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An Unexpectedly Complex Architecture for Skin Pigmentation in Africans

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Summary

Approximately 15 genes have been directly associated with skin pigmentation variation in humans, leading to its characterization as a relatively simple trait. However, by assembling a global survey of quantitative skin pigmentation phenotypes, we demonstrate that pigmentation is more complex than previously assumed with genetic architecture varying by latitude. We investigate polygenicity in the KhoeSan, populations indigenous to southern Africa, who have considerably lighter skin than equatorial Africans. We demonstrate that skin pigmentation is highly heritable, but known pigmentation loci explain only a small fraction of the variance. Rather, baseline skin pigmentation is a complex, polygenic trait in the KhoeSan. Despite this, we identify canonical and non-canonical skin pigmentation loci, including near *SLC24A5*, *TYRP1*, *SMARCA2*/*VLDLR*, and *SNX13* using a genome-wide association approach complemented by

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Author Contributions

BMH, CRG, MWF, and CDB conceived of and designed the study. ARM and AS designed experiments. ARM, MS and AS performed experiments. ARM, JMG, ML, EGA and XL analyzed data. BMH, CRG, CJW, JWM, ARM, JMG, and MM collected samples and measured skin photometrics. EGH, CRG, DMK, MWF, CDB, and BMH supervised the study. ARM and BMH wrote the manuscript with input from ML, JMG, MM, DMK, EGH, MWF, and CRG. All authors read and approved of the final version of the manuscript.

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targeted resequencing. By considering diverse, under-studied African populations, we show how the architecture of skin pigmentation can vary across humans subject to different local evolutionary pressures.

eTOC

The genetic architecture of skin pigmentation is highly complex and varies across human populations subjected to distinct geographical evolutionary pressures



Keywords

pigmentation; human evolution; Africa; heritability; population genetics

Introduction

Skin pigmentation is one of the most strikingly variable and strongly selected phenotypes among human populations, with darker skin observed closer to the equator and lighter pigmentation observed at high latitudes (Sturm and Duffy, 2012). Researchers have hypothesized that variable exposure to ultra violet radiation (UVR) creates opposing selective forces for vitamin D production and folate protection, resulting in variable melanin production and global pigmentation differentiation (Chaplin and Jablonski, 2009; Jablonski and Chaplin, 2010). Skin pigmentation differences at similar latitudes and UV exposures indicate that additional evolutionary forces, such as assortative mating, drift, and epistasis, are also likely to have affected global skin pigmentation (Pospiech et al., 2014; Wilde et al., 2014). While ~171 genes have been implicated in variability across model organisms (e.g. the Color Genes database: http://www.espcr.org/micemut/), only ~15 genes have been associated with skin color differences in humans (Table 2). The relative paucity of loci identified from GWAS efforts has led to the characterization of pigmentation variation variation as relatively simple, with only a handful of SNPs being highly predictive of skin, eye, and hair color across populations (Hart et al., 2013a; Spichenok et al., 2011; Walsh et al., 2013).

Despite being home to the greatest range of pigmentation globally, remarkably few genetic studies of pigmentation have been published to date in continental Africans (Jablonski and Chaplin, 2014; Relethford, 2000). Instead, the genetic basis of skin color has primarily been studied in Eurasians and admixed African Americans (Beleza et al., 2013; 2012; Candille et al., 2012; Sturm and Duffy, 2012; Sulem et al., 2008; 2007); selective sweeps in high latitude populations have been interpreted as resulting from strong environmental selection pressure. For example, the derived Ala111Thr allele (rs1426654) of SLC24A5 that swept to near fixation in western Eurasian populations confers the largest known effect on skin color variability (Beleza et al., 2013; Lamason, 2005). Loci in/near SLC45A2, GRM5/TYR, and APBA2/OCA2 also have divergent allele frequencies between Europeans and Africans, with large derived lightening effects in Europeans (Beleza et al., 2013; Norton et al., 2006). Smaller effects contribute to the relatively narrow variation within Europeans, including associations in/near MC1R, TYR, IRF4, and ASIP (Sulem et al., 2007; 2008). Several shared lightening effects derived in Eurasians arose through convergent evolution (e.g. rs1800414 in OCA2 in East Asians), whereas other shared effects resulted from similar selective sweeps (e.g. KITLG) (Miller et al., 2007; Yang et al., 2016). Because African populations have been understudied, the genetic architecture and higher variability of skin pigmentation is poorly understood.

Strong positive selection acting on skin pigmentation has resulted in large effects that explain a large fraction of heritable variation. For example, a previous study in recently admixed Cape Verdeans with European and West African ancestors showed that only 4 loci explain 35% of the variation in skin pigmentation (Beleza et al., 2013). In contrast, complex traits such as height and schizophrenia require ~10,000 independent SNPs derived from GWAS of >100,000 individuals to build predictors that explain ~29% and ~20% of the variance in independent cohorts, respectively (Ripke et al., 2014; Wood et al., 2014). Previous studies of positively selected traits such as pigmentation, high altitude adaptation, and response to pathogens have repeatedly produced larger effect sizes than complex common disease; these large effect loci have typically been discovered with relatively small sample sizes (i.e. ~100s of individuals) compared to common diseases (Genovese et al., 2010; Kenny et al., 2012a; Yi et al., 2010). While pigmentation loci are among the most diverged in the genome, it is noteworthy that effect size estimates of significant polymorphic GWAS loci tend to be directionally consistent across populations (Carlson et al., 2013), but that aggregate prediction accuracy varies across populations (Martin et al., 2017).

Striking skin pigmentation variability among African populations has been underappreciated in genetic studies (Jablonski and Chaplin, 2014; Relethford, 2000). Light skin pigmentation is observed in the far southern latitudes of Africa among KhoeSan[§] hunter-gatherers and pastoralists in and near the Kalahari Desert. The KhoeSan are unique in their early

[§]We use the term "KhoeSan" to refer to a diverse array of indigenous populations in southern Africa that carry KhoeSan ancestry and speak Khoe, !Ui-Tuu, or Kx'a languages. "KhoeSan" is not accepted by all such communities; where possible we refer to populations by their specific ethnic name. This grouping lumps together populations of different languages, cultures, and variable genetic diversity.

divergence from other populations, likely dating back at least ~100,000 years ago (Schlebusch et al., 2012; Veeramah et al., 2011); they exhibit extraordinary levels of genetic diversity and low levels of linkage disequilibrium (LD) (Henn et al., 2011). Previous work points to southern Africa as the point of origin for modern humans (Henn et al., 2011; Tishkoff et al., 2009), but it is unknown whether moderate to light skin pigmentation in the different KhoeSan groups is an example of convergent evolution with northern Europeans and Asians, or reflects the ancestral human phenotype. Previous studies have noted different pigmentation allele frequencies between the Jul'hoansi San and other Africans, but these have been based on n<7 individuals from the former population without measured phenotypes (Berg and Coop, 2014; Norton et al., 2006).

Here we report an evolutionary and genetic study of skin pigmentation with a total of 465 genotyped KhoeSan individuals (278 ‡Khomani San and 187 Nama), with targeted resequencing at associated pigmentation loci and matched quantitative spectrophotometric phenotype data (Table S4). The ‡Khomani San are traditionally a N|u-speaking hunter-gatherer population living in the southern Kalahari Desert, while the Nama are traditionally a Khoekhoe-speaking semi-nomadic pastoralist group of KhoeSan ancestry. We investigate: i) the degree of polygenicity and heritability of skin pigmentation, ii) the extent of pigmentation variation explained by previously associated or canonical pigmentation genes, and iii) novel pigmentation alleles contributing to variation in the ‡Khomani San and Nama populations.

Results

We quantitatively phenotyped baseline skin color in 479 individuals (277 ±Khomani, 202 Nama, Table S4) via narrow-band reflectometry to measure hemoglobin and melanin of both the left and right upper inner arms (STAR Methods), with M index = $\log_{10}(1 / \% \text{ red})$ reflectance). We sequenced and/or genotyped a subset of phenotyped samples (Table S4, Methods). Skin pigmentation is lighter in the KhoeSan than the majority of other African populations, with baseline upper arm M index = 57.57 ± 10.12 (mean \pm sd) in the \ddagger Khomani San. Baseline upper arm pigmentation in the Nama is slightly lower, with M index = 52.12 \pm 8.93. The ‡Khomani are on average significantly darker than the Nama (p=3.6e-10, Figure 1C), but the variance is not significantly different (p>0.05). For comparison, we aggregated quantitative skin pigmentation across 32 globally diverse populations (4,712 individuals) assayed with a Derma Spectrometer (DSMI or DSMII) (Basu Mallick et al., 2013; Beleza et al., 2013; Candille et al., 2012; Coussens et al., 2015; Durazo-Arvizu et al., 2014; Edwards et al., 2010; Norton et al., 2006) (Figure 1A-B, Table S1). Only four African populations are available for comparison; among these only the Ghanians represent an equatorial African population with minimal recent admixture. Skin color is substantially darker in equatorial Ghanaians, where M index reaches a mean of 96.04 ± 10.94 ; M index for Cape Verdeans, who have ~40% European admixture on average, have slightly lighter (55.39 \pm 13.00, p=5.6e-3) and considerably more variable pigmentation (p=1.9e-6) than the KhoeSan. Two other populations living in South Africa, the Xhosa and admixed Coloured populations, have respectively darker (M index=67.1±7.5) and similar (M index=53.1±8.5) pigmentation compared to the KhoeSan populations (Coussens et al., 2015).

