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# A Genetic Association Study of Carotid Intima-Media Thickness (CIMT) and Plaque in Mexican Americans and European Americans with Rheumatoid Arthritis

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#### **Abstract**

**Background and aims**—Little is known about specific genetic determinants of carotid-intimamedia thickness (CIMT) and carotid plaque in subjects with rheumatoid arthritis (RA). We have used the Metabochip array to fine map and replicate loci that influence variation in these phenotypes in Mexican Americans (MAs) and European Americans (EAs).

**Methods**—CIMT and plaque were measured using ultrasound from 700 MA and 415 EA patients with RA and we conducted association analyses with the Metabochip single nucleotide polymorphism (SNP) data using PLINK.

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Author Contributors: All authors reviewed and approved the final version of the manuscript. RA, AE, and IdR have full access to both genotypic and phenotypic data of this study and take full responsibility for the accuracy of the data. AE, IdR, conceived, and obtained funding for the study. EA, IdR, JFR, and DFB were involved in patient recruitment, acquisition of phenotypic data, and monitored data collection for the whole study. RA, RD, AE, IdR VSF were involved in study conception and design. VSF, SK, RA, RD, MdA, JC, DW, MF performed Metabochip genotyping, developed quality control measures, and performed molecular genetic analyses. RA, VSF, AE, RD, MdA, IdR, CPJ, SM, JB, MZK were involved in statistical genetic analyses and interpretation of results, and RA drafted the manuscript.

Ethics approval: All procedures performed in this study involving human participants were in accordance with the ethical standards of the Institutional Review Board, UT Health San Antonio.

#### **Conflict of Interest:**

None of the authors had a conflict of interest

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**Results—**In MAs, 12 SNPs from 11 chromosomes and 6 SNPs from 6 chromosomes show suggestive associations (p <1 × 10<sup>-4</sup>) with CIMT and plaque, respectively. The strongest association was observed between CIMT and rs17526722 (*SLC17A2* gene) ( $\beta \pm SE = -0.84 \pm 0.18$ , p = 3.80 × 10<sup>-6</sup>). In EAs, 9 SNPs from 7 chromosomes and 7 SNPs from 7 chromosomes showed suggestive associations with CIMT and plaque, respectively. The top association for CIMT was observed with rs1867148 (*PPCDC* gene,  $\beta \pm SE = -0.28 \pm 0.06$ , p = 5.11 × 10<sup>-6</sup>). We also observed strong association between plaque and two novel loci: rs496916 from *COL4A1* gene (OR = 0.51, p = 3.15 × 10<sup>-6</sup>) in MAs and rs515291 from *SLCA13* gene (OR = 0.50, p = 3.09 × 10<sup>-5</sup>) in EAs.

**Conclusions**—We identified novel associations between CIMT and variants in genes *SLC17A2* and *PPCDC*, and between plaque and variants from *COL4A1* and *SLCA13* that may pinpoint new candidate risk loci for subclinical atherosclerosis associated with RA.

#### Keywords

carotid plaque; subclinical atherosclerosis; genetic association; genes; single nucleotide polymorphisms

#### Introduction

Atherosclerosis is the precursor to coronary heart disease (CHD) and stroke, which is characterized by an accumulation of cholesterol-rich material in the arterial intimal-medial layers [1]. CIMT of the common carotid artery, as measured by high-resolution B-mode ultrasonography, is a useful noninvasive anatomic structural measure of cardiovascular disease [1, 2]. CIMT is not only an excellent surrogate marker of macrovascular atherosclerotic disease, but also widely used to study the early structural changes in the arterial wall including endothelium, connective tissue, and smooth muscle [1, 3]. Several studies have indicated that increased common CIMT is a better indicator of generalized atherosclerosis and coronary artery disease than earlier methods [3-6]. Another diagnostic feature of cardiovascular disease, carotid plaque, is defined as a distinct protrusion, greater than 1.5 mm, into the vessel lumen [7]. Studies have also indicated that the presence of plaque is associated with CHD independent of CIMT [5, 8, 9] even though CIMT includes the site of lipid deposition and plaque formation [1, 2, 10, 11]. Carotid plaque has been shown to be more closely related to coronary artery disease (CAD) and a better measure to predict coronary events than CIMT [5, 12, 13]. Nonetheless, CIMT measurement and carotid plaque assessment are both widely used for identifying subclinical atherosclerotic disease.

Rheumatoid arthritis (RA) is a disease characterized by chronic high-grade systemic inflammation, and a predisposition to accelerated atherosclerosis and cardiovascular events [14–17], with several studies showing increased CIMT in RA patients relative to non-RA controls [18, 19]. We have previously examined the association of carotid atherosclerosis with CV events in the RA cohort and found significant association [20]. In addition to traditional atherosclerosis risk factors, longer duration of RA disease may help predict the development of a severe morphological expression of atherosclerosis [21]. It is now well established that genetic and environmental factors influence CIMT and plaque. While several family studies have reported, moderate to high heritabilities (35%–67% for CIMT [2,

22–24] and low to moderate heritabilities (28% – 47%) for plaque [2, 25, 26], specific causal variants have not been identified, particularly in RA subjects, which would contribute significantly to understanding the mechanisms involved in the RA-mediated development of subclinical atherosclerosis and cardiovascular disease. In genome-wide association studies (GWAS), there have been few attempts to localize genetic variants that influence variation in CIMT and plaque in RA. Despite the identification of several loci for CHD, including ones associated with CIMT and plaque, most have been detected in populations of European ancestry and very few were observed in Mexican Americans, particularly in subjects with RA. Furthermore, most of the GWAS-identified common genetic variants have modest effect sizes, and the functional relevance of most of these variants has not been established [2, 27, 28]. Therefore, identification of causal variants would contribute significantly to our understanding of the mechanisms involved in the RA-mediated development of subclinical atherosclerosis and cardiovascular disease.

