

The Positive Relationship Between γ H2AX and PD-L1 Expression in Lung Squamous Cell Carcinoma

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Abstract. *Background/Aim:* Lung squamous cell carcinoma often arises from precancerous lesions where alterations in tumor suppressor genes and subsequent chromosomal instability are often observed due to carcinogen exposure. These tumors are often immunogenic; as such, immune checkpoint inhibitors are a promising therapeutic option. We hypothesized that the DNA damage response in tumor cells induces an immune response, thereby up-regulating programmed death-ligand 1 (PD-L1) expression on tumor cells, which in turn sensitizes them to anti-PD-1 therapy. *Patients and Methods:* An immunohistochemical analysis was performed in 41 consecutive lung squamous cell carcinoma patients who underwent surgery at our institution between April 2013 and March 2014. *Results:* The analysis revealed a high PD-L1 expression in 15 patients (37%) ($p=0.028$). The PD-L1 expression was positively associated with the nuclear γ H2AX expression ($p=0.02$), that was confirmed by immunofluorescent staining. *Conclusion:* Our findings demonstrate that nuclear γ H2AX expression is positively associated with the PD-L1 expression in lung squamous cell carcinoma.

Lung cancer, which is a leading cause of cancer death worldwide, is associated with a poor survival, even when the tumor is surgically removed. In terms of histology, lung squamous cell carcinoma, which accounts for 22% of the cases of resected lung cancer, is associated with poorer overall survival, with a five-year survival rate of

approximately 60% in comparison to adenocarcinoma, which is the most common histological type (68%) and which has a five-year survival rate of approximately 75% (1). Molecular targeting therapies have recently been developed and have shown promising results against advanced lung cancer. Among the various types of lung cancer, lung squamous cell carcinoma has fewer treatment options because it is driven by alterations of tumor suppressor genes and subsequent chromosomal instability rather than oncogenic mutations (2). The chromosomal instability results in accumulation of somatic mutations and DNA damage response (3). This may explain why carcinogen-induced cancer, like lung squamous cell carcinoma and melanoma, is often accompanied by inflammation, as the DNA damage response is a major trigger activating the innate immune response. This consequence has been closely examined in the immune elimination process during viral infection and recently in the cancer immunity cycle (4).

The activation of the innate immune response leads to activation of immune checkpoint molecules as a mechanism of immune escape. Programmed death-ligand 1 (PD-L1) is one of the immune checkpoint molecules that are expressed on the surface of tumor cells. Once it is expressed on the tumor cells, PD-L1 binds to its receptor, PD-1, on the membrane of the cytotoxic T cell and inhibits T cell activity, resulting in the escape of the tumor cell from the immune system (5). The recent development of therapies against immune checkpoint molecules, namely, CTLA-4, PD-1 and PD-L1 has shown that PD-1/PD-L1 blockade can improve overall survival in patients with cancer including malignant melanoma, lung squamous cell carcinoma, and lung adenocarcinoma (6-13).

During the DNA damage response process, γ H2AX, a unique histone subunit, serves as a sensor of double-stranded DNA damage, thereby gathering other proteins to form DNA damage repair complex foci (14). γ H2AX foci are formed through irradiation, UV exposure, and cytotoxic chemotherapy, and the overexpression of γ H2AX is common among various types of cancer. We hypothesized that the

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Key Words: Immune checkpoint inhibitor, DNA damage response, lung squamous cell carcinoma.

PD-L1 expression in lung squamous cell carcinoma cells is associated with the γ H2AX expression as a marker of double-stranded DNA damage, with the expectation that the level of γ H2AX may be a biomarker for PD-1/PD-L1-targeted therapy.

Materials and Methods

Patients. From April 2013 to March 2014, 41 consecutive patients with lung squamous cell carcinoma underwent lung resection at our institution. The demographic characteristics of the study population are summarized in Table I. Ninety-three percent of the patients were male and 95% had a history of smoking (average pack-years: 63.8). One patient who had stage IV disease, which was confirmed by malignant effusion (M1a) at surgery, underwent the partial resection of the primary tumor for diagnostic purposes and was excluded from the survival analysis. The use of the specimens was approved by the internal review board of Oita University Faculty of Medicine, and informed consent was obtained from each patient.

