

RESEARCH ARTICLE

Use of adjuvant chemotherapy in patients with stage III colon cancer in Puerto Rico: A population-based study

Karen J. Ortiz-Ortiz^{1,2*}, Guillermo Tortolero-Luna^{1,3}, Ruth Ríos-Motta², Alejandro Veintidós-Feliú⁴, Robert Hunter-Mellado⁵, Carlos R. Torres-Cintrón³, Tonatiuh Suárez-Ramos⁶, Priscilla Magno⁵

1 Cancer Control and Population Sciences Program, University of Puerto Rico, Comprehensive Cancer Center, San Juan, Puerto Rico, **2** Department of Health Services Administration, Graduate School of Public Health, Medical Sciences Campus, University of Puerto Rico, San Juan, Puerto Rico, **3** Puerto Rico Central Cancer Registry, University of Puerto Rico Comprehensive Cancer Center, San Juan, Puerto Rico, **4** San Juan Bautista School of Medicine, Caguas, Puerto Rico, **5** Division of Cancer Medicine, University of Puerto Rico Comprehensive Cancer Center, San Juan, Puerto Rico, **6** College of Natural Science, University of Puerto Rico, Río Piedras Campus, San Juan, Puerto Rico

* karen.ortiz@upr.edu



OPEN ACCESS

Citation: Ortiz-Ortiz KJ, Tortolero-Luna G, Ríos-Motta R, Veintidós-Feliú A, Hunter-Mellado R, Torres-Cintrón CR, et al. (2018) Use of adjuvant chemotherapy in patients with stage III colon cancer in Puerto Rico: A population-based study. PLoS ONE 13(3): e0194415. <https://doi.org/10.1371/journal.pone.0194415>

Editor: Omid Beiki, Karolinska Institutet, SWEDEN

Received: October 12, 2017

Accepted: March 3, 2018

Published: March 27, 2018

Copyright: This is an open access article, free of all copyright, and may be freely reproduced, distributed, transmitted, modified, built upon, or otherwise used by anyone for any lawful purpose. The work is made available under the [Creative Commons CC0](https://creativecommons.org/licenses/by/4.0/) public domain dedication.

Data Availability Statement: This study includes data from the Puerto Rico Central Cancer Registry (PRCCR), thus data from this study will not be available because of the confidentiality agreement between PRCCR and the authors. Nevertheless, investigators could obtain the data through PRCCR following the confidentiality procedures. PRCCR data can be requested through the following site: <http://www.rcpr.org/Datos-de-Cancer/Acceso-a-Datos>.

Abstract

Objective

This study aims to examine factors associated with the use of adjuvant chemotherapy and the use of oxaliplatin after curative resection in stage III colon cancer patients and assesses the effect of their use in three-year survival.

Methods

This retrospective cohort study was conducted using Puerto Rico Central Cancer Registry-Health Insurance Linkage Database. The study cohort consisted of stage III colon cancer patients with a curative surgery in the period 2008–2012. Multivariate logistic regression was used to estimate adjusted odds ratios. Kaplan-Meier methods and Cox proportional hazards models were used to assess the association between adjuvant chemotherapy and oxaliplatin use and overall survival and risk of death, respectively.

Results

Overall, 75% of the study population received adjuvant chemotherapy during the study period. Factors statistically associated with receiving adjuvant chemotherapy within four months after resection included being married (adjusted odds ratio [AOR] 1.64; 95% CI 1.18–2.28; $p = 0.003$), and being enrolled in Medicare (AOR 1.68; 95% CI: 1.03–2.75; $p = 0.039$) or Medicaid and Medicare dual eligible (AOR 1.66; 95% CI: 1.06–2.60; $p = 0.028$). However, patients aged ≥ 70 years were less likely to receive adjuvant chemotherapy (AOR 0.22; 95%CI 0.14–0.36; $p < 0.001$).

Funding: This study was supported by the National Institute on Minority Health and Health Disparities of the National Institutes of Health under Award Number S21MD001830, the National Institute of Allergy and Infectious Diseases (NIAID) of the National Institutes of Health (Award Number U54MD007587), and by Award Number 5UGICA189862-03 (PR NCI Oncology Research Program Minority / Underserved – Cancer Care Delivery Research). The collection of cancer-incidence data was supported, in part, by the National Program of Cancer Registries (NPCR) of the Centers of Disease Control and Prevention (CDC) by the Puerto Rico Central Cancer Registry, Grant #5U58-DP 003863-05. The content is solely the responsibility of the authors and does not necessarily represent the official views of the NIH, NCI or PRCCR.

Competing interests: The authors have declared that no competing interests exist.

Discussion

We observed a significant reduction in mortality in adjuvant chemotherapy treated patients. Similarly, patients <70 years treated with oxaliplatin had significantly lower risk of death than those who did not, although for patients ≥ 70 years no statistical significance was achieved. Future studies should assess effective interventions to reduce barriers to access guideline-based recommended colon cancer treatment.

Introduction

Adherence to recommended evidence-based guidelines is crucial to improve health outcomes. Surgical resection is the standard treatment for patients with colon and rectal cancer. For patients with stage III colon cancer, the National Comprehensive Cancer Network (NCCN) guidelines recommends surgical resection and adjuvant chemotherapy (ACT) [1]. A robust body of evidence indicates that using ACT decreases the risk of recurrence and improves survival among stage III colon cancer patients [2–10]. It has also been demonstrated that including oxaliplatin in the drug combination has significantly improved the disease-free survival and overall survival, particularly in patients <70 years old [3,11–14]. The NCCN guidelines recommend the use of FOLFOX (fluorouracil with modulating leucovorin (FU) and oxaliplatin) and CAPEOX (capecitabine and oxaliplatin) as the preferred ACT regimen [1]. However, the benefit of oxaliplatin in patients age 70 and older has not been confirmed [1,3,8,9]. Nevertheless, even with the proven benefits of ACT, several studies have shown differences in the use of ACT by age, race/ethnicity, type of insurance coverage and socioeconomic status [2,10,11,15–21].

Puerto Rico, a territory of the United States (US), has a population of approximately 3.4 million, primarily of Hispanic origin (98%) and over 90% of the population has health insurance coverage. In Puerto Rico, approximately 49% of the population (19% in the U.S.) receives health services through Medicaid/CHIP (Government Health Plan), 34% from private insurance (59% in the U.S.), 11% from Medicare/Military (10% in the U.S.), and 6% are uninsured (12% in the U.S.) [22].

In spite of the high percentage of the Puerto Rico population with access to health insurance, differences in health outcomes remain. For example, our group reported that colorectal cancer patients covered by the Government Health Plan (Medicaid) are diagnosed in more advanced stages and have poorer survival rate than those with private health insurance [23].

