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## **Selective synaptic connections in the retinal pathway for night vision**

## **Deborah L. Beaudoin**1, **Mania Kupershtok**1, and **Jonathan B. Demb**1,2,3,4,5

<sup>1</sup>Department of Ophthalmology & Visual Sciences, University of Michigan, Ann Arbor, MI 48105

<sup>2</sup>Department of Molecular, Cellular & Developmental Biology, University of Michigan, Ann Arbor, MI 48105

<sup>3</sup>Department of Ophthalmology & Visual Science, Yale University, New Haven, CT 06511

<sup>4</sup>Department of Cellular & Molecular Physiology, Yale University, New Haven, CT 06511

## **Abstract**

The mammalian retina encodes visual information in dim light using rod photoreceptors and a specialized circuit: rods→rod bipolar cells→AII amacrine cell. The AII amacrine cell uses signconserving electrical synapses to modulate ON cone bipolar cell terminals and sign-inverting chemical (glycinergic) synapses to modulate OFF cone cell bipolar terminals; these ON and OFF cone bipolar terminals then drive the output neurons, retinal ganglion cells (RGCs), following light increments and decrements, respectively. The AII amacrine cell also makes direct glycinergic synapses with certain RGCs, but it is not well established how many types receive this direct AII input. Here, we investigated functional AII amacrine→RGC synaptic connections in the retina of the guinea pig (*Cavia porcellus*) by recording inhibitory currents from RGCs in the presence of ionotropic glutamate receptor (iGluR) antagonists. This condition isolates a specific pathway through the AII amacrine cell that does not require iGluRs: cone→ON cone bipolar cell→AII amacrine cell→RGC. These recordings show that AII amacrine cells make direct synapses with OFF Alpha, OFF Delta and a smaller OFF transient RGC type that co-stratifies with OFF Alpha cells. However, AII amacrine cells avoid making synapses with numerous RGC types that costratify with the connected RGCs. Selective AII connections ensure that a privileged minority of RGC types receives direct input from the night-vision pathway, independent from OFF bipolar cell activity. Furthermore, these results illustrate the specificity of retinal connections, which cannot be predicted solely by co-stratification of dendrites and axons within the inner plexiform layer.

## **Keywords**

retina; AII amacrine cell; retinal ganglion cells; synapse; scotopic vision; rod bipolar cell

<sup>5</sup>Corresponding author (jonathan.demb@yale.edu).

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## **1 Introduction**

Mammalian retinal circuitry comprises parallel pathways for visual processing (Euler et al., 2014; Demb and Singer, 2015). At the first stage, the photoreceptor array includes rods and cones, which are specialized for encoding either dim or bright light, respectively. Rods and cones make selective synaptic connections with dedicated glutamatergic interneurons, the bipolar cells (Euler et al., 2014). Rod bipolar cells receive inputs exclusively from rods and are ON-type cells, i.e., they depolarize to light increments. Cone bipolar cells receive inputs primarily or exclusively from cones and they are either ON- or OFF-type cells, i.e., they depolarize to either light increments or decrements. The ON or OFF response depends on the bipolar cell's glutamate receptors: metabotropic type 6 (mGluR6) for ON bipolar cells and ionotropic (iGluR) for OFF bipolar cells (Euler et al., 2014). Cone bipolar cells further divide into over a dozen types with unique patterns of morphology, protein expression and light response (Wässle et al., 2009; Borghuis et al., 2013; Euler et al., 2014; Lindstrom et al., 2014; Ichinose and Hellmer, 2016; Shekhar et al., 2016; Franke et al., 2017). Furthermore, some OFF cone bipolar cell types receive a portion of their synapses directly from rods (Soucy et al., 1998; Hack et al., 1999; Li et al., 2004; Protti et al., 2005; Pang et al., 2012; Euler et al., 2014; Behrens et al., 2016).

Rod and cone bipolar cells differ markedly in their postsynaptic partners. Cone bipolar cells make synapses with retinal ganglion cells (RGCs), the output neurons of the retina, whereas rod bipolar cells instead make synapses with a specialized interneuron, the AII amacrine cell (Bloomfield and Dacheux, 2001; Demb and Singer, 2012). The rod bipolar cell is an ONtype cell and depolarizes the AII at light onset (Nelson, 1982; Singer and Diamond, 2003; Ke et al., 2014). The AII cell enables communication between the rod system and both ON and OFF pathways of the cone system using two categories of output synapse (Figure 1a). The AII arboreal dendrites electrically couple to the ON cone bipolar terminals in the inner half of in the inner plexiform layer (IPL), thereby mediating glutamate release at light onset. Additionally, depolarization at light onset causes Ca influx through voltage-gated L-type channels into AII lobular appendages (Habermann et al., 2003; Balakrishnan et al., 2015); these lobules in turn release glycine onto OFF cone bipolar terminals in the outer half of the IPL, thereby inhibiting the OFF system at light onset (Mazade and Eggers, 2013). The relief of this inhibition from OFF cone bipolar terminals mediates glutamate release at light offset (Müller et al., 1988; Demb and Singer, 2012; Borghuis et al., 2014).

In addition to their numerous synapses with cone bipolar terminals, AII amacrine cells make a smaller number of glycinergic synapses with RGC dendrites (Kolb and Nelson, 1993; Strettoi et al., 1992; Anderson et al., 2011; Demb and Singer, 2012; Marc et al., 2014). These AII→RGC synapses can be studied using patch clamp recording in bright light, because the cone bipolar system co-opts the AII circuit for a separate purpose in daylight vision. In bright light, cones drive ON cone bipolar cells to stimulate AII amacrine cells through the electrical synapse in a direction opposite to that in the night vision circuit (Figure 1b). Notably, the cone→ON cone bipolar→AII→OFF RGC circuit uses mGluRs, electrical synapses and glycinergic synapses, respectively, and does not require an iGluR (Cohen, 1998; Xin and Bloomfield, 1999; Trexler et al., 2005; Pang et al., 2007; Beaudoin et al., 2008; Manookin et al., 2008; Murphy and Rieke, 2008; Münch et al., 2009; Ke et al.,

