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## Membrane oxidation in cell delivery and cell killing applications

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### Abstract

Cell delivery or cell killing processes often involve the crossing or disruption of cellular membranes. We review how, by modifying the composition and properties of membranes, membrane oxidation can be exploited to enhance the delivery of macromolecular cargos into live human cells. We also describe how membrane oxidation can be utilized to achieve efficient killing of bacteria by antimicrobial peptides. Finally, we present recent evidence highlighting how membrane oxidation is intimately engaged in natural biological processes such as antigen delivery in dendritic cells and in the killing of bacteria by human macrophages. Overall, the insights that have been recently gained in this area should facilitate the development of more effective delivery technologies and antimicrobial therapeutic approaches.

### Keywords

Membrane oxidation; cell delivery; cell-penetrating peptides; antimicrobial peptides; photochemical internalization; lipid peroxidation; cell killing

## 1. INTRODUCTION

The plasma membrane of cells, whether eukaryotic or prokaryotic, acts as barriers that separate the intracellular milieu from its surrounding environment. Crossing or disrupting this membrane is the focus of many biotechnological applications. For instance, the delivery of macromolecules into the interior of human cells is often required in applications that aim at controlling or probing the intracellular circuitry.<sup>1</sup> In contrast, achieving a temporal defect

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### Conflict of interest

The authors declare that they have no conflicts of interest with the contents of this article.

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or a stable disruption of membrane function is desired in applications that aim at killing cells. This includes in particular strategies that use lytic agents to selectively kill pathogenic bacteria infecting human tissues.<sup>2</sup> In this context, learning how to manipulate the physical properties of membranes with high selectivity and high efficiency remains an intense and vibrant area of research.

Cells are exposed to oxidative stress, the extent of which depends on experimental context (e.g. *in vitro* vs *in vivo*, 20% vs 2% oxygen atmosphere during culture, presence or absence of antioxidants, etc.).<sup>3</sup> In addition, it is well documented that the components of cell membranes are the targets of oxidation chemistry.<sup>4, 5</sup> On one hand, this can potentially lead to alterations in overall membrane properties, including stability and permeability. It is therefore possible that membrane oxidation may impact the activity of reagents that lyse or cross membranes. On the other hand, the oxidation of cellular membranes is challenging to measure and quantify experimentally. In particular, oxidation leads to highly heterogeneous mixtures.<sup>4, 6</sup> Additionally, oxidized species may exist only transiently, either because of high chemical reactivity or because of degradation by cellular repair mechanisms.<sup>7</sup> To date, the extent to which membrane oxidation influences cell lysis and cell delivery applications remains therefore unclear.

In this review, we discuss recent evidence that highlights membrane oxidation as a determining factor in several cell killing and cell delivery applications. In some instances, membrane oxidation provides new mechanistic insights on how biological or synthetic molecules interact with membranes. In other instances, membrane oxidation is a feature that is directly exploited to achieve enhanced translocation or lytic activities.

## 2. THE COMPLEX CHEMISTRY OF MEMBRANE OXIDATION

Because of its significance to biology and biochemistry, membrane oxidation has been the subject of considerable mechanistic and structural scrutiny, some of it previously reviewed<sup>8</sup>. Examples of reactions that modify membrane components, lipids and proteins, are provided in Figure 1. For instance, endogenous or exogenous factors can promote the formation of reactive oxygen species (ROS) that directly modify proteins and lipids to form products containing hydroxyl, carbonyl, or epoxide groups (Figure 1). Free-radical ROS can also abstract hydrogen from membrane lipids and proteins to form new radicals. Upon reaction with oxygen, products containing peroxides are formed. Notably, in the case of lipids, hydrogen abstraction of unsaturated acyl chains can induce a chain reaction that propagates to other lipids present in a bilayer. A single initiation event can thereby be amplified and lead to the formation of many lipid peroxides. Oxidation is often followed by a variety of chemical reactions, including cyclization, rearrangement, and cleavage. This in turn can lead to the formation of non-fragmented and fragmented species, especially new lipid species such as oxidized lipids containing carboxylic acid in one of their acyl chains. Some species will contain aldehydes that can further react with nearby proteins or lipids to form Schiff base adducts (Figure 1).

Many factors dictate the extent to which a membrane is oxidized. For instance, a lipophilic antioxidant such as vitamin E protects membranes from damage by limiting the propagation

of lipid peroxidation. Other cellular components can provide protection, either by scavenging ROS or by degrading oxidized products.<sup>7, 9, 10</sup> In contrast, presence of metals and relatively high levels of oxygen promote lipid peroxidation. It is also important to note that oxidation is favorable in a membrane because oxygen is more concentrated in the hydrophobic environment of lipid bilayers than in solution.<sup>8</sup> This is also true for singlet oxygen, an excited form of oxygen that can be formed upon irradiation of chromophores referred to as photosensitizers. Singlet oxygen is highly reactive and it directly attacks lipids or proteins to form peroxides (Figure 1). If formed in a membrane, this ROS is unlikely to diffuse away from a bilayer. Instead, it will rapidly react with molecules in its vicinity.

Given the few examples provided, it should be evident that an overall effect of oxidation is the generation of a complex and heterogeneous population of products. These products may be principally derived from polyunsaturated lipids (PUFAs). These lipids are indeed considered the main targets of membrane oxidation.<sup>11, 12</sup> This is because bis-allylic methylene carbons present in polyunsaturated fatty acids (PUFAs) are more prone to hydrogen abstraction than the allylic carbon of monounsaturated fatty acids.<sup>13</sup> Yet, monounsaturated aliphatic chains can also be oxidized, albeit under different oxidation conditions (as discussed further in the 4.1).<sup>14</sup> In addition, nonsaponifiable lipids are also readily oxidizable (not shown in Figure 1).<sup>14</sup> For instance, cholesterol, an important component of mammalian membranes, gives rise to multiple oxidized products.<sup>15</sup>

Overall, the accumulation of oxidized products can alter various membrane properties. For instance, *in vitro*, the inclusion of oxidized lipids into lipid bilayers induces a loss in permeability barrier function.<sup>16–18</sup> *In vivo*, oxidatively truncated phospholipids, typically found in circulation, can enter cells, alter mitochondrial membrane function, and ultimately induce apoptosis.<sup>19</sup> Below, we elaborate on the contribution of membrane oxidation in the context of delivering cargos to mammalian cells. We then transition into how this phenomenon relates to bacterial cell death.

### 3. RELEVANCE OF MAMMALIAN MEMBRANE OXIDATION IN INTRACELLULAR DELIVERY APPLICATIONS

#### 3.1. Electroporation

Electroporation uses electric pulses of short duration to deliver macromolecules into cells.<sup>20</sup> It is thought that these pulses create nanoscale pores in the cell membrane. These nanopores are stable enough during electroporation to allow for the diffusion of biomolecules from the media to the interior of the cell. Notably, electroporation-mediated DNA transfer has been shown to involve endocytosis and endosomal escape,<sup>21–24</sup> This in turn indicates that electroporation can affect both cytoplasmic and endosomal membranes.

A link between membrane oxidation and electroporation has been established by Vernier and co-workers.<sup>25</sup> In particular, Jurkat cells pre-oxidized with hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) and ferrous sulfate showed greater electroporation than untreated cells, as measured by the cellular uptake of the fluorescent dye YO-PRO-1. This increase correlated positively with both the concentration of oxidizing agents and the duration of applied electric pulse.