In this study, we used the Metabochip, a custom genotyping array for genetic studies of cardiometabolic diseases, to localize genetic variants influencing CIMT and plaque in RA patients from a bi-ethnic United States (US) population representing European Americans (EAs) and Mexican Americans (MAs), which is a major subgroup of Hispanics and the largest minority population in the US. Metabochip array is a valuable tool for replicating and fine-mapping the known cardiometabolic disease-related susceptibility loci, and additionally for localizing susceptibility loci for use as prognostic biomarkers of subclinical atherosclerotic phenotypes such as CIMT and plaque in RA [29, 30].

#### **Patients and Methods**

### Subjects

We used existing samples/data from the ORALE (Outcome of Rheumatoid Arthritis Longitudinal Evaluation) study, involving 700 unrelated MAs and unrelated 415 EAs. From 1996 to 2009, we recruited consecutive patients who met the 1987 criteria for RA [31] from 11 private and public rheumatology outpatient clinics in San Antonio, Texas, at the time of a scheduled visit with a rheumatologist. All patients participated in a comprehensive baseline evaluation of their clinical characteristics as described in previous publications [32]. Serum IgM rheumatoid factor (RF) and IgG anti–CCP antibodies were measured on a stored serum specimen obtained at the time of the baseline evaluation, using solid phase enzyme immunoassays (TheraTest, Inc., Chicago, IL). For the RF and the anti-CCP, we quantified the antibodies titers to dilution. All research procedures were approved by the Institutional Review Board of the University of Texas Health San Antonio (UTHSA) and a written informed consent was obtained from the patient participants prior to the initiation of evaluations.

#### **Phenotyping**

#### **Carotid Ultrasound**

A single technician performed a duplex scan of the carotid arteries in all patients, following a standardized vascular protocol developed for the Multi-Ethnic Study of Atherosclerosis.

We used an ATL HDI-3000 High Resolution Imaging machine with a L7-4 Transducer (Philips Medical Systems North America Company, Bothell, WA). The technician acquired 4 standardized B-mode images and a Doppler flow measurement from both sides of the neck. The first image was of the distal common carotid artery, and the three others were centered on the site of maximum near and far wall thickening in the proximal internal carotid artery or carotid bulb. Results were recorded on Super VHS tapes and mailed to a central facility (Ultrasound Reading Center, New England Medical Center, Boston, MA), for grading of the carotid artery intima-media thickness and carotid plaque. At the reading center, the images were digitized at 30 frames per second, and arterial diameter fluctuations with the cardiac cycle observed. Images were selected and read by a single, certified reader masked to subject characteristics. The CIMT levels were subjected to inverse normal transformation for the association analyses.

Carotid plaque was identified as a discrete projection of 50% or more from the adjacent wall into the vessel lumen. For CIMT, we measured the end diastole at each of the near and far walls of the right and left common carotid arteries, and the anterior oblique, lateral and posterior oblique views of the internal carotid artery, for a total of 16 CIMT measurements per person. The maximal CIMT of the common and internal carotid arteries were obtained by averaging the maximal measurement from the near and far walls at each projection, from the right and left sides. Then the composite maximal CIMT was calculated by averaging the common and internal carotid maximal CIMT values. The result is one CIMT value per person, expressed in millimeters. Our study involved a single ultrasonographer and a single reader. Nevertheless, to assess the technique's reliability, our reader re-read 50 images, and a different reader re-read a separate set of 50 images. The intra-reader intraclass correlation coefficient for CIMT was 0.99, and the inter-reader coefficient was 0.94. For plaque, the intra-reader kappa statistic was 1.0, while the inter-reader kappa was 0.94.

# Genotyping

The Metabochip (Illumina) is a custom BeadChip targeting 196,725 genetic variants. Common and less common genetic variants were chosen from among the first iteration of the 1000 Genomes Project and represent index GWAS-identified variants regardless of disease or phenotype as of 2009 [30]. As previously described [30], it was primarily designed for fine mapping of metabolic and cardiovascular disease-related loci, and replication of susceptibility loci for specific GWAS-identified regions associated with cardio-metabolic disease and related phenotypes. We performed the genotyping according to the Illumina protocol and initial data handling and analysis was performed using Genome Studio v1.7.4 (Illumina).

