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Multi-functional effects of a small-molecule STAT3 inhibitor on NASH and HCC in mice

Kwang Hwa Jung^{1,*}, Wonbeak Yoo^{1,*}, Heather L. Stevenson², Dipti Deshpande¹, Hong Shen¹, Mihai Gagea³, Suk-Young Yoo⁴, Jing Wang⁴, T. Kris Eckols⁵, Uddalak Bharadwaj⁵, David J. Tweardy^{1,5}, and Laura Beretta¹

¹Department of Molecular and Cellular Oncology, The University of Texas MD Anderson Cancer Center, Houston, USA

²Department of Pathology, University of Texas Medical Branch, Galveston, TX USA

³Department of Veterinary Medicine & Surgery, The University of Texas MD Anderson Cancer Center, Houston, TX

⁴Department of Bioinformatics and Computational Biology, The University of Texas MD Anderson Cancer Center, Houston, USA

⁵Department of Infectious Diseases, Infection Control, and Employee Health, The University of Texas MD Anderson Cancer Center, Houston, USA

Abstract

Purpose—The incidence of hepatocellular carcinoma (HCC) is increasing in the United States and liver cancer is the second leading cause of cancer-related mortality worldwide. Non-alcoholic steatohepatitis (NASH) is becoming an important risk for HCC and most patients with HCC have underlying liver cirrhosis and compromised liver function, which limit treatment options. Thus, novel therapeutic strategies to prevent or treat HCC in the context of NASH and cirrhosis are urgently needed.

Experimental Design—Constitutive activation of signal transducer and activator of transcription 3 (STAT3) is frequently detected in HCC tumors. STAT3 signaling plays a pivotal role in HCC survival, growth, angiogenesis and metastasis. We identified C188-9, a novel small-molecule STAT3 inhibitor using computer-aided rational drug design. In this study, we evaluated the therapeutic potential of C188-9 for HCC treatment and prevention.

Results—C188-9 showed antitumor activity *in vitro* in three HCC cell lines. In mice with hepatocyte-specific deletion of *Pten* (Hep*Pten-* mice),C188-9 treatment blocked HCC tumor growth, reduced tumor development, and reduced liver steatosis, inflammation and bile ductular reactions, resulting in improvement of the pathological lesions of NASH. Remarkably, C188-9 also greatly reduced liver injury in these mice as measured by serum AST and ALT levels. Analysis of gene expression showed that C188-9 treatment of Hep*Pten-* mice resulted in inhibition

Correspondence to: Laura Beretta, Ph.D., Department of Molecular and Cellular Oncology, The University of Texas MD Anderson Cancer Center, Rm S11.8336c 1515 Holcombe Boulevard, Houston, TX 77030 (lberetta@mdanderson.org). *Authorship note: The first two authors contributed equally to this work.

of signaling pathways downstream of STAT3, STAT1, TREM-1, and Toll-like receptors. In contrast, C188-9 treatment increased liver specification and differentiation gene pathways.

Conclusions—Our results suggest that C188-9 should be evaluated further for the treatment and/or prevention of HCC.

Keywords

STAT3; NASH; therapeutic and/or prevention effect of HCC

Introduction

Hepatocellular carcinoma (HCC) is the second most common cause of cancer-related deaths worldwide (1). Although the highest rates of liver cancer are found in certain areas of Asia and Africa, liver cancer incidence and mortality rates are increasing strikingly in western countries, including the United States (2, 3). Liver cirrhosis due to hepatitis B virus, hepatitis C virus, high alcohol consumption or non-alcoholic steatohepatitis (NASH) are the main risk factors associated with HCC;most patients with HCC have compromised liver function, which limits treatment options. HCC is a complex and highly heterogeneous disease with a large spectrum of genomic alterations and aberrant activation of cell signaling pathways (4-6). Currently, effective treatment options for HCC are limited. Surgical resection or transplantation represent the only potentially curative therapies for HCC, but most patients are diagnosed at an advanced stage and are not candidates for these approaches. Thus, there is a pressing need for the development of novel approaches to treat and prevent HCC (7, 8).

The pivotal role of signal transducer and activator of transcription 3 (STAT3) in cancer development and progression in many human cancers has led to intense efforts to identify small molecules and other strategies for targeting STAT3 (9-11). STAT3 is a transcription factor that regulates cell proliferation and survival as well as immune responses associated with cancer development and progression including HCC. Nearly 60% of human HCC exhibit activated nuclear STAT3 and STAT3 activation is associated with a poor prognosis (12, 13). The critical role of STAT3 as a regulator of liver cancer development and progression was demonstrated in mice (13, 14). STAT3 has also been linked to liver inflammation, injury and regeneration and to the activation of hepatic stellate cells (15-17).