Evidence of Increased Polygenicity in Skin Pigmentation Among Equatorial Populations

We tested whether the correlation between absolute latitude and pigmentation was significant with our large, quantitatively phenotyped sample of global populations. As previously observed (Byard, 1981; Jablonski and Chaplin, 2010; Zaidi et al., 2017), we find that skin pigmentation is strongly associated with absolute latitude ($R^2=0.53$, $\beta=-1.18$ on M index scale, p<2e-16); populations further from the equator have lighter skin pigmentation. We next tested whether variance in melanin within populations also varies across populations. Skin pigmentation has primarily been studied in lightly pigmented European and East Asian populations, where skin color varies minimally among individuals (Figure 1A-B). Less-studied equatorial and admixed populations, including Melanesians, Ghanaians, Cape Verdeans, South African admixed Coloured, and South Asians vary considerably more in skin pigmentation (Figure 1B). We find that absolute latitude is also significantly negatively associated with the standard deviation in melanin ($R^2=0.41$, p=5.0e-5). Further, melanin distributions are heteroskedastic (i.e. the variance is not constant -rather, it changes over the range of observed M index), with the coefficient of variation, a standardized metric of phenotypic dispersion, decreasing with increasing distance from the equator ($cv=\sigma/\mu$, R²=0.14, p=0.03, Table S1).

A sign test comparing variances in lighter versus darker population pairs within the same study indicates that populations with lighter skin have significantly reduced phenotypic variance than expected by chance (p=2.01e-8). These results suggest that there is reduced genetic heterogeneity and/or reduced variance in the population distribution of causal effect sizes contributing to lighter versus darker pigmentation. There is more than an order of magnitude difference in variance between the lightest and darkest populations (i.e. Irish vs Ghanaian F=0.03, p=6.7e-23). Europeans and East Asians have significantly less variation than South Asians (F=0.25, p=1.06e-14 and F=0.30, p=1.27e-10, respectively, Figure 1B). Cape Verdeans with the highest quartile of European admixture have lighter, less variable skin color than individuals with the lowest quartile of European ancestry (p=4.28e-9, although notably ancestry proportions are bimodal across individuals). Among Melanesians, islands at similar latitudes with more lightly pigmented individuals on average show less variance than those with more darkly pigmented individuals (e.g. one-sided F test comparing variance among more lightly pigmented New Britain individuals versus individuals from Bougainville, p=2.89e-9, Figure 1B). Among the [‡]Khomani and Nama, comparing individuals with primarily European admixture (>20%, N=124) to individuals with primarily Bantu admixture (>20%, N=91), we find significantly greater melanin variation among KhoeSan individuals with more Bantu admixture (p=1.33e-4).

Ancestry and Skin Pigmentation Variation in the KhoeSan

The ‡Khomani San and the Nama have both experienced admixture with neighboring darker-skinned Bantu-speaking groups beginning ~450 years ago, as well as with lighter-skinned European settlers who first arrived in the Northern Cape during the late 18^{th} century (Uren et al., 2016a). We assessed these ancestry proportions using unsupervised allele frequency clustering with ADMIXTURE as well as principal components analysis (PCA, **STAR Methods**). At *k*=3, we observe distinct clustering between Europeans, Bantuspeaking and West African populations, and KhoeSan populations; both the Nama and the

‡Khomani have ~75–80% KhoeSan-specific ancestry. For k=7, which gives most stable ancestry estimates, we observe a partitioning of the KhoeSan ancestry into 'northern Kalahari' ancestry shared with Ju|'hoansi and a distinct southern or circum-Kalahari ancestry present in the Nama and the ‡Khomani. On average, in the ‡Khomani San we find 55% northern Kalahari KhoeSan ancestry, 21% southern Kalahari KhoeSan ancestry, 11% European ancestry (common in CEU and French individuals), 12% western African ancestry (common in Yoruba and Bantu-speaking populations), and 2% attributable to other African populations (Tanzanian hunter-gatherers, East African, and North African populations, Table S2, Figure 2A and Figure S2A). The Nama differ from the ‡Khomani in their proportion of northern versus southern Kalahari ancestry; they have 17% northern Kalahari ancestry, 62% southern Kalahari ancestry, 9% European ancestry, 10% western African fraction in the Nama is significantly more variable among individuals (p=1.08e-5), resulting from recent Damara gene flow (Uren et al., 2016a). The partition of ancestry components occurs in the same order and is correlated between ADMIXTURE and PCA (Figure 2, Figure S2A,D–F).

In a multivariate mixed model with the significant European and Bantu admixture components, European and Bantu ancestry are strongly correlated with light ($\beta = -18.09$, p=2.9e-03) and dark skin (β = 25.60, p=1.8e-09), respectively. Together, we estimate that fixed admixture effects explained 34% of the variation in skin color (adjusted R²); by comparison, 44% of pigmentation variation in Cape Verdeans is explained by admixture effects (Beleza et al., 2013). Marginal associations are shown in Figure 2B, with pairwise ancestry correlations shown in Figure S2B. Southern Kalahari ancestry frequent in the Nama is significantly anti-correlated with Bantu ancestry and is marginally predicted to lighten skin, but not when modeled jointly with Bantu ancestry in a multivariate model. Interestingly, the mean pigmentation of Nama and ‡Khomani individuals with <90% KhoeSan ancestry is not significantly different from individuals with >90% KhoeSan ancestry (p=0.94), although the variance is significantly greater in more admixed individuals (admixture from either/both European or Bantu ancestries, p=2.2e-3). These results suggest that while admixture increases phenotypic variance, pigmentation alleles on KhoeSan haplotypes contribute more to the overall heterogeneity than those on European or Bantu haplotypes. Consistent with this result, we observe substantial skin pigmentation variation among related individuals, which, coupled with high heritability (see below) suggests a role for large effect sizes of alleles contributing to pigmentation.

Skin Pigmentation is Highly Heritable

We inferred narrow sense heritability for baseline skin pigmentation and tanning status in the KhoeSan with four methods: family pedigrees ($h_{pedigree}^2$), SNP array similarity matrices (h_g^2), identity-by-descent (IBD) sharing matrices (h_{IBD}^2), and exome sequence variation (h_{exome}^2 , Table 1). While pedigree-based heritability estimates are not based on genetic data and therefore not strongly affected by admixture, we carefully considered it for SNP-based estimates, as described previously (Beleza et al., 2013; Thornton et al., 2012a; Zaitlen et al., 2013; 2014). In each of the heritability estimates of baseline skin color and tanning status, we accounted for admixture proportions with European and Bantu ancestry as covariates, as

well as familial relatedness via a kinship covariance matrix. Similarly for tanning status, we accounted for age, sex, and kinship. Previous family-based estimates for skin color heritability in other populations are high, ranging between 55–90% (Byard, 1981; Clark et al., 1981; Frisancho et al., 1981; Harrison and Owen, 1964). Interestingly, published genetic estimates of skin pigmentation heritability in Europe are low and insignificant, potentially because of reduced genetic diversity at skin pigmentation loci due to positive selection (Zaidi et al., 2017). Our heritability estimates in the KhoeSan are analogous to family-based estimates because of the elevated relatedness in our samples.

We first constructed pedigrees from ethnographic interviews for individuals within the ‡Khomani and Nama populations and verified relationships where possible with genetic data. 533 individuals (including parental individuals not sampled) could be assigned to a pedigree, resulting in 354 extended pedigrees and 470 nuclear families. Via traditional pedigree-based estimation, we estimate an $h_{pedigree}^2$ of 0.96 ± 0.12 for baseline skin color

(STAR Methods). We then asked whether variation present on the ascertained SNP arrays or from exome sequencing could explain a similar fraction of the pigmentation variation. Genetic heritability estimates inferred from recently admixed populations have two potential problems: 1) inferred familial relationships between individuals are less accurate (Thornton et al., 2012a), and 2) environmental confounders (e.g. socioeconomic status) could be associated with the variance component attributed to additive genetic effects. In order to address the first issue, we use the proportion of KhoeSan, European and Bantu ancestry per individual to correct the SNP array genetic relatedness matrix (GRM) as described by the REAP approach (Thornton et al., 2012a). The REAP matrix is also compared to the IBS matrix inferred using default GCTA parameters that do not account for stratification (*Methods*). We include European and Bantu ancestry as global covariates in the heritability estimation. All further estimation of h_g^2 was made using the unconstrained model in GCTA.

Furthermore, we contrast baseline pigmentation with tanning status (i.e. sun exposed wrist – underarm melanin pigmentation); if our estimates were inflated by environmental confounders, we would also expect inflated heritability of tanning status.

The array-based heritability point estimates are consistently but not significantly higher when using a kinship matrix from Relatedness Estimation in Admixed Populations (REAP) than GCTA's identity-by-state (IBS) GRM, both for the joint dataset and each population separately (Table 1 and Table S3). We estimate $h_g^2 = 0.97 \pm 0.15$ (standard error) in an unconstrained model across both populations using the REAP GRM. We find consistent results from exome sequence data, where we estimate that $h_{exome}^2 = 0.95 \pm 0.26$ in the \ddagger Khomani. We then used the familial relationships (Figure S1) and population-level endogamy to estimate heritability from IBD sharing among all individuals in the \ddagger Khomani and Nama; we obtain a similar estimate of $h_{IBD}^2 = 0.97 \pm 0.15$ (*Methods*, see also (Zaitlen et al., 2013)).

