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Nanotechnology Based Theranostic Approaches in Alzheimer's Disease Management: Current Status and Future Perspective

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Abstract

Background—Alzheimer's disease (AD), a cognitive dysfunction/dementia state amongst the elders is characterized by irreversible neurodegeneration due to varied pathophysiology. Up till now, anti-AD drugs having different pharmacology have been developed and used in clinic. Yet,

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these medications are not curative and only lowering the AD associated symptoms. Improvement in treatment outcome required drug targeting across the blood-brain barrier (BBB) to the central nervous system (CNS) in optimal therapeutic concentration. Nanotechnology based diagnostic tools, drug carriers and theranostics offer highly sensitive molecular detection, effective drug targeting and their combination. Over the past decade, significant works have been done in this area and we have seen very remarkable outcome in AD therapy. Various nanoparticles from organic and inorganic nanomaterial category have successfully been investigated against AD.

Conclusion—This paper discussed the role of nanoparticles in early detection of AD, effective drug targeting to brain and theranostic (diagnosis and therapy) approaches in AD's management.

Keywords

Alzheimer's disease; blood brain barrier; diagnosis; theranostic; nanoparticles; nanomedicines

1. INTRODUCTION

Alzheimer's disease (AD) is the most disastrous neurodegenerative disorders, a frequent type of dementia which is characterized by learning and memory impairment among old age people. AD accounts between 60–80% of reported dementia cases. According to World Alzheimer Report 2014, 44 million people having dementia worldwide are projected to continue rising to approximately double and triple by 2030 and 2050, respectively [1, 2]. Brain of AD patients exhibits certain distinct neuropathological characteristics, such as deterioration of cholinergic neurons of the basal forebrain, extracellular amyloid beta (A β) containing plaques of abnormal protein and intracellular neurofibrillary tangles made up of abnormally phosphorylated tau protein [3]. Moreover, during advanced stage there is the occurrence of clear visibility of strong atrophy of cerebral cortex and reduction of cortical neurons [3]. The most commonly used hypothesis to describe the pathophysiology of AD is amyloid Cascade Hypothesis saying that the A β aggregation is the major contributor leading to progressive neurodegeneration in AD. However, the drugs developed targeting this factor have not gained much success in the AD therapy. Despite the substantial medical need and great multidisciplinary team research in biomedical and pharmaceutical sector, no effective curative therapy has been developed and therefore the pharmacotherapy in AD remains symptomatic. So far the anti-AD drugs in clinic and in clinical studies have majorly central nervous system (CNS) as the target site of action. However, due to their physicochemical nature and the protective barrier called as blood-brain barrier (BBB), these drugs failed to cross and or not in pharmacologically significant concentration in CNS. BBB is a gatekeeper of the brain towards exogenous materials that maintains the chemical composition of the neuronal "milieu" for appropriate performance of neuronal circuits and synaptic transmission. This barrier is the major interface between the blood and the brain and is formed at the level of the endothelial cells of the cerebral capillaries. BBB is the most significant issue for the design and development of new drugs for the CNS. Usually, pharmaceuticals comprise mainly small molecules which do not cross the BBB [4]. During the last two decades, various attempts have been made on this essential issue by designing different techniques that aid drug transits across the BBB.

Currently, numerous methodologies for bypassing the BBB are being tested, including nanotechnology that has shown promising outcome in delivering their payload to the target CNS area. Nanotechnology based approaches have given the opportunity not only for delivery of drugs but also of imaging agents. Smartly designed nanoparticles (NPs) can themselves be used in AD diagnosis in addition to therapy (called as self theranostics). Nanotechnology based strategies and approaches have gained much attention because of good prospect of surmounting the limitations inherent to BBB passage. Nanotechnology based theranostics offer a combination of highly sensitive molecular detection and effective drug targeting which can improve AD therapy consequently delaying the memory loss and increasing the life expectancy. Nanoparticles of organic and inorganic nature have successfully been investigated against AD as theranostics [5–7]. This paper discusses the role of NPs in early detection of AD, effective drug targeting to brain and theranostic (diagnosis and therapy) approaches in AD management.

2. PATHOPHYSIOLOGY AND DRUG DELIVERY CHALLENGES IN AD

2.1. Pathophysiology of AD

The pathophysiology of AD can be linked to damage and dead neurons, originated in the hippocampus area of the brain (which controls learning and memory functions) followed by atrophy of cerebral cortex that ultimately affects the whole brain [8]. There are many theories yet the most acceptable theory describing the pathology of AD is Amyloid beta ($A\beta$) protein based hypothesis [8]. $A\beta$ is a short peptide that is basically an atypical proteolytic byproduct of the transmembrane amyloid precursor protein. Though the role of $A\beta$ is not clear it is thought to be involved in neuronal development. $A\beta$ monomers contain short regions of beta sheet at significantly high concentration; they undergo a vivid alteration in conformation to form a beta sheet-rich tertiary structure that accumulates to develop amyloid fibrils. These fibrils get deposited on outer surface of the neurons in compact form called as *senile or neuritic plaques*. In less compact fibrils they accumulate as *diffusive plaques*, and occasionally inside the walls of blood vessels in the brain in a condition known as amyloid angiopathy or congophilic angiopathy. Moreover, unusual accumulation of the tau proteins, a microtubule related protein is also observed in neurons of AD patients. Tau protein also stabilizes the microtubules in the cell cytoskeleton. It is usually regulated by phosphorylation just like most of the other microtubule- associated proteins.

Hyperphosphorylated tau (P-tau) aggregates as paired helical filaments which in turn accumulates into masses within the nerve cell bodies as neurofibrillary tangles and as dystrophic neurites associated with $A\beta$ plaques [9]. Additionally, alterations in cerebrospinal fluid levels of $A\beta$, tau, and P-tau are also observed in AD patient. The exact mechanism that facilitates the development of senile plaques and neurofibrillary tangles remains unclear. Senile plaques and neurofibrillary tangles elicit the injury and death of neurons and as a result memory loss and behavioural symptomatic alteration appear. Along with these above factors, abnormal release of neurotransmitters such as glutamate is also considered as the contributor to neuronal death and inflammation in AD [10]. This complex cascade involving neuroinflammation leads to AD symptoms and pathology. In recent time, significant pathological and clinical data has shown immunological alteration associated with AD,

including improved concentrations of pro-inflammatory cytokine in the blood and CSF [11]. Whether these alterations are a reason or consequence of AD remains unclear, however inflammation within the brain, including improved reactivity of the microglia towards A β deposits, has been involved in the progression of AD.

2.2. Barriers of Drug Delivery in AD

Brain is the most critical organ of the body having many protective barriers that have been widely investigated to discover different methods for therapeutic drugs and drug delivery systems to cross these barriers for delivery into the CNS intended for diagnosis and treatment of neurodegenerative diseases. The most significant issue for the effective therapeutic drug delivery to the CNS is BBB. Briefly, BBB is a dynamic interface which is composed of capillary endothelial cells which are extremely closely attached together by tight intercellular junctions showing high trans-endothelial electrical resistance in contrast to the other tissues [12]. It divides the brain from systemic circulation and is the main route for drugs to the CNS. The main role of the BBB is to supplement the brain with nutrients, make ionic homeostasis for neuronal functions and protect it from poisonous or toxic substances *via* selective transport systems. It restricts the paracellular diffusion of any molecule or drug [13]. The blood-CSF is an additional barrier which is situated at the choroid plexus and runs in the subarachnoid space enclosing the brain separating the blood from cerebrospinal fluid [14]. All through, few regions in the CNS lack BBB but these have microvessels similar to the periphery. These regions are all together called as circumventricular organs (CVOs) and are composed of the choroid plexus, median eminence, *etc.* The capillaries in circumventricular organs are perforated which permits the free movement of solutes between the blood and the surrounding interstitial fluid [15]. Furthermore, CNS shows functional barriers such as influx and efflux transporter which cause the inclusion and exclusion of xenobiotic into and out of the CNS [16]. Enzymes in the brain also work as a barrier to drug delivery by degrading them and therefore confining their transit to the CNS. This is a general consideration that for easily crossing the BBB by passive diffusion, neurotherapeutics should be lipid soluble, have a relatively small molecular weight of less than 500 Da, have partition coefficient (pKa) between 0.5 and 6.0, and should be either neutral or generally neutral (*i.e.* uncharged) at physiological pH [17]. However, many exceptions of this generalized rule have been seen in recent studies. For example, large molecule like CINC-1 can cross the BBB through transmembrane diffusion whereas fairly lipophilic molecules may not be able to do so at significant concentration [27]. Molecules having polar surface area of more than 80 Å, high H-bonding affinity, and molecules with highly branched chemical structure are poor candidates to cross the BBB [15]. Therefore, while designing the potential CNS drugs or drug delivery systems for the early detection and management of AD, it is very important to take these considerations into account. Further, the occurrence of irreversible neuronal injury can be prevented by early diagnosis which would produce opportunities to treat patients at risk of AD development. Concerning the pharmacotherapy using NPs in AD, some approaches have aimed to encapsulate various types of neurotherapeutics within NPs for targeted delivery to the CNS. Other approaches focused on development of NPs to resist the toxicity of amyloid clusters by encouraging their systemic clearance or by changing their aggregation kinetics in the brain and in the blood. In fact, the

level of A β can be reduced in the brain by the “sink effect” *i.e.* by using peripheral treatment with neurotherapeutics that have significantly high affinity for A β .

