

# Germline mutations in the alternative pathway of complement predispose to HELLP syndrome

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BACKGROUND. HELLP (hemolysis, elevated liver enzymes, and low platelets) syndrome is a severe variant of hypertensive disorders of pregnancy affecting approximately 1% of all pregnancies, and has significant maternal and fetal morbidity. Previously, we showed that upregulation of the alternative pathway of complement (APC) plays a role in HELLP syndrome. We hypothesize that HELLP syndrome follows a 2-hit disease model similar to atypical hemolytic uremic syndrome (aHUS), requiring both genetic susceptibility and an environmental risk factor. Our objective was to perform a comparative analysis of the frequency of APC activation and germline mutations in affected women and to create a predictive model for identifying HELLP syndrome.

**METHODS.** Pregnant women with HELLP syndrome, and healthy controls after 23 weeks of gestation were recruited, along with aHUS and thrombotic thrombocytopenic purpura participants. We performed a functional assay, the mHam, and targeted genetic sequencing in all groups.

**RESULTS.** Significantly more participants with rare germline mutations in APC genes were present in the HELLP cohort compared with controls (46% versus 8%, P = 0.01). In addition, significantly more HELLP participants were positive for the mHam when compared with controls (62% versus 16%, P = 0.009). Testing positive for both a germline mutation and the mHam was highly predictive for the diagnosis of HELLP syndrome.

**CONCLUSION.** HELLP syndrome is characterized by both activation of the APC and frequent germline mutations in APC genes. Similar to aHUS, treatment via complement inhibition to mitigate maternal and fetal morbidity and mortality may be possible.

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#### Introduction

Preeclampsia is a devastating multisystem disorder of pregnancy that occurs in 3%–5% of all pregnancies, manifesting as hypertension with or without proteinuria and/or end-organ damage. Notably, not only does preeclampsia account for maternal morbidity, but it also accounts for 30% of all preterm deliveries, which results in neonatal intensive care unit admissions, increased health care costs, severe neonatal morbidity, and neonatal mortality (1–3). HELLP (hemolysis, elevated liver enzymes, and low platelets) syndrome is the most severe variant of this disorder, and affects approximately 1% of all pregnancies (4). While thought to be due to endothelial cell dysfunction, the precise etiology of both preeclampsia and HELLP syndrome remains unclear, resulting in treatment with supportive regimens such as fetal monitoring, steroids for fetal lung maturity, magnesium for seizure prophylaxis, management of hypertension and ultimately delivery that results in iatrogenic preterm birth (1, 5–7). Furthermore, the diagnostic criteria for HELLP syndrome are ambiguous, with 2 distinct sets of criteria (Mississippi and Tennessee) based on clinical testing that can be confused by differences in laboratory assays (8).



Complement plays a crucial role in host immunity secondary to the opsonization of pathogens, the recruitment and activation of inflammatory cells, and the initiation of membrane attack complexes (MACs) (9, 10). It consists of an enzymatic cascade of over 30 proteins that are activated by the classical pathway, the lectin pathway, and the alternative pathway (9). While the classical pathway depends on antigen-antibody complexes (e.g., lupus) for activation, the alternative pathway of complement (APC) is antibody independent and has various triggers including infection, trauma, and pregnancy (11). In disease states, dysregulation of the APC is frequently secondary to inactivation of regulatory proteins, resulting in amplification loops that increase proinflammatory cytokines and activation of C3 and C5, leading to an increase in formation of MACs and subsequent endothelial damage.

Although integral in host survival, the overactivation of complement can cause highly morbid diseases, exemplified by atypical hemolytic uremic syndrome (aHUS). aHUS is caused by APC dysregulation and presents with signs of thrombocytopenia, hemolytic anemia, acute kidney injury, hypertension, and neurologic abnormalities, a clinical presentation phenotypically similar to HELLP syndrome (12, 13). Heterozygous germline mutations in genes that function in the APC are found in approximately 50% of aHUS patients, leading to loss of function of complement inhibitors or occasionally gain of function in complement activators. Mutations in APC genes have also been identified in small cohorts of patients with preeclampsia and HELLP syndrome (14–21). While genetic alterations confer susceptibility to disease in aHUS, an additional risk factor, or trigger, is required for disease onset (12). Importantly, treatment with terminal complement inhibitors such as eculizumab are effective in treating aHUS (22).

