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Long-term Outcomes of Stroke in a Ghanaian Outpatient Clinic

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Abstract

Background—Compared to high-income countries (HICs), Sub-Saharan African (SSA) countries experience a comparatively higher early mortality from stroke. However, data on long-term mortality from stroke in SSA are lacking.

Objective—Our aim is to assess long-term outcomes of stroke in a SSA setting.

Methods—We conducted a retrospective analysis of longitudinal data involving 607 consecutive stroke survivor encountered at an out-patient clinic in Kumasi, Ghana between January 2012 and June 2014. Data were closed for analysis in June 2016. Data on demography, presence of vascular risk factors, stroke type and functional status were evaluated. We followed up subjects who were no longer attending clinic by phone to assess their vital status. Primary outcome was death after initiation of clinic care, and its predictors were determined using a Cox proportional hazards regression model.

Results—Mean \pm SD age of cohort was 59.9 ± 13.9 years and 50.3% were females. Of the 607 stroke survivors, 377 (62.1%) were still alive, 59 (9.7%) were confirmed to have died while 171 (28.2%) were lost to follow-up at the clinic. Mean \pm SD observation time for the cohort was 32 ± 30 months. Upon adjustment for confounders the independent predictors of mortality were age: aHR of 1.41 (95%CI, 1.15–1.73) for 10 years increase in age and diabetes mellitus: aHR of 2.24 (1.32–3.80).

Conclusion—Diabetes mellitus, a modifiable risk factor for stroke is associated with an increased risk for mortality among West African stroke survivors over the long-term.

Keywords

Diabetes mellitus; Ghana; long-term outcomes; stroke; survival

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INTRODUCTION

More than four-fifths of the global burden of stroke is borne by populations living in Low-and-Middle Income countries (LMICs) to which all countries in sub-Saharan Africa (SSA) belong. (1–3) In these regions, stroke is characterized by a younger age of onset and is associated with high mortality rates in the immediate post-stroke period (4,5). The current surge in stroke burden in these settings is the result of rapid urbanization and adoption of western lifestyles culminating in high rates of vascular risk factors, cardinal of which are hypertension, diabetes mellitus, dyslipidemia and obesity. (6) Short-term mortality rates of stroke usually measured at 30-days post-stroke onset has been reported and its predictors have been characterized in SSA but there is a dearth of data on the predictors of long-term outcomes of stroke survivors on the continent.

Community-based studies on the trajectory of stroke survival would be ideal to assess long-term outcomes of strokes, however such studies are difficult to implement in LMICs. One such prospective community-based study involving 130 stroke cases in Tanzania reported case fatality rates at 28-days of 23.8%, 3-years at 60.0% and 7–10 years of 82.3%. (7–9) In another community-based study in Lagos state, Nigeria involving 160 stroke cases, 30-day mortality was reported at 16.2%. (10) The remainder of such studies has been hospital based with short-to-medium term follow up (11–14). For instance, among 200 hospitalized South African ischemic stroke subjects followed up for 12 months, case fatality was reported to be 38% (11) similar to a report from Maputo, Mozambique (12). All the cited studies did identify markers of stroke severity and age as independent predictors of stroke (7–14). However, the hospital-based studies have had short-to-medium term follow up periods and indeed none of the studies have evaluated the associations between vascular risk factors and long-term outcomes of stroke survivors under routine care settings.

A key guideline recommendation in prevention of adverse outcomes after stroke is vascular risk factor control which should be implemented early and monitored rigorously to prevent stroke recurrence and other CVD events (15). It is not clear from literature which cardiovascular risk factors are associated with poor post-stroke outcomes among African stroke survivors. This information is needed in order to craft culturally tailored interventions that would inform practice and help plan for resource allocation for stroke care which is predicted to burgeon over the next few decades. This study aims to present data on all-cause mortality and its determinants among 607 stroke survivors who enrolled into the Neurology clinic in Kumasi between 2011 and 2014. All-cause mortality was ascertained through verbal autopsies obtained from relatives of stroke survivors or medically certified deaths.

METHODS

Study Settings

This retrospective study was approved by the Committee on Human Research Publication and Ethics (CHRPE) of the Kwame Nkrumah University of Science and Technology. The study was conducted at the Neurology Clinic of the Komfo Anokye Teaching Hospital (KATH) in Kumasi, Ghana. Situated in the middle belt of Ghana, the KATH neurology clinic was established in 2011 to serve an estimated population of 15 million Ghanaians and

receives referrals from 6 out of the 10 administrative regions of the country. (16) Approximately, 65% of all patients in the Neurology Clinic are stroke survivors. (16) Stroke survivors are referred to the neurology clinic upon discharge from the ward as in-patients or from surrounding hospitals and clinics for follow-up care with a focus on secondary prevention and rehabilitation. At enrollment into the clinic, patient charts from in-patient are used at the neurology clinic for follow-up. Typically stroke patients are scheduled for follow-up visits on months 1, 3, 6 and 12 with non-scheduled visits where necessary within the first year of enrollment. In subsequent years, follow-up visits to clinics are longer typically every 6 months.

