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In Vivo Delivery of CRISPR/Cas9 for Therapeutic Gene Editing: Progress and Challenges

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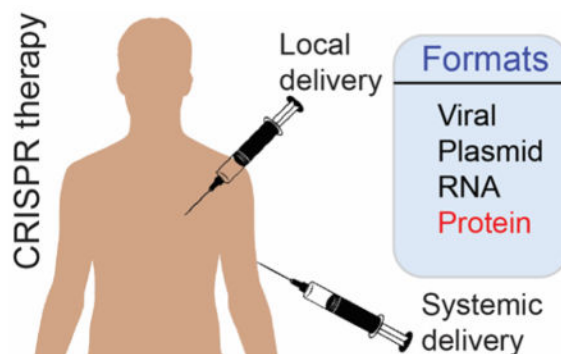
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Abstract

The successful use of CRISPR/Cas9 based gene editing for therapeutics requires efficient *in vivo* delivery of the CRISPR components. There are, however, major challenges on the delivery front. In this Topical Review, we will highlight recent developments in CRISPR delivery, and we will present hurdles that still need to be overcome to achieve effective *in vivo* editing.

Graphical Abstract

Different formats of CRISPR/Cas9 delivery through local or systemic injections for *in vivo* therapy.



INTRODUCTION

The use of bacterially-derived CRISPR/Cas9 systems (Clustered Regularly Interspaced Short Palindromic Repeat) to manipulate mammalian genomes presents enormous opportunities for curing human diseases.^{1–3} According to a report by National Institute of Health (NIH), of thousands of human diseases, only ~500 have any treatment.⁴ Many thousands of these diseases are caused by genetic alterations in the human genome. CRISPR technology enables correcting such genetic alterations, making a large number of these diseases therapeutic targets.

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Bacterial CRISPR/Cas9 system is composed of two elements: a nuclease protein Cas9 that cuts double-stranded DNA, and a guide RNA molecule (sgRNA) that guides the Cas9 protein to a specific DNA sequence.⁵⁻⁹ This system (Cas9 protein and the gRNA, together called CRISPR/Cas9) has been harnessed in mammalian cells to specifically cut target genes, followed by repairing of the target gene *via* host cell repair machinery. The repair can occur through two basic mechanisms.¹⁰ (1) Non-Homologous End Joining (NHEJ): this mechanism allows the cell to randomly insert or delete nucleotides at the CRISPR-mediated double-stranded DNA break site, resulting in gene coding sequence disruption. (2) Homology-Directed Repair (HDR): this mechanism provides insertion of a template DNA to correct mutations at the DNA break site.

For therapeutic use the CRISPR components need to be delivered into mammalian cells to enable gene modification in the host cell. Once delivered, the CRISPR/Cas9 system can manipulate host cell genome in numerous ways. Depending on the desired genetic manipulation, various components of CRISPR/Cas9 are delivered: (a) a minimal Cas9/gRNA pair for gene disruption/mutation, (b) Cas9/gRNA, and a 'spare' template DNA for gene correction, (c) Cas9/gRNA, and a desired gene for gene insertion, and (d) Cas9 and two gRNAs for the complete deletion of a gene (or a portion of a gene) (Figure 1). In its simplest implementation the Cas9/gRNA pair is sufficient for gene disruption (*i.e.* knockout), however, the delivery of an additional piece of DNA is required for advanced functions such as gene repair or insertion (knock-in).

In addition to the identity of the delivered components, the CRISPR/Cas9 constituents can be delivered into cells in different formats: plasmids or viral vectors that carrying Cas9 and sgRNA genes in gene-based delivery; Cas9 mRNA and a synthetic sgRNA in RNA-based delivery; Cas9 protein and a synthetic sgRNA in protein-based delivery (Table 1).¹¹ All methods have their strengths, however Cas9 protein delivery has certain advantages over gene or RNA delivery methods. Protein delivery is transient and therefore is less immunogenic. Moreover, unlike gene delivery, protein delivery does not have the potential issue of permanently integrating CRISPR genes into the host genome (Table 1). Although a number of CRISPR delivery platforms have been created so far, the effective delivery of multiple CRISPR components *in vivo* into host cells still remains a major challenge. In this Topical Review, we will highlight the current *in vivo* therapeutic CRISPR delivery platforms, and address some of the challenges of CRISPR delivery.

