

# Increased matrix metalloproteinase-9 to tissue inhibitor of metalloproteinase-1 ratio in smokers with airway hyperresponsiveness and accelerated lung function decline

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**Background:** Airway hyperresponsiveness (AHR) is associated with airway inflammation and a rapid decline in lung function and is a predictor of future risk of COPD among smokers. Alveolar macrophages (AMs) from patients with COPD release a greater amount of matrix metalloproteinase (MMP)-9. We hypothesized that the imbalance between MMP-9 and tissue inhibitor of metalloproteinase-1 (TIMP-1) is related to AHR in smokers.

**Patients and methods:** Healthy smokers with AHR (AHR + S) or smokers without AHR (AHR – S; divided according to a methacholine challenge test) and nonsmokers without AHR (AHR – NS) were enrolled. Spirometry was performed during enrollment and repeated after 5 years. Initially, AMs recovered from bronchoalveolar lavage (BAL) fluid were cultured in the presence of p38 mitogen-activated protein kinase (MAPK) inhibitor (SB203580), MAPK kinase (MEK) 1/2 (the MEK of extracellular signal-regulated kinase [ERK] inhibitor, PD98059), or medium alone for 24 h. The release of MMP-9 and TIMP-1 in culture supernatants was measured by enzyme-linked immunosorbent assay.

**Results:** A greater reduction in forced expiratory volume in 1 s (FEV<sub>1</sub>)/forced vital capacity (FVC), FEV<sub>1</sub> (as a percentage of the predicted value [%pred]), and maximal mid-expiratory flow (MMEF) was observed among AHR + S in the 5-year period. There was a higher proportion of neutrophils and a lower proportion of AMs in BAL fluid recovered from AHR + S. Compared to AMs from AHR – NS and AHR – S, AMs from nonsmokers with AHR (AHR + NS) released more MMP-9 and less TIMP-1, with an increase in MMP-9/TIMP-1 ratios. The MMP-9/TIMP-1 ratio in smokers was positively correlated with the annual decline in FEV<sub>1</sub>%pred, FVC%pred, and MMEF%pred. Both SB203580 and PD98059 significantly reduced MMP-9, but not TIMP-1, from AMs of smokers.

**Conclusion:** AMs of AHR + NS produce excessive MMP-9 over TIMP-1, which may be a predictor of the development of airway obstruction. Inhibition of p38 MAPK and ERK suppresses the generation of MMP-9 by AMs from smokers.

**Keywords:** smoking, airway hyperresponsiveness, alveolar macrophage, matrix metalloproteinase-9, tissue inhibitor of metalloproteinase-1, p38 mitogen-activated protein kinase, extracellular signal-regulated kinase

## Take home message

Alveolar macrophages from smokers with airway hyperresponsiveness release a greater amount of matrix metalloproteinase (MMP-9), which can be suppressed by inhibitors of p38 mitogen-activated protein kinase (MAPK) and extracellular signal-regulated

kinase (ERK), and a lesser amount of tissue inhibitor of metalloproteinase-1 (TIMP-1), thus increasing the annual decline in lung function.

## Introduction

COPD is the fourth leading cause of death, affecting >300 million people globally.<sup>1</sup> The diagnosis of COPD is made in patients with respiratory symptoms, persistent airflow limitation confirmed by a postbronchodilator forced expiratory volume in 1 s (FEV<sub>1</sub>)/forced vital capacity (FVC) of <70%, often associated with cigarette smoking.<sup>2</sup> Tobacco smoke inhalation activates epithelial cells and alveolar macrophages (AMs) to release chemoattractants for circulating neutrophils, monocytes, and lymphocytes into the lungs.<sup>3</sup> These cells in COPD patients release a greater amount of proteinases and growth factors upon smoking, resulting in the breakdown of lung parenchyma, mucus hypersecretion, and small airway fibrosis, namely emphysema and chronic bronchitis.<sup>4-7</sup>

Only a subset of cigarette smokers develop COPD, and the host factors leading to airflow limitation are under active investigation. It has been postulated that airway hyperresponsiveness (AHR) predisposes the smokers' airways to obstruction.<sup>8,9</sup> AHR is defined as an increased sensitivity of the airways to direct stimuli (eg, histamine and methacholine) and/or indirect stimuli (eg, mannitol and hypertonic saline). The Lung Health Study demonstrated that AHR was strongly associated with the degree of airway obstruction in current smokers.<sup>8</sup> Current smokers with airway obstruction displayed a greater AHR than smokers with normal lung function.<sup>10</sup> Thus, smokers with AHR (AHR + S) could be prone to the development of COPD due to chronic airway inflammation and structural alternation.

The excess of protease over antiprotease expression, such as neutrophil elastase versus  $\alpha$ 1-anti-trypsin and matrix metalloproteinases (MMPs) versus tissue inhibitors of metalloproteinases (TIMPs), has been proposed for cigarette smoke-induced chronic lung disease.<sup>11</sup> MMPs are a family of >20 zinc-dependent endopeptidases with enzymatic activities in turnover and degradation of extracellular matrices. MMP-9, also known as gelatinase B or 92 kDa gelatinase, is one of the major elastolytic enzymes produced by AMs from COPD patients but are also secreted by neutrophils, epithelial cells, mast cells, and fibroblasts.<sup>3</sup> MMP-9 proteolytically digests extracellular matrix (ECM) proteins including collagens IV, V, VII, X, and XIV, gelatin, and elastin, and activates latent pro-MMP-9 and pro-MMP-13.<sup>12</sup> Epigenetically, MMPs could be modulated by methylation of the CpG sites in promoters and chromatin

