

REVIEW ARTICLE

Implementation of “clinical sequencing” in cancer genome medicine in Japan

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In oncology, actionable mutations (alterations) in cancer-associated genes are critical in terms of the selection of therapeutic approaches. Next-generation sequencing of tumor sample DNA (ie, clinical sequencing) can guide clinical management by providing diagnostic or prognostic data, and facilitating the identification of potential treatment regimens, such as molecular-targeted and immune checkpoint blockade therapies. In the USA, a variety of tumor-profiling multiplex gene panels have been developed and implemented for this purpose. In Japan, several academic institutions have now carried out detailed investigations of the feasibility and value of clinical sequencing, and cancer societies have issued consensus clinical practice guidance for next-generation sequencing-based gene panel tests. These efforts will facilitate the implementation of cancer genome medicine in Japan.

KEYWORDS

actionable mutation, cancer genome medicine, clinical sequencing, implementation, insurance reimbursement

1 | INTRODUCTION

An “actionable gene mutation (alteration)” in a tumor sample is defined as a DNA change with an expected (or predicted) impact on response to treatment.¹ Drivers of tumorigenesis, and thus promising targets for therapeutic intervention, include recurrent hotspot mutations, fusions, and high-level (eg, >6-copy) focal amplifications. Screening for actionable mutations facilitates the identification of patients who will benefit from targeted therapy.

Cancer genome research has revealed a number of actionable gene mutations. In this context, the most extensively investigated cancer type is lung adenocarcinoma (LADC), which represents the most common histological lung cancer subtype. LADC can be classified according to the presence of mutually exclusive oncogene alterations, all of which drive tumorigenesis: *EGFR* (hotspot mutations) *KRAS* (hotspot mutations), and *ALK* (fusion). Research by the group of the present author and others added the *RET* and *ROS1* gene fusions and the *BRAF* gene mutation to the list of actionable

alterations, as it showed that alteration-positive cases benefit from treatment with tyrosine kinase inhibitors (Figure 1).²⁻⁵ These alterations are present in 1%-2% of LADC cases. Genetic screening to diagnose actionable mutations is thus a critical step in terms of treatment selection in cancer patients. In February 2013, research, government, and pharmaceutical agencies in Japan initiated a nationwide genome screening program (LC-SCRUM-Japan) as a clinical research project to detect multiple oncogene alterations, including *RET* and *ROS1* fusions and *BRAF* mutations, in lung cancer patients.⁶ As of December 2017, more than 5000 patients from 251 institutions had been enrolled. Patients who were positive for those oncogene alterations have been receiving (or received) targeted therapies using investigational drugs in clinical trials according to their gene alterations.^{3,6,7}

In the USA, the FDA approved the OncoPrint Dx Target Test in June 2017 as a companion diagnostic test that simultaneously diagnoses alterations in three oncogenes, *EGFR*, *BRAF*, and *ROS1*, in lung cancer. Theoretically, this test can detect alterations of 23

