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## Association of oxidative stress and platelet receptor glycoprotein GPIb $\alpha$ and GPVI shedding during non-surgical bleeding in heart failure patients with continuous-flow left ventricular assist device support

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### Abstract

Non-surgical bleeding (NSB) in heart failure (HF) patients with continuous-flow left ventricular assist device (CF-LVAD) support is the most common clinical complication. The aim of this study was to investigate the association between oxidative stress and platelet glycoproteins GPIb $\alpha$  and GPVI shedding on the incidence of NSB in CF-LVAD patients. Fifty-one HF patients undergoing CF-LVAD implantation and 11 healthy volunteers were recruited. Fourteen patients developed NSB (bleeder group) during one month follow-up duration while others were considered non-bleeder group (n=37). Several biomarkers of oxidative stress were quantified at baseline and weekly intervals in all patients. Surface expression and plasma elements of platelet receptor glycoproteins GPIb $\alpha$  and GPVI were measured. Oxidative stress biomarkers and platelet GPIb $\alpha$  and GPVI receptor-shedding (decreased surface expression and higher plasma levels) were found to be pre-existing conditions in baseline samples of both groups of HF patients when compared to healthy volunteers. Significantly elevated oxidative stress biomarkers and platelet-glycoprotein-receptor-shedding were observed in post implant bleeder group temporarily when compared to non-bleeder group. Strong significant associations between biomarkers of oxidative stress and platelet-glycoprotein-receptor-shedding were observed, suggesting a possible role of oxidative stress in platelet integrin shedding leading to NSB in CF-LVAD patients. Receiver operating characteristic (ROC) analyses of GPIb $\alpha$  and GPVI indicated that the likelihood of NSB had a predictive power of bleeding complication in CF-LVAD patients. In conclusion, elevated oxidative stress may play a role in GPIb $\alpha$  and GPVI shedding in the event of NSB. Thus, oxidative stress

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and GPIIb $\alpha$  and GPVI shedding may be used as potential biomarkers for bleeding risk stratification in those patients.

### Keywords

Cardiac failure; Mechanical circulatory support device; non-surgical bleeding; oxidative stress; platelet glycoprotein shedding

### Introduction

The use of a CF-LVAD either as a BTT (bridge to cardiac transplant) or DT (destination therapy) has significantly improved survival for the HF patients.<sup>1,2</sup> These new generation of CF-LVADs have favorable characteristics; they are small, durable, and are associated with less adverse events than pulsatile LVADs. However, important co-morbidities associated with these devices do exist and non-surgical bleeding (NSB) is one of the major clinical complications contributes significantly to morbidity and mortality.<sup>3</sup> Major bleeding rates among CF-LVAD patients ranging between 45% to 55% with gastrointestinal bleeding occurring in approximately 25%.<sup>1,4-7</sup> This results in hospital readmissions and treatment, which increases the total cost of care considerably.<sup>8</sup>

Platelets are critical for the maintenance of the integrity of the vascular system and are the first line of defense against hemorrhage.<sup>9</sup> GPIIb $\alpha$  and GPVI are two key platelet receptors that bind vWF and collagen, respectively, which initiate hemostasis at sites of vascular injury.<sup>10</sup> Metalloproteinase-mediated ectodomain shedding of platelet receptors has been recognized as a new mechanism for regulating platelet function.<sup>11</sup> Platelet dysfunction is an important cause of major bleeding after cardiac surgery.<sup>12</sup> Recent study reported that loss of the platelet surface receptors in HF patients, patients with mechanical circulatory support device and patients with extracorporeal membrane oxygenator support may contribute to ablated platelet adhesion/activation, and limit thrombus formation under high/pathologic shear conditions.<sup>13</sup> Studies also revealed that non-physiological high shear stress may also contribute to the shedding of different important platelet receptor glycoproteins.<sup>14-16</sup> Several biochemical pathways that induce shedding of GPIIb $\alpha$  and/or GPVI have been identified.<sup>17-21</sup> While these pathways might be dependent or independent of platelet activation, the loss of these functional receptors may result in defective platelets. Defects affecting normal surface expression and shedding of GPIIb $\alpha$  and/or GPVI may result in mild or more severe bleeding complication.<sup>13</sup>

Oxidative stress, defined as an excess production of ROS relative to antioxidant defense, has been shown to play an important role in the pathophysiology of HF.<sup>22</sup> Moreover, increased oxidative stress found to relate with the functional severity of HF, with the highest levels being noticed in patients in functional class III and IV.<sup>23</sup> Our recent reports demonstrated that increased levels of oxidative stress biomarkers and mechanistic insight of platelet apoptosis are linked to bleeding complication in some HF patients with CF-LVAD support.<sup>24,25</sup> Oxidative stress may also influence the alteration of platelet and leukocyte functionality in CF-LVAD patients with systemic inflammation, infection and sepsis.<sup>26</sup> A previous study showed that higher levels of oxidative stress have a potential role in mediating abnormal

bleeding and was associated with increased serum malondialdehyde and decreased SOD levels.<sup>27,28</sup> The regulation of platelet function is finely tuned by a balance between the vasculature's redox environment and the oxidative processes that occur in it.<sup>29</sup> Prior study on murine and human model suggested that oxidative injury of platelets may attenuate their function by shedding key adhesion receptors on platelet surface.<sup>30</sup> Thus the importance of redox-regulation of important platelet surface glycoprotein functionality cannot be underestimated. Unfavorable response to CF-LVAD implantation, leading to increased production of oxidative stress, in combination with non-physiological mechanical factors could induce platelet glycoprotein shedding. The role of platelet surface receptor glycoproteins and oxidative stress response of NSB associated with CF-LVADs has been elusive. The present study focused on the status of oxidative stress and their associations with platelet glycoproteins GPIIb/IIIa and GPVI shedding during NSB in CF-LVAD patients.

