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### An antigen-specific semi-therapeutic treatment with local delivery of tolerogenic factors through a dual-sized microparticle system blocks experimental autoimmune encephalomyelitis

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#### Abstract

Antigen-specific treatments are highly desirable for autoimmune diseases in contrast to treatments which induce systemic immunosuppression. A novel antigen-specific therapy has been developed which, when administered semi-therapeutically, is highly efficacious in the treatment of the mouse model for multiple sclerosis, namely experimental autoimmune encephalomyelitis (EAE). The treatment uses dual-sized, polymeric microparticles (dMPs) loaded with specific antigen and tolerizing factors for intra- and extra-cellular delivery, designed to recruit and modulate dendritic cells toward a tolerogenic phenotype without systemic release. This approach demonstrated robust efficacy and provided complete protection against disease. Therapeutic efficacy required encapsulation of the factors in controlled-release microparticles and was antigen-specific. Disease blocking was associated with a reduction of infiltrating CD4<sup>+</sup> T cells, inflammatory cytokineproducing pathogenic CD4<sup>+</sup> T cells, and activated macrophages and microglia in the central nervous system. Furthermore, CD4<sup>+</sup> T cells isolated from dMP-treated mice were anergic in response to disease-specific, antigen-loaded splenocytes. Additionally, the frequency of CD86<sup>hi</sup>MHCII<sup>hi</sup> dendritic cells in draining lymph nodes of EAE mice treated with Ag-specific dMPs was reduced. Our findings highlight the efficacy of microparticle-based drug delivery to mediate antigen-specific tolerance, and suggest that such a multi-factor combinatorial approach can act to block autoimmunity.

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#### Keywords

PLGA-Microparticles; drug delivery; Ag-specific; immunotherapy; EAE; multiple sclerosis

#### 1. Introduction

Multiple sclerosis (MS) is an immune-mediated neurological disease that typically affects young adults with higher prevalence in females [1-3]. MS is a complex inflammatory disease of the central nervous system (CNS) where immune cells target and destroy oligodendrocytes and myelin sheath on nerve cells causing autoimmune demyelination [4, 5]. The precise instigating factor(s) that initiates MS remains unknown [4], but it is well established that proinflammatory CD4<sup>+</sup> T cells are important in mediating MS pathogenesis, as well as that of experimental autoimmune encephalomyelitis (EAE), an animal model of MS [6]. Blood circulating  $CD4^+$  T cells from MS patients have been shown to recognize myelin oligodendrocyte glycoprotein (MOG) and myelin basic protein (MBP), two myelinassociated proteins shown to play a role in MS pathogenesis and used as basis for EAE induction [7-9]. Several subsets of proinflammatory CD4<sup>+</sup> T cells have been implicated as crucial drivers of EAE, namely Th17 and Th1 cells. Th17 cells are CD4<sup>+</sup> T cells that express the lineage transcription factor Roryt and produce the proinflammatory cytokines IL-17A, IL-17F, and, in the setting of EAE, GM-CSF [10-14], while Th1 cells express the lineage transcription factor T-bet and produce the proinflammatory cytokine IFN $\gamma$ , and were also demonstrated to be important in EAE disease pathogenesis [15]. Defects in Th17 and Th1 cells or GM-CSF production prevented disease in EAE, thus solidifying the central role of proinflammatory CD4<sup>+</sup> T cells and the corresponding cytokines IL-17A, IFN $\gamma$ , and GM-CSF in EAE [13, 15].

MS does not have a cure and current therapeutic options are limited. In the acute setting of MS exacerbation/relapse, methylprednisolone or other corticosteroids are used to provide immunosuppression [16]. Long-term management of MS involves disease-modifying therapies that may be poorly tolerated, inadequate in controlling disease, or incur lifethreatening side effects and opportunistic infections [17]. Type 1 interferon monotherapy or in combination with glatiramer acetate is generally the safest and most tolerated therapy but often with inadequate response rate [18]. Natalizumab, a monoclonal antibody targeting the a4 integrin, thereby blocking leukocyte trafficking into the CNS, is highly effective in relapsing-remitting MS treatment, but it may cause progressive multifocal leukoencephalopathy (PML), a life-threatening opportunistic viral infection of the CNS [19]. Fingolimod, a sphingosine-1-phosphate receptor modulator, has been approved for oral treatment of MS, but it has multiple severe side effects, among which are PML and other infections [20]. Immunosuppressive regimens have also been used in MS treatment, including the purine analogue Cladribine, the immune modulator Laquinimod, anti-CD52 monoclonal antibody Alemtuzumab, anti-CD25 monoclonal antibody Daclizumab, and anti-CD20 monoclonal antibody Rituximab, but all have side effects related to immune suppression [16, 21, 22].

The goal in MS treatment is to develop therapies that induce tolerization of antigen-specific CD4<sup>+</sup> T cells, without generalized non-specific immune suppression. Such a goal can be achieved by generation of tolerogenic dendritic cells (DCs), known to exhibit 'semi-mature' profiles and induce antigen-specific T cell anergy or generation of regulatory T (Treg) cells [23]. Exogenous generation of autologous DCs has been a major focus of the field, yet has numerous limitations including high cost, poor yield, and inefficient DC homing to regional lymph nodes upon re-administration [24-27]. As an alternative, polymeric particle vaccines are being pursued for the targeted delivery of conditioning factors to DCs in vivo [28-30]. Desirable key features of particle vaccines for immunotherapy include: control over phagocytosability, delivery of antigen to DCs, and local release of desired agents. Numerous materials have been investigated in particle-based biodegradable drug delivery, with a number of groups investigating vaccines consisting of antigen-loaded particles to target DCs [31-36]. Microparticles (MPs) fabricated from poly(lactic-co-glycolic acid) (PLGA) have been the most investigated vehicles for delivering immunotherapeutics. PLGA is approved by the U.S. Food and Drug Administration for use in numerous applications such as biodegradable surgical sutures and drug delivery products, which makes it extremely attractive for development of products quickly translatable to clinical use. PLGA is degraded in the body via bulk erosion and hydrolysis. PLGA MPs of  $\sim 1 \,\mu m$  are phagocytosed efficiently by antigen presenting cells (APCs), providing directed delivery of antigens for immune recognition [37]. Following phagocytosis by APCs, sufficient levels of endosomal release of encapsulated antigens from PLGA microparticles have been demonstrated to generate both MHC II-directed, as well as MHC I-directed immune response through crosspresentation [38-40]. Given this capability along with a long-standing safety history, PLGA particles are therefore an excellent candidate as a carrier vehicle for vaccines utilizing encapsulated antigenic proteins or peptides along with immunomodulatory agents with intracellular targets.

We have developed a novel combinatorial dual-sized MP system (dMP), encapsulating, in addition to specific antigens, agents selected for their capacity to modulate DC function: both through intracellular delivery of agents encapsulated in small (~1  $\mu$ m) MPs, and subcutaneous local deposition of agents for controlled release in MPs too large to phagocytose (~50  $\mu$ m) [41, 42]. Our dMP system combines the attractive notion of antigen-specificity and combination therapy with our dual-sized controlled release scheme to provide immune modulation without systemic delivery. Specifically two sizes of MPs are used in the dual MP (dMP) system (Fig. 1): (1) phagocytosable ~1  $\mu$ m MPs for delivery of antigen (Ag) and drugs to intracellular targets within phagocytes, and (2) non-phagocytosable ~50  $\mu$ m MPs for controlled release of factors targeted to cell surface receptors in a localized microenvironment. Two phagocytosable MPs are used: (*a*) MPs encapsulating MS-specific antigens, myelin oligodendrocyte glycoprotein peptide (MOG<sub>35-55</sub>), or as a control, the irrelevant OVA<sub>323-339</sub> peptide, and (*b*) MPs loaded with vitamin D3 (VD3). Two non-phagocytosable MPs are used: (*c*) MPs encapsulating TGF- $\beta$ 1, and (*d*) MPs encapsulating GM-CSF. These four MPs are mixed in equal mass and administered subcutaneously.