We contrast the high heritability estimates for baseline pigmentation with estimates for tanning status. Tanning status is significantly associated with both sex (male β =6.2 increase in M index, p = 4.2e-4) and age (β =0.18 increase in M index per year, p=1.8e-4), but not

with admixture proportions. None of the tanning status h^2 estimates, including pedigree-, IBD-, exome-, and SNP array-based estimates, are significantly greater than 0 (Table 1), consistent with previous observations that tanning status is largely environmentally determined by UV exposure (Clark et al., 1981; Nan et al., 2009). The stark contrast of the baseline pigmentation and tanning status heritability estimates, and the consistency of h^2 across methods indicates that our high baseline pigmentation heritability estimates do not simply arise from pedigree and population structure and that socioeconomic factors are unlikely to have significant effect on our heritability estimates.

A Complex Genetic Architecture in the KhoeSan

The genetic architecture of skin pigmentation has been described as simpler than many other phenotypes, for which only a few genes explain ~35% of the total variation in a given population, and average genomic ancestry explains an additional ~44% of the variation, indicating a long tail of smaller effects (Beleza et al., 2013; Candille et al., 2012). We investigated how much of the heritable variation in KhoeSan populations can be ascribed to previously annotated pigmentation gene sets (Figure 3A). The first gene set (GS1) consists of 14 genes containing or near previously discovered skin pigmentation genetic associations in Europeans, East Asians, Cape Verdeans, and Native Americans (Table 2 and Table S6A). The larger, second gene set (GS2) contains 50 genes compiled previously (Beleza et al., 2013) from human pigmentation associations, positive selection scans, and model organism pigmentation loci. The third gene set (GS3) contained 50 loci most significantly associated with pigmentation in the KhoeSan (phase 1, see novel variants section below). We partitioned the genome into GS1, GS2, GS3, and the rest of the genome and performed four comparisons, computing the variance explained by: GS1 versus the rest of the genome, GS2 versus the rest of the genome, GS3 versus the rest of the genome, and GS1 versus GS2 versus the rest of the genome. For each comparison, we performed a restricted likelihood ratio test. The GS1 and GS2 gene sets do not explain a significant fraction of the heritability; that is, the heritability estimates overlap with zero. Rather, the remainder of the genome explains the overwhelming majority of the heritability (Figure 3B, $\sigma^2_{GS1}=0.08$ vs $\sigma^{2}_{Genome}=0.82$, p_{Genome}=2.7e-5; $\sigma^{2}_{GS2}=0.09$ vs $\sigma^{2}_{Genome}=0.79$, p_{Genome}=3.3e-4; and $\sigma^2_{GS1}=0.08$ vs $\sigma^2_{GS2}=0.09$ vs $\sigma^2_{Genome}=0.71$, p_{Genome}=2.5e-3, respectively). This result contrasts with conclusions from previous studies and indicates that the vast majority of variation in KhoeSan skin pigmentation arises from pigmentation genes yet to be discovered, providing strong evidence for a complex, polygenic architecture. GS3 explains a small but significant fraction of the heritability, as discussed below.

We further assessed whether GS1 and GS2 explain more of the heritable variation than a random sample of coding regions; genes tend to explain more phenotypic variation than noncoding regions (Gusev et al., 2014). After regressing out the effect of variable numbers of SNPs per gene set (**STAR Methods**), we find that both GS1 and GS2 explain more than random genes with a 10% false discovery rate (FDR=0.016 and FDR = 0.079, Figure 3C–D, respectively) across both KhoeSan populations. This is not significant in the Nama alone (Figure S3), likely because of ancestry heterogeneity between the two populations.

Replication of Known Pigmentation Associations in the KhoeSan

Even though previously identified pigmentation loci explain little of the phenotypic variance in our samples, it is possible that these loci simply have small effect sizes in the KhoeSan. We used SNP array and/or resequencing data in a linear mixed model with ancestry covariates (see Methods and "*Novel Variants Influence Skin Pigmentation in KhoeSan Populations*") to assess both the frequencies and effect sizes of 42 previously identified eye, skin, and hair pigmentation variants, some of which have been experimentally shown to be causal (Table 2 and Table S6A). To this end, we also deconvolved recent admixture into local ancestry tracts across the genome and estimated the allele frequencies specifically on KhoeSan haplotypes via expectation-maximization. Known pigmentation allele frequencies vary considerably between the ‡Khomani San, Europeans, and West Africans (Table 2). However, most previously identified pigmentation associations do not replicate with genome-wide significance or nominally in the ‡Khomani and Nama, with a few exceptions (**STAR Methods**).

Because high divergence in a segment of the genome can be a signature of selection (e.g. XP-EHH scans), we assessed genetic divergence between KhoeSan, West African, and European populations at SNPs and in sliding windows across the genome. We find considerable divergence in many canonical pigmentation genes when comparing regions of the genome across populations (Figure 4A-B). We followed up our divergence scan by focusing on two outlier genes that were highly diverged among all three populations: SLC24A5 and OCA2 (Figure 4). The divergence in SLC24A5 is among the highest in the genome, especially between the KhoeSan and European populations (Figure 4D). Interestingly, different regions of OCA2 exhibit elevated divergence between the KhoeSan and European comparison versus the KhoeSan and West African comparison (Figure 4C). A previous study suggested that the derived, synonymous T allele of rs1800404 in OCA2 has been positively selected and is a candidate skin pigmentation variant conferring light skin in Europeans and KhoeSan populations based on its global allele frequency distribution (Norton et al., 2006). We confirm its elevated allele frequency on KhoeSan haplotypes (65%), but do not find an association with skin pigmentation (p=0.53). Variants in OCA2 explain most of the variation in human eye color (Duffy et al., 2007), and rs1800404 was later significantly associated with this phenotype (Eriksson et al., 2010); ‡Khomani and Nama individuals notably have heterogeneous eye color, with a range of brown, hazel, and green eyes. We identified a missense mutation in OCA2 (rs1800417, ns with skin pigmentation: p=0.87) with a derived allele (G) frequency of 0.32 in the KhoeSan (Table S6A) that is at low frequency in all other populations surveyed (global allele frequency = 0.016 in Phase 3 1000 Genomes and 0.0058 in the Exome Aggregation Consortium, ExAC).

Novel Variants Influence Skin Pigmentation in KhoeSan Populations

To identify novel variants associated with skin pigmentation in the ‡Khomani and Nama, we performed a 2-stage study (Figure S6A), employing a linear mixed model approach including recent admixture covariates as fixed effects and covariance matrices adjusted for admixture (akin to a GRM in GCTA) as random effects to identify associations between pigmentation and high quality imputed variants. We assessed the quality of the imputation via homozygous reference, heterozygous, and homozygous non-reference concordance with

high coverage exome sequencing data (Figure S4A). We ran the initial GWAS (i.e. phase 1) with imputed variants from 107 ‡Khomani and 109 Nama individuals (Table S4, Table S5, Figure S6A–C), and the genes closest to the strongest associations (Table S5) showed a significant enrichment in multiple mammalian phenotypes related to skin pigmentation (abnormal extracutaneous pigmentation p=2.3e-3, abnormal melanocyte morphology p=5.8e-3, abnormal skin morphology p=3.5e-2). Further, the strongest signals across the genotyped ‡Khomani and Nama cohorts were near canonical pigmentation genes (e.g. TYRP1 and SLC24A5), genes associated with pigmentation-related disorders (e.g. TYRP1), or genes implicated in pigmentation in model organisms and *in vitro* studies (e.g. VLDLR, SMARCA2, and others) (Sturm, 2009) (Keenen et al., 2009a; Xia et al., 2013a). To assess the variation explained by the most significantly associated loci, we generated an additional gene set, referred to as "GS3", using the 50 most significantly associated loci \pm 10 kb. We find that the GS3 loci explain significantly more of the heritable variation in skin pigmentation than previously identified pigmentation candidate genes in the KhoeSan, but that the majority of heritable variation remains to be explained (Figure 3B, $\sigma^2_{GS3}=0.23\pm0.13$, $p_{GS3}=0.027$ vs $\sigma^2_{Genome}=0.64\pm0.08$, $p_{Genome}<1e-5$).

Based on initial evidence from the imputed *‡Khomani* pigmentation GWAS, we designed a targeted NGS capture and successfully resequenced 36 candidate pigmentation regions (Table S7, Figure S6) across a larger set of 451 KhoeSan samples in order to improve power to detect associated loci (Table S8, STAR Methods), including 269 ‡Khomani, and 182 Nama individuals. In this larger sample, we observe more variants significantly associated with pigmentation than expected by chance in the resequencing regions (Figure 5A). The strongest signal comes from SNPs in *SLC24A5*, 8 of which are all in high pairwise LD (\mathbb{R}^2 > 0.6) on a high frequency haplotype (Figure 5B). We identify significant associations between lighter skin and derived SLC24A5 SNPs, including the putatively causal p.Thr111Ala rs1426654 allele (β =-3.58 on M index scale, p=9.8e-9), which has previously been associated with skin pigmentation in Eurasians. The most strongly associated SNP $(rs2555364, \beta = -3.58 \text{ on } M \text{ index scale}, p = 6.7e-9)$ is tightly linked with rs1426654 (LD R^2 =0.81). These variants are strongly differentiated between Europeans and Africans, with rs1426654 having derived allele frequencies of 99.7% vs 5.5% in 1000 Genomes (excluding ASW and ACB populations with recent European admixture), respectively. The derived allele of rs1426654 has previously been observed in HGDP Jul'hoansi San samples at 7% frequency, which have no detectable recent European admixture (Norton et al., 2007). The frequency of the derived rs1426654 allele is 40% in the combined Nama + ‡Khomani dataset, which is significantly greater than expected from $\sim 11\%$ European admixture alone (binomial test p=7.8e-52, Table S6A, STAR Methods).