## Methods

### Study population

Fifty one HF patients undergoing CF-LVAD implantation and 11 healthy volunteers were recruited in our study. The CF-LVAD therapies were for either as bridge to transplant or as destination therapy. Among the different CF-LVADs implanted; 21 patients received the HeartMate II (Thoratec Corp, Pleasanton, CA), 11 received the Jarvik 2000 (Jarvik Heart, New York, NY) and 19 had HeartWare HVAD (HeartWare Inc, Framingham, MA).

### Patient selection criteria and ethical aspects

Patients between ages 18–70 years undergoing CF-LVAD implantation were enrolled in our study. All the subjects were enrolled after signing informed consent form in accordance with the Declaration of Helsinki. Patients with history of malignancy and preexisting inflammatory conditions were excluded from our study. Healthy volunteers without any history of any cardiovascular disease were included as reference. Blood samples and background information were collected from each patient according to our institutional Review Board (IRB) protocol.

### Sample collection and processing

Baseline (pre-operative: Pre-OP) and post-operative (at 1, 2, 3 weeks and 1 month: POD-1W, 2W, 3W and 1M) blood samples from the HF patients were collected in EDTA/Citrate-anticoagulated vacutainer tubes. Reference blood samples from healthy volunteers were collected once. Samples were aliquoted, processed and used for further analysis according to the study protocol.

### Assessment of oxidative stress

To investigate the extent of oxidative stress we measured several biomarkers of oxidative stress in platelets, leukocytes, erythrocytes and even in plasma samples of the study population according to previously published procedures.<sup>25, 31–33</sup> In brief, generation of reactive oxygen species (ROS) in platelets, neutrophils, lymphocytes and monocytes were quantified by our standard laboratory procedures published earlier and the results were expressed as mean fluorescence intensity (MFI) in arbitrary unit for each cell types.<sup>33</sup> As the

status of oxidative stress can not be confirmed by measuring only ROS; it is important to look into the antioxidant status also, as the oxidative stress best explained by the balance between ROS and antioxidant enzyme. To evaluate the antioxidant status we further quantified potent antioxidant enzyme superoxide dismutase (SOD, kit: Cell Technology Inc. Mountain View, CA, USA) in erythrocytes and total antioxidant capacity (TAC, kit: Randox Laboratories, Antrim, UK) in plasma samples by using commercially available kits according to the manufacturer's instructions. The data for SOD and TAC were expressed as units per milliliters (U/mL) and millimole per liters (mmol/L) respectively. We further estimated the concentration of oxidized low density lipoprotein (oxLDL) in plasma by ELISA using a commercially available kit (Merckodia Inc, Winston Salem, NC) following the manufacturer's instruction to explore overall status of oxidative stress. Details of each experimental procedure were found in our previously published literatures.<sup>24,25,32,33</sup>

### **Assessment of platelet surface receptors GPIIb $\alpha$ and GPVI shedding**

To evaluate the shedding of two important platelet surface glycoprotein receptors GPIIb $\alpha$  and GPVI; we looked in the surface expression and plasma elements of both receptors in all of our study samples. Reduction in the surface expression and elevation in the plasma levels indicated the shedding of those receptors. Flow cytometry and ELISA were used to estimate surface expression and plasma elements of these receptors according to the following procedures.

### **Assessment of platelet surface receptors GPIIb $\alpha$ and GPVI by Flow cytometry**

Evaluation of surface expression of platelet membrane glycoproteins GPIIb $\alpha$  (CD42b, clone HIP1, from BioLegend, San Diego, CA) and GPVI (eBioscience, San Diego, CA) were performed with the use of specific antibodies and multi-color whole-blood flow cytometry, as described in our previous study [14]. In brief, 5  $\mu$ l aliquot of EDTA anticoagulated whole blood samples with 25  $\mu$ l of 10 mM HEPES buffer were incubated with 10  $\mu$ l each of fluorescein isothiocyanate (FITC for CD42b)- and eFluor 660 (eFlu for GPVI)-conjugated monoclonal antibodies raised against human cells and isotype-matched negative controls (FITC and eFlu for mouse IgG1,  $\kappa$ ). In each sample, another 10  $\mu$ l phycoerythrin (PE)-conjugated anti-human CD41 antibody was added to identify platelet population. All the samples were incubated for 30 min in the dark at room temperature. Thereafter, the cells were fixed with 1% paraformaldehyde, kept in dark for 30 minutes at 40°C and analyzed in a flow cytometer.

### **Assessment of plasma levels of GPIIb $\alpha$ and GPVI by ELISA**

To determine the plasma levels of GPIIb $\alpha$  (cat no: MBS701708) and GPVI (cat no: MBS915183), we used commercially available ELISA kits from MyBioSource Inc., San Diego, CA. These assays employ the quantitative sandwich enzyme immunoassay technique. Antibody specific for either GPIIb $\alpha$  or GPVI has been pre-coated onto microplate. Standard or plasma (100  $\mu$ L for both) was added to each well and they were incubated at 37°C for 2 hrs. The liquid of each well was removed, with 100  $\mu$ L of biotin-antibody added to each well and the resultant samples were incubated at 37°C for 1 h. After washing three times, the plate was inverted and blotted against clean paper towels. HRP-avidin (100  $\mu$ L) was added to each well and the sample was incubated at 37°C for 1 hr. Washing lasted for five times.

TMB substrate (90  $\mu$ L) was added to each well and the sample was incubated for 15–30 min at 37°C away from light. Stop solution (50  $\mu$ L) was added and the plate was gently tapped to ensure thorough mixing. The absorbance was determined within 15 min, on a microplate reader set to 450 nm. The detection ranges of the kits were 0.156 – 10  $\mu$ g/mL for GPIIb $\alpha$  and 46.88 – 3000 pg/mL for GPVI.

