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# Evaluation Overview for the Massachusetts Childhood Obesity Research Demonstration (MA-CORD) Project

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## **Abstract**

*Background:* The Massachusetts Childhood Obesity Research Demonstration (MA-CORD) project is a 2-year, multilevel, multisector community intervention to prevent and control obesity among children 2–12 years of age from two predominantly low-income communities in Massachusetts. MA-CORD includes evidence-based interventions in multiple sectors, including community health centers, early care and education centers, schools, afterschool programs, the Special Supplemental Nutrition Program for Women, Infants and Children, and the broader community. Currently, implementation of MA-CORD is complete and the final year of data collection is in progress. Here, the MA-CORD evaluation plan is described and baseline data are presented.

*Methods/Design:* The impact of MA-CORD on children's BMI, lifestyle behaviors, obesity-related care, and quality of life will be assessed using sector-specific, pre/post, time-series, and quasi-experimental designs. Change in the primary outcomes will be compared for intervention and comparison communities. Additionally, change in mean BMI and obesity prevalence in intervention school districts will be compared to similar districts throughout the state.

**Results:** At baseline in 2012, approximately 16% of preschool-aged and 25% of school-aged children were obese. Moreover, 15–40% of children consumed no vegetables on the previous day, 25–75% drank a sugar-sweetened beverage on the previous day, up to 87% had insufficient physical activity, 50–75% had a television in the room where they slept, and 50–80% obtained insufficient sleep.

**Conclusions:** There is ample room for improvement in BMI and health behaviors in children in MA-CORD communities. If successful, MA-CORD may serve as a model for multilevel, multisector approaches to childhood obesity prevention and control.

### Introduction

hildhood obesity is one of the most pressing public health challenges of the times. In response to the complex etiology of obesity, there have been repeated calls for multilevel, multisector approaches to prevention and control of obesity.<sup>1</sup> Such approaches are expected to have broad reach, thereby increasing their economic and social impact. A small number of studies have begun to provide an evidence base for multilevel, multisector whole-community interventions,<sup>2–6</sup> but there remains a need for empirical evidence for such approaches in diverse

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settings in the United States. Consequently, the CDC funded the development and implementation of the Childhood Obesity Research Demonstration (CORD) project.<sup>7</sup>

Guided by the obesity chronic care model<sup>8</sup> and targeting predominantly low-income children ages 2–12 years, CORD is one of the first large-scale, federally funded research efforts to integrate clinical and public health evidence-based approaches to promote healthy lifestyle behaviors and reduce rates of obesity among children.<sup>7</sup> CORD has field sites in Texas, California, and Massachusetts, with a fourth site serving as the evaluation center.<sup>9</sup> In contrast to the model of implementing a standardized intervention across multiple sites, a novel feature of CORD is that each site is encouraged to tailor the intervention to the needs of their specific community.<sup>9</sup> In addition, coordinated by the CORD Evaluation Center, intervention sites use a combination of site-specific and standardized cross-site measures.<sup>10</sup>

Complex community interventions for childhood obesity prevention are commonly evaluated using quasiexperimental designs with outcomes measured for consented individuals, often a select subset of the target population.<sup>2,3,6</sup> Less common is the use of existing electronic data.<sup>4</sup> Integrating existing data into the evaluation design has several advantages; it reduces selection bias, minimizes participant and community burden, and supports intervention sustainability through continued quality improvement. 11 The Massachusetts CORD project (MA-CORD) utilizes a novel evaluation design that integrates existing data across multiple sectors and supplements this with more in-depth information from a longitudinal cohort nested within the community. This article provides an overview of the MA-CORD evaluation plan and presents baseline data for the primary outcomes.

### Methods

# MA-CORD Interventions and the Participating Communities

The MA-CORD intervention design is outlined in detail by Taveras and colleagues. 12 The 2-year project is built on existing state-wide public health infrastructure provided by Mass in Motion. With funding from the Massachusetts Department of Public Health, Mass in Motion provided minigrants and technical assistance to cities in Massachusetts to support policy, systems, and environmental changes to promote wellness and healthy living. Additional features of Mass in Motion included an executive order requiring BMI surveillance in schools for children in grades 1, 4, 7, and 10 (beginning in 2009) and revisions to school nutrition standards. Through various sources, as many as 33 Mass in Motion programs were funded with programs covering 52 Massachusetts cities and towns, including the MA-CORD intervention and comparison communities, and reaching up to one third of the state's population. Although children may have been affected by Mass in Motion activities, the state-wide program did not focus on children. MA-CORD served to expand obesity prevention activities in select Mass in Motion communities, with an explicit focus on children 2–12 years of age.

The MA-CORD intervention communities (Fitchburg and New Bedford) were selected from the pool of Mass in Motion communities through an internal request for proposals. The comparison community, also participating in Mass in Motion, was matched as much as feasible with the intervention communities on key demographic characteristics (e.g., race/ethnicity and percentage of families at 100% poverty). To ensure that common clinical data were available for the evaluation, the intervention and comparison communities were required to have a federally qualified community health center that was willing to share clinical data with evaluators. All three communities received the same Mass in Motion annual budget (\$50K) to promote policy, systems, and environmental change locally; funds provided by MA-CORD were in addition to the original Mass in Motion funds.

The two intervention communities are small- to mid-size cities (population, 40,000-100,000) with predominantly non-Hispanic white ( $\sim 68\%$ ) and sizeable Hispanic (16–21%), populations (Table 1). The comparison community is a mid-size city (population, 106,000) whose residents are predominantly non-Hispanic white (53%) or Hispanic (17%). Mean income per capita is approximately \$22,000 in MA-CORD intervention and comparison communities, which is lower than the state average of \$35,000. Rates of poverty (23–27%) are approximately twice the rates noted in the state as a whole (12%).

