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# Association of body weight changes with mortality in incident hemodialysis patients

Tae Ik Chang<sup>1,2</sup>, Vyvian Ngo<sup>1</sup>, Elani Streja<sup>1,7</sup>, Jason A. Chou<sup>1</sup>, Amanda R. Tortorici<sup>1</sup>, Tae Hee Kim<sup>1,3</sup>, Tae Woo Kim<sup>1,4</sup>, Melissa Soohoo<sup>1</sup>, Daniel Gillen<sup>1</sup>, Connie M. Rhee<sup>1</sup>, Csaba P. Kovesdy<sup>5,6</sup> and Kamyar Kalantar-Zadeh<sup>1,7</sup>

<sup>1</sup>Division of Nephrology & Hypertension, Harold Simmons Center for Kidney Disease Research and Epidemiology, University of California Irvine, School of Medicine, Orange, CA, USA, <sup>2</sup>Department of Internal Medicine, NHIS Medical Center, Ilsan Hospital, Goyangshi, Gyeonggi-do, Republic of Korea, <sup>3</sup>Department of Internal Medicine, Inje University, Busan, Republic of Korea, <sup>4</sup>Department of Internal Medicine, Soon Chun Hyang University Hospital, Gumi, Republic of Korea, <sup>5</sup>Division of Nephrology, University of Tennessee Health Science Center, Memphis, TN, USA, <sup>6</sup>Nephrology Section, Memphis Veterans Affairs Medical Center, Memphis, TN, USA and <sup>7</sup>Department of Medicine, Long Beach Veteran Affairs Health System, Long Beach, CA, USA

Correspondence and offprint requests to: Kamyar Kalantar-Zadeh; E-mail: kkz@uci.edu

# ABSTRACT

**Background.** Incident hemodialysis patients may experience rapid weight loss in the first few months of starting dialysis. However, trends in weight changes over time and their associations with survival have not yet been characterized in this population.

**Methods.** In a large contemporary US cohort of 58 106 patients who initiated hemodialysis during 1 January 2007–31 December 2011 and survived the first year of dialysis, we observed trends in weight changes during the first year of treatment and then examined the association of post-dialysis weight changes with all-cause mortality.

Results. Patients' post-dialysis weights rapidly decreased and reached a nadir at the 5th month of dialysis with an average

decline of 2% from baseline, whereas obese patients (body mass index  $\geq$  30 kg/m²) did not reach a nadir and lost  $\sim$ 3.8% of their weight by the 12th month. Compared with the reference group (-2 to 2% changes in weight), the death hazard ratios (HRs) of patients with -6 to -2% and greater than or equal to -6% weight loss during the first 5 months were 1.08 (95% confidence interval, 1.02–1.14) and 1.14 (1.07–1.22), respectively. Moreover, the death HRs with 2–6% and  $\geq$ 6% weight gain during the 5th to 12th months were 0.91 (0.85–0.97) and 0.92 (0.86–0.99), respectively.

Conclusions. In patients who survive the first year of hemodialysis, a decline in post-dialysis weight is observed and reaches a nadir at the 5th month. An incrementally larger weight loss during the first 12 months is associated with higher death risk, whereas weight gain is associated with greater survival during the 5th to 12th month but not in the first 5 months of dialysis therapy.

**Keywords:** body mass index, body weight, hemodialysis, mortality

#### INTRODUCTION

Obesity is believed to be an important risk factor for cardiovascular disease, chronic kidney disease and mortality in the general population [1–3]. However, it has been found to have paradoxical associations with outcomes in severely ill patients such as those with congestive heart failure, chronic obstructive pulmonary disease and rheumatoid arthritis [4–6]. This phenomenon has been referred to as the 'obesity paradox' or 'reverse epidemiology', where obesity has actually been associated with better survival [7–11]. This inverse relationship between obesity and outcomes is particularly strong and consistent among patients with end-stage renal disease (ESRD) [12].

In particular, many observational studies among patients undergoing maintenance hemodialysis (MHD) have shown that a higher baseline body mass index (BMI) at dialysis initiation, not a lower BMI, is associated with better outcomes [13-18]. However, most literature on obesity in ESRD has examined the association of baseline BMI levels ascertained at a single point in time with future outcomes, which offer limited insight into how changes in weight over time impact outcomes in dialysis patients. Recent large observational studies on changes in weight over time on dialysis have also indicated that weight gain, mostly in the first 6 months after starting dialysis, is associated with better survival, whereas weight loss harbors a higher death risk [19-23]. Trends in weight change after starting dialysis have not yet been characterized in these studies. However, it should be noted that patients' weight is a fluctuating parameter, which is not static but dynamic over time [24]. Given frequent observations in clinical practice that patients' weight tends to rapidly decrease in the first few months of starting hemodialysis, and then gradually increase or stabilize during treatment, it could be speculated that the associations of changes in weight with mortality may differ according to the time period in which this occurs. For example, weight changes in the early phases of dialysis may have a differential association with outcomes when compared with changes in later phases of dialysis. However, this hypothesis has never been tested.

Therefore, we undertook this study to characterize trends in post-dialysis dry weight changes during the first year of dialysis initiation and to also evaluate the association of weight changes with mortality in incident hemodialysis patients. Given that the baseline BMI may affect the trajectory of weight changes over time, we also examined the potential contribution of baseline BMI to this weight change–mortality relationship. We hypothesized that the inverse association between changes in weight and mortality in MHD patients are consistently observed independent of baseline BMI and may be even stronger in the early months of weight loss.

#### MATERIALS AND METHODS

### Study population

We extracted and examined data from all patients with ESRD who underwent MHD treatments between January 2007 and December 2011 within one of the outpatient facilities of a large dialysis organization. The creation of this patient cohort has been described previously [25]. Patients were included provided that they were 18 years or older and received dialysis therapy for at least 60 days. We additionally restricted our analysis to patients who were treated with only in-center hemodialysis over the entire duration of follow-up and who survived during the first year of dialysis initiation. We further excluded those patients with missing BMI data and those with baseline BMI data <15 or >60 kg/m<sup>2</sup>. Therefore, the final study population consisted of 58 106 patients (Figure 1). The study was approved by the University of California Irvine. Given the large sample size, anonymity of the patients studied and nonintrusive nature of the research, the requirement for written consent was waived.