# **Sample and SNP Quality Control Measures**

Several quality control measures were applied to the genotypic data of each ethnic group, and only the autosomal SNPs that passed QC were considered for this study. Subjects with low call rates (< 0.95) were removed (MA = 13 and EA = 0). To identify and exclude highly related individuals or duplicate samples, we performed the relationship inference analytical procedure as implemented in the computer program KING [Kinship-based Inference for

Genome-wide association studies, [33]] and identified related individuals. Subsequently, using the program PLINK [34] and the identity-by-descent (IBD) analysis, closely related individuals up to  $3^{rd}$  degree relatives (IBD > 0.185) were removed (MA = 17 and EA = 3). To detect ethnic outliers, we used EIGENSTRAT c3.0 software package [35] to employ principal components analysis to a subset of autosomal SNPs in our data that were in low LD ( $r^2 < 0.2$ ) and the HapMap samples as reference for the ethnic groups. Plots were generated using the first two principal components (PCs) for visual inspection. Using our data by ethnic group, samples were identified as population outliers, defined by 4SD from the mean of each of the 2 PCs that explained the majority of variation in the data, and were subsequently removed (MA = 2 and EA = 0). SNPs with a genotyping call rate less than 95% were removed using PLINK [34]. In addition, SNPs with Hardy-Weinberg Equilibrium (HWE) values of p <  $10^{-4}$  [(MA = 236 (CIMT) and 120 (plaque); and EA = 114 (CIMT), and 38 (plaque)] and with minor allele frequency (MAF) < 0.01 (MA = 57, 323 and EA = 60, 168) were removed from the analysis. After filtering and genotyping pruning, 122,549 SNPs from 668 MAs and 120,827 from 415 EAs were remained in the association analyses.

### **Statistical Genetic Analyses**

We performed association analyses between the transformed CIMT as a quantitative trait and SNP genotypes in both MA and EA samples after OCs, using PLINK software version 1.07[34]. Principal Components (PCs) were derived using EIGENSTRAT principal component analysis [35] to adjust for potential population stratification influences. A linear regression additive genetic model (SNPs coded as 0,1, or 2 based on the minor allele dosage) adjusted for the effects of covariates age, sex, RA duration, medication status (statin use, and hypertension, [htn, medication]), and the first two PC1 and PC2, was used for association testing of CIMT, a quantitative trait. Association statistics for plaque, a discrete trait, were calculated using logistic regression assuming an additive model. Manhattan plots were constructed using the computer programs PLINK and Haploview and the regional association plots were generated using the program LocusZoom [36] and the Q-Q plots were done using Efficient and Parallelizable Association Container Toolbox software [EPACTS, http://genome.sph.umich.edu/wiki/EPACTS]. Association p values were adjusted for multiple testing using the conservative Bonferroni correction:  $4.08 \times 10^{-7}$  for MA and 4.14 $\times$  10<sup>-7</sup> for EA. Using Haploview, combined p values were obtained using Fisher's method. Linkage disequilibrium (LD) between SNP pairs was estimated using r<sup>2</sup> values.

# Polygenic Risk Score (PRS)

To further investigate the genetic architecture of CIMT and plaque, PRS analyses were conducted, with scores representing summations of CIMT- and plaque-associated alleles from the Metabochip array. Scoring routines were determined from the association test results for the MA cohort, with risk alleles identified based on varying p-value thresholds (1,000 different p-value (Pts) thresholds, representing increments of p = 0.001), each weighted by their estimated effect sizes on CIMT or plaque. Scores were then computed in the independent EA cohort, and evaluated as predictors of CIMT or plaque via linear regression models (covariates age, sex, PCs 1 and 2, RA duration, statin use, and htn). SNPs were initially pruned using PLINK's clumping methodology based on linkage disequilibrium

(LD;  $r^2 = 0.1$ ), distance (250 Kb), and association P-values for CIMT or plaque in the MA cohort (http://pngu.mgh.harvard.edu/~purcell/plink/clump.shtml), reducing the number of SNPs utilized in the scoring routines from 122,549 to 36,630 for the CIMT data, and 122,649 to 36,748 for the plaque data. This work was performed using PRSice v.1.23, a polygenic risk score software [37].

#### Results

We analyzed Metabochip array data for 668 Mexican American (MA) and 415 European American (EA) individuals with RA from the ORALE study using association analyses by ethnic group to identify genetic variants that contribute to variation in CIMT (inverse normalized) and plaque, which are the best surrogates for atherosclerosis and predictors of risk of stroke and myocardial infarction. The characteristics of the study participants are shown in Table 1. The mean age of MAs was 59.3 years (females 79.0%), whereas for EAs, it was 64.4 years (females 65.1%). The mean CIMT value was lower in MAs (0.98) than in EAs (1.15), and the average RA duration was slightly longer in EAs (16.7 years) compared to that in MAs (15.1 years). In contrast, 53.2% MAs had plaque while EAs showed slightly higher occurrence of plaque (64.2%). Using EPACTS, we generated the quantile-quantile (Q-Q) plots of the transformed CIMT in both MAs and EAs (Supplementary Figure 1), where the genomic inflation factor (λ) was calculated to be 1.009 (CIMT) and 1.022 (plaque) for MA data and 1.008 (CIMT) and 1.0 (plaque) for EA data. Thus Q-Q plots exhibit a roughly straight line through the origin with a unit slope indicating almost no inflation.

