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## Decreased AKT1/mTOR pathway mRNA expression in short-term bipolar disorder

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### Abstract

Strong evidence implicates intracellular signaling cascades dysfunction in the pathophysiology of Bipolar Disorder (BD). Regulation of AKT/mTOR pathway is a critical signaling pathway in synaptic neurotransmission and plasticity, also modulating cell proliferation and migration. Gene expression of the AKT/mTOR pathway was assessed in 25 BD (DSM-IV-TR criteria) unmedicated depressed individuals at baseline and after 6 weeks of lithium therapy and 31 matched healthy controls. Decreases in blood *AKT1* and *mTOR* mRNA expression, as well as in *BAD/BCL-2* expression ratio were observed in short-term BD patients during depressive episodes in comparison to healthy controls. There was no significant change in the expression of *AKT1*, *mTOR*, *BCL-2*, *BAD* and *NDUFA6* after lithium therapy in the total group of BD subjects. However, the changes in *AKT1* expression after lithium treatment were positively correlated with

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#### Contributors

Rodrigo Machado-Vieira conceived and led the study, analyzed the data, wrote the paper and managed communications between the different centers. Marcus Zanetti, Rafael de Sousa, Leandro Valiengo followed-up patients and reviewed the manuscript. Wagner Gattaz, Antonio Teixeira, Marcio Soeiro-de-Souza and Carlos Zarate provided materials, shared their knowledge on this discussed topic and reviewed the paper. Suely K Marie defined the technique and targets, led the experiments and contributed to the revised version. Miyuki Uno, Sueli Oba-Shinjo performed the experiments and revised the manuscript. All authors contributed to and have approved the final manuscript.

#### Conflict of interest

Dr Zarate is listed as a coinventor on a patent for the use of ketamine and its metabolites in major depression. Dr Zarate has assigned his rights in the patent to the US government but will share a percentage of any royalties that may be received by the government. The other authors declare no biomedical financial interests or potential conflicts of interest.

depression improvement. An integrated activity within this pathway was observed at both baseline and post-treatment. The present results support an integrated AKT/mTOR signaling pathway activity in a similar fashion to the described in previous human postmortem and rodents brain studies. Overall, the results reinforce a role for *AKT1* and *mTOR* in the pathophysiology of BD and support the relevance of blood mRNA expression as a valid surrogate biological source to study brain intracellular signaling cascades changes and convergent molecular pathways in psychiatric disorders.

## Keywords

Bipolar disorder; AKT; mTOR; Lithium; Treatment; Depression

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## 1. Introduction

Strong evidence supports the presence of intracellular signaling cascades dysfunction in Bipolar Disorder (BD) (Machado-Vieira et al., 2014). These cascades comprise interconnected pathways involved in the pathophysiology of psychiatric disorders such as the AKT/mTOR. Regulation of AKT/mTOR pathway represents a key signaling target in synaptic neurotransmission, also directly affecting cell migration, proliferation and plasticity (Kitagishi et al., 2012). The serine/threonine kinase AKT is highly expressed in the brain and regulates cellular metabolism and growth, being critically involved in cell survival. When activated, AKT moves to the cytoplasm and nucleus, modulating several downstream targets, including the activation of the mammalian target of rapamycin (*mTOR*) (Kitagishi et al., 2012, Hashimoto, 2011). Reduced AKT activity is associated with impaired synaptic plasticity, protein synthesis and neurotransmission (Zheng et al., 2012), whereas *AKT1* activation can suppress apoptosis in a transcription-independent manner (Thiselton et al., 2008). *AKT1* genetic variants have been associated with both BD and schizophrenia (Karege et al., 2012).

Activation of *mTOR* is also a central regulator of protein synthesis required for long-term potentiation and new synaptic connections (Hashimoto, 2011). Reduced *mTOR* activity has been implicated in depression pathogenesis while *mTOR* activation has been associated with the rapid antidepressant effects of the N-methyl-D-aspartate (NMDA) antagonist ketamine (Li et al., 2010). These striking effects seem to be due to a rapid *mTOR* activation of synaptogenesis and increase in synaptic signaling proteins (Li et al., 2010).