In a drug development program involving virtual ligand screening, 2-D similarity screening, 3-D pharmacophore analysis, and SAR-based medicinal chemistry, we identified C188-9 as a potent small-molecule that targets the Src-homology (SH) 2 domain of STAT3 (18-21). C188-9 inhibited growth and survival of several cancer cell lines *in vitro*, including breast cancer (18), acute myeloid leukemia (19), head and neck squamous cell carcinoma (20) and non-small cell lung cancer (21). To test the effects of C188-9 on HCC and underlying liver disease, we herein used mice with hepatocyte-specific deletion of *Pten* (Hep*Pten*- mice). These mice present with hepatomegaly and develop liver disease marked by steatosis, inflammation, and fibrosis characteristic of NASH, which progresses to development of HCC (22-25). This is a highly relevant model for pre-clinical studies of HCC in the context of steatosis, fibrosis or NASH (26, 27).

Materials and Methods

Cell Culture and MTT Viability Assays

Human hepatoma cell lines Huh7 (ATCC), and PLC/PRF/5 and hepatoblastoma cell line HepG2 (ATCC) were grown in Dulbecco's modified Eagle's medium (DMEM) (Invitrogen) supplemented with 10% fetal bovine serum, 100 units/mL penicillin and 100 μ g/mL streptomycin. The hepatic epithelial cells THLE3 (ATCC) were grown and maintained in BEGM+ (Lonza/Clonetics Corporation, Walkersville) but cultured in complete DMEM when assays were performed. For cell viability assays, cells were treated in 96 well plates in medium \pm C188-9 (StemMed, Ltd.) for 48 hours and subjected to MTT assays. Briefly, cells were incubated with 0.5mg/ml of the MTT solution (Sigma) for 2hrs and cell viability was measured by optical density (OD) at 590 nm (SpectraMax Plus 384 Microplate Reader, Molecular Devices). Experiments were performed in triplicate and used for IC₅₀ calculation using GraphPad software.

Mice Treatment and MR Imaging

The study was approved by our Institutional Animal Care and Use Committee (IACUC). C57BL/6 mice carrying Pten conditional knockout alleles were crossed with an Albumin (Alb)-Cre-transgenic mouse. For this model, control animals are PtenloxP/loxP; Alb-Crewhile the experimental mice are *Pten*^{loxP/loxP}; Alb-Cre⁺. For *in vivo* treatment, C188-9 was dissolved in DMSO and hepatic Pten null mice (HepPten-mice) (11 month-old) received C188-9 (100mg/kg) or vehicle (DMSO) by intraperitoneal (IP) injection daily for 4 weeks. Each treatment group included 9-12 mice. In a separate prevention study, HepPten-mice (8 month-old) received C188-9 (50mg/kg) or DMSO by IP injection daily for 4 weeks. MR Imaging was performed at the beginning of treatment and repeated after 2 and 4 weeks, on a four-channel, 7T dedicated small animal scanner (Bruker Biospin MRI). For tumor detection, a rapid acquisition with relaxation enhancement (RARE) sequence was used in the coronal and axial planes with a 0.25 mm slice thickness and with the number of slices sufficient to cover the entire liver. For respiration monitoring, a pressure-sensitive pad was placed on the animal bed directly underneath the animal. The compression and decompression of the pad were measured and the generated signal was finally fed to the MRI scanner.

Liver Histopathology, Immunohistochemistry and Liver Function Assays

IACUC-endorsed euthanasia by CO_2 was performed followed by necropsy at which time, liver and tumor tissues were collected and snap-frozen in liquid nitrogen or fixed in 10% neutral buffered formalin and paraffin embedded (FFPE). The snap-frozen tissues were pulvarized for RNA or protein extraction. FFPE tissues were sectioned in 4 µm thick histologic sections that were stained with hematoxylin and eosin (H&E) or Masson's trichrome staining. Histopathological analysis was performed blindly by a liver pathologist. A NAFLD Activity Score (NAS) was described for scoring liver biopsies in patients with steatosis/steatohepatitis (28). In most cases of human NASH, the majority of the steatosis is of the macrovesicular type. While focal areas of microvesicular steatosis can be seen, this is not a common component of the steatosis in human disease. In contrast, some mouse models of NASH, including the Hep*Pten*- model, have mixed macrovesicular and microvesicular