Figure 1 illustrates the key features of the MA-CORD intervention and its evaluation. Between August 2012 and August 2014, evidence-based interventions were implemented in the federally qualified health centers, early care and education (ECE) centers, public elementary and middle schools, afterschool programs, and the Special Supplemental Nutrition Program for Women, Infants and Children (WIC) in the intervention communities. 12 Intervention activities<sup>12</sup> implemented in health centers include: (1) advanced training on clinical quality improvement and obesity prevention, assessment, and management through learning communities; (2) computerized, point-of-care decision support tools for clinicians through electronic health records (EHRs); (3) multidisciplinary weight management programs housed within the health center (i.e., healthy weight clinics); and (4) environmental changes within the health centers to support behavior change. Beyond these activities, community health workers acted as a link between primary care and other community resources.

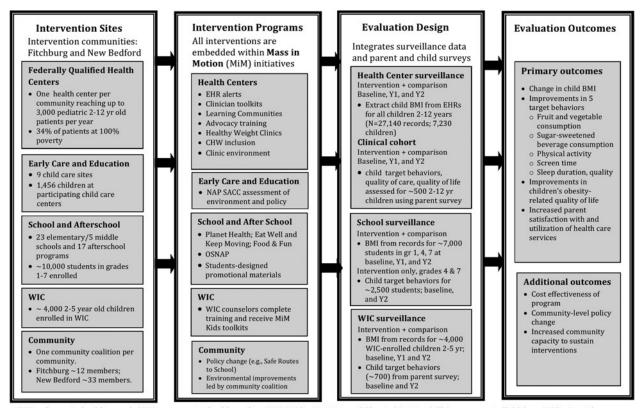
In ECECs, mentors were trained to provide support to staff to implement the Nutrition and Physical Activity Self-Assessment in Child Care protocol and establish and monitor action plans. <sup>13</sup> In WIC clinics, nutrition counselors received intervention toolkits and were trained in behavioral counseling specific to the MA-CORD target behaviors. In all public elementary and middle schools, teachers were trained in the implementation of evidence-based obesity prevention curricula. <sup>14,15</sup> Similar curricular,

Table I. Pre-Intervention Cland Control Communities										
Sociodemographic characteristics	Massachusetts	Fitchburg	New Bedford	Comparison community						
Total population <sup>a</sup>	6,436,940	40,514	94,502	106,519						
% White (any race) <sup>a</sup>	76.1	68.2	67.9	52.8						
% Black or African American (any race) <sup>a</sup>	6.0	1.1	5.2	6.0						
% Hispanic or Latino (any race) <sup>a</sup>	9.6	21.6	16.7	17.3						
Average per capita income <sup>b</sup>	\$35,485	\$22,949	\$21,343	\$23,793						
% Families with children whose incomes are less 100% or more of the Federal Poverty Level <sup>b</sup>	12.0	23.5	27.1	19.9						

<sup>&</sup>lt;sup>a</sup>2010 US Census.

policy, and environmental changes were implemented in afterschool programs. <sup>16,17</sup> Complementing these activities, students in schools and afterschool programs designed promotional materials—through video, posters, and song—to reflect their interpretation of the target behaviors. Finally,

community-level components of MA-CORD included policy change (e.g., Safe Routes to School), improvements in the food, beverage, and PA environments achieved through activities led by a local coalition in each community (e.g., working with local restaurants and grocery stores



EHR = electronic health record; CHW = community health worker; NAP SACC = Nutrition and Physical Activity Self-Assessment in Child Care; WIC = Special Supplemental Nutrition Program for Women, Infants, and Children; MiM= Mass in Motion; OSNAP = Out of School Nutrition and Physical Activity Initiative; Y1 = assessment at the end of year 1; Y2 = assessment at the end of year 2.

Figure 1. Summary of key intervention and evaluation components for the Massachusetts Childhood Obesity Research Demonstration (MA-CORD) project.

<sup>&</sup>lt;sup>b</sup>2008–2012 American Community Survey 5-year estimates.

MA-CORD, Massachusetts Childhood Obesity Research Demonstration project.

to improve the selection of fruits and vegetables) and the coordination of messaging systems designed to provide cross-sector support for the sector-specific programs.

### Evaluation Design

The evaluation of MA-CORD combines sector-specific, pre/post time-series and quasi-experimental designs, as shown in Figure 1 (column 3). With a focus on children ages 2–12 years, the primary outcomes include a reduction in mean child BMI and rates of child overweight and obesity along with five evidence-based behaviors that support obesity prevention and control in children, including increased consumption of fruits and vegetables and decreased consumption of sugar-sweetened beverages (SSBs), increased PA, decreased screen time, and improvements in sleep duration and quality. Additional outcomes, as specified by the grantor and outlined under the Children's Health Insurance Program Reauthorization Act of 2009, include quality of life and quality of care. Outcomes were measured at baseline and 1- and 2-years followup. In some instances, data for the primary outcomes will also be available 1–2 years preceding baseline.

A unique feature of the evaluation plan is the utilization of data from multiple surveillance systems, including EHRs, school records, and WIC records, coupled with supplemental data from families recruited through the health centers and WIC, as described below. This approach combines the strengths of internal validity gained from a within-person design with those of generalizability gained from the use of surveillance data. Because the evaluation utilizes pre-existing data systems, many of which are designed to collect data on virtually all individuals in a target population (*i.e.*, school records and EHR), the risk of bias from selective nonparticipation is reduced. In addition, this approach minimizes respondent and community burden.