Data Collection

Data was collected onto a questionnaire designed for the present analysis by a medical officer (GK). Variables collected included age, gender, marital status, occupation, religion, type of stroke, functional status of stroke survivors assessed using the Modified Rankin Scale, blood pressure measurements on admission and discharge as in-patients and vascular risk factors. The following vascular risk factors were collected from patient folders: hypertension, diabetes mellitus, dyslipidemia, cigarette smoking history, alcohol use, and history of cardiac disease. Stroke types were determined based on cranial CT scans performed within 10 days post-stroke. The following definitions were used for vascular risk factors:

- Hypertension: A blood pressure cutoff of $\geq 140/90$ mmHg for up to 72 hours after stroke, a history of hypertension, or use of antihypertensive drugs before stroke were regarded as indicators of hypertension.
- Diabetes mellitus was defined based on history of diabetes mellitus, use of medications for diabetes mellitus, an HBA1C $>6.5\%$ or a fasting blood glucose (FBG) levels > 7.0 mmol/l measured after the post-acute phase due to the known acute transient elevation of glucose as a stress response after stroke. (17)
- Dyslipidemia was defined as total cholesterol ≥ 5.2 mmol/L, high-density lipoprotein (HDL) cholesterol < 1.03 mmol/l, triglycerides (TGL) ≥ 1.7 mmol/l or Low-density lipoprotein (LDL) ≥ 3.4 mmol/l or use of statin prior to stroke onset. (18)
- Cigarette smoking: Smoking status was defined as current smoker (individuals who smoked any tobacco in the past 12 months) or never/former smoker. (19)
- Alcohol use: Alcohol use was categorized into current users (users of any form of alcoholic drinks) or never/former drinker.
- Cardiac disease: Cardiac disease was defined based on a history or current diagnosis of atrial fibrillation, cardiomyopathy, heart failure, ischemic heart disease, rheumatic heart disease after evaluation using ECG and echocardiography.

Follow-up

The present analysis involves 607 consecutive stroke survivors who enrolled into the Neurology clinic between January 2012 to June 2014 and data was closed for analysis in June 2016. The database was closed at June 2016 to allow for at least 24-months follow-up for the last subject who enrolled into the clinic in June 2014. During the last visit in June 2016, we assessed functional status of all clinic attendants using the Modified Rankin Scale (20). A subset of stroke survivors who were lost to follow-up with telephone contacts were called to ascertain their status as either dead or alive as well as their functional status. We used the following definitions for the vital status of study participants.

1. Loss-to-follow up: Any subject who had defaulted a clinic visit for more than 12 months before data was closed for analysis. Stroke survivors who could not be contacted were included as loss-to-follow up.
2. Death was ascertained by verbal autopsy from a relative of stroke survivor. A verbal autopsy is a method of gathering health information about a deceased individual to determine his or her cause of death. Descriptions of events prior to death were acquired via interviews with a relative familiar with the deceased and were analyzed by FSS and SA to assign a probable cause of death. Time to death was calculated between the date of onset of stroke and date of demise.
3. Attrition from care was defined as a combination of confirmed deaths and loss-to-follow up.
4. Recurrent strokes were confirmed using cranial CT scans for patients who had new onset of neurological symptoms of sudden onset or worsening of symptoms. This could only be performed among patients who presented to the teaching hospital where a CT scan is available.

Statistical Analysis

Means and medians were compared using the Student's t-test or Mann-Whitney's U-test for paired comparisons and Analysis of variance or Kruskal Wallis tests for more than 2 group comparisons were used. Proportions were compared using the Chi-squared test. Kaplan-Meier survival plots were constructed to assess proportions of subjects under follow-up with observations censored at month 36. Collinearity of variables was assessed by visual inspection of survival curves. Cox Proportional Hazards regression model was employed to assess the determinants of deaths during follow-up. In this model, variables such as age, gender, stroke type (ischemic, hemorrhagic, not-typed), systolic blood pressure at enrollment, vascular risk factors and functional status of stroke survivors were selected as independent variables to be tested in the model based on their known associations with poor stroke outcomes. In bivariate analysis, factors associated with the dependent variable at a p-value level of 0.10 were included in the multivariable model. In our primary outcome analysis, subjects who were lost to follow up were excluded from our analysis. However, sensitivity analyses were also performed which included subjects who were lost-to-follow up with an assumption of lost=dead or lost=alive. In all analysis, two-tailed p-values <0.05 were considered statistically significant with no adjustments for multiple comparisons. Statistical analysis was performed using SPSS version 19.

RESULTS

Demographic characteristics according to vital status of stroke survivors

Of the cohort of 607 stroke survivors, 377 (62.1%) were still alive, 59 (9.7%) were confirmed to have died while 171 (28.2%) were lost to follow-up at the clinic and their vital status could not be ascertained. Out of the 377 subjects who were alive, 314 were still attending the neurology clinic at closure of data for analysis but 63 had stopped clinic attendance. Among the 63 subjects who had stopped attending the neurology clinic, 29 were not on any treatment at home, 14 had enrolled for care at a primary health care post, 11 were on herbal/alternative medicines and 9 had relocated or travelled. The mean \pm SD observation time for the cohort was 32 ± 30 months.