By recording light-evoked inhibition in the presence of iGluR antagonists, it has been shown that AII cells release onto specific RGC types. In the mouse, this includes monostratified OFF Alpha (OFF-T) and OFF Delta (OFF-S) RGCs that could be readily targeted based on their large soma size (Murphy and Rieke, 2008; Münch et al., 2009; Ke et al., 2014; van Wyk et al., 2009). Furthermore, a bistratified RGC type, the suppressed-by-contrast (SbC) cell also apparently receives a direct input (Jacoby et al., 2015). In the guinea pig, the OFF Alpha cell receives a direct input from the AII amacrine cell (Manookin et al., 2008; Beaudoin et al., 2008), and it was proposed that a second type, the G5 RGC, does as well based on the sensitivity of its inhibition to a gap junction blocker (Liang and Freed, 2010). However, it is not well understood how commonly RGC types receive direct AII synapses, because most studies have focused on a small number of types. EM studies suggest that the AII lobules frequently make physical contact with RGC dendrites but lack synaptic specializations, suggesting that the AII may form synapses with only a few privileged RGC types (Marc et al., 2014).

2005; Maxeiner et al., 2005; Veruki and Hartveit, 2002).

Here, we targeted small cell bodies in the guinea pig retina to sample an array of RGC types beyond the most commonly studied types with large cell bodies. We identified AII synaptic connections based on persistent inhibitory synaptic input in the presence of iGluR antagonists. Our results suggest that a small fraction of RGC types receive direct input from the AII amacrine cell, enabling a direct connection for night vision independent of OFF bipolar terminals (Figure 1a); this direct AII connection would also mediate inhibition at light onset for twilight and daylight vision (Figure 1b). More generally, our results show that co-stratification of RGC dendrites is insufficient to predict connectivity with AIIs, ruling out a simple principle whereby proximity predicts connectivity in the retina's IPL (Peters and Feldman, 1976; Stepanyants and Chklovskii, 2005).

## **2 Materials and Methods**

### **2.1 Tissue preparation and electrophysiology**

The experimental protocol was the same as that described in detail previously (Manookin et al., 2008; Beaudoin et al., 2007, 2008). Briefly, a Hartley guinea pig, housed in a 12/12 h light/dark cycle, was anesthetized with ketamine (100 mg/kg) and xylazine (10 mg/kg) in dim red light. The animal was then decapitated, the eyes were removed, and the retinas were hemisected in dim white light using a dissection microscope. All procedures conformed to the National Institutes of Health and University of Michigan guidelines for use and care of animals in research. Each retina was prepared as an eyecup, with the retinal pigment epithelium and sclera attached and was typically cut in half along the vertical midline. For

each piece of retina, a single cell was studied on the dorsal side, and drugs were applied only once. The retina was mounted on filter paper, with holes punched to allow through transmitted light. The piece of retina was stored in carbogenated (95%  $O_2$ , 5%  $CO_2$ ) Ames medium (Sigma) in a dark container until the time of recording, at which point it was maintained in a chamber on a microscope stage and superfused (6 ml/min) with Ames medium heated to 33–35 deg C.

The retina and patch electrode were visualized using a CCD camera, as described previously, mounted on an Olympus BX51WI microscope. Most cells were targeted based on small soma size (<20 μm diameter) to complement previous recordings of cells with larger soma size; however, some cells with large somas were also recorded for comparison. The patch electrode (tip resistance,  $3-6 \text{ M}\Omega$ ) was filled either with Ames medium, for loose patch recording of spikes, or an intracellular recording solution, for whole-cell voltageclamp measurements. The intracellular solution contained (in mM): 120 cesiummethanesulfonate, 5 tetraethylammonium (TEA)-Cl, 10 HEPES, 3 NaCl, 10 BAPTA, 2 QX314-Cl, 2 ATP-Mg<sup>2+</sup>, 0.3 GTP Na<sup>+</sup> and 0.1% Lucifer Yellow, titrated to pH 7.3. Chemicals were purchased from either Sigma or Invitrogen. The iGluR antagonists CNQX (200  $\mu$ M) or DNQX (100  $\mu$ M) and D-AP5 (100  $\mu$ M), purchased from Tocris Biosciences, were added to the Ames medium to isolate a presumed contribution from the AII amacrine cell circuit (Manookin et al., 2008).

Membrane current was recorded at 10 kHz using a MultiClamp 700A amplifier, Digidata 1322A analog-to-digital board and pClamp 9 software (Molecular Devices). Junction potential (−9 mV) was corrected, as was an error in the holding potential introduced by series resistance, as described previously (Manookin et al., 2008, 2010). Recordings were typically made at five or more holding potentials. Excitatory currents were recorded at or near the presumed reversal of inhibitory Cl channels ( $E_{inh} = -67$  mV), and inhibitory currents were recorded at or near the presumed reversal of excitatory cation channels ( $E_{\text{exc}}$  = 0 mV). In many cases, recordings were made at holding potentials within 15 mV on either side of the exact reversal potential, and the final measurement represents a weighted average relative to the difference of each holding potential from the reversal potential. For example, the average inhibitory current would weight recording at −5 mV and +10 mV in a 2:1 ratio. Synaptic current measurements were converted to synaptic conductance after dividing the current by the driving force: −67 mV for excitatory current and +67 mV for inhibitory current.

#### **2.2 Visual stimulus and analysis**

A visual stimulus was displayed on a miniature monochrome monitor  $(640 \times 480)$  pixels, 60 Hz vertical refresh; Lucivid MR1–103; Microbrightfield) projected through the  $4\times$  objective lens and focused on the photoreceptors, as described previously (Manookin et al., 2008). The output was linearized using a gamma correction and the stimulus was generated using Matlab (Mathworks) and the psychophysics toolbox (Brainard, 1997). Based on prior calculations, we estimate that the mean luminance generates  $\sim 10^4$  photoisomerizations (P<sup>\*</sup>) per rod and  $-5 \times 10^3$  P\* per M-cone, which dominate the superior retina (Yin et al., 2006).

Thus, the stimuli generate a mix of rod and cone responses with a strong stimulation of cone bipolar cell circuitry (Manookin et al., 2008; Beaudoin et al., 2008).

