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## Lobular Carcinoma in Situ

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## Synopsis

LCIS is both a risk factor and a non-obligate precursor of breast carcinoma. The relative risk of invasive carcinoma after a diagnosis of classic LCIS is approximately 9-10 times that of the general population. LCIS and ILC share common copy number alterations and somatic mutations. A subset of LCIS is clonally related to synchronous or subsequent invasive breast carcinoma. Classic LCIS diagnosed on core biopsy with concordant imaging and pathologic findings does not mandate surgical excision. The margin status of classic LCIS is not reported. Active surveillance and chemoprevention are management options for classic LCIS. The identification of variant LCIS, in a needle core biopsy specimen mandates surgical excision, regardless of radiologic-pathologic concordance. The presence of variant LCIS close to the surgical margin of a resection specimen is reported, and re-excision should be considered.

## Keywords

pleomorphic lobular carcinoma in situ; variant lobular carcinoma in situ; E-cadherin; p120; *CDH1*; core biopsy

Foote and Stewart first described LCIS in 1941 as a rare form of mammary cancer originating in lobules and terminal ducts <sup>1</sup>. They reported all the key morphologic features of LCIS that still hold true and accurate today. 1) LCIS is an incidental microscopic finding: "There is no way in which a clinical diagnosis of lobular carcinoma in situ can be made"... "There is no way by which it can be recognized grossly". 2) LCIS has characteristic morphologic features: "The cells lose polarity, varying in shape while maintaining surprisingly uniform size". 3) LCIS is multifocal: "it is always a disease of multiple foci". The aforementioned features characterize the so called "classic" form of LCIS. Even though classic LCIS constitutes both a risk factor *and* a non-obligate precursor of invasive breast cancer, it is currently managed as a benign lesion, and does not require complete removal and/or evaluation of margin status. Hormonal chemoprevention is recommended for patients

DISCLOSURE:

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with classic LCIS. In the 8th edition of the TNM staging by the American Joint Committee on Cancer (AJCC)<sup>2</sup>, LCIS is no longer staged as Tis<sup>3</sup>.

## Epidemiology

Classic LCIS (LCIS) usually is an incidental finding in a breast needle core biopsy or surgical excision specimen targeting another lesion. It is therefore difficult to estimate the actual incidence of LCIS. LCIS is identified in 0.5-1.5% of benign breast biopsies <sup>4-6</sup> and in 1.8-2.5% of all breast biopsies<sup>4, 7</sup>. In a population-based study using data from the Surveillance, Epidemiology, and End Results (SEER) program <sup>8</sup> the incidence of LCIS in women without prior history of in situ or invasive breast carcinoma increased from 0.90/100,000 person-year in 1978-1980 to 3.19/100,000 person-year in 1996-1998 <sup>8, 9</sup>. The increased incidence of LCIS is likely due to the increased use of mammographic screening and biopsy of mammographically indeterminate or suspicious lesions. Age-specific incidence analysis revealed that the magnitude of the increase was highest among women ages 50 years, the age group most likely to participate in routine mammographic screening<sup>9</sup>.

## **Clinical features**

LCIS occurs predominantly in premenopausal women, with mean and median age at diagnosis of 49 and 50 years, respectively (range 20s-80s)<sup>10-13</sup>. LCIS is multicentric in 60-80% of patients <sup>14</sup> and bilateral in 20-60% <sup>11, 15, 16</sup>. Classic LCIS is clinically and mammographically occult, although recent studies report an association with grouped amorphous or granular mammographic calcifications <sup>13, 17</sup>, or heterogeneous non-mass-like enhancement with persistent enhancement kinetics on MRI<sup>17</sup>. LCIS variants, such as pleomorphic LCIS and LCIS with central necrosis, are usually detected mammographically due to associated pleomorphic calcifications, or can present as a mass lesion with or without associated calcifications <sup>13, 18-25</sup>.

## Histopathology

#### **Classic LCIS**

LCIS is a proliferation centered in the terminal ductal lobular units (TDLUs), and consists of neoplastic cells that fill and expand most (>50%) of the acini (Fig. 1). Pagetoid extension into the terminal ducts with growth of LCIS cells underneath the ductal epithelium is also common. The cross-section of a duct with pagetoid involvement by LCIS has a characteristic "cloverleaf" pattern (Fig. 2). Classic LCIS is a monomorphic, dyshesive proliferation of non-polarized cells with round to oval shape, inconspicuous cytoplasm. The nuclei are located in the center of the cells, and are small, round to oval, with smooth nuclear membrane and inconspicuous nucleoli (Fig. 3). Cell borders are indistinct. Intracytoplasmic vacuoles are common, and signet-ring cell formation can occur (Fig. 4). Mitotic activity is absent to exceedingly rare.

The cells of classic LCIS can have scant cytoplasm ("small cells" or "type A" cells)  $^{10}$  or be a bit larger ("large cell" type or "type B")  $^{10}$ , with slightly more abundant cytoplasm,

slightly bigger nuclei and more prominent nucleoli (Fig. 5). The two cell types can coexist in the same patient, in the same breast, and even in the same lobule. In the absence of necrosis or marked nuclear pleomorphism, LCIS composed predominantly of large cells is best classified as classic LCIS.

The term lobular neoplasia refers to a morphologic spectrum of lesions including atypical lobular hyperplasia (ALH) and classic LCIS. The cells composing ALH are morphologically indistinguishable from those of classic LCIS, but the proliferation is limited to less than 50% of the acini of the terminal duct lobular units and none of the acini is markedly expanded (Fig. 6) <sup>6, 26</sup>. ALH has similar genetic alterations and immunohistochemical profile as classic LCIS, and the two lesions often coexist. ALH is associated with a 4-to-5 fold increase in the risk of subsequent breast carcinoma.

#### **LCIS Variants**

**Pleomorphic LCIS (P-LCIS)**—The term "pleomorphic" was first used to qualify a variant of invasive lobular carcinoma (ILC) showing the infiltrative pattern characteristic of classic ILC (single cell files or targetoid arrangement of dyshesive cells), but having marked nuclear pleomorphism <sup>27-30</sup>. Compared to classic ILC, pleomorphic ILC (P-ILC) consists of larger cells with round to ovoid shape, abundant cytoplasm, and large hyperchromatic nuclei that tend to be located slightly off-center, and have prominent nucleoli (Fig. 7). Binucleation is common. The cytoplasm is often eosinophilic, and slightly granular or foamy. Intracytoplasmic vacuoles similar to those seen in classic ILC are common. Mitotic activity is evident. Some P-ILC show apocrine differentiation.

Pleomorphic LCIS (P-LCIS) was first described by Frost et al in 1996 <sup>31</sup>. The authors reported a case of PILC with extensive in situ component that was morphologically similar to the P-ILC, centered in the lobules or with pagetoid extension into ducts. Middleton et al identified P-LCIS in 17 of 38 cases (45%) of P-ILC <sup>32</sup>. Sneige et al <sup>22</sup> studied 10 cases of P-LCIS without associated invasive carcinoma and 14 cases of P-LCIS with associated P-ILC, and found the histologic features of P-LCIS to be similar in cases with and without invasive carcinoma. Classic LCIS coexisted with P-LCIS in over 40% of the cases <sup>22</sup>. Central necrosis and calcifications are common in P-LCIS (Fig. 8), and mitoses are evident. In contrast to classic LCIS, P-LCIS is often detected mammographically as an area of calcifications, architectural distortion, and less frequently, as a mass lesion with or without associated calcifications <sup>20, 22, 23, 25, 33, 34</sup>. Patients with PLCIS tend to be significantly older than patients with classic LCIS <sup>20, 23, 34</sup>, and most are postmenopausal <sup>20, 23, 25</sup>. Some authors further categorize P-LCIS into apocrine and non-apocrine types <sup>23</sup>. The cells of apocrine P-LCIS have abundant eosinophilic cytoplasm, and are frequently binucleated. Apocrine P-LCIS seems to be more common in postmenopausal women <sup>23</sup>.

Due to its solid growth pattern, marked nuclear pleomorphism, and presence of necrosis and calcifications, P-LCIS closely mimics ductal carcinoma in situ (DCIS) (Fig. 9). However, the cells of P-LCIS are dyshesive, lack true cell polarity, and do not form secondary lumina. Immunohistochemical stains for E-cadherin and p120 can help resolve the differential diagnosis of P-LCIS vs DCIS in ambiguous cases (see Immunohistochemistry).

