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# Predicting protein-protein interactions of concentrated antibody solutions using dilute solution data and coarse-grained molecular models

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#### Abstract

Protein-protein interactions for solutions of an IgG1 molecule were quantified using static light scattering (SLS) measurements from low to high protein concentrations ( $c_2$ ). SLS was used to determine second osmotic virial coefficients ( $B_{22}$ ) at low  $c_2$ , and excess Rayleigh profiles ( $R^{ex}/K$  vs  $c_2$ ) and zero-q structure factors ( $S_{q=0}$ ) as a function of  $c_2$  at higher  $c_2$  for a series of conditions (pH, sucrose concentration, and total ionic strength (*TIS*)). Repulsive (attractive) interactions were observed at low *TIS* (high *TIS*) for pH 5 and 6.5, with increasing repulsions when 5% w/w sucrose was also present. Previously developed and refined coarse-grained (CG) antibody models were used to fit model parameters from  $B_{22}$  vs *TIS* data. The resulting parameters from low- $c_2$  conditions were used as the sole input to multi-protein Monte Carlo simulations to predict high- $c_2$   $R^{ex}/K$  and  $S_{q=0}$  behavior up to 150 g/L. Experimental results at high- $c_2$  conditions were quantitatively predicted by the simulations for the CG models that treated antibody molecules as either 6 or 12 (sub)domains, which preserved the basic shape of a monoclonal antibody. Finally, preferential accumulation of sucrose around the protein surface was identified *via* high-precision density measurements, which self-consistently explained the simulation and experimental SLS results.

#### INTRODUCTION

The limited stability of protein solutions currently requires extensive experimental evaluation of solution properties and quality attributes during the development stages of biopharmaceuticals.<sup>1–6</sup> For instance, physicochemical properties and key quality attributes such as protein solubility and aggregation, and solution opalescence and viscosity are

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difficult to predict for highly concentrated protein solutions (upwards of 100 g/L).<sup>2,7–9</sup> Such high-concentration conditions have been increasingly targeted for monoclonal antibody (MAb) solutions when developing self-injectable products.<sup>2,4</sup> Protein physicochemical properties are sensitive to changes in solution formulation conditions such as pH, ion concentration and chemical identity, and the presence of other excipients. They also depend on protein identity (sequence, structure, binding affinity, etc.), storage temperature, and mechanical stresses (shaking, stirring, etc.).<sup>2–11</sup> This leads to an exceedingly large experimental space to be contend with during the development stages of any MAb, or more generally any protein product. It would be beneficial to have molecular- and/or sequence-based models that could reduce the formulation space by predicting at least a subset of these properties while minimizing the amount of experimental data needed as inputs.

So called "colloidal" or "weak" protein-protein interactions have been shown to correlate, in some cases, with protein phase separation, opalescence, aggregation rates, and elevated viscosities.<sup>3,7,8,12</sup> These interactions can be characterized experimentally using laser scattering (LS), analytical ultracentrifugation, small-angle neutron and/or x-ray scattering (SANS and SAXS, respectively), and osmometry.<sup>3,12–18</sup> Each of these methods allows one to measure second osmotic virial coefficients  $(B_{22})$  as a function of solution formulation, but this interaction parameter is limited to dilute conditions (typically below  $\sim 10$  g/L). Recent work has highlighted that changes in protein-protein interactions as a function of protein concentration  $(c_2)$  can be quantified using at least a subset of these experimental techniques. <sup>3,7,12,19</sup> Static light scattering (SLS) experiments allow one to directly measure protein interactions as a function of c<sub>2</sub> up to high concentrations, in the form of Kirkwood-Buff (KB) integrals, particularly the protein-protein KB integral,  $G_{22}$ .<sup>3,12,20</sup> This is also effectively the same as the static structure factor at the zero-q limit  $(S_{q=0})$ .<sup>20</sup> SLS provides  $S_{\alpha=0}$  and  $B_{22}$  values more easily and/or with greater accessibility or higher throughput compared to other techniques. This makes SLS an attractive method to experimentally quantify protein-protein interactions for a range of solution formulations (see also, Methods).<sup>3,12,21</sup>

Colloidal or "weak" protein-protein interactions arise from three main contributions: steric repulsions (*i.e.*, excluded volume effects); short-ranged non-electrostatic attractions such as van der Waals interactions and hydration/solvation effects; and long- or short-ranged electrostatic attractions and repulsions.<sup>12,22,23</sup> The balance between these forces is mediated by the solution formulation and the protein of interest.<sup>2,3,6,21,24–27</sup> While these forces are relevant at both low- and high- $c_2$  conditions, the average distance between protein molecules at higher  $c_2$  is necessarily much smaller than at low  $c_2$ . As the distance-dependence of each of the forces listed above is different, it is expected that greatly changing  $c_2$  can considerably affect the balance of these forces. <sup>12,21,23,28,29</sup> Additionally, most simplified molecular models, such as coarse-grained (CG) models, treat the solvent implicitly. This may lead to incorrect estimations of inter-protein forces when the average distance between protein molecules is of the order of a few water (hydration) layers (~1 nm).<sup>30–33</sup> Previous work with colloidal models applied to globular proteins showed that protein interactions at high  $c_2$  can be predicted accurately from only low- $c_2$  behavior under certain solution conditions.<sup>12,34</sup> However, that approach was tested only for the case of a simpler model protein, and it has

Previous work often has used a colloidal description of inter-particle interactions to describe protein solutions.<sup>12,22,23,34</sup> For instance, CG models with implicit solvent were developed to self-consistently capture physical volume and excluded volume effects of MAb solutions.<sup>23</sup> Such models were also developed to at least qualitatively capture trends in protein-protein interactions at low- and high- $c_2$  conditions.<sup>12,23</sup> Although simplified descriptions of MAbs in solution have been historically employed as a minimalist approach, the validity of using CG models for protein solutions has been raised in some cases.<sup>12,22,23,34</sup> This arises from the complex structural nature of antibodies, compared to traditional colloidal particles, and the fact that protein-protein interactions are potentially strongly influenced by factors such as pH, total ionic strength (*TIS*), added excipients, protein concentration ( $c_2$ ), protein sequence, and the three-dimensional structure and heterogeneous surface chemistry of proteins. Recent examples raised the question of whether purely CG descriptions of protein solutions.<sup>12</sup>

The present work considers the challenge of using CG molecular models to predict experimental protein interactions (via excess Rayleigh scattering) for highly concentrated antibody solutions using simple structural/sequence information and limited low- $c_2$ experimental data as the only model inputs. The excess Rayleigh profiles ( $R^{ex}/K$  vs  $c_2$ ) of an IgG1 protein were experimentally determined as a function of pH, TIS and sucrose content.  $B_{22}$  values were determined from low- $c_2$  experiments and used to parameterize a previously developed CG antibody model as a function of pH, in terms of only two model parameters. These parameters capture the balance between short-ranged non-electrostatic attractions and the ratio of the true and theoretical charges on the domains of an IgG1 molecule at a given pH, without prior knowledge of the high-c behavior. Experimental high- $c_2 R^{ex}/K$  results were then predicted using the low- $c_2$  parameters using multi-protein Monte Carlo simulations, which included upwards of 500 MAb molecules interacting simultaneously. The results are discussed from both qualitative and quantitative perspectives, highlighting strengths and weaknesses of the approach for net-repulsive and net-attractive conditions. Finally, partial specific volume measurements and protein-excipient KB integrals were used to rationalize the effect of adding sucrose to the protein solution from the perspective of preferential solute and solvent interactions, protein-protein interactions, and the model parameters determined from experimental and simulated  $B_{22}$  data.

#### MATERIAL AND METHODS

#### Sample preparation

Sodium acetate buffer stock solutions were prepared by dissolving glacial acetic acid (Fisher Scientific) in deionized water (MilliQ, Millipore-Sigma) to reach 10 mM acetic acid, and titrated to pH 5.1  $\pm$  0.05 (termed pH 5 below) using a 5 M sodium hydroxide solution (Fisher Scientific). Similarly, 10 mM histidine buffer stock solutions were prepared by dissolving histidine hydrochloride (Sigma) in deionized water and titrating to pH 6.5  $\pm$  0.05 (termed pH 6.5 below). A stock IgG1 solution was provided by Bristol-Myers Squibb at a

using 10 kDa molecular weight cutoff (MWCO) Spectra/Por dialysis membrane (Spectrum Laboratories, Rancho Dominguez, CA) with the desired buffer using four 12-hr buffer exchanges at 4 °C to remove any undesired solutes from the original protein solution.

Excipient stock solutions were prepared by dissolving sucrose (HPLC grade, Sigma) and/or NaCl (Fisher Scientific) in 10 mM buffer solutions (acetate for pH 5 and histidine for pH 6.5) to obtain final solutions of 30% w/w sucrose and/or 1.3 M NaCl. Those solutions were titrated to the respective pH with small volumes of a 5 M sodium hydroxide solution. Final protein solutions were prepared gravimetrically by combining (1) protein stock solution, (2) pH-adjusted buffer, (3) excipient stock solution with a matched buffer. The proportions of (1), (2), and (3) were selected to achieve a constant excipient concentration and pH as specified in Table 1. This was done for a series of increasing  $c_2$  values every 0.25 g/L up to a maximum of 1.5 g/L (for density measurements) or every 1 g/L up to a maximum of 10 g/L (for low- $c_2$  SLS measurements) to ensure sufficiently dilute protein conditions.<sup>3,12</sup>

For  $c_2$ -conditions above 10 g/L, concentrated protein stock solutions were prepared through membrane centrifugation at ~ 3200 RCF using 10 kDa MWCO Amicon-Ultra centrifugal tubes (Millipore-Sigma) and two buffer exchange steps. A pH shift was observed as the protein solution was concentrated from ~35 g/L to ~160 g/L, therefore starting pH values of 4.3 and 5.9 were selected for the dialysis with resulting pH values of  $5.06 \pm 0.05$  and  $6.49 \pm 0.05$ , respectively, for final 160 g/L solutions after centrifugation with two buffer exchange steps. Lower- $c_2$  samples were then prepared by gravimetrically diluting the concentrated protein solutions in the desired buffer to obtain  $c_2$  values ranging from 10 to 150 g/L. Final excipient and  $c_2$  values were later calculated and corrected with measured density values (see below) and UV-VIS spectrophotometry (Agilent 8453, Santa Clara, CA) at 280 nm using an extinction coefficient of 1.54 L g<sup>-1</sup> cm<sup>-1</sup>, before and after dilution from the concentrated stock solutions.<sup>3</sup> Less than 0.1% variation between targeted and actual values for the protein and excipient concentrations was achieved in all cases.