### Statistical analyses

All analyses were performed with GraphPad Prism, version 6.07 (GraphPad Software, Inc., La Jolla, California) and SAS software, version 9.4 (SAS Institute, Cary, North Carolina). The data are presented as mean  $\pm$  SD (standard deviation) and/or median with inter quartile range (IQR). Statistical differences were determined by using Chi-square test, Student's *t*-test, Mann–Whitney *U* test and One-Way ANOVA (Wilcoxon rank-sum test or Kruskal–Wallis test), as applicable. Univariate analysis was carried out using Spearman's rank correlation test to find out the relation between two measurable parameters as continuous variables, and the result was expressed as rho value. Statistical significance was assigned at  $p < 0.05$ . For Spearman's rank correlation analysis to identify any correlation between different biomarkers of oxidative stress and platelet receptor shedding, paired oxidative stress data for each biomarker from baseline to post operative day 30 in each patients plotted against the matched platelet receptor shedding data. Multivariate logistic regression models were created to evaluate impact of relevant baseline factors and change in levels of biomarkers (from baseline) on incidence of post operative bleeding. There were two multivariate logistic regression models created; one each using GPIIb $\alpha$  and GPVI. The covariates in GPIIb model included BMI and a variable derived from the equation of GPIIb $\alpha$  change from baseline (described in the results). Similarly GPVI model included BMI and variable derived from the equation of GPVI change from baseline (described in the results). BSA was not included as a covariate as BMI and BSA use same factors in their calculations. Apart from biomarkers, the covariates were selected based on purposeful selection of variables i.e. any variables significantly different on univariate analysis. Any measured parameter was treated as a variable, either continuous (when computing univariately for correlation) or dichotomous (when examining association). We did not include variables known to interact (GPIIb $\alpha$  and GPVI) in the same model to avoid interaction related bias. The ROC curves were generated for both models.

## Results

### NSB and demography

Among 51 HF patients, fourteen experienced at least one episode of NSB within one month after CF-LVAD support (bleeder group). NSB was defined according to INTERMACS classification. CF-LVAD patients having bleeding that requires a transfusion of 4 or more units of packed red blood cells and/or causes hemodynamic instability requiring inotropic infusion and/or surgical reintervention was considered bleeders. Comparative analyses of demographic and clinical characteristics of the patients in the bleeder group and those who did not experience NSB (non-bleeder group) before CF-LVAD implantation were summarized in Table I. All the patients were transfused with either RBCs or fresh frozen

plasma or platelets. Anti-coagulation regimen at the time of bleeding was clinically optimized individually for each patient.

### Clinical hematology

There were no significant differences in most of the common hematology and blood chemistry data (WBC, RBC, HCT, BUN, Creatinine, INR and PTT) between the non-bleeder and bleeder groups before and after CF-LVAD implantation throughout the study period as observed from our hospital database. At the baseline (Pre-OP) there was no significant difference in hemoglobin counts between non-bleeder and bleeders ( $11.8 \pm 1.9$  vs  $11.4 \pm 1.6$ ,  $p > 0.05$ ). Hemoglobin content was reduced temporally in both the non-bleeder and bleeder groups with similar trends. After one month of CF-LVAD implantation, the hemoglobin counts were significantly reduced by 20% ( $11.8$  vs  $9.4$ ,  $p < 0.05$ ) and 25% ( $11.4$  vs  $8.5$ ,  $p < 0.05$ ) in non-bleeder and bleeder groups respectively when compared to their corresponding baseline values. We did not notice significant difference in the platelet count between the bleeder and the non-bleeder groups at baseline ( $178.7 \pm 46.7$  vs  $197.1 \pm 85.4 \times 10^3/\text{mL}$ ;  $p = 0.43$ ) and at one week ( $191.1 \pm 94.1$  vs.  $194.4 \pm 73.9 \times 10^3/\text{mL}$ ;  $p = 0.93$ ) after CF-LVAD implantation. Platelet counts in both groups remained within the reference range ( $153 - 367 \times 10^3/\text{mL}$ ) up to two weeks after CF-LVAD support. At the 3rd week, we noticed significant reduction in the platelet counts in the bleeder group ( $137.0 \pm 97.7$  vs.  $251.0 \pm 107.2 \times 10^3/\text{mL}$ ;  $p = 0.03$ ) and at 4th week ( $93.2 \pm 51.1$  vs.  $235.3 \pm 99.7 \times 10^3/\text{mL}$ ;  $p = 0.0008$ ) it became lowest, below the reference values.

### Change in ROS generation by platelets and leukocytes

The flow cytometric analysis of the ROS generation from platelets, neutrophils, lymphocytes and monocytes were found to be significantly higher among both the non-bleeding and bleeding groups of HF patients at baseline compared to the healthy volunteers (Fig. 1). Moreover, ROS generation from platelets was significantly 26% elevated in the bleeder group compared to the non-bleeder group prior to CF-LVAD implantation. After CF-LVAD implantation, we noticed temporal changes in ROS generation from all cell types in both groups. Intraplatelet ROS generation was found to be temporarily increased up to the end of the study duration; while the levels of intraplatelet ROS decreased in the non-bleeder group. We noticed significant elevation of intraplatelet ROS in bleeder group compared to non-bleeder at post implant day 14, 21 and 30. The trends of ROS generation in neutrophils and lymphocytes were similar in both non-bleeder and bleeder groups. In all cases, ROS generation was found to be significantly higher in bleeder group compared to non-bleeder group at post-implant day 30 (POD-1M).

### Change in SOD, TAC and oxLDL

Significantly lower levels of antioxidant enzyme SOD in erythrocytes and TAC in plasma were detected among both the non-bleeding and bleeding groups of HF patients at baseline compared to the healthy volunteers (Fig. 2A,C). The baseline levels of SOD and TAC between the non-bleeder and bleeder groups were comparable to each other. After CF-LVAD implantation, temporal decrease in SOD and TAC levels were significantly prominent in bleeder group in comparison to non-bleeder group. The lowest levels of SOD and TAC were observed in the bleeder group at the end of the study (Fig. 2B,D). A higher level of plasma



oxLDL, another important biomarker of oxidative stress, was also observed in both groups of HF patients compared to the healthy volunteers, which was also higher pre- and post-implant in the bleeder group and continued to increase temporally post-implant (Fig. 2E,F).