Notably, the oxidants did not lead to an enhancement in cargo delivery in the absence of electric pulse. The notion that electroporation targets oxidatively damaged area of cell membranes was also supported by molecular dynamic simulations. The propensity of pore formation in a lipid bilayer positively correlated with the concentration of oxidized lipids present in the bilayer. Poration was also observed at the area where oxidized lipids were located within the bilayer (Figure 2). Whether oxidation-dependent poration takes place at the plasma membrane or at the membrane of endosomes was not directly established. However, because these membranes systems are related in composition, they may both be involved in a non-exclusive manner.

While oxidized defects in the lipid bilayer might be primary sites of nanopore formation during electroporation, it also appears that electric pulsing stimulates oxidation. For instance, electroporation leads to an increase in the level of oxidized lipids present in the membrane of human erythroleukemia K562.<sup>26</sup> Additionally, ROS, detected using fluorescent and chemiluminescent probes, are generated in Chinese hamster ovary (CHO) cells subjected to electric pulses.<sup>27, 28</sup> Given that ROS can readily oxidize the membrane of cells, it is possible that electric pulses initially generate oxidation-related defects in treated cells and, upon subsequent pulsing, induce poration at these membrane defect sites. (Figure 2).

### 3.2. Cell-penetrating peptides

Cell-penetrating peptides (CPPs) represent a class of peptides that have the ability to enter cells, albeit with various efficiencies. In some cases, CPPs directly translocate through the plasma membrane of cells.<sup>29-34</sup> In other cases, CPPs are internalized via endocytic uptake mechanisms.<sup>35-37</sup> CPPs trapped inside the lumen of endosomes can then escape into the cytosolic space.<sup>38, 39</sup> Overall, CPPs have been utilized to successfully deliver a wide variety of molecular cargos, including nucleic acids, proteins or nanoparticles, in numerous cell types.<sup>40</sup> Several studies have investigated the molecular underpinnings involved in cell penetration. Because CPPs are often rich in cationic arginine residues, interactions with negatively-charged membrane components are thought to play important roles. These species include heparin sulfate proteoglycans, as well as anionic lipids such as phosphatidylserine and bis(monoacylglycero)phosphate.<sup>41-43</sup> A better understanding of how CPPs interact with membranes has supported the development of reagents with enhanced penetration activities. Yet, inconsistencies in published results indicate a high variability in the performance of these reagents. For instance, the polyarginine CPP R9 penetrates cells efficiently in some investigations but remains primarily trapped inside endosomes in others. Paradoxically, contradictory results are observed even when experimental settings are similar (i.e. same cell type and peptide concentration).<sup>44, 45</sup> Overall, this indicates that some important parameters involving peptide and cell membrane might not be accounted for, thereby leading to variability.

Recently, the cytosolic penetration of nona- or trideca-arginine CPPs (r9 and r13 where r is D-Arg, an unnatural arginine residue used to confer protease-resistance to the peptide) was abolished or significantly reduced, respectively, when cells were cultured at 2% oxygen as opposed to 20% oxygen. Cell penetration was also reduced when the cellular growth media was supplemented with antioxidants.<sup>46</sup> Together, these results indicated that reducing

oxidative cellular stress diminishes the transport of these CPPs into cells. Conversely, an increase in the oxidation state of the plasma membrane of cells led to an increase in cytosolic penetration. For instance, r13 efficiently entered cells pretreated with lipophilic oxidants (oxidation conditions were mild and did not affect cell viability and proliferation rates) and the penetration activity of the CPPs positively correlated with the level of lipid peroxides present in cellular membranes. Additionally, a cell line (MCH58) prone to cell penetration by TMR-r13 displayed higher endogenous levels of oxidized lipids than a cell line (HDF) more resistant to the translocation of TMR-r13. The involvement of oxidized lipids was further demonstrated with the use of E06, a monoclonal antibody that binds oxidized phosphatidylcholine (oxPC) lipids.<sup>47</sup> In particular, E06 blocked the intracellular delivery of r13 after a simple pre-incubation with cells. Furthermore, extracellular addition of the anionic oxPC lipids, 1-palmitoyl-2-glutaryl-*sn*-glycero-3-phosphocholine (PGPC) or 1-palmitoyl-2-azelaoyl-*sn*-glycero-3-phosphocholine (PazePC), enhanced the cytosolic penetration of the CPPs. Notably, an *in vitro* partitioning assay established that both PGPC and PazePC can transport CPPs into a milieu of low dielectric constant.<sup>46</sup> This, in turn, is consistent with the notion that CPPs and anionic oxPC species have the ability to form structures, most likely inverted micelles, that allow transfer of the hydrophilic peptide across a hydrophobic lipid bilayer. Interestingly, many anionic lipid species can in principle be generated during membrane oxidation (see Figure 1). Like PGPC and PazePC, these species might act in concert to interact with CPPs to allow or enhance cell penetration (Figure 3).

### 3.3. Photochemical internalization

Photochemical internalization (PCI) is a strategy that combines photosensitizers and light to achieve intracellular delivery of macromolecules.<sup>48</sup> ROS can be abundantly generated when exciting photosensitizers by light. When incubated with cells, photosensitizers can accumulate with macromolecular cargos inside endosomes.<sup>48</sup> Light irradiation leads to oxidation of the endosomal membrane by the photosensitizers. Internalized macromolecules subsequently escape from the oxidized endosomes with high efficiencies. PCI has been successfully applied to the cytosolic delivery of small-molecule anti-cancer drugs, proteins, oligonucleotides and other therapeutic agents both *in cellulo* and *in vivo*.<sup>49</sup> Photosensitizers such as sulfonated tetraphenylporphyrin (TPPS<sub>2a</sub>) and sulfonated aluminum phthalocyanine (AIPcS<sub>2a</sub>), can be used for PCI because these compounds are endocytosed by cells.<sup>49, 50</sup> Notably, photosensitizers that lack the ability to accumulate inside endosomes can be conjugated to CPPs. This in turn enhances their targeting to endosomes and enables PCI-mediated delivery.<sup>51–54</sup> Interestingly, fluorophores that typically produce significantly lower levels of ROS than photosensitizers can also cause photo-induced endosomal leakage upon conjugation to CPPs.<sup>55–60</sup> For instance, fluorescein and tetramethylrhodamine, two commonly used fluorophores in microscopy applications, induce endosomal leakage when conjugated to the CPPs TAT or R9.<sup>58</sup>

PCI-mediated endosomal leakage is an oxidation dependent process (Figure 4). ROS generated during irradiation of the photosensitizer react with lipids and introduce oxygen-containing functional groups. Above a particular damage threshold, the integrity of the lipid bilayer is disrupted and the membrane becomes permeable. Damage has been observed in the form of hydrophobic and hydrophilic defects, as well as membrane swelling.<sup>61, 62</sup>

Notably, additional steps have been observed in the case of CPP conjugates. For instance, both the lipophilic photosensitizer Rose Bengal (RB) and the hydrophilic conjugates TMR-TAT or TMR-R9 cause leakage of liposomes when irradiated.<sup>63</sup> However, liposomes photo-oxidized with RB do not aggregate while those oxidized with TMR-TAT/R9 do. Aggregation was not attributed to the ROS generator (TMR in this case), but with the CPPs instead. Similarly, red blood cells, used as a model system for the membrane of human cells, lyse when irradiated in the presence of RB or TMR-TAT.<sup>64</sup> Yet, the morphology of the RB-treated cells does not change upon hemolysis. In contrast, red blood cells treated with TMR-TAT dramatically shrink upon light exposure, indicating that a significant loss of membrane surface area accompanies lysis. Interestingly, the photolytic activity of RB was also enhanced in the presence of unlabeled TAT or R9.<sup>64</sup> Moreover, both the arginine content and the position of photosensitizer in the CPP significantly impact the membranolytic activity. Among different CPP constructs, a peptide containing nine arginine residues and a fluorophore positioned in the center of sequence showed the best light-dependent membranolytic activity.<sup>65</sup> Together, these results indicated that the CPP moieties directly interact with oxidized lipid species and enhance the membrane destabilizing effects initiated by ROS.