steatosis. We therefore used a modified NAFLD activity score (mNAS) to include both macrovesicular and microvesicular steatosis grades, calculated as an unweighted sum of the grading scores of macrosteatosis (0-3), microsteatosis (0-3), grade of lobular inflammation (0-3), and presence of ballooning (0-2). Bile ductular reaction was assessed on a 1 to 4 scale. Blood samples were also taken at necropsy and processed for serum collection. Serum AST and ALT activities were determined using ACE AXCELTM clinical chemistry system (Diagnostic Technologies) according to the manufacturer's instructions. Standard controls were run before each determination, and the values obtained for the biochemical parameter were within the expected ranges. Immunohistochemical staining for ki67 was performed using vehicle- and C188-9-treated tumor slides. Slides were scanned and analyzed using Aperio ImageScope software. The proliferation index was determined by calculating the average percentage of ki67 tumor positive cells.

RNA Sequencing and Differentially Expressed Gene (DEG) Analysis

Total RNA was isolated from liver and tumors of the study mice. Following second-strand cDNA synthesis, end repair, adaptor ligation, and PCR amplification, the enriched cDNA libraries were sequenced using Illumina HiSeq 3000. Libraries were run using 50-base-pair single-end reads on Illumina HiSeq 3000 System. Sequence files were generated in FASTQ format, and reads were mapped to mouse genome mm10 and then aligned by TopHat2 (29). Gene expression levels were quantified using Cufflinks (version 1.0.3) in the FPKM unit (fragments per kilobase of exon per million fragments mapped) together with confidence intervals. Cufflinks ran in the default parameters except that the annotated gene set was supplied using the -G option. Subsequently, the edgeR (empirical analysis of digital gene expression data in R) tool was utilized to detect the differentially expressed genes (DEGs) after filtering out genes with counts per million (CPM) under 10 in all samples. CPM values were scaled by the total number of fragments. The multiple comparison P-values were adjusted by using Benjamini-Hochberg method, providing a P-value cutoff for significance controlled by the false discovery rate (FDR). DEGs were selected using the following criteria: FDR < 0.05 and fold change > 1.5. The heat map of DEGs was generated using Pearson correlation and Ward distance in R and CPM values. DEGs were further analyzed using the Ingenuity Pathway Analysis software (IPA, Ingenuity Systems; http:// www.ingenuity.com). IPA identifies putative networks, biological functions and canonical pathways overrepresented among the DEGs.

Luminex Assays

Cell and tissue lysates were plated in a 96-well filter plate pre-loaded with beads (Millipore) coupled to antibody against the indicated analytes and incubated overnight at 4°C. Beadbound analytes were measured using biotinylated detection antibody specific for a different epitope and streptavidin-phycoerythrin (streptavidin-PE) (30, 31). Data were collected and analyzed using the Bio-Plex suspension array system (Luminex 100 system, Bio-Rad Laboratories). GAPDH-normalized pY-STAT1 and pY-STAT3 values from the treated samples were compared to the untreated samples. Serum cytokine levels were measured using Luminex MAP assays (MILLIPLEX MAP Mouse Cytokine/Chemokine, MCYTOMAG-70K, Millipore) and 25 μ L of serum samples. The assay was performed on a Luminex 200 instrument (Luminex Corporation, Austin, TX) using Luminex xPONENT 3.1

software. Using the standard curves for the given cytokine or chemokine, we obtained absolute concentrations for the various experimental conditions for all analytes measured.

Quantitative PCR

To quantify selected mRNA levels, equal amounts of total RNA samples were submitted to reverse transcription and real-time PCR using specific primers listed in Supplementary Table S1. PCR amplifications of the respective genes were performed using iTaq SYBR Green Supermix (Bio-Rad) in CFX Connect Real-Time System (Bio-Rad). The Bio-Rad CFX Manager software (version 2.1) was used for the calculation of threshold cycles (Ct)-values and melting curve analysis of amplified DNA. Relative expression of the tested miRNAs and genes was calculated by 2^{-} Ct method.

Statistical Analysis

Statistical difference between each group was assessed by the non-parametric Mann-Whitney test using GraphpadTM 6.0 software. The high-throughput sequencing data were analyzed using two sample t-test. A value of p<0.05 was considered significant.