Surface engineered/targeted NPs show significantly high affinity for A β , where sequestered plasma A β is directed to hepatic and splenic macrophages for destruction [18]. This technique can significantly prevent brain amyloidosis. Fig. (1) illustrates the BBB; the obstacle in drug/biological delivery to the brain and how nanoparticles can facilitate the payload transport and targeting into the brain. Successful AD management requires early and accurate diagnosis followed by better drug targeting to CNS for effective medication. Therefore, to address these challenges the ongoing research draws attention towards theranostic nanomedicines based approaches for early detection and treatment of AD.

3. NANOTECHNOLOGY BASED THERANOSTICS IN AD

At present, diagnosis and drug therapy of AD are mostly carried out discretely. A personalized and efficient approach can be accomplished by simultaneous or combined approach for diagnosis and treatment in one. It will fasten the therapy with controlled monitoring on the progress of treatment of complex diseases like AD. Theranostic term was coined for agents having both therapeutic and diagnostic properties [19]. Theranostic nanocarriers can be developed from variety of organic and inorganic nanomaterials like polymeric nanoparticles, nanocapsules, nanospheres, micelles, emulsions, liposomes, quantum dots (QD), dendrimers, fullerenes and carbon nanotubes (CNT). Research over the decade in multidisciplinary nanotechnology has made the outline that can be used in designing of theranostic nanocarriers capable of crossing the BBB, and having therapeutic as well as diagnostic characteristics [18]. The nanoparticles investigated for diagnosis, therapeutics delivery and as theranostics in AD are summarized in Table 1, 2 and 3 respectively.

3.1. Nanotechnology in Diagnosis of AD

The promise for early diagnosis of AD is the current focus in developing NPs for imaging and molecular detection of biomarkers. Highly potent signal transduction can be achieved with nanotechnology that may help in early diagnosis of AD. This potential application of nanotechnology in imaging/detection is primarily based on the physical (magnetic, optical, or electrical), chemical and/or biological quality of smartly designed nanoparticles [20]. Conventionally, the soluble bio-markers of AD can be detected by two general approaches. The first is based on determining the entire tau protein or A β concentration in CSF or in plasma [21]. This approach has led to sometimes unconvincing result as it is inhibited by overlap of such biological marker in normal and abnormal subjects [22]. In the second approach, only the suspected pathogenic biomarkers are targeted for instance phosphorylated tau protein, cleaved tau protein or A β derived diffusible ligands (ADDL) [23]. Though this approach leads to more decisive results, such pathogenic markers cannot be measured precisely with conventional ELISA or western blotting assays as their concentrations in CSF are quite low in the early stages of the AD [24].

3.1.1. In-Vitro Diagnostics

3.1.1.1. Nanoparticle Conjugates: DNA-NPs complexes are capable of detecting the protein biomarkers at a very low molar concentration (even at the level of 10–18 moles per liter) [25]. This technique of detection (termed as Bio-barcode technique) takes advantage of Gold NPs tagged targeted antibody for specific protein [25]. This technique has shown satisfactory results in detection of ADDL in CSF of *in vitro* diagnosis of AD [25].

3.1.1.2. Localized Surface Plasmon Resonance Based Nanosensor (LSPR): The surface plasmon resonance (SPR) is a state of resonant and collective oscillation of valence electrons in solid material upon irradiation by incident light. The absorption and emission of photon take place with similar frequency in all directions [26]. The unique phenomena of SPR in some inorganic NPs make them valuable for imaging and theranostic application in various conditions including AD. Based on SPR effect, recently many metallic NPs based ultra-sensitive and economical techniques have been established for detection of AD's biomarkers such as ADDL [27]. Gold nanoparticles (GNPs) and triangular silver nanoparticles (AgNPs) have been successfully studied as LSPR in early and sensitive diagnosis of AD. GNPs, based on LSPR provide opportunity to analyse the A β expression level. Similarly, triangular silver nanoparticles (AgNPs) are used because of their LSPR effect in the determination of ADDL [27]. Any changes in the external environment around AgNPs can cause an alteration in the refractive index (RI) of the surrounding magnetic field that alters the absorption wavelength (λ_{max}) of AgNPs which can be detected via UV–Visible spectroscopy. LSPR based AgNPs are ultrasensitive to detect the concentration change of biomarker of AD such as ADDL that causes the change in refractive index with their concentration change [27].

3.1.1.3. Scanning Tunneling Microscopy [STM] and Two Photon Rayleigh

Spectroscopy: Recently, a molecular detection system was developed on the basis of electrical detection by STM [28]. STM involves immobilization of particular antibody fragment on GNPs. The tunneling current profile due to change in the concentration of substrate or antigen depends upon the frequency of the generated pulse, which appear every time on the scanning tip of instrument when STM crosses GNPs. This technique is very sensitive and can detect the A β at concentrations as low as 10 fg/ml [28]. Recently, Two Photon Rayleigh spectroscopy was effectively used to analyze the tau protein by measuring the transformed signal from the scattering of GNPs [29]. This technique is fast, specific and highly sensitive that can determine the concentration as low as 1pg/ml [29].

3.1.2. Nanodiagnostics for AD in In-Vivo

3.1.2.1. Magnetic Resonance Imaging (MRI): Iron oxide nanoparticles (IONPs) or magnetic nanoparticles (MNPs) have been widely investigated in recent decades as MRI contrast agent [30]. Two independent groups of scientists have accounted the significance of monocrystalline IONPs and ultra-small SIONPs as MRI probes. The groups performed *in vivo* imaging of A β plaques in the brain of mouse bearing AD [31, 32]. MRI enhancement agent (NPs) infused intravenously were considered as minimal invasive [32]. Recently, Sillerud *et al.* synthesized antibody-superparamagnetic iron oxide nanoparticles conjugate targeted *in vivo* MR imaging of A β plaques in transgenic mice model of AD. Their results revealed that developed SPIONs exhibited excellent enhancement of MRI contrast in A β

plaques in the brain of the mice [33]. Antibody conjugated SPIONs exhibited 2-fold higher detectability compared to control group. Nevertheless, the limitation of this technique is that it cannot be adopted well for the early detection of AD as the A β plaques targeted by this method are the ones whose formation appears in advanced stages of AD. Interestingly, shifting the target from A β plaques to A β oligomers (A β O) that appears at the early stage of the AD may make the procedure feasible for early detection of the disease. It has been recently demonstrated that MNPs can be designed in the way that is capable as molecular MRI probe for the early detection of A β in *in vitro* and *in vivo* [30]. Selective antibodies for A β O when conjugated to superpara-MNPs were specific and sensitive in detection of A β O [30]. This MNPs based probe can be readily adapted to other AD's targets and therefore providing advantage in early detection over PET probes for A β O (A β O is the toxins considered for the neuron damage in the early stage of AD).

3.1.2.2. Optical Imaging (OI): A recent approach being developed for *in vivo* imaging of molecular biomarkers in various ailments including AD is optical imaging (OI) with specific near-infrared (NIR) fluorescent dye [34]. Most common requisites for a diagnostic investigation of AD involves the ability of imaging probe to cross the BBB and specificity to target of AD associated biomarkers. For *in vivo* molecular detection of A β , Nesterov *et al.* proposed a fluorescent dye working in NIR range called NIAD-4. It can rapidly traverse through BBB due to its unique structure and its quite low molecular weight [34]. The chemical structure of NIAD-4 core resembles the structure of Thioflavin T (ThT). ThT is a renowned A β fibril detection molecule. ThT resemblance makes them an extremely specific binding agent for A β masses. The structural characteristics of NIAD-4 also significantly enhanced the quantum yield after binding with A β masses. The *in vivo* studies of NIAD-4 in transgenic mouse model of AD were highly auspicious [34]. ThT which is capable of recognizing β -sheet structures corresponding to A β aggregates both *in vitro* and *in vivo*. The encapsulation of ThT into polystyrene-block-poly (n-butyl cyanoacrylate) (PS-b-PnBCA) NPs was lately studied showing that release of developed NPs into the brain after intracerebral injection, and its interaction with A β and clearly indicated the A β aggregates [35]. Quantum dots (QDs), a nanotechnology based in-organic material are another very important member of fluorescent dyes used in imaging studies [36]. These are semiconductor materials based NPs. Commonly used QDs are cadmium selenide (CdSe), Cadmium telluride (CdTe) and Indium arsenide (InAs). QDs as an imaging and theranostic agents offer extraordinary optical properties like high stability, high signal to noise ratio, high quantum yield, negligible photo bleaching and resistance to intrinsic fluorescence emission spectra due to which NPs become extremely capable of sensing [36]. Simultaneous multiple labeling properties offer by QDs is significant for diagnosis of AD as there are numerous molecular biomarkers in the pathology of AD [37]. However, the *in vivo* applications of QDs are questionable due to the toxicity of the semiconductor materials used. However, attempt has been made to reduce the toxicity of QDs by entrapping these in phospholipids [38] or by pegylation [39]. Moreover, to further enhance their delivery across BBB, transferrin conjugated QDs have been developed that are able to transigrate through BBB [40].