Knowing and understanding the barriers to access to evidence-based health care is fundamental to address these disparities and to reduce the social and economic burden of colon cancer in Puerto Rico. To our knowledge, this is the first study in Puerto Rico exploring the pattern of using guideline-recommended treatment for stage III colon cancer, its impact on health outcomes, and the factors associated with receiving evidence-based treatment. This study aims to examine the following questions: What is the pattern of using guideline-recommended treatment after curative resection of stage III colon cancer? What are the patient and clinical characteristics associated with receiving ACT with or without oxaliplatin after curative resection of stage III colon cancer patients? Is 3-year survival rate of patients that received ACT with or without oxaliplatin different to those who did not?

Materials and methods

Data sources

This retrospective cohort study used the Puerto Rico Central Cancer Registry- Health Insurance Linkage Database (PRCCR-HILD) to identify colon cancer cases diagnosed during the period 2008–2012. The PRCCR-HILD is a resource developed by the PRCCR to conduct cancer care delivery research examining patterns, quality, and outcomes of cancer care across the cancer care continuum in the island. PRCCR law allows the gathering of insurance companies' administrative claims. The PRCCR-HILD links the PRCCR database to the claims database received from the major health insurance companies in the island including Medicaid, Medicare, and private insured population. Currently, the PRCCR-HILD includes over 180 million claims for approximately 82% of all the cases diagnosed between 2008 and 2014. For the proposed study period (2008–2012), the PRCCR-HILD contains all the insurance claims generated for over 80% of all cancer patients and 85% of all colon cancer patients reported during this period. PRCCR provided information regarding patient's sociodemographic characteristics, diagnostic variables, tumor variables, and follow-up information. Whereas, treatment information including date of service, procedure codes (CPT/HCPS), National Drug Codes (NDC), diagnostic codes (ICD9-CM and ICD10-CM format), patient information, service provider information, cost of services, and enrollment files, among others, was obtained from the PRCCR-HILD database. PRCCR cases were matched to the health insurance companies data utilization files using a deterministic matching similar to the algorithm used by SEER-Medicare [24]. In addition, PRCCR receives mortality files from the Puerto Rico Demographic Registry, which covers the entire population. Annually, the PRCCR database is linked with mortality files.

Study population

The preliminary study cohort consisted of patients with adenocarcinoma of colon (excluding appendix and rectum) diagnosed during the period 2008–2012, who fulfilled the American Joint Cancer Committee criteria for stage III and who have had a colon resection. Given that follow-up information was available until December 2015, we included patients diagnosed until 2012 to give all the patients a minimum follow-up time of 3 years. We included only residents of Puerto Rico with a first cancer diagnosis. The study population was limited to those with complete claims information. Patients who died within 30 days of surgery were excluded. [Table 1](#) shows selection criteria including case exclusion.

Treatment measurements

To examine factors associated with the use of ACT and the use of oxaliplatin we obtained information from claims data, including pharmacy claims. We included chemotherapy agents

Table 1. Cohort selection criteria for patients with stage III colon cancer diagnosed during 2008–2012.

Exclusion Criteria	Exclusions	Remaining	%
Assessed for eligibility	-	1,638	100.0
Non-resident of PR at diagnosis	6	1,632	99.6
2 nd and later primary diagnosis of cancer	205	1,427	87.1
Patient data not available in claims database or insurance gaps	289	1,135	69.3
Non colon resection	16	1,122	68.5
Death within 30 days of surgery	87	1,035	63.2

<https://doi.org/10.1371/journal.pone.0194415.t001>

that are recommended for colon cancer stage III (fluororacil, leucovorin, capecitabine, oxaliplatin or levoleucovorin) and that were received within four months after the colon surgery. Chemotherapy and surgery codes are documented in the [S1 Table](#). A dichotomous variable was generated to indicate if the patient received ACT. Patients with no claims for recommended chemotherapy agents within four months after the colon surgery were categorized into the group who did not receive ACT. Patients who received ACT were classified into those with oxaliplatin agents and those with no oxaliplatin agents.

Covariates

Patient characteristics included: age at diagnosis (grouped into three categories: <60, 60–69, and ≥ 70 years), sex, marital status (unmarried, married, and unknown), area of residence at the time of diagnosis (based on Puerto Rico Health Insurance Administration geographic service regions), and comorbidity. Comorbidity was assessed using the Klabunde modification of the Charlson Comorbidity Index (CCI) [25]. For some patients CCI could not be calculated because the claims before the cancer diagnosis were not available. Tumor variables evaluated were year of diagnosis, primary tumor location (distal, proximal, and overlapping/unknown), and histologic grade (well/moderately differentiated, poorly/undifferentiated, and unknown).

Statistical analyses

We performed a Chi-square or Fisher's exact test to assess the associations between categorical independent variables and the use of ACT or oxaliplatin. Multivariate logistic regression models were used to estimate the adjusted odds ratio (AOR), and their 95% confidence intervals (CI). Variables with a p-value <0.20 in the bivariate analysis were included in the multivariable logistic regression models to adjust for potential confounding variables. The likelihood ratio test was used to assess the significance of interaction terms.

We used the Kaplan–Meier method to compare the 3-year survival curves among patients who received ACT with those who did not and patients who received oxaliplatin with those who received ACT but not oxaliplatin. Log rank test and Cox were used to evaluate statistical differences between curves. Multivariate Cox regression analysis was performed to estimate the adjusted effect of receiving ACT or oxaliplatin on 3-year overall survival. Propensity scores were calculated to take into account the likelihood of receiving ACT or oxaliplatin. This variable was categorized into deciles and was included as a covariate in the Cox regression models. The proportionality assumption was evaluated using Schoenfeld residuals. To address the non-compliance with the proportionality assumption in our Cox models we stratified by age-groups (<70 years and ≥ 70 years). All statistical analyses were performed using the Stata/SE statistical software (version 14.2, College Station, TX).

Ethics statement

The study was submitted and approved by the Institutional Review Board of the University of Puerto Rico, Medical Sciences Campus, San Juan, Puerto Rico. The analysis included secondary data with de-identified patient records. All information from patients was held in strict confidence. Patient ID fields within the PRCCR database are encrypted using a bijective function based on prime numbers. Both encrypt and decrypt functions are stored in a secure server. Only the research team of this study had access to patient information, and only information relevant to this study was examined.

Results

A total of 1,035 patients with stage III colon cancer were eligible for the study. [Table 2](#) shows the study population characteristics by ACT category (receiving/no-receiving). During the study period, 75% of the study population received ACT. The use of ACT varied significantly by age, marital status, and type of insurance coverage ($p < 0.05$). Among patients who did not receive ACT, 64% were 70 years of age and older, 50% were unmarried, and 36% had dual Medicare and Medicaid insurance. There were no statistically significant differences in the use of ACT by sex, CCI, area of residence, year of diagnosis, primary tumor location, and tumor grade ($p > 0.05$).

[Table 3](#) compares the characteristics of subjects receiving oxaliplatin to those no-receiving oxaliplatin as part of their ACT. Among patients receiving ACT, 76% received oxaliplatin as a part of their treatment. Receiving oxaliplatin differed statistically by age-groups, type of insurance coverage, and year of diagnosis. Patients < 70 years, dual Medicare/Medicaid, and those diagnosed in 2008 were less likely to receive oxaliplatin ($p < 0.05$). No significant differences were observed by sex ($p = 0.412$), marital status ($p = 0.102$), CCI ($p = 0.208$), region ($p = 0.254$), primary tumor location ($p = 0.079$), and tumor grade ($p = 0.164$).