Association results of the transformed CIMT after adjustment for covariate effects in MAs are shown in Figure 2. As reported in Table 2a, we identified 12 SNPs from 11 chromosomes that exhibited association with CIMT in MAs at  $p < 1 \times 10^{-4}$ , and the p values ranged from  $9.95 \times 10^{-5}$  to  $3.80 \times 10^{-6}$ . The best association in our MA data was observed with the marker rs17526722 ( $\beta \pm SE = -0.84 \pm 0.18$ , p = 3.80 × 10<sup>-6</sup>), an intronic variant within the SLC17A2 (Solute Carrier Family 17, member 2) gene on chromosome 6 (6p22.2, MIM: 611049). The mean CIMT by genotype categories were, -0.80/AG, and 0.01/GG, respectively. Although the minor allele homozygotes were absent, the carriers of the minor allele A were found to have reduced CIMT, compared to the major allele (G), indicating that the minor allele is associated with reduced CIMT and therefore the variants appear to have a protective effect. The regional association plot containing the lead SNP (rs17526722) associated with CIMT in MAs is depicted in Figure 1.C (a). Loci associated with plaque in MAs are shown in Figure 1B and Table 2b. We identified 6 SNPs from chromosomes 1, 6, 9, 13, 15, and 22, showing associations at p <1  $\times$  10<sup>-4</sup>, and the p-values ranged from 9.76  $\times$  $10^{-5}$  to  $3.15 \times 10^{-6}$ . The top SNP (rs496916; OR (95% CI) = 0.514 (0.39 – 0.68), p = 3.15 ×  $10^{-6}$ ) is an intronic variant within *COL4A1* (collagen type IV alpha 1 chain) gene on chromosome 13 (13q34, MIM: 120130). The regional association plot for rs496916 is depicted in Figure 1. C (b).

The association findings related to EAs are depicted in Figure 2 and Table 3. As can be seen from Table 3a Figure 2A (a), after adjusting for covariate effects, 9 SNPs from chromosomes 1, 2, 6, 11, 13, 15, and 16, showed association with CIMT at  $<1 \times 10^{-4}$ , and the p values

ranged from  $9.45 \times 10^{-5}$  to  $5.11 \times 10^{-6}$ . The top associated SNP was rs1867148 ( $\beta \pm SE =$  $-0.280 \pm 0.06$ ,  $p = 5.11 \times 10^{-6}$ ), an intronic variant located in the *PPCDC* (Phosphopantothenoylcysteine Decarboxylase) gene on chromosome 15 (15q24.2, MIM: 609854). Of the 9 SNPs, 3 have low minor allele frequencies (MAFs), ranging from 0.01-0.05. For example, in the best associated marker rs1867148, as revealed by the mean CIMT by genotype classes (i.e., -0.19/CC, 0.20/CT, and 0.43/TT), the carriers of the low frequency allele C were found to have lower CIMT. Figure 2C (c) shows the regional association plot containing rs1867148 associated with CIMT in EAs. Top two SNPs (rs1867148 and rs7163636) associated with CIMT in EAs are in complete LD ( $r^2 = 1$ ). As shown in Table 3b and Figure 2A (b), 7 loci associated with plaque in EAs, showed moderate associations (p<1  $\times 10^{-4}$ ). The top SNP (rs515291; OR = 0.4987, 95% CI= 0.36–0.69, p 3.09  $\times 10^{-5}$ ) is an intronic variant within the *SLC2A13* (solute carrier family 2 member 13) gene on chromosome 12q12 (MIM: 611036). As shown in Figure 2C (d), the regional association plot shows the SNPs that are associated with plaque in EAs include the lead SNP rs515291 (SLC2A13) followed by an intergenic variant (rs10501399) located between MYEOV-CCND1 genes on chromosome 11.

Although a majority of the genetic variants contributing to variation in CIMT and plaque in MAs and EAs appear to be population-specific, some of the associated loci were commonly found in both populations. As shown in Table 4, six SNPs were found with combined p values  $< 1 \times 10^{-5}$ , where the same SNPs were found to be associated with both CIMT and plaque at p of < 0.05 in both MAs and EAs. The best associated marker was chr15:73071294, which was more strongly associated in EAs compared with MAs and the minor allele appears to have a protective effect. Of the top SNPs shown to be associated with CIMT in MAs (Table 2), none of the SNPs showed association with CIMT in EAs (Table 3) and vice versa. Furthermore, in our study, none of the SNPs survived the conservative Bonferroni's correction, which required a significance threshold of  $4.1 \times 10^{-7}$  by ethnicity. On the other hand, of the 23 moderately associated SNPs (combined  $p < 1.0 \times 10^{-4}$ ) with CIMT in MAs (not reported), only 4 SNPs were found to be jointly associated (p < 0.05) in both MAs and EAs. Whereas for plaque, of the 37 strongly associated SNPs (combined  $p < 1.0 \times 10^{-4}$ ) in MAs (not reported), only 8 markers were found to be jointly associated with plaque (p < 0.05) in both MAs and EAs.

As shown in Supplementary Figure S2, the high-resolution plots (S2.a and S2.b) for cumulative 0.001 p-value thresholds ( $P_{Ts}$ ) reveal major peaks at  $p_t < 0.054$  (one-sided p = 0.0177;  $\beta = 104.81$  (SE = 49.66);  $R^2 = 0.0073$ ; n = 3,159 risk alleles) and  $p_t < 0.002$  (one-sided p = 0.020;  $\beta = 17.43$  (SE = 8.30);  $R^2 = 0.0131$ ; n = 123 risk alleles) for CIMT and plaque, respectively. Although accounting for a small portion of CIMT and plaque variation in EAs, the PRSs based on MA data suggest underlying genetic architecture that is, at least in part, common to both MAs and EAs. This is reflected in the nominally significant SNP associations (p < 0.05) observed in the two populations, as noted above.