The active metabolite of vitamin  $D_3$  (VD<sub>3</sub>)  $1\alpha$ ,25(OH)<sub>2</sub>D<sub>3</sub> has been shown to induce tolerogenic DCs through oxidative and glycolytic metabolic pathways, where glucose, glycolysis, and PI3K/Akt/mTOR are essential, and is intracellularly delivered to its nuclear

receptor [43, 44]. Transforming growth factor-beta 1 (TGF- $\beta$ 1) is loaded into the 50 µm MPs to provide extracellular release to target its receptor on the DC surface. TGF- $\beta$ 1 treated-DCs show reduced expression of MHC II, costimulatory molecules and inflammatory cytokines, as well as increased production of IDO [45]. Exposure of T cells to TGF-B1 treated-DCs results in the induction of antigen-specific Treg cells, as well as deletion of antigen-reactive effector T cells [46]. Granulocyte-macrophage colony-stimulating factor (GM-CSF) is also separately loaded into a 50 µm MP for extracellular release, to locally attract and sustain DCs, as has been reported in a cancer vaccine approach [47]. Although it is the case that in EAE, GM-CSF is produced by pathogenic CD4<sup>+</sup> T cells localized in the CNS [13, 14], and can potentiate activation of innate leukocytes [48], in low doses and provided locally, GM-CSF s a critical mediator in the differentiation and development of tolerogenic DCs with low expression of MHC-II/CD80/CD86, as well as low inflammatory cytokines, and increased PD-L1 expression [48-50]. In sum, the four factors are loaded into separate MPs, with those targeting intracellular pathways in phagocytosable MPs and those targeting surface receptors in non-phagocytosable MPs, hence, dual-sized. We have recently demonstrated the utility of a similar formulation of this multi-factor combinatorial dMP system in the early prevention of autoimmune type 1 diabetes in NOD mice, where a diabetes disease-relevant antigen insulin was incorporated [41].

Myelin antigen-coupled PLG sub-micron particles have previously been used in preventive and therapeutic regimens for relapsing/remitting EAE by intravenous administration, but was inefficient when administered subcutaneously [51]. Alternatively, intraperitoneally coadministered nanoparticles formulated with myelin antigen and aryl hydrocarbon receptor ligands promoted tolerogenic DCs and mitigated EAE, with expansion of  $T_{reg}$  cells [52]. In testing treatment strategies for EAE, preventative/prophylactic treatment has been used when the treatment agents are administered to animals before EAE disease induction, therapeutic treatment is applied when agents are given to animals after appearance of clinical EAE disease signs, and semi-therapeutic regimen is used when treatment agents are administered to animals after EAE disease induction but before clinical disease signs [51, 53]. The combinatorial multi-factor dMP treatment investigated here offers the advantage of a subcutaneous localized administration, as opposed to systemic administration, with lowdose, localized, controlled release of specific factors designed to be retained at the injection site. We show that this dMP approach does not result in an increase of the tolerogenic factors systemically, efficiently treats EAE in a semi-therapeutic regimen, and is antigen-specific.

#### 2. Materials and methods

#### 2.1 Microparticle fabrication

Microparticles (MPs) were fabricated by standard oil-in-water single emulsion or water-inoil-in-water double emulsion methods, as described previously [41]. All drugs were encapsulated in distinct MPs, as no two factors were loaded simultaneously. A 50:50 copolymer of poly(lactic-co-glycolic acid) (PLGA; MW ~44,000 g/mol; Corbion Purac, Gorinchem, Netherlands) in methylene chloride (Themo Fisher Scientific, NJ, USA) was used to generate MPs. Ultrapure water (Barnstead GenPure, Thermo Fisher Scientific) was

used as the aqueous phase, with dissolved surfactant, poly-vinyl alcohol (PVA; MW  $\sim$ 15,000 g/mol; Themo Fisher Scientific), to stabilize the emulsions.

Phagocytosable MPs were fabricated by dissolving 500 mg of PLGA in methylene chloride at a 5% w/v ratio. 50 µg of vitamin D<sub>3</sub> (Cayman Chemical) in 1 mL of methanol (Thermo Fisher Scientific) was loaded directly into the methylene chloride/PLGA solution and set to shake at 150 rpm for 10 mins. This solution was then added to 50 mL of 5% w/v PVA and homogenized at 35,000 rpm for 180 s using a tissue-miser homogenizer (Thermo Fisher Scientific) to form an oil-in-water emulsion. The microparticle solution was subsequently added to a beaker of 100 mL stirring 1% PVA and set to stir for 4-6 h for solvent evaporation and microparticle hardening to occur. For water-soluble  $MOG_{35-55}$ - (Mimotopes, Victoria, Australia) and  $OVA_{323-339}$ -encapsulated (Mimotopes) MPs, 4 mg of peptide in 200 µL PBS was added to the 5% methylene chloride/PLGA solution and homogenized at 35,000 rpm for 120 s to form a primary emulsion. This emulsion was added to 50 mL of 5% PVA and homogenized again at 35,000 rpm for 180 s to form the secondary emulsion, and added to the 100 mL of stirring 1% PVA.

Non-phagocytosable MPs encapsulating TGF- $\beta$ 1 and GM-CSF were fabricated by first dissolving 500 mg of PLGA in methylene chloride at a 20% w/v ratio. Human TGF- $\beta$ 1 (Peprotech) was reconstituted in 10 mM hydrochloric acid and 2 mg/ml bovine serum albumin in 250 µL PBS and recombinant mouse GM-CSF (Biolegend) was reconstituted in 400 µL PBS. Protein solutions were added to the methylene chloride/PLGA solution and vortexed (Thermo Fisher Scientific) at the highest setting (~3,200 rpm) for 30 s to generate the primary emulsion. This emulsion was added to 5 mL of 2.5% PVA and vortexed again at 3,200 rpm for 60 s to form the secondary emulsion, and added to the 100 mL of stirring 1% PVA. Either methanol or PBS was used to generate unloaded MPs, depending on the control group being fabricated.

After 4-6 h, solutions were centrifuged at  $10,000 \times g$  for 10 min to collect MPs and washed three times with ultrapure water. The resultant MPs were then flash-frozen in liquid nitrogen and lyophilized for 24 h. The MPs were stored at -20 °C until their use.

#### 2.2 Microparticle characterization

The size distributions of MPs were measured by the Beckman Coulter LS13320 (Beckman Coulter Inc., Brea, CA) and the Microtrac Nanotrac Dynamic Light Scattering Particle Analyzer (Microtrac, Montgomery, PA). The MP diameter is reported as mean  $\pm$  standard deviation and displayed as a volume percentage.

Encapsulation efficiencies of proteins/peptides was assessed by  $\mu$ BCA (Thermo Fisher Scientific). Briefly, a known mass of MPs, as determined by the working range of the  $\mu$ BCA assay, was dissolved in a 0.2 M NaOH/5% sodium dodecyl sulfate (SDS) solution. An analogous process with unloaded MPs and soluble drug was performed. Solutions were neutralized with a small volume of HCl and protein/peptide concentration measured by  $\mu$ BCA. Serial dilutions of the unloaded MP/soluble drug solution determined the encapsulation efficiency. Vitamin D<sub>3</sub> MPs were measured by dissolving 100 mg of MPs into 2 ml MC and re-precipitating the PLGA with a known volume of methanol. The suspension

was centrifuged and the supernatant removed to a new tube. Following evaporation, residue remaining in the tube was concentrated in a known, small quantity of DMSO and the solution concentration measured by spectrophotometer.

#### 2.3 C57BL/6 mice

C57BL/6 mice (B6NTac) were purchased from Taconic Biosciences. All animals were housed in specific pathogen free conditions in the Animal Care Service facilities of the University of Florida College of Medicine (UFCOM). All experiments were conducted on 8-20-week old male or female mice according to protocols approved by Institutional Animal Care and Use Committee at the University of Florida.