Figure 2 summarizes the data streams utilized in the health centers, WIC, and schools, including whether data are drawn from surveillance systems (dark shading) or a subsample of the target population (light shading) and whether data are independent at each time point reflecting repeated cross-sections (square boxes) or linked over time indicating a longitudinal design (long boxes). Complementing Figure 2, Table 2 illustrates variability in the data available within and across sectors by outcome (BMI and behavioral outcomes), school grade, assessment year, and for the majority versus a subsample of the target population.

### Data Collection Procedures

All data collection procedures were approved by the institutional review board (IRB) at the Massachusetts

	Manageman	Data course and structure	Inte	erventi	ion Co	mmun	ities		Contro	l Com	munity	
	Measures	Data source and structure	-Y2	-Y1	В	Y1	Y2	-Y2	-Y1	В	Y1	Y2
Health Centers	ВМІ	De-identified data extracted from EHR and linked over time for all children 2-12 years attending the participating health centers. Includes BMI up to 2 years prior to baseline.					-					
Health	Target behaviors, obesity- related health care, quality of life	Subsample of children 2-12 years from health centers (clinical cohort). Responses on parent survey linked with BMI data extracted from EHR.		3.								
wic	ВМІ	De-identified BMI data extracted from WIC data system for children 2-5 years and linked over time. Includes BMI up to 2 years prior to baseline.										
	Target behaviors	Repeated cross sectional sub-samples of 2-5 year old WIC-enrolled children. Parents completed a self-report survey.										
sle	вмі	Rates of overweight and obesity by school district for all children in grades 1, 4 and 7; repeated cross sections from 1-2 prior to baseline to two years following baseline.							45			
Schools	Target behaviors	Repeated cross sections for all children in grades 4 and 7 enrolled in public schools (intervention schools only). Student survey responses linked with BMI from school records and de-identified.										

BMI = body mass index; EHR = electronic health record; WIC = Special Supplemental Nutrition Program for Women, Infants and Children;
-Y1, -Y2 = 1 and 2 years prior to baseline respectively; B = baseline; Y1, Y2 = 1 and 2 years after baseline respectively; Dark shading = Evaluation data drawn from an existing surveillance system and available for all age-eligible children; Light shading = data collected for subsample of age-eligible children;

Long boxes = Longitudinal data, linked at individual level over time; Square boxes = Repeated cross sections

Figure 2. Summary of data streams utilized in the MA-CORD evaluation.

		Intervention communities	communities	(A		Comparison community	community	
	<b>Prebaseline</b> <sup>a</sup>	Baseline	Year 1b	Year 2c	Prebaseline <sup>a</sup>	Baseline	Year 1b	Year 2c
Federally qualified health centers 2- to 12-year-old children (all) 2- to 12-year-old children (subsample)	BMI E	BMI →	BMI .	BMI BMI BMI BMI BMI BMI BMI BPH	₩ W A		BMI H Reb	BMI BMI+Beh
Palocular Schools								
First-grade students (all)	BMI	ВМІ	BM	ВМІ	ВМІ	ВМІ	ВМІ	ВМІ
Fourth-grade students (all)	BMI	BMI+Beh	ВМІ	BMI + Beh	ВМІ	BMI	ВМІ	BMI
Seventh-grade students (all)	BMI	BMI+Beh	BM	BMI+Beh	ВМІ	ВМІ	ВМІ	ВМІ
WIC								
2- to 5-year-old children (all)	₩	BMI	. BMI	■ BMI	↑ BMI ↑	BMI	BMI	₽
2- to 5-year-old children (subsample)		BMI + Beh		BMI+Beh		BMI+Beh		BMI+Beh

Arrow refers to longitudinal data (i.e., data linked for individuals over time).

<sup>a</sup>Prebaseline = 1–2 years preceding baseline.

 $^{\text{bY}}$ ear l=1 year after baseline.  $^{\text{cY}}$ ear 2=2 years after baseline (i.e., postintervention).

Aates of overweight and obesity by grade and gender and school district will be collected in the school sector; BMI is referenced in the table to streamline the presentation of information behavioral data (i.e., target behaviors); WIC, Special Supplemental Nutrition Program for Women, Infants and Children Department of Public Health. The Harvard School of Public Health and Massachusetts General Hospital for Children ceded IRB review to the Massachusetts Department of Public Health. A combination of passive and active consent procedures were used. Passive consent procedures were predominantly used when compiling deidentified data through existing surveillance systems. Active consent procedures were used when recruiting families for more-extensive measurement. To date, baseline and year 1 data collection are complete and year 2 data collection is in progress. Data extraction and cleaning for the health center and WIC electronic data (including prebaseline data), as described in greater detail below, is also in progress.

Federally qualified community health centers. In the federally qualified health centers, deidentified BMI data were extracted from EHRs for every child ages 2–12 years (~7000 children) who resided and sought medical care in the intervention or comparison communities during the 2-year intervention period. In addition, where possible, BMI data for up to 2 years preceding the intervention will be extracted from EHRs to form an extended baseline. Thus, up to 6 years of BMI measurements will be available for each child (Table 2; Fig. 2). Additional variables include gender, visit date, and reason for the visit.

To complement the EHR data, a cohort of 515 families with children ages 2–12 years (referred to as the clinical cohort) was recruited at baseline through the participating health centers in the intervention and comparison communities. Trained research assistants recruited parents onsite following a well-child visit or by phone. In cases where more than 1 child per family was eligible, 1 child was randomly selected. At baseline, year 1, and year 2, parents completed a brief survey assessing the child's target behaviors, obesity-related quality of care, and quality of life. Parents also reported which school or ECEC their child attended to permit linkages across sectors. Behavioral data will be linked with the child's BMI data from the EHR.