The mean \pm SD age of occurrence of index stroke among stroke subjects who subsequently died after enrolling for care at the neurology clinic was significantly higher being 65.5 ± 12.1 years compared with those still alive- 58.1 ± 13.0 years, $p < 0.0001$. Those who died were less likely to be gainfully employed at stroke onset compared with those alive. The three groups were comparable in their vascular risk factor profiles with the notable exception of diabetes mellitus which was significantly commoner among subjects who died at a frequency of 39% compared with 18.8% and 18.1% among those alive and lost-to-follow up respectively. (Table 1)

Figure 1 shows the survival plots of stroke survivors after enrolling into the clinic. Mortality rates at 12, 24, and 36 months were 9.3%, 11.5% and 14.1% respectively using estimates from Kaplan-Meier curves. Attrition from care which is a composite of deaths and loss-to-follow up from clinic was 45.8% at month 12, 59.2% at month 24 and 68.3% at month 36.

Causes of death

There were 59 confirmed deaths in the cohort. Most deaths occurred at home hence direct cause of death could be verified by medical death certificate for 17 (28.8%) subjects. Of these certified deaths, 12 were from recurrent strokes, 2 from hyperglycemic hyperosmolar syndrome, 1 each from status epilepticus, aspiration pneumonia and end-stage chronic kidney disease. Relatives were not able to provide exact cause of death for the remainder but indicated that most subjects may have died from complications from index stroke.

Predictors of all-cause mortality

On bivariate analysis, increasing age and diabetes mellitus were significantly associated with all-cause mortality among stroke survivors in the clinic (Figures 2 & 3). In primary analysis where subjects lost to follow-up were excluded, we found upon adjustment for confounders that the adjusted HR (95%) for age was 1.41 (1.15–1.73) for 10 years increase in age and diabetes mellitus was 2.24 (1.32–3.80). Upon adjustment for age, adjusted HR for mortality among women with diabetes was 2.43 (1.19–4.95), $p = 0.01$ and for men was 2.40 (1.09–5.28), $p = 0.03$. Furthermore, adjusted HR for mortality among ischemic stroke survivors with diabetes was 2.83 (1.31–6.12), for hemorrhagic stroke survivors was 2.49 (0.50–12.40) and for undetermined was 2.07 (0.84–5.11). In sensitivity analysis where lost-to-follow up was assumed to be = alive, age and diabetes mellitus were still significantly associated with risk

of mortality but the association with diabetes was lost in another sensitivity analysis where lost-to-follow up was assumed to be = dead (Table 3). The HR (95% CI) of mortality for use of statin among diabetic patients was 0.65 (0.23–1.86), $p=0.43$.

Recurrent strokes

There were 23 recorded recurrent stroke (event rate of 3.8% of entire cohort), 12 of which led to subsequent mortality of stroke survivors. Among the remaining 11 subjects who survived recurrent strokes, 5 were still under care at closure of data for analysis and 6 were at home using alternative medicines.

Functional status of stroke survivors alive at closure of data

The median (IQR) Modified Rankin Score was 1 (0–3) among stroke survivors at last visit in 2016 or based on assessment by telephone call of subjects no longer coming to clinic as shown in figure 4.

DISCUSSION

This is one of the first studies in SSA to describe the medium to long-term trajectory of survival and functional status of stroke patients presenting for routine care in a tertiary medical center. Post-stroke mortality rate was approximately 10% and an additional 28% of subjects were lost-to-follow up with unknown vital status. The majority of subjects alive had mild to moderate functional deficits. We found increasing age and presence of diabetes mellitus to be independent predictors of all cause mortality among this cohort. Whilst our findings generally depict a beneficial outlook for this cohort of Ghanaian stroke survivors, we agree that the setting for their medical care may not be reflective of the situation across most of Africa.

Direct comparison of our findings with previous studies in SSA is challenged by the fact that most reported hospital based studies commenced follow-up of stroke patients after stroke onset. The usually cited follow-up duration ranged between 30 days and 12 months (11–14). The community-based study in Hai district in rural Tanzania may approximate our study in terms of follow-up duration, in that stroke survivors within the community were prospectively followed up after they had survived a stroke. (7–10) However it is uncertain whether the stroke survivors in that study were receiving secondary preventive therapies for risk factor modulation and/or physiotherapy. We began follow-up of stroke subjects after they had been discharged from hospital for outpatient care. The average duration from stroke onset to enrollment into our clinic was approximately 1 month and most patients were on secondary preventive interventions at presentation and we were either optimized or maintained existing therapies (16). Indeed among this cohort, we have previously reported systolic blood pressure control rates of up to 70% within the first year after stroke (21) with high rates of compliance with evidence-based secondary prevention cardiovascular medication utilization (22). In the Community based study in Tanzania, crude case fatality rate at 3 years was 60% (9). Assuming the worst case scenario where all subjects in our cohort who were lost-to-follow up might be considered to have died, we estimate a crude case fatality of 40%.