#### 2.4 Site of injection analysis and microparticle trafficking

Characterization of nodules at the site of injection was carried out via flow cytometry and H&E staining. Initial studies characterizing DC recruitment and phenotype used a mixed cohort of 8-20-week-old male and female C57BL/6 mice. Animals were injected subcutaneously in the abdominal region using 20 G needles (BD Biosciences). MP injections consisted of 10 mg of MPs total (1:1:1:1 MP mass ratio) in 0.2 mL PBS. Nodules were excised 8 day after injection, enzymatically digested with 2 mg/mL collagenase type XI (Sigma-Aldrich, St. Louis, MO, USA) at 37 °C for 30 minutes, filtered through a 30 µm filter to remove large particulates, and cells stained for flow cytometry. For immunohistochemistry, nodules were fixed in 10% formalin overnight at 4 °C, processed and embedded in paraffin blocks and stained by the Molecular Pathology Core at the University of Florida.

Microparticle uptake in the nodule and trafficking to secondary lymphoid organs was assessed by loading the phagocytosable MPs concomitantly with Vybrant DiO (Invitrogen) fluorescent labelling dye and vitamin  $D_3$  or an irrelevant protein (denatured insulin). Non-drug loaded (unloaded) phagocytostable fluorescent MPs were also fabricated. Large, non-phagocytosable MPs were fabricated in the standard fashion, without the addition of fluorescent dye. At various timepoints (24 h, 48 h, and 8 d), after subcutaneous injection in the abdominal region, mice were euthanized and cells were isolated from various secondary lymphoid organs. Cells were stained with primary conjugated antibodies and analyzed via flow cytometry.

#### 2.5 Evaluation of tolerogenic factors in the blood

A mixed cohort of 10 week old male and female C57BL/6 mice were injected subcutaneously in the abdominal region with dual-sized microparticle (dMP) formulation using 20 G needles (BD Biosciences). Blood was collected from submandibular vein of animals on days 2, 4, and 7 after subcutaneous dMP injection, processed for serum, and GM-CSF and TGF-β1 serum concentrations were measured using enzyme linked immunosorbent assay (ELISA) following manufacturer's protocol (BD Biosciences, cat# 555167, 559119). Negative control group was C57BL/6 mice with no treatment, and positive control group was C57BL/6 mice injected intravenously with 1/10 loading dose of GM-CSF and TGF-β1 immediately prior to blood collection. Absorbance was read at 450 nm using a SpectraMax M3 microplate reader (Molecular Devices) and serum concentrations of GM-

CSF and TGF-β1 was calculated using a standard curve performed following manufacturer's protocol (BD Biosciences).

#### 2.6 EAE induction

EAE was induced in 10-11-week old female C57BL/6 mice from Taconic Biosciences with Hooke Kit<sup>TM</sup> (Hooke Laboratories Inc., Cat# EK-2110). Briefly, 100  $\mu$ L of MOG<sub>35-55</sub>/CFA emulsion was injected subcutaneously in each anterior back and posterior back for a total of 200  $\mu$ L emulsion per mouse, according to manufacturer's protocol. Pertussis toxin (100  $\mu$ L of 4  $\mu$ g/mL) was injected intraperitoneally 2 hours and 24 hours following MOG<sub>35-55</sub>/CFA emulsion injection, according to manufacturer's protocol. Clinical scoring was established as follows: Score 1: flaccid tail, Score 2: weak hind limbs, Score 3: hind limb paralysis, Score 4: quadriplegia, Score 5: moribund, euthanasia.

#### 2.7 dMP treatment in EAE mice

2.5 mg of each of the four MPs described in 2.1 were mixed for a total of 10 mg of dMP formulation per EAE mouse. dMPs were resuspended in 200  $\mu$ L PBS per 10 mg of dMP. EAE mice were injected subcutaneously in the back between the two MOG<sub>35-55</sub>/CFA emulsion on the indicated days following EAE induction.

#### 2.8 Isolation of mononuclear cells from CNS

Mononuclear cells were isolated from CNS as described previously [54, 55]. Briefly, cold PBS was used to perfuse CNS. Brain and spinal cord were homogenized with gentleMACS dissociator (Miltenyi Biotec), pressed through a 70  $\mu$ m mesh, then suspended in final 30% isotonic Percoll (GE Healthcare) as described previously [54, 55]. This 30% isotonic Percoll solution containing homogenized CNS was layered on top of 70% isotonic Percoll and centrifuged for 30 min. at 500  $\times g$  G as described previously [54, 55]. The 70%-30% interphase containing CNS mononuclear cells was collected then washed with 1× HBSS as described previously [54, 55]. Isolated mononuclear cells from CNS were subjected to fluorophore-conjugated antibody staining and flow cytometry analysis.

#### 2.9 In vitro antigen re-stimulation assay

Total cells were isolated from draining lymph nodes of EAE mice treated with dMP MOG<sub>35-55</sub> or dMP Ova<sub>323-339</sub> then loaded with CFSE as described previously [56]. CFSE-loaded cells were then co-cultured with MOG<sub>35-55</sub>-loaded splenocytes isolated from congenic Rag1<sup>-/-</sup> mice for 72 hours. After 72 hours, cells were washed and surface stained with CD4, CD8, CD3, and analyzed by flow cytometry.

#### 2.10 Antibodies

Cells were stained with the following antibodies: CD11b (APC, APC-eFluor 780, AF647, clone: M1/70), CD11c (Brilliant violet 650, PE-cyanine7, clone: N418, HL3), PD-L1 (CD274, B7-H1, Brilliant Violet 711, clone: 10F.9G2), B7-H2 (ICOS-L, CD275, eFluor 660, clone: HK5.3), CD272 (BTLA, PE, clone: 6A6), Fixable viability dye (eFluor 520, eFluor 780, FITC), IL-10 (PerCP-Cyanine5.5, clone: JES5-16E3), IL-27 p28 (PE-cyanine7, clone: MM27-7B1), Galectin-9 (Brilliant violet 421, clone: RG9-35), CD4 (Brilliant violet

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711, clone: GK1.5), PD-1 (CD279, Brilliant violet 605, clone: 29F.1A12), Ror $\gamma$ t (APC, clone: AFKJS-9), T-bet (PE-cyanine7, clone: 4B10), IL-17a (eFluor 450, clone: eBio17B7), GM-CSF (PE, clone: MP1-22E9), IFN $\gamma$  (FITC, clone: XMG1.2), Ly6C (eFluor 450, clone: HK1.4), Ly6G (GR-1, FITC, AF700, clone: 1A8-Ly6g), F4/80 (PE, clone: BM8), CD80 (Brilliant violet 605, clone: 16-10A1), CD86 (PE-cyanine7, Brilliant violet 605, clone: GL-1), MHC class II (I-A/I-E, PerCP-eFluor 710, clone: M5/114.15.2), CD25 (Brilliant violet 605, clone: PC61), HVEM (CD270, PE, clone: LH1), CD39 (PE-cyanine7, clone: 24DMS1), CD73 (eFluor 450, clone: TY/11.8), Foxp3 (eFluor 450, FITC, clone: FJK-16s), CTLA4 (CD152, APC, clone: UC10-4B9), GITR (CD357, PE-cyanine7, clone: DTA-1), CD103 (Integrin alpha E, APC, clone: 2E7), Lag-3 (CD223, PE, clone: eBioC9B7W), Granzyme B (FITC, clone: GB11), CD8a (PE, clone: 53-6.7), TCR $\beta$  (APC, clone: H57-597), CD3e (APC-cy7, clone: 145-2C11), CD45 (PE, APC-cyanine7, clone: 30-F11), and CD16/32 (FC $\gamma$  III/II receptor, clone 2.4G2).