Special Supplemental Nutrition Program for Women, Infants and Children (WIC). Deidentified child BMI data were extracted from WIC records in the intervention and comparison communities for every child ages 2-5 years over the 2-year intervention period. When available, BMI data for up to 2 years preceding the intervention will be extracted along with these records. To complement these data, approximately 700 families with 2- to 5-year-old children enrolled in WIC were recruited at baseline; parents completed brief survey measuring their child's target behaviors. Parent responses will be linked with child BMI data from the WIC record system. A newly sampled group of families with age-eligible children are currently being recruited for the year 2 assessment. It was not feasible to recontact the original subsample of families to schedule follow-up. The behavioral data will not be linked over time and therefore will comprise two cross-sectional samples.

Schools. Massachusetts public schools are required to measure the heights and weights of all children in grades 1, 4, 7, and 10 on an annual basis and document rates of overweight and obesity by gender and grade. 18 Height is measured to the nearest eighth of an inch, and weight is measured to the nearest quarter pound and recorded on the Massachusetts School Health Record form. In the intervention communities, a modified BMI measurement procedure was implemented at baseline and will be repeated for the year 2 assessment (fall 2014). All fourth and seventh graders ( $\sim 2500$  students) from the intervention communities completed a self-report survey at the time of BMI measurement with oversight from a school nurse trained in survey administration procedures. The survey measures student demographic characteristics (age, gender, and race/ethnicity) and the five target behaviors. The BMI and survey data will be linked for each student through a common identification number, which cannot be traced back to the student (i.e., the data were deidentified). First-grade students did not complete the survey owing to concerns about their ability to report information accurately. The school nurse documented first-grade students' demographic information on the BMI assessment form using information from school records.

Aggregate district records will be used for the year 1 assessment. For the comparison community, overweight/obesity data for students in grades 1, 4, and 7 will be compiled from state and district records at each time point (baseline, year 1, and year 2). Overweight/obesity data will also be compiled from state records for a larger pool of comparison communities, as outlined in greater detail below. Given that state BMI mandates were introduced in 2009, school-based overweight/obesity data for all school districts in Massachusetts for the intervention period as well as up to 3 years preceding baseline (*i.e.*, 2009–2011) will be available.<sup>18</sup>

### Primary Outcome Measures

Table 3 summarizes the primary outcome measures by sector, along with the data sources and the timing of measurement. As previously noted, children's target behaviors were measured in the health centers and WIC based on parent report and in schools based on student self-report. The national CORD research team, including investigators from each site (Massachusetts, Texas, and California), the evaluation center, and the CDC adopted a consensus-driven approach to identify measures of the primary outcomes that would be utilized by all CORD sites as cross-site measures. For each outcome required by the legislative language, the CORD research team discussed, over 6-8 months, the best available evidence base, existing data against which results could be compared, whether the measure could be completed by children (10 years and older) in addition to parents, measures that each site were already using, and the feasibility of including the measure in CORD across multiple sectors and sites.

Body mass index. Children's height and weight were used to calculate child BMI (weight[kg]/height[m]<sup>2</sup>) and ageand sex-specific BMI percentiles. <sup>19,20</sup> In all sectors, children's standing height and weight were measured using a stadiometer and a Seca scale, respectively, by personnel trained in standardized BMI measurement procedures. Children were measured in a private setting, without shoes and hats, without heavy outer clothing (e.g., sweater), and after emptying their pockets of heavy objects (e.g., cell phones and iPods). Standard definitions of childhood overweight (BMI > 85th to < 95th percentile) and obesity ( $\geq$ 95th BMI percentile) were used. <sup>20</sup>

Dietary behaviors. Children's fruit, vegetable, SSB (i.e., regular nondiet sodas or soft drinks, including Malta, Penafiel, or Sumol, punch, Kool-Aid, Tampico, sports drinks, Goya juice, or other fruit-flavored drinks), and water consumption were assessed using six questions drawn from the School Physical Activity and Nutrition (SPAN) monitoring system. <sup>21,22</sup> The SPAN monitoring system was used in the The Child and Adolescent Trial for Cardiovascular Health (CATCH) trial<sup>23</sup> and has been validated for use by children in grade 4 and higher.<sup>21,22</sup> Questions examine the child's behavior *vesterday* (see example item in Table 2) and include a 4-point response scale (e.g., 0 = did not eat any vegetables, 1 = ate vegetables 1 time yesterday, 2 = atevegetables 2 times vesterday, and 3 = ate vegetables 3 or more times yesterday). Questions assessing the target behaviors match those used at the Texas (TX-CORD) and California (CA-CORD) CORD sites.<sup>10</sup>

Physical activity. In schools, children's recall of their PA was assessed using two items from the SPAN monitoring system. 21,22 Items assess the number of days during the last week the child (1) participated in at least 30 minutes of moderate-to-vigorous PA (MVPA) and (2) played outdoors for at least 30 minutes outside of school time. In the health centers and WIC, parents' recall of their child's PA was assessed using one item drawn from the Youth Risk Behavior Survey (YRBS); this question examines the number of days in the past 7 days the child was physically active for a total of at least 60 minutes. 24

Screen time. Children's weekly screen time was assessed in health centers, WIC, and schools using four questions focusing on time spent watching television/digital video discs (TV/DVDs) and time spent playing video games and computer games for a typical weekday and weekend day. In the health centers, time spent participating in Internet activities, such as social networking, e-mail, iPhone, or iPad applications (Apps) and YouTube (not including homework or games), were also measured. Additionally, the presence of a TV in the room where the child sleeps (yes/no) was assessed in health centers, WIC, and schools. Self-report surveys of screen time have been found to have moderate validity, compared with activity logs and 24-hour activity recalls. 14,25