After locating a soma, a loose-patch spike recording was made of contrast-reversing spots of different diameters (200, 400, 600 and 800 μm) and contrast-reversing square-wave gratings (400, 800, 1200 and 1600 μm diameter grating patch, spatial frequency 5.3 cycles/mm) presented for three seconds each. Stimuli were 100% contrast with a 1-Hz reversal frequency. Direction-selective (DS) cells were identified using stimuli that moved in eight or twelve equally spaced directions. One stimulus was a moving light bar  $(100 \times 200 \,\mu m)$  that moved along their long edge at 0.5 mm sec<sup>-1</sup>. A second stimulus was a drifting square-wave grating (1.6 × 1.6 mm patch; spatial frequency 5.3 cycles mm<sup>-1</sup>; 1-Hz temporal frequency). Stimuli were 100% Weber contrast (bar stimulus) or Michelson contrast (grating stimulus).

Cells were classified as ON, OFF or ON-OFF based on spike responses to the contrastreversing spots. The DS cells corresponded to the ON-OFF and ON types identified previously in guinea pig and other species (Koch et al., 2006; Taylor and Vaney, 2012). Some cells also showed apparent orientation selectivity, as described in Results.

Following spike recordings, the cell was recorded with a second electrode in whole-cell voltage-clamp mode. Responses were measured to a contrast-reversing spot (100% Michelson contrast, 1-Hz, 200-μm diameter) presented for four seconds at each of several holding potentials. The response to the spot was the average of the last three cycles of the four-second stimulus and is plotted relative to the holding current measured before stimulus onset. This experiment was repeated after blocking iGluRs.

#### **2.3 Confocal imaging**

The morphology of recorded cells was studied using methods described in detail previously (Manookin et al., 2008). Following a voltage-clamp recording, the piece of retina was fixed in 4% paraformaldehyde in 0.1 M PBS at room temperature. The tissue was subsequently incubated in 6% normal donkey serum (NDS; 1 h) and 1% Trixon X-100; rinsed and incubated overnight at 4 deg C in blocking buffer (2% NDS; 0.2% Triton X-100 in 0.05 M TBS), goat anti-ChAT polyclonal antibody (1:200 dilution; Millipore; RRID: AB\_2079751) and rabbit anti-Lucifer Yellow polyclonal antibody (1:2000 dilution; ThermoFisher Scientific; RRID: AB\_2079751) (Table 1). These primary antibodies were used to label the ChAT bands and the filled RGC, respectively; both have been used in numerous previous studies for this purpose (Manookin et al., 2008; Park et al., 2015). The tissue was subsequently rinsed and incubated in secondary antibodies: donkey anti-goat-Cy3 (1:200 dilution; Jackson ImmunoResearch; RRID: AB\_2307351) and donkey anti-rabbit-FITC (1:400 dilution; Jackson ImmunoResearch; RRID: AB\_2315776) (Table 2) in blocking buffer. The tissue was rinsed and incubated in 0.2% ToPro-3 iodide (Invitrogen) in 0.1 M PBS, rinsed and then mounted with Vectashield (Vector Laboratories) and coverslipped. Glass spacers were inserted on either side of the tissue to prevent compression (Manookin et al., 2008).

A filled cell was imaged with an Olympus FluoView 300 confocal microscope, as described previously (Manookin et al., 2008). The dendritic field of the cell was captured with a  $40\times$ 

oil objective (NA, 1.3). The z-stack (2-μm interval) was projected, and, when necessary, multiple images were assembled in Adobe Photoshop. The dendritic field area was estimated by drawing a polygonal boundary around the tips of the peripheral dendrites. We report the diameter of a circle with the same area as the measured polygon.

To measure dendritic tree stratification, one or two z-stacks were taken at higher resolution  $(60 \times$  oil objective, NA 1.4) at 0.5-µm intervals. Within these stacks, we measured the fluorescence profiles as a function of slice number for between three and nine  $46 \times 46$  µm regions of interest. These were positioned within peripheral dendrites, away from the primary dendrites rising from the soma. Peak fluorescence was determined using methods described previously for the filled cell and for two bands defined by cholinergic acetyltransferase (ChAT) immunofluorescence, which we refer to as the ChAT bands (Figure 2a, b) (Manookin et al., 2008; Park et al., 2015). We measured the position of a filled cell's dendrites relative to the ChAT bands, where 0 is the position of the inner ChAT band, representing the dendrites of ON-type starburst amacrine cells; and 1 is the position of the outer ChAT band, representing the dendrites of OFF-type starburst amacrine cells (Manookin et al., 2008). We analyzed cell morphology by plotting dendritic field diameter versus the normalized stratification of the dendrites relative to the ChAT bands (Figure 2c).

## **3 Results**

A major objective of this study was to sample a range of RGC types that could be identified based on a combination of structural and functional criteria and then assayed for a direct AII amacrine cell connection. To this end, we report on recordings from 108 RGCs in the guinea pig retina. This included 28 RGCs with large cell bodies (>20 μm diameter), which comprised OFF Alpha ( $n = 10$ ), OFF Delta ( $n = 9$ ) and ON Alpha cells ( $n = 9$ ). These RGC types have been described in detail previously (Demb et al., 2001; Manookin et al., 2008, 2010; Homann and Freed, 2017). In addition, we targeted smaller cell bodies (n = 80 cells). For most cells with small somas, and a subset with large somas, we obtained confocal images and characterized two parameters of the dendritic field, the diameter and the level of stratification ( $n = 86$  cells). Example measurements of dendrite stratification are shown in a side projection of a region of interest ( $46 \times 46$  µm; see Materials and Methods) within the confocal z-stack of a monostratified RGC (Figure 2a) and a bistratified RGC (Figure 2b). Dendrite stratification was normalized to the position of the inner and outer ChAT bands, which mark the ON and OFF starburst amacrine cell dendrites, respectively, and roughly divide the IPL into thirds (Manookin et al., 2008; Figure 2c).

