

## Time to change perspectives on HPV in oropharyngeal cancer. A systematic review of HPV prevalence per oropharyngeal sub-site the last 3 years



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### ABSTRACT

**Objectives:** Human papillomavirus (HPV) as a risk factor in oropharyngeal squamous cell carcinoma (OPSCC) is well established. However, accumulating data imply that the OPSCC concept is too unspecific with regard to HPV prevalence and clinical importance. To further study the role of HPV in OPSCC by sub-site, a systematic review and meta-analysis was performed.

**Material and method:** PubMed was searched and all studies reporting HPV data (p16/HPV DNA/RNA) in both “lymphoepithelial associated” (i.e. tonsillar and base of tongue cancer; TSCC and BOTSCC respectively) and “non-lymphoepithelial” (“other” OPSCC) OPSCC were included. Pooled odds ratios by HPV detection method were analysed using a random effects model.

**Results:** In total, 58 unique patient cohorts were identified. Total HPV prevalence in TSCC/BOTSCC was 56%, 95%CI: 55–57% (59%, 95%CI: 58–60% for TSCC only) as compared to 19%, 95%CI: 17–20%, in “other” OPSCC. Significant association of HPV to TSCC/BOTSCC vs. “other” OPSCC was observed no matter HPV detection method used, but statistical homogeneity was only observed when studies using algorithm based HPV detection were pooled.

**Conclusion:** HPV prevalence differs markedly between OPSCC sub-sites and while the role of HPV in TSCC/BOTSCC is strong, the role in “other” OPSCC is more uncertain and needs further evaluation.

### 1. Introduction

Already in 1983 Syrjänen and colleagues published the first data suggesting that human papillomavirus (HPV) could be associated to a sub-group of head and neck squamous cell carcinoma (HNSCC) [1]. Since then, the field of HPV, especially HPV type 16, in HNSCC has emerged considerably. Subsequently, in 2009, due to a large body of evidence the International Agency of Research of Cancer (IARC) declared that “there is a strong epidemiological evidence for the casual role of HPV16 in the aetiology of cancer of the oropharynx and tonsil” [2]. Today, research on HPV and HNSCC in general has shifted and focuses on HPV in oropharyngeal squamous cell carcinoma (OPSCC). Moreover, recent accumulating data imply that HPV in the oropharynx context may still be too broad and un-specific and that it is biologically and clinically necessary to narrow down the concept of oropharynx to specific sub-sites, more specifically to tonsillar and base of tongue squamous cell carcinoma (TSCC and BOTSCC) [3–6].

The oropharynx is namely a histological heterogeneous sub-site within the head and neck region that consists not only of the palatine tonsils and the base of tongue (including the lingual tonsils), but also the soft palate, the tonsillar pillars and the uvula. The histology of the palate, the pillars and the uvula is built up by a stratified squamous epithelium without a keratin layer, similar to what is observed in the oral cavity, whereas the histology of the tonsils and the tongue base is distinctly different. The tongue base and the tonsillar mucosa invaginates and forms “crypts” lined with reticulated epithelium, in which the basal lamina is discontinuous and the histological border between the epithelium and the underlying lymphoid stroma is indistinct (“lymphoepithelial tissue”) [7,8]. These crypts are normally not observed at the other sites of the oropharynx (or in e.g. oral cavity). There is now evidence demonstrating that HPV positive carcinomas develop within the histological characteristic crypts in the oropharynx, while HPV negative carcinomas emerge mainly from the surface epithelium [7,8]. Due to this morphological difference in tissue tropism and absence or

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presence of crypts, we speculate that HPV should be evaluated per sub-site in oropharynx. Here, a systematic review is presented of literature published 2013–2016 regarding HPV prevalence per cancer sub-site in the oropharynx, and we argue that sub-site within oropharynx matters.

## 2. Material and methods

### 2.1. Search strategy and data extraction

PubMed was searched for all studies published from 2013-01-01 to 2016-10-31 using the search terms (HPV OR Papillomaviridae[MeSH]) AND (oropharyngeal OR oropharynx OR tonsil OR tonsillar OR “base of tongue” OR “soft palate”) AND (cancer OR carcinoma) AND (2016[DP] OR 2015[DP] OR 2014[DP] OR 2013[DP]). The PRISMA statement was consulted to perform the search [9]. In total 1266 articles were identified and ultimately 64 met the inclusion criteria of which 58 unique cohorts were identified and for details see the flow chart in Fig. 1. More specifically, 965 articles remained initially for further analysis after filtering out 230 as review articles, 30 not written in English, and 41 without an abstract. Abstracts from these 965 articles were then reviewed by two researchers (AN and LH) and those reporting HPV data were then further reviewed by examining the “material and method” and the “result” section in the articles. Articles reporting HPV data by a molecular tissue specific method (PCR, ISH or

p16 immunohistochemistry) in HPV related “lymphoepithelial” oropharyngeal sub-sites (*i.e.* tonsillar and base of tongue) and in HPV unrelated “non-lymphoepithelial” oropharyngeal sub-sites (*i.e.* walls of oropharynx, uvula and soft palate) in an un-selected cohort (retrospective/prospective, randomized/non-randomized) were included (Fig. 1). For each study, only the cohort of OPSCC patients was considered and the numbers of patients with HPV positive and negative tumours per sub-site were calculated or extracted, together with the HPV detection method. A consensus was reached for each article. The main reason for exclusion was that the sub-sites of oropharynx were not specified (Fig. 1).

### 2.2. Statistical analysis

Differences in HPV positive and negative patient numbers were calculated by using Fisher's exact test (two-tailed) and Chi2-test (two-tailed) when appropriate. A p-value  $\leq 0.05$  was considered as significant. The *metan* command in Stata 11 (StataCorp, College Station, TX) was used to pool odds ratios (OR) with 95% confidence intervals (CI) across studies using the Der Simonian and Laird random-effects methods.