#### **Discussion**

We conducted a population-based CIMT and plaque association analysis in a bi-ethnic population of MAs and EAs with RA. We sought to assess the extent to which fine-mapped

regions of multiple cardiometabolic disease related association signals, containing common and low frequency/rare variants, have relevance to susceptibility to CV risk factors and CV events. Our study by ethnic group revealed several loci suggestively associated (i.e.,  $p < 1 \times 1$ 10<sup>-4</sup>) with CIMT and plaque after adjusting for the influences of age, sex, RA duration and medical status, and population stratification, which were found to be mostly ethnic-specific. We found novel associations with loci on chromosomes 6 and 13 that were suggestively associated with CIMT and plaque in MAs and on chromosomes 15 and 12 that were suggestively associated with CIMT and plaque in EAs, respectively. In MAs, CIMT showed the best association with marker rs17526722, an intronic SNP in the SLC17A2 gene, and the carriers of the minor allele were found to have lower CIMT. This SNP is in strong LD with the marker rs36014129 in the gene SLC17A3, which has been shown to be suggestively associated with CIMT in a meta-analysis of GWASs from the CHARGE consortium [2]. This gene belongs to the sodium/anion cotransporter family and involved in sodium dependent phosphate transport. It has been associated with uric acid levels in recent GWASs [38, 39], which have in turn been shown to be associated with cardiovascular disease although their role in subclinical atherosclerosis is yet to be established [40]. Interestingly, this SNP was one of the 10 SNPs on chromosome 6 suggestively associated with CIMT that were located in an extended LD region containing the SLC17A2, SLC17A3-SLC17A2, TRIM38, SLC17A1, SLC17A3, SCGN, HIST1H3A-HIST1H4A, and TRIM38-HIST1H1A genes (Supplementary Table S1). SLC17A2 is associated with diseases such as nephrolithiasis/osteoporosis, hypophosphatemic, 1 and fanconi renotubular syndrome 2 (http://www.genecards.org). Some of the variants from genes (SLC17A1, SLC17A2, SCGN, TRIM38) of this region have been shown to be associated (p <  $5 \times 10^{-5}$ ) with serum iron phenotypes in premenopausal women of European descent [41].

Of the 6 loci suggestively associated with plaque in MAs, evidence for strong association with the marker rs496916 in the gene COL4A1 suggests a potential role in subclinical atherosclerosis in MAs. This gene encodes a type IV collagen alpha protein, which is an integral component of basement membrane and is involved in angiogenesis and blood vessel morphogenesis [42]. Notably, its functions include conferring elasticity to extracellular matrix and binding platelet derived growth factor. Mutations in this gene have been associated with cerebrovascular disease, and renal and muscular defects (http:// www.genecards.org). It is interesting to note that two other SNPs from this gene have been previously shown to be associated with incident coronary heart disease in African Americans [29]. In addition, certain genetic variants in the COL4A1-COL4A2 gene region represented on the Metabochip were shown to be associated with CHD in the PAGE African American individuals [29] as well as in individuals with European ancestry. Another gene (PHACTR1) region on chromosome 6p24.1 (MIM 608723) showing suggestive evidence of association with plaque is also a replication of earlier association with CHD in African American individuals [29]. Although these replications of reported associations with CHD are interesting, their specific role in atherosclerotic vascular disease is not clear.

In EAs, a strong association of CIMT was observed with marker rs1867148, which is in the *PPCDC* gene, and carriers of the minor allele (C) were found to have decreased CIMT. This gene is necessary for the biosynthesis of coenzyme A from pantothenic acid (Vitamin B5) and may be indirectly involved in zinc metabolism [43]. Notably, lowered zinc levels are

associated with higher IMT scores [44]. Thus, the implicated gene region appears to be involved in the subclinical atherosclerosis in EAs, though the exact function is not known. However, a SNP (rs6495122) from the *CPLX3* gene (MIM 609585) region was suggestively associated with CIMT in EAs and has been reported to be associated with blood pressure and hypertension in individuals with European ancestry [45].

For plaque in EAs, we found several loci with suggestive associations with the lead SNP from *SLC2A13* gene, which is a H(+)/myo-inositol cotransporter that plays an important role in various cellular processes such as transporting glucose and sugars, bile salts and organic acids, metal ions and amine compounds, involved in glucose metabolism, and exhibits insulin-mimetic properties [46]. Abnormalities of inositol metabolism are associated with insulin resistance and microvascular complications of diabetes [46]. A second region of interest showing suggestive evidence of association with plaque in EAs is on chromosome 11 marked by an intergenic SNP (rs10501399) located between *MYEOV* and *CCND1* genes [47]. These genes are involved in the proliferation of human coronary artery smooth muscle cells (HCASMCs) such as cardiac fibroblasts and deficient proliferation of other cell types (e.g. vascular endothelial cells) [48].

However, despite the correlation between CIMT and plaque, different trait-specific genetic determinants can be expected due to their differing pathobiology and associated phenotypic severity of plaque as shown by our earlier study as well as other studies [49, 50]. In addition, genetic differences are expected between the populations of European background and admixed populations such as the Mexican Americans that have both European and Native American ancestries. As revealed by our study, top SNPs that are associated with CIMT and plaque are different in significance levels but exhibit associations with either phenotype at nominal significance levels (p < 0.05) as shown in the revised tables S2–S5 in the supplementary material for review purpose. As noted above, it is well known that some variants exhibit unique associations with a given phenotype (CIMT or plaque) while other variants exhibit common associations with both phenotypes (CIMT and plaque). Furthermore, results from previous studies also support our findings [51, 52]. Thus, common variants in several genes exhibited significant associations with CIMT and plaque in both MAs and EAs. These findings may help understand the genetic architecture of subclinical atherosclerosis in these populations.