### Change in shedding of platelet receptors glycoproteins: GPIIb $\alpha$ and GPVI

Figure 3 demonstrates data from flow cytometric and ELISA analysis to investigate surface expression and plasma levels of platelet GPIIb $\alpha$  and GPVI receptors among healthy volunteers and HF patients before and after multiple time points of CF-LVAD implantation. At baseline, the surface expression of GPIIb $\alpha$  and GPVI receptors were significantly lowered in the bleeder group when compared to the non-bleeder group and healthy volunteers; indicating pre-existing condition of decreased receptors in bleeder group prior to CF-LVAD implantation (Fig. 3A,E). While looking at post implant surface expression, we noticed a progressive decrease in GPIIb $\alpha$  and GPVI receptors in bleeder groups and became lowest at one month after CF-LVAD support (Fig. 3B,F). In case of non-bleeder group, the temporal changes were not so prominent. The temporal change in GPIIb $\alpha$  and GPVI receptors on platelet surface remained always significantly lowered in bleeder group in comparison to non-bleeder group. Contrary to reduced surface expression of platelet GPIIb $\alpha$  and GPVI receptors, we noticed significantly elevated plasma levels of those two receptors among bleeder group compared to non-bleeder and healthy volunteers at baseline (Fig. 3C,G). Moreover, post-implant temporal changes in elevated plasma levels of GPIIb $\alpha$  and GPVI were found to be significantly higher at all time points in bleeder group compared to non-bleeder (Fig. 3D,H). All indicating proteolytic shedding of GPIIb $\alpha$  and GPVI receptors in circulating plasma especially in bleeder group.

### Likelihood of bleeding prediction by GPIIb $\alpha$ and GPVI receptor shedding

After assessing the GPIIb $\alpha$  and GPVI shedding as a potential marker of the bleeding at different post-LVAD time points, we evaluated the marker by change in their value at these time points from baseline value. We hypothesized that scale of increase in the GPIIb $\alpha$  and the GPVI shedding from the baseline level as well as the frequency of increase from baseline is proportional to the likelihood of bleeding. First, we calculated the difference of concentration from baseline at each available follow-up time points up to one month study period. Then we calculated the mean of the difference in those follow-up time point concentrations. We also assessed the frequency of increase in the concentrations from baseline. We then used following equation to calculate likelihood of bleeding for the GPIIb $\alpha$  and the GPVI shedding.

$$\text{Likelihood of NSB} = \frac{[(A) * (B)]}{(C)}$$

Where: (A) = mean difference in concentration from baseline; (B) = frequency of increased concentrations from baseline; (C) = total frequency of follow-up concentrations

### Multivariate regression and ROC analysis for Likelihood of bleeding prediction model

In a multivariate logistic regression model, we applied the likelihood bleeding data points to evaluate its relation to the bleeding. The ROC curve for the GPIIb/IIIa and the GPVI likelihood of bleeding unit is shown in Figure 4. The estimated AUCs were 0.9514 (95% CI: 1.203 – 5.649;  $p=0.0152$ ) for GPIIb/IIIa and 0.9236 (95% CI: 1.001 – 1.007;  $p=0.0114$ ) for GPVI. According to these statistics, if we randomly select a patient with NSB and a patient without NSB, the probability of bleeding (while controlling BMI) being greater for the bleeding patient than that of non-bleeding patient are 0.9514 (GPIIb/IIIa) and 0.9236 (GPVI). To test whether the AUCs of GPIIb/IIIa and GPVI were significantly different from 0.5, wald Chi-square statistic of 5.89 and 6.40 were obtained (using the estimated standard errors of 0.395 and 0.001), which yield  $p$  values of 0.015 and 0.011 respectively, significant at a Type I error rate of 5%. Thus the discriminating power of the biomarker of the predicted probability for bleeding by the GPIIb/IIIa and the GPVI likelihood of bleeding units are significantly greater than that of chance alone. Thus, the measured GPIIb/IIIa and GPVI shedding as a potential biomarker have a predictive power for NSB.

### Correlation between biomarkers of oxidative stress and platelet receptor shedding

To investigate relationship between biomarkers of oxidative stress and shedding of platelet GPIIb/IIIa and GPVI receptors, we conducted Spearman's rank correlation test in all CF-LVAD patients (Table 2). Our data clearly indicated that surface expression of GPIIb/IIIa and GPVI receptors correlated negatively with of generation of ROS and levels of oxLDL; and correlated positively with concentration of SOD and TAC. As expected, opposite strong correlations were evident in case of plasma elements of GPIIb/IIIa and GPVI receptors and oxidative stress markers. Thus, the shedding of these two important platelet receptors correlated with the elevation of oxidative stress among HF patients with CF-LVAD support. In multivariate regression analysis, oxidative stress biomarkers were significantly associated with platelet receptor glycoproteins shedding after controlling possible covariates ( $p<0.01$ ).

### Discussion

The major bleeding complication in CF-LVAD patients may result in significant morbidity, and its incidence has been estimated to range between 18–40%.<sup>3,34–36</sup> The etiology of bleeding diathesis can be multi-factorial in CF-LVAD patients. The development of acquired von Willebrand syndrome (AvWS) is one of the most discussed factor in which patients experienced with mechanical destruction and proteolysis of high-molecular-weight multimers (HMWM) of von Willebrand factor (vWF), induced by CF-LVADs generated non-physiological high shear stress.<sup>37–40</sup> Although the CF-LVAD patients with AvWS may result in reduced vWF associated platelet activity and aggregation, not all of them experience major bleeding.<sup>40–42</sup> The key function of platelets is to prevent bleeding; subsequently, it stands to reason that abnormal platelet function may be a contributing factor to NSB events.<sup>25</sup> We recently reported mechanistic insight of platelet apoptosis is linked to elevated oxidative stress in CF LVAD patients and were associated with bleeding complications as well as there is an important role of oxidative stress and platelet mitochondrial damage due to inflammation/infection during CF-LVAD support.<sup>24–26</sup> In our present study we hypothesized that persistent oxidative stress and reduced surface



expression or shedding of two important platelet-receptor-glycoproteins GPIIb/IIIa and GPVI may give rise to NSB complication among CF-LVAD patients.