Results

C188-9 Reduced Hepatoma Cell Viability

To evaluate the potential of C188-9 as a therapeutic strategy for HCC, we first examined the effect of C188-9, a potent, non-toxic, inhibitor of STAT3, on the viability of the hepatoma cell lines Huh7 and PLC/PRF/5 and the hepatoblast-derived cells HepG2. All three cell lines had increased activated STAT3 (>2-fold), when compared to the normal hepatic epithelial cells THLE3 (Figure 1A). We then treated them with C188-9 at serial concentrations ranging from 0.19 to 100 μ M, for 48 hours under anchorage-dependent condition. C188-9 reduced cell viability of all three cell lines with IC₅₀ values of 11.27, 10.19 and 11.83 μ M for Huh7, PLC/PRF/5 and HepG2, respectively (Figure 1B). Finally, we confirmed the inhibition of pSTAT3 levels in these cells upon C188-9 treatment. At 10 μ M, pSTAT3 levels were reduced by 25.4%, 25.1% and 13.2% and at 30 μ M, pSTAT3 levels were reduced by 43.9%, 66.5% and 81.1%, in Huh7, PLC/PRF/5 and HepaG2 cells respectively (Figure 1C).

C188-9 Treatment Resulted in Tumor Growth Arrest in mice with hepatic deletion of Pten

We then evaluated the effect of targeting STAT3 *in vivo*, using the hepatocyte-specific *Pten* deletion (Hep*Pten-*) mouse model. We treated 11 month-old Hep*Pten-* male mice for 4 weeks, with daily intraperitoneal injections of C188-9 or vehicle. All treated mice had developed tumors prior to treatment as confirmed by MRI. MRI imaging showed continuous tumor growth increase in vehicle-treated mice, but arrest of tumor growth in C188-9-treated mice (Figure 2A). Indeed, while the average tumor growth rate in the vehicle group was 2.06 fold over 14 days, the average tumor growth rate was 1.16-fold in the C188-9 treated group (p<0.001) (Figure 2B). The tumor growth arrest induced by C188-9 treatment was further confirmed by comparing the average tumor volume determined by MRI in both groups prior to treatment (119.02mm³ and 92.64mm³ for vehicle- and C188-9-treated mice, respectively; p=ns) and at the end of treatment (249.02mm³ and 106.48mm³ for vehicle- and C188-9-treated mice, respectively; p=0.04) (Figure 2C). Necropsy results showed that while 70% of

the tumors collected at time of necropsy from the vehicle group were >100mm³, only 24.85% of the tumors in C188-9 treated mice were >100mm³ (p=0.02) (Figure 2D). Necropsy results also showed a significant reduction of liver to body weight ratio in C188-9-treated group compared to vehicle-treated mice (p=0.02), further supporting the reduction of tumor growth upon C188-9 treatment (Figure 2E). Finally, blinded analysis of all tumors by a pathologist showed that C188-9 treatment resulted in decreased percentage of carcinomas upon C188-9 treatment (from 92.87% to 86.67%) and concomitant increased percentage of adenomas (from 7.13% to 13.33%), indicating that tumor malignancy progression was inhibited upon C188-9 treatment (Figure 2F).

C188-9 Treatment Improves NASH and Liver Function

Since HepPten- mice develop NASH prior to HCC, we also evaluated the effect of C188-9 treatment on this underlying liver pathology. The liver parenchyma in the vehicle-treated mice showed diffuse mixed macro- and microsteatosis extending from zones 1 to 3 (Figure 3A, panels a-b). In contrast, the C188-9-treated mice showed minimal steatosis, with only a few small foci of steatosis observed in the centrilobular area (Figure 3A, arrows in panel c). As shown in Figure 3B, steatosis scoring further confirmed that C188-9 treatment resulted in decrease in hepatic steatosis for both macrovesicular and microvesicular steatosis (2.7 ± 0.2) vs. 0.8 ± 0.2 and 2.7 ± 0.2 vs. 0.3 ± 0.2 , respectively, p<0.001 for both). In line with the effect of C188-9 onreducing the steatosis, ballooning of hepatocytes and inflammation were also significantly decreased in mice treated with C188-9 compared to vehicle-treated mice (1.8±0.2 vs. 0.4±0.2; p=0.002 and 2 vs.1±0.3; p=0.016). Using a modified NAFLD activity score (mNAS) to include both macrovesicular and microvesicular steatosis grades, we observed an overall inhibition of NASH and reversion to a phenotype with milder pathology upon C188-9 treatment (9.2±0.3 vs. 2.4±0.7; p<0.001) (Figure 3B). The vehicle-treated mice also showed frequent areas of prominent bile ductular reaction with peribiliary neutrophilic inflammation (Figure 3A, panels a-b). In contrast, most of the C188-9-treated mice appeared similar to untreated control mice, with the exception of rare focal bile ductular reactions, which had less associated inflammation and were significantly smaller in size when compared to those observed in the vehicle group (Figure 3A, panels c-d). The bile ductular reaction scores were significantly reduced upon C188-9 treatment (2 vs. 0.6±0.8; p=0.004) (Figure 3C). In addition, C188-9 treatment significantly reduced fibrosis in these areas, measured by Masson's trichrome staining, from 8.77% to 3.07% (p<0.001) (Figure 3D) (Figure 3A, panels a-d). Most remarkably and in agreement with NASH improvement, serum biochemical analysis showed a significant reduction of hepatocellular injury upon C188-9 treatment as ALT and AST levels decreased upon C188-9 treatment, from 434IU/L to 165.43IU/L (p=0.003) and from 350 IU/L to 182.57IU/L (p=0.004), respectively (Figure 3E), reaching near to normal values.