### 3.4. Delivery by gas plasma

Gas plasma is a technology that utilizes electric fields to ionize an efflux of noble gas or ambient air so as to generate a gas plasma with low temperature. The permeability of cells exposed to gas plasma, either *in vivo* or *in vitro*, is increased and delivery of macromolecular cargos such as plasmid DNA can be achieved.<sup>66</sup> For the past decades, several plasma generation methods have been developed, including atmospheric discharge plasma<sup>67</sup>, atmospheric helium plasma<sup>68–70</sup>, non-thermal plasma<sup>71</sup>, shielded sliding discharge plasma<sup>72</sup>, atmospheric-pressure plasma<sup>73</sup>, and plasma-activated air<sup>74</sup>. Using cold atmospheric plasma generated by a plasma jet system, Kong and co-workers recently established that ROS production is involved in plasma-induced cell permeabilization.<sup>75</sup> ROS, including superoxide and hydrogen peroxide, are present in the plasma generated by this technique and transferred to the liquid culture media.<sup>76</sup> In the presence of cells the levels of hydrogen peroxide, measured with the Amplex Red reagent, however decrease, suggesting that the oxidant is consumed by reacting with cells. Conversely, intracellular ROS levels, detected the unspecific ROS probe CM-H2DCFDA, increase following plasma treatment. This is accompanied by a simultaneous increase in membrane permeability, as measured by the influx of calcium from outside to inside cells. Moreover, DNA transfection and calcium influx were inhibited by sodium pyruvate, tiron, and mannitol, scavengers of hydrogen peroxide, superoxide, and the hydroxyl radical, respectively. Together, these results suggest that the ROS produced during exposure to plasma modulate the permeability of cells by oxidation of membrane components. Notably, the hydroxyl radical, which appears to play a role in modulating membrane permeability but which is not be directly present in plasma, is presumably generated upon exposure of superoxide and hydrogen peroxide to cells. Interestingly, this process, which may involve *in situ* catalysis by iron, was also implicated in the cytotoxicity induced by plasma treatment.<sup>76</sup>



### 3.5. Oxidation-regulated membrane permeation in biological transport processes

While the cell penetration processes described in the above text are related to delivery technologies, recent evidence suggests that oxidation might also be exploited by nature as a means of inducing membrane permeabilization. In particular, lipid oxidation has recently been proposed to mediate the delivery of antigens from the lumen of endosomes into the cytosolic space of dendritic cells.<sup>77</sup> Dendritic cells (DCs) internalize foreign macromolecules by endocytosis and phagocytosis and subsequently present antigenic products on their surface for recognition by T-cells and immune activation. This process is known as cross-presentation.<sup>78</sup> It is thought that the trafficking of antigens within dendritic cells involves their egress from the lumen of endosomes and their entry into the cytosol. However, how antigens escape endosomes remain a matter of debate.<sup>78</sup>

The enzyme complex NADPH oxidase (NOX2), located at the membrane of endosomes, produces superoxide ( $O_2^{\bullet-}$ ) upon stimulation.<sup>79</sup> The acidic milieu of endosomes and the presence of iron can then lead to the formation of  $H_2O_2$  and of the hydroxyl radical. Bogaart and co-workers have recently reported that the NOX2-generated ROS oxidize the lipids of endosomal membranes.<sup>77</sup> This promotes membrane destabilization and enhances cytosolic antigen release (Figure 5). For instance, DCs produce an increased level of hydrogen peroxide when treated with lipopolysaccharide (LPS), a TLR4-ligand that stimulates NOX2. Lipid peroxidation, as detected by changes in the fluorescence of the oxidation-sensitive probe C11-BODIPY<sup>581/591</sup>, was also increased in the presence of LPS. Inversely, presence of the lipophilic antioxidant  $\alpha$ -tocopherol (vitamin E) or knockdown of NOX2 by siRNA lead to a decrease in antigen cross presentation. Cross presentation was also reduced in DCs from chronic granulomatous disease patients containing dysfunctional NOX2. Notably, endosomal escape of antigens could be artificially promoted by using a PCI-inspired approach. In this assay, the genetically encodable photosensitizer protein KillerRed was targeted to endosomal compartments by fusion to the SNARE protein VAMP8. Excitation of KillerRed with light resulted in the leakage of antigens from endosomes. The authors concluded that PCI could be used to artificially enhance antigen cross-presentation in a manner that mimic NOX2 driven oxidation. Overall, while other non-mutually exclusive mechanisms of endosomal escape might be involved in antigen processing, these results highlight how oxidation-driven permeabilization, at the very least, facilitates this process.

## 4. RELEVANCE OF BACTERIAL MEMBRANE OXIDATION IN CELL KILLING APPLICATIONS

It is well understood that membrane oxidation is a mechanism that can be exploited to kill human cells. Technologies that utilize this process include, for instance, photodynamic therapy. The modes of action of these technologies have been characterized extensively and readers are referred to recent reviews.<sup>80</sup> In contrast, the relevance of membrane oxidation in bacterial killing applications has received less attention. One possible reason for this is that the lipid components of bacterial membranes are expected to be less reactive towards oxidants than their mammalian counterparts. Moreover, the structural complexity of bacterial membranes and the inherent differences between Gram-positive and -negative bacteria add another layer of complication to the study of membrane oxidation in bacteria.

All prokaryotes contain an inner (cytoplasmic) membrane – composed of a lipid bilayer and the embedded membrane proteins – and a peptidoglycan cell wall. In addition to these two layers, Gram-negative bacteria also contain an asymmetric outer membrane whose inner leaflet is composed largely of glycerophospholipids and an outer leaflet composed of lipopolysaccharides (LPS). While unsaturated fatty acids can be found in the inner leaflet, the lipid A in LPS is almost exclusively composed of saturated acyl chains.<sup>81</sup> Thus, the overall susceptibility of bacterial membrane towards oxidation remains a matter of debate. Herein, we review recent articles that highlight how the oxidative damage of bacterial membrane is possible and how it is exploited in several antimicrobial technologies. Furthermore, the bilayers mentioned in this section refer to the cytoplasmic membrane – which contain glycerol-derived phospholipids – and should not be confused with the cell wall or the outer membrane lipopolysaccharides.