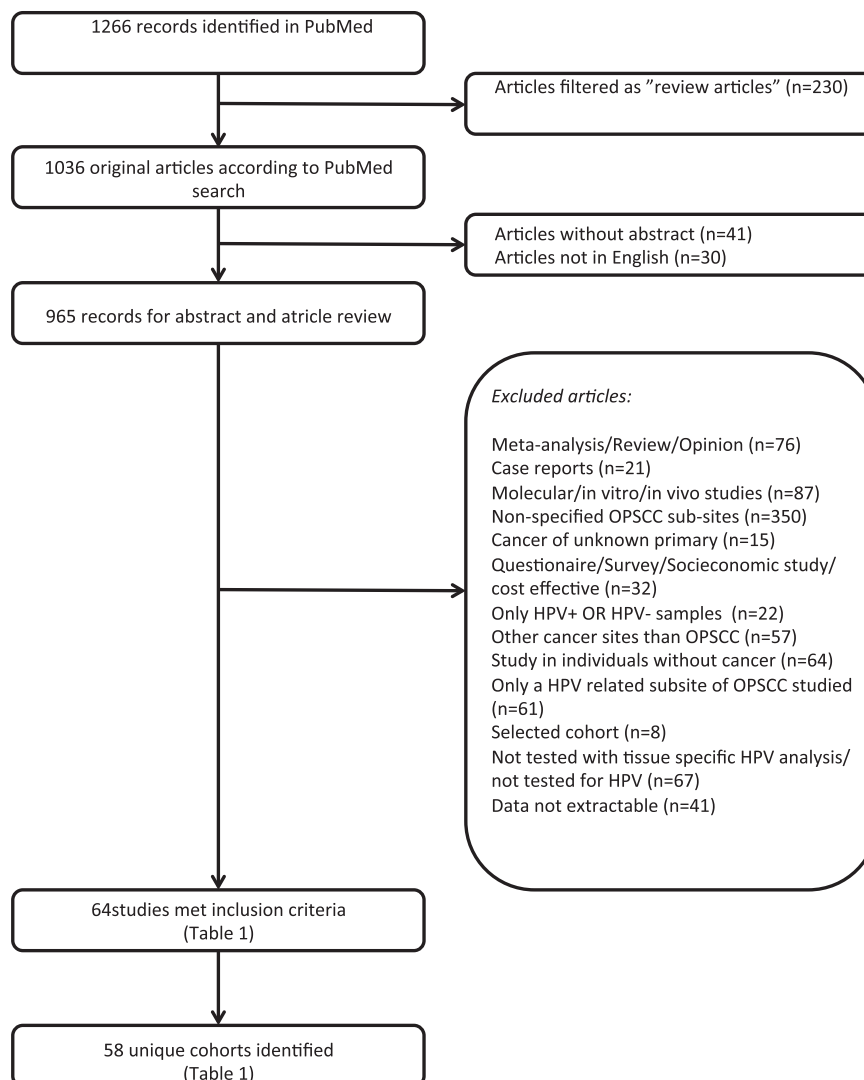


Fig. 1. Flow diagram of study population identification and selection.

**Table 1**  
Studies and their patients included in the meta-analysis.

Author, Year	Country <sup>a</sup>	Oropharyngeal sub-site	HPV+ tumours	HPV- tumours	HPV prevalence	HPV detection	p-value <sup>b</sup> (TSCC and BOTSCC vs. "other" OPSCC)	p-value <sup>c</sup> (TSCC only vs. "other" OPSCC)
Bahl et al., 2014 [10]	India	Base of tongue	14	61	19% (18–20%)	PCR	NS	NS
		Tonsil	10	15	40% (36–44%)			
		Soft palate	0	5	0% (0–0%)			
Bhosale et al., 2016 [11]	India	Base of tongue	0	23	0% (0–0%)	p16 IHC	NS	NS
		Tonsil	3	18	14% (11–18%)			
		Soft palate	0	5	0% (0–0%)			
		Posterior wall	1	4	20% (4–36%)			
Broglie et al., 2013 [13]	Switzerland	Base of tongue	22	28	44% (42–46%)	p16 IHC	NS	NS
		Tonsil	31	37	46% (44–48%)			
		Post wall/ soft palate	1	5	17% (4–29%)			
Broglie et al., 2015 [12]	Switzerland	Base of tongue	3	3	50% (34–66%)	p16 IHC	NS	NS
		Tonsil	36	13	73% (72–75%)			
		Post wall	0	2	0% (0–0%)			
Busso et al., 2014 [14]	Italy	Base of tongue	1	10	9% (4–14%)	PCR	NS	NS
		Tonsil	13	21	38% (35–41%)			
		Soft palate	2	1	67% (36–97%)			
		Posterior wall	0	2	0% (0–0%)			
Cerezo et al., 2014 [16]	Spain	Base of tongue	10	30	25% (23–27%)	p16 IHC	NS	NS
		Tonsil	11	27	29% (27–31%)			
Cerezo et al., 2014 [15]		Soft plate	5	8	38% (31–46%)			
		Pharyngeal wall	1	1	50% (1–99%)			
Dahlstrom et al., 2015 [17]	United States of America	Base of tongue	139	16	90% (89–90%)	p16 IHC and ISH with/without PCR	0.04	0.04
		Tonsil	172	22	89% (88–90%)			
		Other	4	3	57% (43–71%)			
Davis et al., 2014 [18]	United States of America	Base of tongue	5	4	56% (45–66%)	p16 IHC	0.003	0.002
		Tonsil	12	3	80% (75–85%)			
		Soft palate	0	6	0% (0–0%)			
Doná et al., 2015 [19]	Italy	Base of tongue	26	34	43% (42–45%)	PCR	0.002	0.003
		Tonsil	30	34	47% (45–48%)			
		Other oropharynx	1	15	6% (3–9%)			
Evans et al., 2013 [20]	United Kingdom	Base of tongue and vallecula	15	20	43% (40–46%)	p16 IHC and PCR and/or ISH	0.001	0.0003
		Tonsil	54	39	58% (57–59%)			
		Other oropharynx	0	10	0% (0–0%)			
Fahkry et al., 2014 [21]	United States of America	Base of tongue	52	36	59% (58–60%)	p16 IHC	0.02	0.002
		Tonsil	39	19	67% (66–69%)			
		Soft palate	0	3	0% (0–0%)			
		Oropharynx NOS	14	9	61% (57–65%)			
		Faucial arch	0	1	0% (0–0%)			
		Pharyngeal oropharynx	0	8	0% (0–0%)			
Faust et al., 2016 [22]	Sweden	Base of tongue	15	12	56% (52–59%)	PCR	0.001	< 0.001
		Tonsil	75	28	73% (72–74%)			
		Oropharynx NOS	2	9	18% (11–25%)			
Fonmarty et al., 2015 [23]	Not specified	Anterior/lateral oropharynx (tonsil, base of tongue and glossotonsillar sulcus)	20	31	39% (37–41%)	p16 IHC and PCR	< 0.001	–
		Other oropharyngeal sites	0	20	0% (0–0%)			
Fujimaki et al., 2013 [24]	Japan	Lateral	27	23	54% (52–56%)	p16 IHC and ISH	NS	0.05
		Anterior	4	7	36% (28–45%)			
		Posterior	0	3	0% (0–0%)			
		Superior	0	2	0% (0–0%)			
Grisar et al., 2016 [26]	Belgium	Tongue base	6	36	14% (13–16%)	p16 IHC	NS	0.05
		Tonsil	8	7	53% (47–60%)			
		Soft palate	1	4	20% (4–36%)			
		Oropharynx NOS	8	26	24% (21–26%)			
Habbous et al., 2013 [27]	Canada	Base of tongue	159	50	76% (76–76%)	p16 IHC	< 0.0001	< 0.0001
		Tonsil	308	83	79% (79–79%)			