C188-9 Treatment Reduces Tumor Development in vivo

Because of the effects of C188-9 on NASH severity, we evaluated the effect of C188-9 on tumor development in the Hep*Pten*-mice. Mice without tumors as determined by MRI, were treated with C188-9 or vehicle and tumor development was monitored over a 4-week period by MRI. At the end of treatment, the average tumor size $(6.24\pm3.02 \text{ mm}^3 \text{ vs.} 25.25\pm10.6 \text{ mm}^3; \text{ p}=0.05)$ and average tumor burden $(9.36\pm5.67 \text{ mm}^3 \text{ vs.} 38.18\pm17.76 \text{ mm}^3)$

were lower in C188.9 treated mice compared to the vehicle group (Figure 4), demonstrating that treatment of C188-9 reduces tumor development in Hep*Pten*- mice.

Gene Expression Changes upon C188-9 treatment in Tumors and Adjacent Liver in Hep*Pten*- mice

To identify the mechanism of C188-9 effect *in vivo*, we measured mRNA expression using RNA-sequencing in both liver and tumors from C188-9 treated group and vehicle group. Using p value<0.05 and fold change >1.5, we identified 606 up-regulated and 870 down-regulated genes in liver and 478 up-regulated and 172 down-regulated genes in tumors, upon C188-9 treatment. Among these genes, 144 genes were commonly up-regulated and 96 genes were commonly down-regulated in both liver and tumor (Figure 5A). Hierarchical clustering based on these differentially expressed genes (DEGs) distinguished four main clusters corresponding to vehicle liver, vehicle tumor, C188-9 tumor and C188-9 liver, with the widest separation being between vehicle liver and C188-9 liver. The clustering analysis demonstrated that all mice responded similarly to C188-9 treatment and that the effects on gene expression were largely specific to the liver or the tumor, with the largest effect being observed in liver (Figure 5B).

We performed Ingenuity Pathway Analysis (IPA) for all DEGs significantly down-regulated or up-regulated or in liver, in tumor, or in both. The top biological functions and canonical pathways that were down-regulated by C188-9 were: TREM1 signaling, Role of pattern recognition receptors in recognition of bacteria and viruses in liver; Cellular growth and Cell death and survival in tumor; Mitotic role of polo-like kinase, and interferon signaling in both liver and tumor (Supplementary Table S2). IPA analysis identified STAT3 as the main upstream regulator of the downregulated DEGs. Inhibition of STAT3 activity upon C188-9 treatment was confirmed by measuring pY-STAT3 levels, pY-STAT3 levels were increased in tumors compared to liver in the vehicle group (p=0.02) confirming the activation of STAT3 in HCC in HepPten- mice. C188-9 treatment resulted in a reduction of pY-STAT3 levels in tumors to levels similar to those observed in vehicle-treated tumors (p=0.014) (Supplementary Figure S1). TGF β 1 and IFN γ were also identified as upstream regulators in liver. In agreement, IFN γ -inducible genes and toll like receptors were overrepresented among the downregulated DEGs. A large number of pro-fibrotic and tumor promoter genes were also found downregulated in liver and tumor (Supplementary Table S2). The effect on IFN-inducible genes suggested that STAT1 activity could also be inhibited upon C188-9 treatment in HepPten- tumors. Indeed, pY-STAT1 levels were decreased upon C188-9 treatment in tumors in HepPten-mice (p=0.002) (Supplementary Figure S1). The top biological functions and canonical pathways that were up-regulated by C188-9 were: FXR/RXR activation, Estrogen biosynthesis and Cholesterol biosynthesis in liver; Granulocyte adhesion in tumor; LXR/RXR activation in both liver and tumor (Supplementary Table S3). Interestingly, Hnf4a, a major hepatocytic differentiation transcription factor, was identified as the main upstream regulator in liver, and other liver specification and differentiation genes were also upregulated such as Acox2 in liver and Hnf3a and aldolase C in tumor. A large number of cytochrome P450 genes were upregulated in both liver and tumor. Other genes associated with inhibition of fibrosis and tumor suppression in liver were also identified (Supplementary Table 3). We also randomly