#### 4.1. Membrane oxidation and Lipid Peroxidation in Bacteria: Fact or Fiction?

An argument supporting a relative resistance of bacterial membrane to ROS is based on membrane composition. For instance, bacterial lipid bilayers contain high levels of monounsaturated fatty acids (MUFAs) and do not tend to incorporate polyunsaturated fatty acids (PUFAs) in their membranes.<sup>82, 83</sup> While PUFAs react readily with ROS, MUFAs are often considered virtually unreactive.<sup>12</sup> Furthermore, even though many studies have highlighted how ROS-mediated degradation of cytoplasmic proteins and nucleic acids can be detected in bacteria, methods to detect lipid oxidation have been scarce.<sup>84, 85, 86</sup>

This paradigm is challenged by evidences in the literature suggesting that lipid peroxidation does in fact occur in bacteria as a result of exposure to ROS. *In vitro* studies support the possibility of MUFAs as substrate for lipid peroxidation.<sup>87, 88, 89</sup> Detection of low- and high-molecular weight lipid peroxidation products was reported after oxidation of MUFA-containing phospholipid vesicles with Cu(II) and ascorbic acid. In particular, 17 different types of carbonylated products were detected from oxidation of oleic acid (18:1).<sup>14</sup> Membrane oxidation has also been detected directly in the context of bacteria. For instance, treating an *E. coli* strain expressing high levels of antioxidant enzymes with H<sub>2</sub>O<sub>2</sub> leads to the accumulation of malondialdehyde, a byproduct of lipid peroxidation.<sup>90</sup> More recently, *Mycobacterium tuberculosis* (Mtb) has been shown to be extraordinarily susceptible to the pro-oxidant activity of ascorbic acid.<sup>91</sup> Ascorbic acid treatment led to the detection of 2-hydroxylated long-chain fatty acids likely generated from oxidation of 2-alkenoic acids in the cytoplasmic membrane by hydroxyl radicals generated from ascorbic acid-induced Fenton reaction.<sup>91</sup> While dismutases and catalases detoxify O<sub>2</sub><sup>•-</sup> and H<sub>2</sub>O<sub>2</sub>, respectively, no known enzymes detoxify hydroxyl radicals (•OH), making this radical more lethal<sup>85</sup>. This coupled with the fact that Mtb has no biosynthetic machinery to generate hydroxylated fatty acids suggests that lipid oxidation was a direct result of ascorbic acid treatment. Together, these results suggest that MUFAs oxidation within bacterial membrane is possible. It should also be noted that membrane oxidation may involve lipid species other than MUFAs. For instance, the membrane of bacteria may contain isoprenoid quinones or unsaturated hopanoids.<sup>92</sup> To the best of our knowledge, the reactivity of these lipids toward oxidation has not been tested. Yet, these quinones can in principle, be damaged by ROS, either via oxidative cleavage of the β,γ-unsaturation linking the isoprenoid unit to the quinone or



possibly epoxidation of the quinone moiety. Both of these modifications can result in attenuation or loss of electron carrier capability of the isoprenoid quinones.<sup>93</sup> The hopanoids on the other hand, can be hydroxylated during oxidative stress resulting in a significant change in hydrophobicity.<sup>94</sup> Finally, it is also possible that oxidative damage targets membrane proteins.<sup>5</sup> However, the extent to which protein damage relates to membrane disruption remains unclear.

#### 4.2. Photodynamic inactivation: bacterial killing by membrane oxidation with photosensitizers

Photodynamic inactivation (PI) aims at killing cells by using photosensitizers, which together with a light source, generate a toxic burst of ROS. Originally developed to combat cancerous lesions,<sup>95</sup> PI is emerging as method to fight infections.<sup>96, 97</sup> Organic chromophores including methylene blue, rose Bengal, acridine orange, and various tetrapyrroles (e.g. porphyrins, and phthalocyanins) have been used as photosensitizers for PI.<sup>98–102</sup> A report from Nisnevitch and co-workers shows a scanning electron microscope (SEM) image of *E. coli* cells that survived irradiation in the presence of methylene blue immobilized in polystyrene.<sup>103</sup> These cells showed compromised membranes evidenced by large, spherical membrane protrusions, often referred to as blebs. Whether these “blebs” are structures enclosed by dual bilayers (both inner and outer membrane) remain unclear. This uncertainty can be addressed by a study of the activity of human defensin 5 (HD5) on *E. coli*, which showed temporal leakage of cytoplasmic GFP into blebs and other bleb-like structures,<sup>104</sup> suggesting that in the context of a non-oxidative attack on the bacterial membrane, the blebs formed are composed of two intact bilayers. This redistribution of GFP occurs at the same time as influx of propidium iodide into the cell, suggesting that cells that developed blebs are likely dead. Whether blebs arising from oxidative and non-oxidative attack on bacteria are morphologically and functionally similar remains to be seen. However, given the high affinity that most photosensitizers have for lipid bilayers, oxidation-dependent membrane perturbation is likely the underlying cause of cell death achieved upon light irradiation.<sup>105</sup> Two questions remain, first, why both oxidative and non-oxidative attack on membranes lead to formation of cell surface blebs. Second, whether specific cellular structures are responsible to the genesis of these membrane blebs.

#### 4.3. Membrane oxidation and AMP bactericidal activity

Recent reports suggest a complex relationship between membrane oxidation and the activity of AMPs. First, AMPs exhibit higher affinities to liposomes containing oxidized phospholipids.<sup>106</sup> This might potentially involve changes in lipid packing, or electrostatic interactions; or covalent tethering by formation of Schiff bases between the primary amine groups of the peptide and the aldehyde moiety of the oxidized phospholipids.<sup>107</sup> The relevance of such phenomenon was highlighted in a report that studied two antimicrobial peptides, ixosin and ixosin B, isolated from the tick *Ixodes sinensis*.<sup>108–110</sup> The study demonstrated the change in the localization of ixosin B as a result of membrane oxidation by ixosin. Ixosin contains a sequence known as the amino-terminal copper and nickel binding (ATCUN) motif that binds copper ions with high affinity (GLHKVMREVLGYERNKYKKFFLR, Figure 6A).<sup>111</sup> The copper-ixosin adduct is capable of generating ROS in the presence of oxygen. In contrast, ixosin B

(QLKVDLWGTRSGIQPEQHSSGKSDVRRWRSRY) lacks a copper-binding sequence and does not produce ROS. In *E. coli* treated with ixosin B alone, the peptide penetrated the cell and localized in the cytosol. When bacteria were co-treated with ixosin and ixosin B, the latter localized to the *E. coli* membrane. The native ixosin B localization was restored when an ixosin derivative harboring a point mutation that abolishes copper-binding and ROS formation was used.<sup>110</sup> Together, these results indicate that the oxidation mediated by ixosin leads to retention of ixosin B at the membrane. It is then possible that membrane oxidation promotes the lytic behavior of the peptide, and ultimately enhances its bactericidal activity. Using mixtures of ixosin and ixosin B, the fractional inhibitory concentration (FIC) index – an indicator of synergy – was determined. When tested against *E. coli* (MWF1 strain), a synergistic interaction between ixosin and ixosin B (FIC = 0.281) was observed. When mutants of ixosin lacking an ATCUN motif were used in combination with ixosin B, the synergistic interaction was abolished. These results indicate that the oxidative activity of the ATCUN motif is necessary to elicit a synergistic interaction between ixosin and ixosin B.

Notably, the ATCUN motif can be added as a biological warhead to antimicrobial peptides that target bacterial membranes to produce peptides with membrane oxidizing activity.<sup>89, 112</sup> As a proof of concept, the ATCUN motifs Asp-Ala-His, Gly-Gly-His, and Val-Ile-His were added to the antimicrobial peptides anoplin (GLLKRIKTLN-NH<sub>2</sub>) and (KLAKLAK)<sub>2</sub>, two well-characterized membrane targeting agents (Figure 6B).<sup>89</sup> The presence of the three residues did not disturb the affinity of the peptides for the bacterial membranes, and the new hybrid peptides continued targeting the membranes. In the case of the ATCUN-anoplin peptides, a correlation between lipid peroxidation activity of the ATCUN derivatives and the ability of the ATCUN motif itself to produce ROS was found. The ATCUN-(KLAKLAK)<sub>2</sub> hybrids showed better activity than the parent peptide; however, this activity did not correlate with the oxidation ability of the ATCUN motif. It is likely that the position of the ATCUN motif within the lipid bilayer is important for the lipid oxidation activity.