remodeling with histone acetylation.<sup>12</sup> Hypomethylation of MMP-9 promoter had been observed in chondrocytes in osteoarthritis, which could be associated with the increased synthesis of the cartilage-degrading enzyme.<sup>13</sup> Sirtuin1, a histone deacetylase acting on the activator protein-1 (AP-1) response element in the promoter of MMP-9, inhibits histone 3 acetylation and reduces MMP-9 in COPD.<sup>14,15</sup> The activity of MMPs is also modulated transcriptionally by phosphatase and tensin homolog (PTEN) and mitogen-activated protein kinases (MAPKs), posttranscriptionally by microRNAs, and posttranslationally by reversion-inducing cysteine-rich protein with kazal motifs and a four-member family of TIMPs.<sup>11</sup> Both active form and precursor form of MMP-9 are antagonized by TIMP-1. The amount of MMP-9 released from AMs of COPD patients and smokers was greater than that of nonsmokers, but the expression of TIMP-1 was higher in sputum in chronic bronchitis and lower in emphysematous lungs.<sup>16-19</sup> It is likely that the balance between MMP-9 and TIMP-1 determines the clinical phenotypes of COPD.

MMP-9 inhibition may seem an appealing strategy to treat respiratory diseases including COPD but can hardly be achieved by inhaled corticosteroids, and the effects of MMP inhibitors, blocking antibodies, and antisense technologies have not been widely recognized in clinical practice.<sup>20,21</sup> MAPKs, including p38 MAPKs, c-Jun NH<sub>2</sub>-terminal kinases (JNKs), and extracellular signal-regulated kinases (ERKs), are a family of serine/threonine protein kinases activated in response to external signals (cigarette smoke, wood smoke, colonizing bacteria, oxidative stress, cytokines, and growth factors), resulting in the secretion of many mediators, which then activate further inflammatory cascades, tissue remodeling, and aging process of the lungs.<sup>22</sup> MAPK signaling is regulated by successive phosphorylation starting with mitogen-activated protein (MAP) kinase kinase kinases; then MAP kinase kinases such as MAP2K3/6, MAP2K4, and MAPK kinase (MEK) 1/2 (MAP2K1/2); and finally p38 MAPK and ERK, modulating transcription factors such as nuclear factor- $\kappa$ B (NF- $\kappa$ B) and AP-1. Little is known about the effect of MAPK inhibitors on the release of MMP-9 and TIMP-1 from AMs.

We hypothesized that the imbalance between MMP-9 and TIMP-1 contributes to AHR, which appears to be an early feature of COPD in susceptible smokers. We compared the evolution of lung function over a 5-year period, as well as the generation of MMP-9 and TIMP-1 from AMs in nonsmokers without AHR (AHR – NS) and smokers without AHR (AHR – S) and AHR + S. We also examined whether

the inhibition of p38 MAPK and ERK modulates the release of MMP-9 or TIMP-1 from AMs in smokers.

## Patients and methods

### Studied population

Subjects aged between 20 and 75 years were recruited from our outpatient department, Chang Gung Memorial Hospital. All enrolled subjects had no abnormal radiographic findings on their chest radiograph and had a normal pulmonary function tests and negative bronchodilator response at the initial visits. Current tobacco smokers with a smoking history of at least 20 pack-years were divided into AHR – S (n=13, smokers with a dose of methacholine causing a 20% fall in FEV<sub>1</sub> [PC<sub>20</sub>] ≥16 mg/mL in a methacholine challenge test, described later in the “Lung function and methacholine challenge testing” section) and AHR + S (n=20, smokers with a PC<sub>20</sub> of <16 mg/mL), and only nonsmokers who had a negative methacholine challenge test were enrolled (AHR – NS, n=24). None of the recruited subjects had upper airway infection within 6 months before enrollment, tuberculosis, asthma, bronchiectasis, and systemic lupus erythematosus or took antibiotics, inhaled or systemic corticosteroids, immunosuppressants, or regular medications for extrapulmonary diseases before entering in to the study. Thirty-one subjects received fiberoptic bronchoscopy, including 10 AHR – NS, 13 AHR – S, and 8 AHR + S. All patients provided written informed consent, and the study was approved by the Ethics Committee of Chang Gung Hospital (92-099).

### Lung function and methacholine challenge testing

Measurement of lung function at the beginning and a follow-up visit of ~6 years later for each enrolled participant was performed by a Spiroanalyzer ST-350R (Fukuda Sangyo Co., Ltd, Tokyo, Japan). The best of three reproducible values was chosen. A normal lung function was defined by an FEV<sub>1</sub>/FVC of ≥75%, an FEV<sub>1</sub> of ≥80% of the predicted value (%pred), an FVC of ≥80%pred, and a maximal mid-expiratory flow (MMEF; also known as forced expiratory flow at 25%–75%) of ≥60%pred in the absence of a significant rise in FEV<sub>1</sub> (12% and 200 mL) after bronchodilators. The rate of decline in FEV<sub>1</sub>, FVC, and MMEF was computed by dividing the total change in the 5-year follow-up period by 5, which represented an average annual rate of change.<sup>23</sup> Methacholine challenge test was performed 1 week before bronchoscopy.<sup>24</sup> The FEV<sub>1</sub> was measured 5 min after the inhalation of phosphate-buffered saline or methacholine solution at incremental concentrations via nebulizer until

the FEV<sub>1</sub> had fallen by >20% of the starting FEV<sub>1</sub>. The log dose–response curve for methacholine was constructed as the percentage changes in FEV<sub>1</sub> from the baseline (postbuffer) value, and the PC<sub>20</sub> was measured by linear interpolation. AHR was defined as a PC<sub>20</sub> of <16 mg/mL.