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Table 1 (continued)

Author, Year	Country <sup>a</sup>	Oropharyngeal sub-site	HPV+ tumours	HPV- tumours	HPV prevalence	HPV detection	p-value* (TSCC and BOTSCC vs. "other" OPSCC)	p-value* (TSCC only vs. "other" OPSCC)
		Other oropharynx	27	56	33% (31–34%)			
Hama et al., 2014 [28]	Japan	Anterior	6	20	23% (20–26%)	PCR	< 0.0001	< 0.0001
		Lateral	73	44	62% (62–63%)			
		Upper	0	10	0% (0–0%)			
		Posterior	0	4	0% (0–0%)			
Henneman et al., 2015 [29]	Netherlands	Base of tongue	13	36	27% (25–28%)	PCR	0.003	0.001
		Tonsil	37	41	47% (46–49%)			
		Other oropharynx	1	18	5% (3–8%)			
Hong et al., 2013 [32]	Australia	Base of tongue	11	18	38% (35–41%)	PCR and p16	0.001	< 0.001
		Tonsil	99	84	54% (54–55%)	IHC		
		Other oropharynx	3	18	14% (11–18%)			
Hong et al., 2014 [31]	Australia	Base of tongue	29	30	49% (47–51%)	PCR and p16	< 0.001	< 0.001
		Tonsil	181	222	45% (45–45%)	IHC		
		Other oropharynx	10	43	19% (17–20%)			
Hong et al., 2013 [30]	Australia	Base of tongue	15	31	33% (31–35%)	PCR and p16	< 0.001	0.0001
		Tonsil	253	298	46% (46–46%)	IHC		
		Other oropharynx	9	41	18% (16–20%)			
Isayeva et al., 2013 [33]	United States of America	Base of tongue	20	16	56% (53–58%)	RT-PCR	NS	0.03
		Tonsil	31	9	78% (75–80%)			
		Soft palate/uvula	3	3	50% (34–66%)			
		Oropharynx	10	10	50% (45–55%)			
Iyer et al., 2015 [34]	United States of America	Base of tongue	50	39	56% (55–57%)	p16 IHC	< 0.0001	< 0.0001
		Tonsil	48	18	73% (71–74%)			
		Soft palate	8	38	17% (16–19%)			
Jiang et al., 2015 [35]	United States of America	Base of tongue	12	3	80% (75–85%)	ISH	< 0.0001	0.0001
		Tonsil	10	6	63% (57–68%)			
		Soft palate	0	10	0% (0–0%)			
Kim et al., 2014 [38]	Not specified	Base of tongue	5	12	29% (24–35%)	PCR	NS	NS
		Tonsil	15	32	32% (30–34%)			
		Soft palate	1	9	10% (4–16%)			
Kim et al., 2015 [37]	South Korea	Base of tongue	1	3	25% (4–46%)	p16 IHC	< 0.001	< 0.001
		Tonsil	79	25	76% (75–77%)			
		Soft palate	1	8	11% (4–18%)			
		Oropharynx NOS	8	8	50% (44–56%)			
Kwakami et al., 2013 [36]	Japan	Base of tongue	4	9	31% (24–38%)	PCR	< 0.001	0.001
		Tonsil	31	29	52% (50–53%)			
		Other oropharynx	5	26	16% (14–18%)			
Kwon et al., 2016 [39]	New Zealand	Tonsil and tonguebase	86	31	74% (73–74%)	p16 IHC	< 0.0001	–
		Other oropharynx	0	14	0% (0–0%)			
Lam et al., 2015 [40]	China	Base of tongue	4	35	10% (9–12%)	PCR and E6*I mRNA	0.01	0.003
		Tonsil	36	88	29% (28–30%)			
		Soft palate	3	29	9% (8–11%)			
		Other oropharyngeal walls	0	12	0% (0–0%)			
Lee et al., 2016 [41]	South Korea	Base of tongue	15	4	79% (75–83%)	p16 IHC	< 0.0001	< 0.0001
		Tonsil	89	12	88% (87–89%)			
		Soft palate	0	4	0% (0–0%)			
		Posterior wall	0	2	0% (0–0%)			
Van Limbergen et al., 2014 [70]	Belgium	Base of tongue	16	67	19% (18–20%)	PCR and p16IHC	0.002	< 0.001
		Tonsil	33	72	31% (31–32%)			
		Soft palate	0	11	0% (0–0%)			
		Pharyngeal wall	1	30	3% (2–4%)			
		Unclear	3	16	16% (12–20%)			
Liu et al., 2015 [42]	Australia	Base of tongue	7	13	35% (30–40%)	PCR and ISH	0.002	< 0.001
		Tonsil	39	29	57% (56–59%)			
		Other oropharynx	2	15	12% (8–15%)			