Previously, we assessed activation of the APC in patients with HELLP syndrome using the modified Ham (mHam) test (13). This assay measures the ability of a patient's serum to induce death of cells sensitive to complement activity due to a lack of glycosylphosphatidylinositol-modified (GPI-modified) proteins. Without GPI, the cells cannot anchor crucial complement regulator proteins of the APC to their membrane, such as CD55 and CD59, and are unable to regulate complement activation (20). Thus, the mHam is a functional assay that can be used to delineate diseases of APC dysregulation, and has been validated in its ability to distinguish aHUS from thrombotic microangiopathies (TMAs) that have similar clinical presentations but differ in pathophysiology (13, 20). We previously reported that like aHUS, a majority of HELLP syndrome patients display dysregulation of the APC in their serum, evidenced by increased cell killing in the mHam assay (13).

Based on our data, we hypothesized that HELLP syndrome follows a 2-hit disease model similar to aHUS, requiring both a germline susceptibility mutation as well as an environmental risk factor (pregnancy). Here, we show that the APC is more likely to be active in the serum of patients with HELLP syndrome compared with healthy pregnant females. In addition, we find that patients with HELLP syndrome harbor germline mutations in APC genes at a similar prevalence to that seen in aHUS. We envision this information to be useful in identifying women that have APC dysregulation that may respond to complement inhibition, much like other diseases of the APC.

## Results

Participant demographics. To test our hypothesis, women with HELLP syndrome, partial HELLP syndrome, and healthy pregnant controls were recruited from 2015 to 2016. Our cohort consisted of 13 participants with HELLP syndrome (H1–H13, Table 1), 14 participants with partial HELLP syndrome (PH1–PH14, Table 2), and 19 healthy pregnant controls (HPC1–HPC19, Table 3). In order to compare these cohorts to patients with TMA, we also recruited 18 participants diagnosed with aHUS (aHUS1–aHUS18, Table 4), and 8 participants diagnosed with thrombotic thrombocytopenic purpura (TTP1–TTP8, Table 4). The participant demographics among HELLP, partial HELLP, and HPC cohorts did not differ in body mass index (BMI), race, or parity. However, the HELLP group had a statistically significant younger age when compared with the HPC group (27 versus 32 years, P < 0.030), but not when compared with partial HELLP syndrome. We also found that the mean estimated gestational age (EGA) of HELLP participants was significantly younger compared with the HPC group (30 versus 37 weeks, P < 0.001).

HELLP participants had a significantly greater incidence of adverse maternal and/or fetal events compared with both partial HELLP and HPC groups. Of the HELLP group participants, 7 of 13 (54%) had adverse maternal events. One woman was admitted to the intensive care unit and required renal replacement therapy for acute renal failure. Seven women needed blood product transfusions, and one participant had an eclamptic seizure. In addition, 7 of 13 women (54%) had adverse fetal events in the HELLP



Table 1. HELLP syndrome participants

ID	EGA (wk)	Race	Age (yr)	ВМІ	P	SBP/DBP	Cr (mg/dl)	Highest AST (IU/I)	Highest ALT (IU/I)	Lowest Platelets (mm³)	Pr/Cr	Highest LDH (IU/I)	mHAM (%)	Germline Variant	Adverse Maternal Events	Adverse Fetal Events
H1	31.2	Black	28	34.5	0	169/103	0.7	64	63	85,000	0.43	431	32	CFHR1 p.L322Rfs*50	None	FGR
H2	26.4	Black	21	26.9	0	140/80	0.9	321	287	50,000	3.20	867	32	C3 p.G542D	None	FGR
НЗ	34.2	Black	33	28.3	>2	204/121	6.5	520	86	21,000	4.50	2,030	29	del(CFHR1) homozygous	MV, RRT, PLT, RBC	None
H4	33	White	35	22.8	0	141/80	0.7	1,163	749	28,000	1.46	1,461	21	CFHR5 p.K562Nfs*24	None	None
H5	24	White	26	30	>1 <sup>A</sup>	150/72	0.7	167	145	100,000	0.24	574	8	del(CFHR3- CFHR1) homozygous	None	Termination
Н6	17.2	Black	24	23.8	0	165/109	1.2	84	65	77,000	0.32	540	33	None	PLT	Termination
H7	38	White	32	47.1	0	180/100	0.9	420	308	43,000	0.39	591	30	None	RBC, PLT	None
Н8	24.2	Black	22	33.6	0	180/100	1.3	338	170	52,000	5.04	2,015	23	None	RBC, PLT, Eclampsia	FGR
Н9	36	White	23	29.3	0	166/98	0.6	243	181	42,000	12.35	581	23	None	PLT	FGR
H10	32.1	Black	15	16.3	0	230/110	0.6	114	81	42,000	N/A	612	11	NS	RBC	None
H11	34.2	Black	19	24	0	191/84	0.6	201	101	63,000	6.93	325	10	None	None	None
H12	22.2	Black	45	33.7	>2	155/90	0.7	131	125	68,000	9.77	503	0	None	None	FGR Termination
H13	37.2	White	27	36.2	0	180/91	0.6	695	423	19,000	0.19	805	0	NS	PLT	None