Platelets are unique in their structural assembly, though they are anucleate but have distinct mitochondria and play a major role in hemostasis and thrombosis.<sup>43</sup> Plasma membrane of platelets composed of phospholipid bilayer and is the site of expression of various surface receptors including GPIIb/IIIa, GPVI and lipid rafts which helps in signalling and intracellular trafficking related to cellular homeostasis.<sup>43</sup> Platelets are well known as key mediators of hemostasis and their activity and fate have been reported to be controlled oxidative stress during cell activation.<sup>44-48</sup> These conditions can dramatically affect platelet physiology. Other study demonstrated that redox control of cellular homeostasis is a key determinant of platelet destiny.<sup>49</sup> However, the precise mechanisms involved in redox modification of platelet surface receptor dysfunction are not clear. It is noted that the clinical role of oxidative stress in platelet function and thrombosis/bleeding is unclear and complex so far. Nonetheless, redox regulation of the platelet is crucial to the modulation of its function. Prior studies showed that redox sites on the surface of the platelet most likely impacts platelet function, as it does in other biological processes. These include integrin-mediated adhesion, virus entry into the cell, and importantly receptor shedding.<sup>50</sup> In our study, we noticed significantly higher ROS generation not only from platelets but also from neutrophils, lymphocytes and monocytes in the CF-LVAD patients who developed NSB when compared to patients without bleeding complication. We also noticed concomitant decrease in SOD, TAC and elevated oxLDL, suggesting that the total antioxidant capacity might not be strong enough to minimize the deleterious effect of ROS during NSB. These observations explain the severity of oxidative stress in CF-LVAD patients, especially in bleeder group. The increased formation and release of ROS, as well as the modified content of endogenous or exogenous antioxidants may play a role not only in platelet destiny and thrombus formation, but also in the development of other diseases including bleeding complications.

The balance between hemostasis and thrombosis relies on a finely tuned adhesive response of blood platelets. Inadequate adhesion leads to bleeding, whereas excessive or inappropriate adhesion leads to thrombosis.<sup>51</sup> We noticed temporarily reduced surface expression and elevated plasma levels of two important platelet glycoproteins (GPIIb/IIIa and GPVI) in bleeder group when compared to non-bleeder group, - indicating possibilities of proteolytic shedding during the CF-LVAD support. Thus, due to the proteolytic shedding of those glycoprotein receptors from platelet surface may produce abnormal platelets which may facilitate the process of NSB event in CF-LVAD patients. In univariate analysis, strong correlations between the biomarkers of oxidative stress and platelet glycoprotein receptors shedding in the HF patients were observed in our study. In multivariate regression analysis, oxidative stress significantly associated with platelet glycoprotein receptors shedding after controlling for BMI. Thus, our study may suggest that oxidative stress may partially play a role in accelerating receptor shedding leading to platelet dysfunction in CF-LVAD patients and subsequent NSB. We further verified the predictability of the likelihood of NSB using the platelet shedding values and performed ROC analysis. Based on this statistical analysis, the likelihood of NSB would be a good biomarker as a diagnostic test for bleeding complication in CF-LVAD patients. Thus, the implications, therefore, are that the regulation

of platelets by oxidative stress is an important biomedical issue. A thorough understanding of these mechanisms and how they interact with other platelet signaling events is of the utmost importance for the development of novel therapeutic targets so that we can protect against inappropriate thrombus formation or bleeding complications in CF-LVAD patients. Platelet glycoprotein shedding could be due to other factors such as long-term exposure to the high shear stress flow environment as well as the artificial blood contacting materials found in CF-LVADs. Recently, it was shown that the non-physiological high shear stress (NPHSS) environment that occurs with CF-LVADs may be responsible for platelet dysfunction as evidenced by GPIIb/IIIa, GPVI and GPIIb/IIIa receptor shedding.<sup>14–16</sup> Understanding of the role of oxidative stress and NPHSS from CF-LVAD as contributory factor in platelet glycoprotein shedding may enable development of effective medical management strategy.

## Study Limitations

We acknowledge that there are some limitations in this prospective observational study. The sample size was relatively small. Not all CF-LVAD patients were enrolled for this study. Medication might have some impact on oxidative stress status. Recent changes in medications should be addressed in further studies to rule out drug–drug interactions or a new side effect. A larger cohort of CF-LVAD patients with bleeding complication is needed to confirm our initial findings.

## Conclusions

Elevated oxidative stress may play a role in GPIIb/IIIa and GPVI shedding in the event of NSB. Thus, oxidative stress and GPIIb/IIIa and GPVI shedding may be used as potential biomarkers for bleeding risk stratification in those patients.