LCIS with necrosis (also known as "florid" LCIS)—LCIS with necrosis refers to a proliferative lesion that exhibits the cytologic features of classic LCIS, including cell dyshesion and type A or type B morphology, but is characterized by massive expansion of the acini (at least 50 cells across the diameter of an acinus) and central necrosis (Fig. 10), which are incompatible with the diagnosis of classic LCIS. The necrotic foci often harbor calcifications. This lesion is also referred as "florid" LCIS <sup>24, 35</sup>. LCIS with necrosis also tends to occur at an older age than classic LCIS, and is commonly associated with invasive carcinoma <sup>19</sup>. Fadare et al reported 18 cases of LCIS with comedo type necrosis, 12 (67%) of which had associated invasive carcinoma, most commonly ILC with classic morphology <sup>19</sup>. Like P-LCIS, LCIS with necrosis closely mimics solid DCIS. Among the 18 cases of LCIS on core biopsy <sup>19</sup>. Immunohistochemical stains for E-cadherin and p120 can be useful to confirm the diagnosis in cases with ambiguous morphology (see Immunohistochemistry).

## Immunohistochemistry

#### E-cadherin

Rasbridge et al first reported the loss of E-cadherin expression in ILC in 1993 <sup>36</sup>. In the same year, several other investigators reported similar findings, as well as complete or partial loss of E-cadherin in LCIS and ALH <sup>37, 38</sup>. E-cadherin, a member of the cadherin family, is a calcium dependent cell-cell adhesion glycoprotein in epithelial cells <sup>39, 40</sup>. E-cadherin is encoded by the *CDH1* gene, located on chromosome 16q22.1 <sup>41</sup>. Using polymerase chain reaction (PCR)/single strand conformation polymorphism (SSCP) assay, Berx et al detected truncation mutations in the extracellular domain of Ecadherin, in combination with loss of heterozygosity (LOH) of chromosome 16q22.1 containing the *CDH1* gene in over 50% of the ILC examined <sup>41, 42</sup>. The same truncating mutations and LOH of the wild-type allele was also identified in LCIS <sup>43</sup>.

By immunohistochemistry, normal mammary glands, DCIS, and most invasive ductal carcinomas (IDCs) show strong and continuous membranous staining for E-cadherin (Fig. 11a-b). In contrast, ILC and LCIS, including all LCIS variants, are characterized by loss or aberrant expression of the E-cadherin protein (Fig. 12a-b). Immunohistochemical stain for E-cadherin is routinely used to distinguish lobular from ductal lesions, and is especially useful in separating LCIS variants from DCIS in cases with ambiguous morphology (Fig. 13a-b, Fig. 14a-b). Most cases of LCIS demonstrate complete loss of E-cadherin staining (Fig. 14b), but in some cases of LCIS, attenuated E-cadherin expression is observed, with scattered cells showing dot-like discontinuous and weak membranous staining or patchy cytoplasmic staining (Fig. 13b).

The results of immunohistochemical staining should always be interpreted in the context of morphologic findings. Aberrant E-cadherin staining patterns have been documented in invasive mammary carcinoma and LCIS <sup>44-48</sup>. In particular, the finding of membranous E-cadherin with attenuated intensity does not preclude the diagnosis of LCIS in cases with conventional lobular morphology, and is attributed to presence of dysfunctional E-cadherin protein <sup>45, 47</sup>.

## p120-catenin

p120, a tyrosine kinase substrate, interacts with E-cadherin and catenins and is required for cadherinmediated cell-cell adhesion 49-52. Cadherins are both necessary and sufficient to recruit p120 to the cell membrane <sup>52</sup>. In cells lacking functional cadherins, p120 is located in the cytoplasm (Fig. 15a-b) 52. Sarrio et al reported cytoplasmic localization of p120 in all stages of lobular neoplasia, including ALH, LCIS, ILC, and metastatic lobular carcinoma <sup>53</sup>. The authors analyzed 326 breast biopsies using tissue microarrays, including 219 IDC, 69 ILC, 29 ALH and 9 LCIS <sup>53</sup>. Immunohistochemical stain for p120 showed diffuse cytoplasmic staining in 90% (26/29) of ALH and 100% (9/9) of LCIS 53. In contrast, ductal carcinoma showed reduced membranous staining for p120 but no cytoplasmic distribution  $^{53}$ . Cytoplasmic location of p120 was significantly associated with the absence of E-cadherin expression. Using an MDA-231 breast cancer cell line which is negative for E-cadherin due to promoter hypermethylation, the authors demonstrated that restored expression of Ecadherin induced a shift of p120 protein from the cytoplasm to the membrane, where p120 colocalized with re-expressed E-cadherin <sup>53</sup>. Dabbs et al evaluated the diagnostic utility of p120<sup>54</sup> in 64 ILC (49 classic and 15 pleomorphic) and 62 IDC. They documented loss of Ecadherin and intense cytoplasmic staining of p120 in all ILC cases. The immunostaining pattern for E-cadherin and p120 correlated with histology in 100% of the cases <sup>54</sup>. Based on these findings, the authors concluded cytoplasmic staining of p120 is a useful, positive marker for lobular neoplasia <sup>54</sup>. Diffuse cytoplasmic staining pattern for p120 is also observed in P-LCIS 55.

#### Estrogen receptor (ER), progesterone receptor (PR) and HER2

Classic LCIS is usually positive for ER and/or PR and negative for HER2 <sup>56</sup>. Immunohistochemical stains were performed in a subset of LCIS samples from patients enrolled in National Surgical Adjuvant Breast Project (NSABP) Protocol B-17 <sup>56</sup>, and all cases tested were positive for ER and PR, and negative for HER2 <sup>56</sup>. In P-LCIS, ER is positive in 72-100% of the cases, PR in 50-100% of cases, and HER2 is overexpressed in 1-41% of the cases <sup>20, 22, 23, 32, 33</sup>. In a study of 31 cases of P-LCIS, including 13 P-LCIS with apocrine morphology <sup>23</sup>, ER and PR expression was detected in all non-apocrine P-LCIS, but only in 20% of apocrine P-LCIS <sup>23</sup>. HER2 overexpression was identified in 13% of all P-LCIS, and was restricted to the apocrine P-LCIS <sup>23</sup>. At present, there is no recommendation to test and report ER, PR and HER2 status in LCIS.

## **Differential Diagnosis and Diagnostic Pitfalls**

#### LCIS variants mimic solid DCIS

P-LCIS and LCIS with necrosis exhibit histologic features usually seen in DCIS, which may lead to possible misdiagnosis as DCIS with solid morphology. Sullivan et al retrospectively performed E-cadherin staining in a series of core biopsies with original diagnoses of solid DCIS <sup>57</sup>. Ten of 75 (13.3%) cases were reclassified as LCIS, including 9 LCIS variant (5 P-LCIS and 4 LCIS with necrosis) and 1 classic LCIS <sup>57</sup>. LCIS variants display some morphologic features characteristic of LCIS, such as dyshesive growth, lack of microacinar formation, intracytoplasmic lumina and signet ring cell morphology. The identification of any of these morphologic features in an intraductal solid proliferation should raise the

differential diagnosis of LCIS variant. The latter diagnosis can be confirmed using immunohistochemical stains for Ecadherin and p120. LCIS shows loss of membranous staining for E-cadherin and diffuse cytoplasmic accumulation of p120. The management of patients with variant LCIS and no invasive carcinoma remains highly controversial, especially with respect to radiation therapy. Information regarding the clinical follow-up of patients with diagnosis of P-LCIS remains extremely limited, but a few small series suggest that P-LCIS tends to recur locally with or without associated invasion. Some series found lower recurrence rates in patients treated with radiation therapy <sup>20, 25, 34</sup>.

#### Classic LCIS involving collagenous spherulosis mimics low grade cribriform DCIS

Collagenous spherulosis, first described by Clement et al in 1987<sup>58</sup>, is a benign lesion consisting of globoid deposits of variably collagenized matrix surrounded by basement membrane and myoepithelium. This alteration is often associated with myoepithelial hyperplasia. Collagenous spherulosis is usually an incidental microscopic finding, but in some cases can be detected mammographically due to associated calcifications<sup>59</sup>. LCIS often involves foci of collagenous spherulosis, in a pattern that can mimic low grade cribriform DCIS (Fig. 16a-b) <sup>59-62</sup>. The key morphologic features distinguishing LCIS in collagenous spherulosis from low grade cribriform DCIS, first reported by Sgroi and Koerner <sup>60</sup>, include the myoepithelial nature of the cells surrounding the spaces, the presence of basement membrane-like material within the pseudo-glandular spaces, and the dyshesive growth of the neoplastic cells which show cytomorphology of classic LCIS. Resetkova et al reviewed 59 cases of collagenous spherulosis, and found LCIS involving collagenous spherulosis in 15 of 59 cases <sup>59</sup>, including four cases initially misinterpreted as DCIS by the submitting pathologist <sup>59</sup>.