# Demographic, clinical and laboratory measures

Data from dialysis facility electronic medical records were used to determine demographics and comorbidities. Each patient's weight is measured and recorded at the beginning and the end of every dialysis treatment. BMI was calculated from post-dialysis dry body weight in kilograms divided by height in meters squared. To minimize measurement variability, patients' weights for each month interval from the date of dialysis therapy initiation were averaged into one-single monthly value. In conjunction with body weights, ultrafiltration and post-dialysis systolic blood pressure (SBP) were also ascertained as the mean of all available values over successive monthly intervals from the date of dialysis initiation. The patients' first month of dialysis was considered the baseline month. Accordingly, each patient had up to 13 recorded body weight, ultrafiltration and postdialysis SBP measurements corresponding to thrice-weekly MHD treatments. All repeated laboratory parameters were averaged for each patient for each month and used in all models. Blood samples were drawn using standardized techniques in all dialysis clinics and were transported to a central laboratory in Deland, Florida, typically within 24 h. All laboratory values were measured using automated and standardized methods.

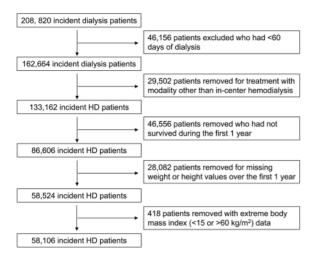


FIGURE 1: Flow chart of participants in the cohort.

#### Outcome ascertainment

The study outcome of interest was all-cause mortality, and patients were followed over a 4-year period from the first day of the second year of starting hemodialysis therapy. Patients were censored for loss to follow-up, discontinuation of dialysis therapy, kidney transplantation or transfer to a nonaffiliated dialysis clinic.

### Statistical analyses

We examined the trend of changes in weight during the first year of dialysis using linear regression models with unstructured variance to account for intrasubject correlations in repeated measurements. For sensitivity analyses, we also examined the trajectories of change in monthly averaged ultrafiltration and post-dialysis SBP during the first year following dialysis initiation to further address the potential role of volume factors for change in body weight. To evaluate its relationship with baseline BMI, we divided BMI into four a priori selected categories: underweight (<20 kg/m<sup>2</sup>), normal weight (20 to <25 kg/m<sup>2</sup>), overweight (25 to  $<30 \text{ kg/m}^2$ ) and obese status ( $\ge 30 \text{ kg/m}^2$ ). These increments were consistent with those selected in our previous study [19]. Although underweight BMI has been usually defined as <18.5 kg/m<sup>2</sup> based on the World Health Organization guidelines, in an attempt to minimize the possible statistical error which can be caused by lower sample size in this group (n = 1442; 2.5% of entire cohort), we considered underweight patients as those included in the lowest BMI category  $(<20 \text{ kg/m}^2)$  in this study [26]. Based on results from the trend analyses, we decided to divide the first year weight change observational period into two different intervals, between 1-5 months and 5-12 months. We calculated changes in weight during each interval according to the difference between consecutive post-dialysis weight values, expressed as a percentage [interval 1 weight change: 100× ((month 5 post-dialysis weight (kg) - month 1 post-dialysis weight (kg))/ month 1 postdialysis weight (kg)) %; interval 2 weight change: 100× ((month 12 post-dialysis weight (kg) - month 5 post-dialysis weight (kg))/ month 5 post-dialysis weight (kg)) %]. Additionally, we divided the percent weight change in each interval into five ordinal categories (less than or equal to -6%, -6 to less than or equal to -2%, -2 to 2%, 2 to <6%, and  $\ge 6\%$ ). Categories were selected based on identifying the middle quintile range (-2 to 2%, reference group) weight change during the first year of dialysis and adding a 4% weight change increment in each direction. For subsequent analyses, the effect of weight changes was nested within BMI categories, creating a 20-category 'BMI-weight changes' variable. Normal weight (BMI of 20 to <25 kg/m²) and minimal weight change (-2 to 2% of weight change) were designated as the reference groups. In sensitivity analysis, associations of weight changes were additionally examined across strata of ultrafiltration (<1.5, 1.5 to <2.5, 2.5 to <3.5 and  $\ge 3.5$  kg) and baseline serum albumin concentrations (<3.2, 3.2 to <3.5, 3.5 to <3.8 and  $\ge 3.8$  g/dL).

Cox proportional hazard regression models were performed to study the associations of weight changes during two time intervals over the first year of dialysis with all-cause mortality and to assess the effect of baseline BMI on these associations. Weight change percentage exposures were evaluated both as continuous and categorical predictors. For each analysis, three models were constructed based on the level of multivariate adjustment: (i) minimally adjusted models, which included the weight change percentage exposure interval of interest and weight of first month in each treatment period (month 1 or month 5 in intervals 1 or 2, respectively); (ii) case-mix adjusted models, which adjusted for baseline characteristics of age, sex, race/ethnicity (white, African American, Hispanic, Asian or other), primary insurance (Medicare, Medicaid or other), initial vascular access type (central venous catheter, arteriovenous fistula, arteriovenous graft or other), 10 comorbid conditions (diabetes mellitus, hypertension, atherosclerotic heart disease, congestive heart failure, other cardiovascular disease, cerebrovascular disease, dyslipidemia, HIV, chronic obstructive pulmonary disease and malignancy), alcohol dependence, substance abuse, dialysis ultrafiltration and dialysis dose as indicated by single-pool Kt/V; and (iii) case-mix plus malnutrition-inflammation-cachexia syndrome models, which included all covariates in the case-mix model plus 10 laboratory variables that bear associations with clinical outcomes in hemodialysis patients: white blood cells, lymphocytes, serum albumin, creatinine, bicarbonate, calcium, phosphorus, hemoglobin, total iron binding capacity and normalized protein catabolic rate. Both baseline month 1 and month 5 (or month 5 and month 12) laboratory values were included in the respective models. Mortality associations are expressed as a hazard ratio (HR) and 95% confidence interval (CI).