CURRENT IN VIVO CRISPR/CAS9 DELIVERY STRATEGIES

Both viral and non-viral approaches have been adopted for *in vivo* delivery of CRISPR/Cas9.¹¹ Viral vectors have achieved success in delivery,¹² with the most prominent being adenovirus and adeno-associated virus. Non-viral delivery of CRISPR/Cas9 in the form of plasmid DNA, Cas9 mRNA or Cas9 protein along with *in vitro* transcribed sgRNA has also emerged as a promising delivery strategy, with inherent strengths and challenges.

Viral delivery of CRISPR/Cas9

Adenovirus (AV) is an efficient transducing agent used for CRISPR/Cas9 mediated genome editing. This system has been used to demonstrate *Mcc1* gene¹³ and *Pcsk9* gene¹⁴ editing in

adult mouse liver. Retro-orbital injection of CRISPR/Cas9 AVs resulted in disruption of *Pcsk9* gene with ~50% of insertion and deletion mutation (indel) and 35–40% reduction of blood cholesterol level in mice. AV can be transduced in both dividing and non-dividing cells, and does not generally integrate into the host genome, however this vector can elicit a significant immune response in the host.¹⁵

Adeno-associated virus (AAV) is the most widely used among the viral vectors due to its non-immunogenicity and its specificity towards a vast range of serotypes.¹⁶ Studies have been done to correct mutated dystrophin gene in Duchenne muscular dystrophy (*Dmd*) disease by CRISPR/Cas9 mediated NHEJ in muscle tissue after delivery of CRISPR components using AAV¹⁷ (Figure 2). In these studies, *SpCas9* (Cas9 isolated from *Streptococcus pyogenes* bacteria) and sgRNA were delivered separately using two different AAV-vectors into postnatal *mdx* mice, a model of *Dmd*, via intramuscular, retro-orbital and intraperitoneal injections. The authors have reported excision of the defective dystrophin exon 23. This resulted in skipping of the premature stop codon and restoring the reading frame. The protein expressed was a shorter but more active form of dystrophin that enhanced skeletal muscle function in mice. Similar gene-editing studies for correcting *Dmd* in *mdx* mouse model using AAV vector has also been reported.^{18,19} However, in these studies, *SpCas9* has been replaced by *SaCas9* (*Streptococcus aureus*) due to its smaller size. *SaCas9* and related sgRNAs were packed in AAV vector and injected via intramuscular or intraperitoneal injections. The results exhibited restoration of dystrophin gene reading frame in myofibers, cardiomyocytes and muscle stem cells with ~3% indel (insertion/deletion).¹⁹

Non-viral delivery of CRISPR/Cas9

More recently, delivery of DNA components via hydrodynamic injection (HDI) has been used to bypass the challenges of viral delivery. Delivery of CRISPR components in plasmid format and a single stranded-DNA by tail vein HDI resulted in correction of *Fah* mutation in hepatocytes in a mouse model of tyrosinemia with a gene correction efficiency of 1 in 250 cells.²⁰ Furthermore, this technique has also been used to inhibit hepatitis B virus (HBV) replication and expression in mice. CRISPR/Cas9 targeted to the surface antigen (HBsAg)-encoding region of HBV created a mutation in HBV DNA with 60–65% indel formation, disabling the virus in mice.²¹ There are also reports on sub-retinal injection of CRISPR components in plasmid format in combination with electroporation to disrupt the S334ter mutation in rhodopsin gene (*Rho*^{S334}) in a rat model of severe autosomal dominant retinitis pigmentosa.²² The authors have reported cleavage efficiencies of 33–36% that resulted in improved retinal function and no retinal degeneration. In another report, CRISPR/Cas9 technology has been used to target P23H mutation in *Rho* gene for the same retinal defect in its mouse model.²³ Sub-retinal electroporation of plasmid carrying Cas9 and sgRNAs demonstrated a significant reduction of mutated *Rho* protein.

