

Low neutrophil-lymphocyte ratio correlates with extended survival in patients with metastatic breast cancer who achieved clinically complete response following multidisciplinary therapy: A retrospective study

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Abstract. The prognosis of patients with metastatic or recurrent breast cancer (MBC) is improving as novel treatments are developed. The present study compared the clinical characteristics of patients with MBC with or without a complete clinical response (cCR) and identified the survival-associated factors. This was a retrospective study, which included 171 patients treated for MBC between 2011 and 2017 at the Shiga Medical Center for Adults. Neutrophil to lymphocytes ratios (NLRs) were determined in blood samples. The median follow-up period following diagnosis of MBC was 44 months (range, 0-217 months). A total of 32 patients (18.7%) achieved a cCR. Compared with the non-cCR group, the cCR group had significantly fewer metastases or recurrences ($P < 0.001$), significantly fewer visceral metastases ($P < 0.001$), a significantly lower NLR ($P < 0.001$) and were diagnosed with primary breast cancer at a significantly earlier stage ($P = 0.003$). Prognosis was significantly improved in the cCR group compared with the non-cCR group ($P < 0.001$) and a high NLR (≥ 19) independently predicted worse survival in a multivariate analysis ($P = 0.0218$; hazard ratio, 1.75; 95% confidence interval, 1.09-2.85). In conclusion, the present study

determined that achieving a cCR and having a low NLR are important for the long-term survival of patients with MBC.

Introduction

Approximately 20-30% of breast cancers ultimately metastasize or recur. The treatments for metastatic or recurrent breast cancer (MBC) are diverse, and treatment innovations have improved the prognosis and life expectancy of MBC patients (1). More than 20 years ago, only 2-3% of MBC patients achieved a clinical complete response (cCR) and the 10-year survival rate was only about 5% (2-5), http://ganjoho.jp/reg_stat/). Today, the 10-year survival rate of MBC is 15.6%, and the 5-year survival rate is 32.6%, according to the Research Group of the Japanese National Cancer Research Center (6).

Treatment innovations in the past 10 years include the use of small molecule inhibitors and anti-human epidermal growth factor receptor 2 (HER2) antibodies. In recent clinical trials including anti-HER2 therapy, 10-20% of patients with metastatic breast cancer achieved a cCR (7-9). However, the factors responsible for a cCR are not known, nor is it known when patients discontinue treatment once a cCR is achieved. Owing to potential adverse events, unnecessary treatments should be avoided.

In recent years, many research groups have investigated the value of anticancer immune responses and the hematological components of the systemic inflammatory response specifically for use in predicting outcome. Some studies have evaluated the prognostic and predictive importance of tumor-infiltrating lymphocytes (TILs) in breast cancer (10,11). And some have reported that the combination of the hematological components of the systemic inflammatory response, as the neutrophil-lymphocyte ratio (NLR) have prognostic value in a variety of cancers (12-16).

Therefore, the aim of this study is to analyze the association between cCR and overall survival (OS), and TILs or NLR might be prognostic factor in metastatic breast cancer.

Patients and methods

Patients. A hundred and seventy-one patients with histologically or clinically confirmed MBC who were consecutively

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Abbreviations: cCR, clinical complete response; ER, estrogen receptor; HER2, human epithelial growth factor receptor 2; MBC, metastatic breast or recurrent breast cancer; NLR, neutrophil-lymphocyte ratio; OS, overall survival; TIL, tumor-infiltrating lymphocyte

Key words: clinical complete response, metastatic breast cancer, multidisciplinary therapy, neutrophil-lymphocyte ratio, no evidence of disease, tumor-infiltrating lymphocytes

treated at the Shiga Medical Center for Adults (Moriyama, Shiga, Japan) between 2011 and 2017 (Table I). Patients had either de novo MBC, a recurrence of a local breast cancer, or distant metastases that appeared after treatment of the primary cancer. Medical records were reviewed in detail. Patients who achieved a cCR were defined as those with no evidence of disease after treatment for MBC (i.e., no evidence of clinical or radiological disease according to the Response Evaluation Criteria in Solid Tumors and as evaluated via computed tomography, magnetic resonance imaging, or positron emission tomography). The frequency and modality of radiographic imaging were at the discretion of the treating physician.