### Bronchoalveolar lavage (BAL) and preparation of BAL cells

Lower respiratory cells were collected by BAL using fiberoptic bronchoscopy.<sup>25</sup> After premedication with intravenous atropine (0.6 mg) and midazolam (5–10 mg) and topically applied laryngeal lidocaine, a fiberoptic bronchoscope was passed through the nasal passages into the trachea under oxygen supplementation. BAL was performed using six aliquots (50 mL each) of sterile and warm 0.9% saline solution into the right fourth or fifth subsegmental bronchus. Retrieved fluid was pooled, filtered through two layers of sterile gauze, and centrifuged at 600×g for 20 min at 4°C. The cell pellet was washed sequentially and resuspended in RPMI-1640 (Thermo Fisher Scientific, Waltham, MA, USA) supplemented with 5% heat-inactivated fetal calf serum (FCS; Flow Laboratories, Paisley, Scotland, UK) at 10<sup>6</sup> cells/mL. The cell viability was determined by trypan blue exclusion. The differential cell counts were performed by counting 500 cells on cytocentrifuge preparations using the modified Wright–Giemsa stain.

Cells in BAL fluid were plated in six-well Petri dishes at a concentration of 10<sup>6</sup> cells/mL for 24 h at 37°C, 5% CO<sub>2</sub>. The medium was replaced by 1 mL of fresh complete medium after culture for 24 h, and the adherent AM was incubated for a further 24 h in the presence of medium alone, medium + SB20358 (10 μM, a p38 MAPK inhibitor), or medium + PD98059 (30 μM, an MEK inhibitor). Supernatants were stored at –20°C until experimental assay.

### Enzyme-linked immunosorbent assays for measurement of MMP-9 and TIMP-1 released by AMs in the culture supernatants

MMP-9 and TIMP-1 secreted by AMs in supernatants were assayed using commercially available quantitative sandwich-type enzyme-linked immunoassay kits (Amersham Life Sciences, Arlington Heights, IL, USA). Briefly, monoclonal primary antibodies were coated onto a microtiter plate, washed with PBS/Tween and blocked with PBS/10% FCS (200 μL). Standards and culture supernatant samples were then added, followed by horseradish peroxidase-conjugated

secondary antibodies, 5,5'-tetramethyl benzidine and hydrogen peroxide. Termination of the reaction was done by sulfuric acid (1.0 M). The color change was read spectrophotometrically at a wavelength of 450 nm, and the quantification of MMP-9 and TIMP-1 was performed by comparing the optical density of the sample with the standard curve.

## Statistical analysis

Results are reported as mean  $\pm$  standard error of mean. The Chi-square test was used to determine whether there was a significant difference between the expected frequencies and the observed frequencies in more categories. Differences among AHR – NS, AHR – S, and AHR + S were estimated by Kruskal–Wallis one-way ANOVA test, followed by Dunn's post hoc multiple comparisons. The difference in smoking history between AHR – S and AHR + S was determined by Mann–Whitney test. The difference between categorical variables (sex and smoking habit) was determined by Chi-square test. To evaluate the effect of p38 MAPK and ERK inhibition, results were analyzed using the Friedman one-way ANOVA test, followed by Dunn's post hoc multiple comparisons to determine the differences between the control group and each treatment group. Correlations were determined by Spearman's rank correlation. A *P*-value of  $<0.05$  was accepted as statistically significant.

## Results

### More rapid development in airflow limitation in AHR + S

As shown in Table 1, the history of tobacco smoking during initial enrollment and the numbers of participants remaining smoking during the 5-year follow-up visit between AHR – S and AHR + S were not significantly different. The baseline pulmonary function test results were within normal ranges, but AHR + S had lower FEV<sub>1</sub>/FVC and FEV<sub>1</sub>%pred compared to AHR – NS and lower MMEF%pred compared to AHR – NS and AHR – S. AHR + S had a greater annual decline in a 5-year period from baseline in FEV<sub>1</sub>/FVC, FEV<sub>1</sub>%pred, and MMEF%pred (Figure 1). The FEV<sub>1</sub>%pred and MMEF%pred of AHR + S dropped below normal, while there was no significant change of lung function in AHR – NS and AHR – S, indicating a correlation between AHR and the development of airflow limitation. The smoking history (pack-years) was not correlated with the rate of annual decline in FEV<sub>1</sub>/FVC ( $r=0.031$ ,  $P=0.866$ ), FEV<sub>1</sub>%pred ( $r=0.131$ ,  $P=0.514$ ), FVC%pred ( $r=0.213$ ,  $P=0.235$ ), and MMEF%pred ( $r=-0.045$ ,  $P=0.863$ ).

### Higher percentage of neutrophils in cells in BAL fluid recovered from AHR + S

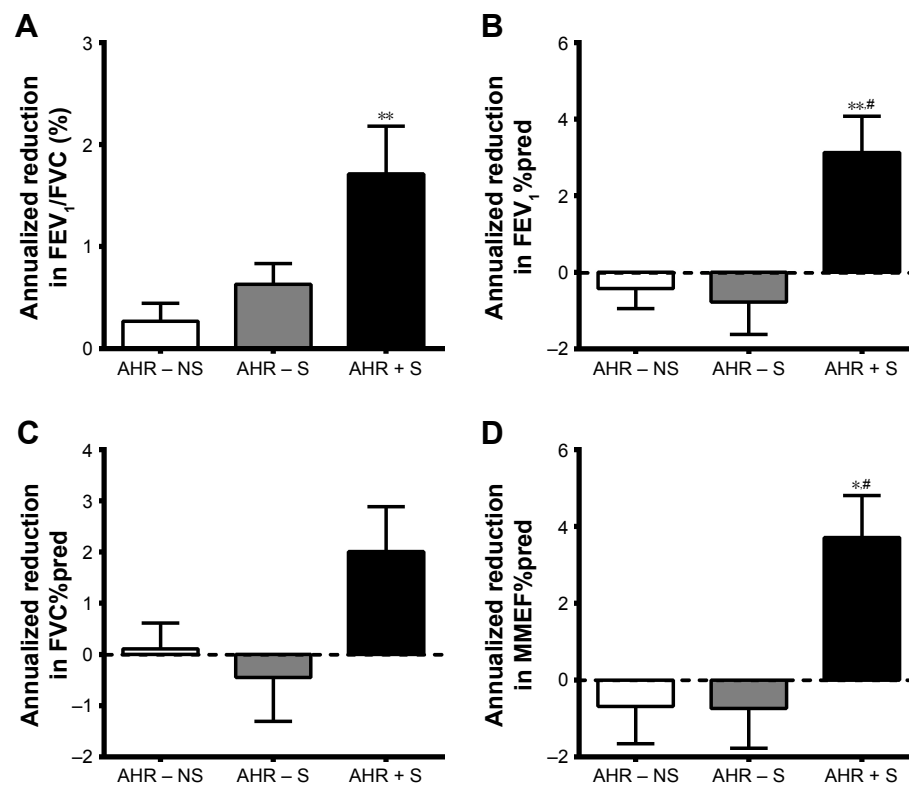
Table 2 summarizes total and differential cell counts in BAL fluid, with a significant increase in cellularity recovered from