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Table 1 (continued)

Author, Year	Country <sup>a</sup>	Oropharyngeal sub-site	HPV+ tumours	HPV- tumours	HPV prevalence	HPV detection	p-value* (TSCC and BOTSCC vs. "other" OPSCC)	p-value* (TSCC only vs. "other" OPSCC)
Ljokel et al., 2016 [43,44]	Norway	Base of tongue	28	13	68% (66–71%)	PCR	< 0.0001**	< 0.0001
Lybak et al., 2016 [45]		Tonsil	86	39	69% (68–70%)			
		Tonsil pillar	1	12	8% (4–12%)			
		Overlapping tonsil	1	0	100% (100–100%)			
		Oropharynx (ICD-10 C10)	3	22	12% (9–15%)			
		Soft palate and overlapping lesion	4	10	29% (22–35%)			
		Uvula	1	6	14% (4–24%)			
McIlwain et al., 2014 [47]	United States of America	Base of tongue	26	8	76% (74–79%)	p16 IHC	0.04	0.02
		Tonsil	41	5	89% (88–90%)			
		Soft palate	4	2	67% (51–82%)			
		Posterior wall	0	2	0% (0–0%)			
Mazul et al., 2016 [46]	United States of America	Base of tongue	50	23	68% (67–70%)	PCR	NS	NS
		Tonsil	115	33	78% (77–78%)			
		Other oropharynx	17	10	63% (59–66%)			
Melkane et al., 2014 [48]	France	Lymphoid location ( <i>tonsillar and base of tongue</i> )	65	57	53% (52–54%)	p16 IHC	0.03	–
		Nonlymphoid location ( <i>posterior oropharyngeal wall and soft palate</i> )	2	9	18% (11–25%)			
Melkane et al., 2014 [49]	France	Lymphoid location	28	13	68% (66–71%)	p16 IHC	< 0.01	–
		Non-lymphoid location	0	5	0% (0–0%)			
Mizumachi et al., 2013 [50]	Japan	Lateral wall	18	23	44% (42–46%)	PCR	NS	0.04
		Anterior wall	4	14	22% (18–27%)			
		Superior wall	1	8	11% (4–18%)			
		Posterior wall	0	3	0% (0–0%)			
Morbini et al., 2014 [51]	Italy	Base of tongue	6	4	60% (50–70%)	mRNA ISH	< 0.01	< 0.01
		Tonsil	13	8	62% (57–66%)			
		Soft palate	1	9	10% (4–16%)			
Naik et al., 2015 [52]	United States of America	Base of tongue	70	6	92% (91–93%)	p16 IHC and/or ISH	NS	NS
		Tonsil	56	10	85% (84–86%)			
		Other	4	1	80% (64–96%)			
Nasman et al., 2013 [53]	Sweden	Base of tongue	75	28	73% (72–74%)	PCR	< 0.0001	< 0.0001
		Tonsil	217	66	77% (76–77%)			
		Other oropharynx	4	27	13% (11–15%)			
		Soft palate	7	15	32% (28–36%)			
Nichols et al., 2013 [54]	United Kingdom	Base of tongue	15	10	60% (56–64%)	PCR	< 0.01	0.01
		Tonsil	31	21	60% (58–61%)			
		Other	4	14	22% (18–27%)			
Nomura et al., 2014 [55]	Japan	Lateral wall	29	25	54% (52–56%)	PCR and/or p16 IHC	0.02	0.05
		Base of tongue	8	4	67% (59–74%)			
		Superior wall	0	7	0% (0–0%)			
		Posterior wall	2	2	50% (26–74%)			
Oguejiofor et al., 2013 [56]	United Kingdom	Base of tongue	32	27	54% (53–56%)	p16 IHC	NS	NS
		Tonsil	84	51	62% (62–63%)			
		Other oropharynx	9	8	53% (47–59%)			
Ou et al., 2016 [57]	New Zealand	Base of tongue	15	5	75% (71–79%)	p16 IHC and PCR	0.02	0.02
		Tonsil	23	4	85% (83–88%)			
		Soft palate	2	1	67% (36–97%)			
		Oropharyngeal wall	0	1	0% (0–0%)			
		Oropharynx (unspecified)	1	3	25% (4–46%)			
Quabius et al., 2015 [58,59]	Germany	Tonsillar	59	76	44% (43–44%)	PCR	0.03	0.03
		Soft palate and posterior wall of oropharynx	3	17	15% (12–18%)			
Rietbergen et al., 2013 [60]	Netherlands	Base of tongue	51	161	24% (24–24%)	p16 IHC and PCR	< 0.0001	< 0.0001
		Tonsil	96	248	28% (28–28%)			
		Soft palate	9	115	7% (7–8%)			

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Table 1 (continued)