In patients with primary stage IV disease, the abundance of TILs was approximated by examining hematoxylin- and eosin-stained tumor samples under medium power (100x). This examination was limited to patients with stage IV disease because they did not receive prior treatments, which might have affected the TIL score. All samples were reviewed by pathologists. TIL score was defined as the percentage of the tumor and adjacent stroma area infiltrated by lymphocytes; the scores were classified as low (<10%), intermediate (≥10%, 50%>) or high (≥50%) (10,11). Immunohistochemistry was performed to identify the antigens (CD4 and CD8) in the cell membranes of the TILs. Furthermore, neutrophils are easily affected by factors like infection or therapeutic exposure. In order to minimize the effects of treatment or tumor progression, NLRs were determined in blood samples at diagnosis.

The study design was approved by Ethics Review Board of Shiga Medical Center for Adults according to the Declaration of Helsinki.

Statistics. Qualitative data were examined for differences between the cCR and non-cCR groups; both patient and tumor characteristics were examined, and the chi-square test was used. OS was defined as the interval between the date of diagnosis and the date of the last follow-up or death from any cause. OS was calculated using Kaplan-Meier estimates, and differences in OS were evaluated using the log-rank test. A P-value <0.05 was considered significant. A multivariable Cox proportional hazards regression model was used to identify OS-associated factors. To estimate effects of each factor, hazard ratios with 95% confidence intervals were calculated. Data were analyzed using Stat Mate V for Win & Mac Hybrid software (ATMS Co., Ltd, Tokyo, Japan).

Results

The median follow-up time for the 171 patients with MBC in our study was 44 months (range, 0-271 months). Thirty-two (18.7%) patients, including 10 patients with HER2⁺-disease (5.8%), had a cCR, with no evidence of disease or a secondary recurrence for 40 months (range, 0-200 months); no patient died during 40 months. All cCR patient terminated treatment after the first or second line of MBC therapy. Most of them had multiple metastatic sites, limited to median 2 organs (range, 1-3 organs). The median time to achieve a cCR was 20 months (range, 0-85 months). Although patients who had achieved cCR included patients who had undergone metastatic site resection without systemic therapy, usually their main therapy was systemic therapy. Compared with

non-cCR patients, cCR patients had fewer sites of metastases or recurrences (P<0.001), fewer visceral metastases (P<0.001), and a lower NLR (P<0.001) and were diagnosed with primary breast cancer at an earlier stage (P=0.003).

Among the 120 (70.2%) patients with visceral metastases, 7 patients (5.8%) achieved a cCR: 5 patients received systemic therapy without surgery, and 2 patients underwent resection for brain and lung metastases, respectively. In patients with visceral metastases, the NLR at diagnosis was significantly lower in the cCR (n=7) than the non-cCR group (n=25, P<0.001). The characteristics of patients with visceral metastasis are summarized in Table II.

Median OS were longer in cCR group than non-cCR group (P<0.001; Fig. 1). OS was also longer in patients with a low NLR (<1.9) than in those with a high NLR (≥1.9) at the time of MBC diagnosis (33 vs. 79 months, P=0.004; Fig. 2). In the multivariate analysis, a high NLR was associated with worse OS (P=0.0218; hazard ratio, 1.75; 95% confidence interval, 1.09-2.85; Table III). Three patients with a high NLR achieved a cCR, none of three had visceral metastases, and all of them received multidisciplinary therapy consisting of systemic therapy and local resection.

Core needle biopsy samples were obtained from 26 stage IV MBC patients before treatment (Table IV). Two patients had synchronous bilateral breast cancers. Lymphocyte infiltration was scored as high [≥50%; (Fig. 3A), intermediate (≥10%, 50%>), and low (<10%; (Fig. 3B)]. Focusing on 4 patients with triple-negative disease, all of them belonged to low TILs and resulted in non-cCR.

Discussion

MBC accounts for most breast cancer-associated deaths. However, some patients with MBC achieve a cCR and survive for a long time after multidisciplinary treatment. Owing to new agents and therapies, the prognosis for MBC has been improving (1).