#### Static light scattering (SLS)

Batch SLS experiments were conducted using a Wyatt Technology (Santa Barbara, CA) DAWN HELEOS II instrument with laser wavelength ( $\lambda$ ) of 658.9 nm at 25.0 ± 0.1 °C. In SLS, the average scattered intensity at 90° can be determined and used to calculate the excess Rayleigh ratio, represented as  $R^{\text{ex}}$ , as previously reported.<sup>3,20</sup> Measurements of  $R^{\text{ex}}$ as a function of  $c_2$  can be used to estimate protein-protein interactions in the form of the protein-protein Kirkwood-Buff integral,  $G_{22}$ , as shown in equation 1. *K* is the optical constant and equal to  $4\pi^2 n^2 (dn/dc_2)^2 N_A^{-1} \lambda^{-4}$ , with *n* denoting the solution refractive index,  $(dn/dc_2)$  is the change in solution refractive index as a function of protein concentration, and  $N_A$  is Avogadro's number. *M*w,app is the protein apparent molecular weight, and *M* is the protein true molecular weight.<sup>3,20</sup>

$$\frac{R^{ex}}{K} = M_{w,app} c_2 + M_w G_{22} c_2^2 \quad (1)$$

The zero-q limit for the structure factor  $(S_{q=0})$  can be obtained from static light scattering at a single angle (90° in the present work) if there is no angle-dependence of the scattered light. <sup>20</sup> This is usually valid for protein solutions as proteins are much smaller than the laser wavelength, and this holds in the absence of long-ranged density fluctuations such as those observed near critical points and phase boundaries more generally.<sup>3,12,20,23</sup>  $S_{q=0}$  can be obtained by dividing the right hand side of equation 1 by  $c_2M_w$ , with the canonical simplification that  $M_{w,app} \approx M_w$ .<sup>3,12,20,23</sup> In this case,  $S_{q=0}$  is equal to  $1 + c_2G_{22}$  and is dimensionless.<sup>3,12,20,23</sup> Values of  $(dn/dc_2)$  were determined using a J157HA Refractometer (Rudolph Scientific, Hackettstown, NJ) for  $c_2$  values up to 10 g/L for each formulation. The values were 0.203 ± 0.03 mL/g for all buffer-only and NaCl formulations, and 0.220 ± 0.04 mL/g for all formulations with 5% w/w sucrose, for both pH values.

In the limit of dilute protein conditions (*i.e.*,  $c_2$  below approximately 10 g/L and  $||c_2G_{22}|| < 0.1$ ),<sup>3,12,20</sup> it holds that  $G_{22} \approx -2B_{22}$ , with  $B_{22}$  denoting the protein second osmotic virial coefficient.<sup>20,23,29,36,37</sup> As  $B_{22}$  is independent of protein concentration,  $B_{22}$  values were obtained by fitting experimental excess Rayleigh profiles to equation 1 for low- $c_2$  conditions, and setting  $B_{22} = -\frac{1}{2}G_{22}$ .<sup>3,12</sup> Additionally, KB theory and the corresponding analysis and Eq. 1 are applicable at higher  $c_2$ , and can be used to quantify protein-protein interactions at high  $c_2$  from SLS data. A negative (positive)  $G_{22}$  value is equivalent to  $S_{q=0}$  values below (above) 1, and corresponds to net repulsive (attractive) interactions. Correspondingly in dilute solutions, positive (negative)  $B_{22}$  values indicate net repulsions (attractions).

#### High-precision density measurements

Solution density ( $\rho$ ) measurements were carried out using a DDM 2911 Plus density meter (Rudolph Scientific, Hackettstown, NJ). All measurements were done at 25.00 ± 0.02 °C and ambient pressure. Partial specific volume values ( $\hat{V}_i$ ) were determined from high precision

density measurements as a function of weight fraction ( $w_i$ ) using equation 2, as previously described.<sup>38,39</sup> Linear regression was used to obtain the intercept and the slope as needed in equation 2. 95% confidence intervals for  $\hat{V}_2$  were obtained from the corresponding t-value

and standard error analyses of the slope and the intercept with error propagation for a given component  $i^{38,39}$ 

$$\hat{V}_{i} = \frac{1}{\rho_{o}} + \left| \frac{d\left(\frac{1}{\rho}\right)}{dw_{i}} \right|_{T, P, m_{i \neq i}}$$
(2)

Preferential interactions were obtained by calculating protein partial specific volumes ( $\hat{V}_2$ ) as

a function of sucrose concentration ( $c_3$ ) at a constant concentration of buffer ( $c_4$ ) and comparing the results to equation 3, where  $\kappa_T$  represents the isothermal compressibility of the solution, R is the gas constant and T is the solution temperature.  $G_{l2}$  values represent the interaction between a given molecular species *i* and the protein (subscript 2).

$$\hat{V}_2 = \frac{RTk_T}{M_{w,2}} - G_{12} + c_3\hat{V}_3(G_{12} - G_{23}) + c_4\hat{V}_4(G_{12} - G_{24})$$
(3)

Keeping with standard notation, subscripts 1 and 3 denote water and excipient (sucrose), respectively. The difference  $(G_{12}-G_{23})$  is equivalent to the preferential interaction between water and protein, relative to sucrose and protein molecules.<sup>38–41</sup> A positive difference is characteristic of preferential exclusion or desolvation of sucrose, while a negative difference is characteristic of preferential accumulation, binding or solvation of the excipient to the protein surface.<sup>24,30,38–42</sup> This behavior can be observed by plotting  $\hat{V}_2$  as a function of  $c_3\hat{V}_3$ , with  $\hat{V}_3$  being the partial specific volume of the excipient in the same buffer solution (*i.e.*,  $c_2 \rightarrow 0$  g/L).<sup>39</sup> The first term on the right-hand side in equation 3 ( $RTk_T/M_{w,2}$ ) is negligible compared to the other terms for liquids far from their critical point.<sup>38,39</sup> Similarly, previous work has shown that the last term on the right-hand side ( $c_4\hat{V}_4(G_{12}-G_{24})$ ) can be assumed to be negligible and/or  $c_3$ -independent for low concentrations of buffer (<30 mM) due to  $c_4\hat{V}_4$  values being ~ 10<sup>-3</sup> and with physically reasonable ( $G_{12}-G_{24}$ ) values.<sup>39</sup>

#### Coarse-grained MAb models and interaction parameters

Two different coarse-grained (CG) molecular models were used to model low- $c_2$  behavior and predict high-c<sub>2</sub> SLS experimental behavior. These were a subset of a larger group of possible CG molecular models that were tested in earlier work that showed these two models can provide an optimal balance between accuracy and computational burden.<sup>23</sup> Figure 1 shows a schematic of the geometric constraints in these CG models, referred to as the HEXA and DODECA models in the remainder of this report. These models were developed in previous work to resemble the overall shape of a MAb molecule, and used 6 (HEXA) or 12 (DODECA) beads per protein. Additional details of the development of the models can be found elsewhere.<sup>23</sup> A modification to the previously proposed description of short-ranged non-electrostatic attractions was made here to achieve an effective attractive range of ~1 nm for both the HEXA and DODECA models (compared to equation 9 in Ref. 23). This was achieved by modifying the range of attractions for the DODECA model in comparison to previous work as shown in equation 4 and explained below. In equation 4,  $\varepsilon_{SR}$  represents the strength of the short-ranged non-electrostatic attractions, *n* represents the range of the attractions, and is equal to 6 for the DODECA model and 10 for the HEXA model. c is a normalization factor to make the interaction energy equal to  $-\varepsilon_{SR}$  at its minimum value, and is equal to 1.2196 for the DODECA model and 1.3464 for the HEXA model.<sup>23</sup>

$$\frac{u_{SR}(r_{ij})}{k_B T} = \frac{\varepsilon_{SR}}{k_B T} c \left[ \left( \frac{\sigma_{ij}}{r_{ij}} \right)^{128} - \left( \frac{\sigma_{ij}}{r_{ij}} \right)^n \right] \quad (4)$$

Similarly, a modification to the previously proposed electrostatic model was made to better model experimental data. This was achieved by changing from a Yukawa potential (equation 10 in Ref. 23) to a modified screened-Coulomb potential as shown in equation 5.<sup>12,23,43</sup>

$$\frac{u_{el}(r_{ij})}{k_B T} = \zeta \psi_i \psi_j q_i q_j \frac{e^{-k(r_{ij} - \sigma_{ij})}}{r_{ij} \left[1 + \frac{1}{2}(k\sigma_{ij})\right]^2}$$
(5)

 $\zeta$  corresponds to the Bjerrum length and is equal to  $(4\pi ee_o k_B T)^{-1}$ , with *e* representing the solution relative permittivity at a given temperature,  $e_o$  is the vacuum permittivity (in units of qe<sup>2</sup>N<sup>-1</sup> m<sup>-2</sup>, with q<sub>e</sub> representing the elemental charge of an electron),<sup>43</sup>  $k_B$  is the Boltzmann constant, and *T* is the absolute temperature. For solutions considered here,  $\zeta$  was equal to 7.15 Å for any buffer + NaCl formulations at 25 °C, and 7.26 Å for formulations with 5% w/w added sucrose at 25 °C.<sup>44</sup>  $q_i$  and  $q_j$  are the theoretical valences of domain/ fragment/amino acid *i* and *j*, respectively, as calculated from the protein sequence (see below), while  $\psi_i$  (or  $\psi_j$ ) is used to scale the theoretical charge such as  $\psi_i q_i$  (or  $\psi_j q_j$ ) is equal to the effective valence in solution,  $q_{i,eff}$  (or  $q_{j,eff}$ ).  $\sigma_{ij}$  is the average diameter of beads *i* and *j*, equal to  $\frac{1}{2}(\sigma_i + \sigma_j)$ , where  $\sigma_i$  and  $\sigma_j$  are the diameters of the *i*th and *j*th bead or (sub)domain, respectively.  $\kappa$  is the Debye screening length based on the *TIS* of the solution. <sup>12,23,43,45,46</sup>  $r_{ij}$  is the center-to-center distance between the interacting beads *i* and *j*. The interaction potential in equation 5 allows one to better capture electrostatic phenomena, and can allow for easier comparison with electrophoresis measurements.<sup>45</sup>

Theoretical valence values  $(q_i)$  were calculated using the standard Henderson-Hasselbach equation.<sup>47</sup> The protein sequence was provided by Bristol-Myers Squibb, including a homology model for capturing the molecule geometry (see Fig. 1). This sequence was partitioned into equal-chain-length units to compute the charge of each HEXA or DODECA model bead. For the HEXA model calculations, the Fv domain was composed of the upper half of the light chain (residues 1-107) and the upper quarter of the heavy chain (residues 1-118) (*i.e.*, combining both  $V_{\rm H}$  and  $V_{\rm L}$  domains); the C1 domain was composed of the lower half of the light chain (residues 108-214) and the second quarter of the heavy chain (residues 119-234) (*i.e.*, combining both C<sub>H</sub>1 and C<sub>L</sub> domains); the C2 domain was composed of residues 244-357 of each heavy chain (i.e., both CH2 domains); and the C3 domain was composed of last quarter of each of the heavy chains (residues 358-474) (i.e., both C<sub>H</sub>3 domains). For the DODECA model calculations, the heavy chains were portioned into four units (residues 1-118 for the  $V_H$ , 119-234 for the  $C_H$ 1, 244-357 for the  $C_H$ 2, and 358-474 for the  $C_{H}$ 3) and the light chains into two units (residues 1-107 for the  $V_{L}$  and 108-214 for the  $C_{\rm L}$ ) for a total of 12 beads, each with its respective net charge.<sup>23</sup> In what follows, the terms valence and charge will be used interchangeably. Examples of the theoretical charge distribution for the DODECA model are shown in Figure 2, while the theoretical charge values are shown in Table 2 for pH 5 and 6.5 for both CG models.