As mentioned earlier, Cas9 protein delivery is a very suitable format for *in vivo* therapeutic applications. However, only a few Cas9-RNP delivery methods have been reported so far. Recently, Liu *et al.*²⁴ have demonstrated topical delivery of Cas9/gRNA in the mouse inner ear using a cationic lipid-based nucleic acid transfection reagent (RNAiMAX). The authors reported 13% loss in GFP fluorescence at the injection site when Cas9/gRNA-RNAiMAX

complexes (targeting GFP were injected in mouse cochlea. In another study, Gu *et al.*²⁵ have evaluated GFP disruption in U2OS-GFP bearing tumor mouse model by delivering Cas9/gRNA using DNA nanoclews (NCs). DNA NCs are DNA nanoparticles that are designed to be partially complimentary to the gRNA used. Additionally, the authors have coated the DNA NCs with cationic polymer polyethylenimine for endosomal escape. This study reports intratumoral injection of DNA NCs loaded with Cas9-RNPs that resulted in 25% loss of GFP fluorescence at the injection site. However, potential immunogenicity of the DNA NCs requires further validation before clinical translation can be attempted.

CHALLENGES

While a few delivery systems have achieved some level of *in vivo* therapeutic gene editing, efficient editing remains a challenge. Different challenges associated with efficient *in vivo* therapeutic gene editing are discussed below.

Packaging challenges

Packaging of CRISPR components into a single vector is a major challenge for therapeutic applications. As stated above, multiple components of CRISPR system are required to utilize the system. The packaging challenge is present in all the formats of delivery strategy *i.e.* gene, RNA, or protein-based delivery. For gene-based delivery through AAV, the size limit of a cargo gene is ~4.7-kilo base pair (kbp).^{26–28} However, the size of SpCas9 gene alone is ~4.3 kbp. Thus, inserting additional CRISPR components such as sgRNA, spare oligonucleotide or extra genes is challenging for single AAV vector-based CRISPR gene delivery.²⁸ To overcome this problem, splitting Cas9 into two AAV vectors,²⁹ or a smaller sized Cas9 has been demonstrated (SaCas9),³⁰ however, their versatility for genome engineering applications remains to be investigated.

Although attractive, protein-based CRISPR delivery poses other challenges: while SpCas9 protein is a large protein (160 kDa, ~7.5 nm hydrodynamic diameter) with a net positive surface charge, sgRNA (~31 kDa, 5.5 nm hydrodynamic diameter) is negatively charged (~100 PO₃⁻ groups).³¹ Thus, packaging these elements through supramolecular chemistry may be a major limitation for designing delivery vehicles. Moreover, incorporation of additional spare DNA (of size in kbp) for multiple applications may further complicate the vector design.

Recently, Rotello et al. have engineered Cas9 protein to carry a negative charge so that the protein electrostatically resembled sgRNA.³¹ In this study an oligo glutamic acid tag (E-tag) was fused to the N-terminus of Cas9 protein. The engineered Cas9En (n= number of glutamic acid) and the gRNA co-assembled with positively charged arginine gold nanoparticles (Arg-NPs) giving rise to a single delivery vector (Figure 3).³¹ This vector delivered Cas9En directly into cytoplasm and nucleus in ~90% of the cells grown in a culture dish. However, systemic *in vivo* applicability of this systems remains to be seen.

Systemic delivery

Although systemic *in vivo* delivery of CRISPR/Cas9 has been achieved through viral vectors, no Cas9-RNP systemic delivery *via* synthetic vehicles has been reported so far. A

few reports on local Cas9-RNP delivery have been published. Local delivery such as transdermal delivery offers advantage for certain applications, however, many therapeutic applications require systemic delivery.

Targeted delivery

Viral vectors can be used for targeted CRISPR/Cas9 delivery, as these vectors provide tissue tropism.³² However, non-viral delivery of CRISPR components will require targeting moieties such as peptides or antibodies.³³ This targeting is particularly difficult to achieve, as incorporation of additional biomolecules to a delivery vector alongside the CRISPR components complicates the packaging.