**Table 1** Patient demographics and lung function

Characteristics	AHR – NS (n=24)	AHR – S (n=13)	AHR + S (n=20)	P-value
Age (years)	42.0 $\pm$ 3.2	49.8 $\pm$ 4.5	36.0 $\pm$ 3.4	0.107
Male/female	6/18	7/6	11/9	0.083 <sup>a</sup>
Body mass index (kg/m <sup>2</sup> )	23.2 $\pm$ 0.9	26.1 $\pm$ 1.5	24.2 $\pm$ 1.2	0.324
Blood eosinophil (%)	2.5 $\pm$ 0.8	1.5 $\pm$ 0.5	5.5 $\pm$ 2.2	0.374
Total IgE (IU/mL)	104.1 $\pm$ 25.1	255.3 $\pm$ 78.4	356.7 $\pm$ 124.8	0.136
Smoking history (pack-years)	–	31.1 $\pm$ 2.7	27.6 $\pm$ 2.3	0.215 <sup>b</sup>
Remain smoking at year 5	–	10	16	0.833 <sup>a</sup>
Spirometry				
FEV <sub>1</sub> /FVC (%)				
Year 0	87.8 $\pm$ 1.1	86.1 $\pm$ 0.8	83.7 $\pm$ 1.4*	0.034
Year 5	86.5 $\pm$ 1.0	83.0 $\pm$ 1.3 <sup>§</sup>	75.1 $\pm$ 2.2***, \$\$\$	0.0002
FEV <sub>1</sub> %pred				
Year 0	98.9 $\pm$ 2.3	96.8 $\pm$ 3.4	87.7 $\pm$ 2.5*	0.013
Year 5	98.6 $\pm$ 2.7	98.1 $\pm$ 3.8	72.7 $\pm$ 4.8***, ###, \$\$\$	<0.0001
FVC%pred				
Year 0	98.5 $\pm$ 2.1	94.9 $\pm$ 2.9	93.9 $\pm$ 2.0	0.356
Year 5	98.0 $\pm$ 2.4	97.1 $\pm$ 4.0	83.9 $\pm$ 4.8* <sup>§</sup>	0.0111
MMEF%pred				
Year 0	92.1 $\pm$ 5.9	90.5 $\pm$ 5.1	66.8 $\pm$ 7.5* <sup>#</sup>	0.004
Year 5	97.9 $\pm$ 5.5	91.9 $\pm$ 4.1	59.8 $\pm$ 7.8* <sup>#</sup> , \$	0.0011

**Notes:** Data are presented as mean  $\pm$  standard error of the mean. AHR–NS, nonsmokers without AHR; AHR–S, smokers without AHR; AHR+S, smokers with AHR. \* $P<0.05$  versus AHR–NS. \*\* $P<0.01$  versus AHR–NS. \*\*\* $P<0.001$  versus AHR–NS. \*\*\*\* $P<0.0001$  versus AHR–NS. # $P<0.05$  versus AHR–S. ## $P<0.01$  versus AHR–S. \$\$\$ $P<0.05$  versus lung function at Year 0. §§ $P<0.01$  versus lung function at Year 0. §§§ $P<0.0001$  versus lung function at Year 0; \*Determined by Mann–Whitney test. †Determined by Chi-Square test.

**Abbreviations:** AHR, airway hyperresponsiveness; IgE, immunoglobulin E; FEV<sub>1</sub>, forced expiratory volume in 1 s; FVC, forced vital capacity; MMEF, maximal mid-expiratory flow; %pred, percentage of the predicted value.



**Figure 1** More rapid decline in airflow in AHR + S.

**Notes:** Spirometry was performed during the recruitment of the AHR – NS (n=24), AHR – S (n=13) and AHR + S (n=20) and was repeated 5 years later. Annualized reduction from baseline in FEV<sub>1</sub>/FVC (A), FEV<sub>1</sub>%pred (B), FVC%pred (C) and MMEF%pred (D) is presented as individual data point, mean and standard error of mean. \**P*<0.05, \*\**P*<0.01 versus AHR–NS; #*P*<0.05 versus AHR–S. AHR–NS, nonsmokers without AHR; AHR–S, smokers without AHR; AHR + S, smokers with AHR.

**Abbreviations:** AHR, airway hyperresponsiveness; FEV<sub>1</sub>, forced expiratory volume in 1 s; FVC, forced vital capacity; MMEF, maximal mid-expiratory flow; %pred, as a percentage of the predicted value.

BAL fluid of AHR – S compared to that of AHR – NS and AHR + S. The concentration of neutrophils was higher in AHR + S compared to those of AHR – S and AHR – NS. The number of AMs in 1 mL of BAL fluid was higher from AHR – S than from nonsmoker and AHR + S.