We refer to stratification below by layers, where Layer 1 (L1) indicates the boundary between the IPL and the ganglion cell layer (GCL) and Layer 10 (L10) indicates the boundary between the IPL and the inner nuclear layer (INL). In this context, the ChAT bands were measured previously at  $42\%$  (ChAT<sub>inner</sub>) and  $75\%$  (ChAT<sub>outer</sub>) depth within the IPL (Manookin et al., 2008), and accordingly we aligned the ChAT bands here to L4 and L7.5 (Figure 2c) (Li et al., 2016). We associate each RGC type with the layer of its peak fluorescence. ON cells occupied  $L3 - 5$ , and OFF cells occupied  $L7 - 9$ ; ON-OFF monostratified cells occupied L6, which is the boundary between ON and OFF bipolar cell terminals (Figure 2c). There were no RGCs with peak stratification in L1 and L10, which

align with the nuclear boundaries on either side of the IPL and therefore contain relatively few processes (Manookin et al., 2008). There were also no RGCs with peak stratification in L2, which is presumably occupied to a large extent by rod bipolar terminals (Lee et al., 2003).

For a typical RGC, we first made a loose-patch recording of spike responses to spots of varying diameters. Subsequently, we probed for direction-selectivity using moving bars and drifting gratings (see Materials and Methods). These stimuli helped categorize ON, OFF and ON-OFF cells as well as identify the well-studied ON and ON-OFF direction-selective (DS) RGCs (Figure 3). Following this initial characterization, we used a second electrode to make a whole-cell recording in response to a contrast-reversing spot stimulus. The spot was 200 μm diameter, except for ON Alpha cells, which typically responded poorly to a small stimulus and were instead studied with a larger spot (400 to 600-μm diameter). In addition to morphology (Figure 2) and direction- and orientation-selective responses (Figure 3), RGCs were grouped primarily by the time course of their excitatory conductance to the contrastreversing spot stimulus (Figures 4–6). In some cases, cells were further distinguished by their input resistance  $(R_{in})$ , which was calculated from the baseline currents measured at two holding potentials within 20 mV of the resting potential (Figure 4–6).

## **OFF cells**

The OFF RGC types stratified between L7 and L9 (Figure 2c). L9 contained the wellstudied OFF Delta cell (OFF Delta-L9) defined partly by its large soma, which was used to target these cells, as well as its wide dendritic field (Manookin et al., 2008; Homann and Freed, 2017; Figure 2c). OFF Delta cells had sustained excitatory conductance to light offset (Figure 4a) and a relatively low  $R_{in}$  (<100 M $\Omega$  in most cases; Figure 4g). The excitatory and inhibitory conductances to the spot stimulus are shown in normalized coordinates (i.e., the response divided by its SD; Figure 4a). The maximum excitatory conductance for each cell is also plotted relative to its  $R_{in}$  (Figure 4g).

We also encountered, though rarely, an OFF Transient-Sustained type (OFF TS-L8; Figure 4b) that stratified in L8 and could be distinguished from the OFF Delta cell based on its smaller soma size, smaller dendritic tree (Figure 2c) and higher  $R_{in}$  (Figure 4g). An additional group of miscellaneous cells (OFF Misc-L8) could likewise be distinguished from OFF Delta cells based on smaller soma size, smaller dendritic tree size (Figure 2c) and higher  $R_{in}$  (Figure 4g). These OFF Misc-L8 cells may include more than one type but were not divided further based on the available data (Figure 4c).

L7 contained three RGC types (Figure 2), including the familiar OFF Alpha cell (OFF Alpha-L7) defined partly by its large soma used to target these cells, as well as its wide dendritic tree (Demb et al., 2001; Beaudoin et al., 2007; Manookin et al., 2008; Figure 2c). OFF Alpha cells had relatively transient light responses to negative contrast (Figure 4d) and relatively low R<sub>in</sub> (<100 MΩ in most cases; Figure 4g). Two other RGC types co-stratified with OFF Alpha cells, which we refer to as OFF Transient types 1 and 2 (OFF T1-L7, OFF T2-L7; Figure 4e, f). OFF T1 and T2 cells could be distinguished from OFF Alpha cells based on smaller dendritic trees (Figure 2c) and relatively higher  $R_{in}$  (>100 M $\Omega$  in most cases; Figure 4g). OFF T1 and T2 cells could be further distinguished from one another

based on two measured properties. OFF T1 cells had a relatively extended time course of excitatory conductance at negative contrast, defined by the full-width at half-maximum (fwhm) of the OFF response (Figure 4h). The OFF T1 cells also had a robust spike response to a drifting grating stimulus (5.3 cycles  $mm^{-1}$ , 1 Hz), which was not sensitive to direction (Figure 4h). Based on these properties, the sample of OFF T1 and T2 cells fell into two distinct groups (Figure 4i). OFF T1 cells also tended to have a higher  $R_{in}$  than OFF T2 cells (Figure 4g).

#### **ON-OFF cells**

The ON-OFF RGC types comprised monostratified cells in L6 and bistratified cells either in L4.5/L7.5 or L3.5/L8 (Figure 2c). Monostratified cells stratified in the middle of the ChAT bands with relatively small receptive fields (Figure 2c). Within this group of monostratified (M) cells, there were two types distinguished by the density of their dendritic tree. The ON-OFF M1-L6 cells were denser than the ON-OFF M2-L6 cells (Figure 5a, b). There were corresponding differences in the time-course of excitatory conductance (Figure 5a, b) and a relatively higher  $R_{in}$  for ON-OFF M2 cells (Figure 5f).

The bistratified ON-OFF cells included the well-studied ON-OFF direction-selective cell (ON-OFF DS-L4.5/L7.5), which co-stratifies with the ChAT bands (Figure 2c) and has a characteristic transient ON-OFF response (Figure 5c). These cells showed the familiar direction selectivity to a drifting bar stimulus (Figure 3b). The other bistratified cells projected dendrites into layers that bracketed the ChAT bands and had relatively larger dendritic tree diameters, especially within the ON layer (L3.5; Figure 2c). For one group of large bistratified cells (ON-OFF LB1-L3.5/L8), there was a consistent pattern of sustained ON and OFF response (Figure 5d) with a relatively low R<sub>in</sub> of ~100 MΩ (Figure 5f). A miscellaneous group of large bistratified cells (LB-Misc-L3.5/L8) showed varying degrees of ON and OFF response in the excitatory conductance (Figure 5e). Some of these cells showed strong orientation selectivity to a drifting grating stimulus (Figure 3d), whereas ON-OFF LB1 cells typically showed weaker orientation selectivity at most (Figure 3c); and neither group of LB cell showed direction selectivity. The LB-Misc group apparently included more than one type, but the group was not further distinguished based on the available data.