Multiple low frequency (<5%) SNPs near several additional genes, including *EPM2A*, *FREM1*, *SMARCA2*/*VLDLR*, and *TYRP1*, are above the 95% confidence interval of expected versus observed significance (Figure 5). Two of these loci are near *EPM2A* and *FREM1*, neither of which have any known role in skin pigmentation in humans or model organisms. In contrast, there are >5 independent low frequency signals with p<1e-3 within/ near *SMARCA2* and *VLDLR*, with rs7866411 (p=8.91e-5) and rs2093835 (p=1.17e-4) being most significantly associated with skin pigmentation. We used HaploReg to infer

regulatory activity in/near these peaks and identify multiple enhancer and DNAse peaks identified in skin, including melanocytes and/or keratinocytes, overlapping top tag and/or perfectly linked SNPs (Table S6B). We also identify a low frequency association (rs34803545, p=3.7e-4) ~600 kb upstream of *TYRP1*. This variant is perfectly linked with multiple conserved variants, one of which exhibits enhancer activity and DNAse hypersensitivity specifically in skin (Table S6B).

We performed a 2nd phase of GWAS, in which an additional 240 individuals were genotyped (Table S4, Figure S6A) and meta-analyzed with phase 1 summary statistics. While two tanning status associations met genome-wide significance, none of the loci contained linkage peaks, suggesting that they are most likely spurious as expected from a phenotype with low heritability. As expected from the resequencing study, we identified a genome-wide significant association in *SLC24A5* (rs2470102 derived allele $\beta = -3.4$, p=3.6e-12) and a suggestive association upstream of *TYRP1* (chr9:12088112, frequency=0.014, $\beta = -13.6$, p=1.1e-07 Figure S6B–C, Figure S6F–G). We identified an additional suggestive novel association in and near *SNX13*, with common derived T alleles of rs2110015 associated with light skin ($\beta = -3.1$, p=1.3e-07, Figure S6H); *SNX13* regulates lysosomal degradation and G-protein signaling, but has not previously been associated with skin pigmentation.

Discussion

Pigmentation has been described previously as a relatively simple trait with few loci of large effect contributing to the phenotype (Sulem et al., 2007; Walsh et al., 2013). However, populations living in continental Africa, where humans have the greatest genetic diversity and pigmentation variability, have been largely ignored in genetic studies with quantitative phenotypes. We investigated the genetic architecture of pigmentation in two KhoeSan populations: the ‡Khomani San and Nama, where baseline melanin variation is substantial. Southern African KhoeSan populations are the most polymorphic modern human populations yet studied (Henn et al., 2011), and provide a unique glimpse into the evolution of pigmentation.

Novel Genetic Associations with Pigmentation

We have performed the first genetic discovery effort for pigmentation loci in the Nama and ‡Khomani San populations. The strongest allelic associations include previously associated variants, noncoding regions near canonical pigmentation genes, and novel genes shown in model organisms to have a role in pigmentation. The strongest association is in *SLC24A5*, which is a well-known pigmentation gene (Lamason, 2005) and is among the most differentiated regions of the genome between European and African populations–indicative of strong positive selection in northern Europeans (Sturm and Duffy, 2012). We find that derived variants in *SLC24A5* are at high frequency in the KhoeSan, including missense mutations that influence skin and eye pigmentation (Table 2). Notably, these variants are segregating at higher frequency than expected by recent European admixture alone. Three possible evolutionary scenarios that may explain these elevated frequencies are: 1) these variants arose in southern Africa more than 100,000 years ago and were later selected for in Europeans after the out-of-Africa migration in response to northern UVR environments. Alternatively, 2) these variants arose in Europe/Near East, were introduced into KhoeSan populations via "back to Africa" migration into southern Africa predating 17th century European colonialism (Pickrell et al., 2014; Uren et al., 2016a), and have since been positively selected in the KhoeSan. Lastly, 3) a recurrent mutation (G to A transition at the CpG ancestral dinucleotide, a class of mutations shown to have elevated mutation rates) occurred. Considerable future work is needed to definitively disentangle these scenarios.

The Polygenic Architecture of Pigmentation in Africa

We assessed the heritability of baseline skin pigmentation, and find that it is virtually completely heritable in our KhoeSan sample. In contrast, tanning status is primarily environmental, with heritability estimates which are not significantly different from zero. In European populations, predictive models based on only 9 SNPs capture up to 16% of the variance in skin pigmentation (Liu et al., 2015), highlighting its relative simplicity. We applied a predictive model based on these SNPs to the Nama and ‡Khomani San populations, and find no significant association between predicted skin color and spectrophotometrically measured skin M index, showing that this estimation fails to capture the genetic variation driving the phenotype in the KhoeSan. Given the large effect sizes and high fraction of variation explained in Eurasian populations, we asked whether and how much of the phenotypic variation can be explained by previously identified genes. All gene sets, including previously associated loci, canonical pigmentation genes, and the most significantly associated variants in this study, explained a small fraction of the phenotypic variance ($\sigma^2_{GS1}=0.08$, $\sigma^2_{GS2}=0.09$, $\sigma^2_{GS3}=0.23$, respectively). As expected from previous work (Martin et al., 2017), our results indicate that genetic risk prediction is strongly affected by population structure. Most of the pigmentation variability in KhoeSan populations is not explained by previously identified loci, suggesting that more than 50 loci (and indeed, likely far more, given our genomic heritability estimates) with a distribution of mostly small effects contribute to variation in pigmentation in the KhoeSan. This suggests that skin pigmentation is a far more complex trait than previously discussed, analogous to numerous other complex traits discussed in biomedical literature.

The Evolution of Skin Pigmentation: Selection and Constraint

By aggregating a large set of quantitative skin pigmentation phenotypes (N=4,712) from globally diverse populations, we have demonstrated heteroskedasticity as a function of latitude. As observed previously, we find a strong correlation between absolute latitude and average skin pigmentation reflectance caused by melanin content. We also observe that populations with lighter skin have reduced variation within any given study: populations furthest from the equator have narrower distributions, while populations closest to the equator have wider distributions. These patterns suggest that selection is acting differently at different latitudes. In equatorial regions, strong directional selection for darker pigmentation has shifted the distribution means in some populations to M indices greater than 90, but with wide variances. This is consistent with a 'threshold' model (Chaplin, 2004) in which the protective benefit of melanin needs to meet some minimum threshold but with no penalty to darker pigmentation; alternatively, diversifying selection could maintain the wide variance.

In stark contrast, pigmentation in far northern European and Asian populations has been under directional selection for decreased melanin production, reflected by very narrow distributions. There may be biological constraints on the lower boundary of skin pigmentation, and/or due to the strong positive selection acting on a few large-effect alleles, there is little genetic variability left at these pigmentation loci. This would simplify the genomic architecture, with relatively few alleles of large effect driving the phenotype, particularly alleles that lighten skin at extreme northern latitudes, and could explain why prior investigations observed an almost Mendelian inheritance of large effect light pigmentation alleles.

Finally, populations at intermediate latitudes have increased variance and higher means than populations in northern Eurasia, but less than equatorial populations. The most parsimonious explanation for this pattern is that stabilizing selection affects the light and dark tails of the pigmentation distribution (Barton, 1999). The Nama and ‡Khomani San appear to be two such instances of this intermediate variation within Africa, likely attributable to their geographic distance from the equator in far southern Africa (~24–29 degrees South). The observed mean and variance differences across the full spectrum of skin pigmentation by latitude may be driven by imbalanced opposing adaptive pressures, where selective forces to produce vitamin D and protect folate from photolysis are unequal and change in response to UV radiation exposure. Given our heritability results and the observed variability in baseline pigmentation; light skin pigmentation in the KhoeSan appears to be due to a combination of many small-effect mutations as well as some large-effect variants. The evolution of the pigmentation phenotype in these populations cannot be explained in terms of only a few variants segregating in Eurasians. A fuller characterization of the genes underlying the architecture in Africans is needed before we can distinguish between the hypothesis of directional versus stabilizing selection across different latitudes (Berg and Coop, 2014).

Conclusion

Because African populations often carry the ancestral (i.e. dark) allele for skin pigmentation genes identified in Eurasians, allusions to African skin pigmentation have ignored the great variability in this phenotype across Africa. Here, we reiterate that skin pigmentation varies more in Africa than any other continent, and we show that pigmentation in African populations cannot simply be explained by the small number of large effect alleles discovered in Eurasians. Even in light to moderately pigmented KhoeSan populations, the polygenicity of skin pigmentation is much greater than Eurasians, encompassing both known pigmentation genes as well as novel loci. We argue that the distributions of skin pigmentation globally suggest different forces of selection operating at various latitudes. To better understand baseline pigmentation, one of the most rapidly-evolving traits and strongest cases for positive selection in humans, it is essential to quantitatively measure and study pigmentation in a large set of genetically diverged populations that have historically been exposed to different levels of UV radiation. As human genetics moves to ever larger studies of complex traits, the full picture of genetic architecture will remain incomplete without representation from diverse worldwide populations.

