (3.41%) followed by leaf (2.42%) and root (2.11%) of plants. Table [9](#page-8-12) shows the analytical characteristics of validation of triterpenoids, i.e., UA, BA, and OA.

Table 6 Central composite design criteria of signifcant extraction variables with total % triterpenoid content (experimentation as well as prediction value)

Screening out signifcant extraction variables

Plackett–Burman design criterion has been used to evaluate the impact of five different independent variables on triterpenoid yield (Table [1\)](#page-3-1). In this scheme, selected designed matrix and the resultant total % triterpenoid content obtained from *Swertia chirata* stem are given in Table [2](#page-4-0). The effect of extraction parameters on triterpenoid extraction was screened by means of regression analysis (Table [3\)](#page-4-1). Only three parameters, i.e., temperature, solvent composition, and mean particle size, had shown signifcant efect on triterpenoid extraction as revealed by their *P* values at 5% level (*P* < 0.05 values shown in Table [3\)](#page-4-1) (Fig. [3\)](#page-7-0). In our experiment, triterpenoid content as attained by Plackett–Burman design has indicated disparity up to 2.65–3.98%.

Efect of extraction variables on triterpenoidal yield

In this scheme, total 20 experiments have been conducted by means of Central Composite design. Table [6](#page-5-0) evidently shows experimental values along with predicted values that have been attained by the model equation. The quadratic model contribution was significant (0.0001) and R^2 and R^2 adj values of 0.98 and 0.96, respectively, and lack of ft was insignifcant (0.051), confrming the model adequacy. Multiple

regression analysis has been applied on the investigational data, which gives the second-order polynomial equation as follows:

$$
Y = 3.56223 + 0.33083X_1 + 0.07326X_3
$$

- 0.37860X₅ - 0.29331X₁² - 0.15012X₃²
- 0.23497X₅² + 0.09000X₁X₃ - 0.10500X₁X₅. (4)

The effects of temperature (X_1) , solvent composition (X_2) , and mean particle size (X_5) on triterpenoid extraction are reported in Table [7](#page-7-1). Regression coefficients obtained from the investigation data had revealed the signifcant positive linear effects of temperature and solvent composition, whereas particle size has shown negative linear impact on triterpenoid content (Table [7\)](#page-7-1). Out of three parameters, temperature has shown maximum impact on triterpenoid yield that was assumed by means of their maximum linear coefficient value (0.327) followed by solvent composition (0.073) and mean particle size (-0.378) (-0.378) (-0.378) . Table 7 indicates that interactive efect of temperature and solvent composition (X_{13}) significantly affects the triterpenoid yield. However, the interactive effect of temperature and particle size (X_{15}) had significant effect on triterpenoid extraction, while solvent composition and particle size (X_{25}) had not found to be signifcant on pentacyclic triterpenoid yield. Therefore, only interaction between temperature and solvent composition (X_{13}) and temperature and particle size (X_{15}) were shown

Fig. 2 a Contour plot for triterpenoids extraction at varying level of temperature and solvent composition (% methanol in methanol–ethyl acetate mixture). **b** Contour plot for triterpenoid extraction at varying level of particle size and solvent composition (% methanol in methanol–ethyl acetate mixture). **c** Contour plot for triterpenoid extraction at varying level of particle size and temperature

in the above said model regression [Eq. ([4\)](#page-5-1)]. The quadratic efect of variables was found to be signifcant for all the responses such as temperature (X_1^2) , solvent composition (X_3^2) and mean particle size (X_5^2) . In *Swertia chirata*, analysis of variance for the triterpenoid yield from our designed criterion is given in Table [8](#page-7-2).

Contour plot maps of diferent levels of temperature, solvent composition, and particle size on the triterpenoid yield are displayed in Fig. [3a](#page-7-0)–c. As shown in Fig. [3a](#page-7-0), the maximum triterpenoid yield was obtained in keeping the extraction temperature 65 °C and solvent composition of 50% methanol. This refects that both temperature and solvent composition have strong effect on the triterpenoid yield, as there is increase in the temperature the viscosity of the solvent decreased which leads to increase in the wetting of the matrix and solubilization of the solutes. Moreover, due to increase in the temperature more energy breaks, the analyte–matrix bond thus increase difusion of these analytes in the solvents. This increase in extraction yield of triterpenoids was also observed by Fang et al. [\(2010\)](#page-8-13). Increase in polarity of solvent composition (% methanol–ethyl acetate) leads to better yield of triterpenoids, but further increase in polarity of solvent did not afect the solubility of the analyte in the solvent. Figure [3b](#page-7-0) shows the evolution of triterpenoid yield according to mean particle size and solvent composition (% methanol in methanol–ethyl acetate mixture). Here, the increase in % triterpenoid yield at 45% methanol–ethyl acetate mixture and particle size 3 mm. A further decrease in the particle size (3 mm) and increase in 45% methanol–ethyl acetate composition had not increased the yield of triterpenoid. Figure [3c](#page-7-0) shows the evolution of triterpenoid yield according to extraction temperature and a mean particle size. Maximum extraction of triterpenoid occurs at 3 mm mean particle size and 65% methanol–ethyl acetate solvents. Banik and Pandey ([2008\)](#page-8-14) found the similar types of results on extraction of triterpenoids in which temperature, mean particle size, and solvent composition play a vital role (Table [9](#page-8-12)).

Validation of the model

The experimental data were ftted into the model equation [\(4\)](#page-5-1) and the optimum values were found to be: extraction temperature (65 $^{\circ}$ C), mean particle size (3 mm), and solvent composition (45% methanol in methanol–ethyl acetate mixture). At these optimum levels of extraction parameters, total triterpenoid extracted from *Swertia chirata* stem was 3.71%, which is very close to the predicted value of 3.79%. The experimental data of triterpenoid extraction were accurately developed to the mathematical model.

Conclusion

Due to the increase economic relevance of triterpenoids, this study was conducted to optimize the extraction parameters for maximum triterpenoid yield. The total pentacyclic tripertenoid (OA, UA, and BA) were determined in stem, leaf, and root parts of *Swertia chirata*. HPTLC fngerprinting

Table 7 Estimated regression coefficients for % triterpenoid extraction from *Swertia chirata* using central composite design (coded units)

Table 8 Analysis of variance for triterpenoids extraction from *Swertia chirata* using central composite design criterion

 $R-Sq = 97.69\% R-Sq(pred) = 84.79\% R-Sq(adj) = 95.61\%$

Fig. 3 Comparative effect of temperature, time, solvent composition, solid–solvent ratio, and particle size on triterpenoidal yield

showed that stem part was the potent part containing pentacyclic triterpenoids followed by leaf and root. The fve variables were tested using Plackett–Burman design and three variables exerted signifcant efects on triterpenoid yield from *Swertia chirata* stem. To optimize the triterpenoid yield from *Swertia chirata* stem, RSM has been efficaciously applied using the screened extraction variables. The optimum levels were found to be: extraction temperature (65 °C), mean particle size (3 mm) and solvent composition (45% methanol in methanol–ethyl acetate mixture). At these optimum levels of extraction parameters, total triterpenoid extracted from *Swertia chirata* stem was 3.71%, which is very close to the predicted value of 3.79%. The experimental data of triterpenoid extraction were accurately developed

a Four concentration levels in triplicate

^bSD is the standard deviation of the blank response and S is the slope of the calibration plot

to the mathematical model. This is the frst study report of the optimization of triterpenoid extraction parameters from *Swertia chirata* stem.

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Compliance with ethical standards

Conflict of interest We declare that we have no confict of interest.

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