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## **Prostate Cancer Chemoprevention by Natural Agents: Clinical Evidence and Potential Implications**

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## **Abstract**

Prostate cancer (PCa) is the most common non-skin cancer and the second leading cause of cancer-related deaths in American men. Due to its long latency period, PCa is considered as an ideal cancer type for chemopreventive interventions. Chemopreventive agents include various natural or synthetic agents that prevent or delay cancer development, progression and/or recurrence. Pre-clinical studies suggest that many natural products and dietary agents have chemopreventive properties. However, a limited number of these agents have been tested in clinical trials, with varying success. In this review, we have discussed the available clinical studies regarding the efficacy of natural chemopreventive agents against PCa, including tea polyphenols, selenium, soy proteins, vitamins and resveratrol. We have also provided a discussion on the clinical challenges and opportunities for the potential use of chemopreventive agents against PCa. Based on available literature, it appears that the variable outcomes of the chemopreventive clinical studies necessitate a need for additional studies with more rigorous designs and methodical interpretations in order to measure the potential of the natural agents against PCa.

#### **Keywords**

Prostate cancer; chemoprevention; natural agents; clinical trials

## **1. Introduction**

Despite the advances in prostate cancer (PCa) biology and therapeutics, according to the American Cancer Society's estimates, about 164,690 new cases of PCa will be diagnosed and about 29,430 deaths will occur in 2018, in the United States alone [1]. Traditionally, PCa development and progression is thought to be motivated by androgen and androgen

#### **Conflict of Interest**

There is no conflict of interest to declare.

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receptor (AR), and therefore first-line of treatment androgen deprivation therapy (ADT) is used. However, the "saturation model" proposed by Morgentaler and Traish suggests that there is a limit to the capability of androgens to promote PCa growth, and this limit is based on maximal androgen-AR binding, which is achieved at well below the physiologic concentrations of serum testosterone [2]. Nevertheless, after initial ADT success, most patients with advanced disease eventually develop resistance and progress to castrateresistant PCa (CRPC), which remains an incurable disease [3]. Low survival and high mortality of PCa are associated with the emergence of CRPC and subsequent metastatic disease. To advance the battle against PCa, it is necessary to continue both basic and clinical research to improve detection, prevention and treatment practices. However, the preventive approach could be considered as a fundamental strategy to reduce the incidence as well as mortality associated with PCa. To this end, novel strategies are required to be added to existing regimens and standards of care. Epidemiological studies have shown that healthy diet and exercise may significantly influence the pervasiveness of several cancers [4]. Several natural compounds, following promising preclinical testing, have been evaluated in clinics. In this review, we have discussed the data available from the clinical trials regarding several natural chemopreventive agents that have been tested for PCa chemoprevention. Additionally, we also present our thoughts on challenges and opportunities regarding the clinical use of chemopreventive agents for PCa.

## **2. Methodology**

In this narrative review, we have discussed the PCa chemoprevention by selected natural agents based on available clinical evidence. We searched the NCBI's PubMed database for clinical trials with the key phrases "chemopreventive agents and prostate cancer", "natural agents and prostate cancer", and "chemoprevention and prostate cancer". From these results, we selected all the published clinical studies in which natural agents were used for PCa management. Then we shortlisted those studies which used a chemoprevention regimen for PCa. In order to stay within the scope of a mini-review, we have limited our discussion to only selected agents viz. tea polyphenols, vitamins, selenium, soy, and resveratrol. We are unable to provide an exhaustive review of some important natural agents e.g. lycopene, pomegranate extract, and curcumin, due to the limited scope of our mini-review.