In the present study, patients who achieved a cCR survived for a longer period of time than those who did not (Fig. 1). Compared with patients in the non-cCR group, those in the cCR group were diagnosed with primary breast cancer at an earlier stage and had fewer number of recurrent or metastatic sites, and a lower NLR (Table I). Over half of the patients in cCR group acquired NED status after local resection of lymph node metastases or oligometastases. Thus, volume reduction is an instrumental in achieving a cCR, irrespective of phenotype or Ki-67 status. Most important strategy is appropriate primary disease control. Table II shows that the cCR group tended to have a small number of metastatic sites and a low NLR, even if visceral metastases were present. Seven patients who had a cCR had visceral metastases, 5 of 7 received systemic therapy without surgery. Although the number of patients with visceral metastases who achieved a cCR is small, these patients are expected to increase along with new drugs development. Since trastuzumab was developed in the 1990's, improvement of anti-HER2 therapy has been remarkable. An increase of the patients who achieve a cCR, especially HER2⁺ patients, is expected in the future.

Our study verified the prognostic value of NLR in MBC, as reported by others (12-16). Additionally, patients with a high

Table I. Characteristics of patients with metastatic or recurrent breast cancer.

Variable	All patients (n=171)	cCR (n=32)	non-cCR (n=139)	P-value
Follow-up period (months)				0.135
Median	44	60	47	
Range	0-271	1-247	0-271	
Age at primary breast cancer (y.o.)				0.142
Median	55	52	55	
Range	29-89	32-75	29-89	
Age at metastatic breast cancer (y.o.)				0.232
Median	59	57	59	
Range	31-92	32-81	31-92	
Disease stage at primary diagnosis, no. (%)				0.003
Stage 0	4 (2.3)	2 (6.3)	2 (1.4)	
Stage I	24 (14.0)	11 (34.3)	13 (9.4)	
Stage II	42 (24.6)	5 (15.6)	37 (26.6)	
Stage III	46 (27.0)	8 (25.0)	38 (27.3)	
Stage IV	38 (22.2)	4 (12.5)	34 (24.5)	
Unknown	17 (9.9)	2 (6.3)	15 (10.8)	
Histology, no. (%)				0.619
Invasive ductal	148 (86.4)	30 (93.8)	118 (84.9)	
Invasive lobular	7 (4.1)	0 (0.0)	7 (5.0)	
Mixed	3 (1.8)	0 (0.0)	3 (2.2)	
Sarcoma	1 (0.6)	0 (0.0)	1 (0.7)	
Other	9 (5.3)	2 (6.2)	7 (5.0)	
Unknown	3 (1.8)	0 (0.0)	3 (2.2)	
Receptor status, no. (%)				0.358
ER+/HER2-	93 (54.4)	19 (59.4)	74 (53.2)	
ER+/HER2+	23 (13.5)	4 (12.5)	19 (13.7)	
ER-/HER2+	20 (11.7)	6 (18.8)	14 (10.1)	
ER-/HER2-	28 (16.4)	2 (6.3)	26 (18.7)	
Unknown	7 (4.0)	1 (3.0)	6 (4.3)	
Ki-67 labeling index				0.885
Median, SD	20.3±19.6	20.5±23.7	20.9±18.6	
Range	1.5-90	1.5-90	2-80	
Site No. of metastasis/recurrence				<0.001
1	52 (30.4)	25 (78.1)	27 (19.4)	
2	48 (28.1)	6 (18.8)	42 (30.2)	
≥3	71 (41.5)	1 (3.1)	70 (50.4)	
No. of visceral metastasis PgR status				<0.001
0	51 (29.8)	25 (78.1)	26 (18.7)	
1	76 (44.5)	6 (18.8)	70 (50.4)	
2	40 (23.4)	1 (3.1)	39 (28.1)	
3	4 (2.3)	0 (0.0)	4 (2.8)	
NLR at diagnosis of metastasis/recurrence				<0.001
Median, SD	2.44±1.97	1.46±0.35	2.66±2.16	
Range	0.83-17.50	0.93-2.77	0.83-17.50	

Bold type indicates a statistically significant difference (P<0.005). cCR, clinical complete response; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; NLR, neutrophil-lymphocyte ratio.

Table II. Characteristics of patients with visceral metastases.