Author, Year	Country <sup>a</sup>	Oropharyngeal sub-site	HPV+ tumours	HPV- tumours	HPV prevalence	HPV detection	p-value* (TSCC and BOTSCC vs. "other" OPSCC)	p-value* (TSCC only vs. "other" OPSCC)
		Oropharynx NOS	7	122	5% (5–6%)			
Rietbergen et al., 2013 [61]	Netherlands	Base of tongue Tonsil Soft palate Oropharynx NOS	13 23 0 5	54 60 31 54	19% (18–21%) 28% (27–29%) 0% (0–0%) 8% (8–9%)	P16 IHC and PCR	< 0.001	< 0.0001
Saito et al., 2015 [62]	Japan	Lateral wall Base of tongue Superior wall Posterior wall	45 12 1 0	48 29 10 5	48% (47–49%) 29% (27–31%) 9% (4–14%) 0% (0–0%)	p16 IHC	0.005	0.002
Schache et al., 2013 [64]	United Kingdom	Base of tongue Tonsil Soft palate Oropharynx NOS	5 22 4 2	8 21 9 7	38% (31–46%) 51% (49–53%) 31% (24–38%) 22% (13–31%)	qRT-PCR	NS	NS
Schache et al., 2016 [65]	United Kingdom	Base of tongue Tonsil Soft palate/uvula Oropharynx NOS	179 528 8 49	183 326 80 121	49% (49–50%) 62% (62–62%) 9% (8–10%) 29% (28–29%)	p16 IHC and PCR or ISH	< 0.0001	< 0.0001
Schouten et al., 2016 [66]	Not stated	Base of tongue Tonsil Oropharynx NOS	12 12 3	7 6 4	63% (58–68%) 67% (62–72%) 43% (29–57%)	p16 IHC and PCR	NS	NS
Steinau et al., 2014 <sup>b</sup> [67] Saraiya et al., 2015 <sup>b</sup> [63] Goodman et al., 2015 <sup>b</sup> [25]	United States of America	Base of tongue Tonsil Other oropharynx	149 201 46	64 49 48	70% (70–70%) 80% (80–81%) 49% (48–50%)	PCR	< 0.0001	< 0.0001
Strojan et al., 2015 [68]	Slovenia	Base of tongue Tonsil Other oropharynx	4 12 4	16 28 35	20% (16–24%) 30% (28–32%) 10% (9–12%)	E6/E7 mRNA ISH	NS	0.05
Tural et al., 2013 [69]	Turkey	Base of tongue Tonsil Other	12 26 4	15 19 5	44% (41–48%) 58% (56–60%) 44% (34–55%)	PCR	NS	NS
Wang et al., 2016 [72]	China	Base of tongue Tonsil Soft palate Oropharynx NOS	6 7 3 6	68 3 47 48	8% (7–9%) 70% (61–79%) 6% (5–7%) 11% (10–12%)	PCR	NS	< 0.0001
Ward et al., 2014 [73]	United Kingdom	Base of tongue Tonsil Other oropharynx	40 99 10	28 57 36	59% (57–60%) 63% (63–64%) 22% (20–23%)	p16 IHC and ISH	< 0.0001	< 0.0001
Wagner et al., 2015 [71]	Germany	Tonsil Other than tonsil	20 12	12 84	63% (60–65%) 13% (12–13%)	P16 IHC and PCR and/or ISH	–	< 0.0001

\* p-value calculated by chi-2 test (tonsil and tongue base vs other oropharynx and soft palate; or tonsil vs other oropharynx and soft palate) after patient numbers had been extracted from article.

\*\* p-value calculated by chi-2 test (tonsil and tongue base, overlapping tonsil vs tonsil pillars other oropharynx and soft palate) after patient numbers been extracted from article.

<sup>a</sup> Countries from which the patient material and data were collected.

<sup>b</sup> Patients reported in Stainau et al. presented.

### 3. Results

#### 3.1. Prevalence of HPV at different OPSCC sub-sites

In total, 64 articles were included in the analysis, with a total of 11710 patients in these studies. The number of patients varied between 30 and 1474 (mean 202 patients per study) (Table 1) [10–73]. The sub-sites tonsils and base of tongue dominated the oropharyngeal cancer sites (83%), whereas only a minority of the tumours were located in the soft palate and the oropharyngeal walls (17%). Total oropharyngeal HPV prevalence per study varied between 7% and 88% (Table 1).

Notably, HPV was more commonly found in “lymphoepithelial” tissues (TSCC and BOTSCC) as compared to “non-lymphoepithelial” tissues (“other” OPSCC) of the oropharynx (Table 1, Fig. 2A). Total HPV prevalence in TSCC/BOTSCC was 56%, 95% CI: 55–57% (59%, 95% CI: 58–60% for TSCC only) as compared to 19%, 95% CI: 17–20%, HPV prevalence in “other” OPSCC (Table 1).

Furthermore, since there is a risk of misclassification of large mobile tongue cancer into BOTSCC and vice versa, a sub-group analysis was performed comparing only TSCC and “other” OPSCC. The differences observed between “lymphoepithelial” and “non-lymphoepithelial” tissues were here even more pronounced (Table 1 and

Fig. 2B).

In addition, a separate analysis including only studies reporting HPV prevalence data divided by tonsillar, base of tongue, soft palate/uvulae and oropharynx was performed. As depicted in Table 2, HPV prevalence was highest in TSCC, followed by BOTSCC, and lower at the other sites (Table 2).