Use of ACT

Results from the multivariate logistic model for ACT use are shown in [Table 4](#). Compared to patients aged < 60 years, patients aged ≥ 70 years were less likely to receive ACT (AOR 0.22; 95% CI 0.13–0.35; $p < 0.001$). Whereas, compared with patients enrolled in Medicaid, patients enrolled in Medicare (AOR 1.68; 95% CI: 1.03–2.75; $p = 0.039$) and those with dual enrollment for Medicare and Medicaid (AOR 1.66; 95% CI: 1.06–2.60; $p = 0.028$) were more likely to receive ACT. Likewise, patients enrolled in private insurance were more likely to receive ACT than patients enrolled in Medicaid; however, this association did not reach statistical significance (AOR 1.57; 95% CI: 0.95–2.58; $p = 0.076$). In addition, married patients were more likely to receive ACT (AOR 1.64; 95% CI 1.18–2.28; $p = 0.003$) and those living in the North-east region of the island were less likely to received ACT (AOR 0.58; 95% CI 0.34–0.99; $p = 0.046$).

Use of oxaliplatin. Results from the multivariate logistic model for oxaliplatin use are shown in [Table 5](#). Compared with patients aged < 60 years, patients aged ≥ 70 years were less likely to receive oxaliplatin (AOR: 0.45; 95%CI: 0.26–0.78; $p = 0.005$); whereas, patients diagnosed after 2008 were more likely to receive oxaliplatin ($p < 0.05$). No significant associations were observed with marital status, CCI, type of insurance coverage, primary tumor location, and tumor grade.

Overall survival by age group

[Fig 1](#) shows the effect of ACT on 3-year overall survival stratified by age-group (< 70 years and ≥ 70 years). For both age groups the overall 3-year survival was statistically higher (Log Rank Test $p < 0.05$) among ACT treated patients (patients aged < 70 years: 75% vs. 48%; patients aged ≥ 70 : 63% vs. 43%, respectively). For both age-groups, patients treated with ACT had significantly lower risk of dying (aged < 70 years: HR: 0.43; 95% CI: 0.30–0.61; $p < 0.001$; and aged ≥ 70 years: HR: 0.51; 95% CI: 0.38–0.69; $p < 0.001$).

Use of oxaliplatin showed a survival benefit only among patients aged < 70 years (77% vs. 63%; Rank test $p < 0.05$) but not among patients aged ≥ 70 years (66% vs. 58%; Log Rank test $p = 0.2$). Patients aged < 70 years receiving oxaliplatin had a significant lower risk of dying (HR: 0.50; 95% CI: 0.33–0.75; $p = 0.001$); whereas, no statistical significance difference was achieved among patients aged ≥ 70 years (HR: 0.78; 95% CI: 0.50–1.22; $p = 0.279$) ([Fig 2](#)).

Table 2. Characteristics of stage III colon cancer patients by adjuvant chemotherapy (ACT) status.

Characteristics	Total study population N (%)	ACT (n = 777) N (%)	No ACT (n = 258) N (%)	P-value*
Study population	1,035 (100)	777 (75)	258 (25)	
Age group (years)				<0.0001
<60	282 (27)	242 (31)	40 (16)	
60–69	333 (32)	281 (36)	52 (20)	
70+	420 (41)	254 (33)	166 (64)	
Sex				0.190
Male	538 (52)	413 (53)	125 (48)	
Female	497 (48)	364 (47)	133 (52)	
Marital status				<0.0001
Unmarried	401 (39)	273 (35)	128 (50)	
Married	575 (56)	457 (59)	118 (46)	
Unknown	59 (6)	47 (6)	12 (5)	
CCI				0.090
0	575 (56)	445 (57)	130 (50)	
1	197 (19)	142 (18)	55 (21)	
≥2	198 (19)	138 (18)	60 (23)	
Unknown	65 (6)	52 (7)	13 (5)	
Type of insurance coverage				0.001
Medicaid	274 (26)	209 (27)	65 (25)	
Private	230 (22)	194 (25)	36 (14)	
Medicare/Medicaid	319 (31)	227 (29)	92 (36)	
Medicare	212 (21)	147 (19)	65 (25)	
Region				0.092
Metro-North	185 (18)	140 (18)	45 (17)	
San Juan	98 (9)	71 (9)	27 (10)	
East	167 (16)	133 (17)	34 (13)	
North	128 (12)	102 (13)	26 (10)	
Northeast	122 (12)	79 (10)	43 (17)	
Southeast	98 (9)	73 (9)	25 (10)	
Southwest	73 (7)	59 (8)	14 (5)	
West	164 (16)	120 (15)	44 (17)	
Diagnosis year				0.321
2008	182 (18)	135 (17)	47 (18)	
2009	193 (19)	151 (19)	42 (16)	
2010	223 (22)	171 (22)	52 (20)	
2011	202 (20)	155 (20)	47 (18)	
2012	235 (23)	165 (21)	70 (27)	
Primary tumor location				0.138
Distal	435 (42)	340 (44)	95 (37)	
Proximal	589 (57)	429 (55)	160 (62)	
Other	11 (1)	8 (1)	3 (1)	
Tumor grade				0.164
Well/moderately differentiated	839 (81)	639 (82)	200 (78)	
Poorly/undifferentiated	116 (11)	79 (10)	37 (14)	
Unknown	80 (8)	59 (8)	21 (8)	

* p values were calculated using chi-squared test or Fisher's exact test.

<https://doi.org/10.1371/journal.pone.0194415.t002>

Table 3. Characteristics of stage III colon cancer patients who used ACT by oxaliplatin Receipt status.