#### **ON cells**

The ON RGC types stratified in  $L3 - 5$  (Figure 2). Cells in L5 included a highly transient type (ON T-L5; Figure 6a) and a more rarely encountered type with both transient and sustained response components (ON TS-L5; Figure 6b). These types could be distinguished from one another based on the lower  $R_{in}$  for ON T-L5 cells (Figure 6f). Cells in L4 were the familiar ON DS cells (Figure 6c), which showed direction selectivity to a drifting bar stimulus (Figure 3a). Cells in L3 included the familiar ON Alpha cell (Demb et al., 2001; Beaudoin et al., 2007; Manookin et al., 2008; Figure 6e), with its large soma, wide dendritic field (Figure 2c) and relatively low  $R_{in}$  (Figure 6f). By contrast, ON S-L3 cells were relatively more sustained (Figure 6d) and had smaller dendritic fields (Figure 2c) and higher  $R_{in}$  (Figure 6f).

The cell types defined above were sorted manually using the combination of morphological parameters (dendritic tree diameter, stratification), direction selectivity of the spike response, the pattern of excitatory conductance and the  $R_{in}$ . This resulted in 16 groups, although the two miscellaneous groups likely include more than one type in each. Furthermore, the opportunity for a direct glycinergic input from the AII likely only occurs for those types that stratify some of their dendrites within the OFF regions of the IPL,  $L6 - 9$ . If the AII did make a connection to a RGC type, it would be expected to provide inhibition at light onset, a conductance that was notably observed in every cell type studied (Figures 4–6).

#### **Evaluating AII connections across cell types**

We examined AII connections by measuring inhibitory conductance at light onset with iGluRs blocked ( $n = 77$  cells). This response should depend on the AII circuit, which can convey glycinergic inhibition to RGCs in the absence of functioning iGluRs (Figure 1c). The iGluRs were blocked with a combination of an AMPA/kainate receptor antagonist (200 μM CNQX or 100 μM DNQX) and an NMDA receptor antagonist (100 μM D-AP5; Manookin et al., 2008, 2010). The response to the spot stimulus was recorded for both excitatory and inhibitory conductances in each cell type before and after adding the drugs.

Three cell types showed a persistent inhibitory conductance during iGluR block. This included the OFF Alpha cell, shown to respond under this condition previously (Manookin et al., 2008; Beaudoin et al., 2008) as well as OFF Delta-L9 and OFF T2-L7 cells. In some cases, we obtained a wash recording after removing the drug and observed a partial recovery of the original conductances measured under the control condition (Figure 7a). By contrast, other cell types showed a complete block of both excitatory and inhibitory conductances in the presence of iGluR block. This included OFF and ON-OFF cell types that either stratified near OFF Alpha and Delta cells (e.g., OFF T1-L7, OFF TS-L8, ON-OFF LB-L3.5/L8) or stratified between these levels (e.g., ON-OFF DS-L4.5/L7.5; Figure 7b).

A population analysis demonstrated the profound block of excitatory conductance in the presence of iGluR block, as expected (Figure 8a). The inhibitory conductance was likewise blocked in all cases except for the three OFF RGC types noted above (Figure 8B). For each of these cell types, the drug-resistant inhibitory conductance (drug:control; Figure 8c) was significantly greater than zero (one-tailed t-test): OFF Delta-L9,  $p = 0.0036$  (one outlier removed); OFF Alpha-L7,  $p = 0.0038$  (one outlier removed); OFF T2-L7,  $p = 0.0035$ . The drug-resistant inhibitory conductance was similar for OFF Delta-L9 and OFF Alpha-L7 cells (two-tailed t-test,  $p = 0.13$ ) but was significantly greater for OFF Delta-L9 cells compared to OFF T2-L7 cells ( $p = 0.0048$ ) and for OFF Alpha-L7 cells compared to OFF T2-L7 cells ( $p$  $= 0.016$ ). Because we did not obtain a wash recording in each case, we assessed recording integrity across the population of RGCs by comparing  $R_{in}$  in the control and the drug condition. Rin changed by less than a factor of two between the control and drug conditions for 89.5% of cells, suggesting that recording integrity was relatively intact during the drug condition, when the visual response was eliminated in most cases (Figure 8d). On average,  $R_{in}$  increased by 22.7 +/− 5.4% in the drug condition, relative to control, consistent with the closing of ligand-gated channels in the cell membrane.

Assuming the persistent inhibitory conductance in the three OFF types with iGluR block came from the same source, namely the AII amacrine cell, the time course of the postsynaptic conductance should be very similar. We examined this by plotting the average, normalized inhibitory conductance for OFF Delta cells ( $n = 8$ ), OFF Alpha cells ( $n = 8$ ) and a subset of OFF T2-L7 cells that showed a qualitatively discernable response by eye ( $n = 8$ ) of 10 cells). The average time course for these groups was nearly identical, consistent with a single source for inhibition across the three cell types (Figure 8e). The average traces indicated two response components, an initial transient after light onset followed by a secondary peak ~200 ms later. These components may depend differentially on cone versus rod inputs, but the mechanism was not studied further here.