#### Monte Carlo simulations for both low- and high-c2 conditions

**Low c<sub>2</sub>**—*Low c<sub>2</sub>*: the HEXA and DODECA models were used to compute  $B_{22}$  for a given pH and *TIS* using the Mayer Sampling method employing the Overlap Sampling algorithm (MSOS) developed by Kofke and coworkers.<sup>12,23,48</sup> A similar methodology to the one employed before<sup>23</sup> was used here: MSOS simulations were performed at 25 °C with 10<sup>7</sup>

Monte Carlo (MC) attempts for both the reference system and the model of interest.<sup>12,23</sup> Each MC attempt consisted of either a translation or a rotation around the center of mass of the first protein molecule using the center of the second molecule as the origin. The maximum displacement and rotation were obtained with a pre-equilibration step of  $10^5$  MC attempts where those values were adjusted to obtain an acceptance ratio of 50%. The stericonly behavior of the protein was used as a reference, so the simulation directly returned  $B_{22}/B22$ ,ST, where B22,ST represents the steric-only second osmotic virial coefficient (*i.e.*, the value due to only protein excluded volume contributions) as explained elsewhere and below. <sup>12,23</sup> The following simulations were performed:  $B_{22}/B22$ ,ST was calculated for  $e_{SR}$  values between 0 and 2 k<sub>B</sub>T,  $\psi_i$  values between 0 and 1.5, and TIS values between 0 and 510 mM for both CG models. The obtained  $B_{22}/B22$ ,ST values were compared to experimental values for further parameter tuning (see Discussion below). Statistical uncertainties were estimated by performing 5 independent simulations for each model parameter set and a given solution condition. The standard deviation was used as the estimate of statistical uncertainty, including error propagation.

**High c<sub>2</sub>**—Transition Matrix Monte Carlo (TMMC) was used to compute  $R^{ex}/K$  vs c<sub>2</sub> profiles for  $c_2$  values above 10 g/L using the methods described below. The particular parameter values were based on the MSOS simulations and experimental  $B_{22}$  values described above and in the next section.<sup>12</sup> The simulations were carried out in a grandcanonical (osmotic) system. An initially uniform concentration probability distribution was used, which was subsequently reconstructed at the end of each cycle until it converged to the equilibrium probability distribution, with each cycle being defined as 10<sup>6</sup> MC attempts. A MC attempt consisted of one of the following randomly selected moves: a translation, a rotation or a molecule insertion or deletion. Translations and rotations represented 30% of all MC attempts, while deletions and insertions represented the remaining 70%. Temperature was held constant at 25 °C. Preliminary simulations were used to find an adequate value of the reference chemical potential, depending on the parameter value(s) (see below). Due to boundary effects,  $G_{22}$  was observed to depend on the box size for  $c_2 > 30$  g/L and box lengths below 50 nm.<sup>49,50</sup> Consequently, a box length from 60 nm to 180 nm was used, where simulated values of  $G_{22}$  were not found to significantly depend on the box length, and larger box sizes were used for low- $c_2$  conditions to decrease the noise on simulated  $G_{22}$ values. The simulation box was started with an empty system and  $G_{22}$  values were calculated by using histogram reweighting on the  $c_2$  probability distribution using equation 6, where  $\langle N_2 \rangle$  represents the average number of protein molecules in the system, and  $\langle N_2^2 \rangle - \langle N_2 \rangle^2$  represents the average fluctuations in the number of protein molecules for a given choice of protein chemical potential.

$$c_2 G_{22} = \frac{\left\langle N_2^2 \right\rangle - \left\langle N_2 \right\rangle^2}{\left\langle N_2 \right\rangle} - 1 \quad (6)$$

Excess Rayleigh profiles and  $S_{q=0}$  values were obtained by inserting simulated values of  $c_2G_{22}$  in equation 1 with a *M* value of 146.5 kDa and assuming that  $M_{w,app}$  and  $M_w$  are equal.<sup>3,12,23</sup>

#### Steric-only behavior as a reference state and the corresponding equation of state (EoS)

The steric-only behavior can be used as a reference state, as this corresponds to the baseline level of interactions any macromolecule would experience in solution if non-steric repulsions counter-balance any attractions.<sup>23,28</sup> In the case of low- $c_2$  behavior, steric interactions are  $c_2$ -independent. This results in a  $B_{22}$  value of ~0.01 L/g, termed B22,ST in the remainder of this work.<sup>23</sup> This value can be used to normalize  $B_{22}$  values across different solution formulation. Consequently,  $B_{22}/B22$ ,ST values above 1 are representative of additional repulsions (beyond sterics), which will be termed "net-repulsive" in the remainder of this report. Similarly,  $B_{22}/B_{22,ST}$  values below 1 are representative of attractions that overcome the steric-only behavior of the protein, and this will be termed "net-attractive" in the remainder of this report.

For higher  $c_2$  values, it is necessary to develop expressions to compute the  $c_2$ -dependent steric interactions. Previous work computed those interactions as a function of  $c_2$  using several CG models, including those used in this work.<sup>23</sup> Grand Canonical MC simulations were carried out to obtain values of  $c_2 vs \mu_2$ , with  $\mu_2$  representing the protein chemical potential. These results can be used to compute a steric-only EoS to analytically calculate the high- $c_2$  behavior due to only steric interactions. This can then be used as a  $c_2$ -dependent reference state instead of the ideal gas or non-interacting behavior (*i.e.*,  $B_{22} = 0$  or  $S_{q=0} = 1$ ). The analytical steric EoS was based on the virial expansion as is done in the McMillan-Mayer solution theory (referred to as VE below, as short-hand for Virial Expansion). <sup>28,29,36,46</sup> The VE-EoS provides a simple 4<sup>th</sup>-order polynomial as shown in equation 7, which can be used to analytically calculate thermodynamic properties. This approach is expected to strongly deviate from real multi-body behavior as  $c_2$  increases beyond the range of simulated concentrations (*i.e.*, above 180 g/L).

$$\frac{\Pi_{ST}M_w}{c_2RT} = A_1 + A_2\eta + A_3\eta^2 + A_4\eta^3 + A_5\eta^4 \quad (7)$$

In equation 7,  $\Pi_{ST}$  represents the osmotic pressure of the protein in solution, *R* is the gas constant and *T* is the absolute temperature.  $\eta$  corresponds to the protein volume fraction in solution (=  $v_2.c_2$ ).  $v_2$  is the protein molecular volume and was previously computed using atomistic simulations and found equal to 0.924 mL/g (see Table 2 in Ref. 23) for a series of MAb molecules.<sup>23</sup> The analytical steric-only EoS models was fitted to simulated data by minimizing the error in both the isothermal compressibility ( $\kappa$ T,ST = ( $c_2/\Pi_{ST}$ )T\* $c^{-1}$ 2) and the osmotic pressure ( $\Pi_{ST}$ ) as a function of protein volume fraction ( $\kappa_{T,ST}$  vs  $\eta$  and  $\Pi_{ST}$  vs  $\eta$ , respectively) for values of  $\eta < 0.165$  (*i.e.*,  $c_2 < 180$  g/L). The resulting parameters obtained from error minimization are shown in Table 3. The reader must take into account that extrapolating to higher volume fractions (or  $c_2$  values) above 180 g/L is discouraged as additional parameters might be required to capture more crowded environments.<sup>20,23,29,46</sup> Final steric-only  $G_{22}$  values (G22,ST) as a function of  $c_2$  were calculated using equation 8 combined with equation 7 and the parameters in Table 3.

$$c_2 G_{22,ST} = \frac{RT}{M_w} c_2 k_{T,ST} - 1 = \frac{RT}{M_w} \left( \frac{\partial c_2}{\partial \Pi_{ST}} \right)_T - 1 \quad (8)$$

#### Average Relative Deviation (ARD) calculations and model validation

To evaluate the effectiveness of the present CG models to model or predict experimental SLS behavior, the average relative deviation (ARD) values were calculated for any given data set using equation 9,

$$ARD(\%) = \frac{100}{n} \sum_{i=1}^{n} \left| \frac{x_i^{experimental} - x_i^{predicted}}{x_i^{experimental}} \right| \tag{9}$$

where *n* in Eq. 9 represents the number of data points (as opposed to its usage in Eq. 4) and  $x_i$  is the experimental or simulated value to be evaluated (*e.g.*,  $B_{22}/B_{22,ST}$  vs TIS, and  $R^{ex}/K$  vs  $c_2$  in this work). As the ARD is a measurement of the average deviation between the model and the experimental data, a cutoff value between 10% and 20% was used below as a criterion for considering a prediction to be quantitatively accurate, as this average deviation can be considered a conservative estimate of the model prediction uncertainty, particularly given typical experimental uncertainties for SLS data.

#### Parameter tuning from low-c<sub>2</sub> data

To predict high-c<sub>2</sub> Rayleigh profiles from low-c<sub>2</sub> measurements using the formulated CG models,  $B_{22}/B_{22,ST}$  vs TIS experimental data were used to tune two model parameters: the strength of short-ranged non-electrostatic attractions ( $e_{SR}$ ), and the correction factor to the theoretical charges  $\psi_i$  (see above).<sup>12</sup> Additional model parameters were refined in previous work based only on the geometry of multiple MAbs from their published crystal structures, as well as the homology model for this molecule (see above).<sup>23</sup> Under high-TIS conditions, electrostatic interaction are expected to be heavily screened (according to the Debye-Hückel theory), so  $B_{22}/B_{22,ST}$  values under these conditions can be used to set the value of  $e_{SR}$  by combining experimental and simulated data (see Results and Discussion). Conversely, low-TIS conditions are expected to be dominated by electrostatic interactions, and this can be used to determine an optimized value of  $\psi_i$ . For simplicity, all  $\psi_i$  values were assumed to be equal for all the domains. Consequently,  $\psi_i$  will be referred as  $\psi$  in the remainder of this work, as an average correction factor for all theoretical charges at a given pH. Similarly,  $e_{SR}$ will be used as an averaged short-ranged attraction strength (for solvation and hydration effects, and van der Waals attractions) and equal for all the molecule domains. This could be modified in future work to account for additional domain-specific information such as relative hydrophobicity, but was not used in the present work.