#### **3. Prostate cancer**

According to the most recent estimates by the American Cancer Society, in the United States, PCa is the most common non-skin malignancy and the second leading cause of cancer death in American men, after lung cancer [1]. PCa pathogenesis is highly dependent upon signaling through the androgen receptor (AR), a ligand-dependent transcription factor. Binding of AR with androgen ligands, such as testosterone and dihydrotestosterone (DHT), produces a conformational change in AR to undergo homodimerization, allowing its nuclear translocation where AR binds to androgen response elements (ARE) [5]. AR binding to the AREs induces androgen-responsive gene expression that supports survival and growth of prostatic cells and further progression of the PCa (Fig. 1). AR-dependent signaling is involved in all stages of PCa development including initiation, progression, and resistance to available therapies [6]. In fact, one of the downstream AR signaling genes, prostate-specific

antigen (PSA), is an established clinical biomarker used for PCa diagnosis, prognosis, progression and response to treatment [7]. These pathways have been depicted in Fig. 1, along with the targets at which many of the chemopreventive agents are reported to act. Although traditional intervention with androgen suppression therapy provides moderate success in treating PCa, it would be useful to find ways either to prevent its occurrence or to delay the progression, which may substantially reduce the costs to the healthcare system, due to this rampant disease.

#### **4. Chemoprevention for PCa management**

PCa, like other cancers, can arise due to mutation(s) in important regulatory genes, most commonly through a carcinogenic or mutagenic agent or because of impaired DNA repair [8], as well as epigenetic modulations such as histone modifications, DNA methylation, and noncoding RNA (reviewed in [9]). These mutations can result in an unchecked growth of the affected cells through the three steps of cancer development: initiation, promotion, and progression. Cancer chemoprevention involves the use of natural or synthetic agents to prevent, delay or suppress the process of carcinogenesis [10]. Natural agents for chemoprevention are naturally occurring compounds which possess cancer preventive activities and are present in vegetables, fruits, herbs, fermented products or other dietary sources. Three main categories of chemopreventive agents have been defined. The first category of agents is aimed at preventing the occurrence of primary cancer, either through prevention of mutations or growth of mutated cells. The second category consists of those agents that can inhibit pre-malignant lesions from developing into malignant cancer. The third category of agents is those which may prevent or reduce the risk of reoccurrence of cancer in patients who have undergone successful primary cancer treatment and are in remission. The one caveat of every category of the chemopreventive agent is that the agent must be taken for long periods of time, which makes safety and accessibility key factors when testing chemopreventive agents.

Currently, both naturally occurring and synthetic compounds are being investigated for their potential use against PCa. Although some natural chemopreventive compounds can exhibit harmful toxic effects, including certain vitamins, many have low toxicity and high tolerability. In addition to this, a major benefit of using these agents is that natural compounds found in foods tend to be easily accessible on a daily basis for the general population. Many in vitro and in vivo studies have revealed that natural compounds are able to affect cellular proliferation via modulating a myriad of important cellular signaling pathways, which are frequently disrupted in cancer (reviewed in [11]). Additionally, many epidemiological and pre-clinical studies strongly suggest that various naturally available phytochemicals and dietary agents possess cancer chemopreventive properties and can even enhance the host immune system against tumor cells or sensitize malignant cells to cytotoxic agents (reviewed in [11]). Because of its long latency period, PCa is believed to be an ideal disease for the chemopreventive interventions. Indeed, age is the main risk factor for PCa, as it is primarily diagnosed in men >50 years of age, and patients who are not diagnosed until >70 years of age generally possess less aggressive cancer [12]. PCa chemoprevention studies must be aimed to prevent its initiation and progression to clinically aggressive cancer, to maintain an androgen-responsive clinical state, and to prevent and/or delay the onset of

androgen-independent state. Therefore, agents that target multiple aspects of AR signaling are desirable for PCa chemoprevention. There are numerous targets for chemopreventive agents to act upon in the AR-dependent pathways, however, AR-independent signaling molecules are mostly therapeutic targets once the PCa is clinically aggressive. Potential mechanistic targets of various chemopreventive agents that are reported in PCa clinical studies are shown in Fig. 1.