STAR Methods

CONTACT FOR REAGENT AND RESOURCE SHARING

Further information and requests for resources and reagents should be directed to and will be fulfilled by the Lead Contact, Brenna Henn (brenna.henn@stonybrook.edu).

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Sample collection and ethics approval—As described previously (Henn et al., 2011; Uren et al., 2016b), sampling of the ‡Khomani San took place in the Northern Cape of South Africa in the southern Kalahari Desert region (near Upington and neighboring villages) in 2006, 2010, 2011, 2013, and 2015. Sampling of the Nama took place in the Richtersveld in 2014 and 2015. Institutional review board (IRB) approval was obtained from Stanford University, Stony Brook University, and the University of Stellenbosch, South Africa. ‡Khomani N|u-speaking individuals, Nama individuals, local community leaders, traditional leaders, nonprofit organizations, and a legal counselor were all consulted regarding the aims of the research before collection of DNA (Henn et al., 2011). Research was conducted with the permission of the Working Group of Indigenous Minorities in Southern Africa (WIMSA) and, subsequently, the South African San Council. All individuals gave signed written and verbal consent with a witness present before participating. Individuals collected in 2006 were re-consented under an updated protocol. Ethnographic interviews of all individuals were conducted, including questions about age, language, place of birth, and ethnic group of the individual and of his/her mother, maternal grandparents, father, and paternal grandparents. All individuals included in the study were adults (age range of 18 to 94, mean=53, sd=18). Both men and women were included in the study (311 females, 190 males). We recorded the relationships between any sampled individuals if revealed during the interview. Ages of older individuals were verified with separate interviews regarding reproductive history. DNA was obtained via saliva, collected using Oragene saliva collection kits (DNAGenotek, Ontario, Canada).

METHOD DETAILS

Skin reflectance measurements—A portable reflectance spectrophotometer (DermaSpectrometer DSMII ColorMeter, Cortex Technology, Hadsund, Denmark) was used to measure skin pigmentation. Similar devices have previously been shown to measure melanin and hemoglobin (Diffey et al., 1984). The melanin content, *M* index is quantified as

$$M = \log_{10} \left(\frac{1}{\% \text{ red reflectance}} \right)$$

The device was calibrated to 0 as suggested by the manufacturer twice a day while sampling. Five measurements of M index were taken on each of the left and right upper inner arms to approximate baseline constitutive skin pigmentation. We also measured the dorsal side of the left or right wrist (i.e. an area exposed to sunlight) and subtracted the baseline pigmentation for a measure of tanning status (Shriver and Parra, 2000). For the remainder of the analyses,

we used the trimmed phenotype means (highest and lowest values removed); we also averaged the inner arm skin pigmentation measurements over the two arms.

Genotyping platforms—A total of 471 KhoeSan samples were genotyped across all arrays, including the Illumina 550k array, Illumina OmniExpress and OmniExpressPlus arrays, Illumina Omni2.5 array, and the Illumina MEGA array, some of which has been described individually previously. 35 ‡Khomani, 21 Hadza, and 35 Sandawe individuals were previously genotyped on the Illumina Beadchip 550K custom v2 chip (Henn et al., 2011). 86 ‡Khomani and 13 Nama were genotyped on the Illumina OmniExpress and OmniExpressPlus arrays (same base content, additional exome content in the Plus version of the array) (Uren et al., 2016b). 105 Nama individuals were genotyped on the Illumina Omni2.5 array as part of the African Genome Diversity Project. 185 ‡Khomani and 84 Nama individuals were genotyped on the Illumina MEGA array. Table S4 indicates the number of samples genotyped on each array platform, as well as the overlapping phenotypes, exome sequencing, and targeted resequencing. A small number of individuals overlapped between multiple arrays to perform QC.

Global ancestry estimation—We derived two sets of genome-wide ancestry estimates: one from a smaller set of genotyping array data and one from a larger set of samples with targeted resequencing data. For the former set of estimates, we included genotype data from the Human Genome Diversity Project (CEPH-HGDP, sample sizes in parentheses) as reference samples, including the South African Bantu (8), Kenyan Bantu (11), Namibian San (6), Mozabites (29), and French (28). We also included genotype data from 12 Namibian San individuals from Schuster et al (2010), as well as individuals from the Hadza (17) and Sandawe (28) of Tanzania, described by Henn et al (2011). We also included individuals genotyped in the HapMap Project, including Yoruba trio parents from Ibadan, Nigeria (YRI, 55), Centre d'Etude du Polymorphisme Humain (CEPH) Utah residents with ancestry from northern and western Europe (CEU) trio parents (86), and Maasai trio parents from Kinyawa, Kenya (MKK, 30) individuals (Altshuler et al., 2010). Because of the high degree of relatedness in our dataset, we then split the merged *‡Khomani* and Nama data into 11 groups of maximally unrelated KhoeSan individuals from this study based on ethnographic information, then merged in these samples as well, holding the reference panels constant. After merging the SNPs genotyped in the HapMap, CEPH-HGDP, and South African samples, and removing SNPs with any genotype missingness using PLINK 2, SNPs with minor allele frequency <1%, and SNPs in high LD ($r^2 > 0.9$) a total of 215,607 SNPs remained. All datasets were merged to Human Genome Build hg19 as above and dbSNP v138. We ran ADMIXTURE for k = 3-7 in unsupervised mode for each of the 11 groups, then matched clusters across runs. In runs where we identified multimodality, we further split running groups of KhoeSan samples resulting in a minor mode into two sets, which resulted in unimodality across all runs. After matching clusters, we merged ancestry estimates across all 11 running groups, averaging individuals that appeared in multiple running groups. We chose k=7 as the most stable and best representation of ancestry.

For the larger resequencing data, we extracted sequence data in the targeted resequencing intervals (Table S7) for 99 CEU and 99 LWK samples from the 1000 Genomes Project bam

files and generated gVCF files, then called variants jointly with HaplotypeCaller. We included unrelated KhoeSan samples estimated to have >90% KhoeSan ancestry from the genotype-based ancestry estimates as reference samples, then ran ADMIXTURE in supervised mode, projecting related and more admixed samples.

Using the same data, we also estimated ancestry estimates using PCA. Because of the elevated relatedness and admixture in our data, we applied the PC-AiR and PC-Relate approaches (Conomos et al., 2016).

Covariates—We performed forward stepwise regression using custom scripts in R to select the best multivariate mixed model of ancestry, age, and sex for pigmentation and tanning with a random effect accounting for the genetic relationships among individuals. Sex and age do not significantly correlate with baseline skin pigmentation, suggesting that our quantitative measure of underarm reflectance is not significantly affected by UV exposure. The best model fit, measured via AIC, included Bantu, European, East African, and Hadza ancestries, although the latter two components comprise 1% of individuals' total ancestry on average and are likely imprecise.

Identity-by-descent (IBD) haplotype sharing—To estimate IBD, we phased intersected genotypes for the ‡Khomani and Nama populations both separately (number of SNPs = 300,370 in ‡Khomani, 525,934 in Nama) and jointly (number of SNPs = 241,929) using Beagle (v4.1) (Browning and Browning, 2007). Adjusting for differences in SNP density, we used a sliding window size of 600 markers with 55 overlapping SNPs between each window for the ‡Khomani, a window size of 1000 markers with 90 overlapping SNPs between each window for the Nama, and a window size of 400 markers with 39 overlapping SNPs between each window for the joint ‡Khomani and Nama intersection, with 10 iterations per run. The phased data was then used to infer haplotypes shared via IBD with length 5 cM using Germline (v.1.5.1) with the following flags for the joint haplotype calls: "-w_extend -min_m 5 -err_hom 2 -err_het 5 -bits 60" (Gusev et al., 2009). This allowed a mismatch of 2 homozygous and 5 heterozygous markers. We verified the total genomic length of the inferred cumulative IBD between pairs of individuals by comparing to pedigree relationships identified from ethnographic interviews and verified with IBD inferred here from the genotyping arrays.

Covariance matrices—To account for the considerable relatedness in our samples, which have variable degrees of admixture, we evaluated multiple covariance matrices: a Balding-Nichols matrix computed via EMMAX (Kang et al., 2010), a genetic relationship matrix (GRM) computed via GCTA (Yang et al., 2010), and a kinship matrix computed via REAP (Thornton et al., 2012b). To generate the REAP matrix, we intersected genotype data for all individuals, then included P and Q matrices obtained from an ADMIXTURE run with k=3 (described in *Ancestry estimation*) to construct the ancestry-corrected kinship matrix. Briefly, this approach uses individual-specific allele frequencies at SNPs that are calculated on the basis of genome-wide ancestry. We compared inferred pairwise kinship values in all covariance matrices to ethnographically and genetically validated pedigree information. We used the REAP matrix to correct for kinship in all regression models unless otherwise noted

because it correlated best with true relationships (e.g. heritability analyses with different kinship matrices).