selected 5 genes from the list of DEGs, for validation by qRT-PCR. RNA sequencing data were validated by qRT-PCR for the downregulated genes CENPF, CD34, and HMMR and the upregulated genesCD163 and CYP1A1 (Supplementary FigureS2).

C188-9 Treatment Inhibits Cell Proliferation *in vivo* and is Associated with Reduction in Circulating Inflammatory Chemokines

Gene expression analysis identified ki67 as a gene commonly downregulated in both liver and tumor upon C188-9 treatment in Hep*Pten*-mice. Sections from vehicle and C188-9 treated tumor were stained with ki67 antibody, and the percentages of ki67-positive cells were calculated. As shown in Fig. 6A, C188-9 treated group exhibited less staining for ki67, when compared with the vehicle treated group (15.5 ± 1.9 vs. 8.4 ± 0.7 ; p<0.001). Because inflammation was reduced based on histology analysis and because several inflammatory cytokines and chemokines were found modulated by C188-9 in gene expression analysis, we measured a panel of cytokines/chemokines in serum collected from the mice treated with either vehicle or C188-9. CXCL9 and CXCL10 levels were found to be dramatically decreased in C188-9-treated mice (364.6 ± 75.2 pg/ml vs. 159.9 ± 30.6 pg/ml, p=0.01 and455.1 \pm 66.7 pg/ml vs. 234.0 \pm 87.7 pg/ml, p=0.05, respectively) (Figure6B).

Discussion

While causal roles for STAT3 in hepatic tumorigenesis have been proposed (32), the importance of STAT3 in HCC preconditions, such as liver fibrosis or NASH, has received far less attention, a side from two studies suggesting that STAT3 inhibition mediates the antifibrotic effect of sorafenib (33) and that STAT3 signaling is activated in NAFLD (34). Herein, we used a clinically relevant model of NASH-associated HCC to evaluate the therapeutic potential of C188-9, a small molecule inhibitor of STAT3. C188-9 binds to the SH2 domain of STAT3 and blocks its recruitment to the kinase-containing receptor complexes, its tyrosine phosphorylation, and its homodimerization. STAT3 activation can lead to tumorigenesis in diverse type of cancers (18-21). We demonstrated that C188-9 had beneficial effects on both hepatic tumor and NASH in liver, with blockage of tumor growth and progression, prevention of tumor development, reduction of steatosis, inflammation and hepatocellular ballooning, resulting in NASH reversion, and reduction of bile ductular reaction and associated fibrosis. Evaluation of C188-9 treatment on additional models of HCC and NASH will be needed to demonstrate that the effects reported in this study are independent of hepatic PTEN loss. Future studies aimed at further characterizing STAT3 activation in human NASH-HCCs are also warranted.

Genomic analysis identified a main inhibitory effect on immune response including downregulation of TREM-1 signaling and reduced expression of Toll-like receptors (TLRs) and interferon-inducible genes. It was previously reported that the proinflammatory myeloid cell receptor TREM-1 controls development of HCC (35) and that TREM-1 expression in hepatic stellate cells is a prognostic marker for hepatitis B-related HCC (36). Toll-like receptors are pattern recognition receptors that sense and respond to microbial pathogens and damage-associated molecular patterns by facilitating inflammation (37). TLR are present on host immune cells, especially macrophages and dendritic cells, but also on