#### **5. PCa clinical trials with natural chemopreventive agents**

Though a number of pre-clinical studies have shown the benefits of chemopreventive agents in the prevention of prostate tumor formation and progression, a limited number of agents have been tested in clinical trials. Out of these, many clinical trials have been conducted to evaluate the safety, tolerability, pharmacokinetics and bioavailability of chemopreventive agents. Although this is a necessary step in testing new chemotherapeutic agents, these studies are not the focus of our review. We have specifically focused on studies that have evaluated the efficacy of selected and scientifically popular chemopreventive agents against PCa, and our review is by no means a comprehensive account of all the agents that may have been studied. A limited number of clinical trials with chemopreventive agents have been conducted on healthy men and on patients with high-grade intraepithelial neoplasia (HGPIN) and/or atypical small acinar proliferation (ASAP), as they are high-risk subjects for PCa and intermediate predictive stages of progression. HGPIN is the most established precursor of PCa that develops many months (or years) before becoming clinically evident PCa. Men with HGPIN have a 30% chance of developing PCa within a year of detection (reviewed in [13]). The presence of HGPIN is easily identifiable and exhibits similar cytological features to PCa, making it a valuable candidate for chemoprevention studies. Presently, ASAP is considered as a diagnosis of exclusion as it shows a greater association to PCa than HGPIN [14]. Table 1 summarizes the published human clinical studies we have focused on, that were conducted with the selected chemopreventive agents against PCa, and includes tea polyphenols, vitamins, selenium, soy proteins and resveratrol. Individual discussion on these agents is provided below.

#### **5.1. Tea polyphenols**

Natural agents and antioxidants have long been marketed as supplements to consumers with claims of better health and disease prevention, even without clinical trials to back-up the claims. One such class of antioxidants, the polyphenols, are found in a variety of foods including fruits, wine, chocolate and teas, and can be classified further into the categories of phenolic acids, flavonoids, stilbenes, and lignans [15]. Research investigating polyphenols, particularly those found in green and black tea, and the role they may play in the prevention and progression of certain diseases and cancers is a growing field. Tea contains several types of polyphenols, with the catechins, including (−)-epicatechin, (−)-epicatechin-3-gallate, (−) epigallocatechin, and (−)-epigallocatechin-3-gallate (EGCG) being the most common in green tea, and the polyphenols theaflavin and thearubgin being most prominent in black tea [16]. Several clinical trials determined that after administration to patients, these antioxidants were detectable in prostate tissue, indicating they are bioavailable in the

relevant tissue and therefore may be good candidates for further chemoprevention studies in humans [17–19].

The cancer preventive effects of green tea catechins (GTCs) were examined in a study by Bettuzzi et al. [20]. Volunteers with HGPIN were given 200 mg of GTC three times daily for a total of 600 mg/d. Follow-up after one year found that there was only one instance of PCa in the treatment group (incidence 3%), while the placebo group had 9 cases of PCa (incidence 30%). Additionally, there was a trend of lower PSA levels in the GTC group, although not significant. There was, however, a significant decrease in International Prostate Symptom Score. Further, a two-year follow-up found that 2 of the 9 placebo men followed and 1 of the 13 GTC patients followed were diagnosed with PCa, indicating an 80% reduction in PCa diagnosis in patients with HGPIN [21]. Recently in 2015, Kumar et al, published results of a placebo-controlled, randomized clinical trial in which polyphenon E (PolyE), a mix of GTCs, containing 400 mg of EGCG was given daily for one year to men with HGPIN and/or ASAP. This study reported a decrease in serum PSA levels and ASAP in the Poly E group, however, a significant reduction was not seen in the number of PCa cases in Poly E supplemented men with baseline HGPIN or ASAP [22]. These results reflect potential chemopreventive properties of tea polyphenols, however further studies are required to confirm its efficacy in preventing the transformation of HGPIN into PCa.

The use of tea and the role it may play in malignant PCa was looked at in several studies using men diagnosed with PCa who were scheduled to receive surgical prostatectomies. One study looked at prostatectomy tissues of men who consumed six cups of green tea or water daily for 3–6 weeks prior [19]. This study found that 50% to 60% of the (−)-epigallocatechin and (−)-epicatechin in tissue samples were methylated, with 4″-O-MeEGCG being the most common methylated catechin. In vitro studies found that EGCG methylation was associated with decreased proliferation and increased apoptosis, suggesting that the methylation status of EGCG may alter the effectiveness of green tea intervention on treating PCa. Another study was conducted by McLarty et al, involving a total of 1.3 g of PolyE containing 800 mg of EGCG daily supplementation to men with PCa scheduled for radical prostatectomy. Significant decreases were reported in serum levels of PSA, HGF, and VEGF at the time of prostatectomy (after 3–6 weeks) suggesting a potential of EGCG in the form of Poly E against PCa [23]. Although in a similar patient study, supplementation with PolyE containing 800 mg of EGCG for 3 to 6 weeks resulted in favorable but non-significant changes in serum PSA [24]. More recently, Henning *et al*, conducted another trial where patients either drank 6 cups of green tea, black tea or water daily [18]. However, no significant change was observed in markers of proliferation, apoptosis, or oxidation (as measured by 8-hydroxydeoxy-guanosine) between the groups, but the tea groups had significantly decreased nuclear factor kappa B (NF-κB) staining, urinary oxidation, and PSA levels [18]. Although the preclinical and preliminary clinical studies are promising (also discussed in [25]), the role of tea polyphenols in PCa needs more long-term and adequately powered studies, in order to determine if they have the potential to be an effective agent against PCa.