The following methodology was employed for the parameter tuning exercise:  $B_{22}/B_{22,ST}$  vs *TIS* values were simulated using both the HEXA and DODECA models using the MSOS algorithm for a range of  $[e_{SR}, \psi]$  pairs. ARD values were computed for each pair by

comparing experimental and simulated  $B_{22}/B_{22,ST}$  vs TIS results. Experimental  $B_{22}/B_{22,ST}$  values between - 0.05 and 0.05 were excluded from any ARD calculation to avoid heavy biasing on final ARD values. This was done to avoid the inherently larger experimental uncertainties in  $B_{22}/B_{22,ST}$  results when those values are near zero. Surface plots of ARD vs  $[e_{SR}, \psi]$  were constructed, where a funnel-like behavior is expected if there is a unique subset of  $[e_{SR}, \psi]$  pairs that minimizes the ARD results. As there is uncertainty in experimental  $B_{22}/B_{22,ST}$  values, the previous exercise would result in a parameter space of  $[e_{SR}, \psi]$  pairs that can accurately mimic the experimental data, as shown below and in previous work.<sup>12</sup> Consequently, all simulated  $[e_{SR}, \psi]$  pairs that resulted in ARD values below 20% were subsequently used to predict high- $c_2$  Rayleigh scattering behavior, creating a predicted "envelope" for  $R^{ex}/K$  rather than a single curve.

#### RESULTS

SLS was used to determine excess Rayleigh profiles ( $R^{ex}/K$  as a function of  $c_2$ ) for a series of solution conditions. At low  $c_2$ , these measurements were used to determine  $B_{22}$  values as a function of *TIS* by changing the NaCl molarity. Figure 3 shows the results of  $B_{22}$  vs *TIS* for two series of formulations (buffer + NaCl, and buffer + 5% w/w sucrose + NaCl) and two pH values, 5 (panel A) and 6.5 (panel B), all measured for  $c_2 < 10$  g/L.  $B_{22}$  values were normalized using the steric-only behavior from a 3D-homology model ( $B_{22,ST} = 0.01$  L/g) as a reference state and for easier comparison with MSOS simulations.

 $B_{22}/B_{22,ST}$  vs TIS profiles differ quantitatively between pH 5 and pH 6.5, and between both sucrose concentrations. At pH 5 and low TIS, protein-protein interactions were relatively large and net-repulsive ( $B_{22}/B_{22,ST} >> 1$ ). Increasing TIS by adding NaCl decreases  $B_{22}/B_{22,ST}$  until reaching a constant value for TIS values above approximately 300 mM. At pH 6.5 and low TIS, protein-protein interactions were net-attractive, relative to steric-only interactions ( $B_{22}/B_{22,ST} < 1$ ). Increasing TIS by adding NaCl decreases the magnitude of  $B_{22}/B_{22,ST}$  monotonically until reaching a constant value for TIS values above approximately 300 mM in all situations.  $B_{22}/B_{22,ST}$  values at high TIS (>300 mM) were the same for both pH values but less attractive (less negative) with the addition of sucrose, with similar high-TIS results in the presence of sucrose for both pH values. Conversely,  $B_{22}/B_{22,ST}$  $B_{22,ST}$  values differ significantly across pH at low TIS (below 50 mM), where pH 5 resulted in larger (more repulsive)  $B_{22}/B_{22,ST}$  values than pH 6.5. The addition of sucrose did not result in statistically distinguishable behavior at low TIS across pH values.

Insets in Figs. 3A and 3B show the experimental  $R^{ex}/K$  vs  $c_2$  (high- $c_2$ ) results for the formulations presented in Table 4 and that correspond to the low- $c_2$  measurements in the main panels.<sup>3</sup> Additionally, the steric-only behavior for this molecule is shown as a reference, as computed using the VE EoS model (equations 7 and 8, and Table 3).<sup>23</sup> The experimental values in the insets were shown previously,<sup>3</sup> but with a new correction in the present work by using updated  $dn/dc_2$  values from the refractive index measurements (see Methods). In particular, the results for formulations with 5% w/w sucrose (red symbols) significantly changed based on the updated  $dn/dc_2$  values. In those cases, the  $dn/dc_2$  values were found to be 15% higher in the presence of sucrose than previously reported, so results

in Fig. 3 at high  $c_2$  are ~30% lower in magnitude than previously reported.<sup>3</sup> The results without sucrose are effectively unchanged from prior work.

In summary, the results in Fig. 3A (pH 5) show that  $R^{ex}/K$  profiles for both buffer-only and sucrose formulations are net-repulsive ( $R^{ex}/K$  values below the steric-only behavior) without added NaCl, with  $R^{ex}/K$  profiles for sucrose below (more repulsive than) those for buffer-only. Adding 100 mM NaCl results in a large increase in  $R^{ex}/K$  values and brings the high- $c_2$  values well above (more attractive than) the steric-only behavior. For Fig. 3B (pH 6.5), the buffer-only results overlap with the steric-only behavior at low  $c_2$ , and adding 5% w/w sucrose results in a decrease in the  $R^{ex}/K$  profiles (increase in repulsions) while adding 100 mM results in an increase in  $R^{ex}/K$  values (increase in attractions). In each formulation (buffer-only, buffer + sucrose, and buffer + NaCl),  $R^{ex}/K$  values at pH 5 are lower in magnitude than those at pH 6.5 for equal  $c_2$  values.

Figures 4 and 5 show a comparison of  $B_{22}/B_{22,ST}$  vs *TIS* between experiments and simulations for the HEXA and DODECA models coupled with MSOS simulations, respectively. The experimental data and formulations are the same as those presented in Fig. 3 and Table 1. Shaded areas in the main panels represent the simulated  $B_{22}/B_{22,ST}$  vs *TIS* profiles obtained from ARD values below 20% (gray minima in surface plots in the insets). The insets show colored surface plots of ARD as a function of  $e_{SR}$  and  $\psi$  values. From those parameter-response surfaces, one can identify a narrow parameter space (values for  $e_{SR}$  and  $\psi$ , also referred as [ $e_{SR}$ ,  $\psi$ ] pairs) that accurately captures the low- $c_2$  experimental behavior with a given CG model. That range of [ $e_{SR}$ ,  $\psi$ ] pairs are further used to predict high- $c_2$  SLS behavior.

Figures 6 and 7 show a comparison of the experimental and predicted high- $c_2$  excess Rayleigh scattering results as a function of protein concentration. The results from the HEXA and DODECA models are based on the TMMC simulations for the parameter space obtained by fitting low- $c_2$  data (cf., Figs. 4 and 5). Fig. 6 corresponds to parameters from Fig. 4 and the HEXA model. Fig. 7 corresponds to parameters from Fig. 5 and the DODECA model. The formulation conditions are the same as shown in Table 4. Shaded areas in the main panels in Figs. 6 and 7 represent the confidence intervals of the predicted  $R^{ex}/K$  vs  $c_2$  profiles using model parameters that resulted in an ARD value below 20% from the low- $c_2$  parameter tuning (gray regions in insets of Figs. 4 and 5). The symbols in Figs. 6 and 7 represent the same experimental data shown in the insets in Fig. 3, including 95% confidence intervals as error bars. By visual inspection, the parameters obtained at low- $c_2$ allow the CG models to be predictive of the high- $c_2$  behavior within a 20% average deviation from 10 to 150 g/L. The steric-only behavior at high  $c_2$  is also included as a reference in Figs. 6 and 7. None of the predictions in Figs. 6 and 7 utilize experimental data from high  $c_2$  as inputs to the models, but do require knowledge of the  $B_{22}/B_{22,ST}$  values at each given solution condition. For reference, parameter ranges that predicted the high- $c_2$ experimental behavior within 20% uncertainty are shown in Table 4 for both CG models.

Figure 8 shows  $\hat{V}_2$  values as a function of  $c_3\hat{V}_3$  in acetate, pH 5 (panel A), and in histidine, pH 6.5 (panel B); with sucrose as the added excipient (component 3). For both panels, the addition of sucrose leads to a monotonic and linear decrease in protein partial specific

volume (*i.e.*, a negative slope for  $\hat{V}_2 vs c_3 \hat{V}_3$ ). The values for fitted intercepts using equation 3 are 0.708 ± 0.006 mL/g for pH 5 and 0.709 ± 0.004 mL/g for pH 6.5. Similarly, the slopes are equal to  $-1.0 \pm 0.1$  mL/g for pH 5, and  $-1.08 \pm 0.06$  mL/g for pH 6.5. Both pH values returned the same slope and intercept values within their statistical uncertainties. The negative slopes clearly indicate a similar level of preferential accumulation or solvation by sucrose around the protein surface for both pH values.

#### DISCUSSION

Protein-protein "weak" interactions are mediated by the solution environment the protein is subjected to. These interactions have three main contributions: sterics or excluded volume effects (repulsive); short-ranged non-electrostatic van der Waals interactions and hydration/ solvation effects (net attractive or repulsive); and electrostatic interactions (both attractive and repulsive). Among these, only the latter should be significantly affected by the solution ionic environment (via charge screening) if one neglects ion binding effects. 12,23,43,45 Consequently, the decrease in  $B_{22}/B_{22,ST}$  values and plateau behavior that are observed as TIS increases in Fig. 3 can be attributed to a screening effect of strong charge-charge repulsions with the addition of NaCl, as described at least qualitatively by the Debye-Hückel theory.<sup>12,23,46</sup> This agrees with previously published experimental behavior of a number of proteins as a function of ionic environment.<sup>7,11,12,51,52</sup> Since  $B_{22}/B_{22,ST}$  converges towards values less than 1 at high-TIS conditions, there should be short-ranged non-electrostatic attractions present in the molecule to overcome the steric repulsions.<sup>23</sup> Additionally, both pH 5 and 6.5 results converged towards equal  $B_{22}/B_{22,ST}$  values for TIS > 300 mM, suggesting that electrostatic contributions are completely screened and the solvation effects and van der Waals attractions present between the molecules are not affected by the differences in buffer chemistry and pH.<sup>12,39</sup> Conversely, the difference in interactions at lower TIS values suggests different electrostatic behaviors with the change in both buffertype and pH, going from strongly repulsive to mildly attractive (relative to steric-only interactions,  $B_{22}/B_{22,ST} = 1$ ) as pH increases.<sup>12</sup>

It is commonly accepted that, for most proteins, the total effective protein charge approaches zero as the pH of the solution approaches the isoelectric point (pI) of the molecule. Consequently, the strength of electrostatic repulsions (caused by strong charge-charge repulsions) will decrease as the pH approaches the pI of the molecule. The pI of several IgG1 molecules have been reported to lie between 7.5 and 8.5, and the present molecule has a theoretical pI of 7.9 (calculated as explained in the Methods). Consequently, the decrease in repulsions with increased pH is expected based on a decrease in the total effective charge of the molecule (mostly due to deprotonated histidine residues at pH 6.5 in comparison to pH 5) and the change in the ion clouding/de-clouding that this might lead to.<sup>45,53,54</sup> This is highlighted in Fig. 2, where the values of the theoretical charges decrease from pH 5 to 6.5, and in some case (as in the C<sub>H</sub>3 domain) this can cause a shift in sign. Since short-ranged non-electrostatic attractions are present at both pH values (net-attractions dominate at high *TIS*), this decrease in the effective to sterics) at low *TIS*, as seen in Fig. 3.