Data were summarized using proportions, means ( $\pm$ standard deviation, SD) or median (interquartile range) as appropriate, and were compared using ANOVA, Kruskal–Wallis and  $\chi^2$  tests, respectively. Patients were excluded from an analysis if they had missing covariate data (<1% for all tests). All statistical analyses were implemented using Stata, version 13.1 (Stata Corp LP).

#### RESULTS

### **Patient characteristics**

The baseline demographics, clinical and laboratory characteristics of the patients stratified by categories of baseline BMI

Table 1. Patient characteristics stratified by baseline body mass index categories

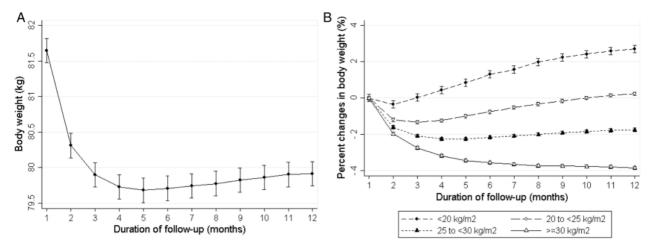
Characteristics	Total	Body mass index (kg/m²)						
	$(n = 58\ 106)$	<20 $(n = 3772)$	20 to <25 (n = 16 414)	25 to <30 (n = 17 385)	$\ge 30$ $(n = 20 535)$			
Age (years)	61.8 ± 14.8	62.5 ± 17.3	63.6 ± 15.9	62.7 ± 14.5	59.4 ± 13.2			
Gender: % women	43.8	49.4	37.6	38.8	51.8			
Race (%)								
White	42.1	40.0	40.2	41.5	44.4			
Black	34.1	35.5	31.5	32.5	37.4			
Hispanic	16.3	13.5	18.4	18.4	13.3			
Asian	3.5	7.2	5.7	3.3	1.2			
Other	4.0	3.9	4.2	4.2	3.7			
Primary insurance (%)								
Medicare	52.1	55.8	53.7	52.3	49.9			
Medicaid	7.5	9.3	8.3	7.4	6.6			
Other	40.4	34.9	38.0	40.3	43.4			
Initial vascular access type (%)								
Central venous catheter	73.9	76.8	74.9	73.3	73.1			
Arteriovenous fistula	17.2	14.1	16.6	18.1	17.4			
Arteriovenous graft	4.7	4.7	4.6	4.6	4.9			
Other and unknown	4.2	4.4	3.9	4.0	4.6			
Comorbidities (%)								
Diabetes	68.3	48.7	60.7	68.7	77.6			
Hypertension	53.1	58.9	56.3	53.3	49.4			
Congestive heart failure	44.5	36.7	39.6	43.6	50.7			
Atherosclerotic heart disease	16.6	16.1	16.6	16.6	16.7			
Other cardiovascular disease	16.3	16.8	16.4	16.4	16.2			
Cerebrovascular disease	1.8	1.9	1.9	1.8	1.7			
Dyslipidemia	29.8	28.0	28.5	30.3	30.9			
HIV	0.4	0.8	0.7	0.4	0.3			
Chronic obstructive pulmonary disease	4.9	5.4	4.1	4.8	5.5			
History of malignancy	2.0	2.2	2.2	2.0	1.7			
Alcohol dependence (%)	0.2	0.5	0.3	0.2	0.1			
Substance abuse (%)	0.2	0.6	0.3	0.2	0.1			
Body mass index (kg/m <sup>2</sup> )	$28.7 \pm 7.1$	$18.6 \pm 1.1$	$22.8 \pm 1.4$	$27.3 \pm 1.5$	$36.4 \pm 5.6$			
Post-dialysis weight at 1 month (kg)	$81.6 \pm 21.9$	$53.4 \pm 7.6$	$65.3 \pm 9.1$	$78.5 \pm 10.6$	$102.6 \pm 19.4$			
Post-dialysis weight at 5 months (kg)	$79.7 \pm 20.9$	$53.4 \pm 8.3$	$64.7 \pm 9.7$	$76.7 \pm 11.2$	98.9 ± 19.1			
Post-dialysis weight at 12 months (kg)	$79.9 \pm 21.0$	$54.8 \pm 9.0$	$65.5 \pm 10.4$	$70.7 \pm 11.2$ $77.1 \pm 12.2$	$98.5 \pm 19.7$			
Dialysis dose: single-pool Kt/V	$1.4 \pm 0.4$	$1.6 \pm 0.4$	$1.5 \pm 0.4$	$1.4 \pm 0.4$	$1.3 \pm 0.4$			
Dialysis dose, single-poor kt/v Dialysis ultrafiltration (kg)	$1.9 \pm 0.9$	$1.5 \pm 0.8$	$1.7 \pm 0.4$ $1.7 \pm 0.8$	$1.4 \pm 0.4$ $1.8 \pm 0.9$	$2.1 \pm 0.9$			
Normalized protein catabolic rate (g/kg/day)	$0.7 \pm 0.2$	$0.7 \pm 0.2$	$0.7 \pm 0.2$	$0.7 \pm 0.2$	$0.7 \pm 0.2$			
Laboratory parameters	0.7 ± 0.2	0.7 = 0.2	0.7 \(\times\) 0.2	0.7 ± 0.2	0.7 = 0.2			
Hemoglobin (g/dL)	$10.3 \pm 1.2$	102 + 12	$10.3 \pm 1.3$	$10.3 \pm 1.2$	$10.3 \pm 1.2$			
White blood cells ( $\times 10^3/\mu$ L)		$10.3 \pm 1.3$ $7.8 \pm 3.2$	$7.8 \pm 3.1$	$7.9 \pm 2.9$	$8.3 \pm 2.9$			
White blood cells (× 10 /μL) Lymphocyte (% of white blood cells)	$8.0 \pm 3.0$							
	$19.5 \pm 7.8$	$19.1 \pm 8.1$	$19.4 \pm 8.0$	$19.5 \pm 7.7$	$19.6 \pm 7.6$			
Albumin (g/dL)	$3.5 \pm 0.5$	$3.4 \pm 0.5$	$3.4 \pm 0.5$	$3.5 \pm 0.5$	$3.5 \pm 0.5$ $8.6 \pm 0.7$			
Calcium (mg/dL)	$8.5 \pm 0.7$	$8.5 \pm 0.8$	$8.5 \pm 0.7$	$8.5 \pm 0.7$				
Phosphorus (mg/dL)	$4.7 \pm 1.3$	$4.6 \pm 1.3$	$4.7 \pm 1.3$	$4.7 \pm 1.2$	$4.8 \pm 1.2$			
Creatinine (mg/dL)	$6.0 \pm 2.4$	$5.6 \pm 2.2$	$6.0 \pm 2.3$	$6.1 \pm 2.4$	$6.0 \pm 2.4$			
Bicarbonate (mEq/L) Total iron binding capacity (mg/dL)	$23.6 \pm 3.3$	$23.3 \pm 3.5$ $214.1 \pm 51.6$	$23.5 \pm 3.3$ $222.1 \pm 49.6$	$23.5 \pm 3.2$ $228.6 \pm 50.6$	$23.7 \pm 3.2$			