#### **5.2. Vitamins**

A number of studies have evaluated vitamins as potential chemopreventive agents against PCa, both in pre-clinical and clinical settings. Two of the most well-studied vitamins for chemoprevention and intervention of PCa are vitamins D and E. It has been suggested that reduced levels of active vitamin D are associated with a higher PCa incidence and mortality [26]. However, the results of PCa chemoprevention trials with vitamin D are conflicting, suggesting complexities in vitamin D signaling in PCa. According to a PCa prevention trial, vitamin D may have differential effects depending on PCa stage [27]. A phase II, multicenter clinical trial evaluated the effect of a 28-day supplementation of the vitamin D analog, 1α-hydroxyvitamin D2 (1α-OH-D2), in patients with PCa and HGPIN [28]. Several biomarkers were tested in serum and tissues, but the only biomarker that showed significant alteration was TGF-ß2, suggesting that this vitamin D analog does not have therapeutic or chemopreventive effects, at least in the short term. However, as this trial only assessed the effects of vitamin D on patients with HGPIN or already developed organ-confined PCa, it may be worthwhile to study the effects of this less calcemic vitamin D analog in a prevention setting.

Another vitamin that has shown strong preclinical efficacy and early clinical success against PCa is vitamin E. An effect of vitamin E on PCa risk and mortality was originally found during the Alpha-Tocopherol, Beta-Carotene (ATBC) clinical trial designed to study the effects of α-tocopherol (a form of vitamin E; 50 mg/d) and β-carotene (20 mg/d) on prevention of lung cancer [29]. This was then followed up by several prospective studies, and some of them found inverse relationships between vitamin E supplementation and advanced PCa [30–32]. These findings led to one of the largest chemoprevention clinical trials ever undertaken, the Selenium and Vitamin E Cancer Prevention Trial (SELECT) [33, 34]. This trial enrolled 35,533 men and randomly assigned them to groups of either placebo, vitamin E (400 IU/d), selenium (200 μg/d), or combination of vitamin E and selenium. Despite the hope that this combination would be ideal for PCa chemoprevention, it was stopped early due to no protective effect of either agent, and a non-significant increase in PCa risk in men in the vitamin E group [35]. Further analysis and follow-up confirmed a significant increase in PCa risk, in the vitamin E alone group [36]. The reason for the disparity between the success of the ATBC study and SELECT is unclear, although many believe that it is due to the different formulations and dose regimen of tests agents used as well as the patient populations (ATBC enrolled more smokers) [36, 37]. Several preclinical studies further supported this belief including the one from our lab, where we have demonstrated that in combination with methaneseleninic acid, a lower dose of vitamin E in the form of γ-tocopherol was most effective in imparting antitumor response in 22Rν1 implanted Nu/J mice [38]. In subsequent studies, researchers have explored using other forms of vitamin E against PCa. An example of this is a phase 1/2a dose escalation study using the antioxidant moiety of vitamin E (2,2,5,7,8-Pentamethyl-6-chromanol) [39]. This study was undertaken to determine, in part, the maximum tolerated dose (MTD) and efficacy in men with CRPC. To this end, twenty patients with CRPC were administered between 900–2400 mg of vitamin E orally once daily continuously for 28 days. The study found that five of the 20 patients had stable disease as their best response, and median progression free survival (PFS) for the cohort was 2.8 months [39]. Combined with previous vitamin E