#### LCIS involving sclerosing adenosis mimics invasive lobular carcinoma

Sclerosing adenosis is a benign sclerosing alteration of the TDLU, characterized by distortion of acini and glands due to abundant deposition of periglandular basement membrane and stromal sclerosis (Fig. 17). Myoepithelial hyperplasia, and epithelial calcifications are common. Sclerosing adenosis can be a focal finding, or it may diffusely involve the breast. Sclerosing adenosis is often detected mammographically due to associated calcifications or a mass lesion. Classic LCIS often involves foci of sclerosing adenosis, in an arrangement that can closely simulate invasive carcinoma (Fig. 18a-b). The absence of stromal desmoplasia, the presence of a thick basement membrane, and retained myoepithelial cells around the sclerosed glands and tubules are useful diagnostic clues. Immunohistochemical stains for myoepithelial markers can be used in difficult cases (Fig. 18b).

## Genetics

Lakhani et al first reported loss of heterozygosity (LOH) on chromosome 16q, 17q, 17p, and 13q in LCIS, using microdissection, polymorphic DNA markers and PCR assay <sup>63</sup>. Comparative genomic hybridization (CGH) analysis of LCIS revealed a low average rate of copy number changes and no evidence of amplifications. The most frequent chromosome alteration in LCIS is the loss of 16q, followed by gain of 1q <sup>64-68</sup>. Other recurrent genetic

alterations include loss of 16p, 17p, 22q<sup>64</sup>. LCIS and ALH have similar patterns of genomic alterations<sup>64</sup>. LCIS/ALH and ILC share some common chromosome alterations, however, the average number of copy number changes in LCIS/ALH is significantly lower than in ILC and invasive carcinoma in general <sup>64</sup>. Loss of 16q is also the most common genetic alteration in low grade DCIS <sup>69-71</sup>, tubular carcinoma, well-differentiated invasive carcinoma <sup>72</sup>, and premalignant lesion such as atypical ductal hyperplasia (ADH) 73, 74 and columnar cell changes with atypia <sup>75</sup>. In contrast, high grade DCIS and poorly differentiated invasive carcinoma, show high frequency of amplifications (17q12, 11q13) and a higher average rate of genetic imbalances, suggesting distinct evolutional pathways between low grade and high grade tumors <sup>70</sup>. Comparing copy number alterations of LCIS, DCIS and associated invasive carcinoma, Buerger et al proposed that LCIS and low grade DCIS are closely related neoplastic lesions evolving from a single cell clone <sup>65</sup>. Columnar cell changes, LCIS/ALH, low grade DCIS, tubular carcinoma, ILC and well-differentiated IDC not only frequently coexist <sup>76, 77</sup>, but also exhibit similar immunophenotypes <sup>78</sup> and clonal relationship <sup>79, 80</sup>, supporting the hypothesis that these lesions are members of a family of low grade lesions of the breast, including precursor lesions, in situ and invasive carcinoma with low grade morphology (see article by Collins in this issue of The Clinics) <sup>76, 78</sup> (REF COLLINS).

P-LCIS shares similar genomic alterations as classic LCIS, including 16q loss and 1q gain <sup>23, 81</sup>, but shows higher numbers of genetic alterations. In particular, apocrine P-LCIS displays additional recurrent changes, such as amplification of the *HER2* gene at 17q11.2-17q12, gain of 16p, loss of 8p and amplification of *cyclin D1* gene at 11q13.3<sup>23</sup>.

Genomic analyses reveal a clonal relationship and similar mutation profile between LCIS and synchronous or metachronous invasive carcinoma, supporting the role of LCIS as a precursor to invasive carcinoma <sup>67, 82-86</sup>. Hwang et al analyzed 24 samples containing synchronous ipsilateral LCIS and ILC by microdissection and array CGH <sup>67</sup>, and found 16q loss and 1q gain to be the most common alterations in LCIS and ILC, occurring in 100% and 90% of paired samples respectively <sup>67</sup>. In 14 of 24 cases, synchronous LCIS and ILC were found to be more similar to each other than to any of the other lesion pairings, calculated by weighted similarity scores <sup>67</sup>. Aulmann et al analyzed 9 paired cases of LCIS and subsequent ipsilateral invasive breast carcinoma (5 ILCs and 4 IDC) by microdissection and mitochondrial D-loop sequencing <sup>82</sup>. Clonal relationships were observed in 3 of the 5 LCIS/ILC pairs, whereas all 4 IDC appear to be clonally unrelated to the LCIS <sup>82</sup>. Andrade et al assessed clonal relationships by SNP array in 17 cases of LCIS and synchronous carcinoma, including 9 ILC, 4 DCIS, and 4 IDC. Seven (41%) pairs across all matched lesion types were found to be clonal <sup>83</sup>. These studies demonstrated that at least a subset of LCIS represents a precursor of invasive carcinoma.

Targeted capture massively parallel sequencing and whole exome sequencing analyses identified a similar repertoire of somatic mutations in synchronous LCIS and ILC <sup>84-86</sup>. The most frequent somatic mutations in LCIS and ILC are *CDH1* (56% and 66%, respectively), *PIK3CA* (41% and 52%, respectively), *and CBFB* (12% and 19% respectively) <sup>84</sup>. The mutation of *CDH1* gene is in keeping with the loss of Ecadherin expression, a hallmark of lobular carcinoma. In addition to allel loss at *CDH1* locus (16q22.1) and somatic mutations

of *CDH1* gene, epigenetic alterations such as promoter hypermethylation can also lead to downregulation or silencing of E-cadherin <sup>87-90</sup>.

Germline mutation of *CDH1* is associated with familial early-onset, poorly differentiated, diffuse gastric cancer <sup>91</sup> and increased risk of lobular breast cancer <sup>92-95</sup>. In women with *CDH1* germline mutation, the estimated cumulative risk by age 80 years is over 80% for gastric cancer, and 39-60% for breast cancer <sup>94-97</sup>. Annual breast MRI starting at age of 30 years is recommended for breast cancer surveillance in this group of women <sup>98</sup>.

## Natural history and prognosis

LCIS is a risk factor and a non-obligate morphologic precursor of invasive breast carcinoma. McDivitt et al reported a follow-up study of 50 patients with LCIS treated with local excision <sup>99</sup>. The cumulative risk of subsequent invasive breast carcinoma was 8% after 5 years, 15% after 10 years, 27% after 15 years, 35% after 20 years, and over 50% after 23 years <sup>99</sup>. The cumulative risk of contralateral breast cancer was 10% after 10 years, 15% after 15 years, and 25% after 20 years 99. Several other long term follow-up studies also indicated an increased incidence of breast cancer in both the ipsilateral and contralateral breasts in patients with LCIS 4-6, 10, 100, 101. In a recent long term follow-up study of 1060 women with LCIS and median follow-up of 81 months (range, 6 to 368 months), 150 patients developed 168 breast cancers (63% ipsilateral, 25% contralateral, 12% bilateral). The annual incidence of breast carcinoma in women with LCIS was 2% <sup>12</sup>. The subsequent breast carcinoma included DCIS (35%), IDC (29%), ILC (27%), and other types of invasive carcinoma (9%). The relative risk of subsequent breast carcinoma in patients with LCIS is 9-10 times greater than that in the general population <sup>5, 6, 101</sup>. The relative risk of invasive breast carcinoma after diagnosis ALH is 3-5 times that of general population <sup>6, 26, 102, 103</sup>, approximately one-half that of LCIS<sup>6</sup>. Analysis of Surveillance, Epidemiology, and End Results (SEER) data revealed the minimum cumulative risk of developing invasive breast carcinoma after LCIS was 7.1% at 10 years, with equal predisposition in both breasts<sup>104</sup>. In a long term follow-up study of 161 women with index diagnosis of ALH, 25 (16%) developed invasive breast cancer, which arose in the ipsilateral breast in 17 cases (68%) and in the contralateral breast in 5 cases (20%) with known laterality 103. The relative risk of breast cancer in women with ALH and no other atypical lesion was 2.6 (95% CI 1.7-3.9, p < 0.0001)<sup>103</sup>. Most invasive carcinomas that developed in women with ALH had low Nottingham grade and excellent survival<sup>105</sup>. The distinction between ALH and LCIS remains valuable for the purpose of patient counseling.