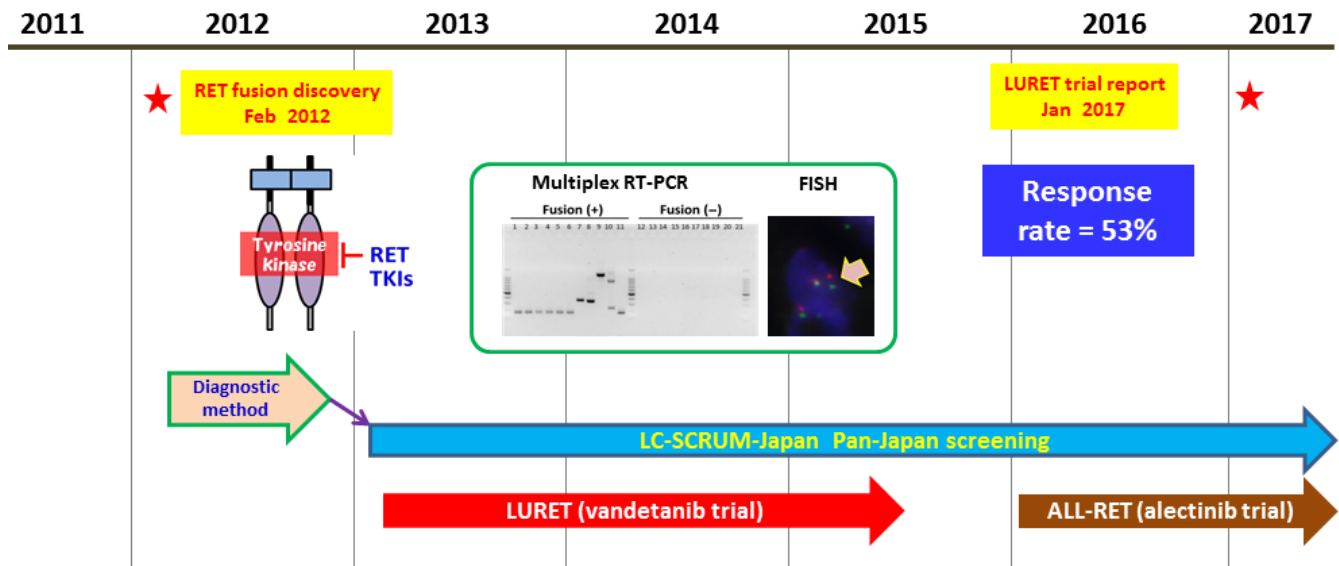


FIGURE 1 Discovery of *RET* fusion and its translation to clinical oncology. *RET* fusion was discovered in 2012.⁴ Nationwide screening for *RET* and *ROS1* fusions within the context of LC-SCRUM-Japan commenced in 2013.⁶ LURET, an open-label, multicenter, phase II trial of vandetanib for *RET* fusion-positive lung cancer, also commenced in 2013. The LURET results were published in 2017.³ TKI, tyrosine kinase inhibitor

cancer-associated genes (https://www.accessdata.fda.gov/cdrh_docs/pdf16/p160045c.pdf). At present, it is used as a companion diagnostic tool to select lung cancer patients suitable for treatment with the approved targeted therapies for crizotinib (*ROS1* fusion), dabrafenib and trametinib (*BRAF* mutation), and gefitinib (*EGFR* mutation) (Table 1).

Hundreds of actionable mutations with a potential response to molecular-targeted drugs in kinase and other cancer-associated genes have been identified. However, the majority are detected in only a small proportion of cases. This is exemplified by a hotspot *AKT1* mutation, with a well replicated association to the efficacy of specific *AKT* inhibitors.^{8,9} Approximately 40% of cancers are likely to have at least one actionable gene mutation associated with approved or experimental targeted drugs.¹⁰⁻¹² Actionable mutations in cancer-associated genes are therefore of critical importance in terms of therapy selection.

2 | CLINICAL SEQUENCING USING NEXT-GENERATION SEQUENCING (NGS) PANELS IN THE USA

In the clinical setting, sequencing is often undertaken to detect actionable gene mutations in patients with advanced cancer. At this point, oncologists propose sequencing to identify alternative therapies. The introduction of massively parallel NGS has enabled the simultaneous examination of more than 100 genes, in which actionable mutations have been detected. Clinical laboratories in the USA have developed and implemented a variety of NGS-based tests (tumor-profiling multiplex gene panels), ranging from targeted “hotspot” panels (Table 1) to comprehensive genome-scale platforms.¹³⁻¹⁶

To ensure that the results can be applied in clinical practice, these NGS-based tests are carried out in Clinical Laboratory

TABLE 1 Next-generation sequencing-based tumor-profiling multiplex gene panels

Test	Number of genes tested	Tumor sample	Non-tumor sample	FDA approval	Companion diagnostic indications linked to gene alterations
Oncomine Dx target test	23	Tissue DNA/RNA	Not used	Yes	Lung cancer: <i>EGFR</i> , <i>ROS1</i> , and <i>BRAF</i>
FoundationOne CDx	324	Tissue DNA	Not used	Yes	Lung cancer: <i>EGFR</i> , <i>ALK</i> , and <i>BRAF</i> Melanoma: <i>BRAF</i> Breast cancer: <i>HER2 (ERBB2)</i> Colorectal cancer: <i>KRAS</i> and <i>NRAS</i> Ovarian cancer: <i>BRCA1</i> and <i>BRCA2</i>
MSK-IMPACT	468	Tissue DNA	Peripheral blood	Yes	Unknown
Guardant360	73	Cell-free DNA	Not used	Unknown	Unknown
NCC oncopanel	114	Tissue DNA	Peripheral blood	Unknown	Unknown
Oncoprime	215	Tissue DNA	Not used	Unknown	Unknown