Collecting  $B_{22}/B_{22,ST}$  data as a function of *TIS* allows one to gain insights into two of the main contributions to protein-protein solution interactions: (a) the strength and sign of net electrostatic interactions (observed at low *TIS*) and (b) the strength of short-ranged non-electrostatic attractions (observed at high *TIS*).<sup>12,23</sup> This is better visualized in the insets of Figs. 4 and 5, where data shown in Fig. 3 were used to tune the model parameters for the HEXA (Fig. 4) and DODECA (Fig. 5) models as described above. In Figs. 4 and 5, all surface response plots show a funnel-like behavior, where a small subset of [ $e_{SR}$ ,  $\psi$ ] pairs is capable of accurately modeling the experimental data within a 20% ARD. Additionally, a smaller subset of [ $e_{SR}$ ,  $\psi$ ] pairs was also found to model the experimental data to much higher resolution in some situations (ARD ~ 0%) when the uncertainty in the experimental data is taken into account. These results showed the capability of the present CG models to quantitatively capture two-particle behavior as a function of *TIS* and at low  $c_2$ . Additionally, Figs. 4 and 5 show that the currently proposed electrostatic interaction model is capable of accurately modeling the *B*<sub>22</sub>/*B*<sub>22,ST</sub> behavior from low to high *TIS* as well as the plateau in  $B_{22}/B_{22,ST}$  values that occurs at high *TIS* (above 300 mM).

Differences in the values of the parameters within the gray regions are observed when comparing insets in Figs. 4 and 5 across pH (panels A vs C, and B vs D), added sucrose (panels A vs B, and C vs D) and model-type (Fig. 4 vs Fig. 5). By comparing results across pH values for both Figs. 4 and 5, one observes that the only parameter that is significantly affected is  $\psi$ , as it shifts from ~0.35 at pH 5 to ~0.65 at pH 6.5 for the HEXA model, and from ~0.65 at pH 5 to ~1.0 at pH 6.5 for the DODECA model. This increase in  $\psi$  can potentially be explained by a decrease in ion binding due to smaller net charges in the protein molecule (see Fig. 2 and discussion above) and the possible changes in binding affinity of the ions.<sup>45</sup> Consequently, the accumulation of ions around the protein may change with changes in pH, causing an increase in  $\psi$  as the solution charges approach their theoretical value since  $\psi \rightarrow 1$  as  $q_{i,eff} \rightarrow q_i$  From the results in Fig. 4 and 5, this change in  $\psi$  is only observed across changes in pH, as  $\psi$  remains constant when comparing across sucrose content (panels A vs B, and C vs D). This suggests that the addition of sucrose should only induce a significant non-electrostatic effect to the protein solution behavior. This is also observed in the experimental data (Fig. 3), where the values of  $B_{22}/B_{22,ST}$  are equal for both buffer-only and buffer + sucrose formulations at low TLS, but diverge as TLS increases. Comparing panels A and B, and C and D in Fig 3., the addition of sucrose correlates with a decrease in the value of  $e_{SR}$ . For the HEXA model,  $e_{SR}$  goes from ~1.1  $k_BT$  for buffer-only conditions to ~1.0  $k_BT$  for buffer + sucrose conditions for both pH values (Fig. 4). Similarly, for the DODECA model the resulting value shifts from  $\sim 0.72 k_{\rm B}T$ to ~0.64 k<sub>B</sub>T (Fig. 5). This decrease in  $e_{SR}$  and increase in  $B_{22}/B_{22,ST}$  at high TIS with added sucrose suggests changes in the hydration shells of the protein in the form of proteinsucrose interactions, and this will be discussed further below.

Comparing Figs. 4 and 5 also shows that the  $e_{SR}$  values within the gray areas are always lower in magnitude for the DODECA model (0.62 - 0.78 k<sub>B</sub>T) than for the HEXA model (1.0 -1.2 k<sub>B</sub>T) for all simulated formulations in Table 1. This is due to the decrease in the number of beads/domains by moving from the DODECA to the HEXA model, analogous to previous work with globular proteins.<sup>23,55</sup> Conversely, the magnitude of  $\psi$  increases in the DODECA model in comparison to the HEXA model (see numbers above). Although the

discussion for  $e_{SR}$  in terms of the differences in number of domains for HEXA *vs* DODECA also applies to  $\psi$ , changes in the values of the charges also play a relevant role in this case. As expected, charges in the HEXA model are effectively twice the magnitude of those in the DODECA model (see Table 2 and Fig. 2). Charge-charge interactions were modeled *via* equation 5, where the electrostatic potential energy is proportional to the product of the charges. Consequently, doubling the value of the charges (by going from DODECA to HEXA) would induce an increase in potential energy by a factor of 4, which can compensate and overcome the decrease in the number of simulated domains/beads (from 12 to 6 in this case).

The present CG models also locate the charges in the center of the bead/domain in contrast to the real protein charges on the surface. A larger charge can be conducive of stronger counter ion accumulation due to strong charge-charge attractions and territorial ion accumulation, so lower  $\psi$  values are expected for more coarse-grained (less structurally detailed) models. Although this is intended to represent ion accumulation on protein solutions, the reader must be cautious that both  $e_{SR}$  and  $\psi$  values used in this work and for these CG models are model specific, and likely will differ if one changes the structural resolution of the models (either higher or lower resolution).<sup>12,23,55</sup> In addition, one must realize that  $\psi$  is a "lumped" parameter that attempts to capture deviations from theoretical and true net charge that could have origins from multiple sources (e.g., not only territorial ions but also hydration effects or ion-specific effects). As such, caution is needed to avoid over-interpreting the underlying causes for  $\psi$  values that deviate significantly from 1.

It is anticipated that the same qualitative behavior discussed above might apply at higher  $c_2$ . At pH 5, both buffer-only and buffer + sucrose excess Rayleigh and  $S_{q=0}$  profiles are observed to lie below the steric-only behavior (net-repulsive, see Figs. 3, 6 and 7). This agrees with the low- $c_2$  behavior as  $B_{22}/B_{22,ST} \sim 1.8$  (*cf.*, Fig. 3). Nevertheless, buffer + sucrose conditions were observed to be more repulsive (lower  $S_{q=0}$  and  $R^{ex}/K vs c_2$  values) than buffer-only conditions, and this deviation is more pronounced as  $c_2$  increases. This does not correlate with low- $c_2$  measurements, as both pH conditions resulted in equal  $B_{22}/B_{22,ST}$ values within their experimental uncertainties (Fig. 3A). At pH 6.5, the buffer-only conditions remain net-attractive at high  $c_2$ , but sucrose conditions are net-repulsive between 10 and 120 g/L, converging towards the steric-only behavior at higher  $c_2$ . These results could not be predicted from low- $c_2$  information alone, as all measured  $B_{22}/B_{22,ST}$  results were net-attractive at pH 6.5, with equal  $B_{22}/B_{22,ST}$  results for sucrose and buffer-only formulations at low *TIS* (see discussion above). For all formulations with 100 mM NaCl, the excess Rayleigh profiles show net-attractive behavior for both pH values, with stronger attractions at pH 6.5 in comparison to pH 5 and in good agreement with the results at low  $c_2$ .

Although there are an increasing number of studies that focus on experimentally correlating low- $c_2$  measurements with high- $c_2$  protein physicochemical behavior, results in Fig. 3 highlight some of the short-comings of these approaches, as interactions and solution behavior might change as the solution transitions from low to high  $c_2$ . As shown in Figs. 6 and 7, the changes in  $S_{q=0}$  are not monotonic (not constant  $G_{22}$ ). This leads to weaker net-attractions relative to those seen only at low  $c_2$ . This is of greater relevance during screening of drug candidates and formulations during early stages of development, where limited

access to protein material necessitates measurements at low- $c_2$  (dilute solution conditions). As the solution is concentrated, the solution behavior is expected to be influenced heavily by the steric (shape-based) contributions based on general arguments from the statistical mechanics of liquids.<sup>23,28,56</sup> Thus, the shape of the molecule is expected to greatly affect the way MAb molecules interact under concentrated conditions.<sup>23</sup> The addition of short-ranged interactions (either attractive or repulsive) mediates preferentially interacting domains, which might lead to increases in viscosity as reported in previous work.<sup>7,12,57,58</sup> These two effects (enhanced short-ranged interactions and packing behavior) are reasonably well captured by the HEXA and DODECA model.

The results in Figs. 4 and 5 allow one to obtain a small family of  $[e_{SR}, \psi]$  pairs that can be used to evaluate the predictive capabilities of the HEXA and DODECA models at high-c<sub>2</sub> conditions as shown in Figs. 6 and 7. This is highlighted in Table 4, where the  $[e_{SR}, \psi]$  pairs that best predict the high- $c_2$  SLS data lie within the parameter space obtained during the low- $c_2$  parameter tuning (cf., insets in Figs. 4–5). The results in Figs. 6–7 show that both models are capable of accurately predicting, not simply regressing, excess Rayleigh scattering profiles up to 150 g/L of protein concentration. Small qualitative difference can be observed between the results for the HEXA (Fig. 6) and DODECA (Fig. 7) models. While the HEXA model results in smaller deviations at pH 5 than the DODECA model, the opposite is observed at pH 6.5. At pH 5, there is a noticeable deviation for values above 120 g/L for the DODECA model, where the predicted  $R^{ex}/K$  profiles qualitatively deviate with increasing  $c_2$  from the experimental data (Fig. 7A). This behavior might be caused by the geometry of the models and the ease of packing of each model. The HEXA model locates all of its beads on a single plane while the DODECA model increases the complexity of the model by extending it to two planes (see Fig. 1). This increase in geometrical complexity potentially adds stronger packing limitations at high  $c_2$  for the DODECA model. Additionally, these two models were simulated by neglecting the flexibility of the hinge region due to limited access to data that can be used to refine such behavior (e.g., SANS or SAXS). The hinge flexibility might correct for these discrepancies at higher  $c_2$  for the DODECA model by easing the packing constraints of such model as suggested in previous work on simpler MAb models.<sup>16,23</sup> However, the addition of a flexible hinge would pose additional computational challenges in terms of convergence or precision of the simulations. That would be further exacerbated if one permitted full chain flexibility and local unfolding in the simulations.16,59,60