## Management

Historically, mastectomy was recommended for women with LCIS, based on the observation that there is an increased risk of subsequent invasive breast cancer <sup>1, 7, 16, 100</sup>. Haagensen et al pioneered the concept that "when it (LCIS) occurs alone without accompanying infiltrating carcinoma, it is a distinctive benign disease which predisposes to subsequent carcinoma" and advocated a more conservative approach of close follow-up as an alternative to mastectomy <sup>10</sup>. Currently, there is a general agreement that LCIS represents both a risk factor *and* a non-obligate precursor of breast cancer. Observation alone is the preferred

management option. Counseling for chemoprevention with tamoxifen or aromatase inhibitors is recommended.

The National Comprehensive Cancer Network (NCCN) guidelines recommend follow-up of patients with physical examinations every 6 to 12 months and annual diagnostic mammograms <sup>106</sup>. In the 8<sup>th</sup> edition of the American Joint Committee on Cancer (AJCC) staging system, LCIS has been removed from the staging classification system and is no longer included in the pathologic tumor in situ (pTis) category <sup>3</sup>. Results of randomized controlled clinical trials support the use of tamoxifen or aromatase inhibitors for risk reduction among women at increased risk of breast cancer. The National Surgical Adjuvant Breast and Bowel Project (NSABP) Breast Cancer Prevention Trial (P-1) demonstrated that subsequent risk of invasive breast cancer can be significantly reduced by tamoxifen <sup>107</sup>. In the NSABP P-1 trial, women (N=13388) at increased risk for breast cancer were randomly assigned to receive placebo (n=6707) or 20 mg/day tamoxifen (n=6681) for 5 years. Patients with increased breast cancer risk were defined as 60 years of age or older, or 35-59 years of age with a 5-year predicted risk for breast cancer of at least 1.66%, or those with a history of LCIS<sup>107</sup>. Tamoxifen reduced the risk of invasive breast cancer by 49% <sup>107</sup>. The NCIC Clinical Trials Group Mammary Prevention.3 Trial (NCIC CTG MAP.3) is a randomized, placebo-controlled, double-blind trial of exemestane for breast cancer prevention in postmenopausal women <sup>108</sup>. Postmenopausal women were eligible if they had at least one of the following risk factors: age 60 years or older, Gail risk score greater than 1.66%, prior atypical ductal or lobular hyperplasia or lobular carcinoma in situ on breast biopsy or prior DCIS treated with mastectomy. A total of 4560 women were randomized to either exemestane (2285 patients) or placebo (2275 patients) <sup>108</sup>. At a median follow-up of 35 months, exemestane reduced the relative incidence of invasive breast cancers by 65%, from 0.55% to 0.19% <sup>108</sup>. King et al reported a 29-year longitudinal single institution experience with LCIS<sup>12</sup>. Among 1060 patients with LCIS without concurrent breast cancer diagnosed between 1980 and 2009, 1004 chose surveillance with (n=173) or without (n=831) chemoprevention  $1^2$ . The overall cumulative cancer incidence at 15 years was 26%, with a 2% annual incidence of breast cancer. The 10-year cumulative cancer risks in women with or without chemoprevention were 7% and 21%, respectively. In multivariate analysis, chemoprevention was the only clinical factor associated with breast cancer risk reduction <sup>12</sup>. The American Society of Clinical Oncology Clinical Practice guidelines recommend that the use of chemoprevention should be discussed as an option to reduce the risk of breast cancer in high risk patients <sup>109</sup>.

The natural history of P-LCIS remains poorly characterized. Due to the rarity of P-LCIS without concurrent invasive carcinoma, reports of the natural history of P-LCIS are anecdotal. No randomized prospective clinical trial data are available. Currently there is no consensus regarding the treatment recommendations for P-LCIS. A survey sent to self-identified breast surgeons revealed that in cases of PLCIS present at the surgical margins, 53% of the surgeons would not re-excise, 23% sometimes re-excise, and 24% always re-excise <sup>110</sup>. All published series on outcomes of PLCIS are retrospective and adjuvant therapy was not uniform (Table 1) <sup>20, 25, 34, 111</sup>. According to the 2012 WHO consensus classification, "in the absence of better information on the natural history of pleomorphic LCIS, caution should be exercised in recommending more aggressive management

strategies, such as excision to negative margins or mastectomy as a routine practice after a diagnostic surgical biopsy reveals pleomorphic LCIS" <sup>111</sup>. According to the NCCN guidelines <sup>106</sup>, "Some variants of LCIS (pleomorphic LCIS) may have a similar biological behavior to that of DCIS. Clinicians may consider complete excision with negative margins for pleomorphic LCIS, but this may lead to high mastectomy rate without proven clinical benefit. There are no data to support using radiotherapy in this setting" <sup>106</sup>.

The management of patients with classic LCIS or ALH diagnosed at needle core biopsy with radiologic pathologic concordant findings is also debated and is the subject of an article by Calhoun in this issue of The Clinics (REF CALHOUN). In brief, the last decade has seen the publication of studies with careful radiologic-pathologic correlation and acceptably low upgrade rates (1-4.4%) at surgical excision of classic LCIS or ALH diagnosed at needle core biopsy with radiologic-pathologic concordant findings <sup>133-139</sup>. A recent prospective multi-institutional trial (TBCRC 020) revealed a 1% (1/74; 95% CI 0.01-7) upgrade rate for cases with central pathology review <sup>141</sup>. These results have demonstrated that routine excision is not indicated for patients with classic LCIS or ALH on core biopsy and concordant imaging findings. As specified in the 2016 consensus guidelines by the American Society of Breast Surgeons, "we no longer advocates *routine* excision of ALH or LCIS when the radiological and pathological diagnoses are concordant, and no other lesions requiring excision are present" <sup>142</sup>.

LCIS variants (pleomorphic LCIS or LCIS with necrosis) diagnosed on core biopsy requires surgical excision. The reported upgrade rates was 25%-30% <sup>25, 33, 57, 143</sup>. The NCCN guidelines recommend surgical excision for pleomorphic LCIS and classic LCIS with discordant imaging findings <sup>106</sup>.

## Conclusions

The relative risk of invasive carcinoma after a diagnosis of classic LCIS is approximately 9-10 times that of general population. LCIS and ILC share common copy number alterations and somatic mutations. A subset of LCIS is clonally related to synchronous or subsequent invasive breast carcinoma. Classic LCIS diagnosed at needle core biopsy with concordant imaging and pathologic findings does not mandate surgical excision. Active surveillance and chemoprevention are management options for classic LCIS. The finding of variant LCIS at needle core biopsy warrants surgical excision. The management of patients with variant LCIS and no invasive carcinoma upon excision is the subject of debate.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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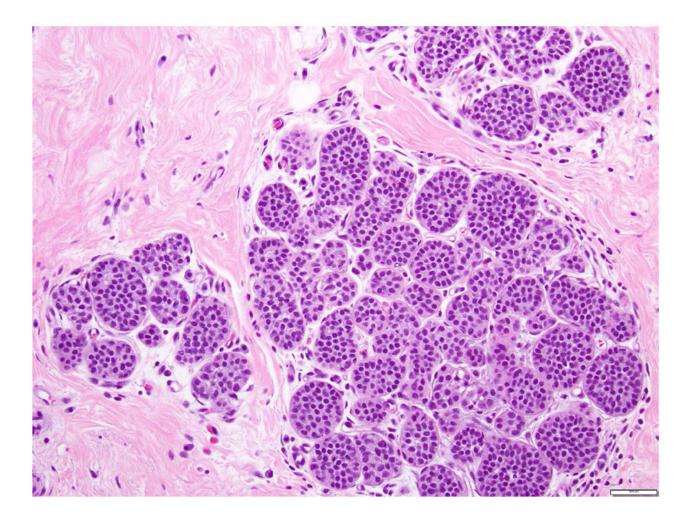
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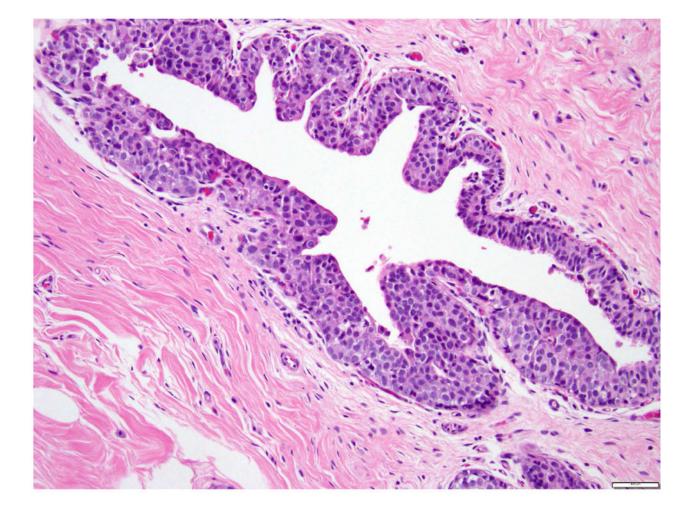
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#### **KEY POINTS**