			Intervention communities  B YI Y2		Comparison community			
Measures by sector	Example item	Source	В	ΥI	Y2	В	ΥI	Y2
Federally qualified health center	r							
Child BMI <sup>a</sup>	Measured height and weight	EHR extraction	Xa	X	X	Xa	X	X
Child diet Fruit, vegetables, SSB, 100% juice, water	Yesterday, did your child eat any vegetables?	Parent survey, SPAN	X	X	X	X	X	X
Child physical activity No. of days active for 60 minutes	During the past 7 days, on how many days was your child active for at least 60 minutes per day?	Parent survey, YRBS	X	X	×	X	X	X
Child screen time TV/DVDs, video games, Internet activities, TV where child sleeps	On a typical day in the past week, how much time did your child spend watching TV/DVDs? Is there a television in the room where your child sleeps?	Parent survey	×	X	X	X	X	Х
Child sleep hygiene Daily hours of sleep, usual bedtime, time child goes to bed on school days	In the past week, on average how much time did your child sleep during a usual 24-hour period?  Does your child have a regular bedtime?  What time does your child usually go to bed on a regular school day?	Parent survey, PSQ	X	Х	X	Х	Х	X
Child quality of care	Over the past year, when your child received healthcare, were you given a list of things your child could do to maintain a healthy weight?	Parent survey, PACIC	X	X	X	X	X	Х
Child quality of life	In the past month, how often has your child had a problem with being teased by other children?	Parent survey, PedsQL	X	X	X	Х	Х	X
WIC				•	+	•	•	
Child BMI	Measured height and weight	WIC records	Xa	Х	Х	Xa	Х	Х
Child diet Fruit, vegetables, SSB	Yesterday, did your child eat any vegetables?	Parent survey, SPAN	X		×	×		X
Child physical activity No. of days active for 60 minutes	During the past 7 days, on how many days was your child active for at least 60 minutes per day?	Parent survey, YRBS	X		X	X		X
Child screen time TV where child sleeps	Is there a television in the room where this child sleeps?	Parent survey	Х		X	X		X
Child sleep Daily hours of sleep	In the past week, on average how much time did your child sleep during a usual 24-hour period?	Parent survey, PSQ	X		X	X		X
Schools				-		-	-	
Child BMI <sup>a</sup>	Nurse measurement; grades 1, 4, and 7	Nurse assessment School databases	Xª	×	×	Xa	×	X
Child diet Fruit, vegetables, SSB, water	Yesterday, did you eat any vegetables?	Student survey	Х		×			
Child physical activity No. of days MVPA or outdoor play for 30 minutes	Last week, on what days did you exercise or take part in physical activity that made your heart beat fast or made you breathe hard for at least 30 minutes (over entire day)?	Student survey, SPAN	X		X			

Table 3. MA-CORD P	Table 3. MA-CORD Primary Outcomes by Sector continued									
				Intervention communities			mparis mmun			
Measures by sector	Example item	Source	В	ΥI	Y2	В	ΥI	Y2		
Child screen time TV/DVDs, video games, TV where child sleeps	On a typical day in the past week, how much time did you spend watching TV/DVDs?	Student survey	X		X					
Child sleep hygiene Time go to bed on usual weekday, time wake up the next morning	On a usual weekday this past week, when did you go to bed at night? When did you wake up the next morning?	Student survey	X		Х					

B=baseline; Y1=year I, or I year after baseline; Y2=year 2, or 2 years after baseline.

MA-CORD, Massachusetts Childhood Obesity Research Demonstration project; TV, television; DVDs, digital video discs; WIC, Special Supplemental Nutrition Program for Women, Infants and Children; EHR, electronic health record; PACIC, Patient Assessment of Chronic Illness Care survey; PedsQL, Pediatrics Quality of Life Inventory; PSQ, Pediatric Sleep Questionnaire; MVPA, moderate-to-vigorous physical activity; SPAN, School Physical Activity and Nutrition monitoring system; SSB, sugar-sweetened beverages; YRBS, Youth Risk Behavior Survey; BMI, body mass index.

Sleep duration. Children's sleep time was assessed in health centers and WIC using a question from the Pediatric Sleep Questionnaire (PSQ).<sup>26</sup> Responses were provided separately for weekdays and weekend days. Previous research supports the reliability and validity of the PSQ and its sensitivity to change in pediatric populations.<sup>26</sup> In health centers, whether or not the child has a regular bedtime and the time the child goes to bed on school days were also assessed. In the school sector, children's sleep time was measured using a simplified format: Children recalled the times they go to bed and wake up the next morning on a usual weekday (which are used to calculate total sleep time) and the number of days in the past week they felt they needed more sleep.

Quality of life. Children's health-related quality of life was assessed in the health centers using 13 items from the Pediatrics Quality of Life Scale (PedsQL), which has demonstrated reliability and validity and is sensitive to change. 27,28 Items assess the frequency over the past month that the child had problems: physical functioning (e.g., walking more than one block and participating in sports activity or exercise) and social functioning (e.g., getting along with other children or being teased by other children).

Quality of care. Children's quality of obesity-related care was assessed in the health centers using 8 modified questions from the Patient Assessment of Chronic Illness Care (PACIC).<sup>29</sup> Previous research supports the reliability and validity of the PACIC.<sup>29</sup>

# Proposed Analyses for the MA-CORD Outcome Evaluation

Following the collection and preparation of year 2 data, the impact of MA-CORD will be tested using a

combination of within-person, between-person, and group-level analyses. All analyses will control for differences in demographic factors as necessary. Our primary analysis will involve change in BMI.<sup>30,31</sup> Similar analyses will be performed for the target behaviors.