## **4 Discussion**

#### **AII amacrine cells connect selectively to specific RGC types**

The main finding from this study is that AII amacrine cells make direct synaptic connections with a privileged minority of RGC types. The AII cell in guinea pig, as in other mammals, has lobular appendages with glycine release sites in the OFF layers ( $L6 - 10$ ; Figure 2c) of the IPL (Lee et al., 2003; Demb and Singer, 2012). Of the RGC types studied here, at least 11 had the potential for a contact with the AII – this included monostratified and bistratified cells with either OFF or ON-OFF excitatory conductance, implicating a co-stratification with OFF cone bipolar terminals which themselves co-stratify with AII lobular appendages (Demb and Singer, 2012). There were also five types of ON RGC that stratified in  $L3 - 5$ that served as a control. Of the 11 OFF or ON-OFF RGC types, only three received a direct input from the AII according to our functional assay, including OFF Delta-L9, OFF Alpha-L7 and OFF T2-L7 types (Figures 7, 8). Many of the types that were not connected stratified in the same layer or an adjacent layer with the types that were connected (Figures 2c, 8). For example, in the case of OFF T1-L7 RGCs, there was remarkable similarity in dendritic field size and stratification to the connected type OFF T2-L7 (Figure 2c). Yet, the presumed AII inputs were made to OFF T2 but not OFF T1 cells (Figures 7, 8). Some of the other unconnected RGC types, including OFF TS-L8 cells  $(n = 2)$  were recorded more rarely; but even in these cases there was no hint of any inhibitory conductance in the presence of iGluR blockers to implicate an AII connection (Figure 7b).

Our physiological results align with EM reconstruction of the AII network in rabbit, which suggested that RGC dendrites, of unknown types, commonly contact AII lobules at locations that lack synaptic specializations. The exceptions were dendrites of specific RGC types, including OFF Alpha and Delta cells, which made synaptic contacts (Marc et al., 2014). AII amacrine cell connections are apparently highly precise and specific to RGC type. This seems to be an example where simple rules based on co-stratification do not predict the precision of actual connections within a neural circuit (Peter's rule; Peters and Feldman, 1976; Stepanyants and Chklovskii, 2005; Krishnaswamy et al., 2015; Park et al., 2015).

Our evidence for an AII→RGC connection relied on a functional assay, where postsynaptic inhibitory conductance persisted in the presence of iGluR blockers. The apparent mechanism for the persistent response depends on the following circuit: rod/cone  $\rightarrow$  ON cone bipolar  $\rightarrow$  AII  $\rightarrow$  OFF-layer RGC dendrite (Figure 1c). This assumption is supported

by several findings. First, the persistent response in iGluR blockers was sensitive to blocking the ON pathway, gap junctions and glycine receptors, which can collectively be explained by the remaining synapses in the AII circuit (Figure 1c; Bloomfield et al., 1997; Manookin et al., 2008; Murphy and Rieke, 2008; Münch et al., 2009; Liang and Freed, 2010). Second, the OFF Alpha cell, which responds with an inhibitory conductance in the presence of iGluR blockers, was shown to receive a direct AII input via an independent method, paired wholecell recordings (Murphy and Rieke, 2008; Münch et al., 2009). Third, the persistent response in iGluR blockers studied here showed a nearly identical time course in three different RGC types, implicating a common presynaptic mechanism (Figure 8e). Interestingly, the five ON RGC types studied here showed no inhibitory conductance with iGluR block, suggesting that there is not widespread electrical input from ON cone bipolar cells to amacrine cells, which would otherwise maintain intact inhibition following iGluR block. This apparently differs from the mouse retina, where an ON RGC did receive an inhibitory input following iGluR block (Farrow et al., 2013).

#### **Identifying cell types in the guinea pig retina**

To investigate specific connections in retinal circuitry, it is necessary to identify the component parts, i.e., the cell types. In the mouse retina, it is now possible to label many of the types using transgenic lines (Sanes and Masland, 2015), whereas in the guinea pig it is necessary to collect signature morphological and physiological properties that define the types. There was a clear advantage to quantitatively measuring dendrite stratification relative to the ChAT bands, which immediately divides the cells into discrete groups. This analysis was supplemented by measurements of excitatory conductance,  $R_{in}$ , and responses to moving stimuli, which further divided the RGCs into at least 16 types (Figures 2–6). In the mouse, there is evidence for roughly twice this many types (Baden et al., 2016), and the guinea pig likely shows the same level of cell type diversity. Thus, there are apparently more types than we identified here, and at least some of these additional types may also receive direct synapses from the AII.

Previous studies of guinea pig retina identified ~10 RGC types (Freed and Liang, 2010). This included the three types with large soma (ON Alpha, OFF Alpha, OFF Delta) as well as the ON DS cell (ON Delta). The G5 RGC identified in another study received a presumed input from the AII, because its inhibitory conductance was suppressed by a gap junction blocker (Liang and Freed, 2010). The G5 cell likely corresponds to the OFF T2-L7 cell in the current study, but the correspondence between other types is not entirely clear. Our study had the advantage of measuring stratification in fixed tissue relative to the ChAT bands in every RGC, which could facilitate the ability to match RGC types across laboratories in the future. Interestingly, the plot of stratification versus dendritic tree size in guinea pig (Figure 2c) resembles a similar plot in the mouse retina for parvalbumin-expressing RGCs, suggesting some correspondence between species on RGC-type properties based on the two morphological dimensions (Farrow et al., 2013).

#### **Functional implications for a direct synaptic input from the AII amacrine cell**

The sparseness of AII-RGC connections might seem surprising given the potential benefit for visual function. For example, there would seem to be an advantage of RGCs connecting

to the night vision pathway to extend their function in dim light; and there could possibly be some advantage, for OFF or ON-OFF cells, to having a direct input from the AII rather than signaling through OFF cone bipolar terminals. Indeed, in the mouse retina, there is evidence that the most sensitive rod signals are conveyed more efficiently through direct glycinergic synapses with RGCs as compared to a pathway through OFF bipolar cell terminals (Arman and Sampath, 2012). In human, melanopsin-expressing RGCs may be among the types connected to the AII (Hannibal et al., 2017). In a nocturnal mammal, such as mouse, AII connections may follow the same rule as in guinea pig and contact only a minority of RGC types. This includes OFF Alpha and Delta RGC types, whose physiology and dendrite stratification matches properties found in guinea pig (Murphy and Rieke, 2006, 2008; Margolis and Detwiler, 2007; Münch et al., 2009; van Wyk et al., 2009). It also apparently includes SbC RGCs in mouse (Jacoby et al., 2015). OFF beta RGCs in cat apparently receive an AII input (Kolb and Nelson, 1993; Cohen, 1998), whereas OFF sustained RGCs in rabbit apparently do not (Buldyrev et al., 2012). Interestingly, small bistratified cells in primates lose their OFF response but retain an ON response in dim light, suggesting that the AII cell contributes to sensitive night vision responses in some ON-OFF cells exclusively through the ON pathway (Field et al., 2009). Therefore, some OFF pathway cells in the retina may decrease their function in dim light. In summary, the indirect pathway for AII signals (AII  $\rightarrow$  cone bipolar terminal  $\rightarrow$  RGC) likely contributes to highly sensitive rod vision for the ON system; whereas for the OFF system, there may be some advantage to the direct connection between the AII and the RGC. Further studies will be required to determine how direct versus indirect connections between the AII and different RGC types corresponds to absolute differences in visual sensitivity.