Characteristics	Patients Receiving ACT N (%)	Oxaliplatin N (%)	No Oxaliplatin N (%)	P-value
Study Population	777 (100)	591 (76)	186 (24)	
Age group (years)				<0.0001
<60	242 (31)	203 (34)	39 (21)	
60–69	281 (36)	231 (39)	50 (27)	
70+	254 (33)	157 (27)	97 (52)	
Sex				0.412
Male	413 (53)	319 (54)	94 (51)	
Female	364 (47)	272 (46)	92 (50)	
Marital Status				0.102
Unmarried	273 (35)	206 (35)	67 (36)	
Married	457 (59)	355 (60)	102 (55)	
Unknown	47 (6)	30 (5)	17 (9)	
CCI				0.208
0	445 (57)	338 (57)	107 (58)	
1	142 (18)	114 (19)	28 (15)	
≥2	138 (18)	97 (16)	41 (22)	
Unknown	52 (7)	42 (5)	10 (5)	
Type of insurance coverage				<0.0001
Medicaid	194 (25)	167 (28)	27 (15)	
Private	209 (27)	168 (28)	41 (22)	
Medicare/Medicaid	227 (19)	161 (27)	66 (36)	
Medicare	147 (19)	95 (16)	52 (28)	
Region				0.254
Metro-North	140 (18)	104 (18)	36 (19)	
San Juan	71 (9)	58 (10)	13 (7)	
East	133 (17)	95 (16)	38 (20)	
North	102 (13)	72 (12)	30 (16)	
Northeast	79 (10)	59 (10)	20 (11)	
Southeast	73 (9)	62 (10)	11 (6)	
Southwest	59 (8)	45 (8)	14 (8)	
West	120 (15)	96 (16)	24 (13)	
Diagnosis year				0.006
2008	135 (17)	88 (15)	47 (25)	
2009	151 (19)	115 (20)	36 (19)	
2010	171 (22)	136 (23)	35 (19)	
2011	155 (20)	115 (20)	40 (22)	
2012	165 (21)	137 (23)	28 (15)	
Primary tumor location				0.079
Distal	340 (44)	267 (45)	73 (39)	
Proximal	429 (55)	316 (53)	113 (61)	
Other	8 (1)	8 (1)	0 (0)	
Tumor grade				0.164
Well/moderately differentiated	839 (81)	639 (82)	200 (78)	
Poorly/undifferentiated	116 (11)	79 (10)	37 (14)	
Unknown	80 (8)	59 (8)	21 (8)	

<https://doi.org/10.1371/journal.pone.0194415.t003>

Table 4. Predictors of Receipt ACT.

Characteristics	AOR (95% CI)	P-value
Age group (years)		
<60	1.00	
60–69	0.84 (0.52–1.36)	0.489
70+	0.22 (0.13–0.35)	<0.0001
Sex		
Male	1.00	
Female	1.05 (0.76–1.45)	0.764
Marital status		
Unmarried	1.00	
Married	1.64 (1.18–2.28)	0.003
Unknown	1.92 (0.94–3.89)	0.072
CCI		
0	1.00	
1	0.68 (0.46–1.02)	0.063
≥2	0.81 (0.54–1.20)	0.288
Unknown	1.12 (0.56–2.24)	0.740
Type of insurance coverage		
Medicaid	1.00	
Private	1.57 (0.95–2.58)	0.076
Medicare/Medicaid	1.66 (1.06–2.60)	0.028
Medicare	1.68 (1.03–2.75)	0.039
Region		
Metro-North	1.00	
San Juan	0.95 (0.52–1.71)	0.853
East	1.45 (0.85–2.49)	0.176
North	1.32 (0.73–2.37)	0.358
Northeast	0.58 (0.34–0.99)	0.046
Southeast	1.03 (0.56–1.90)	0.912
Southwest	1.43 (0.7–2.94)	0.325
West	1.01 (0.6–1.71)	0.959
Primary tumor location		
Distal	1.00	
Proximal	0.95 (0.69–1.31)	0.744
Other	0.46 (0.11–1.84)	0.269
Tumor grade		
Well/moderately differentiated	1.00	
Poorly/undifferentiated	0.74 (0.46–1.16)	0.190
Unknown	1.07 (0.61–1.89)	0.804

<https://doi.org/10.1371/journal.pone.0194415.t004>

Discussion

Understanding the pattern of care and barriers for the use of evidence-based guidelines is important to increase access to quality care, improve health outcomes, and reduce health disparities. This study examines, for the first time, the patterns of use of ACT and oxaliplatin for stage III colon cancer in Puerto Rico, the factors associated with the use of these guideline-recommended treatments in the island, and their impact on 3-year overall survival and risk of

Table 5. Predictors of Receipt oxaliplatin.

Characteristics	AOR (95% CI)	P-value
Age group (years)		
<60	1.00	
60–69	1.03 (0.62–1.71)	0.921
70+	0.45 (0.26–0.78)	0.005
Marital status		
Unmarried	1.00	
Married	1.14 (0.78–1.67)	0.493
Unknown	0.53 (0.27–1.07)	0.078
CCI		
0	1.00	
1	1.29 (0.79–2.13)	0.311
≥2	0.84 (0.53–1.35)	0.482
Unknown	1.36 (0.63–2.96)	0.432
Type of insurance coverage		
Medicaid	1.00	
Private	1.51 (0.87–2.63)	0.147
Medicare/Medicaid	0.81 (0.48–1.37)	0.433
Medicare	0.63 (0.35–1.12)	0.114
Diagnosis year		
2008	1.00	
2009	1.99 (1.15–3.47)	0.015
2010	2.29 (1.32–3.95)	0.003
2011	1.81 (1.05–3.11)	0.031
2012	3.11 (1.74–5.55)	<0.0001
Primary tumor location		
Distal	1.00	
Proximal	0.91 (0.63–1.32)	0.634
Other		
Tumor grade		
Well/moderately differentiated	1.00	
Poorly/undifferentiated	1.68 (0.89–3.16)	0.107
Unknown	0.62 (0.33–1.15)	0.131

<https://doi.org/10.1371/journal.pone.0194415.t005>

death. The results of this study can help to improve the quality of care for stage III colon cancer and address disparities in cancer care delivery and health outcomes.

Overall, 75% of the study population received ACT. Although comparable with previous population based studies, the percentage patients receiving ACT was higher than the reported in recent studies [2,4,10,15,19,20]. This difference might be attributable to the high health insurance coverage in Puerto Rico (96.3%) [26] and the adherence of health professionals in Puerto Rico to evidence-based treatment guidelines recommended. A recent study comparing coverage and access to care in Puerto Rico and the mainland US found that, despite the economic conditions in Puerto Rico, it has better coverage and access to care indicators [27]. The authors of this study also point out that these results are consistent with the fact that states with more unrestrictive Medicaid eligibility have better access to care [27]. On the other hand, this difference might also be explained by differences in data source, study population, and period of observation.