The AKT/mTOR pathway also controls several downstream proteins such as the apoptosis regulator *BCL-2* (B-cell lymphoma 2) and the pro-apoptotic *BAD* (*BCL-2* associated death promoter). Regarding apoptosis, evidence has shown that apoptotic factors are altered in BD, based on post-mortem brain studies (Benes et al., 2006) and peripheral cells (Machado-Vieira et al., 2009). Reduced *BCL-2* expression has been found in postmortem brain studies of BD (Jarskog et al., 2000; Kim et al., 2010). These downstream proteins have a central role in energy metabolism and mitochondrial function, which also includes *NDUFA6* (NADH dehydrogenase [ubiquinone] 1 alpha 6). Decreased *NDUFA* expression in lymphocytes of

BD subjects has been reported by two independent studies evaluating markers of mitochondrial oxidative phosphorylation (Washizuka et al., 2005; Naydenov et al., 2007).

The importance of these cascades in BD is also highlighted by lithium's ability to inhibit these critical loci in second messenger/signal transduction pathways (Machado-Vieira et al., 2009; Soeiro-de-Souza et al., 2012). Lithium has been shown to activate *AKT1* – through the suppression of glutamate-induced inhibition of *AKT1* – and also to increase *BCL-2* expression in neurons (Chalecka-Franaszek, Chuang, 1999; Nciri et al., 2013).

In the present investigation, we employed a longitudinal approach to investigate the pathophysiology and potential targets for lithium response in BD. Previous investigations have studied medicated BD patients at variable mood phases using cross-sectional approaches, with chronic illness and multiple treatments, which limit conclusions. Here we report findings on the expression of intracellular signaling cascade proteins pertaining to a network related to neuroplasticity and cellular resilience during acute bipolar depression episodes and after 6 weeks of treatment with lithium. These subjects, who were early in the illness course and are here referred as “short-term BD”, were compared to healthy controls. We hypothesized that (1) acutely depressed BD patients would exhibit reduced *AKT1*, *mTOR*, *BCL-2*, *BAD* and *NDUFA6* mRNA expression relative to healthy controls and that (2) expression of these intracellular enzymes/ proteins would increase after 6-weeks of treatment with lithium.

## 2. Experimental procedures

### 2.1. Participants and design

All subjects (men/women, 18–45 years) were recruited and followed-up at the Outpatient Clinic, Mood Disorders Program LIM27, Institute of Psychiatry, University of Sao Paulo, Brazil. Inclusion criteria consisted of patients with BD subtypes I or II in a current depressive episode according to the DSM-IV-TR criteria, a baseline Hamilton Depression Rating Scale (HAM-D) total score  $\geq 18$  and within 5 years from first mood episode. Subjects with neurological disorders or any medical disorder were excluded as well as current substance abuse or dependence and intellectual disability. Also, a current Axis I psychiatric disorder other than BD was considered exclusion criteria.

Lithium was flexible dosed for 6 weeks (up to 900 mg/ day). In this report, we only included completers with baseline and endpoint blood samples. Response was defined as 50% or more decrease in HAM-D scores from baseline and remission as a HAM-D  $< 8$  at endpoint. The local institutional ethics committee approved the study and all subjects provided written consent.

### 2.2. Total RNA extraction and cDNA synthesis

Blood samples were collected in PAXgene RNA tubes (Pre-AnalytiX GmbH, 8634 Hombrechtikon, CH, Switzerland). RNA extraction and purification was performed manually utilizing the PAXgene Blood RNA kit (PreAnalytiX GmbH, 8634 Hombrechtikon, CH, Switzerland) according to the manufacturer's protocol. Evaluation of RNA quantification and purification was carried out by measuring absorbance and A260/A280

ratios, a range of 1.8–2.0 was considered satisfactory for purity standards. Denaturing agarose gel electrophoresis was used to assess the quality of the samples. Synthesis of cDNA was performed by reverse transcription from 1 µg total RNA, previously treated with 1 unit of DNase I (FPLC-pure, GE Healthcare, Piscataway, NJ), using random and oligo(dT) primers, RNase inhibitor and SuperScript III (Invitrogen Inc, Carlsbad, CA), following the manufacturer's recommendations. The resulting cDNA was then treated with 1 unit of RNase H (GE Healthcare). For 200–500 ng of total RNA the *High Capacity cDNA Reverse Transcription* Kit (Applied Biosystems, Foster City, CA) was used. 10 µL of total RNA was reverse transcribed using MultiScribe™ Reverse Transcriptase according to the manufacturer's instructions. All cDNA samples were diluted with TE buffer, and stored at –20 °C until later use.