3.2. Nanotechnology in AD Therapy

As discussed somewhere else in this article, the currently available therapy of AD acts only to lower its symptoms [37]. In the last two decades, researches mainly focused on the development of “neuroprotective agents” *i.e.* development of therapeutics that prevent the disease progression by preventing several mechanisms in the pathology of AD [37]. Nevertheless, more innovative approaches are the one that could rebuild the damaged tissue, called as “regenerative agents”. These two (neuroprotective and neuroregenerative) techniques are collectively called “disease-modifying techniques” in AD. Nanoparticle based approaches focused on the neuroprotective and neuroregenerative techniques in AD can extensively benefit from NPs based research conducted with advances in AD’s cell biology, its pathology and neurophysiology, and the upcoming smart NPs design that are capable of crossing BBB and targeting to the desired site into the CNS. Over the past decade, several NPs have been investigated to enhance the bioavailability and therapeutic efficacy of AD drugs [37].

3.2.1. Neuroprotection Facilitated by Nanotechnology—The two major causes of neurotoxicity in AD are free radicals and A β . Neurons can be protected from A β toxicity by some of the NPs based techniques. These approaches can prevent A β oligomerization and/or accumulation of A β oligomeric species [58]. The other nanotechnology mediated neuroprotective techniques include agents that can inhibit oxidative stress induced by free radicals and protect neurons [37]. Numerous NPs have been explored for the delivery of therapeutic agents to the brains in AD.

3.2.1.1. Nanogels, Fullerene and Nano-Ceria: Nanogels are promising drug delivery devices as they offer better drug encapsulation, stability, and can also be targeted based several factors like pH, temperature and ionic strength [41]. Its therapeutic targeting potential was explored by Ikeda *et al.* who developed a novel monomeric peptide incorporated pullulan (CHP) nanogels bearing cholesterol. The developed colloidal NPs significantly inhibited the aggregation of A β thereby reducing its toxicity against PC12 cells [41]. Further, the same group of scientists investigated the capability of these colloidal NPs to interact with the A β O. These revealed that the developed NPs significantly decrease toxicity on primary cortical and microglial cells. CHPs exhibited the knockdown effect on A β O (*e.g.* A β ₁₋₄₂) toxicity and significantly decreased the accumulation in lysosomes [42].

Another important NPs coming from carbon family is Fullerene (C₆₀) which has been directly studied as antioxidant and free radical scavenger intended for AD therapy as neuroprotective [43]. Carboxyfullerene, a malonic acid derivative has been studied for its protective effect against A β ₄₂ induced oxidative stress and neurotoxicity [44, 45]. Later, it has also been revealed that C₆₀ hydrated fullerene can prevent amyloid oligomerization [44]. Dugan *et al.* has demonstrated complete neuroprotective effect of Fullerene against NMDA receptor mediated neurotoxicity [44]. The functionalized fullerene; a water- soluble hydroxyl (Fullerenol) has been found to be neuroprotective against A β [45]. Anti-oxidant reactions and inhibition of Ca²⁺ neurotoxicity induced by A β are assumed as the reason of neuroprotective effect of fullerenols [45]. Inhibition of Ca²⁺ mediated neurotoxicity by fullerenol-1 was validated by Huang *et al* [45]. Another water soluble C₆₀ NP (WS[60]FD)

has been studied by Kotelnikova *et al.* demonstrating the neuroprotective effect [46]. The developed C₆₀ NPs significantly inhibited the *in vitro* catalytic activity of monoaminoxidase B (MAO-B), and decreased the free radicals level. Moreover, C₆₀ NPs exhibited the cognitive stimulation *in vivo* [46]. In another report, Zhou *et al.* demonstrated the binding process and the interaction between fullerene derivative 1, 2-(dimethoxymethano) fullerene (DMF) which is water-soluble and fibrillar A β ₄₂ hexamer (a protofibril model) simultaneously [47]. Moreover, the D23–K28 salt bridge in this site can be destabilized by DMF, which is thought to be significant in the A β fibrillation. Additionally, Xie *et al.* studied the molecular mechanism of inhibition of Alzheimer's A β peptide aggregation by fullerene [48]. Their results showed that significantly higher inhibition of β -sheet formation through fullerene is due to extreme lipophilic and aromatic π -stacking interactions of the C₆₀ hexagonal rings. These strong interactions between the C₆₀ NPs and A β peptides extensively lessens the peptide-peptide interaction that is necessary for β -sheet formation, hence hindering A β fibrillation. In general, it can be said that novel NPs of functionalized fullerene derivatives have promising potential applications in the discovery of new drugs for AD. Likewise, Cerium oxide (CeO₂) nanoparticle (called as nano-ceria) have been found to have neuroprotective effect in *in vitro* models of AD primarily due to anti-oxidant activity [49]. Two redox states of cerium; Ce²⁺ and Ce⁴⁺ and the developed oxygen vacancies make them good antioxidant [49]. Of late, it was found that nano-ceria also helps in shielding the neurons from cytotoxic effects of A β [49]. Cimini *et al.* developed PEGylated anti-A β antibody- conjugated cerium oxide NPs (Ab-CNPs-PEG) to examine the effects of (Ab-CNPs-PEG) on neuronal survival and brain-derived neurotrophic factor (BDNF) signaling pathways [50]. They reported that A β -CNPs-PEG targets the A β aggregates specifically, and simultaneous rescue of neuronal survival was better than A β -CNPs, due to alteration in BDNF signaling pathway. Later, Li *et al.* studied a novel double delivery proposal based on hydrogen peroxide responsive system [51]. They merged the anti-aggregation property of CeO₂ caged metal chelators and antioxidant property of CeO₂-NPs in one system as a formulation. It was found that this two-in-one system NPs can efficiently inhibit A β aggregation, reduce cellular reactive oxygen species (ROS) and shield the cells from A β O related toxicity [51].

3.2.1.2. Dendrimers: Dendrimers are monodispersed macromolecules at the nanoscale comprising of a regular and extremely branched 3D architecture exhibiting a distinct number of spatially arranged peripheral functional groups [52]. Generally, they are prepared by an iterative sequence of reaction steps. In recent years, such NPs have gained attention of pharmaceutical and biomedical researchers. Particularly, the opportunity to functionalize the peripheral functional groups with desirable ligands is a smart strategy to target the A β peptide [52]. Some investigations have been undertaken on the A β aggregation procedure involving some critical peptidic sequences concerned with the A β aggregation. For example, the KLVFF sequence *i.e.*, the 16–20 residues of A β hydrophobic core, is critical for the development of β -sheet of A β peptide [53]. This region of peptides binds to its corresponding sequence in A β and inhibits A β peptides aggregation into A β fibrils [53]. This particular sequence has been utilized for the preparation of drugs as inhibitors for blocking A β peptide aggregation into A β fibrils *in vivo* [53]. Chafekar *et al.* synthesized KLVFF-functionalized Dendrimer NPs and demonstrated their inhibitory effect on A β

aggregation and their capability to dismantle preexisting amyloid aggregates [54]. Some independent researchers have also suggested that A β are capable of binding to cells by interacting with glycolipids or glycoproteins at the peripheral surface of the cellular membrane. Moreover, the affinity for interaction increases with increased concentration of sialic acid on the exterior surface of cell membrane [55]. Patel *et al.* fabricated sialic acid-functionalized polyamidoamine (PAMAM) dendrimers to develop A β binding competing agents. Their results showed that the affinity constant between sialic acid-conjugated PAMAM dendrimers and A β and dendrimers significantly decreases the A β -induced toxicity in comparison with the cells treated with free sialic acid [56]. Furthermore, it was also found that the position of the covalent bond between the dendrimers and the sialic acid is critical for modulation of biological activity of the developed sialic acid-functionalized PAMAM dendrimers [57]. Glycosaminoglycans entities, like heparin, which contain linear arrangements of polysaccharides, have been found to be extremely significant in development of A β aggregation [58]. Klajnert *et al.* reported that the heparin induced A β aggregation could be altered by the presence of third generation PAMAM dendrimers. It has been suggested that lower concentrations of dendrimers can decrease the A β peptide aggregation, while higher concentrations increase the effect [59]. Ciepluch *et al.* developed viologen-phosphorus dendrimers and demonstrated its effect on acetylcholinesterase (AChE) and butyrylcholinesterase (BChE) activities [60]. Their results showed that it can inhibit the activities of both AChE & BChE, thus demonstrating their potential as new drugs for treating AD. Moreover, Wasiak *et al.* designed cationic phosphorus containing dendrimers (CPDs) and illustrated interactions of phosphorus incorporated dendrimers with the fragment of A β ₁₋₂₈ peptide and MAP-Tau protein [61]. They found that CPDs can modulate the gathering of both A β ₁₋₂₈ and MAP-Tau protein. In addition, CPDs also decreased toxicity of aggregated forms of A β ₁₋₂₈ [61].