Finally, the significant results from the polygenic risk scoring for CIMT and plaque indicate the following: 1) the SNP-based association results for CIMT and plaque for the MA cohort were found to be predictive of CIMT levels in the independent EA dataset in an aggregate manner; 2) parts of the allelic architecture underlying CIMT and carotid plaque are common to both study populations; and 3) the best-fit PRS models for EA CIMT measures and plaque diagnoses occur at low association P<sub>Ts</sub> in the MA dataset, especially for plaque, suggesting that potential causal alleles with smaller, interethnic effect sizes collectively play a more limited role than they do in other complex, highly polygenic phenotypes, such as psychiatric disorders, where the best-fit PRS models occur at substantially higher thresholds [53, 54]; and 4) since direct replication of findings is lacking, PRS association findings with CIMT in both MAs and EAs may be indicative of evidence for the presence of common loci associated with CIMT in both populations.

Our study also suggests that the genetic variants contributing to variation in CIMT and plaque are largely population-specific. However, PRS showed a significant, although small, shared polygenic component for CIMT. Importantly, we were able to replicate association signals for chromosomal regions on 6, 12 and 13 influencing CIMT from a large GWAS meta-analysis despite the use of relatively small sample sizes. Most of these associations are with either intergenic or intronic variants, suggesting that they may be tagging nearby rare variants that were not detected by population based GWAS[4]. Limitations include the availability of relatively modest samples of RA patients, particularly EAs. Our ability to search for preclinical atherosclerotic risk variants was confined to the pre-selected genomic regions on the Metabochip.

In summary, this genetic association study of CIMT and plaque implicated some known genetic risk loci for subclinical atherosclerosis, and highlighted a subset of the loci previously associated with cardiovascular disease as potential molecular drivers of accelerated atherosclerosis features, and discovered new genetic variants associated with premature cardiovascular disease components. These novel findings provide additional insights into the pathophysiology of subclinical atherosclerosis in RA that will require validation and functional investigation. Strengths of our study include the use of both CIMT and plaque as surrogates for subclinical atherosclerosis from the RA patient sample of the well-characterized ORALE study's bi-ethnic population in the United States (US), involving Mexican Americans and European Americans. Subclinical atherosclerotic phenotype such as CIMT can offer greater power for gene localization/identification than a dichotomous disease status. To our knowledge, this is the first study to localize risk loci contributing to variation in CIMT and plaque in a US bi-ethnic RA population.

# **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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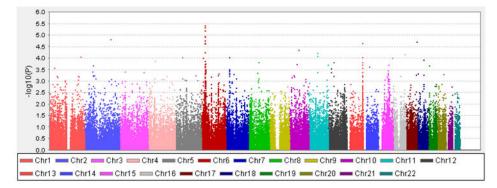
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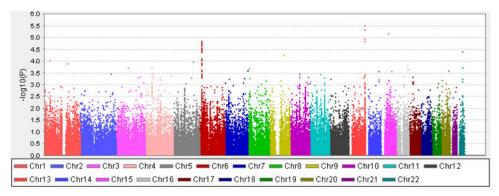
# Highlights

- Identified new loci associated with CIMT and plaque in RA patients
- Known genetic risk loci implicated in subclinical atherosclerosis
- Evidence for loci as potential molecular drivers of accelerated atherosclerosis
- Replicated previous associations with CIMT and plaque
- Polygenic Risk Score analysis found common loci for CIMT and Plaque in MAs and EAs.

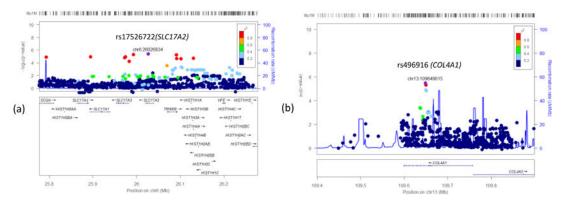
#### A. Association plot of CIMT in Mexican Americans.



#### B. Association plot of Plaque in Mexican Americans.

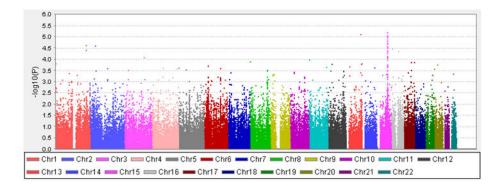


#### C. Regional Plots of the loci newly associated with CIMT (a) and Plaque (b) in Mexican Americans

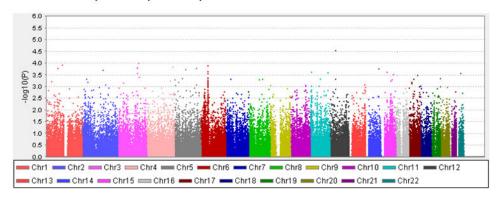


**Figure 1.**CIMT and Plaque Association and Regional Plots in Mexican Americans

#### A. Association plot of CIMT in European Americans.



#### B. Association plot of Plaque in European Americans.



#### C. Regional Plots of the loci newly associated with CIMT (c) and Plaque (d) European Americans

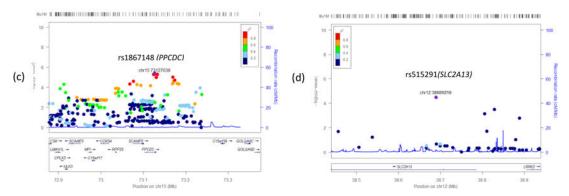


Figure 2.