Data are presented as the mean  $\pm$  standard deviation or percentages.

are summarized in Table 1. The mean age of patients was 61.8 years (range, 18–109 years), 44% were females and 68% were diabetic. The mean starting post-dialysis weight and baseline BMI were 81.6  $\pm$  21.9 kg and 28.7  $\pm$  7.1 kg/m², respectively. Patients with a higher baseline BMI tended to be younger and diabetic, had a lower achieved dialysis adequacy, but had higher ultrafiltration. Patients in the lowest BMI group tended to be Asian and had a lower creatinine.

### Trends in weight change during the first year of dialysis

After initiating dialysis, patients' weight on average rapidly decreased and reached a nadir of weight at the 5th month of dialysis with an average weight drop of 2% from baseline; thereafter patient weights gradually increased up to the 12th month (Figure 2A). However, trends differed across groups of baseline BMI groups. Obese patients (BMI  $\geq$ 30 kg/m²) did not reach a nadir and lost  $\sim$ 3.8% of their weight by the 12th month



**FIGURE 2:** The trajectory of body weight changes over the first 1-year period of dialysis in an unadjusted model. (**A**) Change in weight expressed as kilograms in entire cohort. (**B**) Change in weight expressed as percentage stratified by four body mass index categories.

Table 2. Association of percent changes in body weight with all-cause mortality

	Minima	al		Case-m	ix		Case-mix and MICS			
	$(n = 58\ 106)$			(n=51)	052)		$(n = 38\ 828)$			
	HR	95% CI	P	HR	95% CI	P	HR	95% CI	P	
Between 1 and 5 months										
Continuous model										
(per 4% increase)	0.89	(0.88 - 0.90)	< 0.001	0.91	(0.90-0.92)	< 0.001	0.96	(0.95-0.98)	< 0.001	
Categorical model										
≤(−6%)	1.50	(1.44-1.58)	< 0.001	1.38	(1.31-1.46)	< 0.001	1.14	(1.07-1.22)	< 0.001	
$(-6\%)$ to $\leq (-2\%)$	1.18	(1.12-1.23)	< 0.001	1.15	(1.10-1.21)	< 0.001	1.08	(1.02-1.14)	0.013	
(-2%) to 2%	1.0			1.0			1.0			
2% to <6%	0.96	(0.90-1.01)	0.135	0.98	(0.92-1.04)	0.462	0.98	(0.92-1.06)	0.661	
≥6%	0.96	(0.89-1.04)	0.357	0.99	(0.90-1.08)	0.756	1.01	(0.91-1.11)	0.922	
Between 5 and 12 months										
Continuous model										
(per 4% increase)	0.85	(0.84-0.86)	< 0.001	0.84	(0.83-0.85)	< 0.001	0.92	(0.90-0.93)	< 0.001	
Categorical model										
≤(−6%)	1.94	(1.89-2.06)	< 0.001	1.96	(1.86-2.08)	< 0.001	1.36	(1.27-1.46)	< 0.001	
$(-6\%)$ to $\leq (-2\%)$	1.32	(1.26-1.39)	< 0.001	1.33	(1.26-1.40)	< 0.001	1.15	(1.08-1.22)	< 0.001	
(-2%) to 2%	1.0			1.0			1.0			
2% to <6%	0.89	(0.85-0.94)	< 0.001	0.88	(0.83 - 0.93)	< 0.001	0.91	(0.85-0.97)	0.003	
>6%	0.92	(0.87 - 0.98)	0.005	0.89	(0.84-0.85)	< 0.001	0.92	(0.86 - 0.99)	0.031	

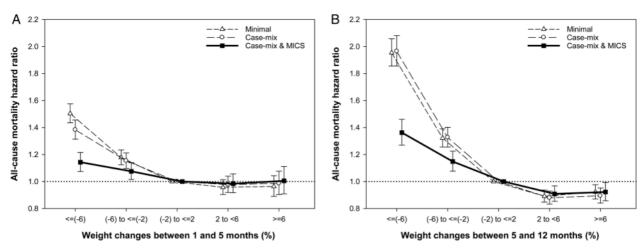
Adjustments in minimally adjusted models: mortality data and weight of first month in each treatment period; case-mix adjusted models: minimally adjusted model plus baseline characteristics of age, sex, race/ethnicity (white, African American, Hispanic, Asian or other), primary insurance (Medicare, Medicaid or other), vascular access type (central venous catheter, arteriovenous fistula, arteriovenous graft or other), 10 comorbid conditions (diabetes mellitus, hypertension, atherosclerotic heart disease, congestive heart failure, other cardiovascular disease, cerebrovascular disease, dyslipidemia, HIV, chronic obstructive pulmonary disease and malignancy), alcohol dependence, substance abuse, dialysis ultrafiltration and dialysis dose as indicated by single-pool Kt/V; case-mix plus malnutrition-inflammation-cachexia syndrome (MICS) models: case-mix adjusted model plus 10 laboratory variables including white blood cells, lymphocytes, serum albumin, creatinine, bicarbonate, calcium, phosphorus, hemoglobin, total iron binding capacity and normalized protein catabolic rate. HR, hazard ratio; CI, confidence interval.