3.2.1.3. Gold Nanoparticles (GNPs): GNPs have been extensively studied for their drug delivery and theranostics features in various biomedical applications including AD therapy. Kogan *et al.* employed GNPs in weak microwave fields to dissolve amyloid aggregates [62]. GNPs dissolved A β peptide aggregates and inhibited further A β peptide aggregations by developing local thermal energy. GNPs provided a dissipating thermal energy of 10–14 J/s making them capable of smashing a A β fibril bond (10–20 J binding energy per non-covalent bond) per microsecond without smashing of covalent bonds, which are two times stronger [62]. Kogan *et al.* developed a method which appeared to be beneficial for noninvasive study and exploitation of A β aggregates in AD. Negatively charged GNPs were developed by Liao *et al.* and examined their effects on amyloids by taking A β ₄₀ as a model system [63]. Their results showed that GNPs inhibited A β fibrillization and redirected A β forming fragmented fibrils and spherical oligomers. When these GNPs were added to preformed fibrils, GNPs bound preferentially to A β fibrils and resulted in formation of smaller and ragged A β species. Similar results were observed when carboxyl conjugated GNPs but not with amine-conjugated AuNPs. Thus, the negative surface potential of GNPs is extremely critical for this phenomenon. Prades *et al.* has developed GNPs-CLPFFD conjugate and introduced the peptide sequence THRPPMWSPVWP [64]. The results of *in vitro* and *in vivo* experiments showed that there was interaction between peptide

sequence and transferrin receptor present in the endothelial cells of the BBB which caused enhanced permeability in to the brain.

3.2.1.4. Diamondoid and its Derivatives: Diamondoids and their derivatives are the sources of various antibacterial and antiviral drugs which are already being marketed or which are at different developmental stages [65]. Memantine is an FDA approved commercially used diamondoid derivative for moderate to severe AD. Memantine is a neuroprotective agent having activity against excitotoxicity, excitatory neurotransmitter glutamate, or over activation of its membrane receptors, that causes neuronal cell death. Neuronal cell death due to excitotoxicity is mediated, partly, through over activation of N-methyl-d-aspartate (NMDA)-type glutamate receptors. Memantine primarily inhibits excessive NMDA receptor activity without affecting normal activity [66]. NMDA receptor activity too is vital for normal neuronal function. This suggests that potential neuroprotective agents that practically block/inhibit all NMDA receptor activity would possibly have undesirable adverse effects. Clinical investigations are currently in progress for the development of other diamondoid derivatives intended to be more potent neuroprotective agents and possibly having regenerative potential. Such agents can also be used for the management of diseases associated to glutamatergic dysfunction. Sozio *et al.* developed memantine-sulfur bearing antioxidant glutathione (GSH) (i) and (R)- α -lipoic acid (LA) (ii) conjugates as prodrugs for improved treatment of AD [67]. Prodrugs (i) and (ii) exhibited free radical scavenging activity for both H₂O₂ and superoxide anion radical. They exhibited no interference with the proliferative ability of the GL15 astroglial cell line, supporting their use “*in vivo*”. It was found that the prodrug (ii) was able to cross the BBB and significantly inhibit A β ₁₋₄₂ aggregation [67]. Gauthier and Molinuevo investigated the advantages of combined therapy of memantine and donepezil (cholinesterase inhibitor) in moderate to severe AD [68]. Their results illustrated that combination therapy can yield significant efficacy in cognition behavior function and global outcome in comparison to donepezil alone.

3.2.2. Nanocarriers in Brain Delivery of Neuroprotectives—The main obstruction in the successful development of both large and small neurotherapeutic molecules (such as A β fragments, recombinant peptides, antisense oligonucleotides) is BBB [6]. The BBB affects the therapeutic efficacy and tolerance, as large doses of neurotherapeutic molecules are required to achieve levels above the minimum effective concentration in the brain. Nanotechnology based approaches provide an opportunity to prevail over such challenges and can be employed as “Trojan systems” for transport of neurotherapeutic molecules as payload across the BBB, and consequently decrease the toxicity and enhance therapeutic efficacy of drugs [7, 37].

3.2.2.1. Curcumin Loaded Nanoparticles: Curcumin has been extensively investigated in recent decade for various biological activities including neuroprotective effect [69]. Many investigators have accounted that curcumin can cause considerable reduction in A β aggregate related toxicity on neurons [70]. However, it exhibits poor aqueous solubility and stability. Furthermore, it is prone to oxidation and photodegradation [71]. Nanocapsules made up of poly n-butylcyanoacrylate (PBCA) have been used to transport curcumin across the BBB. Apolipoprotein E3 (APoE-3), a protein ligand, has been used to coat these

nanocapsules so that LDL receptors onto the BBB could be targeted. Curcumin nanoparticles was also reported to have enhanced photostability compared to free curcumin. Cytotoxicity in SH-SY5Y neuroblastoma cells showed that ApoE3-C-PBCA exhibited significantly improved efficacy against A β induced cytotoxicity compared to free curcumin. Additionally, ApoE3 with curcumin showed excellent therapeutic efficacy against A β induced cytotoxicity [72]. Doggui *et al.* developed curcumin encapsulated PLGA-NPs and evaluated neuronal uptake and neuroprotective effect in the human SK-N-SH cell lines [73]. It was found that these NPs not only protected SK-N-SH cells against H₂O₂ but also prevented increase in ROS level and GSH consumption. Mathew *et al.* successfully developed curcumin encapsulated PLGA-NPs and coupled the NPs with the Tet-1 peptide, which has affinity for neurons [74]. It was observed that curcumin incorporated PLGA-NPs were able to dismantle A β aggregates. The PLGA-NPs also showed excellent antioxidative properties and were non-toxic. Recently, Lazar *et al.* designed curcumin conjugated nanoliposomes [75]. The developed liposomes were non-toxic *in vitro*, down regulated the A β peptide secretion and partially inhibited A β induced toxicity. Intracerebral injection of developed liposomes showed that curcumin encapsulated nanoliposomes exhibited significantly higher accumulation in the A β aggregates *in vivo* [75]. Of late, other studies showed improved bioavailability and long circulation time for curcumin based NPs [76].

3.2.2.2. Cholinesterase Inhibitors Loaded Nanocarriers: Defective cholinergic neurotransmission is thought to be one of the main reasons for the learning and memory impairment of AD patients [5]. To date, enhancement of cholinergic neurotransmission remains the most effective therapeutic strategy for AD treatment. In 2000, FDA approved rivastigmine (RT) for AD treatment. Nevertheless, clinical efficacy of rivastigmine is still limited largely because of poor brain translocation which leads to undesirable cholinergic effects on peripheral organs and frequent administration [77]. Nanotechnology based approach for transportation of rivastigmine to the brain is a promising strategy to overcome above limitations. Wilson *et al.* developed rivastigmine (RT) loaded polysorbate 80 coated Poly n-butylcyanoacrylate nanoparticles (PnBCA-NPs) to increase the brain delivery of RT and to decrease the side effects [78]. Their The developed NPs exhibited 3.8 fold improved uptake of RT in the brain in comparison with free RT after i.v. administration in rats. Similar approach was used to enhance the brain uptake of tacrine (another AChE inhibitor) by means of PnBCA-NPs [79]. The delivery mediated by NPs enhanced the concentration of tacrine in brain by 4-folds as compared to the free drug. Joshi *et al.* further reported that rivastigmine (RT) entrapped PLGA and PnBCA NPs [80]. exhibit quicker memory regain in scopolamine-induced amnesic mice compared to RT solution. Fazil *et al.* developed rivastigmine (RT) encapsulated chitosan nanoparticles (CS-RT NPs) to enhance the uptake and bioavailability of RT in the brain after intranasal (i.n.) administration [81]. Their results showed that CS-RT NPs exhibited ~3 fold improved RT concentration in the brain after i.n. administration compared to i.v. administration. CS-RT NPs exhibited significantly higher RT transport efficiency. Furthermore, Li *et al.* formulated galanthamine hydrobromide (GH) loaded flexible liposomes and investigated their acetylcholinesterase inhibition efficiency in the brain of male Sprague-Dawley rat after i.n. administration [82]. The results showed that the developed liposomes exhibited significantly enhanced acetylcholinesterase inhibition efficacy of GH after i.n. administration compared to oral administration. Similarly, Ismail *et*

al. developed rivastigmine (RT) loaded liposomes and investigated the efficacy in aluminium chloride induced AD model [83]. Their results showed that the developed RT loaded liposomes exhibited faster memory regain and amelioration of metabolic disturbances in aluminium chloride treated rats compared to free RT. Wavikar *et al.* also developed RT loaded nanostructured lipid carriers gel (RT-NLC gel) for brain delivery after i.n. administration [84]. The developed RT-NLC gel exhibited 2-fold improved i.n. permeation of the RT compared to plain RT solution. RT-NLC gel also exhibited 3-fold enhanced enzyme inhibition efficiency.