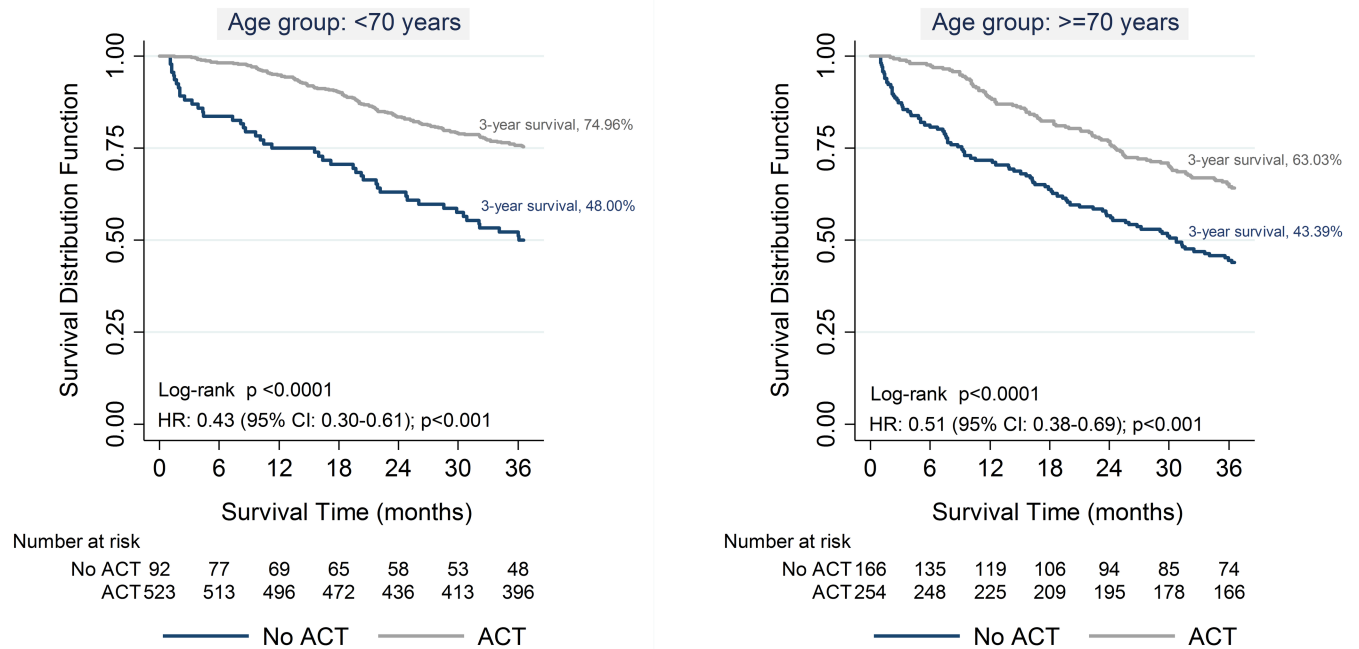


Fig 1. Three-year overall survival according to the Receipt of ACT stratified by age group (<70 years and ≥ 70 years) ACT = adjuvant chemotherapy.

<https://doi.org/10.1371/journal.pone.0194415.g001>

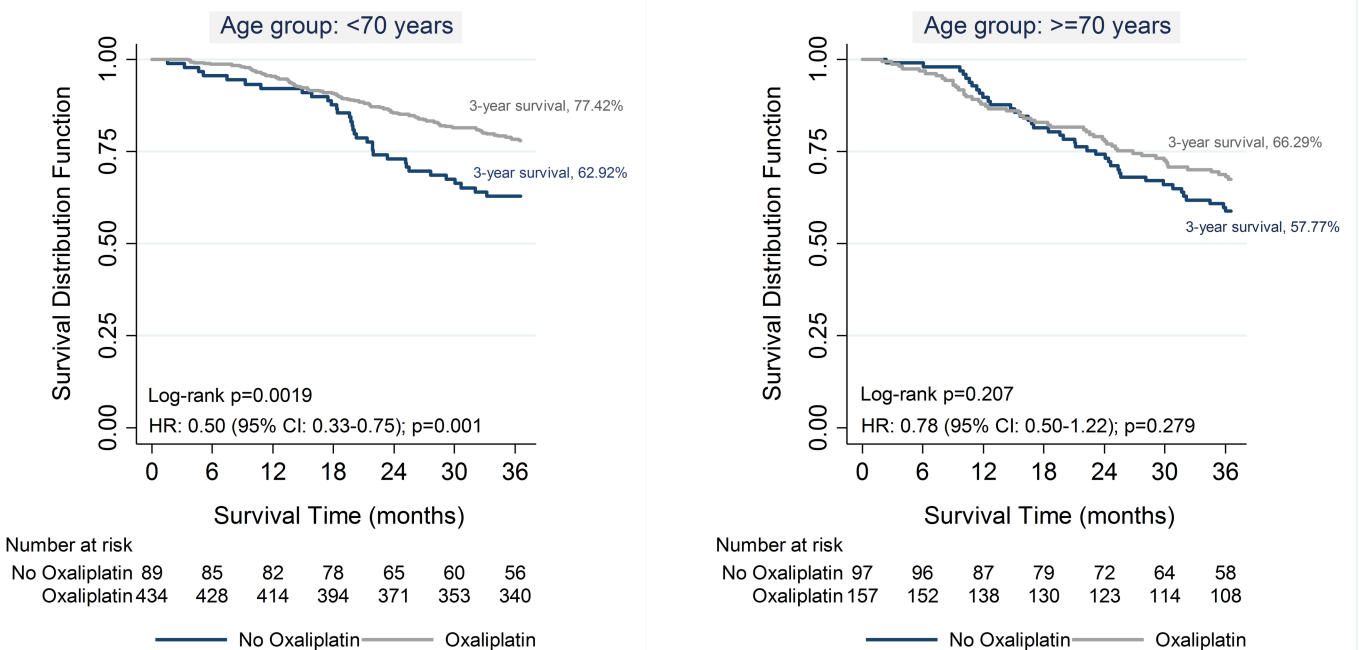


Fig 2. Three-year overall survival according to the Receipt of oxaliplatin among patients receiving ACT by age group (<70 years and ≥ 70 years).

<https://doi.org/10.1371/journal.pone.0194415.g002>

Consistent with previous studies [2–4,10,16,28–30], our data showed that patients with stage III colon cancer receiving ACT had a statistically significant reduction in mortality compared with those not receiving ACT. Our data also showed that patients aged ≥ 70 years, Medicaid enrollees, unmarried patients, and patients who resided in the northeast region of the Island at the time of diagnosis were less likely to receive ACT. Patients' age has been previously reported to be a strong predictor for not receiving ACT [10,15,16,19–21,31], despite the fact that several studies, including the present study, have shown a benefit of ACT in older patients [2–4,16,32,33]. Patients aged ≥ 70 years who received ACT had better survival and lower risk of death compared to those not receiving ACT.

Compared to patients enrolled in Medicaid, those enrolled in Medicare and dual Medicaid and Medicare were more likely to receive ACT. These findings are consistent with previous studies [10,30,34] and with studies conducted in Puerto Rico [35–38]. Our group showed that cancer patients enrolled in the Puerto Rico's Government Health Plan have poorer health outcomes and less access to guideline-recommended treatment compared to those enrolled in other health insurance coverage [35–38]. Even when Puerto Rico has a high insurance coverage, the fact that Medicaid patients are less likely to receive ACT could suggest that Medicaid patients may not be receiving guideline-recommended treatment for stage III colon cancer. It has been suggested that other unmeasured socioeconomic characteristics may partially explain the observed differences found in cancer care delivery for stage III colon cancer [38].