#### 2.11 Intracellular/intranuclear staining

PMA/Ionomycin stimulation with Brefeldin A and intracellular/intranuclear staining were performed as described previously [55, 57]. Briefly, for detection of cytokines and transcription factors by intracellular/intranuclear flow cytometry, cells were cultured at 37°C and 5% CO<sub>2</sub> for 4 hours in IMDM media (Gibco, Life Technologies) containing PMA (20 ng/ml) (Sigma) and Ionomycin (1  $\mu$ g/ml) (Sigma). Brefeldin A (10  $\mu$ g/mL) was added 1 hour following PMA/Ionomycin addition. Cells were washed and stained with Fixable Viability Dye (Affymetrix, Life Technologies) and surface markers following stimulation. Surface marker stained cells were fixed and permeabilized with Foxp3 Fix/Perm Kit (Affymetrix, Life Technologies) followed by cytokine and transcription factor staining as described previously [55, 57].

#### 2.12 Flow cytometry and analysis

Flow cytometry was performed on a BD LSR II with BD FACS DIVA software for data acquisition (BD Biosciences). All flow cytometry data were analyzed with FlowJo software (Tree Star).

#### 2.13. Statistical analysis

GraphPad Prism software version 5 was used for statistical analysis. Statistical significance was assessed by two-tailed unpaired Student's t tests for all analyses except figures 2H, 2I, 2K, 3B, and 8B where a one-way analysis of variance (ANOVA) with Tukey's post-hoc analysis was used. Statistical significance was considered when p < 0.05.

#### 3. Results

#### 3.1 dMP fabrication and characterization

We have previously demonstrated key features and capabilities of the dMP system as a means of locally delivering loaded factors through large, non-phagocytosable MPs, and intracellularly-targeted factors to phagocytes through  $\sim 1 \mu m$  diameter small MPs [42]. A similar formulation of the dMP system, encapsulating vitamin D<sub>3</sub>, TGF- $\beta$ 1, and GM-CSF was shown to prevent type 1 diabetes development in NOD mouse when co-delivered with

MPs loaded with insulin peptide as antigen in small MPs [41]. This formulation has since been modified by increasing the loading of immunomodulatory factors. PLGA dMPs were fabricated using standard solvent evaporation methods to encapsulate factors. Following dMP fabrication, size distribution, loading amount, encapsulation efficiencies, and release profiles were quantified (Fig. 1). Consistent sizing of phagocytosable MPs, ~0.8 µmdiameter, and non-phagocytosable MPs, ~55 µm-diameter, irrespective of the drug loaded was demonstrated, highlighting the dual-sized nature of the dMP system (Fig. 1A). The encapsulation efficiencies for the small phagocytosable MPs were  $48.6 \pm 9.0\%$ ,  $65.5 \pm 3.0\%$ , and  $49.9 \pm 2.8\%$  for MOG<sub>35-55</sub>, vitamin D<sub>3</sub>, and Ova<sub>323-339</sub> MPs, respectively (Fig. 1B) Comparable encapsulation efficiencies for the large non-phagocytosable MPs were observed, with  $44.2 \pm 12.1\%$  and  $58.3 \pm 9.4\%$  for TGF- $\beta$ 1 and GM-CSF MPs, respectively (Fig. 1B).

# 3.2. Subcutaneous dMP administration cause DC recruitment and tolerization, and microparticle-associated cell trafficking to local lymph nodes *without systemic release*

We investigated the capacity of the dMP formulation to recruit and tolerize DCs at the local injection site, and the ability of the cells that phagocytosed MPs to subsequently traffic to draining lymph nodes. Mice that received the dMP developed palpable nodules at the subcutaneous injection site a day after a single dMP injection. Surgical and histopathological analysis of the dMP nodules eight days after administration demonstrated high levels of proteinaceous deposition with significant nucleated cell infiltration surrounding the readily visible non-phagocytosable MPs (large white spheres) (Fig. 2A). Importantly, these nodules were resorbed within a month of injection as determined by palpation and surgical examination, approximately by the time the administered PLGA bolus completely degraded (data not shown). We assessed the composition of nodule-recruited cells by digestion of the nodule and flow cytometry analysis, and found that DC recruitment to the local subcutaneous MP nodule was improved when MPs were loaded with bioactive factors compared to unloaded MPs, with the total frequency of infiltrating DCs rising from 11.9% to 19.2% of total CD45<sup>+</sup> cells (Fig. 2B-C). Furthermore, recruited DCs demonstrate characteristics of a non-activated phenotype, with decreased frequency of  $CD86^+$  DCs in the case of loaded MPs versus unloaded (Fig. 2D-E). Using fluorescently-loaded phagocytosable MPs in the dMP formulation, MP uptake in the nodule was assessed in phagocyte populations. Higher uptake of the phagocytosable dMP particles compared to unloaded MPs by DCs was evident, while the uptake of dMPs versus unloaded MPs was equivalent in macrophages and lower in neutrophils (Fig. 2F). Trafficking of phagocytes associated with microparticles (MP<sup>+</sup> cells) was assessed in various peripheral lymphoid organs at multiple time points (Fig. 2G-K). At 24 and 48 h post-dMP injection, MP<sup>+</sup> DCs were shown to drain to inguinal lymph nodes (ILNs) in the highest number compared to neutrophils (24 h) and both macrophages and neutrophils (48 h) (Fig. 2G). Notably, MP<sup>+</sup> DCs isolated from ILNs 24 h after MP injection demonstrated upregulation of programmed death-ligand 1 (PD-L1) expression compared to dMP DCs or unloaded MP<sup>+</sup> DCs, while PD-L1 expression between unloaded MP<sup>+</sup> and unloaded MP<sup>-</sup> DCs remained unchanged (Fig. 2H). Similarly, dMP<sup>+</sup> DCs isolated from ILNs 48 h after MP injection maintained immature phenotypes, whereas unloaded MP<sup>+</sup> DCs significantly upregulated MHC-II compared to unloaded MP<sup>-</sup> DCs (Fig. 2I). At a later time point, eight days after dMP administration, MP<sup>+</sup>

DCs were present in proximal draining lymph nodes (axillary [ALNs] and ILNs), however not in distal lymphoid organs (mesenteric lymph nodes and spleen) (Fig. 2J), thus minimizing the potential for systemic immunosuppression. Upon further examination, MP<sup>+</sup> DCs had the highest frequency in ALNs, followed by MP<sup>+</sup> macrophages, while in ILNs the frequency of MP<sup>+</sup> DCs and MP<sup>+</sup> macrophages was equivalent (Fig. 2K). The frequency of MP<sup>+</sup> neutrophils was low both in ALNs and ILNs. In addition, subcutaneously injection of MPs, did not result in serum elevation of TGF- $\beta$ 1 and GM-CSF at 2, 4, and 7 days compared to no treatment (Fig. 2L-M). These results demonstrate that this dMP system does not cause serum elevation of tolerogenic factors enclosed in MPs and subcutaneously delivered, suggesting that systemic immunosuppression is unlikely. In sum, these proof-of-concept studies emphasize the feasibility of this platform to modulate DC recruitment and phenotype, as well as the distribution of the MP-loaded cells proximally, but not into the distal lymphoid organs or systemically.

## 3.3 dMP-MOG<sub>35-55</sub> formulation blocks experimental autoimmune encephalomyelitis in a semi-therapeutic treatment setting

We examined whether the dMP system formulated with the antigenic  $MOG_{35-55}$  peptide (dMP-MOG<sub>35-55</sub>) can be used to treat EAE, the mouse model for MS. Specifically, the dMP-MOG<sub>35-55</sub> formulation, consisting of non-phagocytosable TGF- $\beta$ 1 and GM-CSF MPs and phagocytosable vitamin D<sub>3</sub> and MOG<sub>35-55</sub> MPs (dMP-MOG<sub>35-55</sub>), was used. The dMP formulation without MOG<sub>35-55</sub> consisting of soluble TGF- $\beta$ 1, GM-CSF, vitamin D<sub>3</sub>, and unloaded phagocytosable MPs was used as control (dMP No MOG). The dMP-MOG<sub>35-55</sub> treatment and the corresponding control was administered subcutaneously on days 4, 7, and 10, following EAE induction in C57BL/6 mice. Our results show that EAE mice treated with the dMP-MOG<sub>35-55</sub> developed minimal EAE scores in significant contrast to EAE mice treated with the dMP No MOG (Fig. 3A). Linear regression analysis of EAE disease score development revealed no disease development in EAE mice treated with dMP-MOG<sub>35-55</sub> compared to positive disease progression in EAE mice treated with dMP-MOG<sub>35-55</sub> (Fig. 3B). Thus, these results demonstrate that early administration dMP-MOG<sub>35-55</sub>, after disease induction, is highly efficacious in the prevention of EAE disease.