(Figure 2B). In sensitivity analyses, when extending the observation period up to the first 2 years of dialysis in 33 209 patients who survived over 2 years of therapy, trends in weight change were observed to be similar (Supplementary data, Figure S1). In addition, we also examined the trends of change in monthly averaged ultrafiltration and post-dialysis SBP during the first year following dialysis initiation to further address the potential role of volume factors for change in body weight. We found that their trends did not mirror the body weight trajectory over time; ultrafiltration rapidly increased up to the 5th month of dialysis and then gradually increased without a nadir, whereas

post-dialysis SBP sharply decreased up to the 5th month of dialysis and continued to decrease by the 12th month of dialysis. Unlike body weight, the trends were also consistent across all baseline BMI categories (Supplementary data, Figure S2).

# Body weight changes and all-cause mortality

During the mean follow-up of 17.3 months, 12 913 deaths occurred with a crude mortality rate of 154 deaths per 1000 patient-years (95% CI, 151–157). To account for weight changes in the first year of dialysis and assessment of all-cause mortality, we performed Cox regression analyses separately according to



**FIGURE 3:** Association of percent changes in body weight with all-cause mortality (hazard ratios and 95% confidence interval error bars). (**A**) Weight changes between 1 and 5 months. (**B**) Weight changes between 5 and 12 months. MICS, malnutrition-inflammation-cachexia syndrome. Models adjusted for case—mix covariates and markers of malnutrition and inflammation (see text for covariate list).

two different treatment periods: changes in weight during the 1st to 5th months versus 5th to 12th months of dialysis initiation (Table 2; Figure 3). Given the body weight changes occurring between the 1st and 5th months, compared with the reference group (-2 to 2% of weight change), the association between weight changes of -6 to -2% and greater than or equal to -6% with mortality were: HR 1.08 (95% CI, 1.02–1.14; P = 0.013) and HR 1.14 (95% CI, 1.07–1.22; P < 0.001), respectively. Notably, the observed associations of weight changes with mortality were even stronger when changes in weight between the 5th to 12th months were considered. Each 4% increase of body weight between the 5th and 12th months was associated with an HR of 0.92 (95% CI, 0.90–0.93; P < 0.001), whereas this same degree of body weight change was associated with an HR of 0.96 (95% CI, 0.95-0.98; P < 0.001) over first 5 months. Moreover, patients with 2-6% and ≥6% of weight gains were significantly associated with 9% and 8% lower risks of death, respectively.

In addition, when we considered changes in body weight as an absolute amount (kg) as opposed to a proportion of overall weight, associations between weight change and mortality were similar and consistent, indicating that incrementally greater declines in post-dialysis dry weight during the first 12 months of dialysis therapy were associated with higher death risk, whereas weight gain was associated with greater survival during the 5th to 12th months, but not in the first 5 months of dialysis therapy (Supplementary data, Table S1).

# Mortality risk for combinations of weight change with baseline body mass index

As we found that the trends in weight change differ according to baseline BMI, we additionally examined for potential effect modification of baseline BMI on the weight-mortality relationship. Mortality risks for combinations of weight changes with baseline BMI are shown in Table 3 and Figure 4. Similar inverse relationships between percent changes in weight and mortality were observed in all stratified BMI groups; patients undergoing a weight loss in both intervals had a higher death

risk, whereas weight gain was associated with better survival, except in patients with extreme weight gain (>6%). Moreover, this relationship was particularly linear and strong among patients with normal BMI (20–25 kg/m<sup>2</sup>) at the time of dialysis initiation. When considering kilogram changes in weight, similar associations were found, suggesting that the association between weight changes and mortality might be influenced by baseline BMI, but the trend of an inverse relationship between the two is likely to be consistent across all BMI strata (Supplementary data, Figure S3). Finally, to address possible confounding effects between weight changes and ultrafiltration and malnutrition-inflammation, we performed additional sensitivity analyses based on a priori defined mean ultrafiltration (<1.5, 1.5 to <2.5, 2.5 to <3.5, and >3.5 kg) and baseline serum albumin concentrations (<3.2, 3.2 to <3.5, 3.5 to <3.8, and ≥3.8 g/dL) in each time period. These findings also showed that association between incrementally higher losses in post-dialysis weight and poorer survival was strong and consistent across all ultrafiltration and albumin groups (Supplementary data, Figures S4 and S5).

# **DISCUSSION**

In a large contemporary cohort of 58 106 patients treated with thrice-weekly MHD for up to 5 years, and who survived the first year of hemodialysis, we showed that patients undergo rapid weight losses in the first 5 months after starting dialysis, but that these trends differ across different baseline BMI strata. Moreover, incrementally greater declines in post-dialysis dry weight during the first 12 months of dialysis therapy were associated with a higher death risk, whereas weight gains were associated with greater survival during the 5th to 12th months, but not in the first 5 months of dialysis therapy. The linear inverse relationships between changes in weight and mortality were consistent across all baseline BMI strata.

