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Is HMB an effective anabolic agent to improve outcome in older diseased populations?

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Abstract

Purpose of review— β -hydroxy β -methylbutyrate (HMB) has been used for many years in athletes for muscle buildup and strength, and endurance enhancement. In recent years, its interest quickly expanded in older (diseased) populations and during (exercise) rehabilitation and recovery from hospitalization and surgery. We will discuss recent literature about HMB metabolism, its pharmacokinetics compared to the frequently used metabolite leucine, effectiveness of HMB to improve outcome in older diseased adults, and novel approaches for HMB use.

Recent findings—HMB supplementation resulted in positive outcomes on muscle mass and functionality, related to its anabolic and anticatabolic properties and prolonged half-life time in blood. Furthermore, it was able to increase the benefits of (exercise) rehabilitation programs to enhance recovery from illness or medical procedures. There is promising evidence that HMB might support bone density, improve cognitive function, and reduce abdominal obesity which is of importance particularly in the older (diseased) population.

Summary—The older diseased population might benefit from dietary HMB because of its established positive properties as well as its long lasting (pharmacological) effect. Besides evaluating its efficacy and application in various clinical conditions, more research is needed into the mechanisms of action, the optimal dosage, and its potential additional beneficial effects on outcome.

Keywords

HMB kinetics; anticatabolic and anabolic potential; pharmacokinetics; outcome; older (diseased) population

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Introduction

β -hydroxy β -methylbutyrate (HMB), a metabolite of the amino acid leucine, has been studied for many decades. In humans, HMB has been widely used as ergogenic supplement by individuals usually combined with exercise training to increase muscle mass and strength. Most of this research has focused on healthy young individuals and particularly in athletes to induce muscle buildup and strength, enhance aerobic performance and resistance to fatigue from exercise, reduce muscle damage, and improve regenerative capacity (1–3). In the past decade, HMB use in older adults has gained in interest to reduce sarcopenia and improve muscle function. Positive effects of HMB, mainly used in combination with other nutritional substrates, were observed in various disease states and particularly when combined with exercise.

Brief overview of mechanisms of actions of HMB to increase muscle mass and function

In the past years, several studies, including animal models, have focused on unraveling the mechanisms of action of HMB (3–10). In brief, HMB supplementation is effective in increasing myofibrillar protein synthesis *via* the mTOR pathway and the growth hormone/IGF-1 axis, and in preventing muscle protein breakdown *via* the ubiquitin proteasome and the autophagy-lysosome systems. HMB might increase plasma growth hormone and IGF-1 concentrations (11), and particularly when provided prior to resistance exercise augments the growth hormone response (12). HMB, despite being a leucine metabolite, signals to mTORC1 through mechanisms distinct from those of leucine. HMB also decreases cell apoptosis, therefore improving cell survival and differentiation of muscle stem cell. Furthermore, HMB is involved in the downregulation of NF κ B and FOXO transcription factors, improves mitochondrial biogenesis, enhanced sarcoplasmic reticulum calcium release during exercise, and has been shown to stabilize cell membranes *via* cholesterol synthesis HMG-coenzyme A reductase.

Measuring HMB kinetics to obtain endogenous HMB production rate using pulse isotope tracer approach

To evaluate the importance of HMB for human health and the body's requirement in case of increased demand, insight is needed in the metabolic pathways and production rates of HMB in health and disease. HMB is an endogenous metabolite from the reversible transamination of leucine to α -ketoisocaproic acid (KIC) by branched chain amino acid (BCAA) transaminase which mainly occurs in the skeletal muscle. 5–10% of the KIC is converted to HMB by KIC dioxygenase, which is high in liver and low in the muscle compartment. Human plasma concentrations of leucine, KIC and HMB are shown in Table 1 (13). We observed that plasma HMB concentration, which is 3% of that of leucine, was lower in older than in younger adults, although this was not confirmed in another recent study (14). Measurement of plasma HMB concentration is important as a strong association between plasma HMB concentrations and muscle strength and mass was found in young and older adults and in chronic diseases (14, 15).