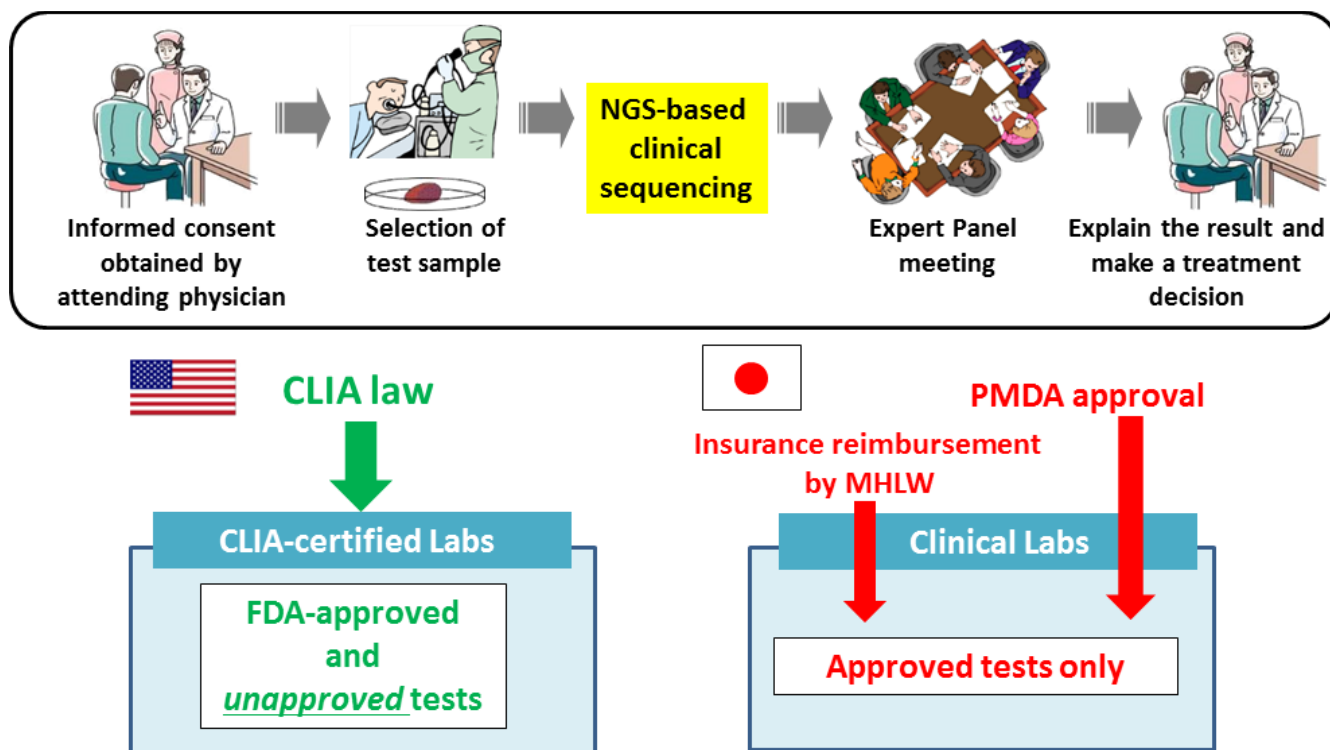


FIGURE 2 Differences in laboratory test regulations in the USA and Japan. In the USA, Clinical Laboratory Improvement Amendments (CLIA)-certified laboratories can deploy laboratory developed tests that have not been submitted for FDA approval. In Japan, every test must be approved by the Pharmaceuticals and Medical Devices Agency (PMDA) and the Ministry of Health, Labor, and Welfare (MHLW) prior to application in clinical settings. NGS, next-generation sequencing

Improvement Amendments (CLIA)-certified laboratories. Importantly, CLIA-certified laboratories can undertake laboratory developed tests that have not been submitted for FDA approval (Figure 2). Profiling provides information concerning diagnosis or prognosis, and facilitates the identification of potential treatment regimens involving molecular-targeted drugs. Such regimens include FDA-approved medication for defined tumor types, off-label treatment for non-approved tumor types, and targeted therapy in clinical trials with investigational agents.