Among the modifiable vascular risk factors, diabetes mellitus emerged as a dominant risk for stroke mortality in this long-term cohort with an adjusted HR of 2.24 (95% CI: 1.32–3.80). This association between post-stroke mortality and diabetes has been similarly reported among stroke survivors in North America (23, 24), in Europe (25–31), and in Asia (32, 33). The significant impact of diabetes on post-stroke mortality persisted regardless of gender but was more significant among ischemic stroke survivors compared with those who survived hemorrhagic strokes in our study. It is notable that the excess mortality among diabetic stroke survivors was most profound within the first 6 months post-stroke compared with non-diabetics in this cohort. This is similar to reports from a Chinese stroke registry (32) and may be due to poor glycemic control after stroke in LMIC settings. However, data emanating from HIC have shown a time-dependent relationship between excess mortality among diabetics compared with non-diabetic stroke survivors over the longer term. For instance, a Veteran cohort analysis among nearly 49,000 ischemic stroke survivors, failed to show excess mortality among diabetics compared with non-diabetics at 60 days and 1 year, however over the longer term, there was a 15% higher risk of death among diabetics. (24) The surge in mortality among diabetics over the longer post-stroke period has been attributed to accelerated atherosclerosis. We have previously proposed that given the unique context of SSA, a multipronged intervention comprising systematic health education at hospital discharge, use of post-discharge trained community lay navigators, implementation of nurse-led group clinic and administration of health technology would improve CVD outcomes particularly among diabetic stroke survivors through enhanced self-efficacy and intrinsic motivation. (34)

A coordinated post-stroke care pathway, which incorporates the implementation of risk factor control, management of post-stroke complications such as depression, rehabilitation and re-integration into society of stroke survivors by a multidisciplinary team remains a goal to be attained in most LMICs where stroke burden is greatest. However by virtue of their physical and cognitive impairments, stroke survivors are often confronted by a myriad of difficulties in accessing medical care. Indeed we found among 63 subjects who had stopped attending the Neurology clinic that the greater majority were at home using either alternative treatments or no treatments at all. Hence where support systems for stroke survivors are not robust, attrition from care would prevent stroke patients from receiving optimal management. In view of these renowned challenges for post-stroke care in SSA, task shifting strategies, (35, 36), simplification of medical therapies known to improve adherence such as the CVD polypill (37) and mobile health interventions (38,39) that are currently undergoing systematic, feasibility testing in Africa to gather evidence for efficacy are eagerly awaited to inform the change required to curb the poor outcomes of stroke in LMICs.

A limitation of the present study is the high loss-to-follow up rate among stroke survivors whose vital status could not be ascertained due to the retrospective nature of the study design. We however performed sensitivity analyses to account for subjects whose vital status could not be ascertained due to loss-to-follow up which led to fairly similar conclusions regarding increasing age and stroke mortality and also for diabetes when loss-to-follow up was assumed to be equal to alive but significance was lost when a converse assumption was made. Furthermore, exact causes of deaths could not be certified in most cases because such data are not systematically documented in our setting. Information available from relatives

of deceased subjects indicated most deaths were related to post-stroke complications such as respiratory infection, decubitus ulcers and possibly uncontrolled seizures. Again, the rates of recurrent stroke in this cohort may have been underestimated due to the loss-to-follow up. However, given the lack of data on which vascular risk factors contribute to post-stroke mortality among Africans in general, we think our findings contribute significantly to current knowledge. We also argue for the setting up of stroke registries in LMICs to monitor long-term outcomes of stroke survivors to help inform strategies to improve the poor outcomes of strokes in these regions.

In conclusion, diabetes mellitus, a modifiable risk factor for stroke, and increasing age are associated with mortality over the long-term among West African stroke survivors. Further prospective studies are warranted in LMICs to evaluate the determinants of mortality among stroke survivors to inform secondary prevention interventions aimed at improving the poor outcomes of stroke in these regions.