The pathways underlying differences in HMB plasma levels among individuals can be studied using stable isotope techniques. Previously it was assumed that whole body HMB production rate, estimated from urinary excretion that is about 34% of total production, is approximately 0.3 mg/kg BW/day in pigs (2.5 $\mu\text{mol/kg BW/day}$) (16). As stable isotope tracer methodology is a more accurate way to measure whole body production rate of HMB, we chose to use a small pulse of labeled HMB stable tracer (13) as the isotope approach. When combined with the stable tracer pulses of KIC and leucine, the whole body production rates of these metabolites as well as their conversion rates can simultaneously be determined (leucine > KIC > HMB pathway) (Table 2; unpublished).

The stable tracer study shows that whole body KIC production is about 15% of leucine turnover, while HMB production is less than 1% of KIC turnover and 0.1% of leucine turnover. The total HMB production is about 4 $\mu\text{mol/kg lean mass/day}$. We previously showed, using an incorporation tracer calculation, that whole body HMB production rate accounts for 0.66% of leucine turnover (17), also indicating that HMB production in the human body is very low.

Administration of an oral dose of 3.42 gram of free leucine resulted in a plasma HMB increase (from 3 to 10 μM) 2.5 hours after intake (4), followed by a further gradual increase in HMB concentration. In recent studies in middle aged adults, we noticed that plasma leucine concentration peaks at 60 min (from 96 to 220 μM) after intake of a high protein nutritional supplement, and that a return to baseline values was observed 3 hours after intake. Interestingly, the increase in plasma HMB concentration was much longer and peaked at 6 hours (from 1.8 to 2.3 μM) after intake, and plasma HMB concentration was back to baseline value at 12 hours. This indicates that a very large amount of leucine need to be taken in order to substantially increase plasma HMB concentration.

Pharmacokinetics of leucine and HMB

Two forms of HMB are currently being used in pharmacokinetic studies and the calcium salt form (Ca-HMB) is the most commonly form used. Providing 3.42 gram of Calcium HMB increases plasma HMB concentration within 60 min to about 480 μM , followed by a very slow decline (10). Intake of 3.42 gram of Free Acid HMB increases plasma HMB concentration to 400 μM , also followed by a slow decline (4). The difference in plasma HMB response suggests a slightly better systemic bioavailability after Calcium HMB intake (10), in line with previous data (18). We tested in a pilot study (Figure) also the effects of adding Ca-HMB to a high protein nutritional supplement and found the peak HMB concentration 3 hours after combined intake of the HMB and nutritional supplement. This peak HMB concentration was 80% of that obtained after intake of HMB alone but also remained elevated up to 12 hours after intake (19). This is likely caused by slow metabolism of HMB in the body and the urinary excretion pathway of HMB. In contrast, the pharmacokinetics of leucine are characterized by a very fast absorption and distribution (20–24). Intake of 3 gram of leucine, either as a single free amino amino acid or as part of a free amino acid mixture, will increase plasma leucine concentration rapidly by 500 μM followed by a fast return to baseline within 4 hours after intake (Figure), likely caused by incorporation of leucine into protein.

How does the effects of HMB compare to Leucine?

Recent studies showed that acute intake of about 3 gram of HMB (either Ca-HMB or Free Acid-HMB) was able to increase muscle protein synthesis (MPS) two fold (4, 10) and reduce muscle protein breakdown by half (MPS) (10), leading to increased net protein synthesis. Intake of 3 grams of leucine increased muscle protein synthesis rate to the same extent as HMB (4), however, the mechanisms behind the reduction in muscle protein breakdown rate remained unclear. Most acute studies did not take in consideration the differences in pharmacokinetics between leucine (fast uptake and fast return to baseline levels) and HMB (fast uptake and slow return to baseline levels). As HMB has a more prolonged effect on muscle protein synthesis and breakdown rates than leucine, the favorable effects of HMB on protein anabolism would likely have been larger when longer observation periods were used. Although there are no studies directly comparing the effects of HMB and leucine interventions, the results from recent bedrest studies could give some insight. When HMB was given for a longer period, such as during 10 days of bedrest in older adults (25), it attenuated muscle loss. When an essential amino acid mixture high in leucine was provided to older adults in the same model of 10 days of bedrest, only a few muscle function tests improved but no attenuation in muscle loss was observed (26). Also in middle aged adults, leucine partially protected against muscle and functional loss after 14 days of bedrest (27). *Our present working hypothesis is that both HMB and leucine are able to attenuate muscle loss in older adults during catabolic conditions, albeit HMB being more promising because of a longer half-life time in blood.*