Immunohistochemistry. Immunohistochemical studies were performed using formalin-fixed, paraffin-embedded tissue sections. Four-micrometer-thick sections were deparaffinized with xylene and rehydrated in a series of ethanol solutions. Endogenous peroxidase was blocked at room temperature by 3% hydrogen peroxide in methanol for 20 min. Heat-induced epitope retrieval was performed in 0.01 M citrate buffer (pH 6.0) and the samples were autoclaved at 121°C for 15 min. After blocking with normal goat serum, the slides were incubated with a rabbit monoclonal antibody against PD-L1 (1:200, clone E1L3N; Cell Signaling Technology, MA, USA) or with a mouse monoclonal antibody against γ H2AX (1:200, clone JBW301; EMD Millipore, Darmstadt, Germany) overnight at 4°C. After washing, the sections were treated in goat anti-mouse/rabbit immunoglobulin labeled with horseradish peroxidase (Histofine Simple Stain MAX-PO, Nichirei, Tokyo) for 30 min at room temperature. Staining was completed using diaminobenzidine as a chromogen; the slides were then counterstained with hematoxylin. For the negative controls, the primary antibody was replaced with phosphate buffered saline containing 1% bovine serum albumin. Human thymoma tissue (for PD-L1) and normal human tonsil tissue (for γ H2AX) were used as positive controls. At least 400 cancer cells were counted in 4 high-power fields. The H score (the staining intensity multiplied by the percentage of positively stained tumor cells) was used to evaluate PD-L1 expression. The staining intensity was classified as follows: 0, negative; 1, weak; 2, moderate; and 3, strong (Figure 1A). For example, if a tumor was 60% negative and 40% positive with 30% weak staining and 10% moderate staining, the H score would be 50 ($60 \times 0 + 30 \times 1 + 10 \times 2$). We did not evaluate the staining of tumor infiltrating lymphocytes. Nuclear staining was scored to determine γ H2AX expression. The IHC evaluations were performed independently by AO and HH, both of whom were blinded to the patient characteristics; their average scores were used for the evaluation. There were few interobserver differences in the staining judgments.

Immunofluorescent staining. Tumors were embedded in optimum cutting temperature compound, snap frozen and 8- μ m-thick sections were cut using a cryostat microtome and fixed in 4% paraformaldehyde in PBS for 15 min. The sections were then rinsed

Table I. *The patients characteristics.*

Factors	n
Gender	
Male	38
Female	3
Age	
Average	73
Smoking history	
Positive	39
Pack-year index	
Average	63.8
Pathological stage	
I	30
II	5
III	5
IV	1

with PBS and permeabilized by treatment with PBS containing 0.3% Triton X-100 (PBS-T) for 10 min. After blocking with 1% BSA in PBS-T for 30 min, the sections were incubated with the primary antibody overnight at 4°C. The sections were rinsed three times with PBS-T then incubated for 1 h at room temperature with Alexa 568 goat anti-mouse IgG antibody (Molecular Probes, MO, USA) at a concentration of 1:1000 and then rinsed three times in PBS-T. The antibodies were diluted with PBST containing 1% bovine serum albumin. DNA was stained with 100ng/ml DAPI (Molecular Probes, MO, USA). Images were obtained with a confocal laser fluorescence microscope (LSM710, Carl Zeiss, Oberkochen, Germany). Nuclei containing more than 10 foci were classified as positive.

Statistics. Fisher's exact *t*-test was used to determine the statistical significance of differences between groups. *p*-Values of less than 0.05 were considered to indicate statistical significance. All patients were retrospectively analyzed for age and gender, size, smoking history and pack-year index, pathological stage and recurrence-free interval (between lung cancer resection and recurrence, 2nd primary lung cancer or death caused by lung cancer). The probability of survival was analyzed by the Kaplan-Meier method using the date of lung cancer resection as the starting point. The log-rank test was used to determine the significance of differences between the subgroups.