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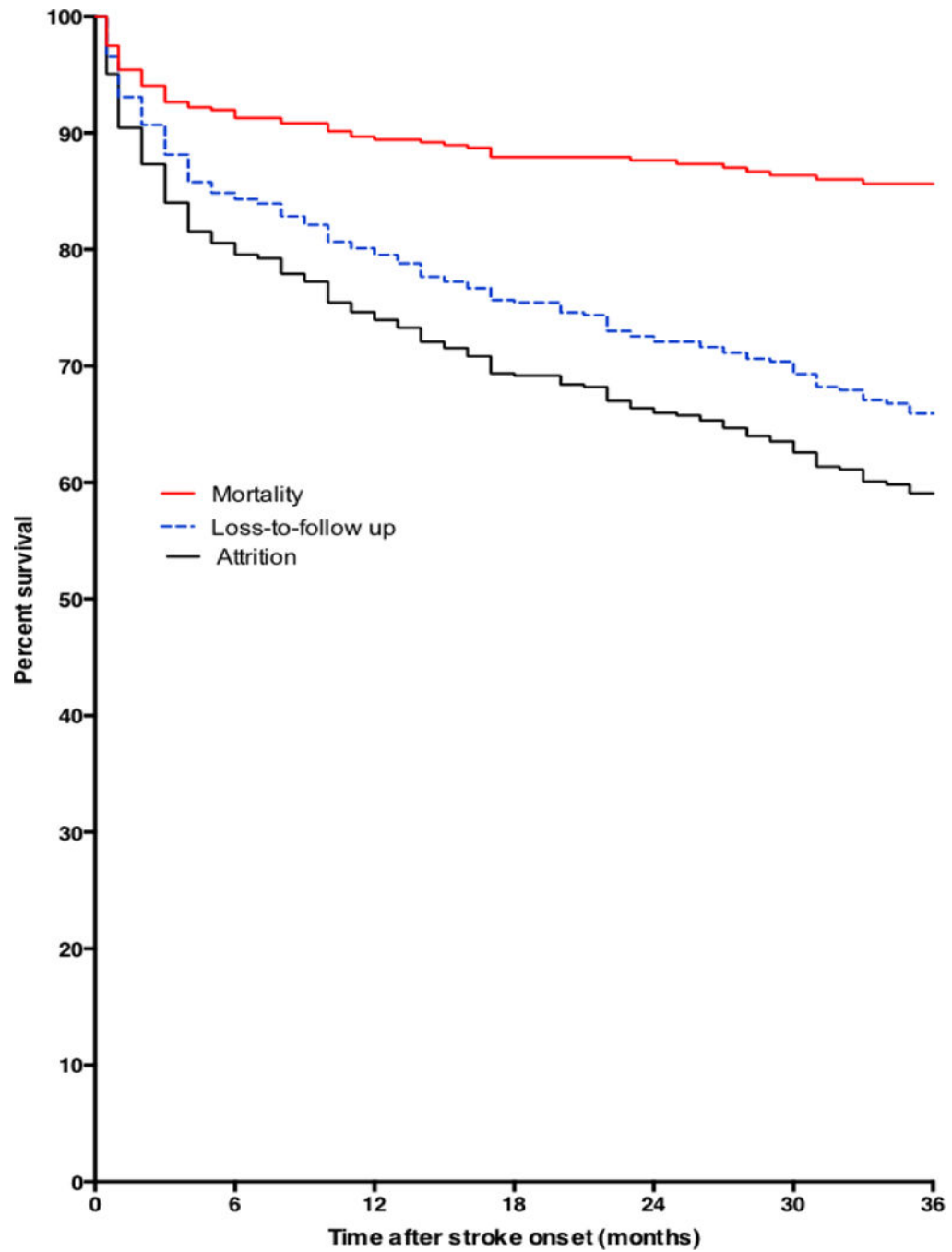
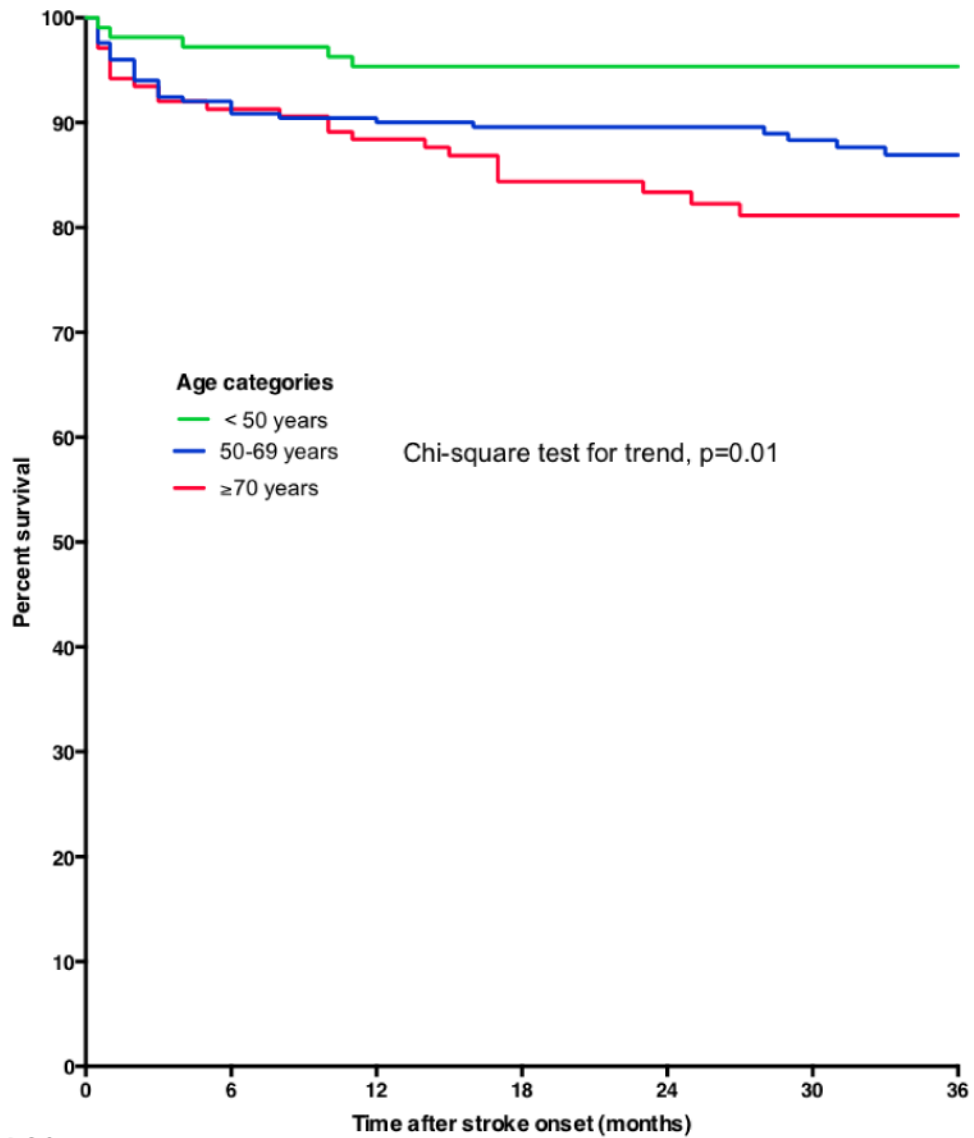


