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# Risk Factors for the Progression of Mild Cognitive Impairment to Dementia

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# Introduction

As identified in previously published articles, including those published in this issue, the detection of early cognitive impairment among older adult populations is worthy of diagnostic and clinical recognition. Several definitions and classifications have been applied to this form of cognitive impairment over time<sup>1–4</sup> including mild cognitive impairment (MCI),<sup>4–6</sup> cognitive impairment no dementia,<sup>7,8</sup> malignant senescent forgetfulness,<sup>9</sup> and age-associated cognitive decline.<sup>10</sup> Consistent with current usage this review uses the term MCI, and focuses on progression from MCI to dementia.

Prevalence estimates of MCI among populations of community-dwelling older adults are as high as 22% of those aged 71 years and older,<sup>11</sup> with prevalence rates among older adults cared for in memory care practices estimated at nearly 40%.<sup>12</sup> The likelihood of progression from MCI to any form of dementia has been suggested to occur at a rate 3 to 5 times higher than those with normal cognition,<sup>4,13–15</sup> with an annual rate of progression of 12% in the general population and up to 20% in populations at higher risk.<sup>11</sup> As a transitional stage of early cognitive impairment, much attention has been focused on the identification of modifiable and nonmodifiable risk factors to prevent or delay the progression of MCI to dementia.

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Several known risk factors for the development of dementias have been identified, including age, genetic characteristics, lower educational attainment, and various clinical characteristics.<sup>16–20</sup> Among those with MCI, several risk factors influencing the progression to dementia have been identified and are discussed in detail in this clinical review. Early studies aiming to prevent the onset of Alzheimer-type dementia among older adults with amnestic MCI have been reported, including a study using the only approved pharmacologic class in dementia, acetylcholinesterase inhibitors, or vitamin E. The investigators reported that acetylcholinesterase inhibitors did not delay the progression to Alzheimer-type dementia over 3 years, except in those who were carriers of an apolipoprotein  $\varepsilon 4$  (APOE  $\varepsilon 4$ ) allele.<sup>21</sup> A second study evaluating donepezil in reducing the progression of MCI did not show significant improvement in cognition with higher adverse events and dropouts, but did not evaluate for the presence of APOE alleles or the diagnosis of dementia as an outcome.<sup>22</sup> A second prevention study found that cognitive training did not delay the progression from MCI to dementia.<sup>23</sup> Additional studies evaluating potential methods to delay or reduce the likelihood of progression from MCI to dementia have generally found no evidence of support for nonsteroidal anti-inflammatory drugs (NSAIDs) or ginkgo biloba,<sup>24-26</sup> further limiting the potential therapeutic options.

To assist clinicians in understanding factors associated with the progression from MCI to dementia, this article reviews both markers of disease activity and clinical risk factors influencing the progression of MCI to dementia. Biomarkers and imaging characteristics have been extensively studied as pathologic indicators of neurologic disease. The authors first review the validity of biomarkers in monitoring the progression from MCI to dementia. Risk factors for the progression of MCI to dementia are then reviewed, and categorized as modifiable and nonmodifiable. Modifiable risk factors, such as cardiovascular risk factors, depression, or adverse drug effects, are defined as characteristics that, if manipulated (such as improved success at treatment goals), may modify the risk or rate of progression to dementia. Nonmodifiable risk factors are defined as risk factors that cannot be manipulated, such as demographic or genetic characteristics. Such categorization may provide a platform for future clinical interventions targeting modifiable risk factors to reduce the progression of MCI to dementia.

# **Markers of Disease Process**

#### Imaging

Progressive atrophy of the brain can be detected by structural magnetic resonance imaging (MRI), and has been shown to monitor the disease process in those developing Alzheimertype dementia.<sup>27–30</sup> Structural MRI has proven sensitivity in monitoring changes with aging, <sup>31–33</sup> and is also sensitive to the detection of a more rapid rate of change as seen when cognitive symptoms progress to dementia.<sup>34</sup> The patterns of change within various regions of the cortex have been shown to have predictive value for progressive cognitive impairment and Alzheimer-type dementia among those with MCI.<sup>35–37</sup> A small study of Italian older adults with MCI found that atrophy of the medial temporal lobe identified on MRI was a better predictor of the progression from MCI to any form of dementia than were baseline neuropsychiatric assessments and demographic characteristics.<sup>38</sup>