It has been clinically observed that hemodialysis patients tend to undergo rapid weight loss in the first few months of

Table 3. Association of percent changes in body weight with all-cause mortality by baseline BMI groups

BMI	Weight changes	in body weight with all-cause mortality $ \frac{\text{Minimal}}{(n = 58\ 106)} $			Case-mix $(n = 51\ 052)$			Case-mix and MICS $(n = 38 828)$		
		HR	95% CI	P	HR	95% CI	P	HR	95% CI	P
Between 1 and	5 months									
< 20	$\leq (-6\%)$	1.98	(1.68-2.33)	< 0.001	2.04	(1.70-2.44)	< 0.001	1.52	(1.24-1.87)	< 0.001
	$(-6\%)$ to $\leq (-2\%)$	1.57	(1.37-1.81)	< 0.001	1.58	(1.36-1.83)	< 0.001	1.37	(1.16-1.63)	< 0.001
	(-2%) to 2%	1.25	(1.11-1.42)	< 0.001	1.38	(1.21-1.57)	< 0.001	1.25	(1.07-1.45)	0.004
	2% to <6%	1.13	(0.98-1.31)	0.089	1.31	(1.12-1.53)	0.001	1.16	(0.97-1.39)	0.108
	≥6%	1.32	(1.14-1.55)	< 0.001	1.47	(1.24-1.74)	< 0.001	1.41	(1.15-1.72)	0.001
20 to <25	≤(−6%)	1.54	(1.41-1.67)	< 0.001	1.40	(1.27-1.53)	< 0.001	1.14	(1.02-1.27)	0.020
	$(-6\%)$ to $\leq (-2\%)$	1.13	(1.04-1.23)	0.005	1.10	(1.01-1.20)	0.038	0.99	(0.89-1.10)	0.836
	(-2%) to $2%$	1.0			1.0			1.0		
	2% to <6%	0.92	(0.84-1.02)	0.106	0.93	(0.84-1.03)	0.152	0.90	(0.80-1.02)	0.091
	≥6%	0.85	(0.75-0.96)	0.010	0.90	(0.78-1.03)	0.117	0.92	(0.79-1.07)	0.298
25 to <30	≤(−6%)	1.20	(1.10-1.30)	< 0.001	1.07	(0.98-1.17)	0.136	0.92	(0.83-1.02)	0.131
	$(-6\%)$ to $\leq (-2\%)$	1.03	(0.95-1.12)	0.443	0.99	(0.90-1.08)	0.760	0.98	(0.88-1.08)	0.647
	(-2%) to $2%$	0.83	(0.76-0.89)	< 0.001	0.81	(0.75-0.89)	< 0.001	0.83	(0.76-0.92)	< 0.001
	2% to <6%	0.77	(0.69-0.86)	< 0.001	0.77	(0.69-0.86)	< 0.001	0.83	(0.73-0.94)	0.005
	≥6%	0.72	(0.61-0.85)	< 0.001	0.75	(0.62-0.90)	0.002	0.81	(0.65-1.00)	0.052
≥30	≤(−6%)	1.05	(0.97-1.13)	0.221	0.97	(0.89-1.06)	0.489	0.85	(0.75-0.92)	< 0.001
	$(-6\%)$ to $\leq (-2\%)$	0.78	(0.72-0.84)	< 0.001	0.77	(0.70-0.84)	< 0.001	0.75	(0.67-0.83)	< 0.001
	(-2%) to $2%$	0.68	(0.62-0.73)	< 0.001	0.67	(0.62-0.74)	< 0.001	0.71	(0.64-0.78)	< 0.001
	2% to <6%	0.72	(0.64-0.81)	< 0.001	0.77	(0.68-0.87)	< 0.001	0.84	(0.72-0.97)	0.015
	≥6%	0.85	(0.70-1.04)	0.126	0.82	(0.65-1.03)	0.089	0.81	(0.62-1.06)	0.127
Between 5 and	12 months									
< 20	$\leq (-6\%)$	3.04	(2.58-3.58)	< 0.001	3.18	(2.64-3.82)	< 0.001	1.67	(1.33-2.10)	< 0.001
	$(-6\%)$ to $\leq (-2\%)$	1.89	(1.63-2.20)	< 0.001	2.17	(1.84-2.56)	< 0.001	1.66	(1.37-2.02)	< 0.001
	(-2%) to $2%$	1.37	(1.22-1.55)	< 0.001	1.51	(1.32-1.73)	< 0.001	1.39	(1.19-1.62)	< 0.001
	2% to <6%	0.97	(0.83-1.12)	0.654	1.09	(0.92-1.27)	0.315	1.05	(0.87-1.26)	0.629
	≥6%	1.23	(1.06-1.41)	0.005	1.25	(1.07-1.46)	0.004	1.16	(0.97-1.38)	0.103
20 to <25	≤(−6%)	2.38	(2.07-2.51)	< 0.001	2.32	(2.09-2.58)	< 0.001	1.49	(1.31-1.68)	< 0.001
	$(-6\%)$ to $\leq (-2\%)$	1.36	(1.24-1.50)	< 0.001	1.38	(1.25-1.53)	< 0.001	1.13	(1.01-1.28)	0.035
	(-2%) to $2%$	1.0			1.0			1.0		
	2% to <6%	0.93	(0.85-1.02)	0.106	0.94	(0.86-1.04)	0.223	0.94	(0.85-1.05)	0.277
	≥6%	0.88	(0.80-0.97)	0.012	0.87	(0.78-0.97)	0.010	0.89	(0.79-1.00)	0.059
25 to <30	≤(−6%)	1.70	(1.55-1.87)	< 0.001	1.59	(1.44-1.77)	< 0.001	1.19	(1.05-1.34)	0.005
	$(-6\%)$ to $\leq (-2\%)$	1.19	(1.09-1.31)	< 0.001	1.13	(1.02-1.25)	0.015	1.04	(0.92-1.16)	0.548
	(-2%) to $2%$	0.87	(0.80-0.94)	< 0.001	0.84	(0.77-0.92)	< 0.001	0.88	(0.80-0.98)	0.018
	2% to <6%	0.75	(0.69-0.82)	< 0.001	0.72	(0.65-0.80)	< 0.001	0.77	(0.69-0.87)	< 0.001
	≥6%	0.81	(0.73-0.90)	< 0.001	0.77	(0.69-0.87)	< 0.001	0.85	(0.75-0.98)	0.020
≥30	≤(−6%)	1.30	(1.10-1.42)	< 0.001	1.28	(1.16-1.41)	< 0.001	0.95	(0.84-1.06)	0.357
	$(-6\%)$ to $\leq (-2\%)$	0.90	(0.83-0.99)	0.028	0.87	(0.78-0.96)	0.005	0.80	(0.71-0.90)	< 0.001
	(-2%) to $2%$	0.71	(0.65-0.77)	< 0.001	0.69	(0.63-0.76)	< 0.001	0.71	(0.64-0.79)	< 0.001
	2% to <6%	0.69	(0.63-0.76)	< 0.001	0.64	(0.58-0.72)	< 0.001	0.69	(0.61-0.78)	< 0.001
	≥6%	0.78	(0.70-0.87)	< 0.001	0.76	(0.67-0.87)	< 0.001	0.76	(0.65-0.88)	< 0.001