Figure 1. Kaplan Meier curves showing proportions dead, lost-to-follow up and attrition from care after enrolling into care at a Neurology clinic after surviving a stroke.



# at risk	0	6	12	18	24	30	36
<50 yrs	108	106	102	89	75	65	55
50-69y	251	231	221	189	165	134	115
>70yrs	138	127	122	96	79	66	56

Figure 2. Kaplan Meier survival plots showing all-cause mortality curves according to age categories of stroke survivors

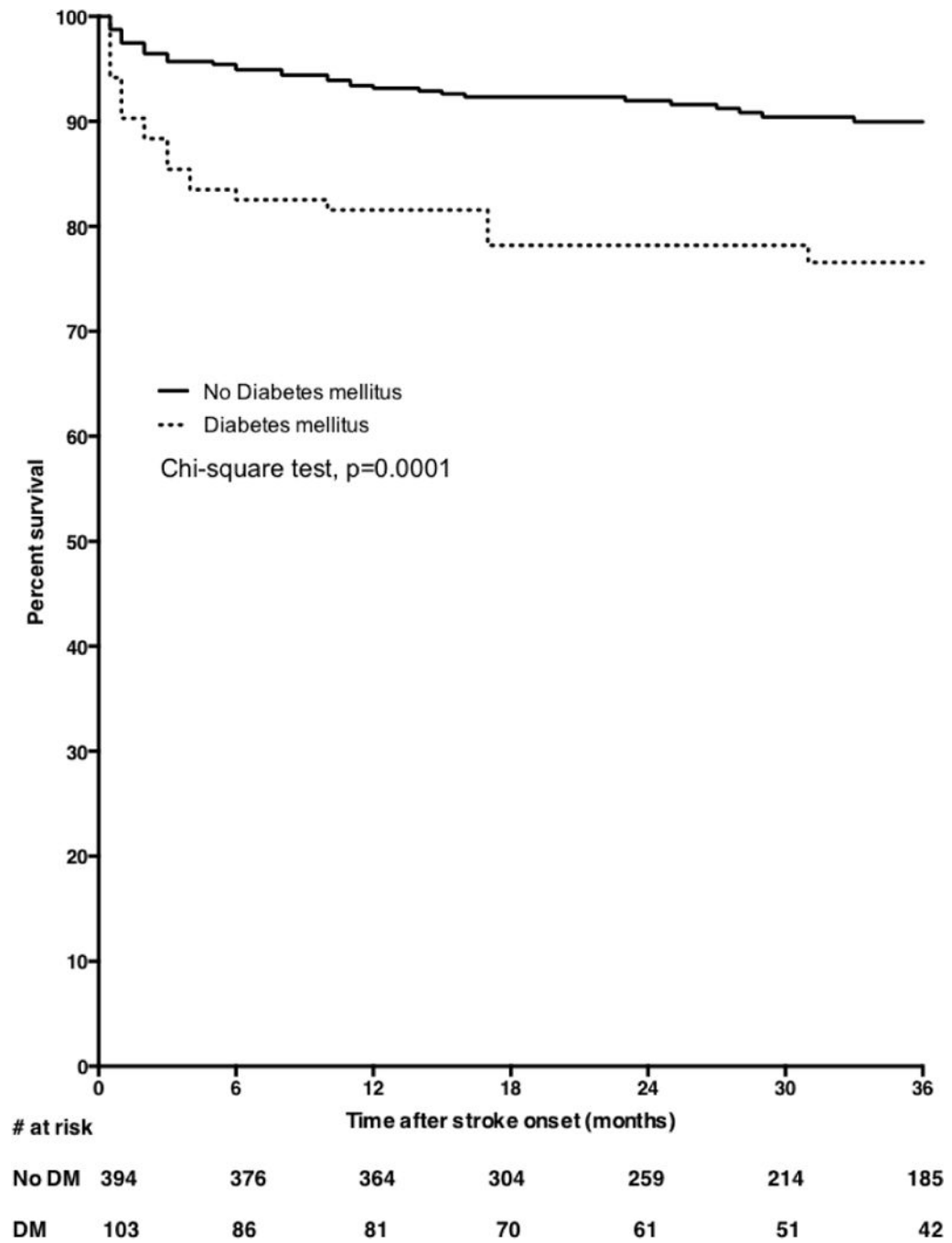


Figure 3. Kaplan Meier survival plots showing all-cause mortality curves according to diabetes mellitus status of stroke survivors