successes, these results give renewed hope for using vitamin E for PCa chemoprevention, both alone and in combination with other agents. In fact, the combinatorial approach can be easily seen in the Supplementation en Vitamines et Mineraux Antioxydants (SU.VI.MAX) trial, in which 5,141 French men were enrolled to determine the effects of daily administration of low doses of several common vitamins and minerals, including vitamins C (120 mg/d) and E (30 mg/d), as well as  $\beta$ -carotene (6000 µg/d), zinc (as gluconate, 20 mg/d), and selenium (as selenium-enriched yeast) [40]. Although no significant change was seen in PSA levels or overall PCa incidence, men with normal baseline PSA levels had a significantly reduced risk in developing PCa, while men with a higher initial PSA were found to have a borderline significant increase in PCa incidence [41]. This suggests that formulations of vitamins and patient population need to be carefully considered for future clinical trials with these vitamins as well as other potential chemopreventive agents.

#### **5.3. Selenium**

Although toxic in large doses, selenium (Se) is an essential nutrient that is required for many cellular processes, including as a constituent of the amino acids selenocysteine and selenomethionine as well as components or cofactors of antioxidant enzymes including the glutathione peroxidases [42]. While a myriad of different forms of this micronutrient exist and their bioavailability can vary widely, it is the organic Se compounds that are mostly used for chemoprevention studies [42, 43]. The most commonly used form of Se in clinical trials to date is SeMet, both by itself and as the major component of selenized yeast (Se-yeast, consisting of ~85% SeMet [44]). In addition to this form, there are numerous in vitro and rodent experiments using Se-methylselenocysteine (MSeC), and methylseleninic acid (MSeA), as well as explorations of other organoselenium compounds [45]. Se has been explored as a potential chemotherapeutic agent since early studies suggesting that a diet high in Se may be protective against cancer death [46]. Even though numerous epidemiological studies have found inverse relationships between serum and/or toenail Se levels and prostate disease [47, 48], meta-analyses suggested that the relationship was not as clear. Two recent studies by Cui et al, [49] and Cai et al, [50] found an inverse relationship between PCa risk and serum Se levels. In another analysis where collaborating investigators from multiple prospective studies provided individual-patient records on blood or toenail Se concentrations and PCa risk, toe nail (but not blood) Se concentration was found to be inversely associated with risk of total PCa [51]. These studies have provided a strong basis for studying the effects of Se on PCa, but have failed to afford solid conclusion as to whether Se supplementation is useful in PCa prevention, leading to a need for further well-controlled clinical trials.

One of the first clinical studies to enumerate the effects of Se supplementation on PCa incidence was actually begun in order to explore the effects of selenized yeast (Se-yeast) on skin cancer [52]. Interestingly, the Nutritional Prevention of Cancer (NPC) trial did not find any significant effects of Se on skin cancer incidence, but did find a greater than 60% reduction in the incidence of PCa in participants in the 200 g Se group as compared to placebo. The success of this trial, combined with preclinical and clinical data [53], prompted the use of Se in SELECT [31, 35]. However, as discussed above, this trial was terminated early and no protective effects were seen with Se supplementation [36]. At the time when

SELECT was initiated, three other studies were undertaken by the Southwest Oncology Group (SWOG) to study the ability of Se to prevent and delay the growth of PCa (reviewed in [54]). The first study, the Negative Biopsy Trial (NBT), examined the effect of Se supplementation (200 or 400 μg/d Se, as Se-yeast) in men with elevated PSA but at least one negative sextant prostate biopsy within 1 year of enrollment [55]. Like SELECT, this study also found that Se had no effect on PCa incidence or PSA velocity. The second trial studied the effects of Se supplementation (200 μg/d Se, as SeMet) on men diagnosed with HGPIN but no other signs of PCa, and found no significant differences in PCa risk after 3 years, although they reported that there was a non-significant reduced risk of PCa in patients in the lowest quartile of baseline Se (relative risk = 0.82; 95% CI: 0.40–1.69; baseline Se <103 ng/mL) [56]. The third study, named the Watchful Waiting study, placed men with nonmetastatic PCa on Se (as Se-yeast; 200 or 800 μg/d) for up to 5 years [57]. This study did not observe any protective effect of Se, and in fact found that individuals with higher baseline Se had a higher risk for increased PSA velocity. These studies left researchers scrambling to explain why the preclinical success of Se did not translate to clinical situations.