A History of prior HELLP (hemolysis, elevated liver enzymes, and low platelets) syndrome or eclampsia in previous pregnancy. EGA, estimated gestational age; wk, weeks; yr, years; BMI, body mass index; BP, blood pressure; P, parity; SBP, systolic blood pressure (mmHg); DBP, diastolic blood pressure (mmHg); Cr, creatinine; AST, aspartate aminotransferase; ALT, alanine aminotransferase; Pr/Cr, protein/creatinine ratio; LDH, lactate dehydrogenase; mHam, modified Ham (positive for modified Ham > 20.5%); FGR, fetal growth restriction; MV, mechanical ventilation; RRT, renal replacement therapy; NS, not sequenced; RBC, red blood cell transfusion; PLT, platelet transfusion.

syndrome group. Three participants terminated their pregnancies secondary to the diagnosis of HELLP syndrome in the setting of a nonviable fetus (less than 23 weeks and/or estimated fetal weight less than 500 grams). The other 5 fetuses survived but were diagnosed with fetal growth restriction (FGR), which manifested in the third trimester.

There was one adverse maternal outcome in the partial HELLP group, which was an eclamptic seizure. Further, 4 of 14 participants (29%) experienced the adverse fetal event of FGR. There were no fetal terminations in the partial HELLP group. In our HPC cohort, there was one participant who was later diagnosed with preeclampsia (not HELLP or partial HELLP syndrome). At the time of recruitment, the participant was normotensive without signs or symptoms of preeclampsia and had an EGA of 38 weeks. She was diagnosed with preeclampsia at 39 weeks and 5 days and this necessitated induction of labor. There was one adverse fetal outcome, which was FGR in the HPC group. All HELLP or partial HELLP syndrome resolved postpartum or after termination in all cases, and no cases of pregnancy-associated aHUS or TTP were observed.

Complement activation in HELLP and aHUS. The mHam assay was performed for all participants with available serum samples in order to assess activation of the APC. A positive test is defined as greater than 20.5% cell killing, based on our previous study suggesting that HELLP patients are positive in the mHam assay (13). In this analysis, 8 of 13 HELLP participants (62%) were positive, compared with only 2 of 18 participants (11%) in the HPC group (Figure 1). In addition, only 3 of 14 (21%) partial HELLP participants were positive in the mHam assay. For participants with aHUS, 15 of 17 (88%) were positive, while only 1 of 7 participants (14%) with TTP were positive, confirming as expected that the mHam is a useful discriminator between these 2 phenotypes (20).

While the mHam assesses complement activation as a measure of relative cell death, the magnitude of a result above the 20.5% threshold has unclear significance and has not been found to be associated with clinical outcomes. Thus, statistical analysis was performed using the mHam as a binary measure (positive or negative). When compared with the HPC group, HELLP syndrome participants were significantly more likely to test positive for the mHam assay (62% versus 11%, P = 0.006) (Table 5). We also