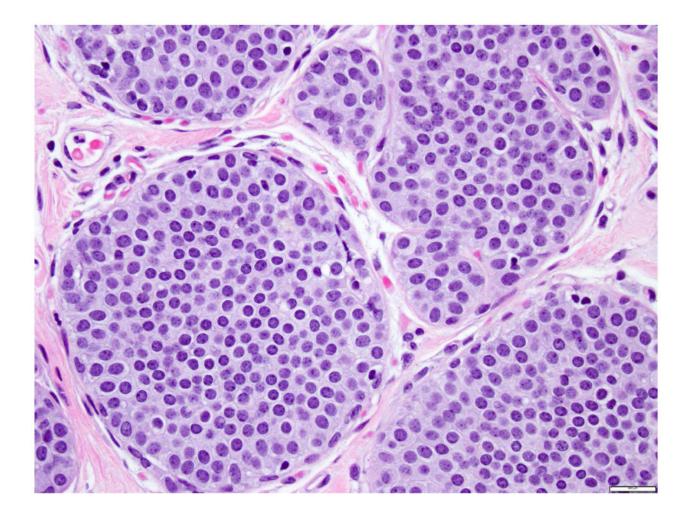
- LCIS is a risk factor *and* a non-obligate precursor lesion
- LCIS shows loss of E-cadherin and diffuse cytoplasmic staining for p120 catenin
- The most frequent chromosome alteration in LCIS is deletion of 16q; the most common somatic mutations in LCIS affect *CDH1* (gene encoding for E-cadherin)
- Surgical excision can be safely spared in patients with classic LCIS diagnosed on needle core biopsy with concordant imaging and pathologic findings
- Surgical excision is recommended for LCIS with variant or pleomorphic morphology, and for classic LCIS with discordant imaging and/or pathologic findings
- In a resection specimen, the margin status of classic LCIS is not reported, but it should be reported for LCIS with variant and/or pleomorphic morphology



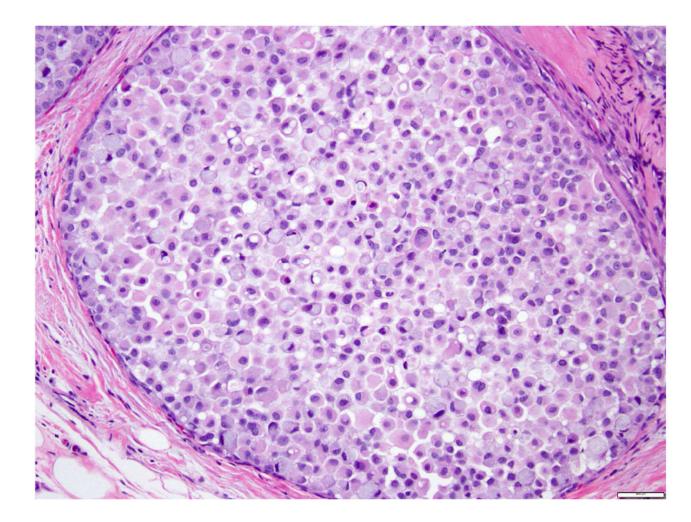
**Fig 1. Lobular carcinoma in situ, classic type** The acini are expanded by monomorphic, evenly spaced dyshesive cells with low grade nuclear atypia. Magnification 200x.



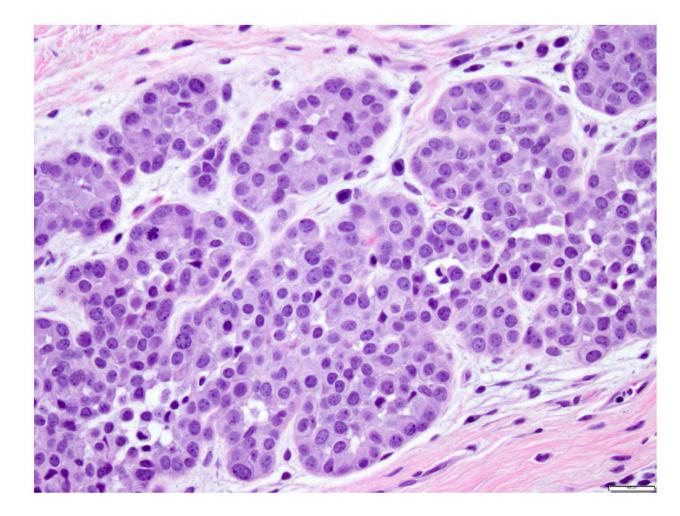
**Fig 2. Lobular carcinoma in situ, classic type, with Pagetoid growth in a duct** Magnification 200x.



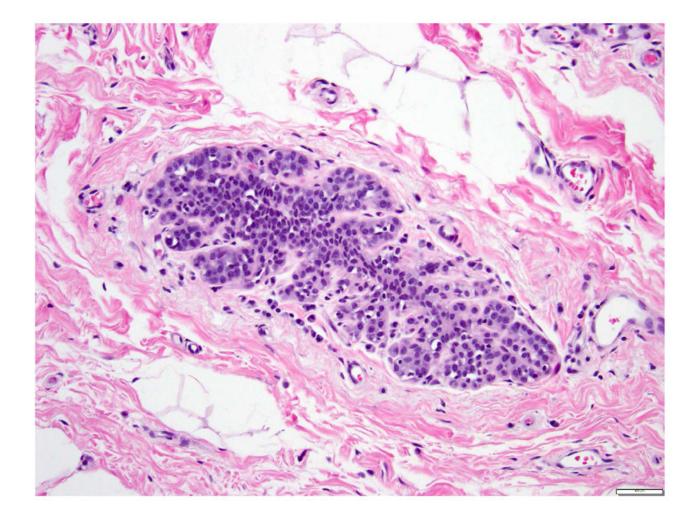
## **Fig 3. Lobular carcinoma in situ, classic type with small cells (type A cells)** Dyshesive and nonpolarized cells, with scant cytoplasm, monotonous, round to oval nuclei, with regular nuclear membrane, uniform chromatin, and inconspicuous nucleoli. Magnification 400x.



**Fig 4. Lobular carcinoma in situ with signet ring cells** Magnification 400x.

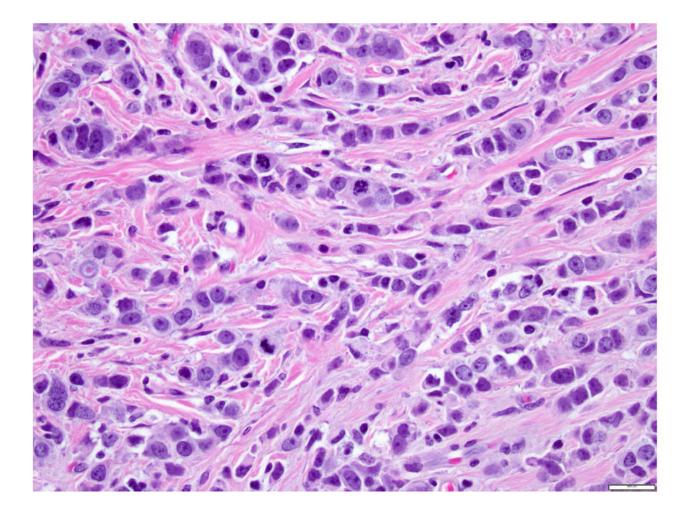


**Fig 5.** Lobular carcinoma in situ, classic type with large cells (type B cells) The cells are slightly larger than Type A cells (compare with Figure 3), have more cytoplasm, slightly larger but uniform nuclei, with scattered nucleoli. Magnification 400x.