3.2.2.3. Acetylcholine (ACh) Loaded Nanocarrier: It is well established that ACh concentration has direct co-relation with the memory loss, the main symptom of AD. In fact, the presently available major drug therapy for AD is based on the strategy to sustain the ACh level by inhibiting its hydrolysis by the enzyme. However, drugs working as enzyme inhibitor have many side effects. Direct use of neurotransmitter ACh as a drug offers a good approach in safer management of AD. However, its delivery to brain through BBB is challenging. Recently, a nanotechnology based approach has been proposed for brain delivery of ACh using single-wall carbon nanotubes (SWCNTs) [85]. Though, SWCNTs are associated with the concern of toxicity which was addressed by careful controlling of the dosages and by doing surface PEGylation [85].

3.2.2.4. Hormone loaded NPs: It has been discovered through recent investigations that sex hormones, particularly androgens and estrogen have neuroprotective activity against some pathogenic mechanisms of AD, such as A β aggregation, neurotoxicity and cytotoxicity [86]. It has been found that estradiol can elevate the development of cholinergic neurons and extensively decrease cerebral A β peptide deposition [87]. Recently, for the effective delivery of such hormone, Mittal *et al.* developed estradiol loaded PLGA NPs [88]. They effectively increased 10-fold oral bioavailability of estradiol in comparison with free estradiol by manipulating the copolymer molecular weight and composition. Similarly, mifepristone (antiprogestosterone molecule) was found to inhibit the progression of cognitive decline in AD patients by altering P-gp mediated efflux of A β peptide [89]. He *et al.* has reported an increase in oral bioavailability of mifepristone by its encapsulated PLGA NPs [90]. Later, Mittal *et al.* developed oral estradiol loaded polysorbate 80 coated PLGA NPs for brain delivery [91]. Oral administration of polysorbate 80 coated PLGA NPs exhibited significantly enhanced levels of estradiol within the brain in comparison with the uncoated ones. Moreover, PLGA NPs successfully prevented the expression of A β ₄₂ immunoreactivity in the brains of treated animals.

3.2.2.5. Polyphenol drugs loaded NPs: Recently, epigallocatechin-3-gallate (EGCG), a polyphenol from green tea, was found to have therapeutic efficacy against AD [92]. Apart from antioxidant effects, EGCG also reduced A β peptide production. A β peptides are produced after proteolysis of the APP by a sequence of enzymatic actions of β - and γ -secretase. Alternatively, the nonamyloidogenic molecular pathway includes successive APP cleavages by α -secretase (thereby preventing A β formation) and γ -secretase, leading to the development of nonamyloidogenic fragments. Interruption in these two important pathways and the aggregative property of A β peptides might be the reason which triggers AD [93].

Therefore, α -, β -, and γ -secretases enzymes can be considered as potential therapeutic targets. However, β - secretase could be the most appropriate and attractive target as α - and γ -secretases have multiple biological functions [94]. Encouraging results have been obtained in several studies, except the ones involving intracranial delivery of the inhibitors by invasive mode [95]. Smith *et al.* proposed that the incorporation of EGCG into lipidic NPs might enhance its oral bioavailability [96]. Zhang *et al.* developed Tet-1 peptide modified EGCG conjugated selenium nanoparticles to decrease cytotoxicity and A β peptide aggregation [97]. It significantly inhibited A β fibrillation and disaggregated preformed A β fibrils into nontoxic aggregates.

Another polyphenolic compound commonly used in precilical and clinical studies for the treatment of AD is Resveratrol [98]. Resveratrol is a non-flavonoid, mainly found in red wine and grape skin. Its antioxidant, anti-inflammatory, anti-carcinogenic and anti-mutagenic activities have been proven by many researchers [99]. Its exerts anti-oxidant activity by the prevention of β -amyloid induced nuclear translocation of NF- κ B and inhibition of the release of nitric oxide (NO) and prostaglandin E2 (PGE2) in AD [100]. Resveratrol can induce protein kinase C to protect cells from toxic effects of A β [100]. The neuroprotective effect of resveratrol against toxic effects of A β may also be mediated by advancing the intracellular degradation of A β by the ubiquitin proteasome system [101]. It was found that resveratrol can reduce nitric oxide (NO) toxicity in rat hippocampal mixed neuronal/glial cultures [102]. Lu *et al.* developed resveratrol loaded polymeric micelles and assessed their protective effects on cells against A β -induced oxidative stress for treatment of AD [103]. They investigated the efficacy of resveratrol encapsulated polymeric micelles for A β protection using PC12 cell lines. The 12-h pre-incubation of resveratrol encapsulated NPs guarded PC12 cells from A β -induced injuries in a dose dependent manner by reducing intracellular oxidative stress and caspase-3 activity. In another study, Frozza *et al.* developed resveratrol lipid-core nanocapsules and assessed their neuroprotective effects against intracerebroventricular injection of A β ₁₋₄₂ in male adult Wistar rats for management of AD [104]. Resveratrol lipid- core nanocapsules efficiently rescued the toxic effects of A β ₁₋₄₂. Furthermore, da Rocha Lindner *et al.* developed resveratrol (RVT) loaded poly(lactic acid) (PLA) and PLA-PEG NPs and assessed its antioxidant activity for management of AD [105]. They found that RVT-loaded nanoparticles, particularly PLA-PEG nanoparticles, were very effective as a hunter for the ABTS radical, signifying that the polymeric nanoparticles can be used as RVT carriers for prophylactic or therapeutic effects.

3.2.3. NPs in Metal Chelation—Brain contains certain trace metal ions such as Zn²⁺, Cu²⁺ and Fe²⁺, and participates in variety of physiologically important roles [106]. These trace metal ions are kept under strict homeostatic condition and compartmentalization. Any disturbance in the homeostasis of these trace elements resulting in higher concentrations of these ions which affects several proteins and causes membrane lipid damage and ultimately cause production of reactive oxygen species (ROS) [106]. A β possesses few selective metal binding sites, therefore, it is considered as a metalloprotein. It is known that Zn²⁺ and Cu²⁺ released in traces from synaptic terminals of brain cortical neurons might induce A β aggregation by interacting with A β histidine [106]. This precipitation can be reversed by metal chelation prior to fibrillization that can reduce the progress of AD. Furthermore, the

interaction of Fe^{3+} and Cu^{2+} with $\text{A}\beta$ may cause generation of H_2O_2 due to double electron transfer to O_2 [106]. The production of H_2O_2 is partially accountable for oxidative injury as observed in AD. Additionally, the interaction of $\text{A}\beta$ with cell membrane is increased by Zn^{2+} as well as Cu^{2+} and could be responsible for neurotoxicity of $\text{A}\beta$ [107].

Therefore, clearance of body tissues from excessive metal ions by metal chelation could be considered as one of the approach to control disease progression in AD [106].

An effective metal chelation approach for AD may significantly reduce both the extracellular oxidative stress as well as $\text{A}\beta$ aggregation that ultimately retard the progression of AD. Conventional mode faces obstacle in an efficient therapeutic response for most current metal chelators. These comprise threat of non-specific metal chelation from other tissues, difficulty to cross the BBB and pooling of metal ions into amyloid plaques [106]. Nanotechnology has also been exploited to design metal chelator systems that would surmount these limitations [108]. The significance of iron and copper chelators are very well reported in AD modification.

3.2.3.1. Iron Chelators: Liu *et al.* conjugated the Desferrioxamine (FDA approved metal chelator) with NPs to design a robust *chelator nanoparticulate system* (CNPS) [109]. It was found that designed CNPS was able to retain the chelating efficacy of metal chelator. The iron chelating CNPS was found to cross the BBB in the reverse direction by preferential adsorption of Apo A-I and was ultimately removed through LDL transport system. Furthermore, it was found that the inherent toxicity of metal chelators gets reduced after conjugation with NPs [109]. Later, another study has reported that nanoparticle-chelator conjugate (Nano-N2PY) can inhibit the cytotoxic effects of $\text{A}\beta$ on human cortical neurons [110]. Carboxyl functionalized polystyrene NPs were used in this study. Anticytotoxic mechanism of the Nano-N2PY was probably related to its preventive effect on the $\text{A}\beta$ aggregation. Apart from improved efficacy of metal chelating strategy, these nanoparticle conjugates were also found to reduce the toxicity by decreasing the lipophilicity of the chelators [110].