Based on these negative studies, many researchers started to believe that Se may not be suitable for PCa chemoprevention [58]. However, others began to explore the reasons for the failure of these trials and put forward hypotheses, including improper dosing, formulation and/or patient population chosen, and incomplete biochemical understanding of the mechanism of action of the agents [59, 60]. A recent trial determined the effects of Se-yeast and SeMet on PSA, blood glucose and glutathione, as well as oxidative stress biomarkers, in healthy men [61]. The study did not find significant effects in PSA, glucose, or glutathione. However, the authors observed significant differences in oxidative stress markers, especially in individuals with low baseline levels of Se, but only in the Se-yeast group. This suggests that other components of the Se-yeast (potentially the other organoselenium compounds contained therein) may have stronger anti-oxidative and potentially chemopreventive effects. Indeed, further multi-directional research is needed to define the potential usefulness of Se for PCa chemoprevention.

#### **5.4. Soy**

PCa incidence has traditionally been relatively low in many Asian countries, and many postulate that this is due to the drastic differences in diet, among others especially the consumption of soy [62]. Preclinical research has shown a beneficial role for soy isoflavone consumption in the management of PCa, and soy isoflavones have been shown to improve PSA levels in several clinical trials. A randomized 3-month intervention study noted a 14% reduction in circulating serum PSA levels while on a high soy diet, but no change in testosterone levels. This shows the feasibility of a randomized soy intervention trial among men against PCa [63]. The high tolerability and beneficial effects of dietary soy were further explored in a six-month phase II trial of a soy beverage for patients with rising PSA after radical radiation to prevent PCa recurrence. Although the results did not reach significance, almost 14% of patients showed decreasing PSA levels, and 41% of subjects had more than 2 times prolongation of PSA doubling time [64]. However, no effect of soy isoflavones on serum PSA was observed in a 12-month double-blinded, randomized trial, where

participants were assigned to consume either a soy protein drink containing 83 mg/d isoflavones (+ISO) or a drink without isoflavones (−ISO) [65]. In a short-term intervention study on patients with localized prostate cancer, the soy isoflavone (80 mg/day in form of capsules) supplementation for up to six weeks did not show any changes in PSA, serum hormone levels, and total cholesterol [66]. Another phase II trial determine the effects of an oral isoflavone (60 mg/d) or a placebo supplementation in men with increasing PSA for 12 months. The incidence of biopsy-detectable PCa showed no statistically significant difference between the isoflavone and placebo groups  $(21.4\% \text{ vs } 34.0\%, P = 0.140)$ . However, for patients aged 65 years or more, the incidence of cancer in the isoflavone group was significantly lower than that in the placebo group (28.0% vs 57.1%) [67]. These results support the value of isoflavone for PCa risk reduction, but further research must occur before more definitive conclusions can be obtained. It is also possible that the effects of isoflavones may depend on the stage of cancer development. Further, isoflavone may have

In addition to PSA, androgen receptors (AR) are also used as a marker for PCa prognosis. During a 6-month intervention study, consumption of isoflavone-containing soy protein isolate (SPI+) (107 mg/d isoflavones) significantly suppressed AR expression without altering estrogen receptor-beta expression or circulating hormones in prostate biopsies, compared to milk protein isolate (MPI) (0 mg/d isoflavones) consumption [68]. In addition, consumption of soy protein isolate (SPI−) (<6 mg/d isoflavones) significantly increased estradiol and androstenedione concentrations, and lowered AR expression compared with the MPI group ( $P = 0.09$ ). While the SPI– results are difficult to interpret, the clinical significance of soy consumption and its effect on the downregulation of AR expression has the potential to be advantageous in PCa prevention.

other effects on tumor biology, which are not revealed via serum PSA concentration.