In the USA, scientists at the Memorial Sloan Kettering (MSK) Cancer Center have developed and implemented the MSK-IMPACT (Integrated Mutation Profiling of Actionable Cancer Targets) test, which detects alterations in cancer-associated genes using tumor and matched normal peripheral blood, and the Illumina HiSeq 2500 Sequencer. When first developed, the MSK-IMPACT panel could detect alterations in 341 genes, and this number has increased over time. In a 2017 publication,¹² MSK researchers reported that 37% of 10 000 investigated patients harbored at least one actionable mutation, and that 11% of the first 5009 patients who received the MSK-IMPACT test were subsequently enrolled on genomically matched clinical trials. These results do not include those of several hundred additional MSK patients, who received FDA-approved targeted therapies outside the clinical trial context. The MSK-IMPACT test, which currently examines 468 cancer-associated genes, has now been approved by the FDA (<https://www.fda.gov/NewsEvents/>

<https://www.fda.gov/NewsEvents/ucm585347.htm>). The US molecular diagnostics company Foundation Medicine developed the FoundationOne CDx test, in which 324 cancer-associated genes are investigated using DNA from tumor tissue. To date, the company has analyzed more than 100 000 cases and indicates that the NGS panel test provides an accurate assessment of high tumor mutational burden,¹⁷ which is an emerging biomarker of sensitivity to immune checkpoint blockade therapy.^{18,19} The FoundationOne test has now also been approved by the FDA (<https://www.fda.gov/medicaldevices/productsandmedicalprocedures/deviceapprovalsandclearances/recently-approved-devices/ucm590331.htm>). This is also intended as a companion diagnostic test to identify patients suitable for treatment with targeted therapies (listed in Table 1) in accordance with the approved therapeutic product labeling. The FDA approval of these NGS-based tests will facilitate cancer genome medicine in the US by promoting insurance reimbursement in clinical oncology.²⁰

3 | CLINICAL SEQUENCING IN JAPAN

In Japan, clinical research and regulatory frameworks have reduced the delay in the introduction of new drugs and medical devices ("drug and device lags").²¹ However, tumor-profiling multiplex gene panel tests have not yet been implemented in routine oncological practice. Due to the lack of CLIA-like regulation, each test must be

approved by the Pharmaceuticals and Medical Devices Agency (PMDA) before insurance reimbursement can be approved by the Japanese Ministry of Health, Labor, and Welfare.

Several research teams in Japan, including that of the author, are engaged in clinical research to facilitate the implementation of clinical sequencing.^{22,23} The author's group has initiated a prospective cohort study to investigate the feasibility and utility of the NGS-based analysis of 114 cancer-associated genes (NCC oncopanel system) in patients with advanced solid tumors (Table 1). This study is termed TOP-GEAR (Trial of Onco-Panel for Gene-profiling to Estimate both Adverse events and Response during cancer treatment, UMIN 000011141). In an analysis of the first 131 cases, actionable mutations were detected in 45% of the cohort.²³ Patients were enrolled on genomically matched phase I clinical trials at a rate of 8% (11 of the 131 cases). The median progression-free survival (PFS) was longer in patients with matched therapy than in patients with non-matched therapy (5.5 vs 1.9 months). This study, together with another clinical sequencing study,²² indicates the feasibility and utility of NGS-based testing in clinical oncology in Japan. In collaboration with a Japanese diagnostics company, the NCC oncopanel system is now being improved prior to submission for PMDA approval. This work is being carried out within the context of the SAKIGAKE program of the Japanese Ministry of Health, Labor, and Welfare. In 2018, the research team plans to validate the clinical utility of the NCC oncopanel system within the Advanced Medical Care B system.