Adjustments in minimally adjusted models: mortality data and weight of first month in each treatment period; case-mix adjusted models: minimally adjusted model plus baseline characteristics of age, sex, race/ethnicity (white, African American, Hispanic, Asian or other), primary insurance (Medicare, Medicaid or other), vascular access type (central venous catheter, arteriovenous fistula, arteriovenous graft or other), 10 comorbid conditions (diabetes mellitus, hypertension, atherosclerotic heart disease, congestive heart failure, other cardiovascular disease, cerebrovascular disease, dyslipidemia, HIV, chronic obstructive pulmonary disease and malignancy), alcohol dependence, substance abuse, dialysis ultrafiltration and dialysis dose as indicated by single-pool kt/V; case-mix plus malnutrition-inflammation-cachexia syndrome (MICS) models: case-mix adjusted model plus 10 laboratory variables including white blood cells, lymphocytes, serum albumin, creatinine, bicarbonate, calcium, phosphorus, hemoglobin, total iron binding capacity and normalized protein catabolic rate. BMI, body mass index; HR, hazard ratio; CI, confidence interval.

starting dialysis, but this observation has not yet been characterized in large observational studies. The robust analyses of our study using linear regression models clearly showed that patients who survived the first year of dialysis reached a nadir of weight at the 5th month of dialysis with an average decline of 2% from baseline. When extending the observation period up to the first 2 years of dialysis, this trend was consistent and robust, supporting that body weight is a fluctuating parameter in patients undergoing MHD. Moreover, obese patients (BMI  $\geq$ 30

kg/m<sup>2</sup>) did not reach a nadir and lost  $\sim$ 3.8% of their weight by the 12th month, suggesting that the trajectory of weight change during the first year of therapy may differ by baseline BMI.

A few prior studies have examined the association of weight change over time on dialysis with mortality outcomes, but have focused solely on the first few months after dialysis initiation [19–23]. Kalantar-Zadeh *et al.* [19] explored the impact of changes in weight by examining the regression slope of changes in weight over time on all-cause and cardiovascular mortality in

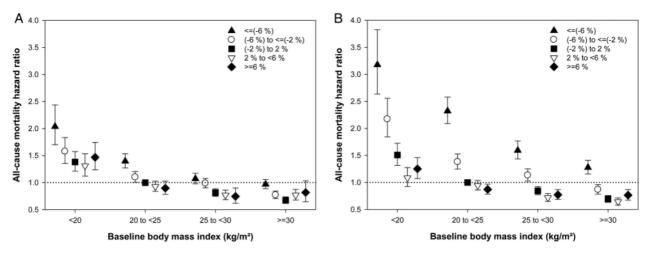


FIGURE 4: All-cause mortality hazard ratios by percent changes in weight and baseline body mass index. (A) Weight changes between 1 and 5 months. (B) Weight changes between 5 and 12 months. Models adjusted for case-mix covariates and markers of malnutrition and inflammation (see text for covariate list).

a 2-year non-concurrent cohort of 54 535 MHD patients in the USA and found that progressively worsening weight loss was significantly associated with poorer survival and higher cardiovascular mortality. In addition, Chazot et al. [20] conducted a prospective observational study of 5592 incident hemodialysis patients in Southern Europe between January 2000 and September 2005 and also showed that patients with a decrease in weight (less than -5.8% in 1 year) had significantly lower survival compared with patients whose body weight remained stable during the first year of dialysis. Similarly, several recent studies on weight changes among patients treated with MHD have consistently described that short-term weight gains and losses were associated with lower and higher mortality risk, respectively, in the first 6 months of dialysis [21-23]. Of note, none of the aforementioned studies described the patients' weight change trajectory over time, or took into consideration weight change-mortality association analyses.

To date, only one other study has examined the association of weight trajectories in the first year of dialysis with survival. In a study of 363 patients who initiated hemodialysis in France and survived the first year of therapy, the authors reported that body weight decreased by 6.5% during the first 8 weeks, but the initial weight change was not associated with patient survival [27]. In contrast, in our large study, patients reached a nadir of weight at the 5th month of dialysis with an average decline of 2% from baseline, and weight loss in this period was also significantly associated with a higher mortality. Notwithstanding this difference, both studies corroborate that there may be a favorable impact of weight gain after an initial phase of weight changes on patient survival. The rapid loss of weight in the post-dialysis period is likely due to ultrafiltration and dry weight achievement; this is presumably more accentuated in patients with more volume overload in the pre-renal replacement therapy period. Hence the association of initial rapid weight loss with mortality could reflect an underlying more severe congestive heart failure (something that may not be captured by the binomial congestive heart failure diagnosis used for adjustment). The weight change occurring later is more likely to

reflect changes in nutritional status. These two different mechanisms may also explain why the associations with mortality are quantitatively different in the two time periods, being more robust in the later one.