White matter lesions (WML) are recognized as areas of higher signal intensity on MRI and are common in older adults, with higher frequencies among those with vascular risk factors. <sup>39–41</sup> Compared with healthy controls, these lesions are progressively more extensive among those with MCI and both vascular and Alzheimer-type dementia.<sup>42,43</sup> A higher severity of lesions has also been identified in those with worse cognitive impairment reported by neuropsychiatric assessment in comparison with healthy controls.<sup>41,44</sup> A recent study by Devine and colleagues<sup>45</sup> evaluated the WML severity and the time to conversion to any form of dementia among a small group of older adults with MCI receiving care in a memory clinic in the United Kingdom. The investigators found no relationship between WML severity and the time to progression to dementia. Age and subtype of dementia were the only independent variables associated with a shorter time to conversion to dementia, noting that more advanced age and the amnestic subtype of MCI progressed to dementia sooner. As Alzheimer-type dementia was the primary type of dementia diagnosed in this group (62%), this result appears to be consistent with a recent review by Debette and Markus<sup>46</sup> suggesting that WML reflect cardiovascular disease burden and are more consistently associated with an increased risk of the progression to vascular or mixed dementias, but not Alzheimer-type dementia. However, a recent article by Brickman and colleagues<sup>47</sup> shows an association between white matter hyperintensities and incident Alzheimer-type dementia in a population without MCI at baseline. The conflicting evidence underscores a lack of accepted opinion in this matter. In addition, a study by Bombois and colleagues<sup>48</sup> also supports the association of imaging with vascular and mixed dementia, noting that increasing amounts of subcortical hyperintensities were only associated with vascular or mixed dementias.

#### **Central and Peripheral Biomarkers**

Cerebrospinal fluid (CSF) biomarkers, focusing on detection of Tau proteins and amyloid- $\beta$  (A $\beta$ ) proteins, have also been studied as predictors of the progression of MCI to Alzheimertype dementia.<sup>49–52</sup> Because of their predictive value, structural MRI and CSF biomarkers have been used as outcome measures to increase trial power and reduce the sample-size burden.<sup>53,54</sup> In addition, biomarkers have been incorporated into recently released recommendations from the National Institute on Aging and the Alzheimer's Association for the recognition of MCI and stratification into diagnostic subtypes of MCI that better describe the risk of progression to Alzheimer-type dementias.<sup>55</sup>

Time to progression of MCI has been evaluated by CSF biomarkers and MRI results in 91 Dutch older adults with MCI who progressed to Alzheimer-type dementia.<sup>56</sup> Predictors of time to progression of MCI to Alzheimer-type dementia included only atrophy of medial temporal lobe (hazard ratio [HR] 2.6, 95% confidence interval [CI] 1.1–6.1; P= .03), whereas APOE e4 genotype and A $\beta_{1-42}$  were not associated with time to progression to Alzheimer-type dementia. This result suggests that markers of brain injury (such as Tau proteins or imaging results suggesting progressive neurologic damage), but not pathologic markers (such as A $\beta_{1-42}$ ), may better predict the time to progression to Alzheimer-type dementia among older adults with MCI.<sup>56</sup>

Peripheral biomarkers have also been considered, given the challenges in acquiring CSF samples and translating CSF studies to the general population. To date the evaluation of

peripheral markers, including various species of cytokines,<sup>57–59</sup> A $\beta$  and other serum proteins, <sup>60–68</sup> and APOE  $\varepsilon$  isoforms,<sup>69</sup> have not identified clear and reproducible peripheral biomarkers associated with an increased risk of Alzheimer-type dementia. Llano and colleagues<sup>70</sup> recently published on the use of data from the Alzheimer's Disease Neuroimaging (ADNI) project to identify 5 plasma analytes with modest predictive value in differentiating subjects with Alzheimer-type dementia from normal controls (range of sensitivity and specificity 74%–85%). No signature panel was able to adequately predict the progression from MCI to Alzheimer-type dementia within this ADNI population. The investigators recognize that the signature panels have insufficient predictive value independently, but theorize that these groups of markers may have value in the future as part of a multicomponent predictive tool.

A recent study by Choo and colleagues<sup>71</sup> pursued the hypothesis that combinations of biomarkers and imaging results would improve the prediction of progression to Alzheimertype dementia among Swedish older adults with a diagnosis of MCI. After performing a stepwise multivariate logistic regression analysis for the outcome of Alzheimer-type dementia only, the investigators reported parietal glucose metabolic rate and total Tau proteins predicted the progression from MCI to Alzheimer-type dementia. Although APOE genotype, a known predictor for dementia, did predict progression in univariate models, it did not add further predictive capability to the 2-predictor model in this cohort.

# Nonmodifiable Risk Factors

# **Genetic Characteristics**

Long recognized as a risk factor for Alzheimer-type dementia and even MCI, the APOE £4 allele has emerged as the most consistent genetic risk factor for the progression of MCI to Alzheimer-type dementia.<sup>72</sup> A meta-analysis published in 2011 included data from 35 studies with more than 6000 subjects to evaluate this and other genetic risk factors.<sup>73</sup> The meta-analysis reports that among those with MCI, carriers of any APOE £4 allele are more than 2 times as likely to progress to Alzheimer-type dementia (Table 1). Homozygotes for the APOE £4 allele had a 4-fold higher risk of progressing to Alzheimer-type dementia compared with noncarriers. Of note, this review did not include results from the Nun study, which did not find an association between APOE status and the outcome of any dementia.<sup>74</sup> Although the risk of Alzheimer-type dementia was found to be higher, the clinical utility of exclusively using APOE genotype to predict progression to dementia was attenuated by a low sensitivity and specificity (0.53 and 0.67, respectively).<sup>73</sup>