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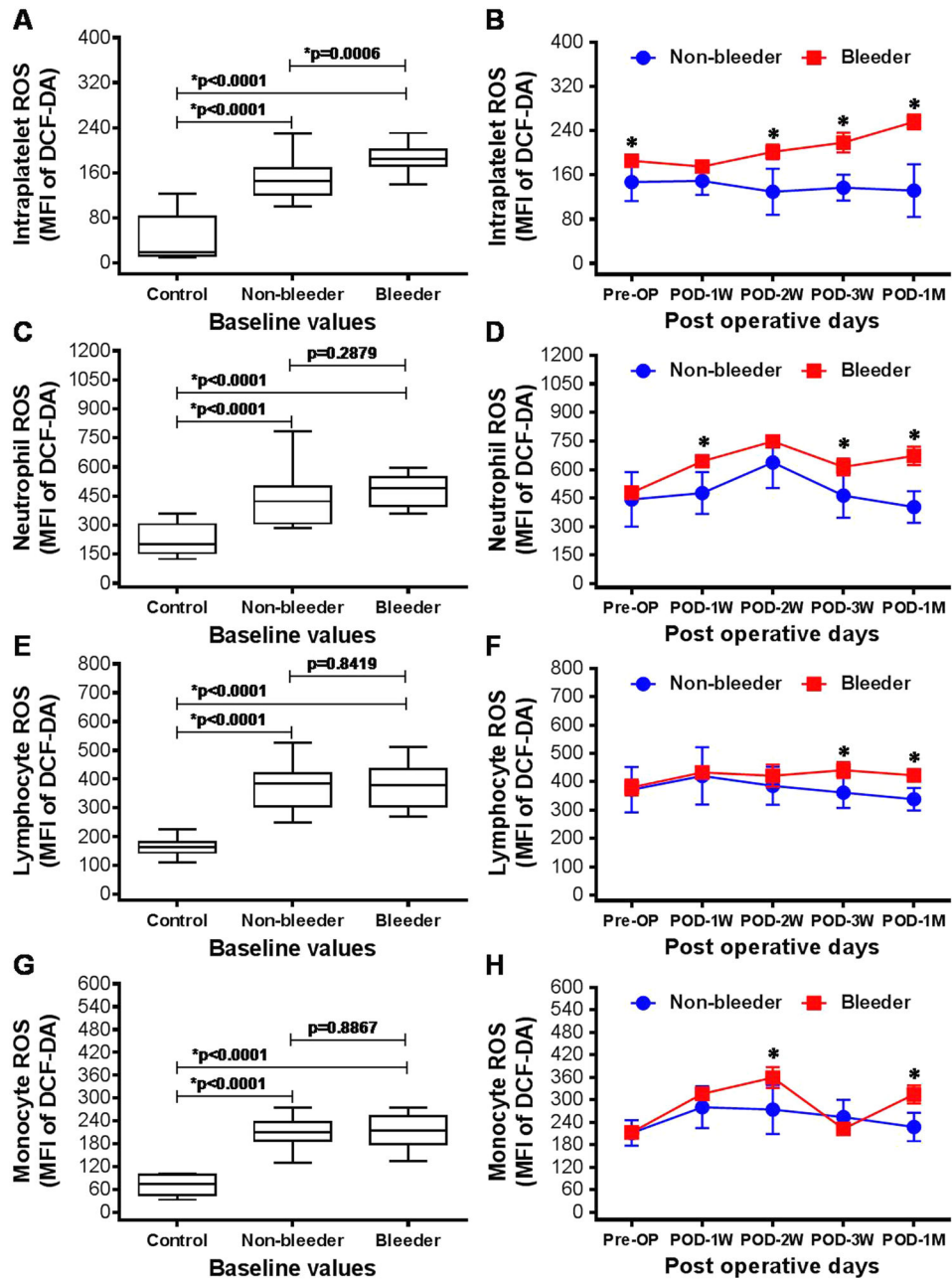
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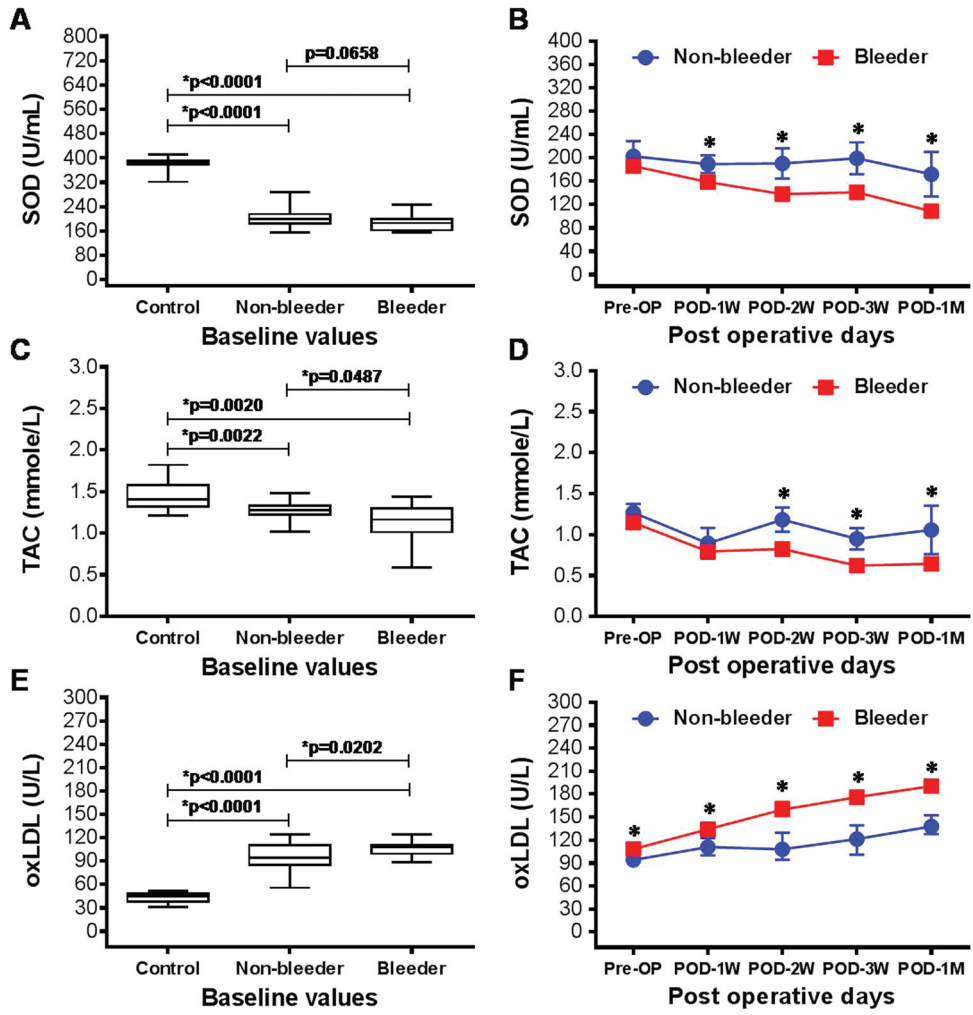
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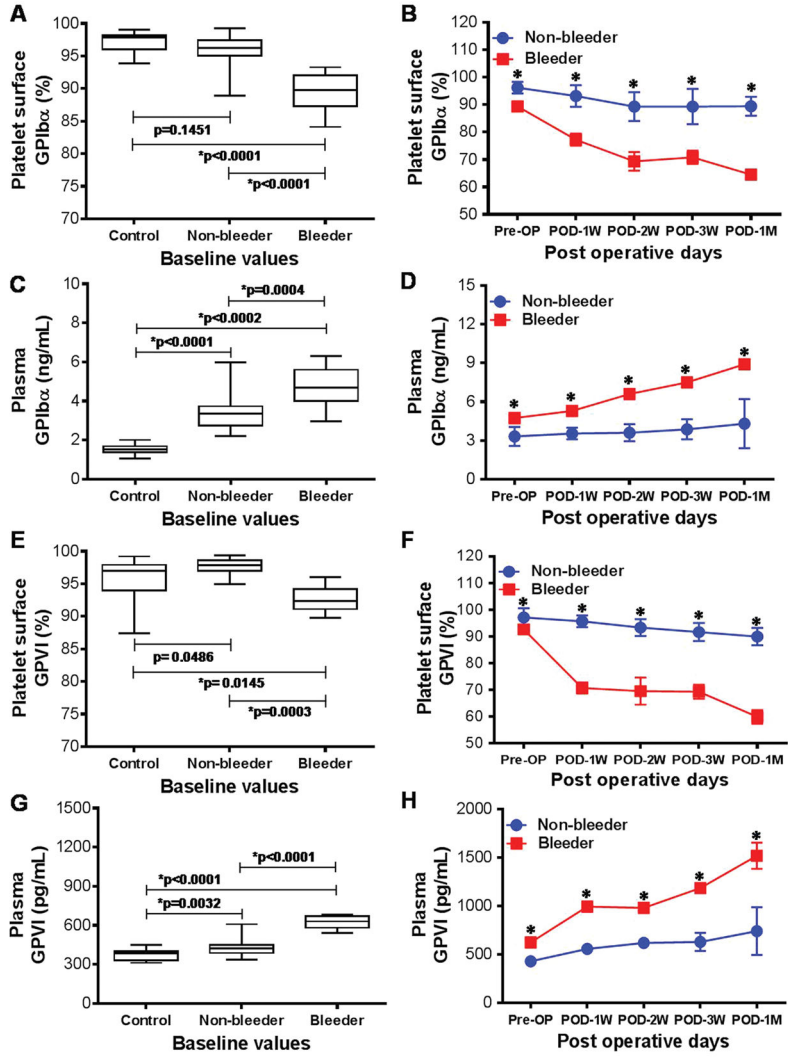