3.2.3.2. Copper Chelators: Cui *et al.* examined d-penicillamine, an FDA approved drug for chelation of copper in Wilson's disease, as a metal chelator in AD [107]. The conjugation of d-penicillamine with the NPs containing 1,2-Dioleoyl-snglycero-3-phosphoethanolamine-N-[4-(p-maleimidophenyl) butyramide] and pyridyldithio-propionylphosphoethanolamine known as MPB-PE and PDP-PE respectively, facilitated the transport of d-penicillamine across the BBB in spite of its high hydrophilicity showing the ability of d-penicillamine for chelating copper and desolubilizing $\text{A}\beta_{42}$. Cui *et al.* also showed that the BBB integrity and permeability remained unaffected and no changes in the cerebral perfusion flow were evident. Further, it was suggested that the transport mechanism for these NPs was either endocytosis, transcytosis or passive diffusion [107].

3.2.3.3. Zinc Chelators: Clioquinol (Iodochlorohydroxyquin), a USP drug which possesses chelation properties and ability to penetrate BBB, has shown potential therapeutic advantage in AD [111]. Clioquinol, is a quinoline derivative and an antibiotic drug having strong chelation affinity for copper and zinc which dissolves the $\text{A}\beta$ plaques *in vitro* and impedes

A β accretion in mice bearing AD [112]. Clioquinol showed advanced A β disaggregation which leads to a significant reduction in A β deposition in the APP transgenic mice brain compared to non-treated animals after oral administration [112]. Clinical studies showed that clioquinol exhibits strong deceleration of cognition rate in AD patients compared to control group [111]. Mufamadi *et al.* developed surface decorated nanoliposomes (NLPs) using different chelating agents *viz.* ethylenediaminetetraacetic acid (EDTA), histidine residues, copper acetate (CuAc) and zinc acetate (ZnAc) to modulate neurotoxicity associated with A β aggregates of AD [113]. The result of their *in vitro* studies in PC12 neuronal cell line confirmed that the incubation of Cu(II) or Zn(II) with A β ₁₋₄₂ peptide stimulated A β aggregation. Modified NLPs (with EDTA, histidine and ZnAc) induced disaggregation of CuA β ₁₋₄₂ and ZnA β ₁₋₄₂ aggregates *in vitro*. Further, modified NLPs exhibited significantly higher survival of PC12 neuronal cells; thereby protecting PC12 neuronal cells from toxicity associated with A β ₁₋₄₂ aggregate. Thus, the surface modified NLPs showed strong protection of PC12 neuronal cells from insoluble A β peptides associated with neurotoxicity in AD.

4. TOXICITY CONCERNS WITH NANOTECHNOLOGY IN AD

Advancement nanotechnology based drug delivery, along with positive implications, has also raised the concerns related to toxicity. The particle size and surface morphology of nanoparticulate systems are reported to modify the pharmacokinetics and tissue distribution profile of the loaded drugs/bioactive [114–116]. Such investigations particularly in reference to brain delivery are still limited and benefit-to-risk ratio is yet to be assessed for therapeutic considerations. The constituents of nanoparticulate system are found to modulate the P-gp pumps expressed over the luminal side of capillary endothelial cells in brain and potentially mediate transportation of hemostatic mediators [117]. The different polycationic nanoparticulate systems are reported to stimulate the necrotic or apoptotic cell death through various pathways upon internalization [114, 118]. Besides this, some other polymeric delivery systems such as polyplexes, lipoplexes and lipopolyplexes are also found to modify gene expression in case of nucleic acid delivery to the capillary endothelial cells in brain. Such an issue has been addressed with low expression of ATP-binding cassette genes after polymer treatment in some cells. Polymers and partially degraded component of nanoparticulate system may bind to endogenous nucleic acids and cause hindrance with normal processes of cell as well as triggering off-target effects. This is found to be one of the reasons related to polycation-induced “gene-signature” issue [119]. Furthermore, it has also been reported that nanoparticulate delivery system, through a complex intercellular signaling processes, may mediate DNA and chromosomal damage in the tissues present across the cellular barriers [120]. More recently, clinically approved nanomedicines were found to exhibit the hypersensitivity reactions known as *complement activation-related pseudoallergy (CARPA)* due to activation of the complement system in some patients [121]. In addition, *accelerated blood clearance (ABC)* phenomenon also resulted after the administration of first injection of PEGylated nanoparticulate delivery system leading to failure of drug therapy only because of low plasma drug concentration. Polymeric and lipid-based nanomedicines have been reported to exhibit neuropsychosomatic and vegetative responses in animals studies [121]. Various PEGylated or non-PEGylated nano delivery systems like

certain liposomes, polymeric nanospheres, carbon nanotubes, and metallic nanoparticulate system have been found to trigger complement activation through one or more established initiation pathways such as *classical*, *alternative*, and *lectin pathways* [121, 122]. These pathways exploit different recognition molecules to perceive foreign particle and are activated by similar mechanism to secrete convertase enzyme that responsible to cleave central complement protein C3. The nanoparticulate system-mediated complement activation results into surface opsonization by the opsonic fragments (like C3b and iC3b) of C3 cleavage [116, 121]. Overall, this promotes recognition and fast clearance through macrophages of the reticuloendothelial system decorated with complement receptors. Consequently, it may be advantageous for intravenous nanomedicines that are considered to stimulate sink effect in AD. Furthermore, complement cascade activation results into production of potent anaphylatoxins such as C4a, C3a, and C5a. These are found to elicit the secretion of secondary mediators from various immune cells and consequently commence anaphylaxis in susceptible individuals to further complicate AD [116]. Additionally, the lytic membrane attacks complex (C5b-9 or MAC) assembled from the terminal complement components (C5, C6, C7, C8, and C9) on cleavage of C5. This complex has ability to provoke nonlytic stimulatory responses from vascular endothelial cells and results into modification in hemostasis and inflammatory cell recruitment [116]. The complement system is sturdily activated in AD brain (specifically at senile-plaque site) and functions in association with activated microglia having high expression of complement receptors [123]. Complement proteins as well as activation products have also been reported to be associated with cerebrovascular A β [124]. Therefore, activation of complement system results into exacerbation of pathologic changes in AD. More recently, it was confirmed that the classical components of pathway like C1q might be extremely upregulated specifically in the cortex region in AD [125]. The binding interaction between A β and C1q is mainly ionic and such interactions also result in increase in amyloid aggregation [126]. Hence, it seems rational that design of nanomedicine for brain delivery should not induce further activation of complement system (specifically activation of the terminal pathway) principally *via* C1q-dependent triggering mechanisms. Future directions may focus on the development of brain-specific nanomedicine that promote release of complement inhibitors specifically that block binding to the collagen tail of C1q [127]. Therefore, the complement system plays an important role in performance of nanomedicine specifically designed for brain delivery and thorough understanding of material properties involved in complement activation remains critical factor for rational design of nanoparticulate system in management as well as treatment of AD.

5. FUTURE DIRECTION

In the perspective of AD therapy, remarkable studies have been carried showing the worth of nanotechnology application in diagnosis, therapeutics delivery and theranostics in AD. However, the majority of research is at the preclinical level, the outcome promises that in near future significant transition is expected from the clinical studies and the nanotechnology-based therapy in AD could lead to improved therapeutic outcomes. Many upcoming novelties together with nanotechnology based strategies have shown potential in drug/biomolecules and/or imaging agent's delivery across the BBB intended for AD therapy.

Among them, few which are comprehensively used in research are briefly discussed here. These techniques can help in improving the performance of NPs mediated CNS delivery without any complication.

5.1. Ultrasound Mediated BBB Disruption

The permeability of drug and drug carriers can be increased across blood-brain barrier (BBB) by focused ultrasound (FUS) and can be used in drug and or NPs targeting in brain [128]. In the past few years, biomedical research in drug delivery and diagnosis concerning brain diseases particularly AD and brain cancer focused on developing MRI guided FUS to selectively and focally disrupt the BBB to increase its permeability to therapeutics and NPs. MRI guided FUS technique serves the unique purpose of theranostics along with smartly designed payload carrying NPs. FUS induced BBB disruption is mainly affected by the ultrasound setting that includes amplitude of applied pressure, frequency, duty cycle, number of cycles per pulse, dose and the size of microbubble [129]. Control of such parameter is very critical as *in vivo* studies have showed that endothelial damage may happen after sonication [130]. Moreover, increase in vascular permeability across the tissue near to the sonication area often occurs due to the interaction of the sonication and vasculature. This is linked to the destruction of microbubbles, presence of radiation forces as well as microstreaming [131]. The disruption needs to be continued for longer period in order to attain therapeutic drug concentration as the co-administered drug extravasation remains dependent on diffusion. Opening of the BBB is reported to last for several minutes to hours with sonication [132]. Therefore, ultrasound mediated BBB disruption technology can be used to increase the permeability of drug for brain delivery for better treatment of AD. Though, the FUS induced BBB disruption showed improvement in brain cancer therapy, its application in AD is critical in many aspects. BBB is important in maintaining homeostasis of CNS. Therefore, disruption in BBB can be responsible for various problems such as inward flow of circulating substances into the CNS that might be neurotoxic, transporter dysfunction, altered protein expression, inflammatory activation, oxidative stress as well as neuronal damage. These effects have been seen in AD and there is conflicting evidence that BBB disruption can be considered as a feature of progressive AD.