4 | STANDARDIZING THE INTERPRETATION OF MUTATION RESULTS

Widespread clinical sequencing in the USA has highlighted the importance and potential benefits of standardizing the interpretation and reporting of mutation results across laboratories.²⁴ For this purpose, the Japanese Society of Medical Oncology, the Japanese Society of Clinical Oncology, and the Japanese Cancer Association issued consensus clinical practice guidance for NGS-based cancer tests (<http://www.jsmo.or.jp/about/kanko.html#guideline>). This guidance proposes that gene alterations should be classified on the basis of clinical significance. The following levels of evidence were suggested: level 1A, PMDA-approved biomarker in the tumor type; 1B, FDA-approved biomarker in the tumor type (not approved by the PMDA) or biomarker shown by a prospective molecularly driven clinical trial; 2A, biomarker shown by subgroup analysis in a prospective clinical trial; 2B, approved biomarker in a different tumor type or biomarker with evidence of clinical utility; 3A, biomarker with evidence of proof of concept in at least one case report; 3B, biomarker with evidence obtained by *in vitro/in vivo* experiments; and 4, other gene mutations in cancer. This guidance is intended to facilitate and standardize the interpretation of clinical sequencing results.

Prior to reporting, clinical sequencing results must be discussed by a tumor board to provide expert consensus on interpretation. Ideally, the tumor board should comprise experts from a range of

specialties, such as clinical oncology, pathology, genome science, bioinformatics, medical genetics, and genetic counseling. The volume of available cancer genomic data is increasing rapidly. Therefore, the significance of gene alterations in terms of diagnosis, therapy, and prognosis requires continuous re-evaluation. Clinical oncologists must consider an ever-expanding list of actionable mutations and investigational agents. Furthermore, reports of the molecular basis of pronounced treatment responses in single patients or small cohorts (exceptional responders) may generate new hypotheses concerning actionability.²⁵ However, researchers must exercise caution and avoid over-interpretation, as a given mutation might not be actionable in a different setting due to tissue-specific effects. For instance, BRAF inhibitors are effective in BRAF-mutant melanoma but not in colorectal cancer.²⁶

5 | OUTLOOK

In Japan, the implementation of tumor-profiling multiplex gene panel tests in cancer genome medicine will be realized in the near future. At present, a gap exists between the number of patients with actionable mutations and those receiving genomically matched therapy. This gap is attributable to the lack of availability/accessibility of relevant trials and drugs, and the poor performance status of the respective patients. The approval of novel targeted therapies and subsequent proliferation of molecularly driven clinical trials will increase demand for clinical sequencing. The clinical relevance of sequencing tests will also be enhanced by the development of therapies for gene alterations that are frequent but currently "undruggable", such as deleterious mutations in the chromatin regulator genes.²⁷⁻²⁹ Functional annotation of mutations that are currently classified as variants of unknown significance will also facilitate clinical sequencing by prioritizing further actionable mutations.^{30,31}

Of course, finding actionable mutations in a patient's tumor does not imply that the patient will respond to a therapeutic agent against that target. It is important to examine whether or not a treatment based on the gene profile of an individual patient can really improve the clinical course of his or her disease. As reported recently,³² comparing the PFS of a treatment regimen based on the gene profiles of the patient's tumor with that of the PFS of the most recent treatment regimen that resulted in a decrease in disease progression (ie, by calculating the PFS ratio using the outcome of the patient's previous treatment history as a control) might be a way to address this issue.

The implementation of clinical sequencing in Japan presents several challenges. First, germline mutations can be detected as secondary findings in a small percentage of patients, as reported in a recent study of East Asians.³³ Implications in terms of informed consent, genetic counseling, and total care must therefore be taken into account. Second, many NGS-based gene panel tests, including the ones to examine cell-free DNA, such as the Guardant360 test, are expensive.²⁰ Japan operates a universal health-care system, in which patients are expected to pay 30% of the total cost of treatment.³⁴ The cost of clinical sequencing tests will therefore be a major

economic issue for patients and the government. Ongoing discussion between representatives from industry, academia, and relevant regulatory bodies is warranted to facilitate the implementation of cancer genome medicine in Japan.

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CONFLICT OF INTEREST

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