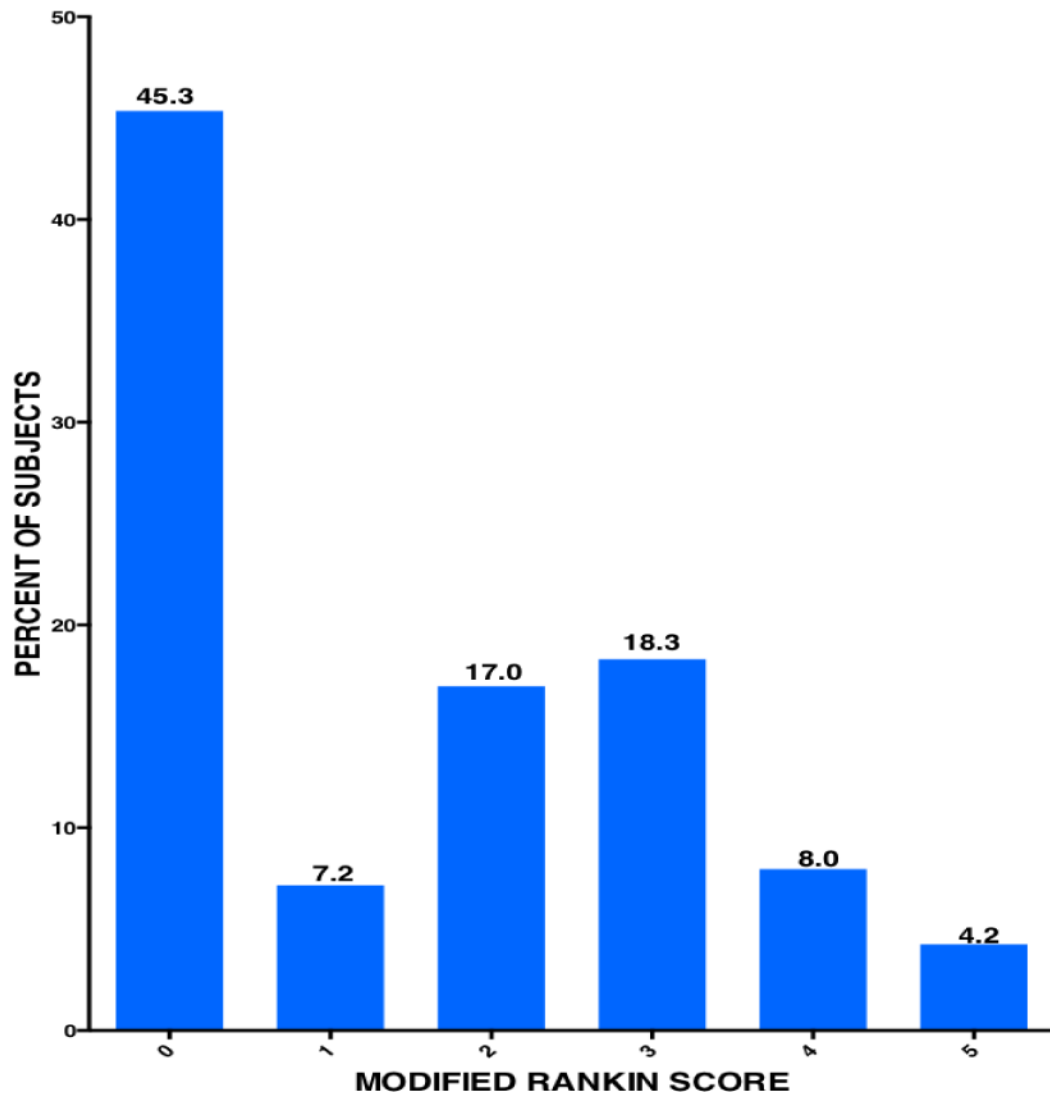


Figure 4. Functional Status of Stroke survivors after a mean of 44.5 ± 27.4 months after stroke onset

Table 1

Comparison of baseline demographic and clinical characteristics of stroke survivors according to vital status.