3.2. HPV is significantly more prevalently found in TSCC and BOTSCC compared to other OPSCC sites

The odds ratio of having HPV in TSCC and BOTSCC as compared to “other” OPSCC was calculated and studies were grouped by HPV detection method, i.e. either HPV DNA PCR alone, or p16 IHC alone,

or a HPV DNA based algorithm, i.e. combining HPV DNA and p16 overexpression. The odds having HPV in TSCC and BOTSCC as compared to “other” OPSCC was significantly higher, no matter which detection method that was used as depicted in Fig. 3 (PCR: OR 4.60 95% CI 2.95–7.16,  $p < 0.001$ ; p16 IHC: OR 4.26 95% CI 2.41–7.53,  $p < 0.001$ ; algorithm: OR 5.19 95% CI 4.24–6.34,  $p < 0.001$ ). Notably, no statistical heterogeneity ( $\text{Chi}^2 = 8.84$  (d.f. = 15)  $p = 0.885$ ; Estimate of between-study variance Tau-squared=0.00) was observed when applying the algorithm using the presence of HPV in combination with p16 overexpression as defining positive HPV status (Fig. 3C). In contrast, when using either HPV DNA PCR positivity or p16 alone, gave significant statistical heterogenic results (PCR:  $\text{Chi}^2 = 36.09$  (d.f. = 16)  $p = 0.003$ ; Estimate of between-study variance Tau-squared=0.39 and

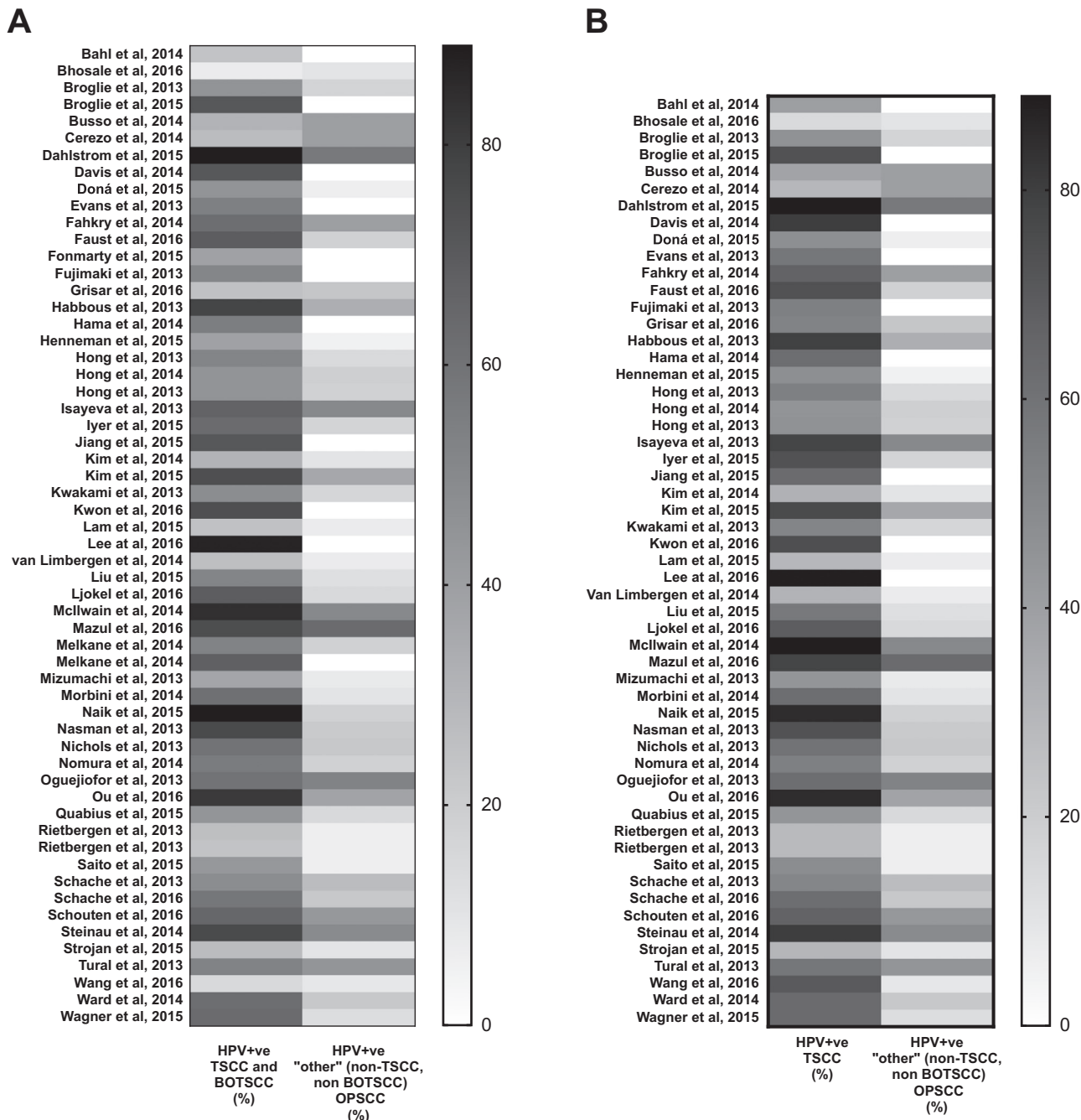


Fig. 2. Heat map of HPV prevalence by oropharyngeal cancer sub-site. (A) Prevalence of HPV, defined by each included study, stratified by tonsillar (TSCC) and base of tongue (BOTSCC) squamous cell carcinomas vs. “other” (i.e. walls of oropharynx, uvulae and soft palate) oropharyngeal squamous cell carcinomas (OPSCC). (B) Prevalence of HPV, defined by each included study, stratified by TSCC only vs. “other” OPSCC.



**Table 2**

HPV prevalence by oropharyngeal sub-site (data extracted only from studies reporting HPV data separated by tonsils, tongue base, soft palate/uvulae and oropharyngeal walls).