Table 2. Partial HELLP syndrome participants

ID	EGA (wk)	Race	Age (yr)	ВМІ	P	SBP/DBP	Cr (mg/dl)	Highest AST (IU/I)	Highest ALT (IU/I)	Lowest Platelets (mm³)	Pr/Cr	Highest LDH (IU/I)	mHAM (%)	Germline Variant	Adverse Maternal Events	Adverse Fetal Events
PH1	32.4	Black	24	25.2	>1 <sup>A</sup>	169/98	0.6	99	14	176,000	0.67	N/A	7	C3 p.P890H, CFI p.I416L, del(CFHR3- CFHR1) homozygous	None	FGR
PH2	30.6	Black	25	32.7	>2	180/123	2.0	51	45	106,000	6.43	492	6	C3 p.D1457H, del(CFHR3- CFHR1) homozygous	None	None
PH3	34	Black	29	35.5	0	176/91	1.0	72	72	164,000	12.2	430	4	del(CFHR3- CFHR1) homozygous	None	FGR
PH4	27	White	36	41.7	0	200/80	0.7	78	78	293,000	1.52	529	37	None	None	None
PH5	30.4	Asian	26	27.1	0	190/110	0.5	55	66	176,000	0.59	184	27	None	None	FGR
PH6	28.1	Black	25	32.4	>1	173/103	0.7	93	90	130,000	3.00	554	22	None	Eclampsia	FGR
PH7	37.5	White	41	34	0	157/100	0.8	65	89	140,000	0.13	161	20	None	None	None
PH8	37.5	Black	30	42.3	>2	171/90	1.1	792	841	294,000	0.45	1,124	18	None	None	None
PH9	39.2	Black	34	52.4	0	183/84	0.9	134	74	237,000	0.63	284	12	None	None	None
PH10	37.2	Black	29	39.1	0	194/106	0.8	28	23	222,000	0.35	434	11	None	None	None
PH11	31.2	White	31	19.6	0	165/71	0.6	22	22	84,000	0.86	228	6	None	None	None
PH12	32.6	White	33	28.4	>1	145/77	1.1	181	189	316,000	6.23	222	3	None	None	None
PH13	39.3	Black	30	35.5	>1	180/103	1	76	88	249,000	0.20	480	3	None	None	None
PH14	34.4	Asian	27	27.2	0	158/97	0.6	69	91	261,000	8.71	317	0	None	None	None

A History of prior HELLP (hemolysis, elevated liver enzymes, and low platelets) syndrome or eclampsia in previous pregnancy. EGA, estimated gestational age; wk, weeks; yr, years; BMI, body mass index; BP, blood pressure; P, parity; SBP, systolic blood pressure (mmHg); DBP, diastolic blood pressure (mmHg); Cr, creatinine; AST, aspartate aminotransferase; ALT, alanine aminotransferase; Pr/Cr, protein/creatinine ratio; LDH, lactate dehydrogenase; mHam, modified Ham (positive for modified Ham > 20.5%); FGR, fetal growth restriction.

generated a control cohort for a TMA during pregnancy by combining the HPC and TTP groups, as both are expected to have normal complement regulation, and the TTP participants are a confirmed negative control in our previous study (20). HELLP participants were significantly more likely to test positive in the mHam assay (62% versus 16%, P = 0.009) compared with the composite control cohort. This was also true for aHUS patients compared with this cohort (88% versus 16%, P < 0.001). Conversely, mHam positivity in the HELLP syndrome and aHUS groups was not significantly different. These data indicate that HELLP syndrome, similar to aHUS, is marked by activation of complement.

Identification of rare germline variants in HELLP syndrome. Approximately 50% of aHUS patients harbor germline mutations in genes that regulate the APC; thus, we performed targeted sequencing of participant DNA to identify germline variants in 9 genes known to have a functional role in the APC. To minimize the contribution of likely benign germline polymorphisms, only rare heterozygous germline variants with a minor allele frequency less than 0.01 (1%) were included in our analysis (detailed in methods). In addition, homozygous deletion of CFHR1 and CFHR3, reported to occur in approximately 2% of the population, was included in our analysis owing to its association with aHUS and likely functional significance (21). In our pregnant cohorts, 2 participants in the HELLP syndrome group and 2 participants in the HPC group did not give consent for gene mutation testing. We identified a rare germline variant or homozygous deletion in 5 of 11 HELLP participants (46%), compared with only 3 of 14 partial HELLP participants (21%), and 2 of 17 participants (12%) in the HPC group (Tables 1–3 and Figure 2). Further, in our TMA cohorts, germline variants were found in 10 of 18 participants with aHUS (56%), while no variants were identified any of the 8 TTP participants (Table 4). When compared with the HPC group alone, there was a nonsignificant trend towards the presence of a genetic variant in the HELLP syndrome cohort (46% versus 12%, P = 0.07). When compared with the composite controls (combined HPC and TTP groups), HELLP patients were significantly more likely to have a rare germline variant in an APC gene (46% versus 8%, P = 0.01). In addition, there was no difference between the HELLP syndrome and aHUS cohorts for the presence of a genetic variant (Table 6).