Results

PD-L1 expression in lung squamous cell carcinoma. PD-L1 was mainly stained at the membrane of the tumor cells. The staining intensity ranged from 0 (negative) to 3 (strong) (Figure 1a). A histogram of the PD-L1 staining levels, calculated as H scores, is shown in Figure 1b. The H score was 0 in 5 cases, and 300 in one case. The average score was 84.5. A cut-off value of 100 was chosen because of the bimodal distribution of the histogram. Thus PD-L1 H scores of >100 and \leq 100 were therefore used to define PD-L1 high (37%, n=15) and PD-L1 low (67%, n=26), respectively. The

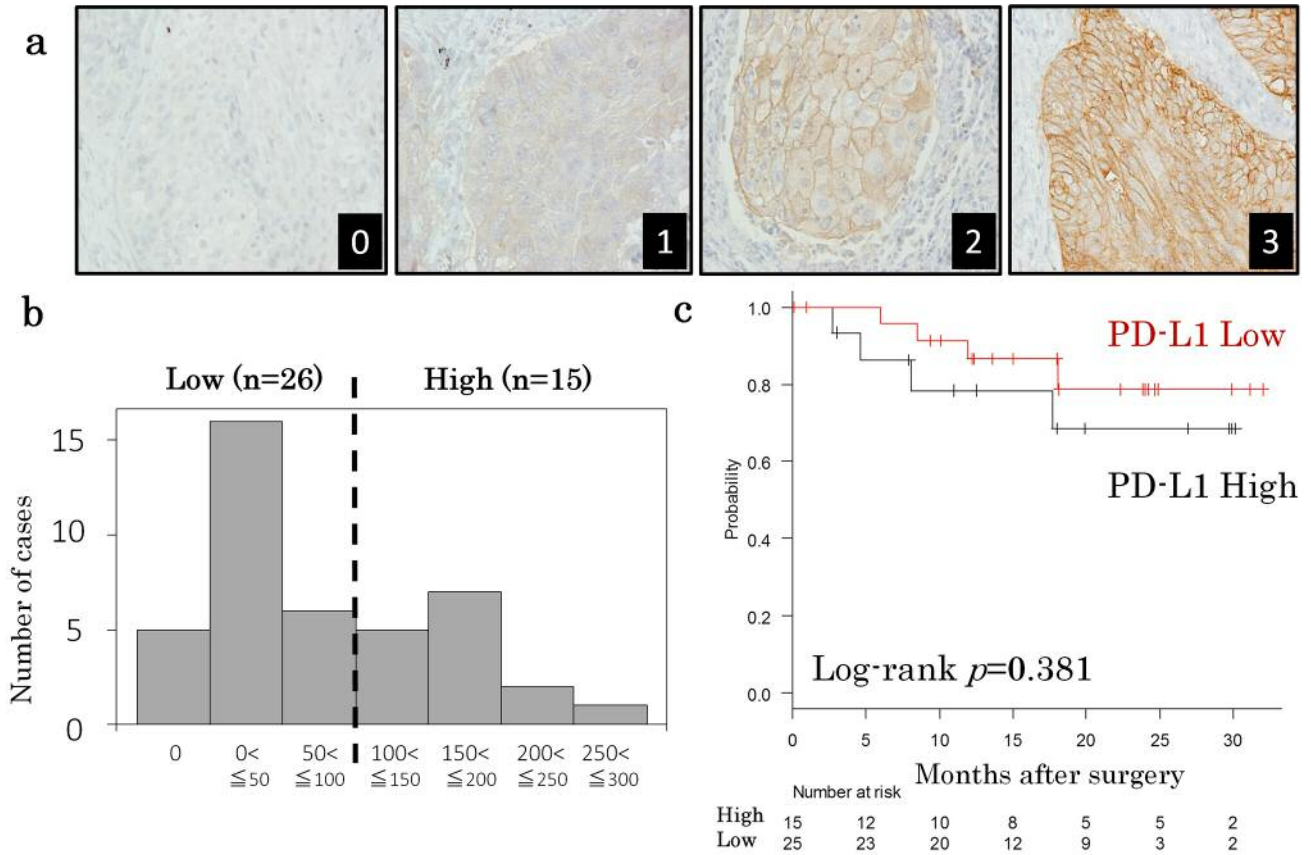


Figure 1. Programmed death- ligand 1 (PD-L1) expression in lung squamous cell carcinoma. (a) Representative PD-L1 staining images. The tumor cell membrane is stained. 0: negative, 1: weak, 2: moderate, and 3: strong. (b) A histogram of the H score distribution. The cut-off value of 100 was chosen because of the bimodal distribution. (c) A Kaplan-Meier plot of recurrence-free survival after surgery. The PD-L1 high and PD-L1 low groups are shown by the black and red lines, respectively. The p values were calculated by a log-rank test.