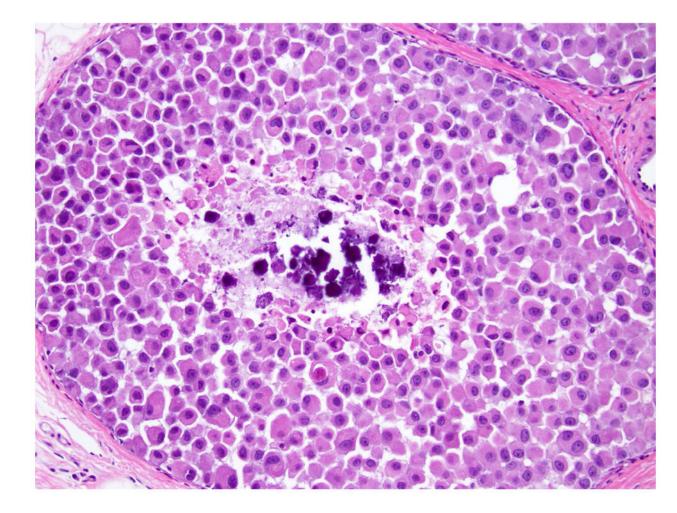


## Fig 6. Atypical lobular hyperplasia

Small round dyshesive cells, cytologically similar to the cells of classic LCIS, involve less than 50% of the acinar spaces. Magnification 200x.

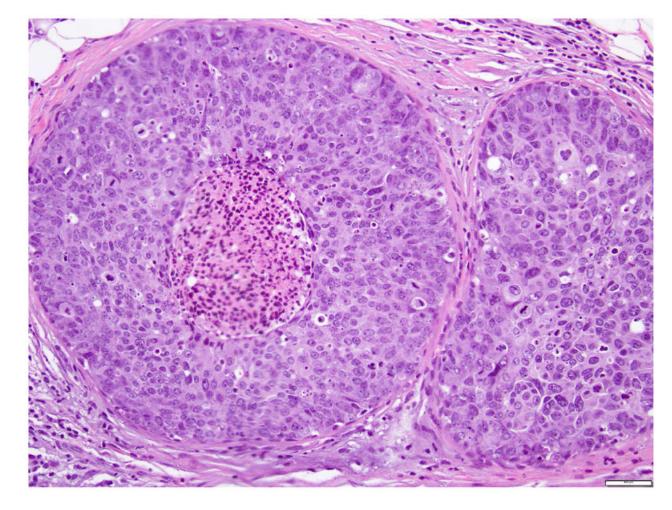


**Fig 7. Pleomorphic invasive lobular carcinoma** Invasive lobular carcinoma with single file growth pattern, dyshesive cells, and marked nuclear pleomorphism. Magnification 400x.



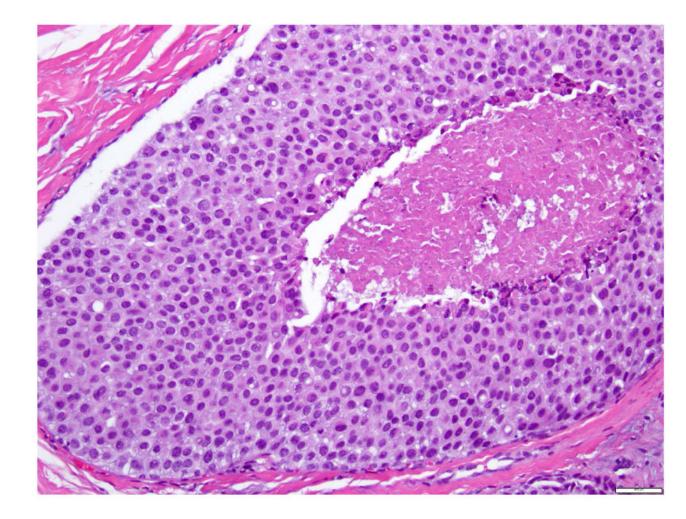
## Fig 8. Lobular carcinoma in situ, pleomorphic type

Dyshesive proliferation of round to oval cells with abundant cytoplasm, large eccentric nuclei with irregular nuclear membrane, coarse chromatin, and prominent nucleoli. Foci of necrosis with calcifications are common. Magnification 200x.



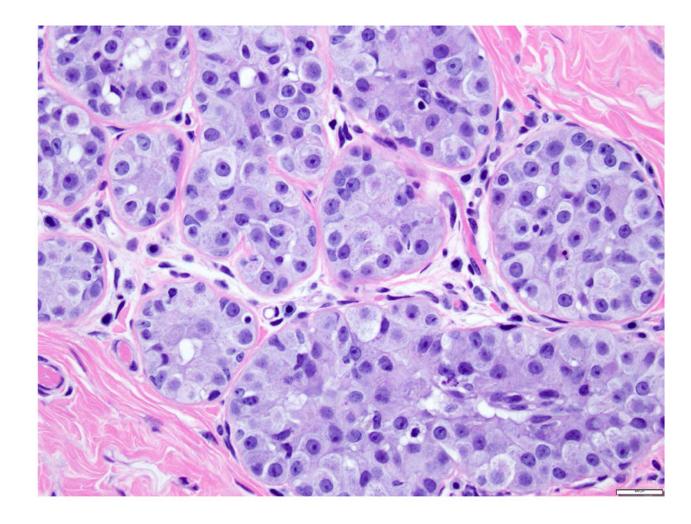
## Fig 9.

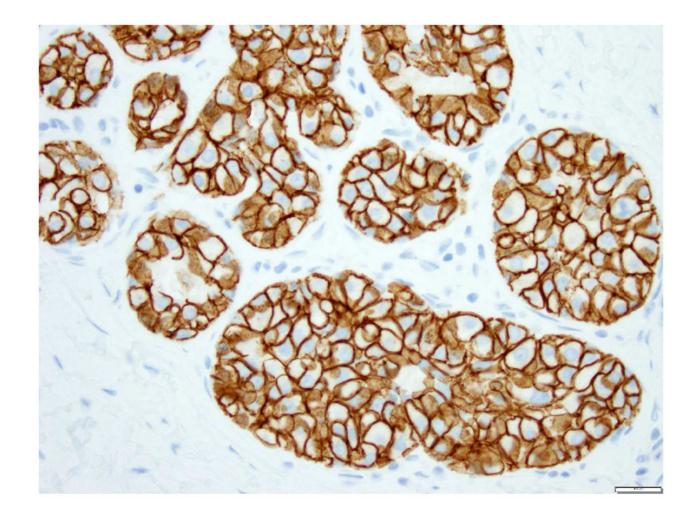
**Ductal carcinoma in situ**, with solid growth pattern, high nuclear grade and necrosis. Magnification 200x.



#### Fig 10. Lobular carcinoma in situ with central necrosis

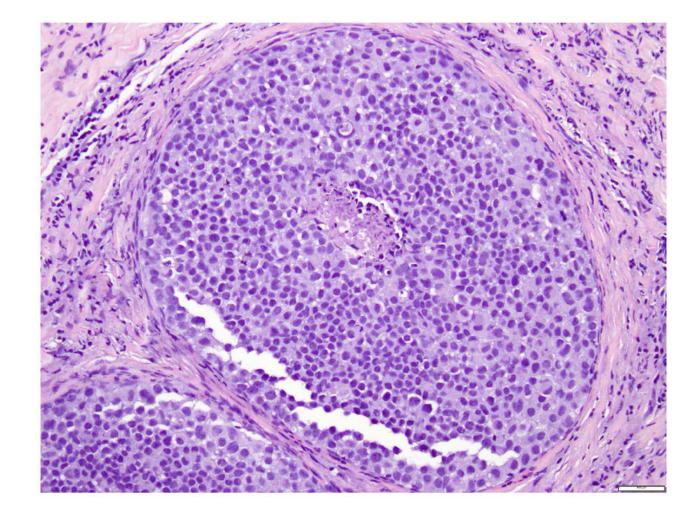
This proliferation of cells morphologically indistinguishable from those of classic LCIS, is associated with massive acinar expansion (50 or more cells across the diameter of an expanded acinus) and central necrosis. Magnification 200x.