We also constructed a kinship matrix using pairwise IBD estimates (K_{IBD}), as previously (Zaitlen et al., 2013), with haplotypes sharing calls computed as described above. We constructed a kinship covariance matrix based on IBD (K_{IBD}), where the entry for individuals *j* and *k* are defined as follows:

$$\frac{\sum_{i} L_{i}}{L_{parent-offspring}}$$

where L_i is the genetic length in centimorgans of *i*th IBD segment between individual j and k, and $L_{parent-offspring}$ is the total length of IBD in centimorgans shared between a parent and an offspring (i.e. the callable length of the haploid genome).

Heritability—Heritability estimates were calculated across the full KhoeSan sample (Nama and ‡Khomani) in addition to within each population separately. We used GCTA restricted maximum likelihood (REML) analysis to compute SNP-based heritability (h_a^2) in multiple ways with differing covariance matrices. For all heritability analyses of baseline pigmentation, we included European and Bantu ancestry proportion estimates at k=7 from ADMIXTURE as quantitative covariates. For tanning status, we included age as a quantitative covariate and sex as a binary covariate. We assessed h_a^2 by fitting an unconstrained linear mixed model (--reml-no-constrain) in GCTA (Yang et al., 2010), once using a covariance matrix constructed with REAP, and once with a genetic relationship matrix (GRM) generated in GCTA. We estimated heritability from the exome data (h_{exome}^2) similarly using a GRM generated in GCTA with exome sequencing data from 82 ‡Khomani individuals. We also estimated heritability (h_{IBD}^2) in our study using a kinship matrix constructed from pairwise IBD estimates (KIBD), as previously (Zaitlen et al., 2013). Lastly, we estimated narrow sense heritability using pedigree relationships ($h_{pedigree}^2$) that were constructed from ethnographic interviews and subsequently genetically confirmed using the Sequential Oligogenic Linkage Analysis Routines (SOLAR) software (Almasy and Blangero, 1998). SOLAR employs maximum likelihood variance decomposition to determine narrow-sense h^2 assuming a normal distribution. SOLAR employs maximum likelihood variance decomposition to determine narrow-sense h^2 assuming a normal distribution. It calculates heritability utilizing pairwise coefficients of genetic relatedness in the full pedigree, including dummy link individuals. The "polygenic" command was used to calculate trait polygenic heritability, significance of $h_{pedigree}^2$, and the proportion of variance contributed by covariates, with the "screen" flag to assess the significance level of each covariate.

Variance partitioning—We partitioned heritability in two ways: 1) by comparing the heritability explained by candidate gene sets versus the rest of the genome, and 2) by comparing the heritability explained by candidate gene sets to randomly sampled genes. For

the first type of analysis, we generated GRMs based on SNPs that fall within pigmentation candidate gene sets, including GS1 (genes in Table 2), GS2 (Table S4 from (Beleza et al., 2013)), GS3, and the rest of the genome. We performed a restricted likelihood ratio tests comparing the heritability explained by each gene set to the rest of the genome. We estimated partitioned heritability explained by different gene sets using joint linear mixed models, by including multiple genetic variance components as random effects.

For the second type of analysis, we sought to determine how likely we are to find a candidate pigmentation gene set explaining more of the heritable variation than a random gene set. To do this, we matched both candidate gene sets by number of genes, length, and number of exons and permuted these matched samples 1000 times. Specifically, we generated a GRM in GCTA based on SNPs in these candidate genes, then calculated the heritability based on this GRM. Then, we binned all genes in the genome by the natural log of their lengths (absolute value of transcription end - transcription start) and number of exons. For each gene in the gene set, we sampled with replacement from its matched length and exon bin, and constructed 1000 matched gene sets. To create an empirical null distribution, for each of the 1000 matched gene sets, we constructed a GRM, and computed heritability. We then regressed out the effect of number of SNPs on heritability explained, then generated empirical false discovery rates by comparing the residual heritability of the true candidate gene set to the residual heritability of the matched empirical null distribution.

Categorical pigmentation prediction—As described previously (Hart et al., 2013b; Spichenok et al., 2011), published categorical skin color prediction models utilize 7 SNPs (rs12913832, rs1545397, rs16891982, rs1426654, rs885479, rs6119471, rs12203592) in or nearby pigmentation genes. The model follows a bifurcating decision tree, dependent on homozygous state at each locus. At any two loci except rs6119471, if both are homozygous derived, then the phenotype is predicted as "non-dark", i.e. medium or light. Further "light" pigmentation is confirmed if all three loci: rs12913832, rs16891982, and rs1426654 are homozygous derived. A "non-light", i.e. medium or dark, is predicted if rs6119471 is homozygous ancestral (Figure S6).

Replication of known pigmentation loci—Few known loci replicate with genomewide significance or even marginally in the KhoeSan populations studied here. Four SNPs in the genes *SLC45A2* (rs16891982, p=1.2e-3), *KITLG* (rs12821256, p=0.02), and *SLC24A5* (rs1426654, p=9.8e-9 and rs2470102, p=1.1e-8) marginally replicate in the ‡Khomani + Nama under an additive model. The derived allele frequencies of the associated SNPs in *SLC45A2* and *KITLG* are low in the KhoeSan, consistent with ~10% admixture from recent European gene flow. Interestingly, however, SNPs in *OCA2*, *SLC24A5* and *GRM5/TYR* are at much higher frequencies in both the ‡Khomani and Nama than expected from European admixture alone, as estimated from global ancestry (see "*Global ancestry estimation*"). We do not replicate the vast majority of previously observed skin pigmentation associations in our dataset, potentially due to low frequencies in the KhoeSan, power limitations, differentiated LD structure in which the tag SNPs are non-causal pigmentation alleles, or epistatic effects. It is therefore unsurprising that when we applied forensic models based on only seven SNPs that claim very high prediction accuracy of skin color across populations

(>99%) (Hart et al., 2013b; Spichenok et al., 2011), we did not find a significant association with quantitatively measured M index (p=0.31, Figure S5B).

Phasing and imputation—We first ensured uniform SNP IDs by orienting all variants to dbSNP 138, then merged genotype data for KhoeSan individuals across all genotyping platforms (Illumina 550k, OmniExpress, OmniExpressPlus, and Omni2.5). We then phased all ‡Khomani (N=121) and Nama (N=112) individuals together with Shapeit2 (v2.r778) using all available genetic data. We used the full Phase 3 1000 Genomes reference panel, consisting of haplotypes from 2,535 individuals to aid phasing accuracy. Shapeit imputes missing genotypes, which can result in array-specific technical artifacts. We mitigated technical artifacts from individuals genotyped on different arrays by subsetting haplotypes to variants genotyped only the array, resulting in four sets of haplotypes. We then imputed variants in 5 Mb windows for all 4 sets using the full 1000 Genomes phase 3 reference panel as well as 53 HGDP medium coverage genomes (Henn et al., 2016) with Impute2 (v2.2.2) for all runs. After imputing each array separately, we aggregated the data across windows and runs, including only sites that were imputed with an Impute2 info metric 0.8 across all sets and subset to sites with MAF 0.01. We assessed the accuracy of the imputation in three ways. First, we assessed the homozygous reference, heterozygous, and homozygous non-reference concordance between the imputed output and two low-pass genome sequences from individuals SA1000 and SA1025 at sites that passed variant call filters and with > 5reads. Next, we assessed concordance similarly across all 79 individuals for whom we have both genotype and high coverage exome sequencing data (see Figure S4). Finally, we ran PCA for 100,000 randomly selected imputed sites across all individuals as well as for the maximum number of unrelated individuals to test whether the primary source of aggregated imputed variation arose from technical artifacts or population/familial structure. By investigating the top PCs, we concluded the latter.

Local ancestry inference—To disentangle haplotypes specific to a given ancestry and estimate ancestry-specific allele frequencies, we inferred local ancestry along chromosomes for all the genotyped \ddagger Khomani (N=121) and Nama (N=112) individuals, as described in (Uren et al., 2016b). We phased haplotypes as described above. As reference panels, we defined separate classes for European, Bantu, and KhoeSan ancestries respectively using CEU, LWK, and \ddagger Khomani individuals from this study as well as KhoeSan individuals from a previous study (Schuster et al., 2010) with >90% KhoeSan ancestry as inferred via ADMIXTURE (see "*Ancestry estimation*"). We used RFMix (v1.5.4) to assess local ancestry at sites that intersected between the reference panels using an iterative expectation maximization (EM) approach with 0.2 cM windows, incorporating the reference panel throughout EM iterations and correcting potential phase errors. We used a node size of 5 to deal with class imbalances in our reference panels. For all individuals, we used calls from RFMix at the 1st iteration.

Allele frequency approximation—Because the ‡Khomani San are a recently admixed population, we estimated allele frequencies with consideration to local ancestry calls. We specifically estimated allele frequencies on KhoeSan haplotypes using an expectation maximization approach (Gravel et al., 2013). Briefly, we used Bayes' Rule to calculate the

expected frequency given the observed genotype and diploid local ancestry calls. Ancestral/ derived state were determined from great ape genome sequencing, where possible (Prado-Martinez et al., 2013).

Mixed-model association approach—To identify loci significantly associated with baseline skin pigmentation and tanning status, we associated high quality imputed and resequenced SNPs and indels with these pigmentation phenotypes using a linear mixed model, with a covariance matrix of relatedness as a random effect. As with the heritability analyses, we used a covariance matrix constructed using REAP to account for admixture in the construction of the covariance matrix. We included the proportion of European and Bantu ancestry estimated via ADMIXTURE as fixed effect covariates for baseline pigmentation and tanning status, as chosen in forward stepwise regression. We also included age and sex covariates for tanning, which were significantly associated with the phenotype. We performed the association analysis using EMMAX (Kang et al., 2010) for the imputed data and GCTA (Yang et al., 2010) for the resequenced data, as both employ mixed model approaches and readily support different data formats.