Finally, sucrose has been historically categorized as a preferentially excluded excipient.<sup>38,42</sup> Preferential exclusion from the protein surface causes a reduction in the available freevolume for the protein molecules. This induces stronger attractions between proteins driven by the steric repulsion between protein and sucrose molecules (in the form of depletion forces). This behavior has been identified as the main mechanism behind protein flocculation and "salting-out" in the presence of preferentially excluded excipients. However, results presented in Figs. 3–7 show the opposite behavior. Stronger repulsions were observed for solution with sucrose than those when sucrose was absent. This increase in repulsions was caused by weaker short-ranged non-electrostatic attractions, as exemplified by the fact that the magnitude of  $e_{SR}$  obtained from parameter tuning was effectively lower in magnitude for sucrose conditions than those without sucrose (see

discussion above) at both tested pH values. Similarly, results in Fig. 8 (negative slopes at both pH 5 and 6.5) suggest preferential accumulation or solvation by sucrose around the protein surface, in agreement with previously reported results where sucrose was also found to solvate proteins.<sup>39</sup>

Consequently, by combining the results from Figs. 3–8 along with the simulations results offered by the CG modeling, one can hypothesize how addition of sucrose induces stronger repulsions between protein molecules. The accumulation of sucrose around the protein surface (*i.e.*, protein solvation by sucrose molecules) might be affecting the way proteins interact through two different mechanism. First, the solvation by sucrose around the protein surface displaces water molecules from the hydration layers (protein dehydration), decreasing the gain in solution free energy upon protein-protein close contact.<sup>31</sup> Second, sucrose has a larger molecular diameter than water,<sup>39</sup> and a protein solvated by sucrose might experience an increase in its effective excluded volume, increasing the strength of apparent steric repulsions between proteins. Both contributions are expected to be present upon addition of sucrose, but the current experimental data does not allow one to resolve which mechanism might be dominating the observed solution behavior.

#### SUMMARY

Static light scattering was used to quantify "weak" protein-protein interactions of an IgG1 molecule as a function of protein concentration for a range of pH, TIS values, and sucrose concentration. Experimental measurements showed both net-repulsive and net-attractive protein interactions at low TIS, and at low- to high- $c_2$  conditions. Two coarse-grained molecular models were tested to evaluate their potential to predict excess Rayleigh profiles and zero-q structure factors at high  $c_2$ . Additional high-precision density measurements were used to further assess the non-electrostatic effect of adding sucrose to the solution. Lowconcentration results showed that the IgG1 molecule exhibits net-repulsive behavior at low TIS and pH 5, which transitions to net-attractive behavior as the solution TIS increases. At pH 6.5, the antibody showed weakly net-attractive behavior from low to high TIS, resulting in statistically equal values at both pH conditions for high TIS (> 300 mM). For all measured pH and TIS conditions, the addition of 5% w/w sucrose to the solution induced weaker net-attractions with increasing TIS. This behavior was also observed at high  $c_2$ , where formulations with 5% w/w sucrose always resulted in net-repulsive behavior at low TIS. This is counter to traditional expectations based on depletion interactions, in that preferentially excluded solutes drive protein-protein attractions, not repulsions. For conditions without sucrose present, buffer-only formulations shifted from net-repulsive behavior (relative to steric-only interactions) at pH 5 to net-attractive at pH 6.5, while formulations with 100 mM NaCl resulted in net-attractive behavior at both pH values.

In terms of model predictions from low to high  $c_2$ , the quantitative differences were not statistically significant, and therefore both models could be used to accurately predict high $c_2$  behavior depending on the requirements of the user (*e.g.*, computational burden and molecular features). Finally, the combination of experimental and simulated SLS data with experimental partial specific volume data indicated preferential accumulation (solvation) of sucrose around the protein surface. This led to stronger repulsion between protein molecules

observed at both low and high  $c_2$ . The simulations results showed that both CG models, the HEXA and DODECA models, were able to quantitatively or semi-quantitatively predict the experimental data based solely on parameters obtained by combining  $B_{22}/B_{22,ST}$  vs TIS experimental and simulated data collected at low  $c_2$ .

#### Acknowledgments

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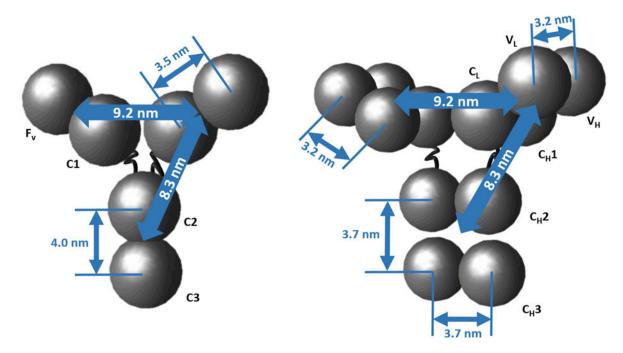
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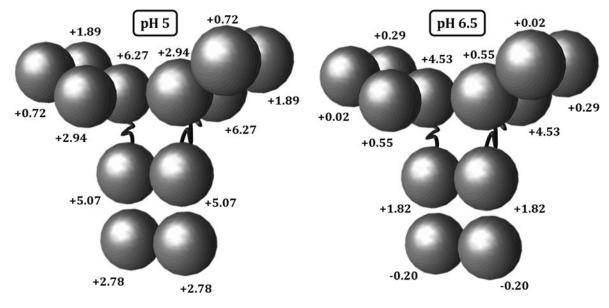
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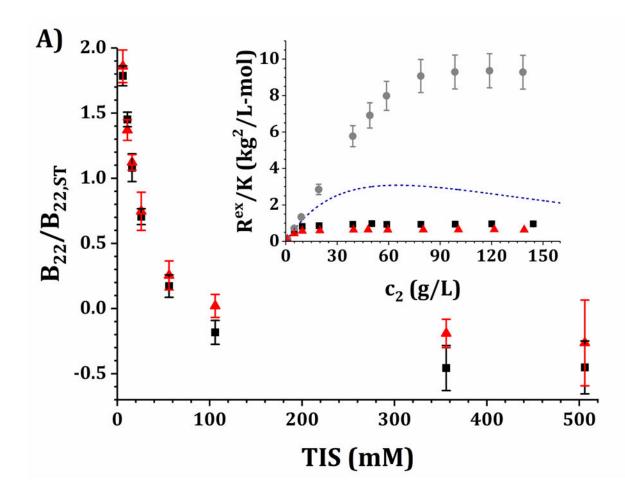
#### Figure 1.

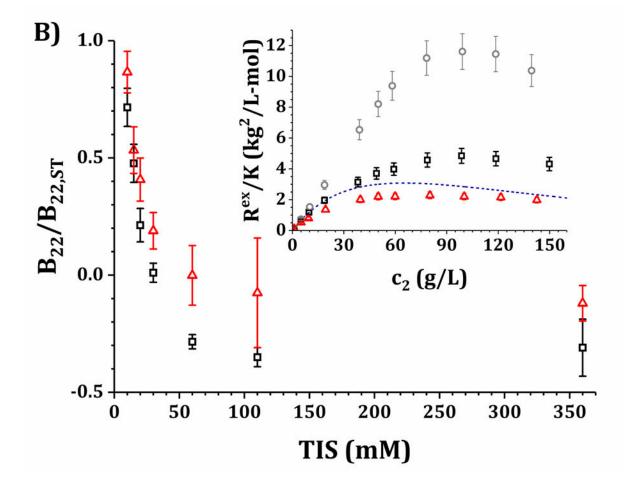
Geometries of HEXA and DODECA coarse-grained models. Domain diameters ( $\sigma_i$ ) were equal to 3.5 nm for the DODECA and 4.4 nm for the HEXA model.



#### Figure 2.

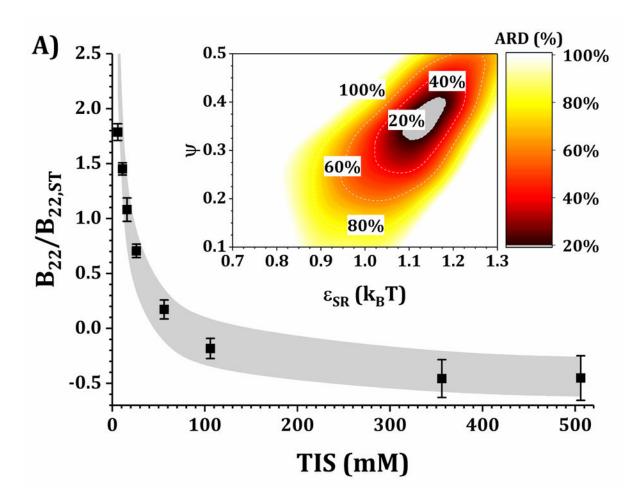
Theoretical charge distribution for the DODECA model at pH 5 and 6.5 for the IgG1 molecule in this work.





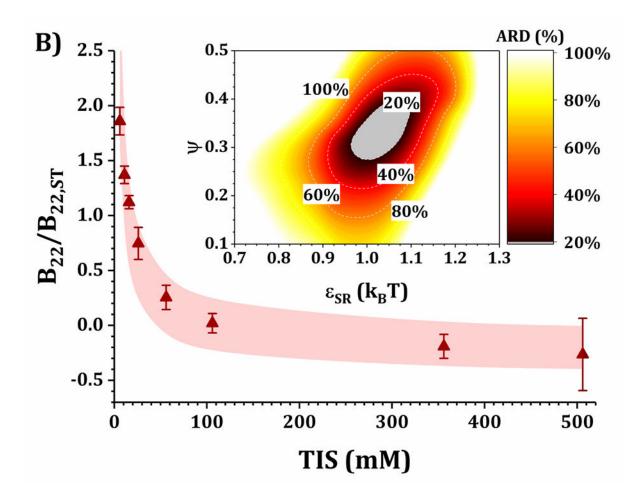
#### Figure 3. Main panels

 $B_{22}/B_{22,ST}$  values as a function of *TIS* for the IgG1 molecule in this work at pH 5 and 10 mM acetate buffer (panel A) and pH 6.5 and 10 mM histidine buffer (panel B) with added NaCl from 0 to 500 mM. Black symbols represent data with only buffer and added NaCl while red symbols represent the same solutions with 5% w/w added sucrose. **Insets:** high- $c_2$  data as shown in Ref. 3 but corrected as mentioned in the main text for pH 5 (panel A) and pH 6.5 (panel B) for buffer-only (black squares), 5% w/w sucrose (red triangles) and 100 mM NaCl (gray circles). The blue dashed line corresponds to the steric-only behavior calculated using the VE EoS (equation 7).