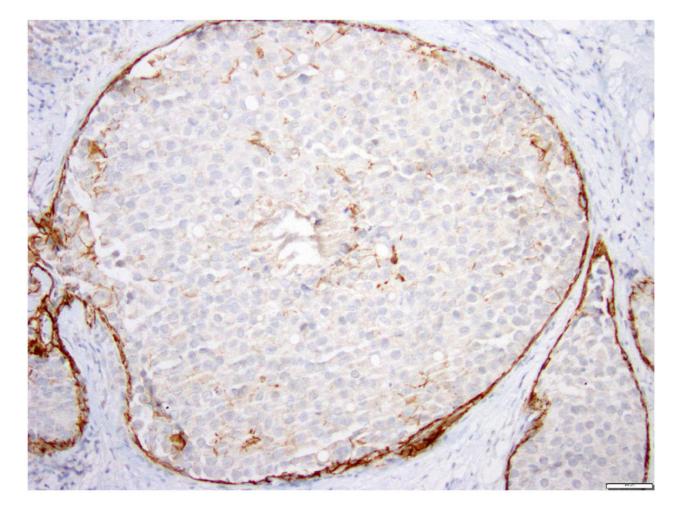




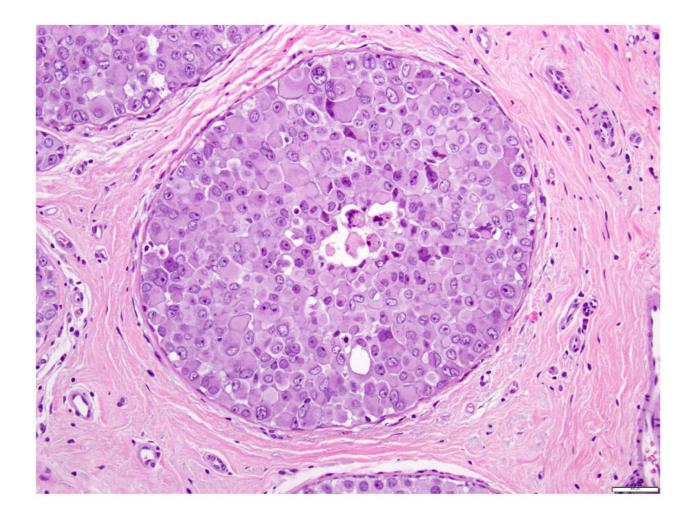
## **Fig 11. Ductal carcinoma in situ, extending to lobules** a. H&E stain. b. Immunohistochemical stain for Ecadherin. The cells show strong

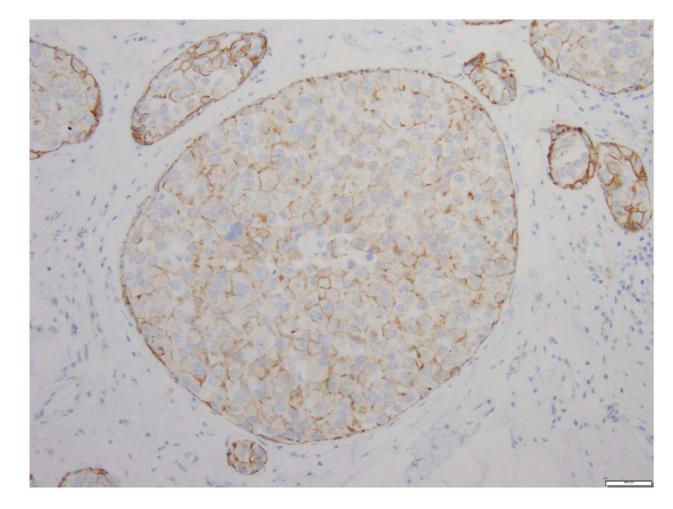
membranous staining for E-cadherin, consistent with ductal phenotype. Magnification 200x.





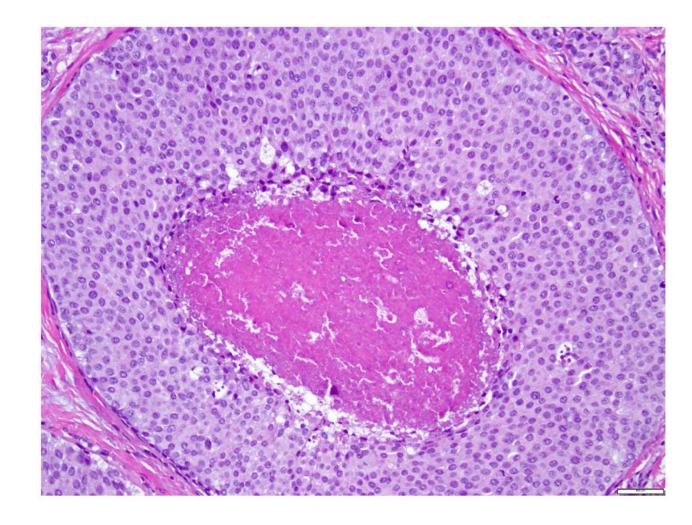
**Fig 12.** Lobular carcinoma in situ, pleomorphic type, with central necrosis a. H&E stain. b. Immunohistochemical stain for E-cadherin. The LCIS cells are negative for E-cadherin. Magnification 200x.

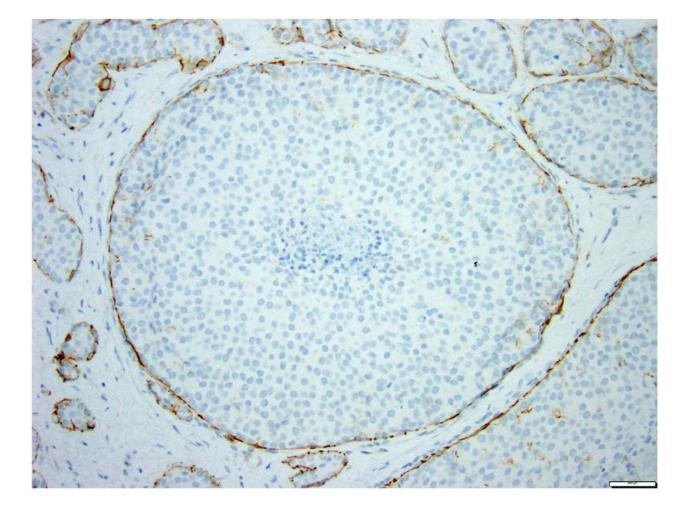




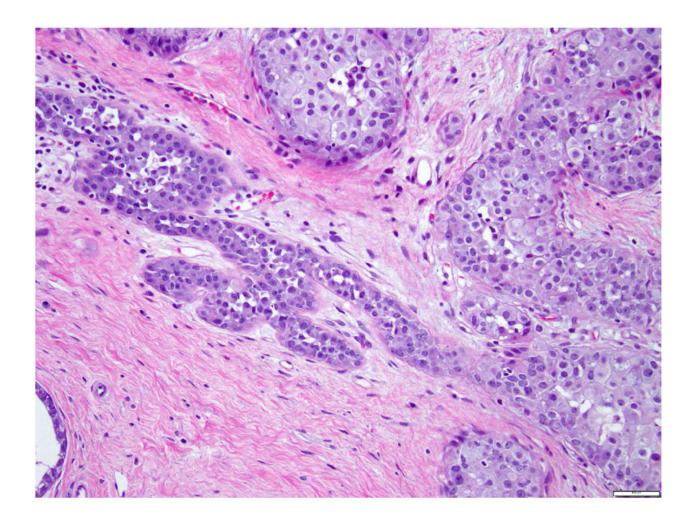
## Fig 13. Pleomorphic lobular carcinoma in situ, apocrine type

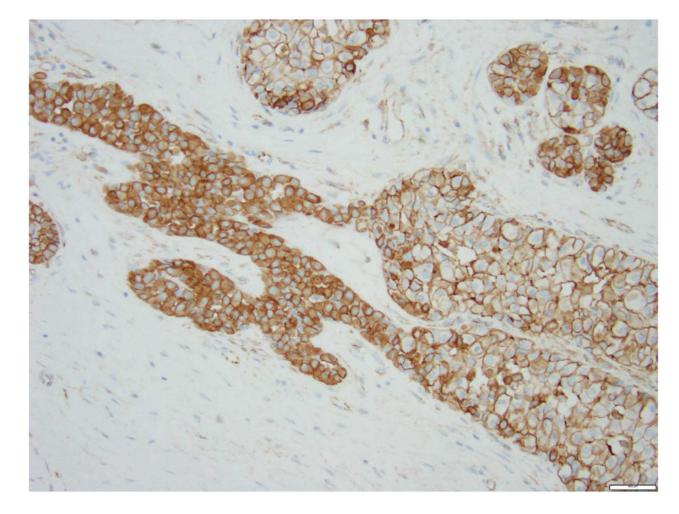
a. H&E stain. b. Immunohistochemical stain for E-cadherin. The cells are dyshesive, with abundant eosinophilic granular cytoplasm, large nuclei, and prominent nucleoli. Instead of complete loss of E-cadherin expression, the cells composing PLCIS in this case show focal incomplete, attenuated, and granular membranous staining for E-cadherin. Magnification 200x.