Nutritionally relevant levels of genistein and daidzein, the predominant isoflavones in soy, may have an inhibitory effect on androgen-related biomarkers and modulate the progression of existing PCa. A two-part study was conducted consisting of a 6-month double-blind, placebo-controlled, randomized trial that involved the treatment group to consume a daily supplement containing 450 mg genistein, 300 mg daidzein, and other isoflavones, followed by a 6-month open label trial with the same isoflavone-rich supplement [69]. Although the PSA concentrations did not change in either group after the double-blind trial or the openlabel trial, the 6-month serum concentrations of genistein and daidzein (39.85 and 45.59 mol/l) were significantly greater than baseline values. Because high isoflavone concentrations in prostate tissue may influence PSA levels or other molecular mechanisms, these heightened serum concentrations of genistein and daidzein provide a starting place from which further studies can be planned.

Despite the potential additive effects of using soy protein isolates containing multiple isoflavones, it is important to study the effects of the individual components, including genistein. Lazarevic et al, investigated the effects of genistein on PCa in a phase II, placebocontrolled, randomized double-blind clinical trial with patients before radical prostatectomy [70]. An intervention was given daily as 30 mg genistein or placebo capsules for 3–6 weeks, and various biochemical markers were tested in the PCa tissue that was removed during the prostatectomy. Genistein intervention provided no significant effects on proliferation, cell

cycle, apoptosis and neuroendocrine biomarkers. However, in tumor cells, it significantly downregulated the mRNA level of KLK4, which is implicated in cancer progression. This was associated with a non-significant reduction in androgen and cell cycle-related biomarkers. While this study shows that genistein has potential biochemical effects on PCa, the ability of genistein to modulate the expression of prostate tissue biomarkers associated with PCa prediction and progression remains unclear. Overall, although the magnitude of the chemopreventive effect of soy and its constituent isoflavones remains fairly uncertain, it is necessary to further investigate the effects of consistent and prolonged soy consumption for PCa chemoprevention.

#### **5.5. Resveratrol**

Resveratrol (trans-3,5,4′-trihydroxystilbene), a naturally occurring polyphenol and an antioxidant found in many plants, including grapes, has been shown to exert chemopreventive as well as therapeutic effects against several cancers. A number of preclinical studies have demonstrated that resveratrol can reduce prostate growth in vitro and in animal models (reviewed in [71]). Thus far, no human clinical trial has been conducted to evaluate the effects of resveratrol specifically on PCa, either in the prevention or in treatment settings. However, recently in 2015, a randomized placebo-controlled clinical human study was undertaken to evaluate the effects of resveratrol on middle-aged men with metabolic syndrome [72]. In this trial, the authors of the study included measurements of prostate size, PSA and sex steroid hormones in the same cohort. This study demonstrated that a high dose of resveratrol (1,000 mg/d) administration for 4 months significantly lowered serum levels of the androgen precursors androstenedione, dehydroepiandrosterone and dehydroepiandrosterone sulfate, although the prostate size and circulating levels of PSA, testosterone, free testosterone, and dihydrotestosterone were unaffected [72]. This suggests that longer-term supplementation may have greater effects, and should be studied further. Also, it may be helpful to test chemopreventive properties of resveratrol in combination with other antioxidant agents that are found together naturally, such as in grape [73, 74]. A phase I/II study published in 2015 evaluated the safety and tolerability of a pulverized muscadine grape skin extract (MPX), which contains resveratrol, ellagic acid, quercetin, and several other grape antioxidants, to determine a dosing regimen to test against biochemically recurrent PCa [75]. The phase I portion of the study found that their maximum dose tested, 4,000 mg/d, was safe with only grade 1 adverse events reported that were related to the study. This dose was then used for the phase II study, which is still under way. Although, the phase I population size was very small and there was no maintained decline in serum PSA from baseline, the results from the study suggest that 4,000 mg/d of muscadine grape skin extract is safe and it is further being investigated in a randomized, multicenter, placebocontrolled, dose-evaluating phase II trial. Thus, resveratrol alone or in combination with other agents merit further investigation for their potential efficacy against PCa in humans.