Oropharyngeal sub-site <sup>a</sup>	HPV+ tumours	HPV- tumours	HPV prevalence (95% CI)
Tonsil <sup>b</sup>	1577	1238	56% (54–58%)
Base of tongue <sup>c</sup>	590	881	40% (38–43%)
Soft palate <sup>d</sup>	59	429	12% (9–15%)
Posterior wall <sup>e</sup>	122	537	19% (16–22%)

<sup>a</sup> This table only presents data from studies that have divided by oropharyngeal sub-sites: base of tongue, tonsil, soft palate and posterior wall. Following studies were included: 11, 14–16, 21, 24, 26, 28, 33, 37, 40–41, 43–45, 47, 50, 53, 55, 57, 60–62, 64–65, 70, 72.

<sup>b</sup> Includes tonsil, tonsil pillar, overlapping tonsil and lateral wall.

<sup>c</sup> Includes base of tongue and anterior wall.

<sup>d</sup> Includes soft palate, uvula, superior wall, upper, and soft palate with overlapping lesion.

<sup>e</sup> Includes Posterior wall, Oropharyngeal NOS, pharyngeal wall and faucial arch.

p16 IHC:  $\text{Chi}^2 = 49.17$  (d.f. = 16)  $p < 0.001$ ; Estimate of between-study variance Tau-squared=0.76) (Fig. 3A and B).

#### 4. Discussion

In this systematic review, HPV prevalence was significantly higher in “lymphoepithelial” sites of the oropharynx, *i.e.* tonsil and base of tongue, as compared to “non-lymphoepithelial” sites of the oropharynx, *i.e.* soft palate and oropharyngeal, irrespectively of HPV detection method.

Numerous previous studies have focused on differences in HPV prevalence between different head and neck cancer sites and different geographic areas [6,74], but few have addressed the relevance of sub-sites within oropharynx. As there has been a focus on OPSCC in contrast to HNSCC in general, many studies have unfortunately not specified these oropharyngeal sub-sites and very few studies have verified the sub-sites by histopathology. Recently however, Garnaes et al. [4] subdivided TSCC into specified TSCC (“lymphoepithelial”) and non-specified TSCC (“non-lymphoepithelial”) by histomorphology. This study reported that HPV prevalence was higher and increased over time in specified TSCC, while the prevalence of HPV was lower and stable over time in non-specified TSCC. Notably, the authors also observed a significant discordant HPV DNA and p16 IHC positivity in non-specified TSCC as compared to specified TSCC. Likewise, Marklund et al. have also presented similar results with discordant p16 status and HPV DNA positivity by PCR in oropharyngeal sub-sites outside the tonsils and the tongue base [5]. Analogous data have also been conveyed in oral carcinomas [75]. Moreover, in a recent meta-analysis of HPV prevalence in different head and neck sites, 24.2% (18.7–30.2) of the oral carcinomas were reported to harbour HPV DNA [6]. Comparable prevalence data were here described for “other” OPSCC (19%, 95% CI: 17–20%), which – together with the overlapping histomorphology – may suggest that “other” OPSCC are more comparable with oral carcinomas than TSCC/BOTSCC. Hence, we argue that not only geographic region and detection method should be considered when reporting HPV prevalence, but also oropharyngeal sub-site.

Studies by others have shown that HPV status defined by only p16 IHC or PCR alone in OPSCC may be too unspecific, and that if the methods are combined in an algorithm there is a high concordance with presence of active HPV infection [61]. Although the odds ratios, reported in this study, of having HPV in TSCC and/or BOTSCC as compared to “other” OPSCC was higher independent of method used, there was a significant heterogeneity between studies using p16 or PCR alone. In contrast, statistical heterogeneity was not observed when uniting studies using an algorithm combining HPV DNA and p16 overexpression, which suggests that using only a PCR or p16 based HPV detection method is too unspecific and may detect false HPV

positive samples in non-tonsillar non-base of tongue OPSCC.

Notably, HPV prevalence per oropharyngeal sub-site is not only of academic concern, it is in fact of clinical importance. In a recently published Danish study, patients with specified TSCC and BOTSCC had a better clinical outcome if their tumours were both HPV DNA and p16 positive as compared to being only p16 positive, while an analogous difference in clinical outcome was not observed in patients with non-specified TSCC [3]. Similar results were reported by Ljokjel et al. [44] In that study, patients with HPV positive TSCC and BOTSCC were reported to have a better clinical outcome, but no differences in clinical outcome were observed between patients with HPV positive and negative “other” OPSCC. Likewise, a study by Marklund et al. [5] showed that HPV infection was not correlated to patient outcome if the patients had a non-tonsillar, non-base of tongue OPSCC.

Currently, it is discussed whether oncological treatment can be tapered in patients with HPV positive OPSCC, and randomized controlled studies have shown a beneficial survival in patients with HPV positive OPSCC. However, since patients with TSCC and BOTSCC dominate the OPSCC patient group, there is a risk that patients with TSCC and BOTSCC in published survival studies supersede patients with “other OPSCC”. This could lead to that patients with “other OPSCC” could disfavour from the introduction of tapered treatment, as well as that de-escalated therapy could be offered to patients with HPV positive “other” OPSCC, where survival benefit is doubtful. Notably, according to the newest 8th AJCC staging system, all oropharyngeal malignancies should be staged depending on their p16 status [76]. In light of data presented and discussed here, this approach could potentially be problematic. Subsequently, sub-specific survival analysis studies in oropharynx are highly warranted.