Similar to previous studies [11,17,19], we found that patients diagnosed in later years were more likely to receive oxaliplatin. In fact, when we stratified by age groups we observed the same pattern (data not shown). This finding could be attributable to the progressively adoption of oxaliplatin as a part of adjuvant chemotherapy among clinicians. In 2004, oxaliplatin was approved for use in patients with stage III colon cancer. After this event, the oxaliplatin-based regimens became the preferred ACT regimens for patients with resected stage III colon cancer [11,17,19,39]. Large population-based studies using SEER data found that unmarried patients are at significantly higher risk of presenting metastatic cancer, undertreatment, and death resulting from their cancer [40–42]. Consistent with previous studies, our findings showed that unmarried patients had lower odds of receiving ACT compared to married patients. This association might be explained by other factors such as age, income, type of health insurance, and social support, among others. We also found that patients living in the Northeast region at the time of diagnosis were less likely to receive ACT. A plausible explanation of the underutilization of ACT in this region could be attributable to the access to treatment in the island-municipalities of Vieques and Culebra. Further analyses are warranted to assess possible factors associated with difference in geographic access to guideline-recommended treatment.

There was not a statistically significant benefit of receiving oxaliplatin among patients aged ≥ 70 years (HR = 0.78; 95% CI: 0.50–1.22) compared to younger patients. As we expected, patients aged ≥ 70 years were less likely to receive oxaliplatin since current NCCN guidelines do not recommend its use in these patients. The use of oxaliplatin in this population should be evaluated taking into account the toxicity and the patient's characteristics and preferences.

The findings in this study are subject to some limitations. First, we limited our analysis to patients with health insurance coverage and that had claims data. Therefore, caution must be taken when generalizing these results. Second, due to the nature of claims data, as they are collected for reimbursement purposes, have inherent limitations when used in research studies. Third, we only evaluated the use of ACT if the patient had a claim with ACT, but we are not able to assess patient's adherence to recommended treatment. Also, it is important to note that, by definition and according to guidelines, only those patients receiving ACT within four months after colon surgery were considered to have received ACT. Finally, another possible limitation is that we did not evaluate patient's preferences. For example, we did not evaluate if

the patient refused the chemotherapy treatment. Nevertheless, results of this study are consistent with other studies and confirms the viability of the use of the PRCCR-HILD database in cancer care delivery research.

In conclusion, similar to other populations, we found that only 25% of the stage III colon cancer do not receive guideline-recommended treatment. In addition, consistent with previous studies, we identified disparities in the use of ACT in the Puerto Rico population that need to be addressed. Considering that combining men and women colorectal cancer is the leading cause of cancer death in Puerto Rico, this study raises the need for further assess the pattern of cancer treatment in the island, identify barriers to access guideline-recommend cancer treatment, and the development and implementation of effective multilevel interventions to reduce barriers to guideline-based recommended treatment for stage III colon cancer patients. This is particularly relevant given the economic and financial crisis in Puerto Rico and uncertainty in Medicaid funding that might leave thousands of patients without access to care. Furthermore, this problem will be compounded by the prevailing migration of healthcare professional to the U.S. mainland.

Supporting information

S1 Table. Treatment associated claims codes.
(PDF)

Author Contributions

Conceptualization: Karen J. Ortiz-Ortiz, Guillermo Tortolero-Luna, Ruth Ríos-Motta, Robert Hunter-Mellado, Carlos R. Torres-Cintrón, Tonatiuh Suárez-Ramos.

Data curation: Karen J. Ortiz-Ortiz, Alejandro Veintidós-Feliú, Carlos R. Torres-Cintrón, Tonatiuh Suárez-Ramos.

Formal analysis: Karen J. Ortiz-Ortiz, Ruth Ríos-Motta, Alejandro Veintidós-Feliú, Carlos R. Torres-Cintrón, Tonatiuh Suárez-Ramos.

Funding acquisition: Karen J. Ortiz-Ortiz.

Investigation: Karen J. Ortiz-Ortiz, Ruth Ríos-Motta, Robert Hunter-Mellado, Priscilla Magno.

Methodology: Karen J. Ortiz-Ortiz, Ruth Ríos-Motta, Alejandro Veintidós-Feliú, Robert Hunter-Mellado, Priscilla Magno.

Project administration: Karen J. Ortiz-Ortiz.

Software: Alejandro Veintidós-Feliú.

Supervision: Karen J. Ortiz-Ortiz, Guillermo Tortolero-Luna.

Validation: Guillermo Tortolero-Luna, Alejandro Veintidós-Feliú, Priscilla Magno.

Visualization: Karen J. Ortiz-Ortiz, Guillermo Tortolero-Luna, Robert Hunter-Mellado.

Writing – original draft: Karen J. Ortiz-Ortiz.

Writing – review & editing: Guillermo Tortolero-Luna, Ruth Ríos-Motta, Alejandro Veintidós-Feliú, Robert Hunter-Mellado, Carlos R. Torres-Cintrón, Priscilla Magno.