HMB intervention studies in older adults and in disease states

HMB given as a dietary supplement in humans has anticatabolic effects as it blunts age-related losses of strength and myofiber dimensions (28). Prolonged Ca-HMB supplementation was able to improve strength, body composition, functionality and muscle quality with and without resistance exercise in older adults (29). Oral nutritional supplement containing Ca-HMB improved leg muscle strength and quality in malnourished older adults with mild-moderate sarcopenia (30). We recently found no muscle loss after 10 days of bedrest in healthy older adults supplemented with HMB, and a muscle gain was observed after 8 weeks of exercise rehabilitation (25) (Table 3). Supplementation of 1.5 g Ca-HMB for 8 weeks during a mild fitness program in older women (31) resulted in increased muscle strength and endurance, and improved 6 minutes walking distance but no increase in muscle mass.

The anticatabolic effects of HMB has previously been found in patients with cancer, immunodeficiency syndrome, and COPD admitted to an intensive care unit. In the past year (Table 3), the clinical application of HMB has been reported in a wider group of diseased patients. HMB supplementation for 6 months showed no effect of body composition, muscle strength, quality of life in hemodialysis patients (32), although reduced compliance to intake might be a confounding factor. HMB as part of protein supplementation resulted in 50% lower mortality and gain in nutritional status and muscle strength at 90-day post-discharge in older malnourished hospitalized patients (33), and in improved muscle strength and mass, and physical functioning in patients with bronchiectasis when combined with pulmonary

rehabilitation (34). Furthermore, HMB as part of protein or amino acid supplementation resulted in shorter wound healing, better ambulatory and mobilization status, and in increased muscle strength postoperatively in older women with hip fractures (35), and in preserved quadriceps muscle strength after surgery in knee osteoarthritis patients (36). The increased interest and generally positive outcomes observed in recent years of HMB supplementation in the older population with different diseases but also in relation to medical treatment, hospitalization, and surgical procedures, will likely further increase its use in research studies which is required to determine its applicability to improve health and well-being of various clinical populations as well as its optimal dosage.

Other new potential beneficial effects of HMB

Cognitive enhancement

Normal aging results in cognitive decline including deficits in attention, memory, decision making, visuospatial skills negatively affecting quality of life. Nutritional interventions of HMB is a potential approach for reducing these deficits as HMB is known to cross the blood–brain barrier (37). In mice, no effect were found of short-term HMB supplementation on cognition (38). However in aged rats, daily HMB supplementation has been shown to mitigate age-related declines in dendritic material and the total number of dendritic spines in the medial prefrontal cortex (39) and to prevent age-related decrement of water maze performance (40). Recently, treatment with HMB improved working memory performance in middle-age males and old age rats (41). In the cognitive flexibility task, there was an age-dependent deficit in acquisition of the visual strategy that was not present in old age males treated with HMB. HMB also reduced the deficit in visual strategy acquisition in middle age females. These data suggest that HMB supplementation may be an effective nutritional intervention for diminishing age-associated cognitive decline. The precise mechanism through which HMB induces anti-aging cognitive benefits are still unknown.