Rodriguez-Rodriguez and colleagues<sup>75</sup> studied the link between 8 genetic variants with the risk of progression to Alzheimer-type dementia or with the speed of progression to Alzheimer-type dementia. Among 297 patients seen in a Spanish neurology clinic with a diagnosis of MCI, only 2 genetic variants had an impact on the progression to Alzheimer-type dementia. As expected, the APOE  $\varepsilon$ 4 allele more than quadrupled the risk of progression to Alzheimer-type dementia (*P*<.001). Carriers of the clusterin (CLU) T-allele had a lower risk of conversion to Alzheimer-type dementia than noncarriers of the T-allele. With respect to speed of progression, APOE was the only individual genetic predictor of a more rapid progression (HR 1.77, 95% CI 1.05–2.97; *P*=.030). In addition, the investigators

reported that carriers of at least 6 non-APOE genetic variants had a 2-fold increased risk of more rapid progression to Alzheimer-type dementia.

#### Subtype of MCI

The National Institute on Aging and Alzheimer's Association recently released recommendations for the stratification of MCI categories in the context of the likelihood of progression to Alzheimer-type dementia.<sup>55</sup> Categorization into such groups is based on neuroimaging (such as atrophy of medial temporal lobe) and biomarkers (such as Tau proteins and  $A\beta_{1-42}$ ). Four categories are proposed: those with high likelihood to progress to Alzheimer-type dementia, intermediate likelihood, unlikely, and a core group with conflicting results (Table 2). Two studies validated these categories using different populations enrolled either in the ADNI study<sup>76</sup> or the Alzheimer's Disease Research Center or Mayo Clinic Study on Aging cohorts.<sup>77</sup> Guo and colleagues<sup>76</sup> found a higher risk of progression to Alzheimer-type dementia when the high-risk group was compared with the core group (HR 2.3, 95% CI 1.4–3.9; P = .002). Jack and colleagues<sup>77</sup> used the criteria to classify preclinical disease (among those with normal cognition), to improve the understanding of the disease process and promote the optimal intervention point to minimize future disease progression. However, because categorical definitions for early disease processes and MCI subtypes has been recently released, the majority of categorization schemes have defined MCI subtypes as amnestic or nonamnestic and single-domain or multi-domain characteristics.

A subtype of MCI was suggested to identify the possible neurologic abnormality to describe the progression to different dementias in a study of older adults with MCI cared for in a California Alzheimer disease center.<sup>13</sup> After a mean follow-up time of 3 years, 65% progressed to any form of dementia; those with amnestic forms of MCI were more likely to progress to Alzheimer-type dementia, whereas nonamnestic forms were more likely to progress to vascular or frontotemporal dementia. Similarly, in a population of 60 Italian older adults followed for a mean of 4 years, any type of MCI increased the risk for any dementia (HR 3.02, 95% CI 1.86–4.89) as well as Alzheimer-type dementia (HR 3.21, 95% CI 1.77–5.81), but not vascular dementia. This risk appeared to be attributable to the risk of amnestic MCI in the progression to any dementia (see Table 1); and, interestingly, the risk of progression to any dementia was no different among those with nonamnestic MCI and those without MCI. However, it must be noted that the sample size with nonamnestic MCI was too small to draw conclusions.<sup>78</sup>

Zhou and colleagues<sup>79</sup> recently highlighted predictors of progression to dementia among the ADNI cohort with MCI by focusing on demographic, genetic, imaging, and cognitive assessments conducted at baseline. The investigators conducted Cox proportional hazard regression models to identify variables increasing the risk of progression to dementia, and further characterized these risks into survival trees. Stepwise Cox regression analysis determined the Alzheimer's Disease Assessment Scale with 13 items (ADAS13) and logical memory with delayed recall as the 2 tests most frequently identifying abnormal results. Alzheimer-type dementia developed in the entire cohort at a rate of 5.6% at 12 months and 58.1% at 48 months. Considering a combination of baseline ADAS13 scores greater than

15.67 and Clinical Dementia Rating scale sum of boxes (CDR-sob) scores greater than 1.5 yielded an incident Alzheimer-type dementia rate of 12.9% at 12 months and 92.7% at 48 months. Using the ADAS13 as the first categorization method, the strength of this predictive risk stratification was similar when either baseline battery tests or imaging measures of cortical thickness of the right inferior temporal lobe were used, all with a similar c-index of 0.68. Although a promising lead, it must be restated that the population included in the ADNI cohort may have limited translation to populations cared for in many clinical settings.