Meta-analysis—We performed inverse variance weighted meta-analysis of summary statistics of the phase 1 and phase 2 summary statistics from imputed data using METAL (Willer et al., 2010).

Association enrichment—Using the 50 most significant associations in the imputed dataset, we identified the closest genes using bedtools with gencode v19 gene annotations. We assessed enrichments using enrichR (Chen et al., 2013), which computes enrichment in three ways: 1) the Fisher's exact test, the standard method implemented in most enrichment analysis tools, assuming a binomial distribution (i.e. presence/absence of a gene in a gene set) and independence of a gene belonging to any set; 2) the deviation from the expected rank by the Fisher's exact test given many random input gene lists is computed as a z-score, providing a correction to the Fisher's exact test; and 3) multiplying the log of the p-value from the Fisher's exact test by the z-score computed in the second test to generate a combined score. We investigated enrichment using the Mouse Genome Informatics (MGI) Mammalian Phenotype ontology rather than the Human Phenotype ontology because pigmentation is highly diverged across populations and has not been studied thoroughly across all populations.

Across all GWAS efforts, we find a significant enrichment of genes related to melanogenesis. Specifically, we find several independent associations near *SMARCA2* and *VLDLR. SMARCA2* has a known role in folate biosynthesis, in vitamin D-coupled transcription regulation, and is differentially expressed across CEU and YRI populations in lymphoblastoid cell lines (Duan et al., 2009). Additionally, previous functional studies have shown that *MITF*; the transcription factor known as the "master regulator of melanogenesis" due to its ability to activate many melanocyte-specific genes (Praetorius et al., 2013), recruits critical components of the SWI/SNF chromatin remodeling complex (including *SMARCA2*), to the promoter region of its targets (Vachtenheim et al., 2010). This recruitment is required for normal expression of many *MITF* target genes, including *TYR*, *TYRP1*, *DCT*, *RAB27A*, *BCL2*, among others (Keenen et al., 2009b). Additionally, *VLDLR*

knockout mice exhibit hypopigmented retinas (Xia et al., 2013b). We also find a suggestive association upstream of *TYRP1* (Figure 5A, Figure S6G). *TYRP1* mutations in humans have been associated with oculocutaneous albinism and shown to cause nearly Mendelian inheritance of blond hair in Solomon Islanders (Kenny et al., 2012b; Sarangarajan and Boissy, 2001). Thus, we observe enrichments of molecular pathways involved in pigmentation beyond those previously identified as associated with the phenotype in non-African populations.

Exome variant calling and annotation—Illumina sequencing reads from 91 KhoeSan DNA samples (of which 82 had pigmentation phenotypes) were captured with: 74 samples on an Agilent SureSelect Human All Exon V2 44Mb array (2×101 bp reads, sequenced at BGI on a HiSeq 2000), 8 samples on an Agilent SureSelect Human All Exon 50Mb array (2 \times 101 bp reads, sequenced at BGI on a HiSeq 2000), and 8 samples on an Agilent SureSelect Human All Exon V4+UTRs 71Mb array (2×126 bp reads, sequenced at the New York Genome Center on a HiSeq 2500). Sequencing data was processed according to a standard pipeline informed by the 1000 Genomes Project. Briefly, we aligned reads to the hg19 reference genome using bwa-mem 0.7.10. We then sorted bam files and marked duplicate reads with Picard v1.92. We next ran RealignerTargetCreator, IndelRealigner, BaseRecalibrator, PrintReads, HaplotypeCaller, GenotypeGVCFs, and VariantRecalibrator, and ApplyRecalibration with GATK (v3.2.2). During the HaplotypeCaller step, we filtered reads down to the capture regions ± 100 bp of padding. We annotated exomic variants using the Variant Effect Prediction tool (VEP) using Ensembl version 75 annotations, which annotates variants using Gencode v19 gene set annotations. We also annotated loss-offunction variants using LOFTEE (https://github.com/konradjk/loftee). We calculated genotype concordance comparing passing variant calls to the corresponding Illumina 550k, Illumina OmniExpress, and Illumina OmniExpressPlus arrays. On target coverage was calculated using GATK's DepthofCoverage tool.

Targeted resequencing—For 441 KhoeSan samples (N=269 ‡Khomani and N=172 Nama), we performed targeted resequencing. Older samples had a smaller quantity of DNA, so we first performed whole genome amplification (WGA) for a subset of samples. We chose resequencing targets based on the output of the ‡Khomani GWAS, enriching for strong associations, associations near genes with prior evidence for a role in pigmentation, and regions containing SNPs previously implicated in pigmentation in other populations. We chose 35 regions totaling 7.1 Mb and used the NimbleGen SeqCap EZ Choice Enrichment Kit to enrich for these loci. We barcoded then pooled 96 samples per sequencing run with the Illumina NextSeq.

Resequencing variant calling—Resequencing data was processed in the same way as exome variant calls up until the HaplotypeCaller step. Because there were not enough variants in the 7 Mb capture region to run VQSR, we applied hard filters to as quality control. We removed samples with <10× mean coverage, samples with a 8% contamination rate measured by verify BamID, and highly discordant samples (concordance with genotyping array <95%). We also removed variants with <1% allele frequency, sites with >50% missingness, and spanning (<*:DEL>) variants.

Resequencing QC—The resequencing design was successful for all targets, although the regions targeting SNPs previously associated with pigmentation in MC1R and TPCN2 had significantly lower coverage than the other regions. The resequencing efforts yielded large amounts of high-quality data for each pool with on average 84% of reads in target regions, achieving a median depth of coverage of $29 \times$ per sample. We compared variant calls and genotypes for samples that were genotyped on an array and achieved an average of > 99% concordance (Figure S7). We discovered a total of 46,429 SNPs and indels with a MAF > 1% that passed our quality control filters, resulting in a Bonferoni threshold of 1.08e-6.

QUANTIFICATION AND STATISTICAL ANALYSIS

All heritability analyses except for the pedigree-based analysis were performed with GCTA. Pedigree-based heritability analysis was performed with SOLAR. All mixed model association analyses with the imputed GWAS data were performed with EMMAX. All mixed model association analyses with resequenced data were performed with GCTA. All other statistical analyses, unless otherwise noted, were performed using R.

DATA AND SOFTWARE AVAILABILITY

Processed data are available here: https://data.mendeley.com/datasets/98mh8z78m3/draft? a=4a3dc606-f854-4190-9f26-e5e07110349e.

According to the newly issued San Code of Research Ethics, as published by the South African San Council, parties should first contact the South African San Council to request data access and submit a project proposal. Following local approval, Dr. Henn will release the appropriate SNP array, exome and/or phenotype data.

Contact Details: admin@sasi.org.za, South African San Institute, 4 Sanda Park, Platfontein Farm, Barkly-West / Kimberley Road, Kimberley, Northern Cape, South Africa

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Biological Samples		
DNA from ‡Khomani and Nama individuals	This study and (Uren et al., 2016b)	N/A
Critical Commercial Assays	-	
SeqCap EZ Choice XL Enrichment Kit	Roche NimbleGen	06266371001
Kapa HyperPlus Library Prep Kit	Roche / Kapa	KK8514
Oragene saliva collection kits	DNAGenotek	OGR-500
NextSeq Mid Output 300 cycle kit	Illumina	FC-404-2004
Deposited Data		
‡Khomani and Nama ancestry and summary statistics data	This study	http://dx.doi.org/10.17632/98mh8z78m3.1

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Oligonucleotides		
xGen Adapter Blocking Oligos	xGen Adapter Blocking Oligos	xGen Adapter Blocking Oligos
8×12 TS HT Dual Index Duplex Mixed Adapter Plate	8×12 TS HT Dual Index Duplex Mixed Adapter Plate	8×12 TS HT Dual Index Duplex Mixed Adapter Plate
Software and Algorithms		
METAL	(Willer et al., 2010)	http://csg.sph.umich.edu/abecasis/metal/
EMMAX	(Kang et al., 2010)	http://genetics.cs.ucla.edu/emmax/
ADMIXTURE	(Shringarpure et al., 2016)	https://www.genetics.ucla.edu/software/admixture/
PLINK	(Chang et al., 2015)	https://www.cog-genomics.org/plink2
VEP	(McLaren et al., 2016)	https://www.ensembl.org/vep
LOFTEE	https://github.com/konradjk/loftee	https://github.com/konradjk/loftee
SOLAR	(Almasy and Blangero, 1998)	http://www.biostat.wustl.edu/genetics/geneticssoft/manuals/solar210/00.conte
GCTA	(Yang et al., 2011)	http://cnsgenomics.com/software/gcta/
BWA	(Li and Durbin, 2009)	http://bio-bwa.sourceforge.net/
Picard	http://broadinstitute.github.io/picard/	http://broadinstitute.github.io/picard/
KING	(Manichaikul et al., 2010)	http://people.virginia.edu/~wc9c/KING/manual.html
BEAGLE	(Browning and Browning, 2007)	https://faculty.washington.edu/browning/beagle/beagle.html
R	https://www.rproject.org/	https://www.r-project.org/
GENESIS	(Conomos et al., 2016)	http://bioconductor.org/packages/release/bioc/html/GENESIS.html
REAP	(Thornton et al., 2012b)	http://faculty.washington.edu/tathornt/software/REAP/index.html
enrichR	(Chen et al., 2013)	http://amp.pharm.mssm.edu/Enrichr/
HaploReg	(Ward and Kellis, 2011)	http://archive.broadinstitute.org/mammals/haploreg/haploreg.php
RFMix	(Maples et al., 2013)	https://sites.google.com/site/rfmixlocalancestryinference/
GERMLINE	(Gusev et al., 2009)	http://www.cs.columbia.edu/~gusev/germline/
Shapeit2	(O'Connell et al., 2014)	http://www.shapeit.fr/
Impute2	(Howie et al., 2009)	http://mathgen.stats.ox.ac.uk/impute/impute_v2.html
GATK	(McKenna et al., 2010)	https://software.broadinstitute.org/gatk/