References

1. Benson AB III, Venook AP, Cederquist L, Chan E, Chen Y-J, Cooper HS, et al. Colon Cancer, Version 1.2017 Clinical Practice Guidelines in Oncology. *J Natl Compr Cancer Netw*. 37 MAIN ST, COLD SPRING HARBOR, NY 11724 USA: HARBORSIDE PRESS; 2017; 15: 370–398.
2. Merchant SJ, Nanji S, Brennan K, Karim S, Patel SV, Biagi JJ, et al. Management of stage III colon cancer in the elderly: Practice patterns and outcomes in the general population. *Cancer*. 2017; <https://doi.org/10.1002/cncr.30691> PMID: 28346663
3. Sanoff HK, Carpenter WR, Stürmer T, Goldberg RM, Martin CF, Fine JP, et al. Effect of Adjuvant Chemotherapy on Survival of Patients With Stage III Colon Cancer Diagnosed After Age 75 Years. *J Clin Oncol*. 2012; 30: 2624–2634. <https://doi.org/10.1200/JCO.2011.41.1140> PMID: 22665536
4. Hines RB, Bimali M, Johnson AM, Bayakly AR, Collins TC. Prevalence and survival benefit of adjuvant chemotherapy in stage III colon cancer patients: Comparison of overall and age-stratified results by multivariable modeling and propensity score methodology in a population-based cohort. *Cancer Epidemiol*. 2016; 44: 77–83. <https://doi.org/10.1016/j.canep.2016.08.004> PMID: 27513721
5. Jeong WK, Shin J-W, Baek SK. Oncologic outcomes of early adjuvant chemotherapy initiation in patients with stage III colon cancer. *Ann Surg Treat Res*. 2015; 89: 124–30. <https://doi.org/10.4174/astr.2015.89.3.124> PMID: 26366381
6. Hsiao F-Y, Mullins CD, Onukwugha E, Pandya N, Hanna N. Comparative Effectiveness of Different Chemotherapeutic Regimens on Survival of People Aged 66 and Older with Stage III Colon Cancer: A “Real World” Analysis Using Surveillance, Epidemiology, and End Results-Medicare Data. *J Am Geriatr Soc*. 2011; 59: 1717–1723. <https://doi.org/10.1111/j.1532-5415.2011.03501.x> PMID: 21831168
7. Zuckerman IH, Rapp T, Onukwugha E, Davidoff A, Choti MA, Gardner J, et al. Effect of Age on Survival Benefit of Adjuvant Chemotherapy in Elderly Patients with Stage III Colon Cancer. *J Am Geriatr Soc*. 2009; 57: 1403–1410. <https://doi.org/10.1111/j.1532-5415.2009.02355.x> PMID: 19563521
8. André T, Boni C, Navarro M, Tabernero J, Hickish T, Topham C, et al. Improved overall survival with oxaliplatin, fluorouracil, and leucovorin as adjuvant treatment in stage II or III colon cancer in the MOSAIC trial. *J Clin Oncol*. 2009; 27: 3109–16. <https://doi.org/10.1200/JCO.2008.20.6771> PMID: 19451431
9. André T, Boni C, Mounedji-Boudiaf L, Navarro M, Tabernero J, Hickish T, et al. Oxaliplatin, fluorouracil, and leucovorin as adjuvant treatment for colon cancer. *N Engl J Med*. 2004; 350: 2343–51. <https://doi.org/10.1056/NEJMoa032709> PMID: 15175436
10. Becerra AZ, Probst CP, Tejani MA, Aquina CT, González MG, Hensley BJ, et al. Opportunity lost: Adjuvant chemotherapy in patients with stage III colon cancer remains underused. *Surgery*. 2015; 158: 692–9. <https://doi.org/10.1016/j.surg.2015.03.057> PMID: 26032822
11. Sanoff HK, Carpenter WR, Martin CF, Sargent DJ, Meyerhardt JA, Stürmer T, et al. Comparative Effectiveness of Oxaliplatin vs Non-Oxaliplatin-containing Adjuvant Chemotherapy for Stage III Colon Cancer. *JNCI J Natl Cancer Inst*. 2012; 104: 211–227. <https://doi.org/10.1093/jnci/djr524> PMID: 22266473
12. Yothers G, O’Connell MJ, Allegra CJ, Kuebler JP, Colangelo LH, Petrelli NJ, et al. Oxaliplatin As Adjuvant Therapy for Colon Cancer: Updated Results of NSABP C-07 Trial, Including Survival and Subset Analyses. *J Clin Oncol*. 2011; 29: 3768–3774. <https://doi.org/10.1200/JCO.2011.36.4539> PMID: 21859995
13. Schmoll H-J, Twelves C, Sun W, O’Connell MJ, Cartwright T, McKenna E, et al. Effect of adjuvant capecitabine or fluorouracil, with or without oxaliplatin, on survival outcomes in stage III colon cancer and the effect of oxaliplatin on post-relapse survival: a pooled analysis of individual patient data from four randomised controlled trials. *Lancet Oncol*. 2014; 15: 1481–1492. [https://doi.org/10.1016/S1470-2045\(14\)70486-3](https://doi.org/10.1016/S1470-2045(14)70486-3) PMID: 25456367
14. van Erning FN, Janssen-Heijnen MLG, Creemers GJ, Pruijt JFM, Maas HAAM, Lemmens VEPP. Recurrence-free and overall survival among elderly stage III colon cancer patients treated with CAPOX or capecitabine monotherapy. *Int J cancer*. 2017; 140: 224–233. <https://doi.org/10.1002/ijc.30423> PMID: 27615021
15. Murphy CC, Harlan LC, Warren JL, Geiger AM. Race and Insurance Differences in the Receipt of Adjuvant Chemotherapy Among Patients With Stage III Colon Cancer. *J Clin Oncol*. 2015; 33: 2530–6. <https://doi.org/10.1200/JCO.2015.61.3026> PMID: 26150445
16. Abraham A, Habermann EB, Rothenberger DA, Kwaan M, Weinberg AD, Parsons HM, et al. Adjuvant chemotherapy for stage III colon cancer in the oldest old. *Cancer*. Wiley Subscription Services, Inc., A Wiley Company; 2013; 119: 395–403. <https://doi.org/10.1002/cncr.27755> PMID: 22806931
17. Lund JL, Stürmer T, Sanoff HK, Brookhart A, Sandler RS, Warren JL. Determinants of adjuvant oxaliplatin receipt among older stage II and III colorectal cancer patients. *Cancer*. 2013; 119: 2038–47. <https://doi.org/10.1002/cncr.27991> PMID: 23512326