In an alternative and complementary approach, Koepsell and Monsell<sup>80</sup> pursued this research question with the objective of identifying predictors of the reversion from MCI to normal cognitive function. The investigators used a cohort of approximately 3000 subjects from the National Alzheimer's Coordinating Center who were at least 65 years old and diagnosed with MCI. After a follow-up period of approximately 1 year, 5 risk factors predicting reversion from MCI to normal cognition were identified: higher baseline Mini-Mental State Examination (MMSE) scores, lower CDR-sob scores, fewer functional impairments, nonamnestic single-domain subtype of MCI, and a lack of APOE e4 genotype. Of note, these results did not incorporate clinical factors such as burden of vascular disease, and categorized normal cognition as either normal or "impaired but not MCI" as the outcome measure, stating that categorization across multiple centers used each term with variable frequencies. However, the investigators also identified a trend among those patients with MCI who reverted to normal cognitive function that suggests they were more likely to transition back to MCI or to dementia than those cognitively normal at baseline.<sup>80</sup>

# **Modifiable Risk Factors**

#### **Comorbidity and Other Clinical Characteristics**

Chronic comorbid disease such as coronary heart disease and hypertension are common in older adults who develop cognitive impairment,<sup>81,82</sup> often requiring the use of several medications to reach therapeutic goals. It has been documented that ambulatory older adults use an average of 11 medications per day and that 74% combine prescription medications and dietary supplements.<sup>83,84</sup> Incident cognitive impairment has been suggested to be less likely in those using medications to control for vascular risk factors<sup>85–89</sup>; however, other medications may result in adverse cognitive outcomes, such as MCI, and represent a potentially reversible risk factor.

A descriptive analysis of a population with cognitive impairment (either MCI or dementia) from the Cache County study suggests that those with cognitive impairment have a more severe burden of comorbid disease than those without cognitive impairment.<sup>90</sup> Vascular disease has been considered the most likely comorbidity to cause a more rapid progression of cognitive impairment. One study involving approximately 500 older adults from a Midwestern Alzheimer disease center evaluated risk factors for progression from MCI to dementia. Using a Markov chain model to assess the transition from one cognitive state to another, the investigators did not find an influence of demographic, genetic, or education on the progression to dementia, but rather found that a baseline history of hypertension reduced the likelihood of progression to dementia. This population was largely Caucasian and

educated, possibly indicating a higher likelihood of adherence to medications to control hypertension and reduce the burden of vascular disease.

Investigators from the Italian Longitudinal Study in Aging focused an analysis of their population-based database on the relationship of metabolic syndrome with the progression of MCI to dementia.<sup>91</sup> Metabolic syndrome is recognized by the presence of at least 3 of the following features: abdominal obesity (measured by waist circumference), elevated plasma triglyceride levels of at least 150 mg/dL, low high-density lipoprotein cholesterol (<40 mg/dL for men and <50 mg/dL for women), high blood pressure ( 130/ 85 mm Hg), or use of antihypertensive treatment. The study observed approximately 2100 patients over a mean of 3.5 years, only 121 of whom had MCI. Multivariate models adjusting for several potential demographic and cardiovascular confounders revealed a higher risk of progression to any dementia among those with metabolic syndrome. Within this same population, interestingly no relationship between metabolic syndrome and incident MCI was identified.

A prospective study of 257 Chinese older adults with MCI was conducted to identify risk factors for the progression of cognitive decline (evaluated by MMSE score), progression from MCI to any dementia, and progression from MCI to Alzheimer-type dementia.<sup>92</sup> The investigators considered vascular risk factors of diabetes, hypertension, hyperlipidemia, and tobacco and alcohol use over a 3-year observation period. MRI and computed tomographic angiography (CTA) were used to identify changes in white matter and degree of cerebral arteriostenosis. Considering all clinical variables and imaging results, diabetes, baseline severity of white matter change, and carotid stenosis were robust predictors for each outcome, including any dementia and Alzheimer-type dementia. The investigators evaluated the impact of antihypertensive use and oral hypoglycemic or insulin use, and found no association with the progression to dementia.<sup>92</sup> Such results suggest that vascular risk factors resulting in chronic cerebral hypoperfusion have a negative impact on cognitive function and may advance the progression to any form of dementia.

A similar study conducted in an Italian population of community-dwelling older adults with a diagnosis of MCI followed 245 subjects over approximately 2.5 years to evaluate the impact of vascular diseases on the progression of MCI to dementia.93 Vascular diseases were recognized by the Hachinski Ischemic Score and the Framing-ham Stroke Risk Profile. The Hachinksi score is a marker of existing cerebrovascular disease, whereas the Framingham Stroke Risk Profile is a marker of future cerebrovascular disease risk. The investigators also considered the presence of APOE ɛ4, smoking status, and the presence of WML as covariates in the analysis. The results suggest that among the 52% of subjects who progressed to dementia during the observation period, no individual clinical factor or vascular summary score was associated with an increased risk of progression to dementia. However, the combination of Hachinski scores greater than 4 with presence of WML near the basal ganglia increased the risk of progression to any form of dementia as well as to Alzheimer-type dementia (HR 3.8, 95% CI 1.2–11.5) after adjusting for age, sex, education, cumulative illness, MMSE, MCI subtype, and APOE genotype. Framingham Stroke Risk Profile was not associated with an increased risk of progression to dementia, either alone or in combination with imaging results.