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Highlights

- Skin pigmentation in Africans is far more polygenic than light skin in Eurasians
- Early diverged KhoeSan populations have light skin compared to equatorial Africans
- Highly heritable KhoeSan skin color variation is poorly explained by known genes
- The study of African skin color identifies novel and canonical pigmentation genes



Figure 1. Distributions of baseline pigmentation in globally diverse populations

A) Sample locations of skin pigmentation datasets where phenotypes were measured with a DSM I or DSM II. **B**) Violin plots of pigmentation distributions for 32 populations from 8 studies ordered by latitude; absolute latitudes provided on the right. Corresponding datasets are colored as in A). Table S1 provides summary statistics for each population. M indices are reflectance measures that approximate melanin content. **C**) A comparison of skin pigmentation distributions in ‡Khomani (top) and Nama populations (bottom). Dashed grey lines and labels indicate mean M index for the indicated other global populations. **D**) South African individuals in a household that exemplify the substantial skin pigmentation variability in the ‡Khomani and Nama populations. Picture taken with consent for publication. See also Table S1.

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Figure 2. Ancestry components in the KhoeSan and association with pigmentation

A) ADMIXTURE proportions at k=7 for the ‡Khomani and Nama populations, using Namibian San, Hadza, Sandawe, Maasai, Kenyan Bantu, South African (SA) Bantu, Yoruba, Mozabite, Central Europeans (CEU), and French populations as a reference panel **B**) Associations between substantial k ancestry clusters and average melanin (M index) baseline pigmentation value in the combined ‡Khomani and Nama populations. The Bantu and European components each constitute 5% of the total KhoeSan ancestry on average and have significant associations in the best multivariate model (p < 0.05). See also Figure S2 and Table S2.

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Heritable variation in KhoeSan pigmentation is partially explained by previously associated loci, newly associated loci, and candidate genes discovered in divergence studies of other populations, and in animal models. A) Schema illustrating how heritability analyses were used to partition the phenotypic variance explained by candidate gene sets (GS1, GS2) and novel associations (GS3) compared to the rest of the genome. B) Variance components analysis in GCTA comparing pigmentation variability explained by GS1, GS2, and the rest of the genome. Error bars span ± 1 standard error. C) Heritability explained by estimated value observed in our data (dot and arrow) versus matched null distribution in the ‡Khomani and Nama after accounting for number of SNPs in GS1 gene sets containing 14 genes previously associated with skin pigmentation in other populations. D) As in C), where GS2

= gene set from Table S4 of (Beleza et al., 2013) compiled based on pigmentation function. See also Figure S3.

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A–B) Distribution of weighted F_{ST} in 20 kb moving windows of SNPs across the genome with a step size of 5 kb. Labels indicate where the maximal F_{ST} window from each canonical pigmentation gene lies in the distribution. Divergence depicted is between A) the KhoeSan and Europeans, and B) the KhoeSan and West African populations. C–D) F_{ST} in canonical pigmentation genes. Dots indicate SNPs, lines indicate moving averages over 20 kb windows with a step size of 5 kb. Canonical pigmentation loci/genes are shown as: C) the *OCA2-HERC2* locus, and D) the *SLC24A5* gene.

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Figure 5. Associations between genetic data and baseline pigmentation

A) Targeted resequencing QQ plot. 95% confidence interval on the QQ plot is drawn assuming the j^{th} order statistic from a uniform sample follows a Beta(j, n - j + 1) distribution. Colors differentiate loci containing more than one variant associated more significantly than the 95% confidence interval in a region. B–C) LocusZoom plots of targeted resequencing genetic associations incorporating KhoeSan-specific LD. Recombination rates are from HapMap b37. Regions include: B) *SLC24A5*, and C) 5 independent signals associated with p < 1e-3 in/near *SMARCA2* and *VLDLR*. D) LocusZoom plot of suggestive association in/near *SNX13* from meta-analysis of phase 1 and phase2 imputed associations with KhoeSan-specific LD. See also Figure S6, Table S5, Table S7, and Table S6B.

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Heritability estimates contrasting baseline skin pigmentation with tanning status

SNP-based heritability estimates were computed with GCTA using genetic relationship matrices (GRMs) calculated from SNP gentoypes, an admixturecorrected GRM computed with REAP, and IBD segments. All models were unconstrained. See also Figure S1 and Table S3.

 Method	Dataset	SNPs	N	h^2 (SE) baseline pigmentation ^{<i>a</i>}	\mathbf{h}^2 (SE) tanning status b
 GCTA GRM	genotype array	286,026	216	0.90 (0.15)	0.31 (0.19)
 REAP GRM	genotype array	286,026	216	0.97 (0.15)	0.41 (0.21)
 $\mathrm{K_{IBD}}$	genotype array	NA	216	0.97 (0.16)	0.45 (0.22)
 GCTA GRM	exome	117,132	82	0.95 (0.26)	0.37 (0.37)
 SOLAR	pedigrees	NA	477	0.96 (0.12)	0.19 (0.11)

 $^2\mathrm{Bantu}$ and European admixture proportions were included as covariates.

b Age and sex were included as significant covariates for tanning status (wrist minus baseline underarm pigmentation).

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Table 2

Replication of previously associated skin pigmentation variants in the joint ‡Khomani and Nama populations

P-value indicates the joint association across all KhoeSan individuals using a linear mixed model accounting for European and Bantu admixture as well as kinship. Beta values reflect the effect size of adding one derived allele, assuming an additive model, to the distribution of M index (see Figure 1). See also Figure S5 and Table S6A.

Gene	rsID	P-value	Beta	Derived frequency	Allele number ^a	San-specific frequency	San 95% CI ^b	W. AFR ^c	N. EUR ^d
UGTIA	rs6742078	0.58	-0.44	0.54	460	0.60	[0.54, 0.69]	0.47	0.29
SLC45A2	rs35395	0.98	-0.02	0.32	882	0.21	[0.18, 0.25]	0.20	0.99
SLC45A2	rs16891982	1.2E-03	-2.84	0.14	882	0.00	[0.00,0.02]	0.00	0.98
IRF4	rs12203592	0.83	-0.54	0.01	882	0.00	[0.00, 0.00]	0.00	0.17
IRF4	rs12202284	0.51	0.99	0.04	824	0.00	[0.00, 0.01]	0.15	0.21
OPRMI	rs6917661	0.29	-0.71	0.66	882	0.71	[0.67, 0.79]	0.61	0.76
EGFR	rs12668421	0.65	-0.49	0.08	882	0.02	[0.01, 0.08]	0.06	0.27
TYRPI	rs13289810	0.61	0.53	0.19	882	0.18	[0.11, 0.25]	0.24	0.34
BNC2	rs10756819	0.51	0.91	0.08	466	0.02	[0.00,0.05]	0.07	0.65
GATA3	rs376397	0.91	0.07	0.65	872	0.79	[0.75,0.82]	0.31	0.32
GRM5, TYR	rs10831496	0.28	-0.90	0.52	460	0.63	[0.57, 0.70]	0.12	0.69
TYR	rs1042602	0.74	0.58	0.06	466	0.00	[0.00,0.02]	0.00	0.38
KITLG	rs12821256	0.02	-5.28	0.02	882	0.00	[0.00, 0.01]	0.00	0.17
OCA2	rs1800404	0.53	-0.40	0.55	854	0.65	[0.56, 0.74]	0.11	0.81
OCA2	rs7495174	0.92	-0.07	0.71	716	0.61	[0.55, 0.69]	0.26	0.90
HERC2	rs12913832	0.09	-1.70	0.10	882	0.00	[0.00, 0.02]	0.01	0.79
APBA2	rs4424881	0.25	-1.24	0.18	440	0.02	[0.00, 0.06]	0.07	0.86
SLC24A5	rs1426654	9.8E-09	-3.58	0.40	882	0.24	[0.17, 0.32]	0.05	1.00
MCIR	rs1805007	0.80	-0.64	0.01	630	0.00	[0.00, 0.03]	0.00	0.11

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 a Allele number indicates the total number of alleles genotyped or sequenced across all KhoeSan samples.

b Confidence interval for the San-specific frequencies indicates the allele frequencies specifically on ‡Khomani haplotypes, assessed with local ancestry tracts.

^cW. AFR (western African) allele frequencies were estimated from 405 ESN, GWD, YRI, and MSL populations in the phase 3 1000 Genomes project

 d^{d} N. EUR (northern Europeans) allele frequencies were estimated from 190 GBR and CEU populations in the phase 3 1000 Genomes project