Another notable finding in our study is the impact of baseline BMI on the association of change in weight with mortality. This is of particular interest because it could be speculated that weight changes in obese and lean patients may be precipitated by different factors and thereby may have different associations with mortality. Interestingly, one recent study of 6797 European prevalent hemodialysis patients recruited and followed prospectively for over 3 years addressed this issue [23]. They evaluated the association of weight changes in the first 6 months of dialysis with mortality and showed that weight loss during the first 6 months of dialysis was associated with higher mortality independent of baseline BMI, while a 6-month dry weight gain was associated with lower mortality in all BMI groups except among obese patients (BMI >30 kg/m<sup>2</sup>), in whom no benefit was observed. Similarly, in our study, weight gain was significantly associated with greater survival during the 5th to 12th months, but not in the first 5 months of dialysis therapy (Table 2). On the other hand, compared with patients with normal BMI (20-25 kg/m<sup>2</sup>) and minimal changes in weight (-2 to 2% of weight) as reference, obese patients (BMI >30 kg/m<sup>2</sup>) with weight gains of 2–6% and >6% during the 5th to 12th months had 31 and 24% lower risks of death, respectively (Table 3). This finding suggests that weight gain may indeed be protective and affords survival advantages in obese MHD patients. Most likely, the more subdued benefit associated with weight gain in patients with baseline obesity may not be readily apparent; again it is more crucial to understand the dynamics of weight changes over time and their impact on patient survival across the different time periods.

Despite the strengths of our study including a large sample size of >50 000 MHD patients, a relatively long follow-up for up to 5 years and serial monthly weight measurements that enabled linear mixed regression models to account for the trajectory of weight changes over time, several potential limitations

should be mentioned. First, observed changes in weight may have been unintentional, and our study cannot differentiate between intentional versus spontaneous weight loss or gain. Moreover, it is unclear what the exact reason(s) for the observed weight change might be; these could include changes in hydration status and body composition (i.e. water, lean body mass and fat mass) over time, or a combination of them. Second, we cannot definitely determine whether weight loss contributes directly to patient death or may be confounded by the poorer health status of patients [28]. However, given the recent observational study showing the linear inverse association of BMI and patient survival using a quasi-experimental marginal structural model design, we might postulate that the obesity paradox in ESRD is unlikely to be due to residual confounding alone and has biologic plausibility [29]. However, interventional studies are warranted to examine this hypothesis. Third, residual confounding may still be a limitation as we did not have complete data on intercurrent illnesses, residual renal function and inflammatory markers such as serum C-reactive protein. Additional confounding factors such as acute illnesses, hospitalization and infection were not captured in this database. Therefore, we cannot assume that all measured covariates are sufficient to adjust for all biases; nonetheless, it can be addressed at least in part by vigorous adjustment for measured covariates such as demographic, clinical and laboratory parameters. Finally, it should be mentioned that our study examined US patients who survived the first year of dialysis, which may raise concerns about selection bias. Thus, the results of our study should be interpreted in light of some potential survival bias and the differences in obesity prevalence trends between the USA and other regions such as Europe and Asia.

In conclusion, among patients treated with MHD who survived the first year of dialysis, post-dialysis dry weight change over time reaches a nadir at the 5th month after dialysis initiation. Incrementally larger drops in post-dialysis dry weight over the first year of dialysis therapy are associated with higher death risk, whereas weight gain is associated with greater survival only during the 5th to 12th months, but not in the first 5 months of therapy. Moreover, this detrimental or protective association of weight loss or gain with patient survival is consistent across all baseline BMI categories. These findings may have important clinical implications in dialysis patient care. The interesting results of this study warrant further investigation through interventional trials in MHD patients.

#### SUPPLEMENTARY DATA

Supplementary data are available online at http://ndt.oxfordjour nals.org.

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#### CONFLICT OF INTEREST STATEMENT

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# Predicting 6-month mortality risk of patients commencing dialysis treatment for end-stage kidney disease

Sara E. Ivory<sup>1</sup>, Kevan R. Polkinghorne<sup>1,2</sup>, Yeasmin Khandakar<sup>1</sup>, Jessica Kasza<sup>1</sup>, Sophia Zoungas<sup>3</sup>, Retha Steenkamp<sup>4</sup>, Paul Roderick<sup>5</sup> and Rory Wolfe<sup>1</sup>

<sup>1</sup>Department of Epidemiology and Preventive Medicine, Monash University, Melbourne, Victoria, Australia, <sup>2</sup>Department of Nephrology, Monash Health, Monash Medical Centre, Clayton, Victoria, Australia, <sup>3</sup>Monash Centre for Health Research and Implementation, School of Public Health and Preventive Medicine, Monash University, Monash Health, Melbourne, Victoria, Australia, <sup>4</sup>UK Renal Registry, Southmead Hospital, Bristol, UK and <sup>5</sup>Academic Unit of Primary Care and Population Sciences, University of Southampton, Southampton, UK

Correspondence and offprint requests to: Sara E. Ivory; E-mail: sara.ivory@monash.edu

#### ABSTRACT

**Background.** There is evidence that end-stage kidney disease patients who are older or with more comorbidity may have a poor trade-off between benefits of dialysis and potential harms. We aimed to develop a tool for predicting patient mortality in the early stages of receiving dialysis.

**Methods.** In 23 658 patients aged 15+ years commencing dialysis between 2000 and 2009 in Australia and New Zealand a point score tool was developed to predict 6-month mortality based on a logistic regression analysis of factors available at dialysis initiation. Temporal validation used 2009–11 data from Australia and New Zealand. External validation used the UK Renal Registry.

**Results.** Within 6 months of commencing dialysis 6.1% of patients had died. A small group (4.7%) of patients had a high predicted mortality risk (>20%), as predicted by the point score tool. Predictive variables were: older age, underweight, chronic lung disease, coronary artery disease, peripheral vascular disease, cerebrovascular disease (particularly for patients <60 years of age), late referral to nephrologist care and underlying cause of renal disease. The new point score tool outperformed existing models, and had an area under the receiver operating characteristic curve of 0.755 on temporal validation with acceptable calibration and 0.713 on external validation with poor calibration. **Conclusion.** Our point score tool for predicting 6-month mor-

**Conclusion.** Our point score tool for predicting 6-month mortality in patients at dialysis commencement has sufficient prognostic accuracy to use in Australia and New Zealand for