Other notable studies have evaluated the role of vascular risk factors on the development of dementia, with conflicting results. Results from some observational studies have produced similar results that show no association between individual risk of cardiovascular disease and incident dementia, <sup>13,94–96</sup> whereas others have suggested vascular risk factors may independently increase the risk of progression to dementia.<sup>97–99</sup> In 2004 DeCarli and colleagues<sup>95</sup> reported from a cohort of older adults with MCI and calculated vascular risk through a composite score as the sum of vascular risk factors (up to 6). The investigators also defined Alzheimer-type dementia as a progression from a CDR-sob score of 0.5 to 1.0 or greater. Although limitations in the population as well as the methods are noted, no association between baseline vascular risk factors and progression to Alzheimer-type dementia was identified.

A Swedish longitudinal study on aging reported on the impact of diabetes and pre-diabetes on the progression from MCI to dementia. Approximately 300 subjects with MCI at baseline were followed for a mean of 3 years. After controlling for age, sex, education, body mass index, genotype, and vascular disorders, the risk of progression to any dementia (see Table 1) and Alzheimer-type dementia was increased by a diagnosis of diabetes and prediabetes (HR 4.22, 95% CI1.57–9.01). Kaplan-Meier survival analysis suggested that diabetes accelerated the time to progression to dementia by an average of 3.2 years. Of note, no risk of incident MCI was identified in older adults with diabetes and normal cognition at baseline.<sup>98</sup>

A recent observational study conducted in a Chinese population over 5 years suggests that vascular risk factors increase the progression of MCI to Alzheimer-type dementia.<sup>99</sup> The investigators report that vascular risk factors (including hypertension, diabetes, cerebrovascular disease, and hypercholesterolemia) additively increase the risk of progression to Alzheimer-type dementia, and that treatment of vascular disease was associated with a reduction in the risk of conversion to dementia. Although medication adherence and clinical treatment targets were not assessed, this study gives strong support to interventions aimed at managing vascular risk factors in those with MCI as a method to reduce the progression to dementia. Further support for the treatment of vascular disease in reducing the neuropathology of Alzheimer-type dementia was published in 2009 by Hoffman and colleagues, who used autopsy evidence to show fewer neuritic plaques and neurofibrillary tangles in those with hypertension who received antihypertensive medication than in those not receiving antihypertensive medication.<sup>22</sup>

Contrasting such results, a secondary analysis of the Ginkgo Evaluation in Memory Study described the role of statins on the progression from MCI to dementia in this observational study.<sup>100</sup> Among those with a baseline diagnosis of MCI, statins were found to have no influence on the progression from MCI to all-cause or Alzheimer-type dementia, although statins were shown to reduce incident dementia in the cohort that was cognitively normal at baseline (HR 0.79, 95% CI 0.65–0.96). Though a promising result for incident dementia, this analysis was conducted with self-reported measures of medication exposure, suggesting this association requires further study with more accurate measures of medication exposure as well as control of disease state.

#### **Neuropsychiatric Symptoms**

Neuropsychiatric symptoms have been reported in up to 30% of older adults with a diagnosis of MCI.<sup>101</sup> Because neuropsychiatric symptoms have been associated with a worse prognosis in dementia,<sup>102</sup> the relationship between neuropsychiatric symptoms and the risk for progression of MCI to dementia was assessed in a population enrolled from Cache County, Utah.<sup>103</sup> A cohort of 230 older adults diagnosed with MCI was evaluated for predictors of progression to any dementia using a cognitive and neuropsychiatric battery (MMSE, Modified MMSE, CDR, and the Neuropsychiatric Inventory [NPI]). In multivariate regression models controlling for age, education, and APOE status, nighttime behaviors predicted progression to all-cause dementia (HR 1.28, 95% CI 1.08–1.52) and hallucinations predicted progression only to vascular dementia, not all-cause or Alzheimer-type dementia (HR 10.1, 95% CI1.1–91.1). Overall scores on the NPI suggest that even a minor severity of neuropsychiatric symptoms increases the risk of progression to any dementia (HR 1.65, 95% CI 1.01–2.69). Despite the relatively small sample size, this study suggests that further attention to and possibly specific interventions targeting those with neuropsychiatric symptoms may reduce the progression of MCI to dementia.

Other psychiatric symptoms have been evaluated as potential risk factors for the progression of MCI to dementia. Prior work has identified depression and anxiety as 2 risk factors increasing such progression.<sup>104–106</sup> Two of these studies present conflicting results on the role of depression in the progression of MCI. Whereas both studies assessed depression and anxiety with an outcome of Alzheimer-type dementia in populations with amnestic or multidomain MCI, Palmer and colleagues<sup>106</sup> suggest that depression alone does not increase the risk of progression to dementia except in those with normal cognition, whereas Modrego and Ferrandez<sup>105</sup> report that the risk of progression is nearly 2-fold in those with depressive symptoms. The 2 studies used different tools to identify depression symptoms, with Modrego using the Geriatric Depression Scale and Palmer identifying symptoms through the Comprehensive Psychopathological Rating Scale.<sup>105,106</sup> Controversially, the recent study by Peters and colleagues<sup>103</sup> described earlier does not suggest that either depression or anxiety, assessed as domains in the NPI, influenced the progression of MCI to dementia. It must be borne in mind that depression and anxiety assessments in the NPI are single-item responses, in comparison with other screening tools assessing 15 to 30 items. However, when Peters and colleagues<sup>103</sup> grouped mood-related domains of depression, anxiety, apathy, and irritability together, no impact on disease progression was revealed. Of note, a recent study by Richard and colleagues<sup>104</sup> found that apathy, but not depressive symptoms, increased the risk of progression from MCI to Alzheimer-type dementia.