Improved Bone Health

Currently used approaches to treat osteoporosis include antiresorptive and anabolic agents influencing bone metabolism. As HMB has anabolic effects on muscle (see above), it has been suggested that HMB is also anabolic for bone as reflected by higher bone mineral density and improved morphometric and mechanical properties (42). Furthermore, HMB intake improved bone properties during simulated sustained military operations in mice (43). Although human studies are still limited, a recent case report showed that 61 weeks of oral administration with calcium-HMB improved volumetric bone mineral density of lumbar spine in the trabecular and cortical bone compartments (44). Positive effects of 2.5 years of HMB treatment on bone density of lumbar spine and femur in were also shown (44). Oral protein supplementation enriched with HMB during pulmonary rehabilitation improved bone density in patients with bronchiectasis (34). No effects of HMB supplementation for 6 months on bone density were found in hemodialysis patients, but 31% of the HMB group were noncompliant (32). The exact mechanisms positively influencing bone tissue metabolism by HMB, as well as the relationship between HMB dosage and the response of the skeletal system needs further investigation.

Reducing Fat mass in abdominal obesity

Recent studies showed that HMB improves metabolic capacity to utilize fat and increases fatty acid oxidation in adipocytes and muscle cells (8, 45). Growth hormone, which is increased after HMB intake (12), is known to stimulate lipolysis. Accumulation of abdominal fat mass has been correlated with increased risk of hypertension, diabetes and cardiovascular disease. HMB in combination with resistance exercise resulted in (relative) lower values for whole body fat (29, 34, 46) and in decreased adipose fat mass in older adult men (47). No positive effects of HMB supplementation on abdominal fat mass or fat area in muscle have however been found in other studies (31, 32), indicating the use of HMB to reduce fat mass particularly in the treatment of abdominal obesity is less certain (48).

Is HMB an effective anabolic agent to improve outcome in diseased populations?

It has become clearer that the anabolic properties of HMB in relation to muscle mass and function, and its potential to enhance the effects of and recovery from exercise are also present in older (diseased) populations. There is sufficient evidence that both leucine and HMB can stimulate protein synthesis and reduce protein breakdown, albeit with different efficacy (4, 7, 10). The fact that the half-life time in blood of HMB is longer than that of leucine, favors the use of HMB above leucine to achieve protein anabolism. Furthermore, there is promising evidence that HMB might support bone density, improve cognitive function, and reduce abdominal obesity in older adults. The exact mechanisms of action and the optimal dosage for HMB supplementation in diseased populations remain unclear and more research is needed in various clinical conditions.

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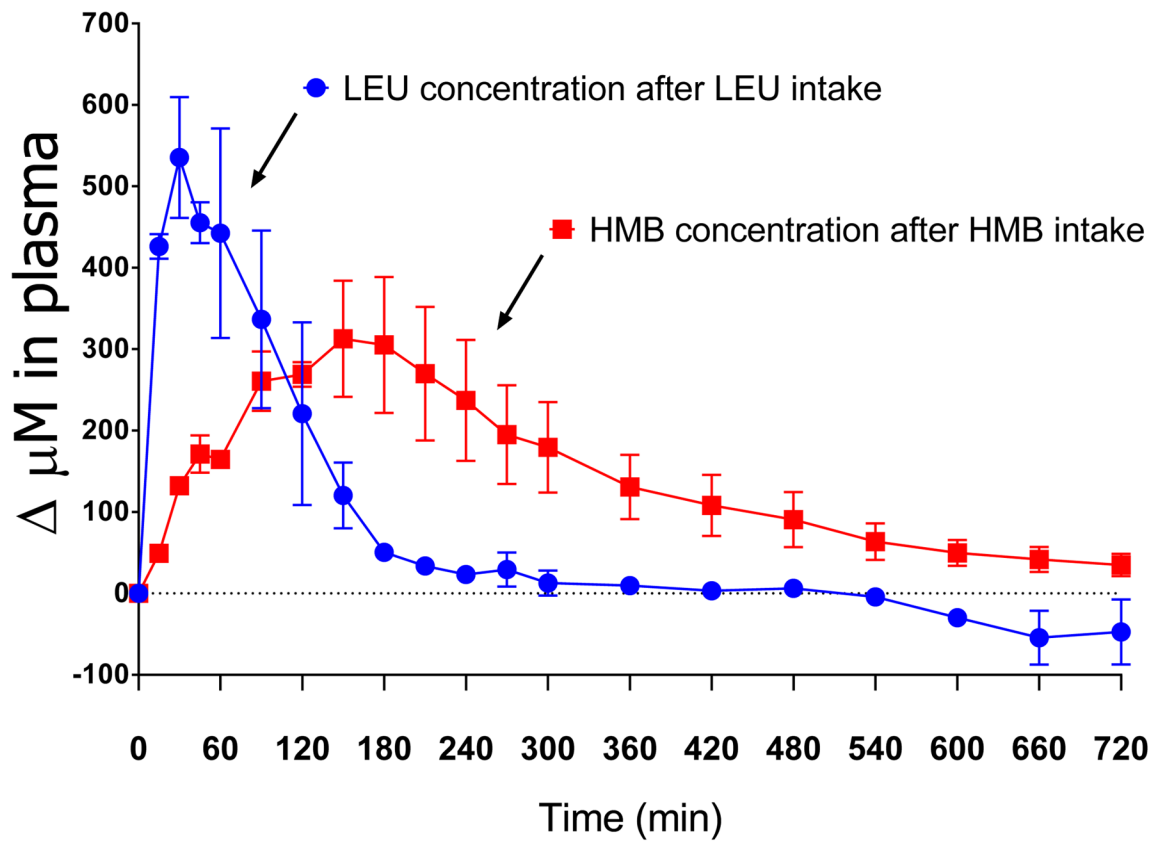
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Key Points