Table 3. Healthy pregnant control (HPC) participants

ID	EGA	Race	Age	ВМІ	P	SBP/DBP	mHam (%)	Germline Variant	Adverse Maternal Events	Adverse Fetal Events
HPC1 <sup>A</sup>	37.3	Black	34	36.6	>1	128/71	8	CFH p.H371N, CFHR5 p.S78P, CFHR5 p.Y279N	None	None
HPC2	38	Black	27	29.8	0	128/85	4	CFHR3 p.C15Y	None	None
HPC3	38.1	White	31	26.7	>1	122/76	100	None	None	None
HPC4	40	White	34	26.6	>1	115/74	50	None	None	None
HPC5	39.1	Other	32	37.6	>3	124/83	16	None	None	None
HPC6	39	Asian	36	25.4	>2	105/64	15	None	None	None
HPC7	38	White	35	35.1	0	170/100	14	None	Preeclampsia	None
HPC8	38	Black	38	28.6	>1	121/87	13	None	None	None
HPC9	37.1	White	35	22.8	>1	119/67	12	None	None	None
HPC10	36	Black	32	32	>3	116/61	9	None	None	None
HPC11	34.1	White	32	27.5	0	131/76	7	None	None	None
HPC12	38.3	Black	20	27.7	>1	106/58	7	None	None	None
HPC13	36.3	Asian	36	30.16	>1	93/53	6	None	None	None
HPC14	37	Black	31	40.8	>3	133/62	5	None	None	None
HPC15	39.3	White	37	28.1	0	121/84	5	NS	None	None
HPC16	36.2	White	30	27.6	>2	127/76	3	None	None	None
HPC17	38.6	White	33	28.6	0	118/75	3	NS	None	None
HPC18	37	White	36	20.5	0	135/85	1	None	None	None
HPC19	38	White	28	37.7	>1	110/73	N/A	None	None	FGR

A History of sickle cell trait. EGA, estimated gestational age; wk, weeks; yr, years; BMI, body mass index; BP, blood pressure; P, parity; SBP, systolic blood pressure (mmHg); DBP, diastolic blood pressure (mmHg); mHam, modified Ham (positive for modified Ham > 20.5%); FGR, fetal growth restriction; NS, not sequenced.

Detailed characteristics of all identified genetic variants, along with pathogenicity prediction outcomes for single-nucleotide variants (SNVs) via 3 different algorithms, are provided in Table 7. There were 2 HELLP participants with frameshift mutations, assumed to be loss of function, and 1 participant with a missense mutation predicted to be pathogenic in 2 out of 3 algorithms. Each of these participants also had a positive mHam test. Another HELLP participant with a positive mHam test harbored a homozygous deletion of the CFHR1 gene. There was one HELLP participant with a negative mHam assay and a germline variant. This individual (H5) was found to have homozygous deletion of both CFHR1 and CFHR3 and carried a history of HELLP syndrome in a prior pregnancy. Interestingly, homozygous deletion of CFHR1 and CFHR3 was found in 3 participants with partial HELLP, all with negative mHam tests. One of these participants (PH1) also had a history of HELLP syndrome in a prior pregnancy. Of note, PH1 was found to have 2 additional missense variants in other APC genes as well. There were 2 HPC participants identified with rare genetic variants, both negative in the mHam assay. One individual (HPC1) carried a diagnosis of sickle cell trait and α-thalassemia and harbored multiple missense mutations, with one predicted to be pathogenic by all 3 algorithms. By comparison, all aHUS participants with identified germline variants were also found to be positive in the mHam assay. Two participants were found to have deletions of both CFHR1 and CFHR3, while 1 participant harbored a deletion of CFHR1 only. Deletion of CFHR genes were not identified in any HPC or TTP participants, supporting the functional significance of this variant. However, this variant by itself does not appear to sufficiently activate the APC in order to produce a positive mHam test.