The combination of consistent antidepressant treatment (flexible regimen) with or without donepezil in older adults with major depression was recently evaluated. In the cohort with MCI at baseline, adding donepezil to the antidepressant regimen reduced the likelihood of progression to dementia over the 2-year study period; however, this group was also more likely to experience a recurrence of major depression (44% vs 12%, likelihood ratio 4.91; P = .03).<sup>107</sup> The investigators appropriately concluded that the potential benefits of adjuvant donepezil and antidepressant therapy require further study, and strong consideration of the risks in the face of the potential benefits.

Risk stratification based on factors identified in observational studies may offer an opportunity to focus interventions on reducing the risk of incident dementia in those patients at highest risk. As described earlier,<sup>79</sup> existing databases of cohorts with MCI have been used to predict the transition to dementia. Fig. 1 summarizes the risk factors previously identified to modify the progression from MCI to normal cognition, mixed or vascular dementia, or Alzheimer-type dementia. As a starting point, these results represent promising methods of identifying those populations at highest risk; however, the limitations of such cohorts from the existing literature, often composed of participants involved in research activity, may not represent the population encountered in many clinical environments and reduces the clinical applicability of such results. Similarly, no study has yet focused on interventions such as control of cardiovascular risk factors specifically in those with MCI, making risk-stratification methods applicable to only research environments. To date, studies evaluating the use of acetylcholinesterase inhibitors, NSAIDs, and ginkgo biloba have not yielded results promoting their routine use in clinical practice among those with MCI.

Further evidence is necessary to clarify the impact of comorbid disease, specifically related to the level of disease control, on the progression of MCI to dementia. As noted earlier, there has recently been a significant amount of activity toward understanding the role of comorbid disease on the progression of cognitive impairment. Findings that the treatment of comorbid vascular disease may reduce the likelihood of progression to dementia are promising<sup>22,99</sup>; however, no consideration of the intensity of treatment such as target blood pressure or hemoglobin  $A_{1c}$  has been considered. Considering the complexity of medication management and adherence in this population, especially among those experiencing difficulty in executive function, this issue of disease-state control should be pursued with careful attention to risks and benefits of medications. Appropriate methods for assessing medication adherence and monitoring plans that frequently address potential adverse events should be established by clinicians in attempting to control multiple comorbidities in this frail older adult population.

Lastly, although associations between modifiable risk factors, such as hypertension and diabetes, have been suggested, little evidence in the identification of potentially relevant, nonvascular disease states has been pursued, such as oxygen deprivation states of asthma/ chronic obstructive pulmonary disease, and severe heart failure. Similarly, certain medications thought to temporarily or permanently impair cognitive function, including anticholinergics, benzodiazepines, and possibly statins, are poorly represented in the existing literature.

# Summary

Several risk factors for the progression of cognitive impairment in those diagnosed with MCI have been considered. The variability in results found in the existing literature arises in part from the heterogeneity in populations studied, subtypes of MCI considered, and dementia outcomes evaluated as study end points. Risk factors identified to increase the risk of Alzheimer disease pathology include the presence of deep WML combined with

Hachinski Ischemic Scores greater than 4, the presence of APOE £4 and absence of CLU genotypes, presence of more than 6 genetic markers for Alzheimer-type dementia, amnestic subtype of MCI, psychiatric symptoms of anxiety, depression, or apathy, and presence of diabetes or prediabetes. Risk factors increasing the risk of mixed or vascular dementias include carotid stenosis, diabetes (or prediabetes), depression, low blood pressure, and atrial fibrillation.

From a researcher's perspective, this information is of value as it may improve population selection, design of the intervention, and definition of the outcomes of interest in the quest to minimize the progression of MCI to dementia. Clinicians, patients, and the health care system value this information because it permits a more informed conversation about disease prevention, clinical treatment, and advanced care planning. The risk factors identified thus far represent modifiable and nonmodifiable variables to be incorporated into both research and clinical practice as possible targets for prevention and treatment of progressive cognitive impairment. Given the recognition of common comorbid conditions such as hypertension and diabetes as potentially modifiable risk factors, future management of prevention strategies for the progression of MCI to dementia is likely to fall into the hands of primary care and general practice physicians and mid-level providers.