Within the health centers, repeated BMI measures will be available for all eligible children, including the clinical cohort at baseline, year 1, and year 2. With the individual as the unit of analysis, change in BMI for children in health centers in the intervention versus comparison communities will be compared using linear mixed models, where random effects are used to model the correlation of measurements from the same child. The parameter of interest is the interaction between intervention and time. Based on the enrollment of 2210 children ages 2–12 years in the intervention health centers and 3688 children enrolled in the comparison health center at baseline, there will be approximately 80% power to detect a change in BMI of 0.10 kg/m<sup>2</sup>. For the clinical cohort, based on 332 children enrolled in the cohort in the intervention communities and 183 children enrolled in the cohort in the comparison community at baseline, there will be approximately 80% power to detect a change in BMI of 0.35 kg/m<sup>2</sup>. In addition to examining change in BMI for the intervention versus comparison communities, it will be possible to assess links between change in the target behaviors and change in BMI in the clinical cohort along with effects of intervention exposure. Methods used to examine change in BMI for children enrolled in WIC in the intervention versus comparison communities will mimic those used for the health centers.

Within the school sector, repeated (baseline, year 1, and year 2) population cross-sections of child BMI will be available for all children in grades 1, 4, and 7 in the intervention communities and the comparison community. Using the school as the unit of analysis (measures for

<sup>&</sup>lt;sup>a</sup>Child BMI data are also available I-2 years preceding baseline.

grades 1, 4, and 7 within each school), linear regression models will be used to examine change in mean BMI for schools in the intervention versus comparison communities, adjusting for school-level covariates, such as race/ethnicity and the proportion of children eligible for the free and reduced cost school meals program. Similar models using logistic regression will be performed to assess change rates of childhood overweight and obesity. Given that the dependent variable is the change in mean BMI or percentage of children who are overweight or obese, there will be three measurements per school, so we will adjust for any clustering within schools.

Although the use of a comparison community addresses the potential concern that secular trends explain pre/post intervention changes in BMI, there is still the possibility that temporal trends could differ among communities for reasons other than the intervention. This issue will be examined using school overweight/obesity data available for all school districts in the state to conduct a third type of analysis. Up to 20 school districts in Massachusetts will be selected that are similar to the intervention and comparison school districts' school demographic characteristics, preintervention obesity levels, and trends in rates of obesity prior to the intervention. Comparison schools are also expected to meet the following criteria: at least 500 students in grades 1, 4, and 7; fewer than 60% non-Hispanic white students; school BMI assessment beginning 2012 or earlier; and 80% or more of students weighed and measured in 2012. Based on an initial review of district BMI data, at least 22 school districts meet these criteria and are eligible for consideration. Upon selecting the comparison districts, multivariable regressions will be used to determine whether the intervention communities performed better than the comparison communities in terms of change from pre- to postintervention.

As illustrated in Figure 2, a subset of children in the health centers and WIC as well as a subset of schools will have BMI measures for 1–2 years preceding baseline. The above analyses for each sector will be rerun, including prebaseline data, to evaluate whether the BMI time trend before the intervention is different from the BMI time trend after the intervention for the intervention versus comparison communities.

Should evidence of intervention effects be found, an attempt will be made to disentangle the source of such effects by focusing on the data collected at health centers using information on the location of each child's school and/or ECEC as measured on the parent survey. Using these links, site-specific information from schools and child care centers can be pulled into the analysis. Pending IRB approval, the locations of schools and ECECs for children enrolled in the respective health centers, but who are not included in the clinical cohort, will be determined using geocodes along with the school and child care responses. Given that parents' selection of schools and ECECs are heavily influenced by geographic proximity, these additional data should increase our ability to estimate sector-specific impacts of MA-CORD interventions.

Analysis of the Baseline Data

To set the stage for the final outcome evaluation, an overview of the baseline data for the primary outcomes in the health centers, WIC, and schools is presented below. To facilitate interpretation of the data, behavioral data are dichotomized in all sectors, even though continuous variables will also be used in the final analyses. The figures reported in Tables 4–6 reflect the percentage of participants who did not meet minimally sufficient recommendations for the target behavior. Such recommendations include: (1) consuming fruits, vegetables, and water at least once a day, consuming juice one or fewer times a day, and consuming no SSBs (soda plus fruit drink)<sup>32</sup>; (2) reporting 60<sup>33</sup> or 30 minutes<sup>34</sup> of MVPA each day; (3) reporting no more than 2 hours of total screen time per day and not having a TV in the room where the child sleeps<sup>35</sup>; and (4) reporting at least 10 (ages 6–12 years) or 11 (ages 2-5 years) hours of sleep per day.<sup>36</sup>

### Results

At baseline, approximately 23–25% of 2- to 12-year-old children enrolled in a participating health center in the MA-CORD communities were obese (Table 4). Among preschool-aged children enrolled in WIC, the baseline prevalence of obesity ranged between 12% and 17% across communities (Table 5). Obesity prevalence in school-aged children varied by school grade with 17–24% of first-grade children, 22–28% of fourth-grade children, and 22–30% of seventh-grade children in the MA-CORD communities classified as obese (Table 6). Overall, rates of obesity appeared to be slightly higher in the comparison community, compared with the intervention communities.

For the target behaviors, approximately 15% of preschool-aged children at baseline consumed no vegetables the previous day, 50% had a TV in the room where they slept, and 17–30% consumed an SSB (Table 5). Within the health clinics, approximately 35% of children consumed no vegetables the previous day, 50% consumed an SSB the previous day, 25% had insufficient PA, 60% had a TV in the room where they slept, and 60% had insufficient sleep (Table 4). Among school-aged children, approximately 40% consumed no vegetables and 70% consumed an SSB the previous day (Table 6). In addition, approximately 75% had a TV in the room where they slept, 85% had insufficient levels of PA, and 45–80% reported insufficient sleep with higher rates of insufficient sleep observed among seventh graders, compared with fourth graders.