Variables	All patients (n=120)	cCR (n=7)	non-cCR (n=113)	P-value
Follow-up period (months)				0.153
Median	44	98	40	
Range	0-247	13-247	0-171	
Age at primary breast cancer (y.o.)				0.222
Median	55	49	55	
Range	29-89	32-61	29-89	
Age at metastatic breast cancer (y.o.)				0.077
Median	59	50	59	
Range	31-89	32-61	31-89	
Disease stage at primary diagnosis, no. (%)				0.942
Stage 0	2 (1.7)	0 (0.0)	2 (1.8)	
Stage I	10 (8.3)	1 (14.2)	9 (8.0)	
Stage II	33 (27.5)	2 (28.6)	31 (27.4)	
Stage III	33 (27.5)	2 (28.6)	31 (27.4)	
Stage IV	30 (25.0)	2 (28.6)	28 (24.8)	
Unknown	12 (10.0)	0 (0.0)	12 (10.6)	
Histology, no. (%)				0.964
Invasive ductal	106 (88.4)	7 (100.0)	99 (87.6)	
Invasive lobular	4 (3.3)	0 (0.0)	4 (3.5)	
Mixed	1 (0.8)	0 (0.0)	1 (0.9)	
Sarcoma	1 (0.8)	0 (0.0)	1 (0.9)	
Other	6 (5.0)	0 (6.2)	6 (5.3)	
Unknown	2 (1.7)	0 (0.0)	2 (1.8)	
Receptor status, no. (%)				0.983
ER+/HER2-	65 (54.2)	4 (57.1)	61 (54.0)	
ER+/HER2+	15 (12.5)	1 (14.3)	14 (12.4)	
ER-/HER2+	14 (11.7)	1 (14.3)	13 (11.5)	
ER-/HER2-	22 (18.3)	1 (14.3)	21 (18.6)	
Unknown	4 (3.3)	0 (0.0)	4 (3.5)	
Ki-67 labeling index				0.352
Median \pm SD	22.3 \pm 19.6	13.3 \pm 20.6	23.2 \pm 18.9	
Range	1.5-80	1.5-50	2-80	
Site no. of metastasis/recurrence				0.006
1	17 (14.2)	3 (42.9)	14 (12.4)	
2	36 (30.0)	4 (57.1)	32 (28.3)	
\geq 3	67 (55.8)	0 (0.0)	67 (59.3)	
NLR at diagnosis of metastasis/recurrence				<0.001
Median \pm SD	2.84 \pm 2.31	1.29 \pm 0.10	2.92 \pm 2.34	
Range	0.83-17.50	1.21-1.40	0.83-17.50	

Bold type indicates a statistically significant difference ($P < 0.01$). cCR, clinical complete response; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; NLR, neutrophil-lymphocyte ratio.

NLR achieved a cCR by multidisciplinary therapy combined with, local resection and systemic therapy. Neutrophils play an important role in the metastatic microenvironment (17-19). It is generally believed that neutrophils dynamically regulate cancer progression and metastasis. Resection of metastatic sites where the immune system does not target cancer cells is a reasonable strategy.

To assess the relationship between the tumor microenvironment and therapeutic effects, we focused on TILs because a high serous NLR might reflect local lymphocyte invasion. We examined lymphocyte infiltration in patients with stage IV disease (Table IV); because they were treatment-naïve, and their TIL scores were treatment-unrelated. Twenty-eight specimens from 26 patients were available for review. Assessment

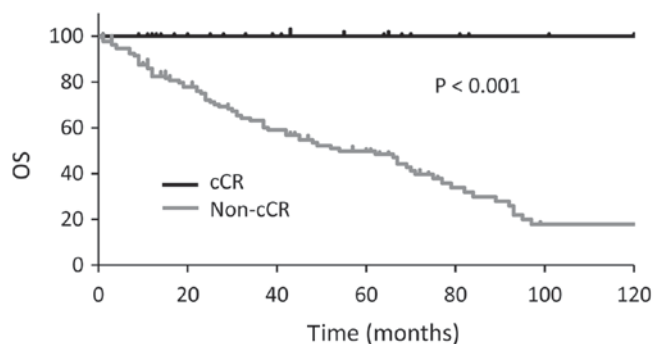


Figure 1. Kaplan-Meier plot comparing OS times between the cCR group and the non-cCR group. OS, overall survival; cCR, clinical complete response.