### 2.3. Quantitative real time (qRT-PCR)

The *AKT1*, *mTOR*, *BCL-2*, *BAD* and *NDUFA6* mRNA expression were determined by qRT-PCR using the SYBR Green approach, in duplicate. Quantitative data was normalized relative to internal housekeeping control genes: hypoxanthine guanine phosphoribosyltransferase gene (*HPRT*), beta-glucuronidase gene (*GUSB*) and TATA-box binding protein (*TBP*). The geometric mean of the three genes was used for relative expression analysis. Sybr Green I amplification mixtures (10 µl) contained 2.5 µl of cDNA, 5 µl of 2 × Maxima® SYBR Green qPCR Master Mix (Fermentas Life Sciences, Burlington Ontario, Canada), and forward and reverse primers. Reactions were run on an ABI 7500 Real-Time PCR System (Applied Biosystems, Foster City, CA). The cycle conditions comprised of incubation at 50 °C for 2 min to activate UNG, initial denaturation at 95 °C for 10 min, and 40 cycles at 95 °C for 15 s, and 60 °C for 1 min. The minimum concentration of primers was determined by the lowest threshold cycle ( $C_t$ ) and maximum amplification efficiency while minimizing non-specific amplification (at final concentration 100 nM for *mTOR*, *BCL-2*, *AKT1*, *BAD*, *GUSB*, *TBP* and 400 nM for *HPRT* and *NDUFA6*). Analysis of DNA melting curves demonstrated a single peak for the whole set of primers. Standard curves were analyzed for all genes to check the efficiency of amplification of each gene. In addition, agarose gel electrophoresis was employed to check the size of PCR product amplified. The equation  $2^{-C_t}$  was applied to calculate the absolute expression of patients and controls sample tissues where  $C_t = \text{mean } C_t \text{ gene} - \text{geometric mean } C_t \text{ of housekeeping genes}$  (17).

### 2.4. Statistical analysis

*T* test was used for parametric data when comparing baseline markers in bipolar depression versus controls and paired *t* test (two-tailed) for comparison between pre- and post-lithium treatment. Mann–Whitney test was used to compare nonparametric data. Kruskal–Wallis and Dunn tests for the non-parametric data and ANOVA with post-hoc Tukey's test for parametric variables were used when comparing three groups. Correlation between relative gene expression values and potential association with clinical outcomes were assessed using the non-parametric Spearman-rho correlation test and the parametric Pearson's correlation test when appropriate. A linear regression model was applied to evaluate the association between improvement with lithium and changes in biomarkers expression, also controlling for potential confounders (e.g. demographics). Differences were considered statistically

significant when  $p < 0.05$ , two-tailed. Data are presented as mean ( $\pm$ standard deviation). Calculations were performed using SPSS, version 15.0 (IBM, USA) and GraphPad 6.0.

### 3. Results

#### 3.1. Demographic and clinical data

Twenty-five BD I ( $n=9$ ) or BD II ( $n=16$ ) patients in a major depressive episode (18F, age= $28.44 \pm 5.60$ ) according to the DSM-IV-TR were age-matched to 31 healthy controls (14F, age= $27.6 \pm 6.04$ ). Eighteen patients (72%) were drug-naïve and 22 (88%) were medication-free. Mean duration of illness was  $35.66 \pm 20.45$  months. The mean HAM-D score at baseline was  $22.72 \pm 3.70$  and at endpoint,  $7.16 \pm 5.88$ . Demographic and clinical information are presented in Table 1. In the total sample, 16 (64%) patients achieved remission and 22 (88%) achieved clinical response. At the endpoint, mean oral dose of lithium was  $727.5 \pm 111$  mg and mean lithium plasma level was  $0.50 \pm 0.21$  mEq/L. Lithium plasma levels at endpoint were not associated with any biological measure at baseline, endpoint or changes over time (data not shown).

#### 3.2. BD subjects with bipolar depression showed decreased expression of *AKT1* and *mTOR* compared to healthy controls