#### 4.4. Bacterial killing by membrane oxidation with antimicrobial peptide-photosensitizer conjugates

While lipophilic photosensitizers can maximize oxidative damage at bacterial membranes, they are often poorly selective. As a matter of fact, photosensitizers used for photodynamic inactivation are often also phototoxic to human cells. This therefore limits their therapeutic utility in the context of conditions such as infected wounds. Hydrophilic photosensitizers are, in contrast, poorly active especially towards Gram-negative strains. To circumvent some of these issues, photosensitizers have recently been conjugated to antimicrobial peptides (AMPs).<sup>113–116</sup> AMPs associate with bacteria more readily than with human cells.<sup>117, 118</sup> Consequently, AMPs were shown to act as carriers that can target PS to bacteria selectively over human cells. For instance, the conjugate eosin-(KLAKLAK)<sub>2</sub> is capable of effectively killing both Gram-positive and Gram-negative bacteria without inducing damage to human erythrocytes (Figure 7).<sup>115</sup> Notably, eosin alone, despite being capable of generating singlet oxygen and superoxide in high yields upon light irradiation, was relatively innocuous to bacteria in the absence or presence of light.<sup>68</sup> The AMP alone is bactericidal, albeit only at high concentrations (e.g. 10 μM is required to kill 90% of 10<sup>8</sup> CFU *E. coli*). Yet, upon conjugation, eosin-(KLAKLAK)<sub>2</sub> is highly effective, with 1 μM of the conjugate killing

more than 99.99% of  $10^8$  CFU *E. coli* or *S. aureus* upon a 30 min irradiation with green light ( $230 \text{ J/cm}^2$ ). These results are therefore consistent with the notion that the AMP, by associating with bacteria, brings eosin to the vicinity of oxidizable bacterial molecules.

The evidence that killing is achieved by membrane oxidation was provided by several means. Membrane damage during light irradiation has been observed in the form of the influx of membrane impermeable dye and formation of membrane blebs.<sup>114, 115</sup> In addition, in the case of eosin-(KLAKLAK)<sub>2</sub>, the ROS-generating capacity of the photosensitizer was exploited to directly visualize the location of the peptide associated with bacteria. Using a photo-induced 3,3-diaminobenzidine (DAB) polymerization protocol and electron microscopy, eosin-(KLAKLAK)<sub>2</sub> was shown to exclusively reside on the surface of both *E. coli* and *S. aureus* prior to cell killing.<sup>119</sup> Severe defects in the cell membrane were observed at early time points of the photokilling process. In addition, the conjugate was shown to bind and disrupt liposomes mimicking the lipid composition of cytoplasmic membrane of Gram-negative bacteria (e.g. PE:PG:CA 75:20:5, lipids contain only MUFAs). Together, these results suggest that eosin-(KLAKLAK)<sub>2</sub> is capable of directly damaging the lipids of bacterial membranes and subsequently affect the integrity of the bacterial cell wall. Notably, (KLAKLAK)<sub>2</sub> appear to actively participate in this process. (KLAKLAK)<sub>2</sub> enhanced the damage of liposomes pre-oxidized with the lipophilic oxidant Ce6. This in turn is indicative of a synergy between photosensitizer and peptide. More precisely, the AMP acts as a membrane targeting agent for the photosensitizer prior to irradiation (Figure 7). Upon irradiation, eosin generates ROS in the vicinity of the bilayer and this leads to lipid damage. The AMP then displays an additional activity by contributing to membrane destabilization. The detailed mechanisms underlying this activity have not been explored, however it may be analogous to the observed synergy between Ixosin and Ixosin B (*vide supra*).

#### 4.5. Plasma-induced Bacterial Inactivation

In the context of physical sciences, plasma refers to a gaseous mixture of particles with opposite charges, often also containing excited atoms, molecules and free radicals.<sup>120</sup> Atmospheric-pressure non-thermal plasma, first described by Laroussi in the 90s, is a technology that has attracted attention in biomedical applications including bacterial inactivation.<sup>121–124</sup> The plasma generated contains singlet oxygen (<sup>1</sup>O<sub>2</sub>), atomic oxygen (O) and even ozone (O<sub>3</sub>) responsible for general oxidation of biomolecules. These ROS, along with several other reactive species is believed to give rise to the plasma's bactericidal properties.<sup>120, 125</sup> Furthermore, it was found that the greatest sterilization effect was achieved when “moistened oxygen” is used, from which hydroxyl radicals can be produced.<sup>120</sup> Recently, Joshi *et al.* have evaluated the use of a plasma generating probe known as floating-electrode dielectric-barrier discharge (FE-DBD) capable of generating microsecond-long high-voltage-pulsed plasma between two electrodes against planktonic and biofilm forms of several bacterial strains.<sup>121, 122</sup> Planktonic cultures and biofilms of *E. coli*, *S. aureus* and Methicillin-resistant *Staphylococcus aureus* (MRSA) were rapidly (< 60 seconds) and completely eradicated using this technique. FE-DBD plasma exposure of *E. coli* cells resulted in morphological changes from the typical bacillus form observed in healthy planktonic cultures to the coccoid form consistent with damage to the lipid membrane.<sup>121</sup> In addition, *E. coli* cells were observed to lose their Gram stain-retaining

capacities upon exposure to plasma suggesting a considerable deterioration of the outer membrane.<sup>121</sup> Extensive lipid peroxidation was observed in intact *E. coli* cells upon exposure to 60 seconds of FE-DBD. The use of the antioxidant  $\alpha$ -tocopherol reduced significantly the extent of lipid peroxidation. Antioxidants also prevented plasma-induced *E. coli* inactivation. Overall, this study indicated that the ROS produced during FE-DBD damage bacterial cell membranes and contribute to reducing viability.

## 5. CONCLUSION

It is becoming increasingly clear that oxidation impacts the behavior of a membrane (and the molecules that interact with it) in relation to processes such a translocation or lysis. Given that oxidation alters the structures of lipids and membrane proteins, the relevance of this phenomenon is logical. For techniques that directly produce ROS (e.g. gas plasma or photosensitizing approaches), it is apparent that differences in extent, timing and location of oxidative events can influence whether cell delivery or cell killing is achieved. However, in the case of other techniques, the precise role played by oxidation remains unclear. For instance, is membrane oxidation only enhancing the activities of CPPs or AMPs or is it actually required to achieve detectable permeation or lysis? Similarly, would electroporation work in the complete absence of oxidative events? These questions remain hard to answer for the following reasons. First, the chemical complexity associated with the oxidation renders the analysis of oxidized products a significant challenge. Consequently, the identity, prevalence, and extent of the oxidized products that contribute to changing the membrane behavior are aspects that are often not characterized. Second, because of degradation and repair mechanisms (as described in section 1), the effects of oxidation can be transient and possibly masked. Finally, it is possible that oxidized lipids might have profound effects while being present at only very low concentrations or densities. This is related to the notion that a small defect in a bilayer can have rather dramatic consequences in the barrier capacity of the membrane, in the same way as a single small pinhole can deflate a balloon just as effectively as many holes, albeit more slowly. Similarly, intracellular delivery or bacterial killing can in principle be mediated by membrane leakage involving only few oxidized molecules. This is especially plausible if oxidation reactions were to lead to a localized accumulation of oxidized products<sup>126</sup> (e.g. high local concentration of oxidized lipids in the bilayer in proximity to an oxidant prior to lateral diffusion). Of equal importance is the behavior, or change in behavior, of membrane-active peptides or proteins interacting with oxidized lipids. As the physico-chemical characteristics of the membrane change upon oxidation, the thermodynamics and kinetics of the interaction between membranes and membrane-active species are affected.<sup>106, 127, 128</sup> An exciting question for future research will be to correlate the thermodynamics and kinetics of peptide binding with the degree of lipid oxidation in membranes.