relationship between PD-L1 expression and the clinicopathological factors was then analyzed. With the exception of gender, no significant differences were observed among any of the factors (age, smoking history, tumor size or pathological stage) (Table II). A prognostic analysis was performed using 40 completely resected cases. There was no difference in survival curves between patients with PD-L1 high and patients with PD-L1 low ($p=0.381$, Figure 1c).

γ H2AX expression and its relationship with PD-L1 expression. In the γ H2AX staining experiments, the tumor cell nuclei were stained and the percentages of positively stained tumor cell were measured. The average percentage was 23.6% (range=0-93.5%). A representative γ H2AX staining is shown in Figure 2a. The cut-off level of 20% was chosen, because the phosphorylation of H2AX at Ser-139 is also reported to occur during the S and G₂/M phase in the cell cycle (15), and because the normal tonsil nuclei, which served as positive controls, showed <20% γ H2AX positivity

Table II. The relationship between PD-L1 expression and clinicopathological factors.

Factors	High (n=15) n %		Low (n=26) n %		p-Value
Gender					
Male	12	32	26	68	<0.05
Female	3	100	0	0	
Age					
>70	11	46	13	54	0.195
≤70	4	24	13	76	
Pack-year index					
>50	9	47	10	53	0.211
≤50	6	27	16	73	
Tumor size					
>3cm	3	20	12	80	0.177
≤3cm	12	46	14	54	
p-Stage					
I	10	33	20	67	0.769
II	2	40	3	60	
III-IV	3	50	3	50	