Delivery and editing efficiency

CRISPR/Cas9 *in vivo* editing efficiency is significantly lower compared to *in vitro* editing. Anderson *et al.* found that hydrodynamic injection of CRISPR components resulted only 1 in 250 edited cells.²⁰ In another example, local delivery of Cas9-RNP into mouse inner ear resulted in 20% GFP fluorescence loss. Such low editing percentage may be enough for alleviating certain diseases (e.g. muscular dystrophy, liver tyrosinemia), however, other diseases such as cancer require essentially 100% editing efficiency. Unfortunately, editing efficiency is also determined by delivery efficiency. In majority of the recently published CRISPR/Cas9 delivery research papers they did not mention the delivery efficiency. We have recently achieved Cas9-RNP delivery up to ~95% in cultured cells, however, *in vivo* delivery efficiency of this system has not yet been investigated.³¹

Off-target effect

One of the major limitations of CRISPR-based genome editing is its off-target effects.^{34,35} Even though the sgRNA is designed to target a specific gene of interest, often a significant number of non-specific genes are targeted by the same Cas9/sgRNA. In gene-based CRISPR delivery, the long-term constitutive expression of Cas9/sgRNA further makes the problem worse: repeated exposure of Cas9/sgRNA to non-specific genes can lead to large off-target effects. Different methods have been designed to reduce off-target effect including engineering high specificity Cas9 protein.^{36,37} However, off-target effects of these systems *in vivo* have not been fully explored. Protein-based CRISPR delivery, on the other hand, offers transient exposure of the host genome to the Cas9/sgRNA, that may result in reduced off-target events.³⁸

Immunogenicity

Since Cas9 or other CRISPR-based genome editing proteins are derived from bacteria, these systems are expected to elicit host immune response. Specially, gene-based delivery of CRISPR elements can permanently integrate Cas9 gene into host cells. The constitutive expression of foreign Cas9 protein in the host cell will engage the MHC class I immune response which may result in the elimination of Cas9 expressing cells in the host.³⁹ Indeed, Church and his colleague recently showed that AAV-based CRISPR delivery *in vivo* elicits a strong immune response against Cas9 protein but not against the vector itself.²⁹ On the other

hand, protein based CRISPR delivery system may offer minimal potential immunogenicity as delivered Cas9 protein will transiently present in the host cell (Table 1).

Insertional mutagenesis

Many viral vectors are incorporated into random locations in the genome causing mutagenesis of essential genes. Gene therapy trials with retroviruses to treat SCID (Severe combined immunodeficiency) led to leukemic transformation after integration of the virus into the host genome.⁴⁰ Insertional mutagenesis due to the integration of genes near a protooncogene may lead to tumorigenesis, illustrating the danger of gene-based CRISPR therapy. Protein/RNA delivery based CRISPR therapy, on the other hand, avoids this problem and thus is an attractive alternative to gene therapy (Table 1).

CONCLUSIONS

Overall, CRISPR/Cas9 ribonucleoprotein delivery seems to be superior to gene delivery as it offers numerous advantages: transient delivery, no insertional mutagenesis, low immunogenicity, and low off target effect. As highlighted above, a very few Cas9-RNP *in vivo* delivery methods have been reported. Numerous challenges in the delivery front needed to be solved before translating this technology into clinics. These challenges, however, open up exciting opportunities in the CRISPR/Cas9 *in vivo* delivery front.

Acknowledgments

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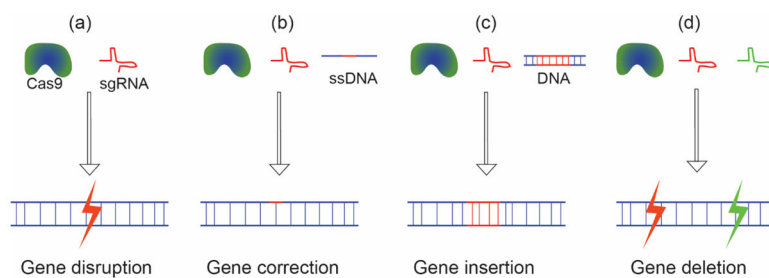


Figure 1. Multiple components of CRISPR/Cas9 system are delivered into cells to achieve a specific function. (a) Cas9 and sgRNA for gene disruption (knock-out), (b) Cas9, sgRNA, and a template ssDNA for mutation correction, (c) Cas9, sgRNA, and a template DNA for gene insertion (knock-in), and (d) Cas9 and two sgRNAs for gene deletion.