# **Discussion**

In this study, we demonstrate that HELLP syndrome is part of the phenome that results from germline mutations in genes that regulate the APC. Using phenotypic, functional (mHam assay demonstrating impaired complement regulation), and genetic (mutations in genes that regulate the APC) data we found striking similarities to aHUS. Our genetic analysis was limited to rare germline variants in order to increase the likelihood of identifying pathogenic mutations that alter the function of APC genes. Using this strict criterion, significantly more APC germline mutations were identified in the HELLP syndrome cohort compared with



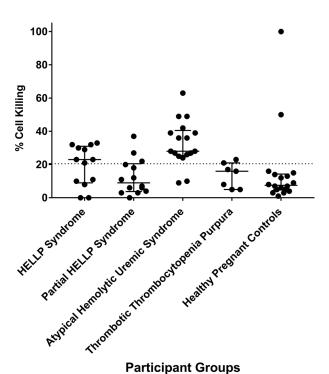


Figure 1. Modified Ham (mHam) results among all participant groups. Each participant is represented as a black circle, and cell killing in the mHAM assay is displayed as a continuous variable for each participant group. The median and interquartile range of 25%–75% is depicted for each group. Statistical analysis demonstrating significant differences between groups using the mHam as a binary measure (positive vs. negative) is shown in Table 6. A positive mHam is defined as cell killing of greater than 20.5% (dashed black horizontal line). HELLP, hemolysis, elevated liver enzymes, and low platelets.

controls (46% versus 8%, P = 0.01), while no difference was found when compared with aHUS. We also confirmed our previous data demonstrating that significantly more HELLP participants test positive in the mHam assay compared with controls (62% versus 16%, P = 0.009). Further, we did not identify any individuals in our control groups that tested positive for both the mHam assay and a germline variant (0 of 23 HPC and TTP participants, compared with 4 of 11 HELLP participants). Conversely, both tests were negative in

17 of 23 control participants and only 2 of 11 HELLP participants. Thus, this combination of testing may identify individuals with HELLP syndrome who could potentially benefit from the apeutic intervention.

Our findings agree with previous studies suggesting that complement plays an important role in the pathophysiology of HELLP. The APC activation fragment Bb was found to be a predictor of acquiring pre-eclampsia (23). In addition, a prospective study of 40 pregnant women with lupus and/or antiphospholipid antibodies who developed preeclampsia identified 7 (17.5%) that had germline heterozygous mutations likely to be deleterious in *MCP*, *CFI*, or *CFH* (14). Other studies have performed targeted sequencing of various APC genes in patients with HELLP syndrome and identified heterozygous mutations in 9% to 36% of cases (18, 19).

The major limitation of this study is the small sample sizes of the participant groups. Further, multiple HELLP and partial HELLP participants tested negative for APC activation in the mHam assay. The most likely explanation for this finding is that the pathophysiology of HELLP syndrome (and partial HELLP even more so) is due to multiple factors. However, this study builds on our previous data and that of others demonstrating that a significant subset of HELLP syndrome is due to a reduced ability to regulate the APC, analogous to aHUS (13, 18, 20). Indeed, the clinical manifestations of hypertension, renal insufficiency, thrombocytopenia, elevated lactate dehydrogenase, elevated aspartate aminotransferase, and even the presence of schistocytes are common to both disorders. Roughly 50% of aHUS patients harbor germline mutations, usually heterozygous, that increase susceptibility of endothelial cells to injury from activated complement (12, 15, 16). These patients are often asymptomatic for decades until they encounter a strong complement trigger such as major surgery, infection, malignancy, autoimmunity, or pregnancy. In HELLP syndrome, pregnancy is the likely trigger (11). Previous studies have shown that complement proteins such as CFH and C5b-9, as well as associated contributors of increased complement (e.g., C-reactive protein) are significantly increased during pregnancy, possibly explaining why phenotypic manifestations of HELLP syndrome almost exclusively occur in the late second or third trimester (9, 24-27). This may also explain why 2 cases in the HPC cohort tested positive in the mHam assay.