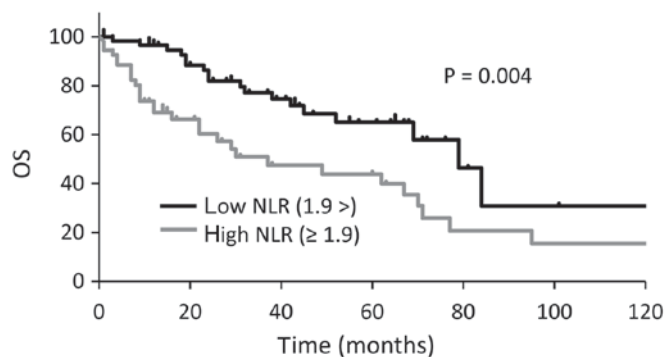


Figure 2. Kaplan-Meier plot comparing OS times between patients with a low NLR (< 1.9) and patients with a high NLR (≥ 1.9). OS, overall survival; NLR, neutrophil-lymphocyte ratio.

of the 4 patients (3 low TILs; 1 high TILs) who achieved a cCR [estrogen receptor (ER)⁺/HER2⁻, 2 patients; ER⁺/HER2⁺, 2 patients] showed that the TIL score had no prognostic value in MBC. According to previous reports, the TIL score is a prognostic marker in HER2⁺ breast cancers (20), as well as triple-negative breast cancers (TNBC) in the both neoadjuvant and adjuvant settings (11,21,22). Because all TNBC patients in this study showed low TILs, the relationship between prognosis of TNBC patients and high TILs could not be evaluated.

We did not evaluate the biopsy samples from all metastatic sites. This would be of interest because metastatic cancer cells have different characteristics from primary cancer cells (23). Additionally, TILs review was performed in core needle biopsy samples histologically. Strictly, core needle biopsy was not standard approach for TILs evaluation (10).

In our study, 43 of the 137 patients with primary stage I-III breast cancer experienced recurrence during adjuvant therapy; the phenotypes of tumors were ER⁺/HER2⁻ (29 patients), ER⁺/HER2⁺ (9 patients), ER⁻/HER2⁺ (1 patient), and ER⁻/HER2⁻ (4 patients). In these patients, recurrence is thought to be mainly from tumor-related factors (e.g., resistance to systemic therapy) rather than host-related factors. Because recent whole-exosome and transcriptome analysis revealed that one of the most important mechanism in acquired drug resistance in breast cancer therapy is mutation in cancer cells, not in host normal cells (24,25). Host-related factors such as individual adherence to therapy, ability of drug metabolism, activity of drug degrading enzyme are also important. However, appropriate

Table III. Multivariate analysis of factors associated with overall survival.

Variable	HR	95% CI	P-value
Non-cCR	2.27	0.87-5.94	0.0955
Primary stage IV	1.14	0.64-2.03	0.6495
Metastatic sites no. ≥ 3	1.79	0.95-3.36	0.0714
Visceral sites no. ≥ 2	1.07	0.62-1.86	0.7968
NLR ≥ 1.90	1.75	1.09-2.85	0.0218

Bold type indicates a statistically significant difference ($P < 0.05$). HR, hazard ratio; CI, confidence interval; cCR, clinical complete response; NLR, neutrophil-lymphocyte ratio.