### 3.4 EAE mice treated with dMP-MOG<sub>35-55</sub> have reduced leukocytes and CD4<sup>+</sup> T cells in filtrating into the CNS

The hallmark of active MS and EAE is mononuclear immune infiltration into the CNS [4, 9]. Histopathological examination of spinal cord sections from EAE mice revealed perivascular cuffing with mononuclear inflammatory cells as well as extension of mononuclear inflammatory cell infiltrate into parenchyma in mice treated with the control of soluble factors (equivalent doses of TGF- $\beta$ 1, GM-CSF, vitamin D<sub>3</sub> and MOG<sub>35-55</sub> peptide) co-administered with empty MPs (S+U MPs) (Fig. 4A). However, spinal cord sections of EAE mice treated with dMP-MOG<sub>35-55</sub> revealed an intact parenchyma with the absence of perivascular mononuclear inflammatory cells, indicating that the dMP-MOG<sub>35-55</sub> treatment prevented EAE disease development through successfully blocking inflammatory cell infiltrating into the CNS. Lymphocyte infiltration into the CNS is observed in early and active MS and EAE and is considered the cause of autoimmune pathogenesis [4]. We further evaluated by flow cytometry the percentages and absolute numbers of T cells in the CNS of

dMP-MOG<sub>35-55</sub> versus S+U MPs-treated EAE mice and naïve mice and found that the percentages and absolute numbers of CD4<sup>+</sup> T cells were drastically reduced in the EAE mice treated with dMP-MOG<sub>35-55</sub>, but still slightly higher than that of naïve healthy mice (Fig. 4B, C). Thus, the dMP-MOG<sub>35-55</sub> treatment reduced the total mononuclear inflammatory cell infiltrating into CNS (Fig. 4A) in EAE mice, and also significantly reduced the total number of CD4<sup>+</sup> T cells infiltrating into CNS (Fig. 4C), which suggests that dMP-MOG<sub>35-55</sub> prevents EAE disease development through impeding CD4<sup>+</sup> T cell infiltration in the CNS.

### 3.5 EAE mice treated with dMP-MOG<sub>35-55</sub> have reduced CD4+ T cells producing IL-17A, GM-CSF and IFN $\gamma$ in the CNS

Given that production of proinflammatory cytokines IL-17A, GM-CSF, and IFN $\gamma$  by pathogenic autoreactive CD4<sup>+</sup> T cells is critical in the EAE disease pathogenesis [14, 58], we examined whether dMP-MOG<sub>35-55</sub> treatment suppresses production of these proinflammatory cytokines. Not only was the number of CNS-infiltrating CD4<sup>+</sup> T cells reduced in EAE mice treated with dMP-MOG<sub>35-55</sub>, but the production of IL-17A, GM-CSF, IFN $\gamma$ , and co-production of these cytokines by the few CNS-infiltrating CD4<sup>+</sup> T cells was also severely reduced in EAE mice treated with dMP-MOG<sub>35-55</sub> (Fig. 5). Both the frequencies (Fig. 5A) and absolute numbers (Fig. 5B) of IL-17A, GM-CSF, IFN $\gamma$ , and dual cytokine-producing CD4<sup>+</sup> T cells in the CNS were significantly reduced in EAE mice treated with dMP-MOG<sub>35-55</sub>, suggesting that in addition to preventing the infiltration of CD4<sup>+</sup> T cells in the CNS, dMP-MOG<sub>35-55</sub> also suppress the production of IL-17A, GM-CSF, and IFN $\gamma$  by pathogenic CD4<sup>+</sup> T cells.

# 3.6 EAE mice treated with dMP-MOG<sub>35-55</sub> have decreased pathogenic CD4<sup>+</sup> T cellsexpressing the transcription factors Rorγt and T-bet in the CNS

The Th17 transcription factor, Ror $\gamma$ t, and the Th1 transcription factor, T-bet, have been demonstrated to be crucial for GM-CSF production in pathogenic CD4<sup>+</sup> T cells and EAE disease pathogenesis [13, 15, 59-61]. We thus examined whether dMP-MOG<sub>35-55</sub> treatment suppresses Ror $\gamma$ t and T-bet expression in CD4<sup>+</sup> T cells in the CNS. EAE mice treated with dMP-MOG<sub>35-55</sub> showed significant reduction in frequencies and absolute numbers of Ror $\gamma$ t <sup>+</sup>, T-bet<sup>+</sup>, and dual Ror $\gamma$ t and T-bet expressing CD4<sup>+</sup> T cells in the CNS (Fig. 6), as well as diminished Ror $\gamma$ t and T-bet MFIs (data not shown). These results suggest that dMP-MOG<sub>35-55</sub> treatment may block the entire transcriptional program of pathogenic CD4<sup>+</sup> T cells in EAE mice. Thus, taken together, these results suggest that the reduced EAE disease scores in the dMP-MOG<sub>35-55</sub>-treated EAE mice are linked to reduced pathogenic CD4<sup>+</sup> T cells in the CNS.

# 3.7 Activated macrophages/microglial cells are reduced in the CNS of mice treated with dMP-MOG<sub>35-55</sub>

In EAE and MS, pathogenic effector  $CD4^+$  T cells trigger activation of CNS resident microglia and the recruitment of macrophages, which are essential for inflammatory demyelinating lesions (reviewed in [62]). We therefore examined whether dMP-MOG<sub>35-55</sub> treatment affected activated microglia/macrophage populations in the CNS of EAE mice. Both microglia and macrophages are CD11b<sup>+</sup>F4/80<sup>+</sup>CD68<sup>+</sup> and upregulate MHCII and

CD80 following activation [63] (reviewed in [64]). We demonstrate that the frequency (Fig. 7A) and absolute number (Fig. 7B) of CD11b+CD68<sup>+</sup>F4/80<sup>+</sup>CD80<sup>+</sup> cells, which include both activated macrophages and microglia, were significantly reduced in the CNS of EAE mice treated with dMP-MOG<sub>35-55</sub>. This is likely in relation to the decreased IL-17A, GM-CSF, and IFN $\gamma$  production by CNS-infiltrating CD4<sup>+</sup> T cells (Fig. 4). Thus, overall, the reduced EAE disease severity in mice treated with dMP-MOG<sub>35-55</sub> can be explained by the decreased pathogenic CD4<sup>+</sup> T cells and reduced activated macrophages/microglia in the CNS.

#### 3.8 Efficacy of dMP treatment is dependent on antigen specificity

Because MS and EAE are established with a major autoimmune component (reviewed in [4]), we examined whether this dMP semi-therapeutic treatment was antigen-specific. We thus treated EAE mice with either dMP-MOG<sub>35-55</sub>, which includes the MOG<sub>35-55</sub> antigenic peptide-loaded MPs, or dMP-Ova<sub>323-339</sub>, which includes MPs loaded with an irrelevant antigenic peptide, Ova<sub>323-339</sub>, derived from ovalbumin. Treatment with dMP-MOG<sub>35-55</sub> prevented EAE disease development, but treatment with dMP-Ova<sub>323-339</sub> did not (Fig. 8A). Linear regression analysis of EAE disease score development revealed no disease development in EAE mice treated with dMP-MOG<sub>35-55</sub> compared to positive disease progression in EAE mice treated with dMP-Ova<sub>323-339</sub> (Fig. 8B), thus demonstrating that the success of the treatment is dependent on antigen-specificity.