CIMT and Plaque Association and Regional Plots in European Americans

Table 1
Characteristics of ORALE Study Subjects with Rheumatoid Arthritis (RA)

Variables	Mean ± S	SD or n, %
Population	Mexican Americans (MAs)	European Americans (EAs)
No. of subjects phenotyped and genotyped <sup>a</sup>	700	414
Age (years)	59.3 ± 11.3	64.4 ± 11.3
Females	79.0 %	65.1 %
RA duration <sup>b</sup> (years)	$15.1 \pm 10.3$	16.7 ± 11.2
IgM rheumatoid factor (RF) (IU/ml)	$765.5 \pm 1643.7$	410.6 ± 946.4
IgM RF 25 (IU/ml) <sup>C</sup>	637 (88.4%)	333 (78.5%)
Anti-CCP ( $IU/ml$ ) $^d$	390.2 ± 1468.3	$252.7 \pm 358.3$
Anti-CCP > 6.9 (IU/ml)	597 (82.9%)	319 (75.2%)
CIMT <sup>e</sup>	$0.98 \pm 0.44$	$1.15 \pm 0.59$
$CIMT^f$	$-0.12 \pm 0.92$	$0.17 \pm 1.01$
Plaque	53.2%	64.2%

<sup>&</sup>lt;sup>a</sup>After sample and SNP QC analyses, the phenotypic and genotypic (i.e., SNPs with MAF < 0.01 were excluded) data available for association analysis by ethnic group;

 $<sup>^{</sup>b}$ Rheumatoid Arthritis (RA) duration;

<sup>&</sup>lt;sup>C</sup> IgM RF = IgM rheumatoid factor (RF);

d Anti-CCP = Anti-cyclic citrullinated peptide;

 $<sup>^{</sup>e}$ CIMT original values;

 $f_{\mbox{\footnotesize CIMT}}$  values were inverse-normalized for association analyses.

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# Table 2

a. Gei	neticVariants A	Associated with C	a. Genetic Variants Associated with Carotid IMT in Mexican Americans $(p < 1.0 \mathrm{E}\text{-}4)$	n Ameri	cans (b	< 1.0E-4)			
Chr.	SNP	Position, bp <sup>a</sup>	Gene/Nearest Gene	Loc.	$q_{1}p$	$MAF^c$	BETA	SE	Ρf
9	rs17526722	26026834	SLC17A2	I	A	0.10	-0.8377	0.1797	3.80E-06
2	rs4894108	180112792	ZNF385B	I	G	0.19	-0.2501	0.05751	1.59E-05
17	rs2672901	76411261	KIAA1303	I	A	0.32	- 0.1929	0.04489	2.00E-05
13	rs12873154	109718853	COL4A1	I	G	0.20	-0.3918	0.09165	2.21E-05
10	rs61850526	63190012	C10orf107	I	T	0.02	-0.4624	0.1126	4.56E-05
11	rs76599700	61401283	FADS3	I	T	0.26	-0.7317	0.1814	6.17E-05
16	rs11860529	82330023	ЕІНДЭ	I	T	0.08	0.4112	0.1028	7.06E-05
1	rs17436982	219622889	0IAS\(\Omega\)OTA	DI	T	0.22	0.2827	0.07173	8.98E-05
5	rs250216	50317115	PARP8/LOC642366	IG	С	0.07	0.2322	0.05899	9.20E-05
7	rs11761467	27828130	TAX1BPI	I	T	0.10	-0.3244	0.08256	9.44E-05
9	rs11966018	12317214	HIVEP1/EDN1	IG	С	0.03	0.691	0.1763	9.82E-05
15	rs7177074	97357475	TOC145814	I	A	0.05	-0.5138	0.1312	9.95E-05

b. Ger	netic Variants	Associated with	b. Genetic Variants Associated with Plaque in Mexican Americans ( $p < 1.0$ E-4)	ericans	(p < 1.0]	E-4)			
Chr.	SNP	Position, bp <sup>a</sup>	Position, $\mathrm{b}p^{a}$   Gene/Nearest Gene   Loc.   $\mathrm{A1}^{b}$   $\mathrm{MAF}^{c}$   $\mathrm{OR}^{d}$	Loc.	A1b	$MAF^c$	$\mathrm{OR}^d$	95% CI <sup>e</sup>	fd
13	rs496916	109649015	COL4A1	I	С	0.41	0.514	0.514 0.39–0.68	3.15E-06
15	rs9806753 46953709	46953709	SHC4/EID1	IG	А	0.26	1.739	1.739 1.27–2.21	6.71E-06
9	rs9463110 12890588	12890588	PHACTRI	I	G	0.44	1.699	1.699 1.3–2.16	1.45E-05
22	rs2092179 38364539	38364539	CACNAII	I	С	0.25	0.588	0.588 0.46 - 0.77 3.85E-05	3.85E-05
6	rs7869506 98127033	98127033	SLC35D2	I	Т	0.26	1.688	1.688   1.31–2.18   5.41E-05	5.41E-05
1	rs6667860 36730800	36730800	CSF3R/GRIK3	IG	IG C 0.48	0.48	1.658	1.658   1.29–2.14	9.76E-05

Chr. = chromosome; SNP = single nucleotide polymorphism;

<sup>a</sup>Based on National Center for Biotechnology Information (NCBI) Build36/130 (hg18); Loc = Location; I = Intron; IG = Intergenic;

 $b_{A1} = minor allele;$ 

 $^{c}$ MAF = minor allele frequency;

 $d_{OR} = Odds Ratio;$ 

 $C_{\rm I} = Confidence Interval;$  f values ranked from low to high.