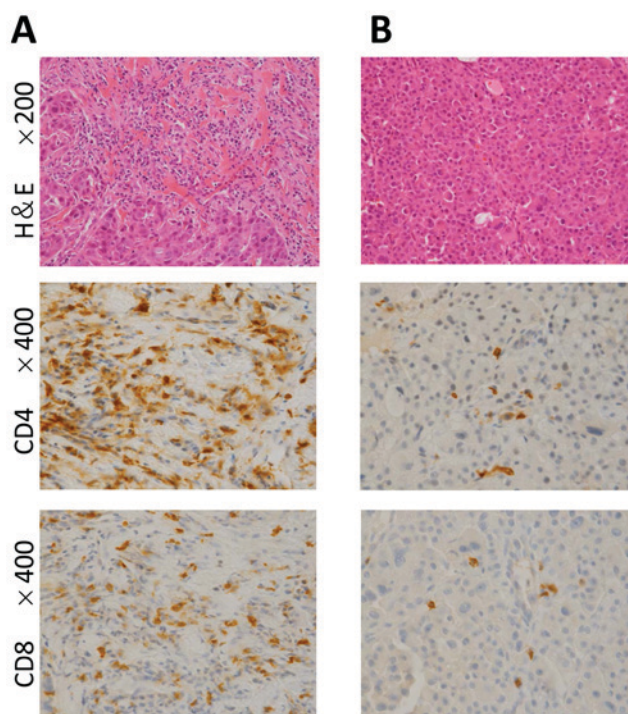


Figure 3. Representative photomicrographs of TILs in two patients with untreated HER2-enriched type stage IV metastatic breast cancer. Each patient achieved a cCR. (A) one had a high TIL score and the other had a (B) low TIL score. Upper images, hematoxylin and eosin stained tissue in a field viewed at medium power (magnification, x200). Middle images, CD4 immunostaining (magnification, x400). Lower images, CD8 immunostaining (magnification, x400). TIL, tumor infiltrating lymphocyte; HER2, human epidermal growth factor receptor 2.

adjuvant systemic therapy is especially needed regarding the high mutation activity of tumor related to drug-resistance.

In conclusion our study showed cCR and low NLRs associate with extended survival times in patients with MBC.

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Table IV. Clinicopathological implications of TILs for patients with primary stage IV disease.

No.	Age	ER/HER2	Ki-67 (%)	Metastatic site	TILs	Outcome	f/u (months)	Other information
1	66	+/-	20	Bone, lung, LN	Low	PD	16	
2	67	+/- (rt.)	2	Lung	Low	SD	19	Bilateral
		+/- (lt.)	2	Lung	Low	SD	19	
3	65	+/-	20	Lung	Low	SD	50	
4	85	+/-	50	Lung	Low	PR	11	
5	59	+/-	7.5	Bone, lung, LN	Intermediate	SD	15	
6	68	+/-	20	Bone	Low	cCR	29	
7	59	+/-	5	Bone, liver, LN	Low	Deceased	10	ILC
8	89	+/-	10	Bone, lung	Low	Deceased	10	ILC
9	80	+/-	10	Bone	Low	PD	57	
10	56	+/-	4	Contralateral breast, bone, pleura, LN, peritoneum	Intermediate	Deceased	7	ILC
11	58	+/-	/	Bone, pleura, lung	Low	Deceased	43	IMPC
12	61	+/-	40	Bone, liver	Low	Deceased	4	
13	61	+/-	1.5	Lung	Low	cCR	69	
14	66	+/-	/	Bone, lung, liver, LN	Low	Deceased	66	
15	54	+/-	/	Bone, pleura, pericardiac membrane	Intermediate	Deceased	62	
16	44	+/- (rt.)	2	Bone	Intermediate	PD	14	Bilateral
	44	+/+ (lt.)	3	Bone	Intermediate	PD	14	
17	64	+/+	10	Bone, liver	Low	PR	3	
18	36	+/+	30	Bone, lung	Low	PR	19	
19	62	-/+	50	Lung, liver	Intermediate	SD	48	
20	56	-/+	90	Contralateral LN	Low	cCR	14	Fig. 3B
21	32	-/+	50	Lung, LN	High	cCR	38	Fig. 3A
22	57	-/+	40	Bone, pleura, LN, contralateral breast, local	Intermediate	PD	37	
23	75	-/-	5	Bone, lung, liver, muscle	Low	Deceased	4	
24	87	-/-	7.5	Bone	Low	SD	2	
25	60	-/-	/	Pleura, local	Low	Deceased	67	
26	85	-/-	/	Lung, peritoneum, LN	Low	SD	1	

TILs, tumor infiltrating lymphocytes; ER, estrogen receptor; HER2, human epidermal growth factor receptor 2; rt., right; lt., left; LN, lymph nodes; cCR, clinical complete response; PR, partial response; SD, stable disease; PD, progressive disease; BC, breast cancer; f/u, follow up; ILC, invasive lobular carcinoma; IMPC, invasive micropapillary carcinoma.

Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Authors' contributions

HT, WT and FY designed the study. MS and YY contributed to the evaluation of TIL scoring and the immunohistochemistry analysis of CD4 and CD8. HT performed survival analysis and multivariate analysis of the other data.

Ethics approval and consent to participate

The present study was approved by the Ethics Review board of the Shiga Medical Center for Adults.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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