At baseline, *AKT1* expression was significantly lower in bipolar depression ( $0.780 \pm 0.264$ ) compared to healthy controls ( $1.024 \pm 0.235$ ) ( $t=3.63$ ,  $df=54$ ,  $p < 0.001$ ) (Figure 1), with no significant increase observed after 6 weeks of lithium treatment ( $0.824 \pm 0.261$ ) ( $t=1.149$ ,  $df=24$ ,  $p=0.261$ ). Similarly, *mTOR* expression was decreased in bipolar depression ( $0.861 \pm 0.225$ ) compared to controls ( $1.028 \pm 0.255$ ) at baseline ( $t=2.55$ ,  $df=54$ ,  $p=0.013$ ), with no changes in *mTOR* expression after lithium treatment ( $0.831 \pm 0.201$ ) versus baseline ( $t=0.66$ ,  $df=24$ ,  $p=0.5$ ) (Figure 1). The *BAD/BCL-2* expression ratio was decreased during depressive episodes ( $0.608 \pm 0.247$ ) compared to the control group ( $1.223 \pm 0.769$ ), ( $t=3.82$ ,  $df=54$ ,  $p < 0.001$ ), with a mild change after lithium treatment ( $0.847 \pm 0.667$ ), ( $t=2.11$ ,  $df=24$ ,  $p=0.045$ ). No significant difference in *NDUFA6* was observed in bipolar depression ( $1.232 \pm 0.731$ ) compared to post-lithium ( $1.175 \pm 0.987$ ),  $p=0.58$ , or in comparison to healthy controls ( $1.081 \pm 0.480$ ),  $p=0.56$ , Mann–Whitney test. Patients with BD type I and type II subtypes showed similar expression of *AKT1*, *mTOR*, *BCL-2*, *BAD* and *NDUFA6* both at baseline and after lithium treatment (all  $p=n.s.$ , data not shown).

#### 3.3. Interconnected network among *AKT1*, *mTOR*, *BCL-2*, and *NDUFA6* mRNA expression

Regarding associations between biomarkers, *mTOR* expression in bipolar depression at baseline showed a robust positive correlation with *AKT1* ( $p < 0.001$ ,  $r=0.672$ ) and less significantly with *BCL-2* ( $p=0.03$ ,  $r=0.418$ ). After lithium treatment, the strong positive correlation between *mTOR* and *AKT1* was still present ( $p < 0.001$ ,  $r=0.739$ ) as well as between *mTOR* and *BAD/BCL-2* ratio ( $p=0.025$ ,  $r=-0.445$ ). Similar findings were observed when evaluating the expression change from baseline to endpoint (*mTOR* correlated with *AKT1* ( $p=0.001$ ,  $r=0.624$ ) and *BCL-2* ( $p < 0.001$ ,  $r=0.601$ )). Changes in *AKT1* expression were also positively associated with changes in *BCL-2* ( $p=0.039$ ,  $r=0.415$ ) over time. Post-treatment *BAD/BCL-2* ratio also showed a consistent positive association with *NDUFA6* expression ( $p < 0.001$ ,  $r=0.745$ ) as well as when considering changes in expression over time

( $p < 0.001$ ,  $r = 0.708$ ). When including the total sample (patients and healthy controls), *AKT1* expression also showed significant correlation with *mTOR* expression ( $r = 0.638$ ,  $p < 0.001$ ).

### 3.4. Association between *AKT1* and *BCL-2* expression and clinical improvement

A linear regression showed a significant association between changes in HAM-D scores with alteration in *AKT-1* ( $p = 0.017$ ,  $F = 6.56$ ) and *BCL-2* ( $p = 0.016$ ,  $F = 6.65$ ) expression after lithium treatment. No association with other biomarker was observed (even when considering response and remission). This analysis also excluded a role for demographic variables as potential confounders ( $p > 0.1$  for all variables). Regarding predictors of response, baseline *BCL-2* mRNA expression predicted overall improvement of depressive symptoms after lithium therapy ( $p = 0.026$ ,  $r = 0.454$ ).

## 4. Discussion

This is the first study to assess *AKT1*, *mTOR*, *BCL-2*, *BAD* and *NDUFA6* mRNA expression in bipolar depression before and after 6 weeks of lithium treatment. Reduced blood mRNA expression of *AKT1* and *mTOR* in unmedicated BD during a depressive episode was observed. These findings support a role for *AKT1/mTOR* signaling pathway in the pathophysiology of BD. *AKT1*, *mTOR* and *BCL-2* mRNA expression did not significantly change after 6 weeks of lithium therapy. A possible explanation for the negative results with lithium here is that the PI3K/AKT pathway is not only important in apoptosis, but it also has been involved in the regulation of diverse downstream proteins (Zhang et al. 2012).