#### Discussion

MA-CORD is a complex community intervention to prevent and control childhood obesity in two predominantly low-income cities in Massachusetts. The MA-CORD communities are at high risk of childhood obesity, with obesity rates at baseline being notably higher than those observed in the US population where approximately 17% of children

	Fitchburg % (N)	New Bedford % (N)	Intervention communities combined % (N)	Comparison community % (N)
All children 2–12 years attending health center	N=452	N=1758	N=2210	N=3688
Overweight (BMI 85th to < 95th percentile)	16.4 (74)	18.7 (329)	18.2 (402)	18.5 (682)
Obese (BMI ≥95th percentile)	26.6 (120)	25.7 (452)	25.8 (570)	23.5 (867)
Clinical cohort of children 2–12 years	N=93	N=240	N=333	N=182
Overweight (BMI 85th to < 95th percentile)	10.1 (9)	16.3 (39)	14.6 (49)	16.6 (30)
Obese (BMI ≥95th percentile)	28.1 (26)	29.6 (71)	29.2 (97)	25.4 (46)
Diet (frequency consumed yesterday)				
Vegetables (consuming none)	38.7 (36)	30.8 (74)	33.0 (110)	37.9 (69)
Fruit (consuming none)	30.1 (28)	19.6 (47)	22.5 (75)	24.2 (44)
100% juice (consuming > I)	32.3 (30)	38.3 (92)	36.6 (122)	35.7 (65)
SSB (soda and juice drinks; consuming $\geq 1$ )	55.0 (51)	43.3 (104)	46.5 (155)	50.0 (91)
Water (consuming none)	10.8 (10)	10.4 (25)	10.5 (35)	8.2 (15)
PA				
60 minutes of PA (reporting <7 days)	28.0 (26)	27.9 (67)	27.9 (93)	25.3 (46)
Screen time				
Average daily hours screen (>2 hours)	67.4 (63)	52.7 (127)	56.8 (189)	73.6 (134)
TV where child sleeps (with TV)	73.1 (68)	60.8 (146)	64.3 (214)	61.0 (111)
Sleep				
Insufficient sleep (< 11 hours/day for 2- to 5-year-olds, < 10 hours/day for 6- to 12-year-olds)	49.5 (46)	61.9 (149)	58.4 (195)	62.8 (114)

ages 2–19 years in 2011–2012 were obese.<sup>37</sup> The evaluation design integrates information across multiple communities, intervention levels, and sectors and takes advantage of both existing surveillance systems and new data collection. This permits the compellation of data for the primary outcomes for a substantial number of children ages 2–12 years from the intervention and comparison communities, including over 7000 children in the health centers, 7000 children in schools, and 4000 children enrolled in WIC.

Development and implementation of the evaluation plan capitalizes on the strengths of MA-CORD's interdisciplinary team with expertise in measurement and program evaluation in clinical, school, afterschool, and ECE settings. Intervention and evaluation strategies were informed by research previously undertaken by our research team. <sup>15,17,38,39</sup> Collaboration among researchers, the Massachusetts Department of Public Health, and community partners make such large-scale data collection possible. Supplemental grants enable additional assessments across

sectors, facilitating the collection of qualitative and quantitative data for process evaluations, which will be presented in follow-up publications. For example, as outlined by Chuang and colleagues, 40 a supplemental grant supported semistructured interviews with community stakeholders at baseline and year 1 to assess factors hypothesized to affect implementation effectiveness across multiple CORD sites based on an overarching implementation model.

MA-CORD and the broader CORD consortium are designed with sustainability in mind. Intervention activities are implemented by the community; thus, all key decisions about implementation are made within the community. The sustainability of MA-CORD's potential impact is further enhanced by policy and environmental changes across sectors (*e.g.*, development of policies in afterschool settings to limit screen time and improve nutritional standards for snacks), as well as changes in practice and systems that can be readily maintained (*e.g.*, integration into the EHR of computerized support tools and, in schools,

	Fitchburg % (N)	New Bedford % (N)	Intervention communities combined % (N)	Comparison community % (N)
All 2- to 5-year-old children	N=838	N=2037	N=2875	N=2381
Overweight (BMI 85th to <95th percentile)	17.4 (146)	17.3 (352)	17.3 (497)	11.0 (262)
Obese (BMI ≥95th percentile)	15.4 (129)	17.7 (361)	17.0 (489)	11.8 (281)
Subsample of 2- to 5-year-old children	N = 206	N=189	N=395	N=306
Overweight (BMI 85th to <95th percentile)	17.0 (35)	15.9 (30)	16.5 (65)	14.7 (45)
Obese (BMI ≥95th percentile)	17.5 (36)	16.9 (32)	17.2 (68)	10.5 (32)
Diet (frequency consumed yesterday)			,	
Vegetables (consuming none)	16.8 (35)	20.7 (39)	18.6 (74)	15.1 (46)
Fruit (consuming none)	10.0 (21)	10.4 (20)	10.2 (40)	6.0 (18)
100% juice (consuming > 1)	57.1 (118)	70.2 (133)	63.3 (250)	46.3 (142)
SSB (soda and juice drinks; consuming $\geq 1$ )	27.2 (56)	31.8 (60)	29.4 (116)	17.0 (52)
PA				
60 minutes of PA (reporting <7 days)	35.5 (73)	29.5 (56)	32.6 (129)	21.7 (66)
Screen time				
Average daily hours screen (reporting >2)	31.1 (64)	29.6 (56)	30.4 (120)	24.5 (75)
TV where child sleeps (with TV)	49.0 (101)	54.1 (102)	51.4 (203)	42.4 (130)
Sleep				
Insufficient sleep (< 11 hours/day)	50.8 (105)	53.0 (100)	51.8 (205)	23.4 (72)

WIC, Supplemental Program for Women, Infants, and Children; SSB, sugar-sweetened beverages; PA, physical activity; TV, television.

adoption of health education lessons easily incorporated into existing school curricula).