**Table 2** Bronchoalveolar lavage fluid findings from nonsmokers and smokers

	AHR – NS (n=10)	AHR – S (n=13)	AHR + S (n=8)
Total number of cells, 10 <sup>4</sup>	508.0±43.6	2,125.4±104.6***	1,179.8±74.3#
Recovered volume (mL)	62.4±3.1	57.4±2.4	56.3±3.6
Cellularity, 10 <sup>4</sup> cells/mL	8.2±0.7	37.0±0.7***	21.0±0.3#
Cell viability (%)	92.7±0.5	92.5±0.9	92.3±2.4
AM (%)	96.1±1.0	98.5±2.5	82.8±1.5####
10 <sup>4</sup> cells/mL	7.9±0.62	36.4±0.9***	17.4±0.4#
Lymphocytes (%)	2.6±1.0	0.7±0.1	0.6±0.1
10 <sup>4</sup> cells/mL	0.2±0.1	0.3±0.1	0.11±0.1
Neutrophils (%)	1.0±0.3	0.7±0.1	15.5±5.6**###
10 <sup>4</sup> cells/mL	0.1±0.0	0.3±0.1	3.3±1.2**###

**Notes:** Data are presented as mean ± standard error. AHR – NS, nonsmokers without AHR; AHR – S, smokers without AHR; AHR + S, smokers with AHR. \*\**P*<0.01 versus AHR – NS. \*\*\**P*<0.0001 versus AHR – NS. #*P*<0.05 versus AHR – NS. ##*P*<0.01 versus AHR – NS. ####*P*<0.001 versus AHR – NS. #####*P*<0.0001 versus AHR – NS.

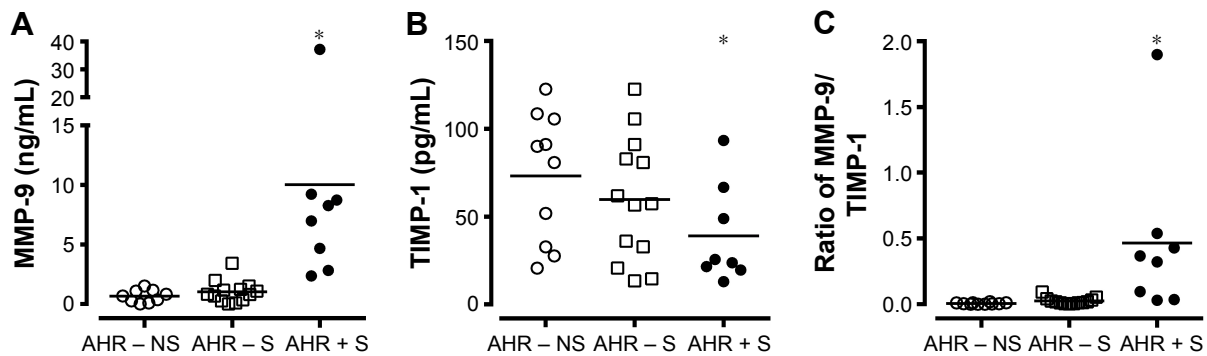
**Abbreviations:** AHR, airway hyperresponsiveness; AM, alveolar macrophage.

## Higher MMP-9 and lower TIMP-1 productions by AMs from AHR + S

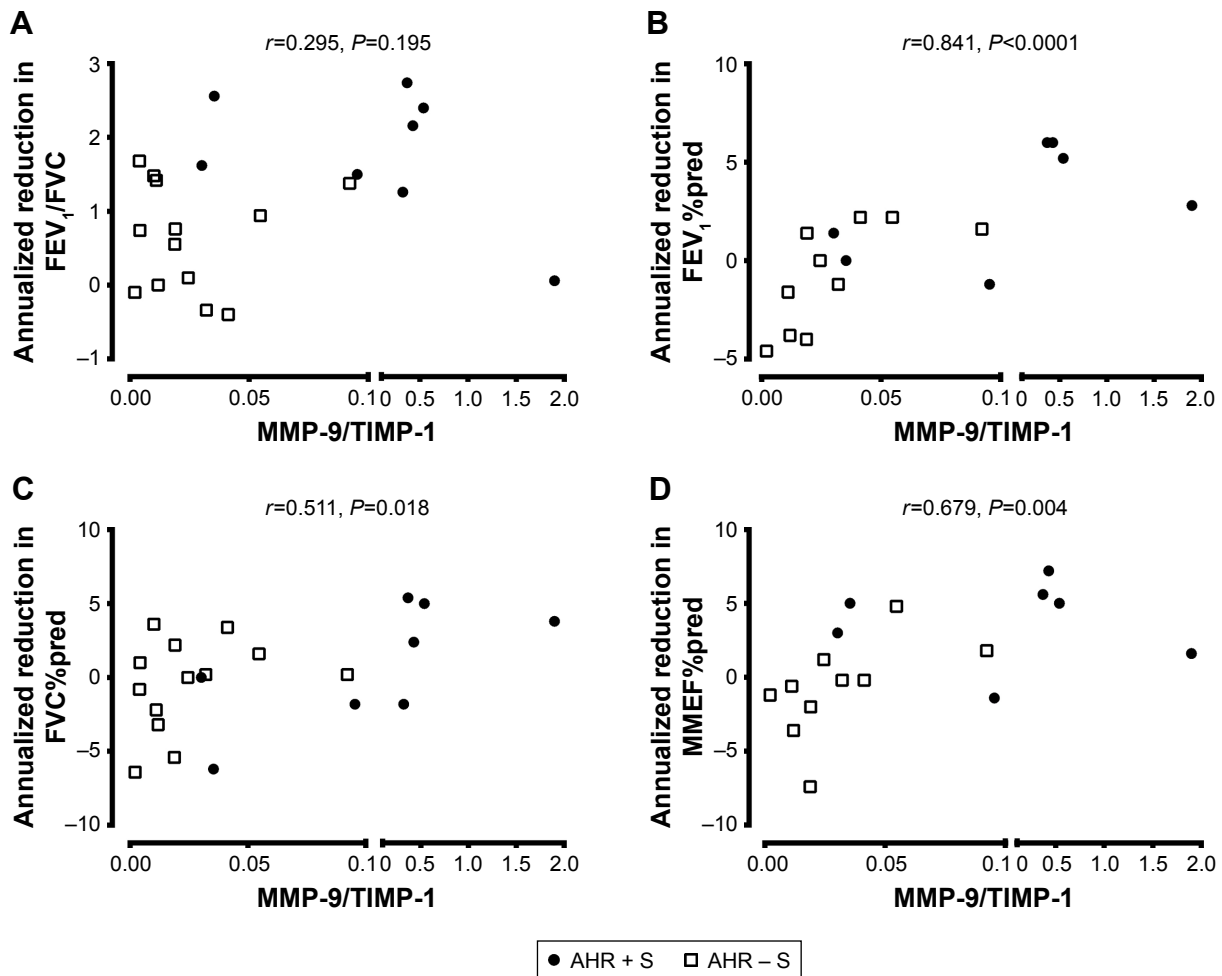
The level of MMP-9 in culture supernatant released from AMs was higher in AHR + S (10.0±4.0 ng/mL, n=8, *P*<0.05) than in AHR – S (1.0±0.3 ng/mL, n=13) and AHR – NS (0.7±0.2 ng/mL, n=10) (Figure 2A). There was a lower level of TIMP-1 released by AMs in AHR + S (39.0±10.0 ng/mL, *P*<0.05) than in AHR – NS (73.1±11.7 ng/mL) (Figure 2B). AHR + S had a significant increase in the molar ratio of MMP-9 to TIMP-1 (0.46±0.22, *P*<0.05) released from AM compared to AHR – S (0.025±0.007) and AHR – NS (0.006±0.002) (Figure 2C). Moreover, the MMP-9/TIMP-1 ratio in smokers was positively correlated with the annual decline in FEV<sub>1</sub>%pred, FVC%pred, and MMEF%pred, suggesting that the imbalance between MMP-9 and TIMP-1 is related to airflow obstruction (Figure 3).