There are several additional roles that a direct AII synapse could play in visual processing. These include a possible mechanism for feedforward inhibition at light offset, since the AII lobular appendages receive a direct input from OFF bipolar cell terminals that could drive glycine release onto postsynaptic RGCs (Xin and Bloomfield, 1999; Veruki et al., 2003; Demb and Singer, 2012). It is also possible that a common AII input to certain OFF RGC types could synchronize their outputs and simultaneously desynchronize the outputs of ON RGCs (Murphy and Rieke, 2006, 2008). More generally, the inhibition between the ON and OFF pathways, or so-called crossover inhibition, may increase encoding efficiency for contrast (Liang and Freed, 2012). Revealing the specific role for direct AII connection in visual information processing will require genetic manipulation of these connections in future studies.

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#### **Figure 1. Night vision circuit in mammalian retina**

**a.** In starlight, rods signal to the rod bipolar cell (bc) via glutamate release onto mGluR6 receptors (blue arrow). The rod bc releases glutamate onto iGluRs on the AII amacrine cell (AII ac; red arrow). The AII ac forms two types of output synapse: an electrical gap junction, formed by connexins  $(Cx)$ , with ON cone bipolar cell (cbc) terminals (red resistor symbol) and glycine release onto OFF cbc and OFF ganglion cell (gc) dendrites (blue arrows). ON and OFF cbc's release glutamate onto iGluRs of the corresponding gc type (red arrows). Abbreviations: OPL: outer plexiform layer; IPL: inner plexiform layer. **b.** In twilight, rods signal cones through gap junctions. Under these conditions and in daylight, when cones are stimulated directly, cones signal through glutamate release onto iGluRs and mGluRs at OFF and ON cbc synapses, respectively. In the IPL, ON cbc's excite the AII ac and cause glycine release onto OFF cbc terminals and OFF gc dendrites. **c.** In the presence of iGluR blockers, the ability to drive light-evoked gc responses is limited to a circuit where cones stimulate the ON cbc (via mGluR6 receptors), which drives the AII (via a gap junction) to release glycine onto OFF-layer gc dendrites. Rods can also drive this circuit through their gap junctions with cones.



#### **Figure 2. Dendritic tree size and stratification distinguish RGC types**

**a.** Side-projection of a region of interest  $(46 \times 46 \mu m)$  from the confocal z-stack of a monostratified RGC (OFF T2-L7 cell; boxed area in Figure 4f). Image at left shows the fluorescent intensity of the filled dendrite; the profile of fluorescence is shown in green, in normalized units. The fluorescence peak was fitted with a polynomial function (black line); the peak of the polynomial indicates the stratification level (black arrow, circle). Image at right shows the fluorescent intensity of the ChAT staining from the same section. The two peaks indicate the inner and outer ChAT bands, as labeled, and the dashed lines indicate the peaks of the fitted polynomial functions (black lines).

**b.** Same format as a. for an example bistratified RGC (ON-OFF LB1-L3.5/L8 cell; boxed area in Figure 5d).

**c.** Each data point represents a single cell and shows its dendritic tree diameter plotted against peak stratification of dendrites relative to the ChAT bands (straight dashed lines). Symbols indicate the presumed RGC type based on a combination of the parameters measured here and the measurements of excitatory conductance in response to a contrastreversing spot, the input resistance, and the tuning to motion direction measured in subsequent figures. In addition to cells recorded in this study, cells from a previous study were included for four of the cell types (filled symbols; ON Alpha-L3, ON DS-L4, OFF Alpha-L7, OFF Delta-L9; Manookin et al., 2008). Ovals drawn with dashed lines show groups that became apparent based on the morphology measurements alone. In some cases, these groups included more than one cell type (e.g., OFF T1-L7 and OFF T2-L7) that became distinguishable after analyzing physiological responses. Layers of the retina are indicated by the scale in green  $(L1 - 10)$ ; the ChAT bands were aligned with L4 and L7.5, based on previous measurements (Manookin et al., 2008; see Results). For bistratified cells, measurements of inner and outer dendrites are connected by a line.



#### **Figure 3. Direction and orientation tuning of RGCs**

**a.** Example ON direction-selective (DS)-L4 RGC responding to movement of a bar in 12 different directions. Red points show spikes per sweep of the bar in each direction, with spike number plotted as distance from the origin. Below the polar plot are raw spike responses to the preferred and null directions of motion. The bar ( $100 \times 200 \,\mu$ m) was 100% Weber contrast on a gray background and moved along its long edge at 0.5 mm s<sup>-1</sup>. **b.** Same format as a. for an example ON-OFF DS-L4.5/L7.5 RGC. Two spike bursts are observed for the leading (ON response) and trailing edge of the bar (OFF response). **c.** Example of a large bistratified (ON-OFF LB1-L3.5/L8) RGC with mild orientation tuning in response to a grating that drifted in 8 different directions. Red points show firing rate (spikes  $s^{-1}$ ) in response to the 6-second presentation of the grating. The grating was presented in a square region  $(1.6 \times 1.6 \text{ mm})$  at 100% Michelson contrast with a spatial frequency of 5.3 cycles  $mm^{-1}$  and temporal frequency of 1 Hz.