## **6. Challenges and opportunities with PCa chemopreventive agents in clinical trials**

There are a number of challenges in the translational development of natural chemopreventive agents. Some of these are, i) the lack of immediate effects that are required

when chemopreventive agents are used in intervention settings, ii) fear of unexpected toxicity when chemopreventive agents are used in primary prevention settings (long-term supplementation), and iii) low target-organ and/or serum bioavailability of a number of chemopreventive agents. It can be extremely difficult to determine the time needed for a chemopreventive regimen in order to obtain an acceptable response. The failure of many chemopreventive studies, including SELECT, has made researchers take a step back and determine ways to better plan studies and evaluate risk factors [76]. Furthermore, to avoid future failures and to determine whether or not an agent of interest is an appropriate candidate, the FDA has introduced 'Phase 0' clinical trials. These studies are often referred as "human microdosing studies," and are conducted with a limited number of subjects in order to establish whether the drug/chemopreventive agent of interest behaves same in human subjects as expected from preclinical studies [77].

The issue of limited bioavailability at the target organ is very important in secondary or tertiary chemoprevention settings. Efforts have been made towards the targeted slow delivery of chemopreventive agents. For example, Siddiqui et al have introduced the concept of 'nanochemoprevention' and showed that encapsulating EGCG in polylactic acid– polyethylene glycol nanoparticles provided a 10-fold dose advantage of nono-EGCG against PCa in both *in vitro* and *in vivo* [78]. In a follow-up study, these investigators developed and evaluated the efficacy of polymeric EGCG-encapsulated nanoparticles targeted to prostatespecific membrane antigen (PSMA, a transmembrane protein known to be overexpressed in PCa), and found an enhanced anticancer potential of EGCG against PCa in preclinical studies [79]. Several other similar studies are ongoing at the preclinical levels with multiple chemopreventive agents. However, a clinical translation of these studies does not seem to an easy task.

Another strategy of improving bioavailability or obtain potentially synergistic or additive chemopreventive response, is via a combinatorial approach. Recently, we have discussed challenges associated with resveratrol and other grape antioxidants in its clinical translation, and suggested that resveratrol may be very useful when given in combination with other agents or other antioxidants present in grapes [73, 74, 80, 81]. The effective combination may improve the bioavailability related issues, may target multiple deregulated genes/ pathways, and therefore, may exert additive or synergistic responses to improve the biological outcome. Despite these challenges, the available data is promising and concerted efforts are required from researchers to overcome these obstacles.

#### **7. Conclusions**

The available literature on clinical studies using chemopreventive agents against PCa suggests variable outcomes postulating some discrepancies, with favorable, null, and unfavorable results. Some chemopreventive agents that target androgen-signaling have been shown to reduce PCa incidence, but it remains to be investigated if these agents can also reduce PCa mortality. As a concluding remark, we would like to point out that many chemopreventive agents have shown potential for PCa chemoprevention, however, further well-designed, rigorous clinical studies are required to optimize dose, formulations, and appropriate target population.

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## **Abbreviations**



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## **Highlights**

➢ Long latency period makes prostate cancer an ideal target for chemoprevention.

➢ Natural agents have shown potential in clinical trials against prostate cancer.

- $\triangleright$  The hurdles in the clinical chemoprevention needs to be carefully identified.
- ➢ Future well-designed studies are required to optimize dose and formulations.

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**Figure 1. Schematic representation of PCa pathogenesis showing androgen receptor (AR) dependent and independent signaling as well the potential targets of the chemopreventive agents** Androgen ligands bind to AR and produce a conformational change in AR to undergo homodimerization that allows for nuclear translocation where AR binds to androgen response elements (ARE). AR binding to the AREs induces androgen-responsive gene expression that supports growth and survival of prostate cells and further progression of the PCa. PSA is one of the downstream AR signaling genes, and a well-known PCa clinical biomarker. AR-independent PCa mechanisms include overexpression of the glucocorticoid receptor (GR) which can substitute AR in binding to AREs, and activation of alternative signaling pathways via cytokines and growth factors. Various chemopreventive agents that are in clinical trials against PCa have been shown to inhibit PCa development and progression through the regulation of major cellular signaling pathways such as the androgen, AR and PSA inhibition as well as affecting AR independent pathways such as inhibiting growth factor and NF-κB. These targets are pointed out in the figure with green arrows.

#### **Table 1**

Selected published human clinical trials using natural chemopreventive agents against PCa.