## Inhibition of p38 MAPK and ERK reduced the release of MMP-9, but not TIMP-1, by smokers' AMs

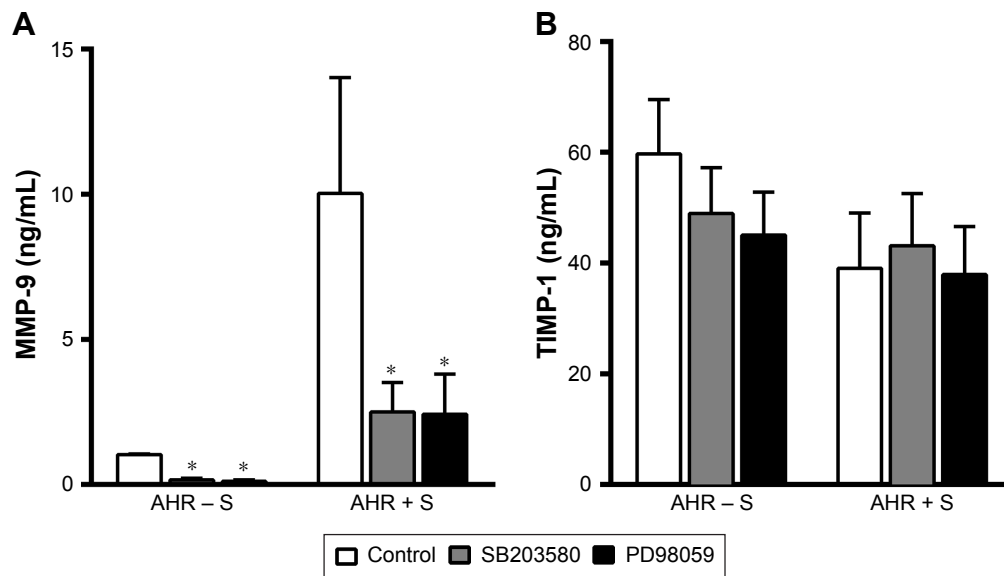
We further investigated whether the modulation of MAP kinase activities alters the release of MMP-9 and TIMP-1 by



**Figure 2** Greater release of MMP-9 (A) but lesser release of TIMP-1 (B) by cultured AMs from AHR + S. **Notes:** AMs from AHR-NS (n=10), AHR-S (n=13) or AHR+S (n=8) were incubated for 24 hr. The level of MMP-9 (A) and TIMP-1 (B) in the culture supernatants was determined by enzyme-linked immunosorbent assays. The molar ratio between MMP-9 and TIMP-1 was also calculated (C). Values are shown as individual data point, mean, and standard error of mean. \**P*<0.05 compared to AHR - NS. AHR - NS, nonsmokers without AHR; AHR - S, smokers without AHR; AHR + S, smokers with AHR. **Abbreviations:** AHR, airway hyperresponsiveness; AMs, alveolar macrophages; MMP-9, matrix metalloproteinase-9; TIMP-1, tissue inhibitor of metalloproteinase-1.



**Figure 3** Association between MMP-9/TIMP-1 ratio and the development of airflow limitation. **Notes:** Spirometry and bronchoscopy were performed during the recruitment, and spirometry was repeated 5 years later. AMs from 21 smokers, including 13 AHR - S and 8 AHR + S, were incubated for 24 h. The level of MMP-9 and TIMP-1 in the culture supernatants was determined by enzyme-linked immunosorbent assays. The MMP-9/TIMP-1 ratio was less related to the reduction in FEV<sub>1</sub>/FVC (A) but was positively associated with the annualized reduction in FEV<sub>1</sub>%pred (B), FVC%pred (C), and MMEF%pred (D). AHR - S, smokers without AHR; AHR + S, smokers with AHR. **Abbreviations:** AHR, airway hyperresponsiveness; AMs, alveolar macrophages; FEV<sub>1</sub>, forced expiratory volume in 1 s; FVC, forced vital capacity; MMEF, maximal mid-expiratory flow; MMP-9, matrix metalloproteinase-9; %pred, percentage of the predicted value; TIMP-1, tissue inhibitor of metalloproteinase-1.