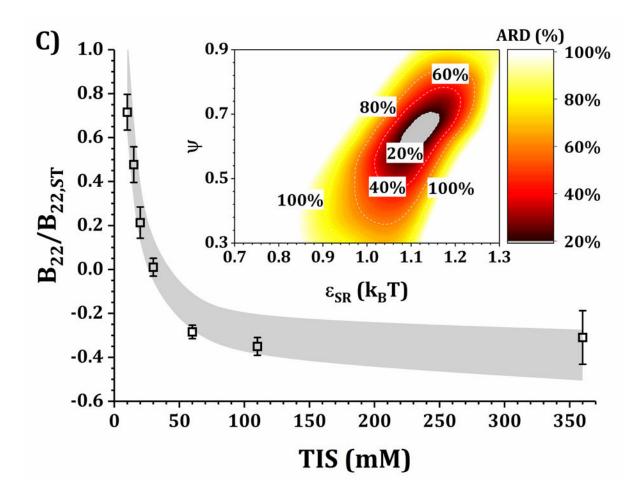


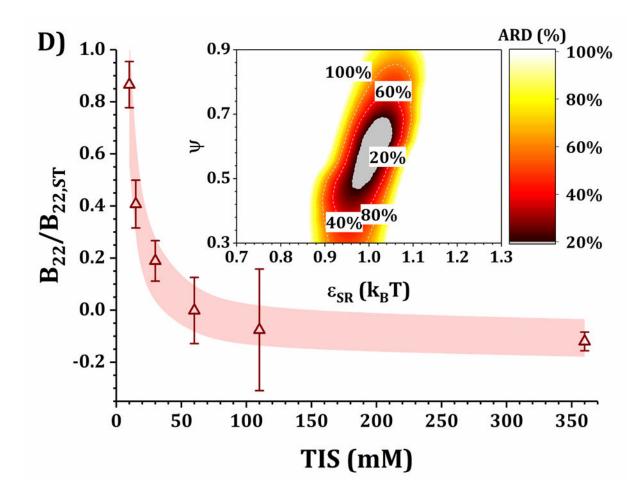
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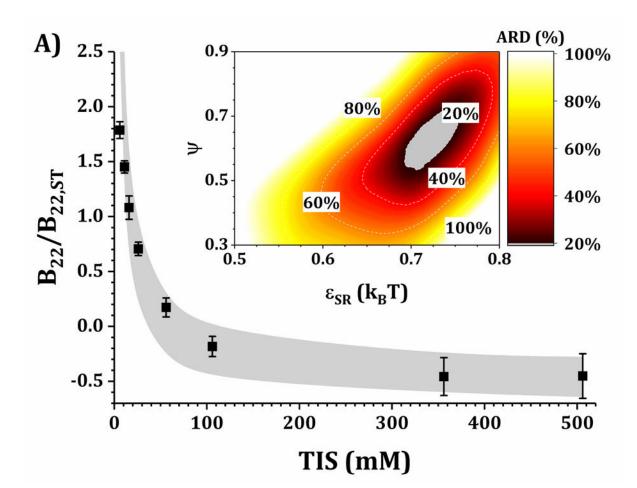
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#### Figure 4. Main panels

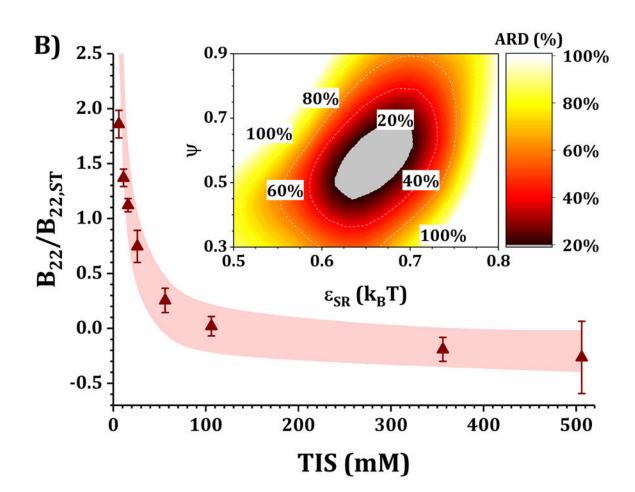
comparison of  $B_{22}/B_{22,ST}$  as a function of *TIS* between experimental (symbols) and simulated values (shaded areas) using the HEXA model at pH 5 for buffer-only (panel A) and 5% w/w added sucrose (panel B) and at pH 6.5 for buffer-only (panel C) and 5% w/w added sucrose (panel D). **Inset:** surface response of ARD values as a function of  $\varepsilon_{SR}$  and  $\psi$  for each respective formulation.



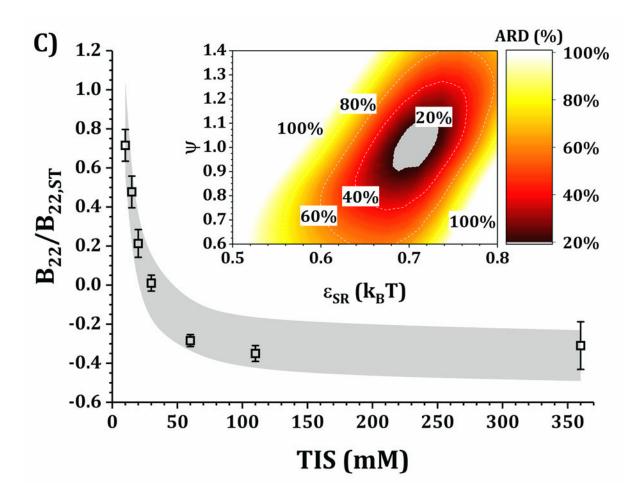
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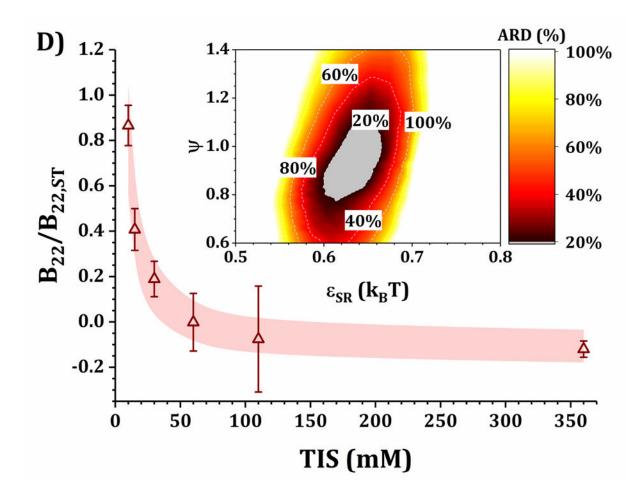


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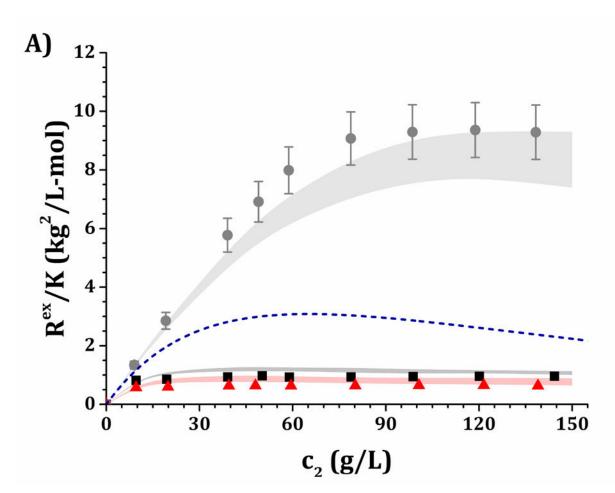
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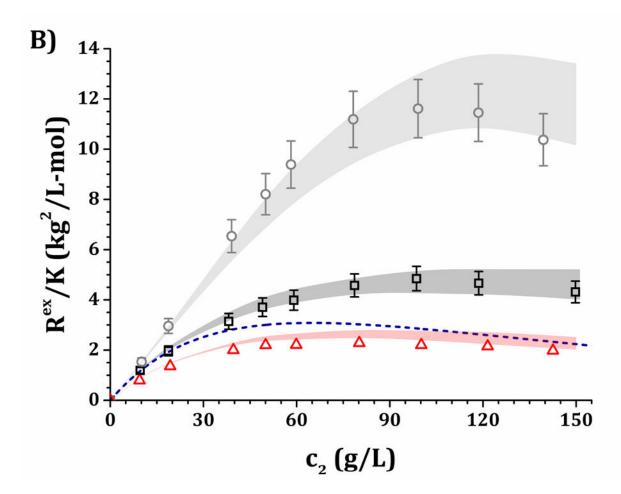
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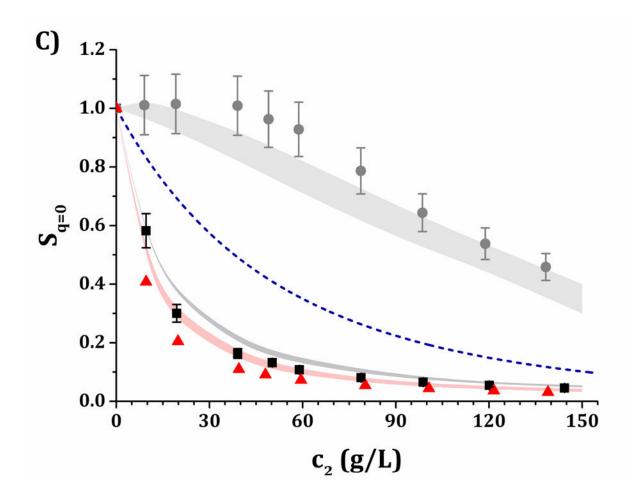


#### Figure 5. Main panels

comparison of  $B_{22}/B_{22,ST}$  as a function of *TIS* between experimental (symbols) and simulated values (shaded areas) using the DODECA model at pH 5 for buffer-only (panel A) and 5% w/w added sucrose (panel B) and at pH 6.5 for buffer-only (panel C) and 5% w/w added sucrose (panel D). **Inset:** surface response of ARD values as a function of  $e_{SR}$  and  $\psi$  for each respective formulation.