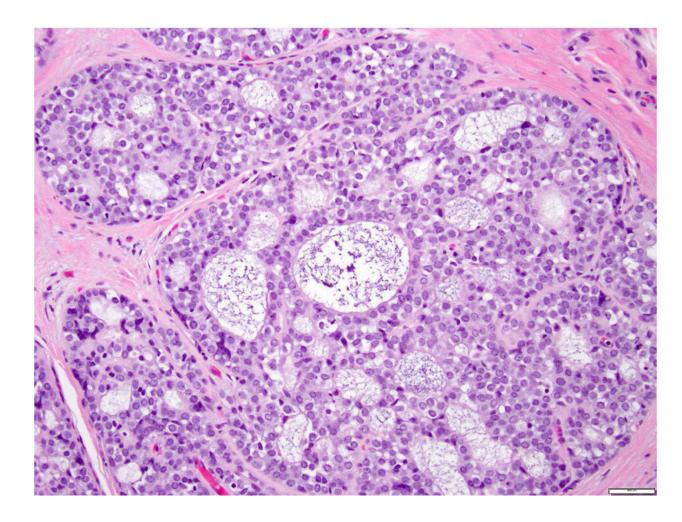


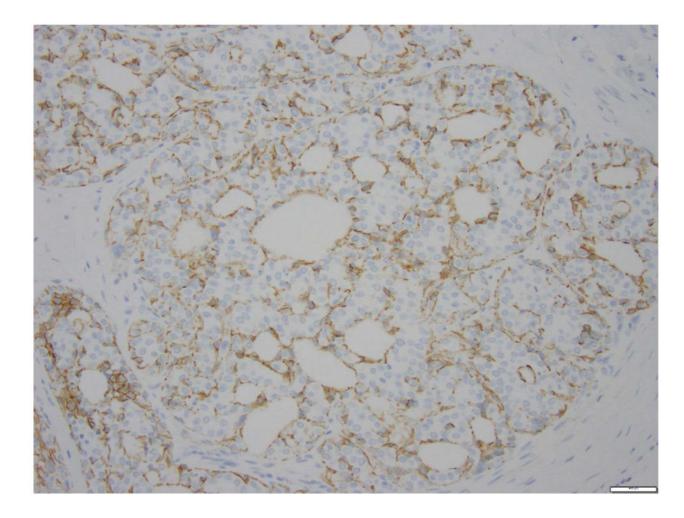
**Fig 14. Lobular carcinoma in situ with massive acinar expansion and central necrosis** a. H&E stain. b. Immunohistochemical stain for E-cadherin. This variant form of lobular carcinoma in situ closely mimics solid DCIS. The LCIS cells are completely negative for Ecadherin. Magnification 200x.





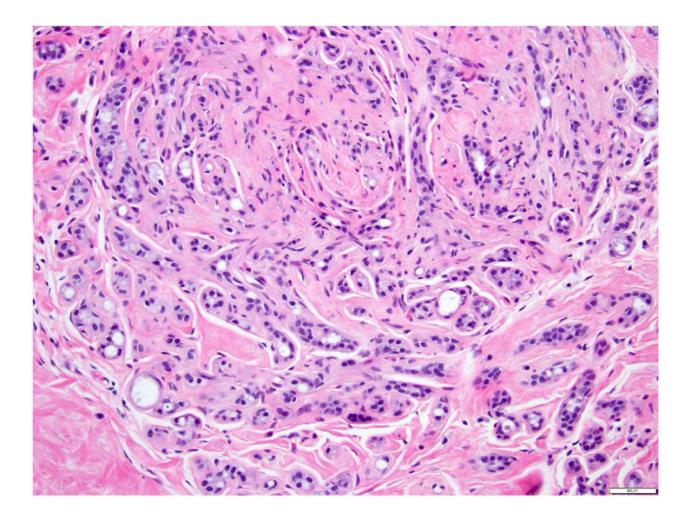
**Fig 15.** A case with both lobular carcinoma in situ (left) and ductal carcinoma in situ (right) a. H&E stain. b. Immunohistochemical stain for p120. It demonstrates cytoplasmic expression of p120 in the lobular carcinoma in situ and membranous staining in ductal carcinoma in situ. Magnification 200x.



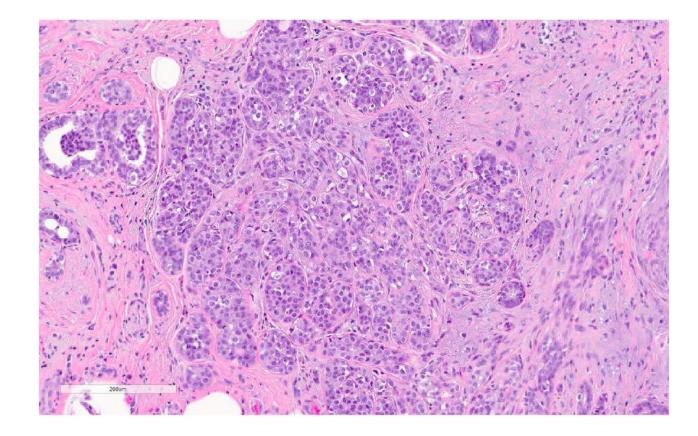


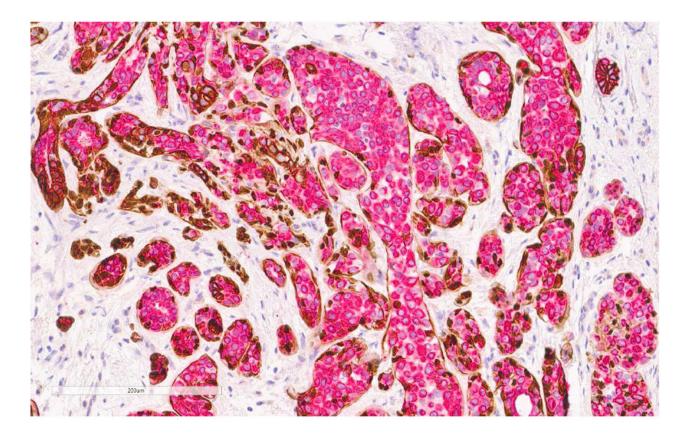
## Fig 16. Lobular carcinoma in situ involving collagenous spherulosis mimicking cribriform ductal carcinoma in situ

a. H&E stain. b. Immunohistochemical stain for E-cadherin. Note the basement membranelike material in the lumen and the dyshesive growth pattern of the neoplastic cells. The absent of immunoreactivity for E-cadherin in the neoplastic cells confirms the lobular phenotype. Magnification 200x.



**Fig 17. Sclerosing adenosis** Magnification 200x.





#### Fig 18. LCIS involving sclerosing adenosis

a. H&E stain. b. Immunohistochemical stain for ADH5. ADH5 stain demonstrates the presence of myoepithelial cells surrounding adenosis and LCIS

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# Table 1

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IJY E et al $26$ BCS $6(23\%)$ $7(27\%)$ $4(15\%)$ $9(35\%)$ $6(23\%)$ $4(15\%)$ et al $31$ BCS $29$ $9(29\%)$ No dataNo data $11(35\%)$ $3(10\%)$ et al $31$ BCS $29$ $9(29\%)$ No dataNo data $11(35\%)$ $3(10\%)$ MR et al $12$ BCSNo data $4(30\%)$ No dataNo data $3(25\%)$ $1(8\%)$ MR et al $7$ BCS $2(29\%)$ $3(43\%)$ No dataNo data $1(14\%)$ $0$	At margin <1 mm	1.1-2 mm >2 mm			CP + XRT		
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	6 (23%)	4 (15%) 9 (35%	) 6 (23%)	4 (15%)	6 (23%)	46	1 (3.8%) (had positive margin)
al 12 BCS No data 4 (30%) No data 3 (25%) 1 (8%)   7 BCS 2 (29%) 3 (43%) No data No data 1 (14%) 0	9 (29%)		a 11 (35%)	3 (10%)	No data	55.6	6 (19.4%) (4 had positive margin)
7 BCS	No data	No data No data	a 3 (25%)		No data	49	None
		No data No data	a 1 (14%)	0	0	67	4 (57%)

Abbreviations: BCS, breast conserving surgery; CP, chemoprevention; TM, total mastectomy; XRT, radiation therapy;