**d.** Same as c. for an example of a large bistratified (LB Misc-L3.5/L8) RGC with strong orientation tuning.



#### **Figure 4. OFF RGC types distinguished by spot response and input resistance**

**a.** Left, an example OFF Delta-L9 RGC filled with Lucifer Yellow superimposed with a green circle representing the contrast-reversing spot stimulus (0.2-mm diameter, 1 Hz). For the sample of cells  $(n = 8)$ , the normalized (norm.) excitatory (exc.) and inhibitory (inh.) conductances are shown in black and red, respectively, for each cell. The response was normalized by dividing by the SD of the trace. The average response across cells is shown in cyan (excitatory conductance) or blue (inhibitory conductance).

**b.** Same format as a. for OFF TS-L8 cells. This cell is shown with the 0.2-mm diameter spot superimposed.

**c.** Same format as a. for OFF Misc-L8 cells.

- **d.** Same format as a. for OFF Alpha-L7 cells.
- **e.** Same format as a. for OFF T1-L7 cells.

**f.** Same format as a. for OFF T2-L7 cells. The boxed area indicates the region of interest shown as a side projection in Figure 2a.

**g.** Input resistance (Rin) plotted against maximum excitatory conductance for OFF cells of all types show in a. – f.  $R_{in}$  distinguished certain cell types. For example,  $R_{in}$  of OFF Alpha-L7 cells (dashed black line) was lower than for OFF T2-L7 cells (dashed orange line), even though their dendrites co-stratified (Figure 2C).

**h.** Excitatory conductance and spike responses distinguished OFF T1-L7 and OFF T2-L7 RGCs. The full-width at half-maximum (fwhm) of the excitatory conductance was measured during the OFF response (cyan line). The spike rate was measured to the drifting grating stimulus (see Figure 3).

**i.** OFF T1-L7 cells had a relatively longer fwhm of excitatory conductance ( $g_{\text{exc}}$ ) and a higher firing rate to the grating.



#### **Figure 5. ON-OFF RGC types distinguished by spot response and input resistance**

**a.** Left, an example ON-OFF M1-L6 RGC filled with Lucifer Yellow superimposed with a green circle representing the contrast-reversing spot stimulus (0.2-mm diameter, 1 Hz). Normalized (norm.) excitatory (exc.) and inhibitory (inh.) conductances are show for each cell, as in Figure 4. The average response across cells is shown in cyan (excitatory conductance) or blue (inhibitory conductance).

**b.** Same format as a. for ON-OFF M2-L6 cells.

**c.** Same format as a. for ON-OFF DS-L4.5/L7.5 cells. Both inner (left) and outer (right) dendrites are shown.

**d.** Same format as c. for ON-OFF LB1-L3.5/L8 cells. The boxed area indicates the region of interest shown as a side projection in Figure 2b.

**e.** Normalized conductances for a group of miscellaneous large bistratified cells (LB-Misc) that stratified in L3.5 and L8.

**f.** The Rin for ON-OFF M1-L6 cells was the highest of all groups, whereas the ON-OFF LB1-L3.5/L8 cells had the lowest  $R_{in}$ .



#### **Figure 6. ON RGC types distinguished by spot response and input resistance**

**a.** Left, an example ON T-L5 RGC filled with Lucifer Yellow superimposed with a green circle representing the contrast-reversing spot stimulus (0.2-mm diameter, 1 Hz). Normalized (norm.) excitatory (exc.) and inhibitory (inh.) conductances are show for each cell, as in Figure 4. The average response across cells is shown in cyan (excitatory conductance) or blue (inhibitory conductance).

**b.** Same format as a. for ON TS-L5 cells. Inhibition was measured in only one case.

**c.** Same format as a. for ON DS-L4 cells.

**d.** Same format as a. for ON S-L3 cells.

**e.** Same format as a. for ON Alpha-L3 cells. For these cells, a large spot size was used (0.5 mm diameter, dashed green line).

**f.** Rin and maximum excitatory conductance distinguished certain cell types. For example, ON T-L5 and ON Alpha-L3 cells had a relatively larger maximum conductance than the

other types, which could be separated by the dashed line. ON S-L3 and ON Alpha-L3 costratified (Figure 2C), but ON Alpha-L3 cells had lower Rin.



## **Figure 7. AII amacrine cells make synapses with three OFF RGC types**

**a.** The excitatory (exc.) and inhibitory (inh.) conductance to a contrast-reversing spot (0.2 mm diameter) before (Control), during (iGlur block) and after (Wash) bath-applying iGluR antagonists (either 200 μM CNQX or 100 μM DNQX with 100 μM D-AP5). For three OFF RGC types (OFF Delta-L9, OFF Alpha-L7 and OFF T2-L7), the inhibitory conductance persisted following iGluR block. A partial recovery was observed in the Wash condition. **b.** Examples of other RGC types that lacked any sign of a light-driven conductance following iGluR block.



#### **Figure 8. Population analysis shows that AII amacrine cells make synapses with a privileged minority of RGC types**

**a.** The peak excitatory conductance measured for control versus iGluR block conditions. The response for each cell was averaged over a 30-ms time window centered on the peak excitatory conductance for the control condition. Responses of all cell types were strongly suppressed, as expected. Symbols for each cell type are shown in c. The identity line is shown here and in b.

**b.** Same format as a. for the inhibitory conductance. Data for three cell types show a persistent response under iGluR block.

**c.** The inhibitory conductance measured under iGluR block relative to the control condition shows a significant response ( $p < 0.05$ ) for three OFF RGC types (\*; with the large outlier removed for both OFF Delta-L9 and OFF Alpha-L7 cells). Conductance was averaged over a 150-ms time window, 50-ms following light onset. Some cell types were grouped for this analysis and in a., b. and d.: ON-OFF M1 and M2 cells; and ON-OFF LB1-L3.5/L8 and LB-Misc-L3.5/L8 cells.

**d.** The R<sub>in</sub> was relatively stable between control and iGluR block conditions, changing by less than a factor of two (i.e., points between the gray diagonal lines) for ~89% of cells.

**e.** For three OFF RGC types, the inhibitory conductance under iGluR block showed a common temporal profile. For each RGC type, black lines show the normalized (norm.) inhibitory conductance during contrast reversal. Colored lines show the average for the group, which are shown superimposed at right.

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Primary Antibodies Primary Antibodies



## **Table 2**

## Secondary Antibodies