**Figure 4** Inhibition of p38 MAPK and ERK reduced the release of MMP-9, but not TIMP-1, from AMs from smokers.

**Notes:** AMs from AHR - NS (n=8) or AHR + NS (n=8) were incubated in the presence of SB203580 10  $\mu$ M (p38 MAPK inhibitor) (A) or PD98059 30  $\mu$ M (MEK1/2 inhibitor) (B) for 24 h. The release of MMP-9 and TIMP-1 in the culture supernatants was determined by enzyme-linked immunosorbent assays. \* $P$ <0.05 compared to untreated controls. AHR - S, smokers without AHR; AHR + S, smokers with AHR.

**Abbreviations:** AHR, airway hyperresponsiveness; AMs, alveolar macrophages; ERK, extracellular signal-regulated kinase; MAPK, mitogen-activated protein kinase; MEK, MAPK kinase; MMP-9, matrix metalloproteinase-9; TIMP-1, tissue inhibitor of metalloproteinase-1.

AMs from smokers. Both p38 MAPK inhibitor SB203580 (10  $\mu$ M) and MEK inhibitor PD98059 (30  $\mu$ M) reduced the generation of MMP-9 ( $2.5 \pm 1.0$  ng/mL, n=8,  $P$ <0.05, and  $2.4 \pm 1.4$  ng/mL, n=8,  $P$ <0.05, respectively) compared to untreated controls ( $10.0 \pm 4.0$  ng/mL, n=8) by AMs of AHR - S and AHR + S (Figure 4A). In contrast, neither SB203580 nor PD98059 had a significant effect on the production of TIMP-1 by AMs in AHR - S and AHR + S (Figure 4B).

## Discussion

In this study, the AHR + S had a more significant decline in FEV<sub>1</sub>/FVC, FEV<sub>1</sub>, and MMEF over a 5-year period compared to those AHR - S and AHR - NS. AMs from AHR + S released a greater amount of MMP-9 and a lesser amount of TIMP-1 than AMs from AHR - NS and AHR - S, with a higher molar ratio of MMP-9 to TIMP-1. A higher MMP-9/TIMP-1 ratio in AMs was also related to a greater loss of FEV<sub>1</sub>, FVC, and MMEF in the 5-year period. We also showed that the inhibition of p38 MAPK and ERK signaling pathways was associated with a decrease in MMP-9 production from smokers' AMs. The results suggested that excessive MMP-9 over TIMP-1 in smokers could be related to AHR, a risk factor of COPD, and might be modulated by MAPK inhibitors.

In agreement with previously reported outcomes, accelerated deterioration of pulmonary function prior to clinical

symptoms developed in AHR + S in our study. Tobacco smoking decreases FEV<sub>1</sub>/FVC, FEV<sub>1</sub>, and MMEF, indicating airway obstruction and small airway disease in smokers.<sup>26</sup> However, the incidence rate of COPD in current smokers is only 19.7/1,000 person-years (95% CI 18.1–21.4), ~2.4 times higher than in former smokers and 4.8 times higher than in never smokers.<sup>27</sup> AHR is a fundamental characteristic in asthma and also present in COPD patients and in 12%–22% of healthy subjects.<sup>28</sup> Compared to those without AHR, subjects with AHR are more likely to experience respiratory symptoms such as cough, phlegm, dyspnea, persistent wheeze, asthmatic attacks, and progressive airflow obstruction, and COPD patients with AHR suffer from a higher rate of FEV<sub>1</sub> decline, more severe air trapping, and a double increased risk of respiratory mortality.<sup>29–32</sup> We therefore suggest that AHR may be a risk factor for airway obstruction in smokers. A longitudinal study of AHR - S and AHR + S over a longer period of time will clarify whether AHR leads to a postbronchodilator FEV<sub>1</sub>/FVC of <70% in smoking population.

Increased numbers of neutrophils and macrophages in respiratory samples in parallel with exaggerated AHR had been observed in both asthmatic smokers and tobacco smoke-treated cats.<sup>33,34</sup> Altered behavior of AMs is a key feature of COPD.<sup>3</sup> Increased numbers of AMs had been observed in lung parenchyma, BAL fluid, and sputum in COPD.<sup>3</sup> AMs produce

fibrogenic transforming growth factor (TGF)- $\beta$ , the most potent inducer of ECM generation, contributing to small airway fibrosis in COPD patients. Cigarette smoke stimulates the generation and release of neutrophils from bone marrow and prolongs the survival of neutrophils in the respiratory organs, possibly mediated by macrophages' release of mediators, such as granulocyte-macrophage colony-stimulating factors, CXC ligand (CXCL) 8/interleukin (IL)-8, CXCL1/growth-regulated oncogene- $\alpha$ , leukotriene B4, and proteinases including MMP-9.<sup>3</sup> We showed an increased absolute number, although not a higher percentage, of AM in BAL fluid from smokers, and AHR + S had more neutrophils than AHR - S and AHR - NS. Recruitment of these inflammatory cells could have potentiated the inflammation and remodeling in the respiratory tracts of these smokers.