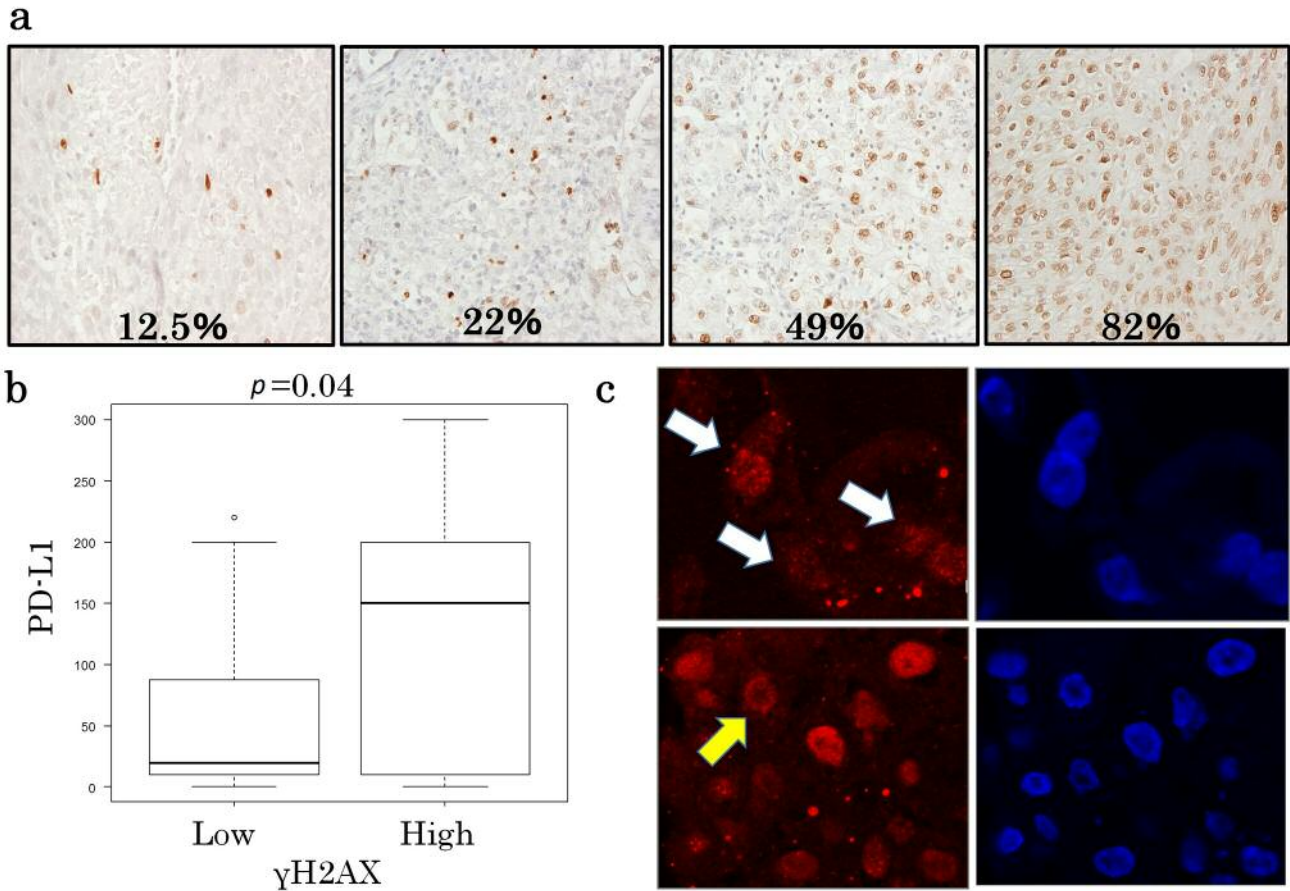


Figure 2. γ H2AX expression in lung squamous cell carcinoma. (a) Representative images of γ H2AX expression. γ H2AX is expressed in the nucleus of the tumor cell. The percentage of positively stained nuclei is noted at the bottom of each image. (b) A box and whisker plot showing the PD-L1 protein levels in the γ H2AX low and γ H2AX high groups. (c) The γ H2AX focus formation was observed by confocal microscopy. The γ H2AX foci are identified in the tumor cell nuclei (red, indicated by white arrows). A ring-shaped γ H2AX staining is indicated by a yellow arrow. The nuclei in the right panels were stained blue with DAPI.

(data not shown). Thus, the γ H2AX levels were low in 24 patients (58.5%) and high in 17 patients (41.5%).

The relationship between γ H2AX and PD-L1 was examined using Fisher's exact test and the Mann-Whitney *U*-test. Among the γ H2AX-low cases, the PD-L1 expression level was low in 19 cases (79%), while among the γ H2AX-high cases, the PD-L1 expression levels were high in 10 cases (58.8%). Thus, a positive relationship was observed between PD-L1 expression and γ H2AX expression (Table III, $p=0.02$). This trend remained significant when the PD-L1 expression levels were compared as continuous variables according to the γ H2AX levels (high or low, $p=0.04$; Figure 2b).

Immunofluorescent staining for γ H2AX was performed to confirm whether or not γ H2AX expression reflects the DNA damage response. Five γ H2AX high cases for which frozen tumor sections were available were selected for staining with

Table III. The relationship between PD-L1 and γ H2AX expression.

	PD-L1>100	PD-L1≤100	<i>p</i> -Value
γ H2AX>20	10	7	0.0212
γ H2AX≤20	5	19	

the same antibody that was used for the immunohistochemical analysis. The formation of γ H2AX foci in the tumor cell nuclei was observed in all cases, suggesting that γ H2AX was activated in response to DNA damage (Figure 2c, upper panel). Interestingly, we noticed sporadic ring-shaped staining patterns, which suggested the existence of small DNA fragments (Figure 2c, lower panel).