18. Lin CC, Bruinooge SS, Kirkwood MK, Olsen C, Jemal A, Bajorin D, et al. Association Between Geographic Access to Cancer Care, Insurance, and Receipt of Chemotherapy: Geographic Distribution of Oncologists and Travel Distance. *J Clin Oncol*. 2015; <https://doi.org/10.1200/JCO.2015.61.1558> PMID: [26304878](https://pubmed.ncbi.nlm.nih.gov/26304878/)
19. Panchal JM, Lairson DR, Chan W, Du XL. Geographic Variation and Sociodemographic Disparity in the Use of Oxaliplatin-Containing Chemotherapy in Patients With Stage III Colon Cancer. *Clin Colorectal Cancer*. 2013; 12: 113–121. <https://doi.org/10.1016/j.clcc.2012.09.007> PMID: [23137529](https://pubmed.ncbi.nlm.nih.gov/23137529/)
20. Hsieh M-C, Chiu Y-W, Velasco C, Wu X-C, O'Flarity MB, Chen VW. Impact of race/ethnicity and socioeconomic status on adjuvant chemotherapy use among elderly patients with stage III colon cancer. *J Registry Manag*. 2013; 40: 180–7. Available: <http://www.ncbi.nlm.nih.gov/pubmed/24625772> PMID: [24625772](https://pubmed.ncbi.nlm.nih.gov/24625772/)
21. HA GS, KIM YW, CHOI EH, KIM IY. Factors Associated with the Lack of Adjuvant Chemotherapy Following Curative Surgery for Stage II and III Colon Cancer: A Korean National Cohort Study. *Anticancer Res*. 2017; 37: 915–922. <https://doi.org/10.21873/anticancer.11399> PMID: [28179352](https://pubmed.ncbi.nlm.nih.gov/28179352/)
22. Kaiser Family Foundation. Health Insurance Coverage of the Population in Puerto Rico and the 50 States and D.C., 2014 (based on the Census Bureau's 2014 American Community Survey, 1-year estimates). In: 8 Questions & Answers about Puerto Rico [Internet]. 2016 [cited 22 Aug 2017]. Available: <http://www.kff.org/disparities-policy/fact-sheet/8-questions-and-answers-about-puerto-rico/>
23. Ortiz-Ortiz KJ, Ramírez-García R, Cruz-Correa M, Ríos-González MY, Ortiz AP. Effects of type of health insurance coverage on colorectal cancer survival in Puerto Rico: A population-based study. *PLoS One*. Public Library of Science; 2014; 9.
24. Potosky AL, Riley GF, Lubitz JD, Mentnech RM, Kessler LG. Potential for cancer related health services research using a linked Medicare-tumor registry database. *Med Care*. 1993; 31: 732–48. Available: <http://www.ncbi.nlm.nih.gov/pubmed/8336512> PMID: [8336512](https://pubmed.ncbi.nlm.nih.gov/8336512/)
25. Klabunde CN, Potosky AL, Legler JM, Warren JL. Development of a comorbidity index using physician claims data. *J Clin Epidemiol*. 2000; 53: 1258–67. Available: <http://www.ncbi.nlm.nih.gov/pubmed/11146273> PMID: [11146273](https://pubmed.ncbi.nlm.nih.gov/11146273/)
26. US Census Bureau. 2011–2015 Puerto Rico Community Survey 5-Year Estimates. 2016.
27. PORTELA M, SOMMERS BD. On the Outskirts of National Health Reform: A Comparative Assessment of Health Insurance and Access to Care in Puerto Rico and the United States. *Milbank Q*. 2015; 93: 584–608. <https://doi.org/10.1111/1468-0009.12138> PMID: [26350931](https://pubmed.ncbi.nlm.nih.gov/26350931/)
28. Sargent DJ, Wieand HS, Haller DG, Gray R, Benedetti JK, Buyse M, et al. Disease-free survival versus overall survival as a primary end point for adjuvant colon cancer studies: individual patient data from 20,898 patients on 18 randomized trials. *J Clin Oncol*. American Society of Clinical Oncology; 2005; 23: 8664–70. <https://doi.org/10.1200/JCO.2005.01.6071> PMID: [16260700](https://pubmed.ncbi.nlm.nih.gov/16260700/)
29. Booth CM, Nanji S, Wei X, Peng Y, Biagi JJ, Hanna TP, et al. Colon Cancer: A Population-Based Study. *J Natl Compr Canc Netw*. Harborside Press, LLC; 2016; 14: 47–56. <https://doi.org/10.6004/JNCCN.2016.0006> PMID: [26733554](https://pubmed.ncbi.nlm.nih.gov/26733554/)
30. Boland GM, Chang GJ, Haynes AB, Chiang Y-J, Chagpar R, Xing Y, et al. Association between adherence to National Comprehensive Cancer Network treatment guidelines and improved survival in patients with colon cancer. *Cancer*. NIH Public Access; 2013; 119: 1593–601. <https://doi.org/10.1002/ncr.27935> PMID: [23280510](https://pubmed.ncbi.nlm.nih.gov/23280510/)
31. Upadhyay S, Dahal S, Bhatt VR, Khanal N, Silberstein PT. Chemotherapy use in stage III colon cancer: a National Cancer Database analysis. *Ther Adv Med Oncol*. 2015; 7: 244–251. <https://doi.org/10.1177/1758834015587867> PMID: [26327922](https://pubmed.ncbi.nlm.nih.gov/26327922/)
32. McCleary NJ, Meyerhardt JA, Green E, Yothers G, de Gramont A, Van Cutsem E, et al. Impact of Age on the Efficacy of Newer Adjuvant Therapies in Patients With Stage II/III Colon Cancer: Findings From the ACCENT Database. *J Clin Oncol*. 2013; 31: 2600–2606. <https://doi.org/10.1200/JCO.2013.49.6638> PMID: [23733765](https://pubmed.ncbi.nlm.nih.gov/23733765/)
33. Hanna NN, Onukwugha E, Choti MA, Davidoff AJ, Zuckerman IH, Hsu VD, et al. Comparative analysis of various prognostic nodal factors, adjuvant chemotherapy and survival among stage III colon cancer patients over 65 years: an analysis using Surveillance, Epidemiology and End Results (SEER)-Medicare data. *Color Dis*. 2012; 14: 48–55. <https://doi.org/10.1111/j.1463-1318.2011.02545.x> PMID: [21689262](https://pubmed.ncbi.nlm.nih.gov/21689262/)
34. McGory ML, Zingmond DS, Sekeris E, Bastani R, Ko CY. A Patient's Race/Ethnicity Does Not Explain the Underuse of Appropriate Adjuvant Therapy in Colorectal Cancer. *Dis Colon Rectum*. 2006; 49: 319–329. <https://doi.org/10.1007/s10350-005-0283-6> PMID: [16475031](https://pubmed.ncbi.nlm.nih.gov/16475031/)
35. Ortiz-Ortiz KJ, Ramírez-García R, Cruz-Correa M, Ríos-González MY, Ortiz AP. Effects of type of health insurance coverage on colorectal cancer survival in Puerto Rico: a population-based study. *Goel*

- A, editor. PLoS One. Public Library of Science; 2014; 9: e96746. <https://doi.org/10.1371/journal.pone.0096746> PMID: 24796444
36. Ortiz-Ortiz KJ, Ortiz-Martínez de Andino JJ, Torres-Cintrón CR, Tirado-Gómez M, González-Falero A, Caballero-Varona D, et al. Effect of type of health insurance coverage on leukemia survival in adults in Puerto Rico. *P R Health Sci J*. 2014; 33: 132–5. Available: <http://www.ncbi.nlm.nih.gov/pubmed/25244883> PMID: 25244883
 37. Santiago-Casas Y, González-Rivera T, Castro-Santana L, Ríos G, Martínez D, Rodríguez V, et al. Impact of managed care health insurance system for indigent patients with rheumatoid arthritis in Puerto Rico. *Clin Rheumatol. NIH Public Access*; 2013; 32: 763–9. <https://doi.org/10.1007/s10067-013-2167-9> PMID: 23314687
 38. Rivera-Hernandez M, Leyva B, Keohane LM, Trivedi AN. Quality of Care for White and Hispanic Medicare Advantage Enrollees in the United States and Puerto Rico. *JAMA Intern Med. NIH Public Access*; 2016; 176: 787–94. <https://doi.org/10.1001/jamainternmed.2016.0267> PMID: 27111865
 39. Abrams TA, Brightly R, Mao J, Kirkner G, Meyerhardt JA, Schrag D, et al. Patterns of adjuvant chemotherapy use in a population-based cohort of patients with resected stage II or III colon cancer. *J Clin Oncol. American Society of Clinical Oncology*; 2011; 29: 3255–62. <https://doi.org/10.1200/JCO.2011.35.0058> PMID: 21768462
 40. Aizer AA, Chen M-H, McCarthy EP, Mendu ML, Koo S, Wilhite TJ, et al. Marital status and survival in patients with cancer. *J Clin Oncol*. 2013; 31: 3869–76. <https://doi.org/10.1200/JCO.2013.49.6489> PMID: 24062405
 41. Zhang Q-W, Lin X-L, Zhang C-H, Tang C-Y, Zhang X-T, Teng L-M, et al. The influence of marital status on the survival of patients with esophageal cancer: a population-based, propensity-matched study. *Oncotarget*. 2017; <https://doi.org/10.18632/oncotarget.19446> PMID: 28977943
 42. Inverso G, Mahal BA, Aizer AA, Donoff RB, Chau NG, Haddad RI. Marital status and head and neck cancer outcomes. *Cancer*. 2015; 121: 1273–1278. <https://doi.org/10.1002/cncr.29171> PMID: 25524565