1. Use of HMB has gained lately a considerable amount of attention in diseased populations to regain their muscle mass and function, improve their condition and well-being, and to improve their treatment response.
2. The advantages of HMB above other available dietary metabolites are its anticatabolic and long lasting (pharmacological) effect.
3. Evidence exists that HMB might support bone density, improve cognitive function, and reduce abdominal obesity which is of crucial importance in the older population.
4. A large amount of dietary leucine need to be taken in order to substantially increase plasma HMB concentration.
5. The optimal clinical dosage of HMB and its application in various clinical conditions needs to be established.

**Figure.**

The change in plasma concentration after intake of a high protein nutritional supplement (ONS) to which 3 gram leucine was added or 1.5 gram of Calcium HMB. The pharmacokinetics show a fast absorption and return to baseline when leucine was added to the nutritional supplement, while a slow absorption and slow return to baseline when HMB was added to the nutritional supplement.

Table 1Plasma concentration in the postabsorptive condition in $\mu\text{mol/l}$ (mean (SE))(13)

Substrate	Younger Adults (n=10, 20–30y)	Older Adults (n=17, 60–70y)	P value
<i>Leucine</i>	104.4 (10.7)	99.4 (5.1)	0.636
<i>α-ketoisocaproic acid (KIC)</i>	27.4 (2.5)	20.7 (1.4)	0.0206
<i>β-hydroxy β-methylbutyrate (HMB)</i>	3.5 (0.3)	2.6 (0.2)	0.0098

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Table 2

Whole body production rates in $\mu\text{mol/kg}$ lean body mass* h as measured by stable tracer methodology (mean (SE)) after a pulse with the respective tracers (Unpublished data).

Substrate (stable tracer)	Older adults (n=11; 65–75y)
<i>Leucine (L-[U-$^{13}\text{C}_6$])</i>	154.1 (18.4)
<i>α-ketoisocaproic acid ([1-^{13}C])</i>	23.7 (2.64)
<i>β-hydroxy β-methylbutyrate ([3,4 methyl-$^{13}\text{C}_3$])</i>	0.17 (0.02)

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Table 3

Published studies in past 2 years investigating effects of HMB intervention in healthy and diseased older adults