**Figure 1.** Box-whisker plots and line arts to show the generation of ROS from platelets (A,B), neutrophils (C,D), lymphocytes (E,F) and monocytes (G,H) among healthy volunteers, non-bleeders and bleeders at baseline (A,C,E,G) and temporarily after CF-LVAD implantation (B,D,F,H). \* $p < 0.05$  is considered significant.

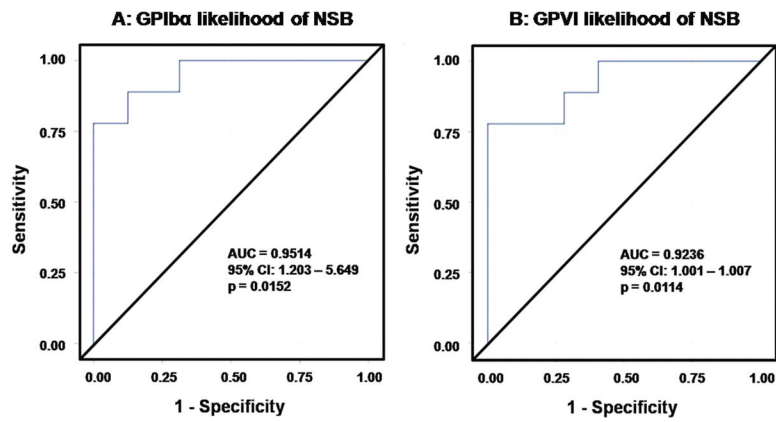




**Figure 2.** Box-whisker plots and line arts to show the production of SOD (A,B), TAC (C,D) and oxLDL (E,F) among healthy volunteers, non-bleeders and bleeders at baseline (A,C,E) and temporarily after CF-LVAD implantation (B,D,F). \*p<0.05 is considered significant.



**Figure 3.** Box-whisker plots and line arts to show the glycoprotein receptor GPIIb/IIIa (A–D) and GPIIb/IIIa (E–H) on platelet surface and in plasma among healthy volunteers, non-bleeders and bleeders at baseline (A,C,E,G) and temporarily after CF-LVAD implantation (B,D,F,H). \*p<0.05 is considered significant.



**Figure 4.** Receiver operating characteristic (ROC) curve for measurement of the predictive power of GPIIb (A) and the GPVI (B) likelihood of bleeding in CF-LVAD patients. AUC (area under the curve) was calculated using a method designed for ROC analysis.