epithelial cells (38). STAT3 is an important regulator of TLR2 and TLR4 activity and a mediator of TLR4 and TLR9 signaling (39-42). TLR3/4/9-mediated inflammatory responses are an important contributor of chronic inflammation-associated HCC (43). The reduction of TLRs in C188-9 treated HepPten- mice may contribute to the concomitant reduction in inflammation measured by histology and in reduced levels of inflammatory cytokines in serum of these mice and in the overall reduction of NASH severity. TLR2, TLR4 and TLR9 has been implicated in the development of ASH, NASH, liver fibrosis, and HCC and increased TLRs is involved in increased inflammation in these chronic liver disease (44-47. Whether the observed reduction in TLRs in liver and tumor upon C188-9 treatment corresponds to a reduction in immune cell infiltration or a reduced expression on epithelial cells or both requires further evaluation. A comprehensive immune profiling follow-up study aimed at identifying the immune cell populations affected by C188-9 treatment in the Hep*Pten*- model would be highly relevant. Such populations include Th2 and Th17 cells: STAT3 drives development of Th17 cells and cytokine production by Th2 and Th17 cells and we have recently reported that C188-9 prevents the accumulation of Th2 and Th17 cells in a murine asthma model (48).

In contrast, the Farnesoid X Receptor (FXR) signaling pathway was activated upon C188-9 treatment. FXR is highly expressed in liver and has an important role in protecting against HCC by inhibiting cell growth and inducing cell cycle arrest at G1 phase (49). Genomic analysis also identified HNF4a, a major hepatocytic transcription factor, as the main upstream regulator in liver and other liver specification genes upregulated in liver and tumor, suggesting that C188-9 induced hepatocytic differentiation in both liver and tumor. We recently showed that induction of hepatocytic differentiation in the Hep*Pten*-model inhibits tumor growth, reduced liver fibrosis and prevented tumor development (7). Therefore, the improved differentiation status of hepatic cells may contribute to the therapeutic effect of C188-9 treatment on tumor and liver and the observed improvement in liver injury tests.

C188-9 has been shown to have inhibitory effects on STAT1 in prior studies involving head and neck squamous cell carcinoma (20). While we identified STAT3 as the main upstream regulator targeted by C188-9 treatment in both liver and tumor, the significant overrepresentation of interferon-inducible genes among the C188-9-dependent downregulated genes, suggested that STAT1 activity was also inhibited. C188-9-induced inhibition of STAT1 activity was indeed confirmed in both liver and hepatic tumors. The differential contribution of inhibition of STAT1vs. STAT3 to the C188-9 treatment effects in Hep*Pten*-model will be further investigated in follow-up studies.

In conclusion, this study identified the novel small-molecule C188-9 as a highly promising therapeutic drug for treatment and prevention of HCC. C188-9 showed not only preventive and antitumor activity but also significant reduced pathologic lesions of NASH and hepatocellular injury, with an overall improvement of liver functions. This dual effect of C188-9 may be highly beneficial to HCC patients with NASH or liver cirrhosis. A Phase 1 trial will be initiated later this year to examine the potential of C188-9 treatment in HCC patients.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Translational Relevance

The incidence of hepatocellular carcinoma (HCC) is increasing in the United States and is the second leading cause of cancer-related mortality worldwide. Non-alcoholic steatohepatitis (NASH) is becoming an important risk for HCC and most patients with HCC have underlying liver cirrhosis and compromised liver function, which limit treatment options. Thus, novel therapeutic strategies to prevent or treat HCC in the context of NASH and cirrhosis are urgently needed. This study identified the novel small-molecule C188-9 as a highly promising therapeutic drug for treatment and prevention of HCC. C188-9 showed not only preventive and antitumor activity but also significant reduced pathologic lesions of NASH and hepatocellular injury, with an overall improvement of liver functions. This dual effect of C188-9 may be highly beneficial to HCC patients with NASH or liver cirrhosis. A Phase 1 trial will be initiated later this year to examine the potential of C188-9 treatment in HCC patients.





(A) Lysates prepared from asynchronous cultures of Huh7, PLC/PRF/5, HepG2 and THLE3 cells were examined for levels of pSTAT3 and GAPDH by luminex assay. GAPDH-normalized pSTAT3 levels of replicate experiments (×100) are shown in the Y-axis. (B) Huh7, PLC/PRF/5 and HepG2 cell lines were treated with C188-9 at the concentrations indicated for 48 h under anchorage-dependent conditions. Cell viability was calculated as a percentage of the DMSO-treated control wells. The half-maximal inhibitory concentration (IC₅₀) values were derived after plotting viability values on a logarithmic curve. (C) Lysates prepared from Huh7, PLC/PRF/5 and HepG2 cells untreated or treated with 10 and 30 μ M of C188-9 were subjected to luminex-based detection of pSTAT3 and GAPDH levels. The GAPDH-normalized pSTAT3 levels of each treatment were expressed as a percent of untreated cells. Data shown are representative results of triplicate experiments for each cell line.