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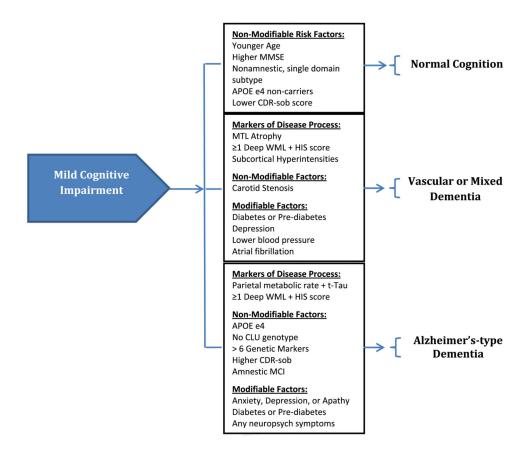
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# **Key Points**

- Medial temporal lobe atrophy, total Tau proteins, and  $A\beta_{1-42}$  have been used as markers of disease processes that improve the identification of preclinical cognitive impairment.
- Modifiable risk factors, such as hypertension, diabetes, and depression, represent potential areas for therapeutic interventions to minimize the progression of mild cognitive impairment (MCI).
- Future interventions targeting cardiovascular and other clinical interventions must consider the complexities of medication management in attempts to reduce the progression of MCI to dementia.



# Fig. 1.

Risk factors for progression of MCI to normal cognition, vascular or mixed dementia, or Alzheimer-type dementia. APOE, apolipoprotein; CDR-sob, Clinical Dementia Rating scale sum of boxes; CLU, clusterin; HIS, Hachinski Ischemic Score; MMSE, Mini-Mental Status Examination; MCI, mild cognitive impairment; MTL, medial temporal lobe; t-Tau, total Tau protein from cerebrospinal fluid; WML, white matter lesions.

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Factors modifying the progression from MCI to any form of dementia reported in at least one published study

Authors, <sup>Ref</sup> Year	Sample Size and Cohort Definition	Variable	Outcome Definition	Hazard Ratio (95% CI)	Comment
Genetics					
Elias-Sonnenschein et al. 73 2011	Meta-analysis of 35 studies including >6000 subjects	APOE £4	Alzheimer-type dementia	2.29 (1.88–2.80)	Progression to Alzheimer-type dementia in homozygotes: 3.94 (2.09–7.33)
Rodriguez-Rodriguez et al. <sup>75</sup> 2012	288 Spanish older adults with MCI	APOE ε4, CLU	Alzheimer-type dementia	APOE e4: 4.56 (2.23–9.38) CLU: 0.25 (0.07–0.84)	Carriers of at least 6 genetic risk factors increased the risk of more rapid progression (HR 1.89, 95% CI 1.01–3.56)
Tyas et al, <sup>74</sup> 2007	470 subjects from the Nun study	APOE e4	Any dementia	1.12 (0.60–2.08)	Age was the only predictor for the progression of MCI to dementia
MCI Subtype					
Ravaglia et al, <sup>78</sup> 2008	60 Italian older adults with MCI; 27 with at least memory-domain MCI	MCI with at least memory impairment	Any dementia or Alzheimer- type dementia	Any: 4.78 (2.83–8.07) Alzheimer: 5.92 (3.30– 10.91)	No difference in progression to dementia between those with nonamnestic MCI and those without MCI
Zhou et al, $^{79}$ 2012	397 older adults with MCI from the ADNI cohort	ADAS13 and CDR-sob scores	Alzheimer-type dementia	6.9 (4.3–11.0)	High-risk groups included those with ADAS13 >15.67 and CDR-sob >1.5
Koepsell and Monsell, <sup>80</sup> 2012	3020 American older adults with MCI	Nonamnestic single domain, MMSE and CDR scores, and FAQ score	Reversion to normal cognition	Nonamnestic single domain: 1.75 (1.29–2.38) MMSE: 1.21 (1.12–1.30) CDR-sob: 0.66 (0.57–0.77) FAQ 1: 0.72 (0.56–0.94)	After 1 year of follow-up, 16% reverted to normal cognition and 20% progressed to dementia. Categorical comparison groups were amnestic single-domain, FAQ score of 0, and APOE e4 noncarriers
Yaffe et al. <sup>13</sup> 2006	305 American older adults with MCI	MCI subtype: reference group was annestic MCI	Any dementia	Single, nonamnestic: 0.60 (0.35-1.05) Multidomain MCI: 0.71 (0.44-1.14)	MCI subtype predicted dementia type: amnestic MCI more likely to develop Alzheimer-type dementia; single nonamnestic MCI predicted FTD
Comorbidity					
Solfrizzi et al, <sup>91</sup> 2011	121 Italian older adults	Metabolic syndrome	Any dementia	7.80 (1.29–47.20)	Metabolic syndrome did not increase risk of incident MCI
Li et al <sup>,92</sup> 2012	257 Chinese older adults with MCI	MRI, CTA, and clinical characteristics	Any dementia	Diabetes: 2.39 (1.07–5.33) WMC: 0.06 (0.02–0.20) Carotid stenosis: 159.06 (4.57–5537.67)	Similar risk of progression to Alzheimer-type dementia

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Campbell et al.