The technical challenges described above have prevented a full characterization of the extent to which membranes are naturally oxidized *in vitro* or *in vivo*. It can be argued that membrane oxidation in tissue culture studies is partially artifactual. In particular, it is clear that typical growth conditions (incubation in 20% oxygen and in media lacking antioxidants) favor oxidative stress. In contrast, bacteria infecting human wounds or cells in human tissues might be exposed to 2% oxygen or less. In such environments, cells might therefore be less

prone to oxidation and *in vitro* studies of delivery or killing agents might not adequately predict *in vivo* activities. Yet, evidence of membrane and lipid oxidation *in vivo* has been reported. For example, airway tissues are exposed to 20% oxygen and oxidation of lung epithelium has already been established to play a role in tissue permeability.<sup>129</sup> Oxidized lipids are also found in blood plasma and tissues of both healthy and diseased humans.<sup>130</sup> Oxidative stress and oxidation of PUFAs are also implicated in several diseases including glaucoma.<sup>131</sup> Overall, it would therefore seem that membrane oxidation is a phenomenon that can take place *in vivo*. The insights gained from the *in vitro* studies presented herein might therefore apply to more complex settings.

One of the important lesson learned from the studies presented herein is that membrane oxidation can be exploited to increase permeation and lysis. A key issue related to membrane oxidation however is that of its impact on physiological cellular processes. Elevated levels of oxidation can lead to extensive cellular damage, including membrane rupture, and cell death. Consistent with this notion, delivery techniques such as PCI, electroporation, or delivery by gas plasma can be quite toxic to human cells. Similarly, photodynamic bacterial inactivation can be poorly selective and lead to undesirable human cell toxicity. In practice, this means that membrane oxidation should ideally be achieved at a level high enough to positively affect permeability while low enough to avoid deleterious physiological responses or unwanted side effects. A possible solution to these issues is emerging in the form of synergy between oxidants and membrane active peptides. As highlighted in several studies, multifunctional peptides can for instance direct oxidants to selected membranes and lead to targeted damage: e.g. leakage of endosomes without leakage of plasma membrane for delivery approaches,<sup>55, 56, 63</sup> lysis of bacteria without lysis of human cells for antibacterial killing.<sup>115</sup> The peptides then assist in the membrane permeation process.<sup>114</sup> This in turn reduces the amount of oxidation that is required to achieve delivery or killing and further promotes the selectivity of the process. Overall, these studies highlight the possibility of designing highly tunable, selective and efficient compounds that could achieve desirable outcomes with minimal side effects.

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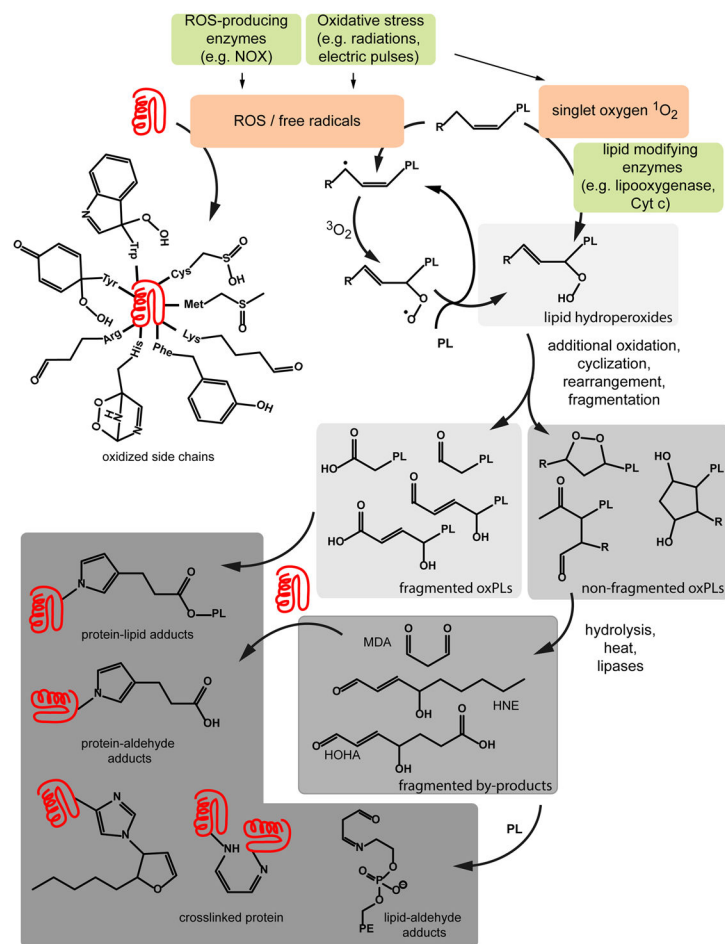
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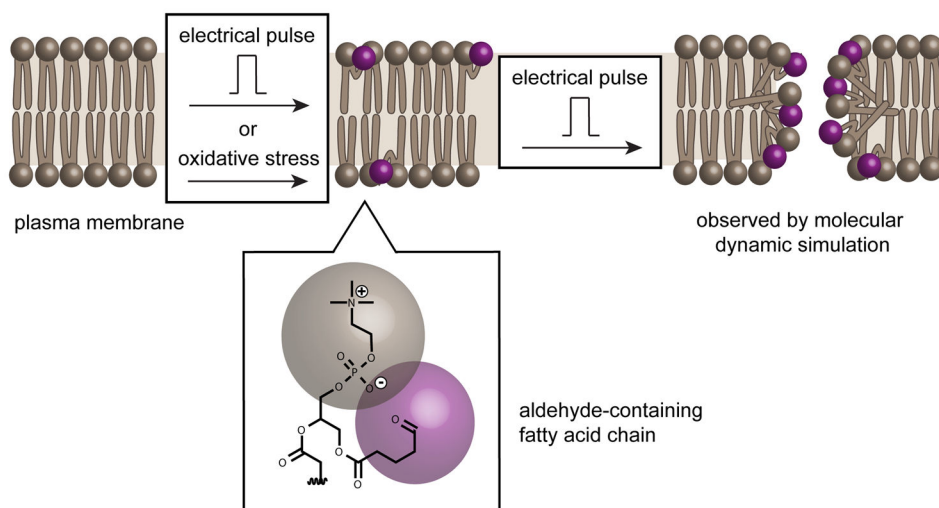
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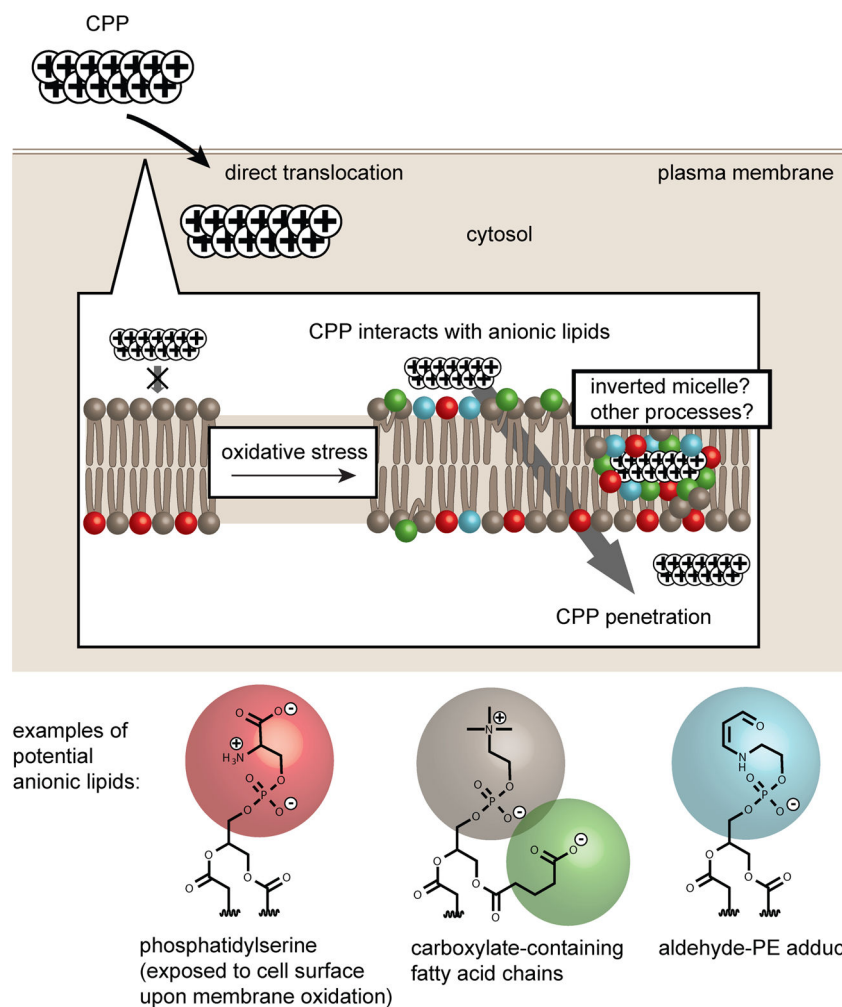
**Figure 1. Examples of the chemical reactions and lipid or protein products potentially generated during membrane oxidation**