*mTOR* has been identified as one of the targets most likely associated with the rapid antidepressant response obtained with glutamatergic agents such as ketamine (Liu et al., 2013; Niciu et al., 2014). A preclinical study suggested that increased *mTOR* signaling activity in the prefrontal cortex enhances cellular resilience following chronic mild stress in rodents (Suo et al., 2013). The exact mechanism by which *AKT1/mTOR* signaling pathway may modulate mood is unknown. One plausible hypothesis is that the down-regulation of *AKT1/mTOR* signaling pathway observed in BD impacts cellular energy production through its action in the mitochondria. Cunningham et al. (2007) demonstrated that the inhibition of *mTOR* decreases the genetic expression of mitochondrial transcriptional regulators, resulting in a decrease in mitochondrial gene expression and oxygen consumption. Another important action of AKT is to decrease glycogen synthase kinase-3 $\beta$  (Gsk3 $\beta$ ) activity; Gsk3 $\beta$  is responsible for the phosphorylation of several metabolic, signaling, and structural proteins (Grimes and Jope, 2001). Through its action on different proteins, Gsk3 $\beta$  modulates several neuronal functions and regulates mood in preclinical models (Jope and Bujur, 2002).

In our study we found that changes in *BCL-2* expression was associated with clinical improvement. Consistent with an involvement of the anti-apoptotic *BCL-2* in the pathophysiology of BD found here, gene and protein expression of *BCL-2* were found downregulated in the cerebral cortex of patients with BD. Also, a polymorphism of the *BCL-2* gene (rs956572) was associated with enhanced levels of glutamate in the anterior cingulate cortex of BD patients (Soeiro-de-Souza et al., 2013). In line with a role of pro-



apoptotic activation in BD pathophysiology, lymphocyte BAX/*BCL-2* ratio was increased in manic and depressed patients with BD compared with controls (Moutsatsou et al., 2014). Moreover, supporting the therapeutic action of lithium in BD, this agent was found to increase *BCL-2* protein levels in rat frontal cortex (Chen et al., 1999) and hippocampus (Son et al., 2003), and in lymphoblasts of patients with BD (Machado-Vieira et al., 2011).

Strengths of the present study are a sample comprising young patients with a short duration of illness, mostly drug-free and treated with lithium monotherapy. Limitations include the small sample size and the short-term follow-up period, which may have influenced the results.

The present findings of integrated alterations in the *AKT/mTOR/BCL-2* signaling pathway in whole blood of patients with BD depression correspond to that observed in brain samples, thus supporting the relevance of blood as a reliable surrogate biological source to study intracellular signaling cascades associated with neuroprotection in psychiatric disorders (Figure 2). The AKT/mTOR pathway may also represent core target for shared action of psychoactive drugs. Specifically, it may represent a promising target for BD pharmacotherapy, since activation of this pathway induces synaptic plasticity, cellular resilience and regulation of stress and mood changes. Further studies in psychiatry on upstream pathways and association with downstream targets and therapeutic response in blood are warranted.