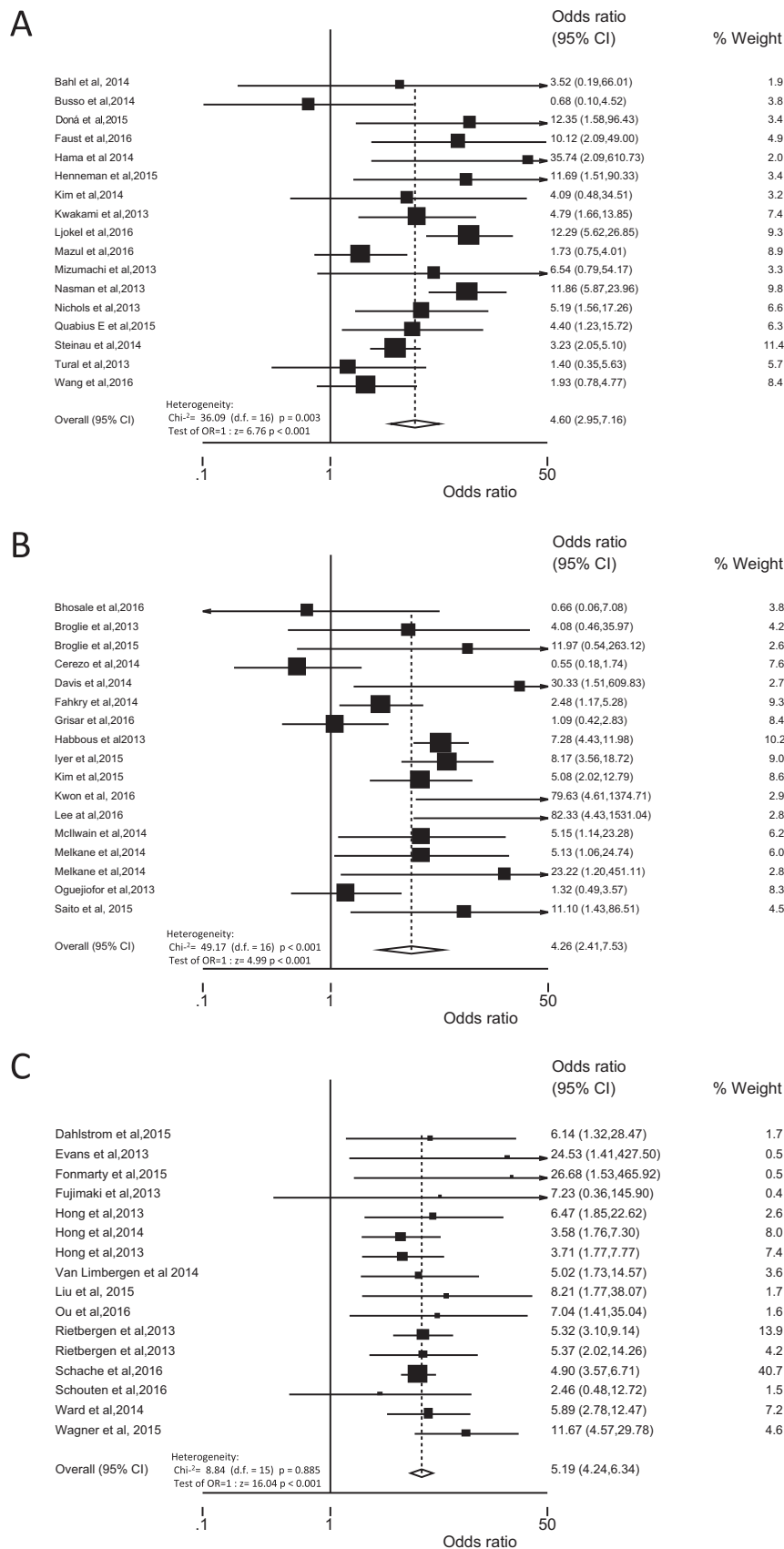
There are recognisable limitations in this study. First of all, since OPSCC still is a relatively rare disease, there is a risk that same patients are included in different studies/cohorts. To reduce this risk, we have restricted our analysis to patient cohorts included in reports published during the three last years, still allowing for the inclusion of more than 11.000 patients. We also focused on the patient cohort description in the material and method sections, but there could still be a risk for non-described overlapping patients between studies. Secondly, there is also a possibility of misclassification of tumours within the oropharyngeal region. This is especially evident in the distinction between large mobile tongue carcinomas and BOTSCC, in which only the latter is HPV associated. Relatedly, sub-coding of TSCC is infrequently presented. As stated in the introduction section, the histology and, most likely, the HPV prevalence differs between specified TSCC (ICD-10 C09.0) and *e.g.* carcinomas of the tonsillar pillars (ICD-10 C09.1). Furthermore, few studies have sub-classified OPSCC by histo-morphology [4]. Nevertheless, misclassification of sub-sites would most likely only dilute the HPV prevalence numbers and thus reduce the HPV differences between TSCC/BOTSCC and “other” OPSCC. Lastly, it has been documented that HPV prevalence differs between geographic regions [6] and studies included in this report are obtained from different geographical regions with different risk factors. Nonetheless, since the difference in HPV prevalence between sub-sites is studied here, and not absolute numbers, the impact of patient nationality should be minor.

To conclude, combining HPV DNA and p16 overexpression is safer for defining HPV positivity compared to using HPV DNA or p16 alone, and with this algorithm HPV was significantly more prevalent in TSCC/BOTSCC as compared to “other OPSCC sites”. The clinical role of HPV in “other” OPSCC must be further evaluated before initiation of de-escalation trials in these patients.

#### Conflict of interest statement

None declared.





**Fig. 3.** Forrest plot with odds ratios (OR) of having HPV in tonsillar and base of tongue squamous cell carcinomas (TSSC and BOTSSC respectively) vs. “other” (i.e. walls of oropharynx, uvulae and soft palate) oropharyngeal squamous cell carcinoma (OPSCC) presented by molecular detection method. (A) OR (95% CI) of having HPV, defined by presence of HPV DNA by PCR, in TSSC/BOTSSC vs. “other” OPSCC. (B) OR (95% CI) of having HPV, defined by overexpression of p16 immunohistochemistry (IHC), in TSSC/BOTSSC vs. “other” OPSCC. (C) OR (95% CI) of having HPV, defined by an algorithm combining presence of HPV DNA and overexpression of p16 IHC, in TSSC/BOTSSC vs. “other” OPSCC.

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