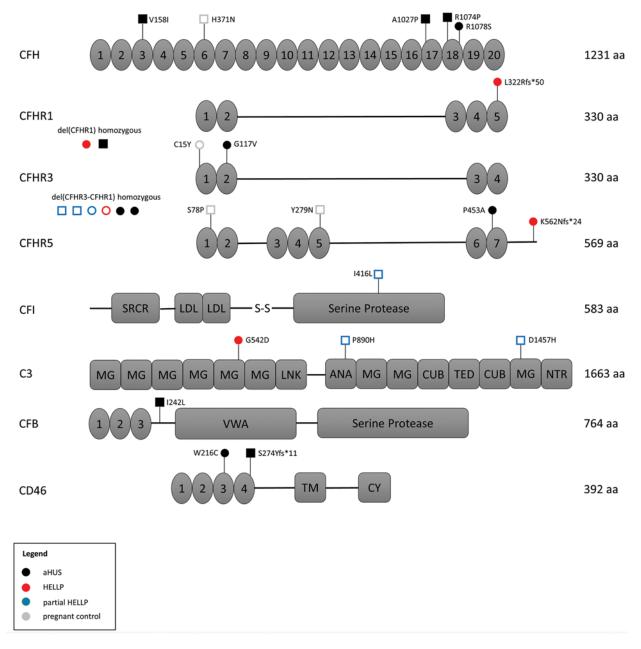


Figure 2. Correlation of germline variants in complement proteins with functional testing. Each genomic variant is identified by its amino acid consequence along with the result of modified Ham (mHam) testing in that patient. A filled circle or square represents a positive mHam, while an open circle or square represents a negative test. Patients in which only a single variant was identified are represented with circles, while patients with more than one variant are represented with squares. Each cohort is designated by a unique color (legend). The total number of amino acids (aa) in each protein are provided. Numbered protein domains denote complement control protein modules (CCPs), other protein domains are as labeled. HELLP, hemolysis, elevated liver enzymes, and low platelets; aHUS, atypical hemolytic uremic syndrome; SRCR, scavenger receptor cysteine-rich; LDL, low-density lipoprotein; MG, macroglobulin; ANA, anaphylatoxin; TM, transmembrane.

In our cohort, HELLP syndrome participants were associated with more frequent adverse maternal and fetal outcomes compared with the partial HELLP syndrome or HPC participants. Women with HELLP syndrome are more likely to have increased morbidity and mortality secondary to disseminated intravascular coagulation and hepatic rupture compared with other hypertensive disorders of pregnancy (28, 29). However, 6 of the 7 women with HELLP syndrome who had maternal adverse events were either positive by the mHam assay or had a rare variant in an APC gene. Our data suggest that the increased morbidity or mortality in HELLP syndrome is associated with dysregulation of the APC. In the partial HELLP group, there was only one participant (PH6) with an adverse maternal outcome (an eclamptic seizure); she was also positive in the



Table 4. aHUS and TTP participants

ID	Race	Age (yr)	Cr (mg/dl)	Highest AST (IU/I)	Highest ALT (IU/I)	Lowest Platelets (mm³)	Highest LDH (IU/L)	mHAM (%)	ADAMTS13 (%)	Germline Variant
TTP1	White	38	0.87	61	37	7	1,081	23	<5	None
TTP2	Other	27	1.6	25	23	25	1,455	21	<5	None
TTP3	Black	32	2.3	473	187	4	2,215	17	<5	None
TTP4	Black	43	1.3	58	41	4	1,985	16	<5	None
TTP5	Black	56	0.9	51	20	7	1,081	8	<5	None
TTP6	Black	39	1.4	39	22	5	825	5	<5	None
TTP7	Black	60	1.9	30	27	9	2,337	5	<10	None
TTP8	Black	64	1.2	53	13	10	1,482	n/a	<5	None
aHUS1	Black	47	4.3	28	22	17	1,718	63	88	CFB p.I242L, del(CFHR1) homozygous
aHUS2	Black	23	3.7	273	591	8	2,024	49	54	del(CFHR1- CFHR3) homozygous
aHUS3	White	32	n/a	n/a	n/a	n/a	n/a	42	n/a	CFHR3 p.G117\
aHUS4	Black	38	6.1	47	20	63	917	39	>100	CFH p.V158I, CFH p.A1027F
aHUS5	White	38	3.48	93	52	45	2,501	39	>100	THBD p.A43T
aHUS6	White	32	6.5	26	15	76	495	28	96	CD46 p.W2160
aHUS7	Asian	32	7.2	109	106	7	1,588	28	41	CFHR5 p.P453A
aHUS8	White	25	n/a	n/a	n/a	n/a	n/a	27	n/a	CFH p.R10789
aHUS9	White	78	4	86	32	47	1,473	26	53	del(CFHR1- CFHR3)
aHUS10	White	51	3.7	63	20	18	5,266	25	>100	homozygous CFH p.R1074F CD46 p.S274YFS*11
aHUS11	White	22	8.2	68	117	62	2,820	49	100	None
aHUS12	White	22	4.3	n/a	n/a	85	471	36	28	None
aHUS13	Black	24	14.9	22	13	77	649	36	55	None
aHUS14	White	50	6.3	55	54	76	903	27	40	None
aHUS15	White	73	1.2	151	150	21	733	24	96	None
aHUS16	White	25	1.4	46	18	20	1,160	10	82	None
aHUS17	White	61	1.6	75	85	21	549	9	87	None
aHUS18	White	17	n/a	n/a	n/a	n/a	n/a	n/a	34	None

aHUS, atypical hemolytic uremic syndrome; TTP, thrombotic thrombocytopenic purpura; yr, years; Cr, creatinine; AST, aspartate aminotransferase; ALT, alanine aminotransferase; LDH, lactate dehydrogenase; mHam, modified Ham.

mHam, indicating increased APC activation. There was one participant in the HPC group with a negative mHam and genetic analysis who was later diagnosed with preeclampsia.