	Alive N= 377 (62.1%)	Lost-to-follow-up N=171 (28.2%)	Dead N= 59 (9.7%)	
Age, mean \pm SD	58.1 \pm 13.0	62.1 \pm 14.7	65.6 \pm 12.1	<0.0001
Male gender, n (%)	190 (50.4)	83 (48.5)	28 (47.5)	0.87
Marital Status				0.24
Married	180 (47.7)	77 (45.0)	23 (39.0)	
Single/widow	64 (17.0)	38 (22.2)	15 (25.4)	
Divorced	18 (4.8)	12 (7.0)	6 (10.2)	
No data available	116 (30.5)	42 (25.7)	15 (25.4)	
Employment status before stroke				0.0001
Employed	212 (56.2)	88 (51.5)	26 (44.1)	
Unemployed	60 (15.9)	26 (15.2)	8 (13.6)	
Retired	45 (11.9)	22 (12.9)	21 (35.6)	
Data not available	60 (15.9)	34 (20.5)	3 (6.8)	
Vascular risk factors				
Hypertension	337 (89.4)	149 (87.1)	57 (96.6)	0.12
Diabetes Mellitus	71 (18.8)	31 (18.1)	23 (39.0)	0.001
Dyslipidemia*	113/238 (47.5)	39/97(40.2)	14/34 (41.2)	0.43
Alcohol use	56 (14.9)	24 (14.0)	8 (13.6)	0.95
Cigarette smoking	16 (4.2)	4 (2.3)	2 (3.4)	0.54
Cardiac disease	3 (0.8)	3 (1.8)	1 (1.7)	0.57
Stroke type				0.98
Ischemic	152 (40.3)	71 (41.5)	26 (44.1)	
Hemorrhagic	70 (18.6)	32 (18.7)	11 (18.6)	
Untyped	155 (41.1)	68 (39.8)	22 (39.8)	
Modified Rankin score at discharge, mean \pm SD	2.7 \pm 1.7	2.8 \pm 1.6	3.0 \pm 1.6	0.57
Duration of follow up (in months) at time of censoring data, mean \pm SD	44.5 \pm 27.4	10.4 \pm 10.4	7.5 \pm 9.2	<0.0001

Table 2

Predictors of all cause mortality among Ghanaian stroke survivors (Primary analysis)

Predictor	Unadjusted HR (95% CI)	P-value	Adjusted HR (95% CI)	P-value
Age				
Each 10-year increase	1.44 (1.18–1.76)	0.0003	1.41 (1.15–1.73)	0.001
Gender				
Female	1.14 (0.68–1.89)	0.63	–	–
Male	1.00			
Stroke type				
Hemorrhagic	0.88 (0.43–1.77)	0.71	–	–
Untyped	0.79 (0.45–1.40)	0.43		
Ischemic	1.00			
Hypertension				
Yes	3.22 (0.79–13.20)	0.10	2.64 (0.64–10.90)	0.18
No	1.00			
Diabetes Mellitus				
Yes	2.65 (1.57–4.48)	0.0003	2.24 (1.32–3.80)	0.003
No	1.00			
Dyslipidemia				
Yes	0.83 (0.42–1.65)	0.60	–	–
No	1.00			
Alcohol use				
Yes	0.93 (0.44–1.95)	0.84	–	–
No	1.00			
Cigarette smoking				
Yes	0.89 (0.22–3.66)	0.88	–	–
No	1.00			
Cardiac disease				
Yes	1.47 (0.20–10.60)	0.70	–	–
No	1.00			
Modified Rankin score				
3–5	1.42 (0.79–2.55)	0.24	–	–
0–2	1.00			

Table 3

Predictors of all cause mortality among Ghanaian stroke survivors (Sensitivity analyses)

Predictor	Loss-to-follow-up = Alive			Loss-to-follow-up = Death		
	Unadjusted HR (95% CI)	P-value	Adjusted HR (95% CI)	Unadjusted HR (95% CI)	P-value	Adjusted HR (95% CI)
Age						
Age, each 10 year increase	1.46 (1.21–1.77)	0.0001	1.42 (1.17–1.73)	1.24 (1.12–1.36)	<0.0001	1.23 (1.11–1.36)
Gender						
Female	1.12 (0.68–1.83)	0.66	–	1.09 (0.85–1.42)	0.49	–
Stroke type						
Hemorrhagic	0.82 (0.41–1.65)	0.58	–	0.97 (0.68–1.39)	0.86	–
Untyped	0.74 (0.43–1.29)	0.29	–	0.84 (0.63–1.12)	0.23	–
Ischemic	1.00	–	–	1.00	–	–
Hypertension	3.54 (0.87–14.50)	0.08	2.75 (0.67–11.30)	1.00 (0.65–1.53)	1.00	–
Diabetes Mellitus	2.96 (1.79–4.89)	<0.0001	2.48 (1.50–4.12)	1.31 (0.96–1.77)	0.09	1.21 (0.89–1.64)
Dyslipidemia	0.85 (0.44–1.65)	0.63	–	0.81 (0.57–1.15)	0.24	–
Alcohol use	0.86 (0.41–1.81)	0.70	–	0.97 (0.67–1.41)	0.87	–
Cigarette smoking	0.83 (0.20–3.38)	0.79	–	0.68 (0.30–1.53)	0.35	–
Cardiac disease	2.96 (0.72–12.09)	0.13	–	1.63 (0.61–4.39)	0.33	–
Modified Rankin score						
3–5	1.45 (0.83–2.55)	0.19	–	1.06 (0.79–1.42)	0.70	–
0–2	1.00	–	–	1.00	–	–