Discussion

We showed, for the first time, that the DNA damage response is associated with the PD-L1 expression in patients with lung squamous cell carcinoma. Several clinical trials have shown the relationship between mutation burden and the efficacy of anti-PD-1/PD-L1 therapy, possibly because a high mutation burden can produce neoantigens, which can be targeted by cytotoxic T cells (16-18). In another study in tumors with mismatch-repair deficiency, the anti-PD-1 antibody pembrolizumab achieved promising outcomes in terms of the response rate and overall survival (19). Our findings add new insight into why tumors with high mutation burdens exhibit fair responses to immune checkpoint inhibitors; tumors with high mutation burdens are always dealing with DNA damage, which is rephended by antigen presenting cells (APCs), a part of the innate immune system. Furthermore, because mutations found in hypermutators are mainly located in the genes responsible for the DNA damage response (16), tumors have a fair chance of turning apoptotic. The APCs activate cytotoxic T cells, which in turn activate PD-L1 on the tumor cells, so inhibitors of immune checkpoint molecules are effective in tumors with a high mutation burden but not in those with driver mutations, such as EGFR and ALK. Our results may also support the notion of combining anti-PD-1 therapy with other cytotoxic therapies, such as alkylating agents and irradiation (20), which cause DNA double-strand breaks, possibly resulting in the expression of PD-L1 on the tumor cell surface.

Recent studies have shown that the STING pathway is essential to the innate immune response against tumors. Cytosolic double-stranded DNA fragments are recognized by cGAMP, which in turn activates STING, resulting in the activation of APCs (21-23). This activation causes a type I interferon response, and IFN γ activates PD-L1 in tumor cells through the STAT3 or MEK signaling pathways (24-27). Indeed, tumors that developed in STING knock-out mice were not eliminated by immune checkpoint inhibitors, while those that developed in wild type mice were eliminated (23).

H2AX is a subunit of histones and is phosphorylated at Ser-139 (γ H2AX) on double-stranded DNA damage through ATM phosphorylation. This phosphorylation also occurs during the S phase of the cell cycle and can be detected as homogenous staining with immunofluorescence. Our results showed the nuclear focus formation of γ H2AX, suggesting double-stranded DNA damage repair. Furthermore, we also noticed occasional γ H2AX apoptotic rings, which is an indicator of double-stranded DNA fragmentation and impending apoptosis (28, 29). This likely activates the STING pathway, which again is essential for PD-1/PD-L1 signaling. Another possible mechanism is as follows: the PI3K-Akt pathway is also reported to be important for PD-L1 activation (30-32),

possibly through PTEN inactivation (33, 34). It has recently been rediscovered that the PTEN-PI3K-Akt pathway is indispensable in DNA damage repair (35, 36). We previously reported that *PTEN* null lung and breast cell lines exhibit high γ H2AX expression levels and are sensitive to olaparib, a polyADP-ribose polymerase inhibitor (37). These extrinsic or intrinsic mechanisms may link the DNA damage response to the PD-L1 expression. Further studies are warranted to clarify the mechanism in detail.

It should also be noted that there is no established method of evaluating PD-L1 expression in the tumor. Several anti-PD-L1 antibodies have been used to evaluate PD-L1 expression in clinical trials, but the cut-off values were not consistent, partly because of the low sensitivity of the antibodies used for immunohistochemistry (38, 39). Furthermore, PD-L1 protein expression was not ubiquitous in the tumor tissue samples; some exhibited peripheral staining, another exhibited patchy staining (data not shown), as already reported both in clinical samples and in animal studies (38, 40). Because of the heterogeneity of PD-L1 staining intensity/distribution in tumors, we adopted the H-score instead of using the tumor proportion score (TPS), which is used as a biomarker for the anti-PD-1 therapeutic response (6, 9). In clinical trials, the TPS was chosen as a simpler method of evaluating the PD-L1 expression than the H-score (41). However, an immunohistochemical analysis of γ H2AX expression in the tumor cell nucleus provides clearer results because it is an established marker of DNA double strand breaks, and it is strongly and uniformly expressed in certain cell nuclei (14).

In summary, we showed that PD-L1 expression is relatively common in lung squamous cell carcinoma, and that PD-L1 expression is positively related to γ H2AX expression.

Conflicts of Interest

The Authors declare no conflicts of interest in association with the present study.

Acknowledgements

The Authors would like to thank Ms. Yuko Hono for technical assistance and Mr. Brian Quinn for his critical comments on the manuscript. This research is partly funded by Takeda Science Foundation (AO) and OITA Cancer Research Foundation (AO).

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Received September 19, 2017

Revised October 19, 2017

Accepted October 20, 2017