## 3.9 T cells from EAE mice treated with dMP-MOG<sub>35-55</sub>, but not with dMP-Ova<sub>323-339</sub>, fail to expand in response to MOG<sub>35-55</sub>-dependent stimulation

Based on the demonstration that dMP-MOG<sub>35-55</sub> treatment of EAE is antigen-specific, we further asked the question whether T cells isolated from dMP-MOG<sub>35-55</sub> treated EAE mice can proliferate as efficiently as T cells derived from EAE mice treated with dMP-Ova<sub>323-339</sub>, following exogenous stimulation with splenocytes loaded with MOG<sub>35-55</sub>, in an *in vitro* antigen re-stimulation assay. Our results show that CD4<sup>+</sup> T cells isolated from dMP-MOG<sub>35-55</sub> treated EAE mice failed to proliferate, while CD4<sup>+</sup> T cells dMP-OVA<sub>323-339</sub> treated EAE mice expanded robustly in response to MOG<sub>35-55</sub>-loaded splenocyte co-culture (Fig. 9). These results indicate these T cells from dMP-MOG<sub>35-55</sub>-treated EAE mice are anergic, unable to respond to this EAE-related antigen.

## 3.10. Dendritic cells from draining lymph nodes of EAE mice treated with dMP-MOG<sub>35-55</sub> display a tolerized phenotype

We finally investigated whether the dMP-MOG<sub>35-55</sub> treatment of EAE mice induced a suppressive DC phenotype, as indicated by reduced expression of CD86 and MHCII. The frequency of CD11b<sup>+</sup>CD11c<sup>+</sup> DCs that highly co-express CD86 and MHCII in draining lymph nodes is significantly reduced in EAE mice treated with dMP-MOG<sub>35-55</sub> compared with those treated with irrelevant antigen-loaded dMP-Ova<sub>323-339</sub> (Fig. 10A-B). Similarly, the mean fluorescence intensity (MFI) of CD86 expression in dMP-MOG<sub>35-55</sub> was reduced (Fig. 10C). Thus, not only are the CD4<sup>+</sup> T cells anergic in the dMP-MOG<sub>35-55</sub>-treated group (Fig. 9), but the DCs are also suppressive in an antigen-specific manner (Fig. 10).

#### 4. Discussion

Therapeutic interventions to mitigate autoimmune-mediated demyelination in the CNS are limited for patients suffering from MS. While multiple immunosuppressant approaches (e.g., corticosteroids, anti-CD25, anti-CD20, anti-CD52) are either FDA approved or in clinical trials for progressive and relapsing MS, systemic immunosuppression leaves patients at risk for opportunistic infections and tumor development [16]. The advent of DC-based, antigenspecific immunotherapy is an exciting therapeutic avenue to restore homeostatic immunity in a disease-relevant manner [65, 66]. The first DC-based vaccine, Sipuleucel-T, was approved by the FDA in 2010 as a cancer immunotherapy approach [67]. Along this line, adoptive transfer of tolerogenic DCs to restore homeostatic immunity has been explored in models of graft survival and type 1 diabetes [68, 69], and a recent phase I clinical trial for type 1 diabetics has as a goal to modulate autologous DCs toward an immunosuppressive state via a combination of antisense oligonucleotides against co-stimulatory molecules CD40, CD80, and CD86 before being re-administered [70]. Results from this approach demonstrated tolerable safety profiles. Similarly, MS-specific DC-based immunotherapy has been investigated through delivery of exogenously-loaded DCs with MOG<sub>40,55</sub> tolerized with vitamin D<sub>3</sub>, and was shown to ameliorate EAE [71]. Unfortunately, adoptive DC therapy is limited by high cost, inadequate cell sources, and safety concerns [66, 72]. Biomaterial approaches to modulate DC behavior have the potential to circumvent several limitations associated with exogenous delivery [31-35, 73-75]. In the present study, we have improved upon a dual-sized microparticle platform that previously demonstrated efficacy in the prevention of type 1 diabetes in NOD mice, and apply the therapy in the treatment of EAE, a mouse model of MS.

Notably, dMP-MOG<sub>35-55</sub> treatment showed high efficacy and specificity in the treatment of EAE. We found that early subcutaneous administration of dMP-MOG<sub>35-55</sub> treatment at 4, 7, and 10 days following EAE induction completely suppressed EAE manifestation in all mice treated. The dMP-MOG<sub>35-55</sub> approach is based on delivery of specific antigens and tolerogenic factors encapsulated in PLGA MPs for controlled release in a local microenvironment. Similar approaches using PLGA nanoparticles and EAE-specific antigens with or without tolerogenic factors have been investigated [51, 76-79]. However, the present dMP-MOG<sub>35-55</sub> treatment, administered subcutaneously, suppressed EAE, whereas several previously reported PLGA-based nanoparticle formulations relied on intravenous administration [51, 76] or required intra-lymph node injection [78]. Nanoparticles administered intravenously are typically cleared within hours by hepatic filtration and renal clearance [80]. Thus, a low percentage of drug is expected to be delivered to disease-relevant lymphoid organs and immune cell targets. By using a MP system delivered subcutaneously, we see significant effects on DC recruitment, modulation toward a tolerogenic phenotype with upregulation of PD-L1 and downregulation of CD86, and trafficking of MP<sup>+</sup> DCs to draining lymph nodes, even after eight days post injection. Additionally, this delivery strategy did not result in systemic distribution of MPs, as fluorescently-labelled MPs were isolated only from proximal draining lymph nodes and not from the spleen or mesenteric lymph nodes. This is highly desirable to safeguard against systemic immunosuppression. In contrast, nanoparticles can enter directly through

lymphatics without the aid of trafficking phagocytes [81]. Nanoparticle formulations that have prevented EAE have included highly immunosuppressive drugs such as rapamycin [77], which has demonstrated toxicity for other cell types including pancreatic  $\beta$ -cells [82]. The present dMP-MOG<sub>35-55</sub> therapy mitigates EAE without highly immunosuppressive drugs, simultaneously providing more efficacious therapeutic aid compared to other PLGA vaccines, such as IL-10 nanoparticles [79]. IL-10 nanoparticles in combination with MOG<sub>35-55</sub> peptide were previously used in prophylactic as well as therapeutic regimens and showed some decrease in EAE severity [79], however more modest than in our treatments. IL10 is known to limit adaptive immunity mediated by  $CD4^+$  T cell IFN $\gamma$  response to infections such as Leismania donovani, Yersinia pestis, and Yersinia enterocolitica, and its elimination leads to enhanced survival after bacterial and viral infection (reviewed in [83]). Thus therapies involving IL-10 may not be optimal given the enhanced immune suppressive effects for bacterial and viral infections. In the current study, TGF- $\beta$ 1, GM-CSF, and vitamin  $D_3$  were chosen as immunomodulatory factors designed to suppress autoimmunity but not cause overwhelming immunosuppression [41, 42]. The regulation of immunity by TGF- $\beta$ 1 is highly intricate and context-dependent where it maintains a delicate balance of tolerogenic versus immunogenic pathways of the immune system while restricting bystander inflammatory tissue damage (reviewed in [84-86]). The multifaceted signaling pathways, including PI3K, STAT, MAPK, and NF-rkB signaling, downstream of the GM-CSF receptor attest to the complex role that GM-CSF plays in the immune system (reviewed in [87]). Low concentration of GM-CSF was shown to only activate the PI3K pathway for DC survival, decrease MHCII/CD80/CD86 expression on DCs, and increase PD-L1 expression, which is appropriate for tolerogenic DC induction [49, 50]. Recently, through metabolic and signaling pathway analysis, vitamin  $D_3$  was also shown to induce tolerogenic DCs through glucose metabolism, glycolysis, and PI3K/Akt/mTOR pathway [44], which seem to act on the same pathways as low GM-CSF dose [87]. Thus, the choice of TGF- $\beta$ 1, GM-CSF, and vitamin  $D_3$  as tolerogenic factors for the current dMP system were based on their immunomodulatory effects that avoiding the broadly overwhelming immunosuppression of cytokines such as IL-10. The present subcutaneously administered dMP-MOG<sub>35-55</sub> treatment suppressed EAE through reduction of total leukocytes, CD4<sup>+</sup> T cells, including those expressing inflammatory cytokine, and activated macrophages/microglia in the CNS, which is similar to the reduction in CNS immune infiltration and cytokine secretion induced by the previously reported intravenous PLGA-based nanoparticle encapsulating EAEspecific antigen formulation [51]. The relative strength of tolerance achieved in the present study using a dMP system and semi-therapeutic regimen administered subcutaneously after EAE induction is comparable, if not superior, to the preventative/prophylactic and therapeutic regimen utilized for nanoparticle platforms described previously [51, 52] in that the current study achieved total suppression of EAE clinical disease and drastic reduction of CD4<sup>+</sup> T cell infiltration into CNS. The quality and timing of tolerance achieved herein with the dMP administered in semi-therapeutic regimen is superior compared to the preventative/ prophylactic and therapeutic regimen reported previously for nanoparticle platforms, as it can be used after disease initiation, which has clinical implication for further development into a therapeutic to be administered after disease clinical sign onset.