In the context of such a large study requiring consistent measures across multiple sectors and communities, the choice of assessment methods was constrained by participant burden, cost, and logistical considerations. Therefore, the assessment of behavioral outcomes relied largely on selfor parent-reported measures with evidence of validity and/or reliability, or which have been used in previous large-scale studies, in lieu of instruments that may be less prone to reporting biases. For instance, though 24-hour dietary recalls, diet records, or direct observation may have yielded complete and potentially more accurate estimates of diet, the costs of administering and coding such measures, along with the need to obtain administrative permission to conduct these measures during the school day, were prohibitive. Likewise, the use of accelerometry and actigraphy as primary measures of PA and sleep would have been less prone to social desirability bias and recall error, but were not feasible owing to financial or logistical barriers.

Identifying an appropriate comparison community presented a number of challenges. The two target communities are quite different, thus making it difficult to identify a single comparison community that matched both target communities. It was not feasible to include multiple comparison communities. In addition, to ensure that all MA-CORD communities had a similar level of infrastructure and community support for obesity prevention, the comparison community (as with the intervention communities) was required to be a Mass in Motion participant community. The result of this requirement is that it limited the pool of comparison communities and further limited the feasibility of identifying a well-matched comparison community. Baseline differences in the target outcomes for the intervention and comparison communities were expected. To the extent possible, these differences will be taken into account in the final analyses.

There is also uncertainty around the length of time required to detect clinically meaningful changes in BMI in the context of a community intervention. Relative to smaller, targeted interventions, the dose received by most participants in MA-CORD was likely to have been diffuse. As a result, 2 years may be insufficient to detect changes in child BMI. However, significant changes in adiposity have been detected previously in the context of a 2-year community intervention. Shape Up Somerville, a policy-focused

	Fitchburg	New Bedford	Intervention communities combined	Comparison community
	% (N)	% (N)	% (N)	% (N)
First-, fourth-, and seventh-grade students	N=1137	N=2974	N=4092	N=3022
Overweight (BMI 85th to <95th percentile)	16.8 (191)	18.8 (559)	18.3 (749)	15.8 (478)
First grade	15.1 (172)	18.2 (541)	17.5 (716)	13.5 (408)
Fourth grade	17.7 (201)	19.3 (574)	18.9 (773)	17.4 (526)
Seventh grade	18.1 (206)	19.1 (568)	18.8 (769)	16.9 (511)
Obese (BMI ≥95th percentile)	25.3 (288)	27.6 (821)	27.0 (1105)	20.0 (604)
First grade	20.6 (234)	24.6 (732)	23.6 (966)	16.8 (508)
Fourth grade	24.2 (275)	29.5 (877)	28.1 (1150)	22.1 (668)
Seventh grade	32.5 (370)	30.0 (892)	30.8 (1260)	21.5 (650)
Fourth- and seventh-grade students <sup>a</sup>	N=703	N=1753	N=2456	N/A
Diet (frequency consumed yesterday)				
Vegetables (consuming none)	35.9 (252)	41.8 (733)	40.1 (985)	N/A
Fruit (consuming none)	25.1 (175)	29.6 (519)	28.3 (695)	N/A
100% juice (consuming > I)	30.6 (215)	26.3 (461)	27.5 (675)	N/A
Juice drinks (consuming $\geq 1$ )	55.9 (393)	53.0 (929)	53.8 (1321)	N/A
SSB (soda and juice drinks; consuming $\geq 1$ )	74.1 (521)	70.8 (1241)	71.8 (1763)	N/A
Water (consuming none)	14.3 (101)	17.7 (310)	16.8 (413)	N/A
Physical activity				
30 minutes of MVPA (reporting <7 days)	86.2 (606)	87.8 (1539)	87.3 (2144)	N/A
30 minutes outdoors (reporting <7 days)	87.9	88.7	88.5	N/A
Screen time				
Daily hours screen (≥2 hours)	62.2	65.5	64.5	N/A
TV where child sleeps (with TV)	72.4	76.2	75.1	N/A
Sleep				
Insufficient sleep (<10 hours/day)	59.1	62.5	61.5	N/A
First grade	N/A	N/A	N/A	N/A
Fourth grade	38.7	50.5	47.4	N/A
Seventh grade	81.0	78.9	79.5	N/A

<sup>&</sup>lt;sup>a</sup>Sample size may vary owing to missing data.

intervention in diverse cities in Massachusetts, resulted in a 2-year reduction in BMIz of children in the intervention community (change in BMIz = -0.06; p < 0.01), compared to controls.<sup>3</sup> Moreover, recent research documents that approximately 80% of expected weight change resulting from a given behavioral change is observed within 2 years.<sup>41,42</sup> Finally, the use of existing surveillance systems for the evaluation of MA-CORD permits continued assessment of potential intervention impacts beyond two years.

### Conclusion

Despite their inherent challenges, multisector whole-community interventions hold tremendous promise for addressing childhood obesity and reaching underserved populations. Improvements in at least one measure of adiposity or population-level prevalence of childhood obesity have been observed in several multisector community interventions.<sup>2–6,43,44</sup> However, most of these interventions have taken place in outside the United States. MA-CORD is

SSB, sugar-sweetened beverage; MVPA, moderate-to-vigorous physical activity; TV, television; N/A, not available.

part of a consortium of demonstration projects that distinguish themselves from previous community interventions through their integrated focus on healthcare and clinical-community partnerships and their emphasis on sustainability. <sup>10</sup> If successful, MA-CORD and the broader CORD consortium will serve as a best practices model for accelerating progress in reversing childhood obesity.

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