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# Table 3

a. Ger	netic Variants A	Associated with C	a. Genetic Variants Associated with Carotid IMT in European Americans $(p < 1.0 \text{E-4})$	America	> <i>d</i> ) sur	1.0E-4)			
Chr.	SNP	Position, bp <sup>a</sup>	Gene/Nearest Gene	Loc.	$_{41b}$	$MAF^{\mathcal{C}}$	BETA	SE	$\mathbf{p}f$
15	rs1867148	73127038	CDC	I	С	0.44	-0.2804	0.06064	5.11E-06
13	rs323453	104232379	LOC728183/DAOA	IG	G	0.34	-0.2724	0.06346	2.23E-05
1	rs4846566	217797888	LOC728510/ZC3H11B	IG	Т	0.01	-1.186	0.2777	2.46E-05
2	rs12987042	38518674	ARL6IP2/RPLPO-like	IG	A	0.36	-0.2608	0.0619	3.13E-05
15	rs6495122	72912698	CPLX3/ULK3	IG	A	0.42	-0.2525	0.06149	4.90E-05
1	rs2645091	2214505	SKI	I	Т	0.15	-0.3511	0.08741	7.07E-05
16	rs17821532	52504199	FTO	I	A	0.05	-0.7006	0.1751	7.58E-05
9	rs7742814	119185974	C60rf204/ASF1A	IG	G	0.36	0.2555	0.06462	9.09E-05
11	rs4387380	5824023	OR52E6/OR52E8	IG	С	0.03	-0.8226	0.2085	9.45E-05

b. Ger	etic Variants A	ssociated with P	b. Genetic Variants Associated with Plaque in European Americans $(p<1.0\text{E-4})$	ericans (p	) < 1.0E	-4)			
Chr.	ANS	Position, bp <sup>a</sup>	Position, bpa   Gene/Nearest Gene   Loc	Loc	A1b	$A1^b$ MAF $^c$ OR $^d$	$OR^d$	95% CIe	$f^{\mathbf{d}}$
12	rs515291	38689259	SLC2A13	I	G	0.32	0.4987	0.36-0.69	3.09E-05
11	rs10501399	68062689	MYEOV/CCND1	DI	Т	60.0	0.2938	0.16 - 0.52	3.34E-05
15	rs692390	39274282	EXDL 1	I	A	0.19	0.4138	0.4138 0.27–0.63	4.30E-05
5	rs6887230	148706339	GRPEL 2	I	G	0:30	2.02	1.4–2.8	5.61E-05
17	rs2070776	59361230	CD79B	C (ns)	Т	0.33	0.5033	0.36-0.71	9.68E-05
16	rs3785233	7607511	A2BPI	I	С	0.16	0.419	0.419 0.27–0.65	9.49E-05
9	rs10948573	50800310	TFAP2D	I	G	0.37	2.044	2.044 1.4–2.9	50- <del>389</del> .6

Chr. = chromosome; SNP = single nucleotide polymorphism;

 $^{2}$ Based on NCBI Build36/130 (hg18); Loc = Location; I = Intron; IG = Intergenic;

 $b_{A1} = minor allele;$ 

 $^{C}$ MAF = minor allele frequency;

 $^{\mathcal{C}}$ OR = Odds Ratio;

 $^{e}$ CI = Confidence Interval;

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Table 4

Common Association Signals with CIMT and Plaque in both Mexican American and European Americans

SNP		Location, bpa	Gene/Nearest Gene	Type		Mex	Mexican Americans			Euro	European Americans	S	Combined p value <sup>c</sup>
					$q_{1}p$	MAF	β-SNP (SE)	p-value	$^{A1b}$	MA F	β-SNP (SE)	p-value	
chr15:73071294	4	73071294	RPP25/SCAMP5	IG	А	0.02	-0.5279 (0.1834)	0.004133	∢	0.02	-0.7115 (0.2012)	4.54×10 <sup>-4</sup>	2.66×10 <sup>-5</sup>
rs582037		125723080	DCPS/ST3GAL4	DI	А	0.40	0.1308 (0.0386)	0.005611	A	0.33	0.234 (0.0648)	3.48×10 <sup>-4</sup>	$2.76 \times 10^{-5}$
rs4839634		144619509	SLC9A9	Ι	G	0.15	0.1592 (0.0633)	0.01215	G	0.14	0.3274 (0.0897)	2.96×10 <sup>-4</sup>	$4.87 \times 10^{-5}$
			Plaque				OR (SE)				OR (SE)		
rs6694848		63276194	LOC199897/FOXD3	ÐI	A	0.33	1.516 (0.1309)	0.001473	А	0.46	1.782 (0.1718)	7.69×10 <sup>-4</sup>	1.66×10 <sup>-5</sup>
rs9809344		135825448	AY	Ι	T	0.23	0.7201 (0.1428)	0.02147	Т	0.39	0.5426 (0.1676)	2.64×10 <sup>-4</sup>	7.41×10 <sup>-5</sup>
rs7327080		22689882	SOCG	I	G	0.33	1.404 (0.1259)	0.007004	G	0.32	0.5607 (0.1774)	0.001111	9.93×10 <sup>-5</sup>

Chr. = chromosome; SNP = single nucleotide polymorphism; MAF = minor allele frequency;

 $<sup>^{\</sup>it a}$  Based on National Center for Biotechnology Information (NCBI) Build36 (hg18);

bA1 = minor allele;

c combined p values ranked from low to high;