5.2. Direct Convection Therapy in AD

Convection-enhanced delivery (CED) is a technique to deliver the different diagnostic/therapeutic agents directly into the brain that bypass the blood-brain barrier [133]. Traditional local delivery system like parenteral administration of various therapeutic agents into the brain has been based on diffusion principles and depends on concentration gradient to overcome hindrance due to biological barriers. It results into limited distribution of delivered agents and drug infiltrates remain confined to few millimeters from the site of administration. In human studies, administration of therapeutics into non-malignant brain is associated with reflux as well as leakage by the injection site. However, CED utilizes a fluid pressure gradient at the infusion catheter tip and bulk flow to disseminate the substances within extracellular fluid space [133]. It also permits the extracellular infused material to spread further by means of the perivascular spaces as well as rhythmic contractions of blood vessels which act as an efficient motive force for the infusate [134]. Consequently, high drug concentration can be more uniformly distributed over the larger area of targeted tissue in

comparison to simple injection mode of administration. Presently, CED is being clinically tested in the areas of neurodegenerative diseases [135] and neuro-oncology [136].

CONCLUSION

Considering the role of nanotechnology in AD's therapy 'engineered tuneable surface and size of NPs in nanoscale which can go down upto 1nm makes them potential drug/diagnostic agent/ carrier as a theranostic agent for the management of AD. Nanoparticles offer the opportunity to design smart therapeutics carriers that can simultaneously cross the BBB and deliver the payload to the specific targets. Moreover, significant work has been done in nanoparticles enabled imaging sofar for making detection of early biomarkers in AD with high sensitivity possible. Though, research carried in this area is promising but yet not good enough to bring such technology from bench to bed side in AD therapy. Many important aspects such as pharmacokinetics, metabolism and toxicity concerns with many nanomaterials particularly inorganic nanoparticles (significantly used in imaging studies) are still to be properly addressed. Lastly, other form of nanoparticles investigated in AD therapy have shown their performace majorly in preclinical studies only.

Acknowledgments

Declared none.

LIST OF ABBREVIATION

ACh	Acetylcholine
AChE	Acetylcholinesterase
ADDL	A β -derived diffusible ligands
AgNPs	Silver nanoparticles
AUC	Area under the curve
Aβ	Amyloid beta
AβO	A β oligomers
BBB	Blood-brain barrier
BChE	Butyrylcholinesterase
BDNF	Brain-derived neurotrophic factor
CHP	Cholesterol-bearing pullulan
CnLs	Curcumin-conjugated nanoliposomes
CNPS	Chelator nanoparticle system
CNS	Central nervous system

CNTs	Carbon nanotubes
CSF	Cerebrospinal fluid
DMF	1,2-(dimethoxymethano)fullerene
EGCG	Epigallocatechin-3-gallate
GH	Galanthamine hydrobromide
GNPs	Gold nanoparticles
LSPR	Localized surface plasmon resonance
MNPs	Magnetic nanoparticles
MRI	Magnetic Resonance Imaging
MRT	Mean residence time
NLCs	Nanostructured lipid carriers
NMDA	N-methyl-d-aspartate
NPs	Nanoparticles
PBCA	Poly n-butylcyanoacrylate
P-tau	Hyperphosphorylated tau
QDs	Quantum dots
RIVA	Rivastigmine
ROS	Reactive oxygen species
RT	Rivastigmine
SPR	Surface plasmon resonance
ThT	Thioflavin T

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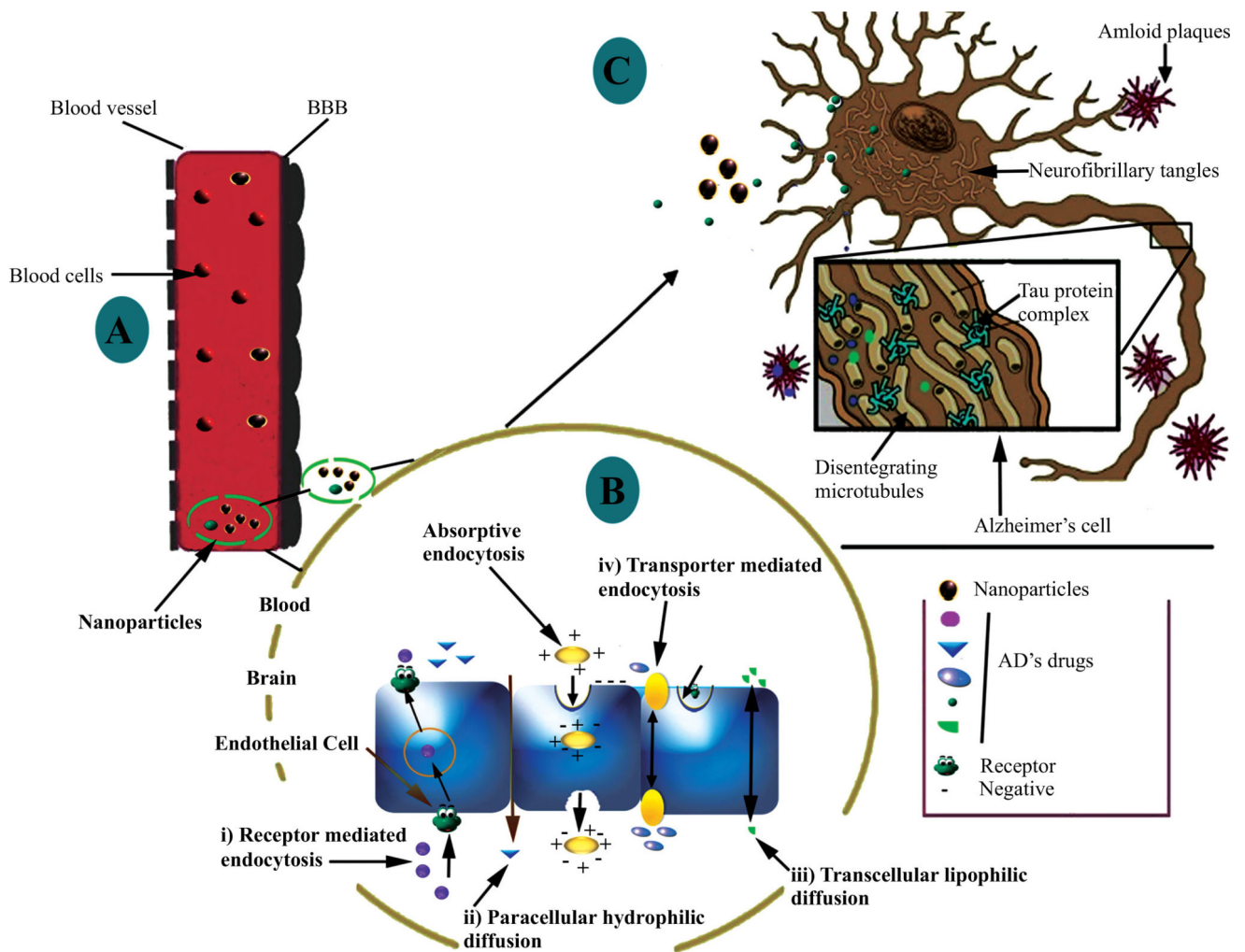


Fig. (1). Drawing presenting the nanoparticles facilitating drug/biologicals transport across the BBB: [A] on systemic application/absorption in blood stream, nanoparticles carrying AD's drugs reached to the BBB, [B] get absorbed across BBB by following possible mechanisms (indicated here in figure), and [C] further reach the AD affected area and depending on their mode of action may act on the cholinergic system, amyloid plaque, tau protein and/or excessive oxidative load [Adapted and reprinted by permission from the Bentham Science for CNS Neurol Disord Drug Targets: Reference 98].

Table 1

Nanoparticles investigated for effective drug delivery/targeting in Alzheimer's disease.