Few biomarkers are known to be positively associated with the severity of AHR in COPD apart from adiponectin and eosinophil count.<sup>29,35</sup> In the present study, we highlighted the correlation between MMP-9 and AHR in smokers. We uncovered a higher ratio of MMP-9 to TIMP-1 in AMs from AHR + S, which was correlated with a greater reduction in lung function, providing a potential biomarker to predict the development of COPD in smokers. Although it is generally accepted that the excess of MMP-9's proteolytic activity can lead to lung destruction in emphysema, there is little information linking the pathogenesis of AHR to the impact of MMP-9-TIMP-1 imbalance. AHR could be secondary to increased smooth muscle mass and contractility, infiltration of inflammatory cells, increased airway wall thickening and reduced airway caliber, infiltration of inflammatory cells, and increased production in proinflammatory and fibrogenic mediators.<sup>36,37</sup> Increased numbers of AMs, neutrophils, and eosinophils in BAL fluid and elevated mRNA expression of TGF- $\beta$  and MMP-9 were associated with ozone-induced AHR in a mouse model of emphysema.<sup>38</sup> There are at least three potential explanations. First, the mechanical stress elicits an increased production of ECM proteins and MMP-9 relative to TIMP-1.<sup>39</sup> Methacholine- or allergen-induced bronchoconstriction increases collagen deposition in sub-epithelial layer in parallel with the upregulation of TGF- $\beta$ , stimulating the expression of MMP-9 through the activation of ERK1,2, Ras-related C3 botulinum toxin substrate 1-reactive oxygen species-NF- $\kappa$ B pathway, and TGF- $\beta$ -activated kinase 1-NF- $\kappa$ B pathway, and the mechanical loads applied to the airway structural cells of individuals with AHR might be enhanced.<sup>40,41</sup> Second, the disproportionate rise in MMP-9 production worsens airway remodeling and inflammation, which prompts the progression of AHR.

It had been reported that asthmatic patients had remarkable expression in MMP-9 (and TIMP-1 to a lesser extent) and more prominent airway remodeling, ie, deposition of collagens and tenascin in the basement membrane, causing airflow obstruction and AHR, although conflicting results showed the other way round in the sputum specimens.<sup>42,43</sup> Apart from alveolar wall matrices, MMP-9 has many other substrates such as TGF- $\beta$  and CXCL8/IL-8. MMP-9 releases latent TGF- $\beta$  from ECM and then is stimulated by TGF- $\beta$  in a reciprocal manner, enhancing collagen deposition and small airway fibrosis. A strong correlation has been shown between the reduced airway caliber and AHR in nonasthmatic subjects with COPD, suggesting that AHR may be related to the heightened resistance secondary to geometric change of the airways.<sup>36</sup> MMP-9 facilitates chemotaxis of neutrophils by cleaving CXCL8/IL-8 into a more active form, which then amplifies the release of MMP-9 through a positive feedback loop, proteolyzes the basement membrane, and releases the chemotactic fragments from ECM to recruit inflammatory cells, compatible with the higher proportion of neutrophils in the BAL fluid from AHR + S and high MMP-9 in the present study.<sup>44</sup> Third, some AHR + S may be asthmatic, although all subjects enrolled in our study had negative bronchodilator test results. Patients with asthma exacerbation have an elevated MMP-9 level in their blood, sputum, and BAL fluid, and the ratio of MMP-9 to TIMP-1 was higher in BAL fluid from children with symptomatic asthma.<sup>45</sup> It is conceivable that more MMP-9 and less TIMP-1 released by AMs lead to further inflammatory process and remodeling and is associated with AHR and the subsequent lung function decline in smokers.

We also provided evidence that pharmacological inhibition of p38 MAPK and ERK suppresses the release of MMP-9, but not TIMP-1, from smokers' AMs in vitro. Cigarette smoking induces marked activation of p38 MAPK and ERK.<sup>46</sup> AMs from patients with corticosteroid-insensitive severe asthma and COPD have increased expression of phosphorylated p38 MAPK.<sup>47,48</sup> Reduced MMP-9 production has been observed after the inhibition of p38 MAPK, JNK, and ERK using epigallocatechin-3-gallate in phorbol 12-myristate 13-acetate-induced macrophages.<sup>49</sup> Cigarette-induced emphysema in Wistar rats is associated with the phosphorylation of p38 MAPK and ERK (c-Jun NH<sub>2</sub>-terminal kinase) and the activation of NF- $\kappa$ B signaling, leading to an increase in MMP-9 together with a reduction in TIMP-1, while oral erythromycin administration prevented emphysema and inflammation by reducing MMP-9 via the inhibition of p38 MAPK, ERK, and NF- $\kappa$ B.<sup>50</sup> Simvastatin attenuates



cigarette smoke extract-induced activation of ERK, NF- $\kappa$ B, and AP-1, and upregulation of MMP-9 in rat AMs.<sup>51</sup> Inhibition of MAPKs, especially p38 MAPK, appears to be a logical approach to eliminate airway inflammation. Several clinical trials for inhalational p38 MAPK inhibitors, such as RV568 (now known as JNK-49095397), PF-30715455, and AZD-7624, are currently ongoing.<sup>52</sup> Clarithromycin and rosiglitazone also block the cigarette smoke extract-induced MMP-9 production in animal models.<sup>53,54</sup> Modification of MMP-9 expression by either MAPK inhibitors or approved agents, which modulate MMP-9 expression, may be an additional therapeutic strategy to ameliorate the deterioration of lung function in AHR + S.

## Conclusion

Our finding supports the hypothesis that AMs from AHR + S produce an excess of MMP-9 to TIMP-1, accompanied by a more severe deterioration of airway obstruction in these subjects, indicating a new predictor to identify smokers vulnerable to COPD. We also confirm the observation that the generation of MMP-9 can be hampered by the inhibition of p38 MAPK and ERK, which may be a potential therapeutic target to prevent the development of AHR and progressive airflow limitation in smokers.

## Acknowledgment

This work was supported by the National Science Research Project (NMRP) grant 92-2314-B-182A-178 – and Chang Gung Memorial Hospital Research Project Grant CMRPG3B1323.

## Disclosure

The authors report no conflicts of interest in this work.

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