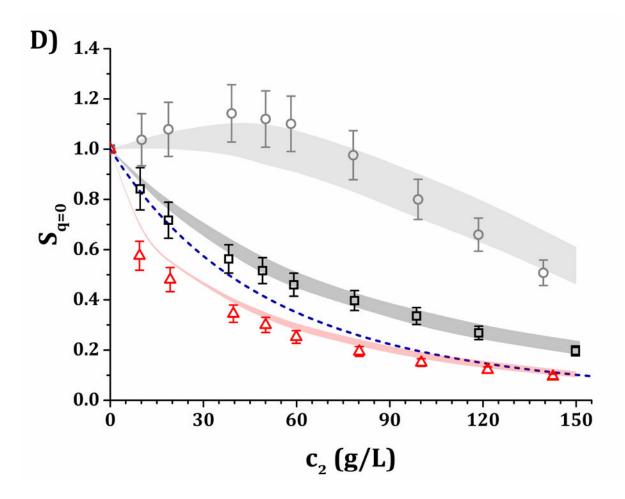






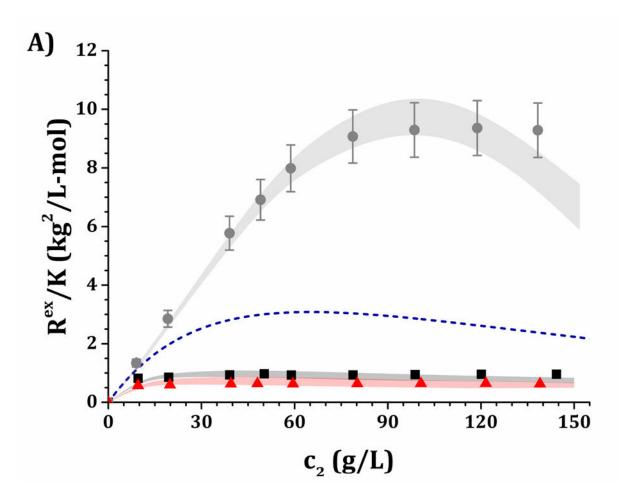
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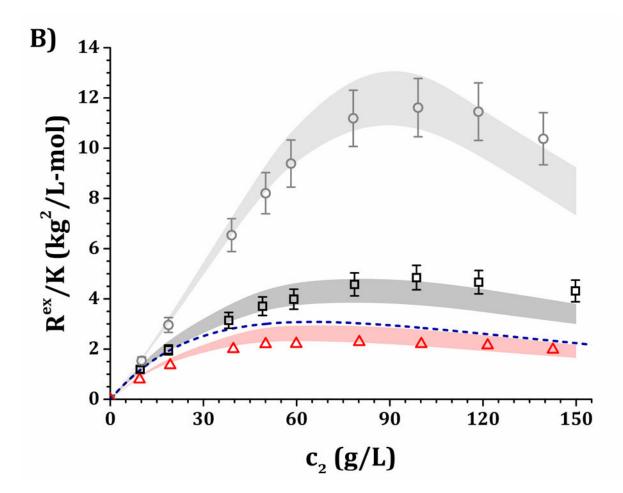
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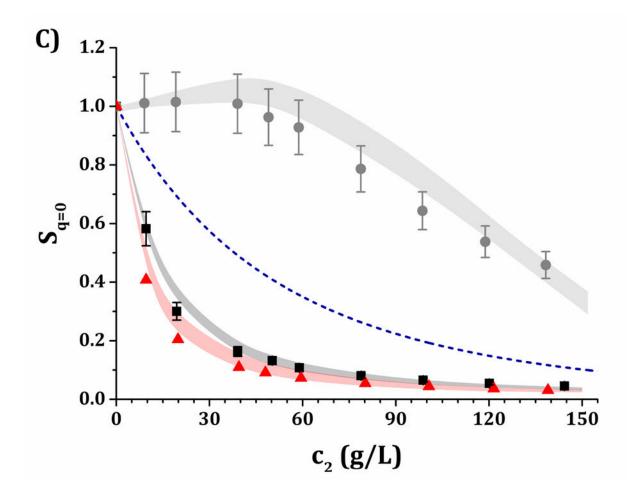


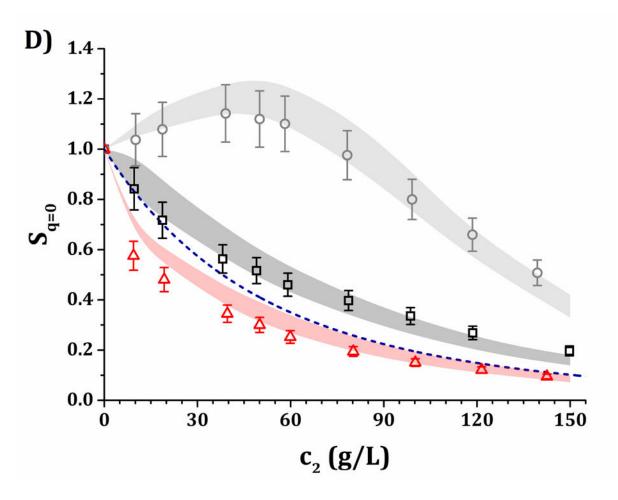
#### Figure 6.

High- $c_2$  predictions of  $R^{ex}/K$  and  $S_{q=0}$  from low- $c_2$  parameters with the HEXA model shown in Fig. 4, for pH 5 (panels A and C) and pH 6.5 (panels B and D) and for buffer-only (black squares), 5% w/w sucrose (red triangles) and 100 mM NaCl (gray circles). The symbols represent the experimental data from insets in Fig. 3 while shaded areas represent the model predictions. The blue dashed line represents the steric-only behavior.



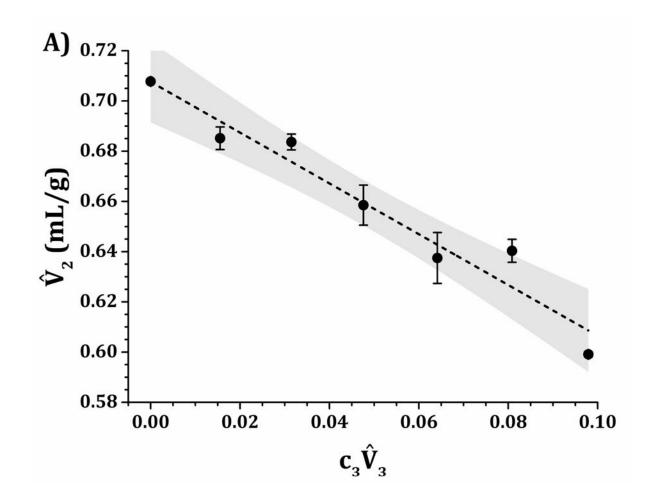


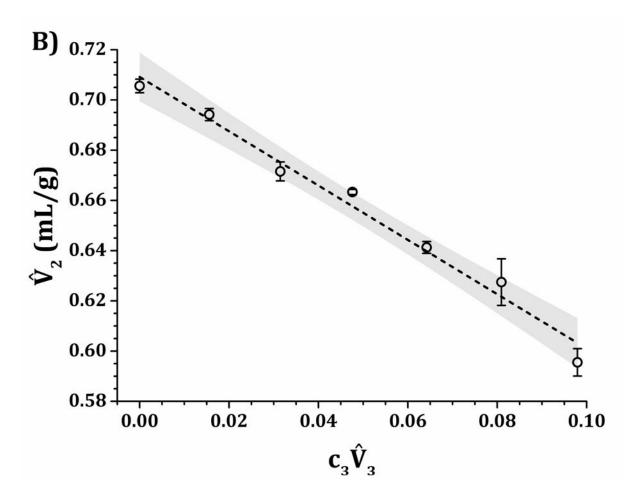




#### Figure 7.

High- $c_2$  predictions of  $R^{\text{ex}}/K$  and  $S_{q=0}$  from low- $c_2$  parameters with the DODECA model shown in Fig. 5, for pH 5 (panels A and C) and pH 6.5 (panels B and D) and for buffer-only (black squares), 5% w/w sucrose (red triangles) and 100 mM NaCl (gray circles). The symbols represent the experimental data from insets in Fig. 3 while shaded areas represent the model predictions. The blue dashed line represents the steric-only behavior.





#### Figure 8.

IgG1 values as a function of sucrose concentrations at 25 °C and pH 5 (panel A) and pH 6.5 (panel B) for quaternary solutions of water, IgG1, sucrose and 10 mM acetate (panel A) or histidine (panel B) buffer. The dashed lines represent the linear fits to extract ( $G_{12}$ - $G_{23}$ ) from equation 3 with the assumption that  $c_4\hat{V}_4$  is negligible. Shaded areas represent the 95% confidence level of each individual linear fit.

#### Table 1

Summary of formulations for low- $c_2$  data.

Formulation	Additional excipient (concentration range)	Experiment
pH 5, 10 mM acetate	NaCl	SLS
pH 5, 10 mM acetate + 5% w/w sucrose	(0 – 500 mM)	SLS
pH 6.5, 10 mM histidine	NaCl	SLS
pH 6.5, 10 mM histidine + 5% w/w sucrose	(0 – 350 mM)	SLS
pH 5, 10 mM acetate	Sucrose	Densimetry
pH 6.5, 10 mM histidine	(0 – 24% w/w)	Densimetry

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Table 2

Model	Чvн	qvl	qсг	<b>q</b> сн1	q <sub>CH2</sub>	дснз
DODECA, pH 5	1.89	0.72	2.94	6.27	5.07	2.78
DODECA, pH 6.5	0.29	0.02	0.55 4.53	4.53	1.82	-0.20
HEXA, pH 5	2.0	2.61	.6	9.21	10.14	5.56
HEXA, pH 6.5	0.3	0.31	-2	5.08	3.64	-0.40

#### Table 3

Model parameters for the steric-only EoS.

A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	A <sub>4</sub>	A <sub>5</sub>
17	$10.551 \pm 0.006$	$62.2\pm0.2$	$136\pm1$	$468\pm4$

 ${}^{\dagger}$ Preset to comply with dilute limit behavior (ideal gas EoS)

## Table 4

Summary of formulation conditions and model parameter spaces that best predict the high- $c_2$  SLS data (ARD 20%) shown in Figs. 6 and 7.

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		HEXA		DODECA	
Formulation	Short notation	$\boldsymbol{\mathcal{E}}_{SR}\left(k_{B}T\right)$	м	$\boldsymbol{\mathcal{E}}_{SR} \; (k_BT)$	м
pH 5, 10 mM acetate	pH 5, buffer-only	1.1-1.2	0.37-0.40	0.37-0.40 0.70-0.74 0.50	0.50
pH 5, 10 mM acetate + 5% w/w sucrose	pH 5, sucrose	0.90–1.0 0.40	0.40	0.64–0.70 0.50	0.50
pH 5, 10 mM acetate + 100 mM NaCl	pH 5, NaCl	1.15	0.30-0.40	0.30-0.40 0.70-0.74 0.45-0.66	0.45–0.66
pH 6.5, 10 mM histidine	pH 6.5, buffer-only 1.1–1.2	1.1–1.2	0.56-0.68	0.56–0.68 0.70–0.74 0.90	06.0
pH 6.5, 10 mM histidine + 5% w/w sucrose pH 6.5, sucrose	pH 6.5, sucrose	0.90 - 1.0	0.62-0.68	0.90–1.0 0.62–0.68 0.64–0.70 0.83–1.0	0.83-1.0
pH 6.5, 10 mM histidine + 100 mM NaCl pH 6.5, NaCl	pH 6.5, NaCl	1.15	0.56-0.68	0.56-0.68 0.70-0.74 0.90-1.0	0.90 - 1.0