Name of Bioactives /Drugs	Nano-Carriers	<i>In Vitro/In-Vivo</i> Model	Remarks	Ref.
Curcumin	Poly(<i>n</i> -butyl) Cyanoacrylate (PBCA) Nanoparticles	SH-SY5Y neuroblastoma cell line	The photostability of curcumin was increased significantly in nanoparticles compared to plain curcumin. ApoE3-PBCA NPs exhibited synergetic activity against beta amyloid induced cytotoxicity along with curcumin.	[72]
	Poly (lactide-coglycolide) (PLGA) Nanoparticles	Human SK-N-SH cell line	NPs were upto 6 months of storage and the kinetic of the release of curcumin from the nanoparticles is biphasic, PLGA nanoparticles were not toxic to neuronal cells and can protect SKN-SH cells against H2O2-induced toxicity and prevent reactive oxygen species (ROS) elevation, Glutathione (GSH) decrease, and the activation of Nrf2.	[73]
	PLGA nanoparticle	LAG cell line	Curcumin encapsulated-PLGA NPs destroy the amyloid aggregates and exhibit excellent anti-oxidant activity	[74]
		Madin–Darby canine kidney (MDCK) cell line, Tg2576 Mice	Nanoparticles exhibited significantly higher curcumin concentration in plasma (AUC) and MRT in brain than curcumin sol. Furthermore, it exhibited significant improvement in cue memory and exhibited significantly lower amyloid plaque density.	[76]
Rivastigmine (RT)	PBCA nanoparticle	Male Wistar rats	Intravenous administration of rivastigmine encapsulated polysorbate 80-coated polymeric (T-80) PBCA NPs exhibited 3.8-fold increase in rivastigmine uptake within the brain compartment compared to free rivastigmine	[78]
Tacrine	PBCA nanoparticle	Male Wistar rats	Tacrine encapsulated (T-80) PBCA NPs increased the tacrine brain concentration by 4-fold in comparison to the free drug.	[79]

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Name of Bioactives /Drugs	Nano-Carriers	<i>In Vitro/In-Vivo</i> Model	Remarks	Ref.
Rivastigmine (RT)	PLGA and PBCA nanoparticles	Scopolamine-induced amnesia model	Sustained release of RT in brain with faster memory regain compared with RT solution	[80]
	Chitosan nanoparticles	Wistar rats	The brain concentration achieved from intranasal (i.n) administration of rivastigmine as chitosan NPs was significantly higher than those achieved after i.v. administration and i.n. administration of RT solution.	[81]
	Liposome	Aluminium chloride (AlCl ₃)-induced Alzheimer's model, Male albino rats	RT loaded liposome exhibited high encapsulation efficiency of RT and controlled release for a prolonged period of time, RLs formulation exhibited faster memory regain and amelioration of metabolic disturbances in AlCl ₃ -treated rats compared with RT solution.	[83]
	Nanostructured lipid carriers (NLCs)	AChE inhibition assay in rat brain	NLC-based <i>in-situ</i> gel showed 2-fold increase in nasal permeation of the drug over plain RT solution. Furthermore, <i>in situ</i> gelling NLCs showed a 3-fold increase in AChE inhibition.	[84]
Galanthamine hydrobromide (GH)	Liposomes	pheochromocytoma PC-12 cells, Male Sprague-Dawley rats	The efficacy of AChE inhibition of GH was greatly enhanced by intranasal administration compared with its oral administration of GH sol, bioavailability was 3.36 folds higher than those of orally administered GH. Furthermore, PC-12 cells viability tests showed that the flexible liposome carrier is not toxic to the cultured cells and the cytotoxicity of GH to cells was clearly decreased by loading in flexible liposomes.	[82]
Acetylcholine (ACh)	Single-wall carbon nanotubes (SWCNTs)	Male Kunming strain mice	At low dose SWCNTs effectively carried ACh into the lysosomes of the neurons and achieve excellent therapeutic effects in experimentally induced AD with a moderate safety range.	[85]
Estradiol	PLGA nanoparticles	Male Sprague Dawley (SD) rats	Estradiol loaded PLGA NPs exhibited zero order release, increases the	[88]

Name of Bioactives /Drugs	Nano-Carriers	<i>In Vitro/In-Vivo Model</i>	Remarks	Ref.
			C_{max} and T_{max} upto 1.5 and 6 folds respectively and improves the oral bioavailability up to 10 folds compared to the drug suspension.	
Mifepristone	PLGA nanoparticles	Male Sprague-Dawley rats	Mifepristone loaded PLGA NPs exhibited up to 2 fold increase in oral bioavailability.	[90]
Estradiol	PLGA nanoparticles	long-term OVX animal model	NPs exhibited significantly higher brain estradiol levels after 24 h. Furthermore, these NPs prevent the expression of $A\beta_{42}$ immunoreactivity in the hippocampus region of brain.	[91]
Epigallocatechin-3-gallate (EGCG)	Nanolipidic EGCG particles (NanoEGCG)	SweAPP N2a cells, Male Sprague Dawley rats	NanoEGCG improves 2 fold bioavailability of EGCG. Furthermore, it improves the neuronal (SweAPP N2a cells) α -secretase enhancing ability <i>in vitro</i> by up to 91%.	[96]
	Selenium nanoparticles (EGCG@Se) coated with Tet-1 peptide (Tet-1-EGCG@Se)	NIH/3T3 and PC12 cells	Tet-1 peptides significantly enhance the cellular uptake of EGCG-stabilized EGCG@Se coated with Tet-1 peptide in PC12 cells and Tet-1-EGCG@Se effectively inhibit $A\beta$ fibrillation and disaggregate preformed $A\beta$ fibrils into nontoxic aggregates	[97]
Resveratrol	Polymeric micelles	PC12 cells lines	Protect PC12 cells from $A\beta$ - induced damage in a dose dependent manner by attenuating intracellular oxidative stress and caspase-3 activity without long-term cytotoxicity.	[103]
	Lipid-core nanocapsules	Male Wistar rats	Nanocapsules efficiently rescuing the deleterious effects of $A\beta_{1-42}$.	[104]

Table 2

Surface-engineered nanoparticles investigated in imaging/diagnosis of Alzheimer's disease.

Type of NPs	Ligands	Targets	Outcome	Ref
SPIONs	Ligand for A β	A β 1–40 detection	SPIONs exhibited excellent binding affinity towards A β _{1–42} and A β _{1–40} and MRI efficiently detect the A β plaque in transgenic mice.	[31]
	Labeling + external magnetic field	A β fibril removal	SPIONs selectively mark A β 40 fibrils that are easily detected by MRI and fluorescence microscope.	[137]
	Antibody	A β plaque	SPIONs cross the BBB and improve the detection of plaques in MRI	[33]
QDs	A β peptide	Fibrils and A β O detection	QDs improve the detection of A β O by fluorescent microscope imaging.	[39]
GNPs	PEGylation + Lipoic Acid + Neutravidin lysine residues	A β aggregation kinetics	Significant contrast changes was observed in MRI signals during A β self-aggregation that correspond to the detection of A β protofibrillar species in the early reversible stages of aggregation.	[138]
SPIONs	A β _{1–42} peptide	Amyloid deposition	Targeted SPIONs selectively bind to A β followed by amyloid plaques detection by T2*-weighted μ MRI.	[32]
PBCA-NPs	Fluorescent AchE inhibitor (PE154)	A β targeting and detection	PE154 targeted detection of hippocampal A β deposits with NPs by fluorescent marker in <i>in-vivo</i>	[139]
	Thioflavin T	A β -sheet detection	Thioflavins selectively targeted fibrillar A β . Thioflavin T exhibited significantly stronger fluorescence.	[140]
	Polysorbate-80	A β peptides	Crosses BBB and improved brain uptake and retention	[141]
	Polysorbate-80	A β peptides	Crosses BBB and improved brain uptake and selectively binds to A β .	[142]
SPIONs	1,1-dicyano-2-[6-(dimethylamino)-naphthalene-2-yl] propene carboxyl derivative (DDNP)	A β plaques	Exhibited high binding affinities towards A β that can efficiently measured by fluorescence spectrophotometer	[143]

Surface-engineered nanoparticles investigated as theranostics (simultaneous drug therapy and imaging) for Alzheimer’s disease.

Table 3

Type of NPs	Ligand	Imaging Agent	Therapeutic Agent	Outcome	Ref.
Chitosan NPs	Anti-amyloid antibody (IgG4.1)	Gd-DTPA	Curcumin/Dexamethasone	Targeting amyloid deposit/ CVA and exhibit good distribution in the brain vasculature	[144]
PEG-PLA NPs	TGN and QSH peptides	DiR *	Coumarin-6	Significantly higher cellular uptake and brain distribution. Enhanced targeted delivery to amyloid plaque in the brains of mice affected by AD	[145]
Chitosan NPs	Putrescine modified F(ab') ₂ fragment of anti-amyloid antibody, IgG4.1 (pF(ab') ₂ 24.1)	Magnevist®	Cyclophosphamide	Targeting cerebrovascular amyloid and provided contrast for imaging cerebrovascular amyloid using MRI and single photon emission computed tomography in <i>in-vivo</i>	[146]
SPIONs	anti-Aβ monoclonal antibodies (αAβmAbs)	fluorescent SPIONs	BAM10	5 folds greater inhibition of Aβ40 fibrillation. Selective labelling of the Aβ40 fibrils with the BAM10-conjugated SPIONs detect Aβ40 fibrils by MRI and fluorescence imaging with fair intensity	[147]
	amyloid-derived diffusible ligands antibodies (anti-ADDLs)	SPIONs		Anti-ADDLs conjugation to SPIONs seems promising as AD theranostics.	[148]

* DiR= Coumarin-6, coumarin-7, 1,10-dioctadecyl-3,3',3'',3'''-tetramethyl indotricarbocyanine Iodide; CVA = Cerebral amyloid angiopathy.