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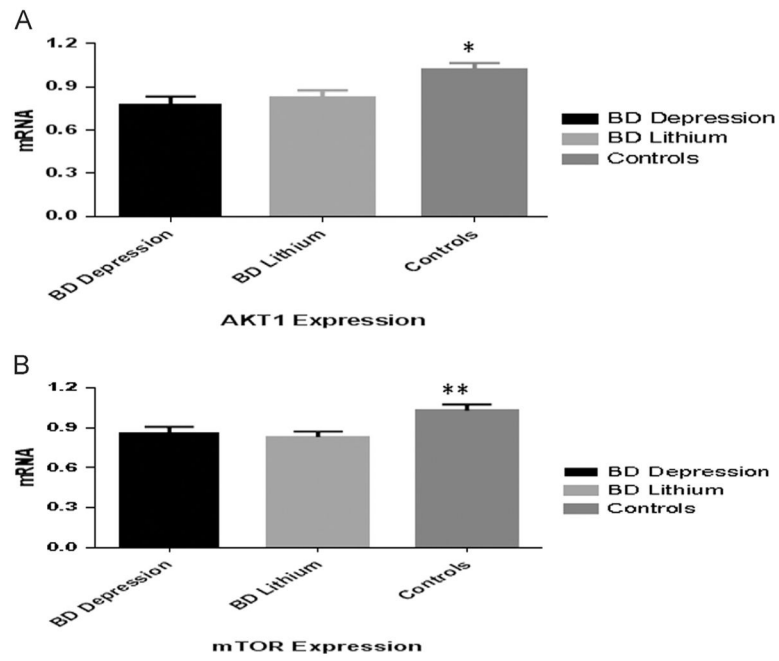
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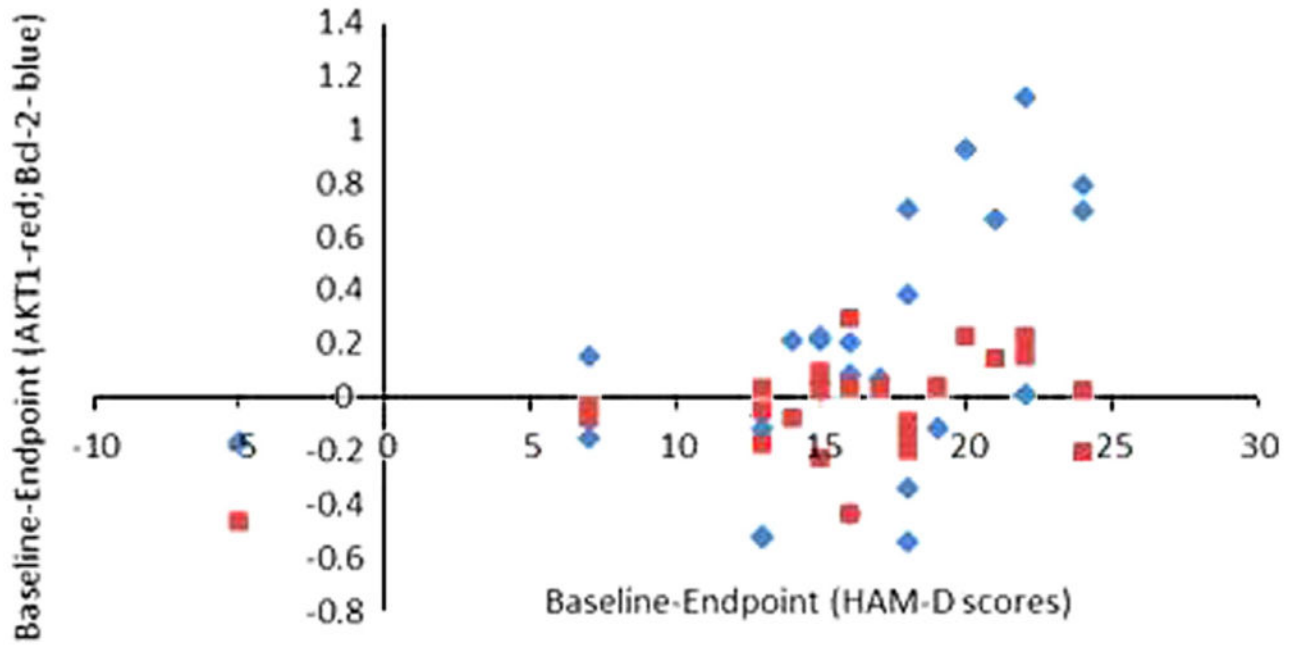
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**Figure 1.** *AKT1* (A) and *mTOR* (B) expression in unmedicated, short-term bipolar disorder during acute depressive episode (BD Depression), after 6-weeks of lithium treatment (BD Lithium) and healthy subjects (Controls); \*  $p$  0.001, \*\*  $p$  0.01.



**Figure 2.** Association between changes in *AKT-1* and *Bcl-2* with improvement in depression symptoms with lithium.

**Table 1**

Demographic and clinical information of patients with bipolar disorder during depressive episodes (BD) and healthy controls (HC).

	<b>BD (n=25)</b>	<b>HC (n=31)</b>	<b>Statistical tests</b>
Age (mean±SD)	28.44±5.6	27.65±6.0	$t = -0.50$ , $df=54$ , $p=0.615$
Gender- <i>n</i> females(%)	18 (72.0%)	16 (51.6%)	$\chi^2=2.41$ , $df=1$ , $p=0.120$
BD subtype- <i>n</i> Bipolar II (%)	16 (64.0%)	–	–
Duration of illness (months; mean±SD)	35.66±20.4	–	–
Medication-free <i>N</i> (%)	22 (88.0%)	–	–
Treatment-naïve <i>N</i> (%)	18 (72.0%)	–	–
Previous psychosis	3 (12%)	–	–
Family history mood disorders	9 (36.0%)	–	–
Tobacco use	4 (16%)	4 (16%)	n.s.
BMI baseline	29.3±5.41	27.45±4.01	n.s.

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