ROS generated in the vicinity of the membrane can target the fatty acyl chain or the head group of phospholipids, or the side chains of membrane proteins leading to a plethora of new functionality in the bilayer. The most prominent reaction is the self-propagating peroxidation of lipid unsaturations (in the figure, the structure of phospholipids is simplified, with PL= phospholipid, R= a fatty acid chain, one fatty acid unsaturation is shown but several can be present, as in the case of PUFAs). The lipid hydroperoxides formed in this reaction can in turn generate cyclic, truncated, or fragmented oxidized lipids (OxPLs) (MDA, malonyldialdehyde; HNE, hydroxynonenal; HOHA, 4-hydroxy-7-oxo-5-heptenoic acid). Cellular nucleophiles, including protein side chains and the polar head of certain lipids (e.g. phosphatidyl ethanol amine, PE) can react with some of these oxidized products, further increasing the diversity and complexity of the species present.



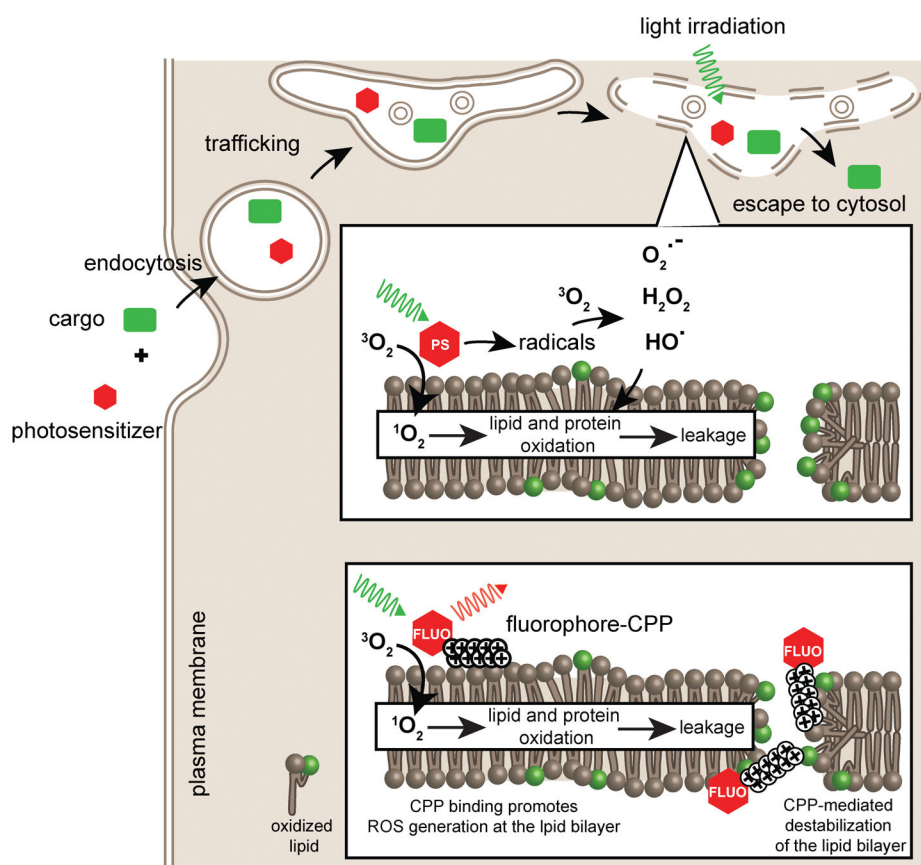
**Figure 2. Electroporation induces and targets oxidized lipids at the cell membrane**

Externally applied electric pulse as well as environmental oxidative stress can generate a variety of oxidized lipids, including aldehyde-containing species. Molecular dynamic simulations have suggested that additional electric pulsing leads these oxidized species to preferentially form pores within the lipid bilayer. Electroporation may therefore involve of a mechanism where oxidized lipids are both generated and directly utilized for permeation.



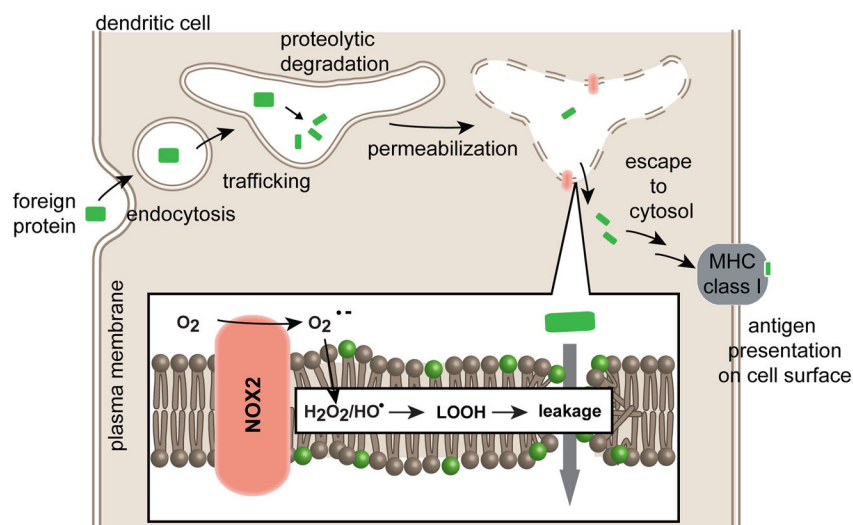
### Figure 3. Oxidation-mediated plasma membrane translocation of CPP

The CPP, rich in arginine residues, is cationic at physiological pH and is presented as a string of positive charges. In the absence of oxidative stress, the CPP displays no apparent membrane penetration. In contrast, oxidative stress leads to membrane damage and exposure of anionic lipids. This, in turn, enhances the recruitment of the peptide at the bilayer. The formation of inverted micelles between cationic CPP and the anionic lipids that may enable cell penetration has been speculated.<sup>46</sup> However, this mechanism has not been formally demonstrated and other processes may be involved. Examples of anionic oxidized lipids potentially involved in CPP recruitment and penetration are presented.