(A) Liver of mice from vehicle- and C188-9-treated groups were imaged with MRI to measure tumor growth during treatment. Representative tumors detected by MRI before treatment and at the end of treatment, are indicated by yellow arrows. (B) Tumor growth expressed as fold change over 2 weeks of treatment, in vehicle-treated and C188-9-treated groups as determined by MRI. (C) Tumor volume before and after treatment as determined by MRI. (D) Tumor size distribution in each treatment group. The tumors are separated into

100 mm³ or > 100 mm³. Average percentages of tumors are represented on the Y-axis and tumor size categories on the X-axis. (E) Liver-to-body weight ratio at the end of treatment. For panels B to E, data are presented as means \pm SEM (Mann-Whitney test). (F) Pie charts representing the overall tumor histology distribution within the two treatment groups.



Figure 3. Effect of C188-9 on hepatic histopathology and liver function in experimental mice (A) Representative images of H&E stained liver sections from vehicle- and C188-9-treated mice are shown (scale Bars, 200 µm). The liver parenchyma in the vehicle-treated mice showed nearly diffuse mixed macrovesicular and microvesicular steatosis affecting all zones of hepatic lobules (from zones 1 to 3) (a-b). In contrast, the livers from C188-9-treated mice showed minimal steatosis, with only a few small foci of perivenular steatosis in the centrilobular zone (c, arrows). The livers of vehicle-treated mice also showed frequent areas of prominent bile ductular reaction with peribiliary neutrophilic inflammation (a-b, arrow heads). Most livers of C188-9-treated mice appeared similar to untreated control mice (data not shown), with the exception of rare focal bile ductular reactions, which had less associated inflammation and were much smaller in size when compared to those observed in the vehicle group (c-d, arrowheads). Vehicle-treated mouse livers also had increased peribiliary fibrosis (a, inset) when compared to those from C188-9-treated mice (d, inset), which was confirmed by Masson's trichrome stains. (B) Steatosis was individually scored by macrosteatosis and microsteatosis (0 for <5%, 1 for 5-33%, 2 for 33-66%, and 3 for more than 66%). Ballooning score was 0 for no ballooned cells per field, 1 for few, and 2 for many. Inflammation was graded by overall assessment of all inflammatory foci (0 for no foci, 1 for <2, 2 for 2-4 foci, and 3 for >4 foci). Modified NAS is the sum of marcrosteatosis, microsteatosis, inflammation, and ballooning scores. (C) Bile ductural reaction was assessed on a 1 to 4 scale. (D) Liver fibrosis was measured by Masson's Trichrome staining. The fibrosis was quantified by determining the percentage of the positive staining area (blue staining) out of the whole liver tissue area. (E) Serum alanine transaminase (ALT) and aspartate aminotransferase (AST) levels were measured in the

serum of vehicle-treated and C188-9-treated mice. For all panels, values represent mean \pm SEM (Mann Whitney test).



Figure 4. Effects of C188-9 on tumor prevention in vivo

(A) Average tumor volume and (B) average tumor burden in vehicle- and C188-9 treated Hep*Pten*-mice, over the 4-week treatment. Tumor volume was determined using MRI. Data are represented as mean \pm SEM (Mann Whitney test).



Figure 5. Clustering of differentially expression genes

(A) Venn diagrams showing up-regulated and down-regulated genes inC188-9 vs. vehicletreated liver and in C188-9 vs. vehicle-treated tumors. The diagram shows the number of genes identified in each group following the criteria described in the Methods section. (B) Hierarchical clustering and heat map of 1,868 differentially expressed genes in samples from four groups (vehicle livers, vehicle tumors, C188-9 livers, and C188-9 tumors). Green color indicates strength of C188-9-induced down-regulation and red indicates up-regulation.



Figure 6. Effects of C188-9 treatment on tumor cell proliferation and chemokine levels in serum In both vehicle and C188-9-treated mice, ki67 immunohistochemical (IHC) staining was used to evaluate the amount of tumor cell proliferation. (A) Representative sections are shown. Scale Bars, 200 μ m. (B) Quantitation of IHC-positive staining for ki67 expressed as percentage of positive tumor cells. (C) Serum levels of CXCL9 and CXCL10 in vehicle- and C188-9-treated Hep*Pten*-mice. Values represent mean \pm SEM (Mann-Whitney test).