Authors, <sup>Ref.</sup> Year	Sample Size and Cohort Definition	Variable	Outcome Definition	Hazard Ratio (95% CI)	Comment
Clerici et al, <sup>93</sup> 2012	245 Italian older adults receiving care in a memory disorders clinic	MRI and clinical characteristics	Any or Alzheimer-type dementias	Combination of 1 deep WML and HIS 4: 3.5 (1.6– 7.4)	Similar result for the association with Alzheimer-type dementia
Xu et al <sup>,98</sup> 2010	302 Swedish older adults with MCI	Diabetes or prediabetes	Any or Alzheimer-type dementias	3.89 (1.69–8.32)	Similar risk of Alzheimer-type as with any dementia. Markers of disease control not considered
DeCarli et al, <sup>95</sup> 2004	52 American adults with MCI visiting a memory clinic	Vascular risk factors	Alzheimer-type dementia (by CDR-sob score)	Not significantly different, no HR reported	Poor memory and executive function increased the risk of progression to Alzheimer-type dementia
Li et al, <sup>99</sup> 2011	837 Chinese older adults with MCI	Vascular risk factors	Alzheimer-type dementia	Treatment reduced Alzheimer dementia	Treatment of more risk factors reduced the risk more than treatment of fewer risk factors
Ravaglia et al. <sup>94</sup> 2006	165 Italian older adults with MCI	Vascular risk factors	Any dementia	Diastolic blood pressure: 0.52 (0.32–0.84) Atrial fibrillation: 4.94 (1.89– 12.88)	After adjusting for confounders, neither nor hypertension
Bettermann et al, <sup>100</sup> 2012	American older adults with MCI	Statin use	All-cause and Alzheimer- type dementia	No difference between users and nonusers	Statin use reduced the risk of incident dementia in cognitively normal subjects
Neuropsychiatric Symptoms					
Richard et al, <sup>108</sup> 2012	320 older adults from Manhattan, NY, USA	CES-D 4	Any dementia	1.8 (1.0–3.1)	Nonsignificant increase in risk of Alzheimer-type dementia
Richard et al. <sup>104</sup> 2012	397 older adults from ADNI	GDS-15—3-item Apathy score	Alzheimer-type dementia	1.85 (1.09–3.15)	Effect only significant in those without depression. A GDS score of 6 was an exclusion for the ADNI study
Modrego and Ferrandez, <sup>105</sup> 2004	45 Italian older adults with MCI	Depression (GDS and structured interview)	Alzheimer-type dementia	2.6 (1.8–3.6)	
Palmer et al, <sup>106</sup> 2007	47 Swedish older adults with MCI	Comprehensive Psychopathological Rating Scale	Alzheimer-type dementia	1.8 (1.2–2.7)	Depressed mood increased risk of progression to Alzheimer in cognitively normal subjects
Reynolds et al. <sup>107</sup> 2011	57 American older adults with MCI and depression	Antidepressants with or without donepezil	Any dementia	NR; Reduced rate of progression to dementia (10% vs 33%)	Higher risk of recurrent depression (likelihood ratio: $4.91$ ; $P = .03$ )
Abbreviations: ADAS13, Alzheimer's Disease Assessment boxes, CES-D, Center for Epidemiological Studies Depres frontotemporal dementia; GDS, Global Deterioration Scale	timer's Disease Assessment, smiological Studies Depress Global Deterioration Scale;	Abbreviations: ADAS13, Alzheimer's Disease Assessment Scale with 13 items; ADNI, Alzheimer's Disease Neuroimaging project; APOE, apolipoprotein; CDR-sob, Clinical Dementia Rating scale sum of boxes; CES-D, Center for Epidemiological Studies Depression; CI, confidence interval; CLU, clusterin; CTA, computed tomographic angiography; FAQ, Functional Activities Questionnaire; FTD, frontotemporal dementia; GDS, Global Deterioration Scale; HIS, Hachinski Ischemic Score; MCI, mild cognitive impairment; MMSE, Mini-Mental Status Examination; MRI, magnetic resonance imaging;	Disease Neuroimaging project: / erin; CTA, computed tomographi mild cognitive impairment; MMS	APOE, apolipoprotein; CDR-sob, c angiography; FAQ, Functional J E, Mini-Mental Status Examinati	Clinical Dementia Rating scale sum of Activities Questionnaire; FTD, ion; MRI, magnetic resonance imaging;

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MTL, medial temporal lobe; NR, not reported; WML, white matter lesions.

Table 2
Risk stratification of MCI subtypes as defined by the NIA-AA

		Biomarker Indicator	
Core Criteria: Apply to All	MCI Subtype	Neuronal Injury Result	Imaging Result
1. Complaint of change in cognitive function	MCI Unlikely	Negative	Negative
	MCI Core	Untested/indeterminate	Untested/indeterminate
2. Impairment in more than 1 cognitive domain	MCI Intermediate	Untested/indeterminate	Positive
		Positive	Untested/indeterminate
3. No decline in function	MCI High	Positive	Positive
4. Not dementia			

Abbreviations: MCI, mild cognitive impairment; NIA-AA, National Institute on Aging-Alzheimer's Association.

Adapted from Albert MS, DeKosky ST, Dickson D, et al. The diagnosis of mild cognitive impairment due to Alzheimer's disease: recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. Alzheimers Dement 2011;7(3):270–9.