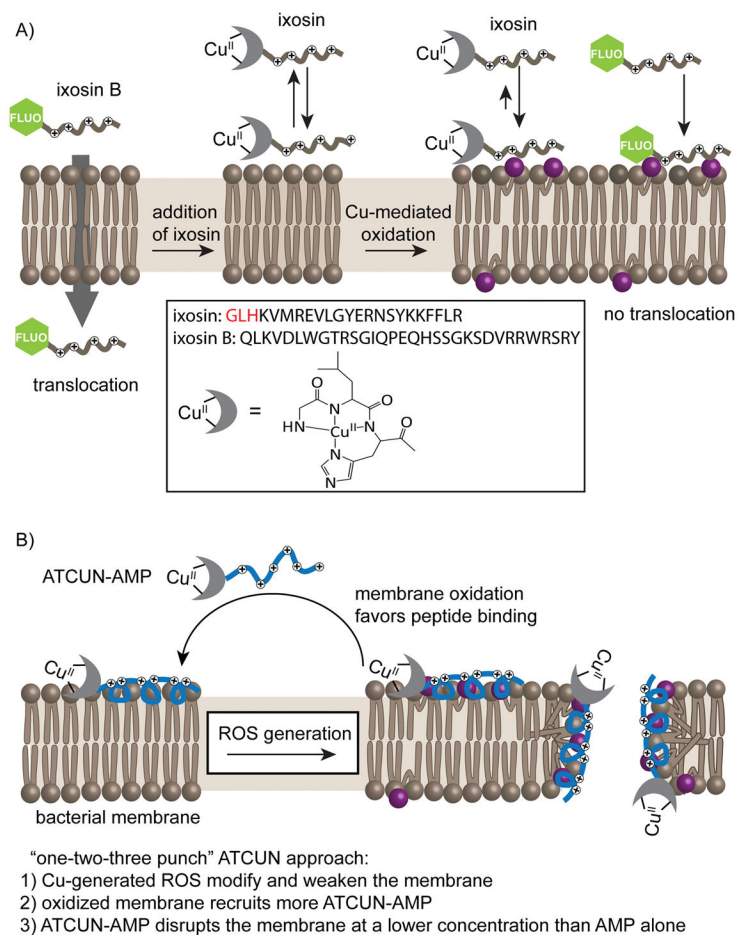
**Figure 4. Intracellular cargo delivery by PCI and light-triggered endosomal release**

A photosensitizer and a macromolecular cargo incubated with live cells through endocytic uptake followed by endosomal escape. Both the photosensitizer and cargo traffick along the endocytic pathway. Upon irradiating the photosensitizer, ROS are generated and the membrane of endocytic organelles is oxidized. Oxidation leads to membrane rupture and leakage of the cargo into the cytosol. To maximize the selective disruption of endosomal membranes (i.e. minimize cell death by plasma membrane disruption), the PCI process can be mediated by photosensitizers that are relatively hydrophilic or by fluorophore-CPP conjugates. In the latter case, the CPP is thought to target the ROS-generating fluorophore to the endosomal membrane and enhance the generation of ROS in the close vicinity of the lipid bilayer. In addition, the CPP interacts with oxidized species to facilitate membrane leakage.



**Figure 5. Cytosolic delivery of antigens in dendritic cells**

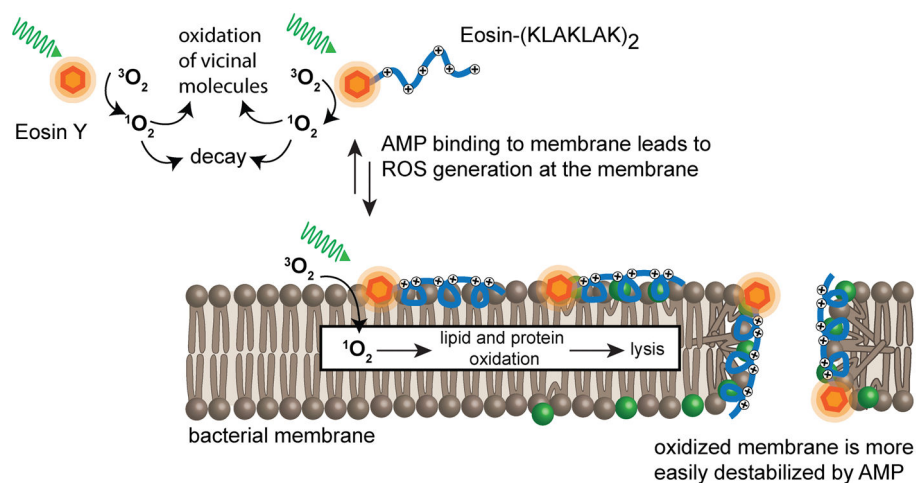
An exogenous protein is endocytosed by dendritic cells. The internalized protein is degraded by endosomal proteases. Upon activation, NOX2, a NADPH oxidase complex present at the membrane of endosomes, generates superoxide anion ( $O_2^{\bullet-}$ ). Hydrogen peroxide ( $H_2O_2$ ) and hydroxyl radical ( $\bullet OH$ ) are subsequently formed in the lumen of endosomes. These ROS lead to formation of lipid hydroperoxides (LOOH). Lipid peroxidation is propagated within the lipid bilayer and damage ultimately leads to membrane rupture and leakage of the protein fragments into the cytosol of cells. The intracellular protein fragments may be further degraded by the proteasome and are eventually exposed on the plasma membrane for presentation by MHC class I receptors.



**Figure 6. Inactivation of bacteria by ATCUN-AMP mediated membrane oxidation**

A) Model for the synergistic behavior between the tick salivary gland peptides ixosin and ixosin B. By itself, Ixosin B can cross *E. coli* outer and inner membranes and localize in the cytosolic space. However, when co-incubated with ixosin, ixosin B changes its localization and is observed to interact with the membrane. The change in localization is due to the oxidation of the bacterial membrane by ixosin, which contains a copper binding motif (shown in red in the peptide sequence) capable of generating oxidizing species. Overall, ixosin and ixosin B work in synergy to kill bacteria by membrane lysis. B) Appending Amino Terminal Copper and Nickel (ATCUN) binding motifs to AMP increases bactericidal activities. Once bound to copper ions, ATCUN-AMP produces reactive oxygen species that target the bacterial membrane. In turn, oxidation of the membrane causes the recruitment of more ATCUN-AMP, thereby generating a forward loop. Membrane lysis is then achieved by the combined effect of oxidized membrane components and of the AMP moiety. While the AMP can cause membrane lysis in the absence of oxidation, addition of the ATCUN sequence leads to a reduction in minimum inhibitory concentration.





**Figure 7. Bacterial photo-inactivation by an AMP-photosensitizer conjugate**

The hydrophilic photosensitizer eosin Y generates ROS, including singlet oxygen, when irradiated with green light. By itself, eosin Y is relatively innocuous because it does not associate with bacterial cell walls. In contrast, eosin Y conjugated to the antimicrobial peptide (KLAKLAK)<sub>2</sub> kills Gram negative and Gram positive bacteria effectively. The amphiphilic peptide moiety binds to bacterial membranes and promotes ROS generation in the vicinity of lipid bilayers. The bacterial membranes are destabilized by oxidation of membrane components and by action of the peptide. Overall, a synergistic relationship exists: the AMP renders the photosensitizer more effective at oxidizing membranes while the photosensitizer facilitates AMP-mediated membrane lysis.