Author	Study population	Design	Nutritional intervention	(Exercise) rehabilitation and assessments	Primary Results
Berton, 2015 (31)	Older women 65y and older (n=80)	Randomized, parallel group, open label	1.5g/day of calcium HMB vs. control for 8 wks	Twice-weekly mild fitness program for 8 wks (ie resistance exercise) Assessments at baseline and after 8wks	No difference in short physical performance battery, handgrip strength or body composition. HMB group: ↑ isokinetic flexion and extension, ↑ isometric strength, ↑ 6MWT, ↑ handgrip endurance
Cramer 2016 (30)	Malnourished and sarcopenic men and women 65y and older (n=330)	Multicenter, randomized, double-blinded, controlled clinical trial	Experimental ONS (20g protein, 499 IU Vit D3, 1.5g Ca-HMB) vs. control (14g protein, 147 IU Vit D3) for 24 wks. 2 servings/day	Assessments at wk 0, 12 and 24	Experimental ONS vs control: ↑ leg muscle strength and quality in mild/moderate sarcopenia but not severe sarcopenia
Nishizaki, 2015 (36)	Knee osteo arthritis patients undergoing total knee arthroplasty (n=23)	Randomized controlled study	2.4g HMB/14g ARG/14g GLN (n=13) vs. control (n=10) daily for 5 days before and 28 days after surgery	Strength training, range of motion exercise and walking training postop. Assessments 7 days prior and 14, 28 and 42 days post surgery	HMB: No loss of muscle strength between pre and post operative day 14. No difference in non-operative side, length of hospital stay, body weight changes
Stout 2015 (47)	Healthy elderly men (n=48)	Randomized, double blind, controlled study	1.5g Ca-HMB + 4g CHO vs. 200 mg calcium + 4g CHO twice daily	Twelve weeks of resistance training (RT). Assessments pre and post RT	HMB+RT: ↓ adipose fat mass
Deutz, 2016 (33)	65y and older, hospitalized for exacerbation COPD, CHF, acute myocardial infarction, pneumonia (n=652)	multicenter, randomized, placebo controlled double-blind	High Protein-HMB (20g protein, 11g fat, 44g CHO, 1.5g Ca-HMB, 160IU vitamin D) vs placebo, 2*/day from hospitalization until 90-day postdischarge	Inpatient and posthospital discharge Assessments at hospital admission and discharge, day 30, 60 and 90 postdischarge	No difference in primary composite endpoint (90 d postdischarge incidence of death or nonelective readmission). High Protein-HMB: ↓ 90-day mortality, ↑ survival, ↑ odd of patients achieving better nutritional status at day 90, ↑ body weight day 30
Ekinci, 2016 (35)	Older women with hip fracture admitted to orthopedic clinics (n=75)	Randomized controlled study	3g CaHMB, 1000IU vitamin D, 36g protein nutritional supplement 2 servings/day postoperatively for 30 days vs. control	Assessment preoperatively and at postoperative day 15 and 30.	CaHMB/vitamin D/protein combination: Shorter wound healing period. ↑ ambulatory and mobilization, ↑ muscle strength.
Fitschen, 2016 (32)	Maintenance hemodialysis patients (n=33)	Double-blind, placebo controlled, randomized trial	3g/d Ca-HMB vs. placebo 7d/w for 6 months	Assessment during 6 months of hemodialysis	No difference in body composition, strength, bone density, physical function, fall risk, quality of life. Compliance problems
Oliveira, 2016 (34)	Bronchiectasis (n=30)	single center, randomized controlled trial, parallel treatment design	Oral nutritional supplement (ONS). 18g protein, 1.5g HMB, 1.7g prebiotic fiber vs. no supplement once/day	12 wks of pulmonary rehabilitation. Assessment at baseline, 12 and 24 wks	ONS: ↑ Bone density, handgrip strength, Mid-arm muscle circumference, physical functioning

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Author	Study population	Design	Nutritional intervention	(Exercise) rehabilitation and assessments	Primary Results
					domain in quality of life. Non-sign ↑ myostatin

Ca: calcium, CHO: carbohydrates, HMB: β -hydroxy β -methylbutyrate, 6MWT: 6 minute walk test, ONS: oral nutritional supplement, RT: resistance training