Controlled-release platforms for immunomodulatory applications are advantageous versus soluble administration. In addition to mitigating the risk for systemic immunosuppression, soluble drugs are rapidly cleared from the body. Thus, pharmacokinetics may prevent soluble administration to effectively restore homeostatic immunity or require more frequent or higher dosing. The impact of biomaterial encapsulation in our system was demonstrated by the requirement for encapsulation of drugs in MPs, as soluble injections of the factors along with unloaded MPs did not prevent T cell infiltration in the CNS. Importantly, the encapsulated drugs could not be detected in the blood, thus being unlikely that the dMP encapsulation will cause immune suppression.

Induction of antigen-specific tolerance is crucial to developing a safe, translatable therapy for EAE/MS, with the goal to achieve therapeutic efficacy without inducing broad immunosuppression. By utilizing multiple control groups, we demonstrated that the dMP-MOG<sub>35-55</sub> treatment specifically suppressed EAE in an antigen-dependent manner. Comparing the dMP-MOG<sub>35-55</sub> to a similar formulation without antigen-loaded MPs, we saw that omission of antigen resulted in mice developing disease. Similarly, disease was only blocked with dMP-MOG<sub>35-55</sub> treatment, but not by treatment with an irrelevant antigen, dMP-Ova323-339. These are hallmarks of successful antigen-specific immunotherapy approaches, and correspond to such demonstrations by previous reports utilizing nanoparticles encapsulating EAE-specific antigens and tolerogenic factors [51, 52, 88]. This antigen-specificity in a newly developed microparticle-based immunotherapy is especially important since the safety profile of the MS antigen-specific tolerogenic drug glatiramer acetate, an FDA approved therapy for MS, is significantly superior to that of other approved immunotherapies, such as Natalizumab and Fingolimod, which do not rely on antigen-specificity [19, 89-91]. Our observation that CD4<sup>+</sup> T cells isolated from EAE mice treated with dMP-MOG<sub>35-55</sub> were not responsive to stimulation by MOG<sub>35-55</sub>-loaded splenocytes whereas EAE mice treated with dMP Ova323-339 proliferated, showed that T cell anergy is effectively induced by the dMP-MOG<sub>35-55</sub> therapy in an antigen-specific manner, which is similar to other PLGA-based nanoparticle encapsulated EAE therapies [51, 52, 88].

Together, these results highlight an exciting combinatorial controlled release and immunologically-driven approach to restore immune homeostasis in a mouse model of a MS. Through a dMP system that delivers local sustained release of multiple immunomodulatory factors, and targets both intra- and extracellular tolerogenic receptors, we demonstrate robust and durable antigen-specific autoimmune protection, more so than soluble factors or irrelevant antigen formulations. Additionally, the significance of these studies is underscored in the versatility of the dMP platform, as substitution of antigen and/or factors has the potential to elicit tolerogenic or immunogenic responses in a tailored, disease-specific fashion

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В

Biological/Pharmacologic Agent	Mass Loaded/PLGA (µg/500 mg)	Encapsulation Efficiency ± SD (%)	Mass Injected per 2.5 mg PLGA ± SD (ng)
MOG35-55	4000	48.6 ± 9.0	9,711± 1,809
OVA323-339	4000	49.9 ± 2.8	9,988± 556
Vitamin D <sub>3</sub>	50	65.5 ± 3.0	164 ± 8
TGF-β1	25	44.2 ± 12.1	55 ± 15
GM-CSF	40	$59.6 \pm 6.8$	119 ± 14

#### Figure 1. Dual microparticle (dMP) fabrication and characterization

(A) Microparticle sizes are quantified by dynamic light scattering analyses with average  $\sim 0.8 \ \mu m$  for vitamin D<sub>3</sub>, MOG<sub>35-55</sub>, and OVA<sub>323-339</sub> MPs, and average  $\sim 55 \ \mu m$  for TGF- $\beta 1$  and GM-CSF MPs. (B) Loading amount, encapsulation efficiency, and dose per injection for MPs containing each biological/pharmacological agent.



### Figure 2. Subcutaneous DC recruitment and tolerization, and microparticle-associated cell trafficking to local lymph nodes *in vivo*

(A) Subcutaneous dMP injection sites (nodules) in B6 mice were excised 8 days after administration and characterized for cellular infiltration by hematoxylin and eosin staining; white bar represents 400 µm (B) Representative flow cytometry analysis of DC recruitment in dMP or unloaded MP nodules. A fluorescence minus one (FMO) control, containing all antibodies except CD11c, was assessed to determine gating of DCs. (C) Total frequency of DCs in respective nodules as a percent of live CD45<sup>+</sup> cells. (D) Representative flow cytometry analysis for DC surface expression of co-stimulatory molecule CD86. (E) Total frequency of CD86<sup>+</sup> expression on DCs isolated from respective nodules. (F) DC (Lyg6<sup>-</sup> CD11c<sup>+</sup>), macrophage (Lyg6<sup>-</sup>CD11c<sup>-</sup> CD11b<sup>+</sup>), and neutrophil (Lyg6<sup>+</sup>) MP uptake in dMP or unloaded MP nodules is characterized as a percentage of total phagcytosed MPs (CD45<sup>+</sup>DiO<sup>+</sup>). (G) Draining lymph nodes (inguinal) were excised at 24 and 48 h after dMP injection and analyzed for dMP<sup>+</sup> phagocyte populations. (H) PD-L1 expression of DCs isolated from ILNs 24 h after MP injection and analyzed according to dMP or unloaded MP phagocytosis. (I) MHC-II expression of DCs isolated from ILNs 48 h after MP injection and analyzed according to dMP or unloaded MP phagocytosis. (J) Proximal draining lymph nodes (axillary [ALN] and inguinal [ILN]) and distal lymphoid organs (mesenteric lymph nodes [MLN] and spleen) were excised eight days after dMP injection and analyzed via flow

cytometry for MP trafficking. Frequency of dMP<sup>+</sup> DCs as a percent of total DCs is characterized in mice that received either no MPs (No Treatment) or the dMP. (K) dMP distribution across phagocyte populations at the eight day time point was characterized in proximal draining lymph nodes as a percent of total dMP<sup>+</sup> cells. (L) Serum TGF- $\beta$ 1 level (pg/mL) (M) Serum GM-CSF level (pg/mL) measured by ELISA from mice without treatment, mice days 2, 4, and 7 after subcutaneous dMP treatment, and mice with intravenous injection of TGF- $\beta$ 1 and GM-CSF immediately prior to blood collection. n=3-5 per group. p values were obtained from Student's t tests (C, E, F, G, and J) or one-way ANOVA with Tukey's post-hoc analysis (H, I, and K), \* = p <0.05, \*\* = p < 0.01, \*\*\* = p < 0.001, n.s. = p >0.05 (not significant), ND (not detectable).