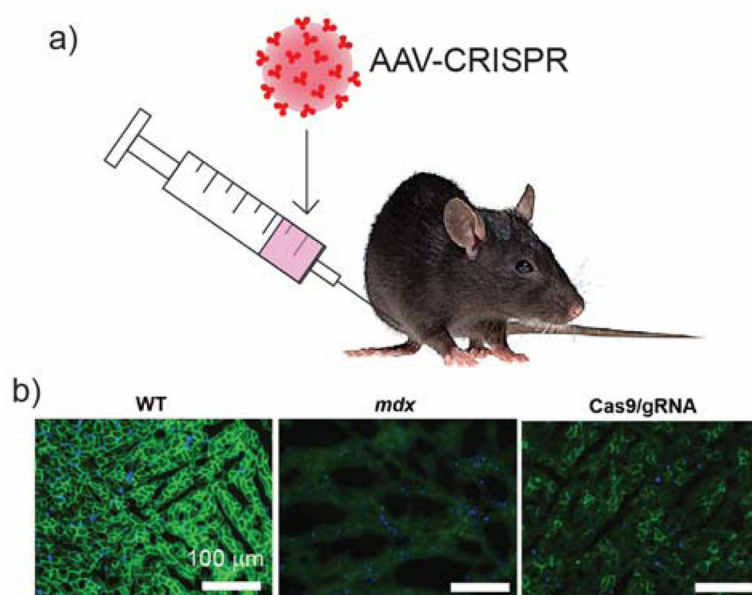


Figure 2. a) Strategy to deliver CRISPR/Cas9 components through viral delivery. b) AAV8-CRISPR delivery into Duchenne muscular dystrophy mice (*mdx*) to correct mutated dystrophin gene resulted restoration of dystrophin protein. Reprinted with permission from ref 19. Copyright 2016 Nature Publishing Group.

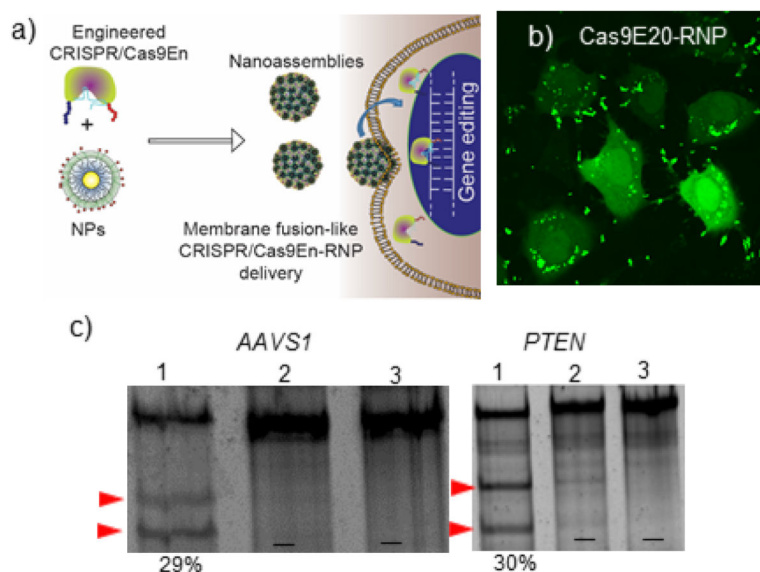


Figure 3. Co-engineering of Cas9 nuclease and carrier nanoparticles facilitates the packaging of CRISPR components and thus delivery efficiency. a) Glutamic acid tagged (E-tagged) Cas9 protein (Cas9En) self-assembled with arginine functionalized gold nanoparticles (NPs) to form large nanoassemblies. The sgRNA was also packaged into these nanoassemblies. b) The resultant assemblies delivered FITC labelled Cas9En-RNP directly into cell cytoplasm/nucleus through a membrane fusion-like mechanism with concomitant gene editing. c) Indel gene editing of AAVS1 and PTEN genes in HeLa cells. [1—NP:Cas9E20-RNP; 2—Cas9E20-RNP only; 3—cells only. Indel percentage is given in parenthesis.] Reprinted with permission from ref 31. Copyright 2017 American Chemical Society.

Table 1

Comparison, and pros/cons of CRISPR/Cas9 delivery in different formats. Compared to other format of delivery, Cas9-RNP delivery has the most advantage as it offers no insertional mutagenesis, high editing efficiency, low off-target, and low immunogenicity.

	Cas9 delivery in different formats			
	Viral	Plasmid	RNA	Protein
Insertional mutagenesis	High	Moderate	No	
Editing efficiency	High	Moderate	Moderate	
Off-target	Low	Moderate	Moderate	
Immunogenicity	High	Moderate	Moderate	

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