**Table 1**

Demographic and baseline clinical characteristics of patients prior to CF-LVAD implantation

Characteristics	Pre-implant HF patients (n = 51)		p-value
	Non-bleeder group(n = 37)	Bleeder group(n =14)	
<b>Demography</b>			
Age in years			
Mean $\pm$ SD	59.2 $\pm$ 10.7	59.5 $\pm$ 12.6	0.92
Median (IQR)	60.0 (31.0 – 76.0)	63.5 (25.0 – 70.0)	0.59
Sex, n (% male)	36 (97.3 %)	11 (78.6 %)	0.10
Age in years			
Caucasian white, n (%)	28 (75.7 %)	6 (42.9 %)	0.06
Black, n (%)	9 (24.3 %)	8 (57.1 %)	
Height in meter			
Mean $\pm$ SD	1.8 $\pm$ 0.1	1.7 $\pm$ 0.1	0.25
Median (IQR)	1.8 (1.6 – 1.9)	1.7 (1.6 – 1.9)	0.17
Weight in kilograms			
Mean $\pm$ SD	99.0 $\pm$ 19.4	81.3 $\pm$ 17.4	0.01 *
Median (IQR)	99.8 (60.0 – 127.9)	80.3 (53.0 – 112.0)	0.01 *
Body mass index (BMI) (kg/m <sup>2</sup> )			
Mean $\pm$ SD	30.7 $\pm$ 5.0	26.6 $\pm$ 4.9	0.02 *
Median (IQR)	31.2 (20.8 – 39.0)	26.1 (19.5 – 34.3)	0.02 *
Body surface area (BSA) (m <sup>2</sup> )			
Mean $\pm$ SD	2.2 $\pm$ 0.3	2.0 $\pm$ 0.3	0.02 *
Median (IQR)	2.3 (1.6 – 2.5)	2.0 (1.6 – 2.4)	0.03 *
History of smoking, n (%)	10 (27.0 %)	3 (21.4 %)	0.96
History of substance abuse			
Ethyl alcohol abuse, n (%)	7 (18.9 %)	2 (14.3 %)	0.70
Drug abuse, n (%)	9 (24.3 %)	3 (21.4 %)	0.83
<b>Vital signs</b>			
Systolic blood pressure (mmHg)			
Mean $\pm$ SD	103.1 $\pm$ 10.7	107.7 $\pm$ 11.9	0.28
Median (IQR)	105.0 (79.0 – 129.0)	109.0 (86.0 – 131.0)	0.13
Diastolic blood pressure (mmHg)			
Mean $\pm$ SD	62.1 $\pm$ 8.6	67.6 $\pm$ 13.5	0.17
Median (IQR)	63.0 (46.0 – 83.0)	64.0 (60.0 – 105.0)	0.43
<b>Etiology of heart disease</b>			
Ischemic cardiomyopathy, n (%)	21 (56.8 %)	10 (71.4 %)	0.53
Non-ischemic cardiomyopathy, n (%)	16 (43.2 %)	4 (28.6 %)	0.53
<b>Echocardiographic parameters</b>			

Characteristics	Pre-implant HF patients (n = 51)		p-value
	Non-bleeder group(n = 37)	Bleeder group(n =14)	
Left ventricular end diastolic diameter (mm)			
Mean ± SD	66.1 ± 8.6	67.4 ±11.7	0.76
Median (IQR)	63.0 (53.0 – 88.0)	67.5 (52.0 – 88.0)	0.70
Left ventricular ejection fraction (%)			
Mean ± SD	13.7 ± 3.3	15.0 ± 5.6	0.37
Median (IQR)	15.0 (10.0 – 20.0)	12.5 (10.0 – 25.0)	0.66

Demographic and clinical parameters of non-bleeder versus bleeder groups of HF patients were statistically compared by Mann-Whitney 'U' test (for median values with IQR),  $\chi^2$ -test (for results presented as percentages) and Student's t-test (for results presented as mean ± SD) as applicable,

\* p<0.05 were considered significant.

**Table 2**

Spearman correlation between biomarkers of oxidative stress and platelet glycoproteins in CF-LVAD patients

Biomarkers of oxidative stress (Baseline to one month)	Platelet glycoproteins (baseline to one month)			
	GPIIb <sub>a</sub> Surface	GPIIb <sub>a</sub> Plasma	GPVI Surface	GPVI Plasma
<b>Platelet ROS</b>				
Spearman's $\rho$	-0.24	0.35	-0.30	0.28
95% confidence intervals (CI)	-0.41 to -0.06	0.18 to 0.50	-0.48 to -0.09	0.11 to 0.44
p-value	0.01 *	<0.0001 *	0.004 *	0.002 *
<b>Neutrophil ROS</b>				
Spearman's $\rho$	-0.54	0.32	-0.20	0.44
95% confidence intervals (CI)	-0.67 to -0.37	0.10 to 0.50	-0.47 to 0.10	0.24 to 0.60
p-value	< 0.0001 *	0.004 *	0.17	< 0.0001 *
<b>Lymphocyte ROS</b>				
Spearman's $\rho$	-0.32	0.33	-0.09	0.26
95% confidence intervals (CI)	-0.50 to -0.12	0.11 to 0.51	-0.37 to 0.22	0.05 to 0.46
p-value	0.002 *	0.003 *	0.57	0.02 *
<b>Monocyte ROS</b>				
Spearman's $\rho$	-0.38	0.39	-0.23	0.36
95% confidence intervals (CI)	-0.54 to -0.18	0.19 to 0.56	-0.49 to 0.07	0.15 to 0.53
p-value	0.0002 *	0.0002 *	0.12	0.001 *
<b>SOD</b>				
Spearman's $\rho$	0.54	-0.51	0.59	-0.57
95% confidence intervals (CI)	0.40 to 0.66	-0.64 to -0.36	0.42 to 0.72	-0.69 to -0.43
p-value	< 0.0001 *	< 0.0001 *	< 0.0001 *	< 0.0001 *
<b>TAC</b>				
Spearman's $\rho$	0.53	-0.53	0.64	-0.59
95% confidence intervals (CI)	0.39 to 0.65	-0.65 to -0.39	0.49 to 0.75	-0.70 to -0.46
p-value	< 0.0001 *	< 0.0001 *	< 0.0001 *	< 0.0001 *
<b>oxLDL</b>				
Spearman's $\rho$	-0.57	0.60	-0.63	0.60
95% confidence intervals (CI)	-0.68 to -0.44	0.47 to 0.71	-0.75 to -0.48	0.48 to 0.71
p-value	< 0.0001 *	< 0.0001 *	< 0.0001 *	< 0.0001 *

Note: Result was expressed as  $\rho$  (rho) value.

\* statistically significant in spearman's rank correlation test.