Figure 3. dMP-MOG \_{35-55} formulation blocks experimental autoimmune encephalomyelitis in a semi-therapeutic treatment setting

(A) EAE disease score (mean  $\pm$  SEM) of B6 mice treated with either dMP MOG<sub>35-55</sub> (filled circle) or dMP formulation without MOG<sub>35-55</sub> (unloaded 0.8 µm MPs were substituted) (open square) on days 4, 7, and 10 (filled arrows) following EAE induction; n=10 per group. p value was obtained from Student's t test. (B) EAE disease score trend of B6 mice treated with either dMP MOG<sub>35-55</sub> formulation (filled circle) or dMP formulation without MOG<sub>35-55</sub> (open square) on days 4, 7, and 10 following EAE induction; n=10 per group. p value was obtained from ANOVA.

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Figure 4. EAE mice treated with dMP-MOG<sub>35-55</sub> have reduced leukocytes and CD4<sup>+</sup> T cells infiltrating into the CNS

104

104

CD8°

1 0

dMP

MOG<sub>35-55</sub>

S+U

MPs

naïve

WT

(A) Representative Hematoxylin and Eosin staining of spinal cord section from B6 EAE mice treated either with dMP MOG<sub>35-55</sub> or unloaded MPs or S+U MPs; white bar represents 200 µm; n=10 per group. (B) Representative flow cytometry analysis performed on day 21 following EAE induction of live CD4<sup>+</sup> and CD8<sup>+</sup> T cell frequencies from CNS of mice treated on day 4 following EAE induction either with dMP MOG<sub>35-55</sub> or S+U MPs; n=5 per group. (C) Absolute numbers of CD4<sup>+</sup> T cells in CNS of healthy naïve mice, or on day 21 following EAE induction of mice treated on day 4 following EAE induction either with dMP MOG<sub>35-55</sub> or S+U MPs. p value was obtained from Student's t test.



Figure 5. EAE mice treated with dMP-MOG\_{35-55} have reduced CD4 $^+$  T cells producing IL-17A, GM-CSF and IFN $\gamma$  in the CNS

(A) Representative frequencies of CD4<sup>+</sup> T cells positive for IL-17A, GM-CSF, IFN $\gamma$ , and dual cytokines analyzed by intracellular cytokine staining and flow cytometry on day 21 following EAE induction in the brain of EAE mice treated on day 4 following EAE induction either with dMP MOG<sub>35-55</sub> or S+U MPs; n=5 per group. (B) Absolute numbers of the CNS-infiltrating CD4<sup>+</sup> T cells producing the indicated cytokines on day 21 following EAE induction in the brain of EAE mice treated on day 4 following EAE induction either with dMP MOG<sub>35-55</sub> or S+U MPs; n=5 per group. (B) Absolute numbers of the CNS-infiltrating CD4<sup>+</sup> T cells producing the indicated cytokines on day 21 following EAE induction either with dMP MOG<sub>35-55</sub> or S+U MPs; n=5 per group. p value was obtained from Student's t test.



Figure 6. EAE mice treated with dMP-MOG\_{35-55} have decreased pathogenic CD4<sup>+</sup> T cells expressing the transcription factors  $Ror\gamma t$  and T-bet in the CNS

(A) Representative intranuclear flow cytometry analysis of frequencies of  $Ror\gamma t^+$ , T-bet<sup>+</sup>, and  $Ror\gamma t^+T$ -bet<sup>+</sup> CD4<sup>+</sup> T cells on day 21 following EAE induction in the brain of EAE mice treated on day 4 following EAE induction either with dMP MOG<sub>35-55</sub> or S+U MPs; n=5 per group. (B) Absolute numbers of the CNS-infiltrating  $Ror\gamma t^+$ , T-bet<sup>+</sup>, and  $Ror\gamma t^+T$ -bet<sup>+</sup> CD4<sup>+</sup> T cells on day 21 following EAE induction of EAE mice treated on day 4 following EAE induction of EAE mice treated on day 4 following EAE induction either with dMP MOG<sub>35-55</sub> or S+U MPs; n=5 per group. p value was obtained from Student's t test.



Figure 7. Activated macrophages/microglial cells are reduced in the CNS of mice treated with dMP-MOG\_{35-55}

(A) Representative flow cytometry analysis performed on day 21 following EAE induction of leukocytes isolated from CNS of mice treated on day 4 following EAE induction either with dMP MOG<sub>35-55</sub> or S+U MPs; n=5 per group. (B) Absolute numbers of activated microglia/macrophages on day 21 following EAE induction in CNS of mice treated on day 4 following EAE induction either with dMP MOG<sub>35-55</sub> or S+U MPs; n=5 per group. Live leukocytes were gated on CD11b<sup>+</sup>CD11c<sup>+</sup>CD68<sup>+</sup>CD45<sup>+</sup> and further on F4/80 and CD80 high. p value was obtained from Student's t test.



#### Figure 8. Efficacy of dMP treatment is dependent on antigen specificity

(A) EAE disease score (mean  $\pm$  SEM) of B6 mice treated with either dMP MOG35-55 (filled circle) or dMP Ova<sub>323-339</sub> (open square) on days 4 and 7 (filled arrows) following EAE induction; n=10 per group. p value was obtained from Student's t test. (B) EAE disease score trend of B6 mice treated with either dMP MOG<sub>35-55</sub> (filled circle) or dMP Ova<sub>323-339</sub> (open square) on days 4 and 7 following EAE induction; n=10 per group. p value was obtained from ANOVA.



### Figure 9. T cells from EAE mice treated with dMP-MOG<sub>35-55</sub>, but not with dMP-Ova<sub>323-339</sub>, failed to expand in response to MOG<sub>35-55</sub>-dependent stimulation

(A) Representative flow cytometry analysis of CFSE level in CD4<sup>+</sup> T cells isolated on day 21 following EAE induction from draining lymph nodes of B6 mice treated with either dMP  $MOG_{35-55}$  (dash line) or dMP  $Ova_{323-339}$  (solid line) on days 4 and 7 (filled *a*rrows) following EAE induction after 72 co-culture with T-cell depleted splenocytes loaded with  $MOG_{35-55}$ . (B) Frequencies of CFSE negative CD4<sup>+</sup> T cells isolated on day 21 following EAE induction from draining lymph nodes of B6 mice treated with either dMP  $MOG_{35-55}$  (dash line) or dMP  $Ova_{323-339}$  (solid line) on days 4 and 7 (filled arrows) following EAE induction from draining lymph nodes of B6 mice treated with either dMP  $MOG_{35-55}$  (dash line) or dMP  $Ova_{323-339}$  (solid line) on days 4 and 7 (filled arrows) following EAE induction after 72h co-culture with T-cell depleted splenocytes loaded with  $MOG_{35-55}$ . p value was obtained from Student's t test.



### Figure 10. Dendritic cells from draining lymph nodes of EAE mice treated with dMP-MOG<sub>35-55</sub> displayed a tolerized phenotype

(A) Representative flow cytometry analysis of CD86 and MHCII expression on CD11b  $^+$ CD11b $^+$  dendritic cells isolated from draining lymph nodes of mice treated on day 4 and 7 following EAE induction either with dMP MOG<sub>35-55</sub> or dMP Ova<sub>323-339</sub>; n=4 per group. (B) Frequency of CD86<sup>hi</sup>MHCII<sup>hi</sup> CD11b $^+$ CD11c $^+$  dendritic cells isolated from draining lymph nodes of mice treated on day 4 and 7 following EAE induction either with dMP MOG<sub>35-55</sub> or dMP Ova<sub>323-339</sub>; n=4 per group. (C) Mean fluorescence intensity of CD86 in CD11b  $^+$ CD11c $^+$  dendritic cells isolated from draining lymph nodes of mice treated on day 4 and 7 following EAE induction either with dMP MOG<sub>35-55</sub> or dMP Ova<sub>323-339</sub>; n=4 per group. (C) Mean fluorescence intensity of CD86 in CD11b  $^+$ CD11c $^+$  dendritic cells isolated from draining lymph nodes of mice treated on day 4 and 7 following EAE induction either with dMP MOG<sub>35-55</sub> or dMP Ova<sub>323-339</sub>; n=4 per group. p value was obtained from Student's t test.