In the HELLP group there were 7 participants who had adverse fetal outcomes, 3 of which were terminations of pregnancy secondary to early gestational age and fetal weight less than 500 grams at time of diagnosis. Two of these had either a positive mHam or a rare germline variant. In the partial HELLP group there were 4 participants with adverse fetal outcomes, all of whom had either a positive mHam test or a rare germline variant, further indicating that abnormal complement regulation is associated with both poor maternal and fetal outcomes. There were 2 HPC participants with positive mHam assays, potentially secondary to the normal upregulation of the complement system during pregnancy, particularly in the third trimester (9). Further, there were 2 HPC participants with rare variants of the APC. Similar to multiple other genetic diseases (hemochromatosis, for example), rare variants of the APC are associated with incomplete penetrance and variable expressivity (30–35). Therefore, genetic



Table 5. Comparison of mHam for all groups

Participant Group	(+) mHam (%)	(-) mHam (%)	Participant Group	(+) mHam (%)	(-) mHam (%)	P value
HELLP Syndrome	8 (62%)	5 (38%)	HPC	2 (11%)	16 (89%)	0.006
<b>HELLP Syndrome</b>	8 (62%)	5 (38%)	HPC+TTP	4 (16%)	21 (84%)	0.009
aHUS	15 (88%)	2 (12%)	HPC+TTP	4 (16%)	21 (84%)	< 0.001
HELLP Syndrome	8 (62%)	5 (38%)	aHUS	15 (88%)	2 (12%)	0.19

HELLP, hemolysis, elevated liver enzymes, and low platelets; mHam, modified Ham assay; aHUS, atypical hemolytic uremic syndrome; HPC, healthy pregnant control; TTP, thrombotic thrombocytopenic purpura.

variants are best characterized as risk factors, with disease onset occurring in the setting of additional environmental stress causing complement activation.

Our findings have important clinical implications for the diagnosis and treatment of HELLP syndrome. Reliable biomarkers and genetic mutations that predispose to disease states are critical for planning future clinical trials and for targeted approaches to therapy. Paroxysmal nocturnal hemoglobinuria (PNH) and aHUS are diseases caused by mutations that predispose cells to complementmediated destruction (16, 36-38). Both of these conditions are dramatically and rapidly improved by blocking terminal complement with the humanized monoclonal antibody, eculizumab (22, 39-41). Prior to FDA approval of eculizumab, pregnancy was relatively contraindicated in PNH due to excessive complement activation that increased fetal and maternal mortality. Eculizumab is now routinely recommended for PNH patients who become pregnant since the drug does not effectively cross the placenta or enter the breast milk (42). Complement inhibition has also greatly improved the outcome of patients with aHUS. Recent studies in aHUS show that this treatment can be safely discontinued in most patients once a complete remission is achieved and the complement trigger has resolved (43). Our study confirms that aHUS and HELLP share similar pathophysiology. Indeed, there is a case report of eculizumab treatment leading to clinical improvement and prolongation of pregnancy in a patient with HELLP syndrome (44). Furthermore, we previously demonstrated that C5 inhibition can effectively block complement-mediated killing in HELLP serum in vitro (13). Currently, HELLP syndrome is most effectively managed by delivering the fetus (removal of the complement trigger); however, in cases of early gestational age (before 28 weeks) there is a high risk of fetal mortality and maternal morbidity (1). Based on our data and those of others, we suggest that future trials of complement inhibition in HELLP syndrome include genetic testing and the mHam assay to firmly establish the diagnosis. Patients with HELLP syndrome diagnosed prior to 28 weeks gestational age may benefit from this therapeutic intervention to allow the fetus to reach a safer gestational age and achieve less neonatal morbidity.

In summary, most HELLP syndrome and likely some preeclampsia, is driven by failure to regulate the APC. Serologic assays demonstrating a reduced ability to regulate complement (mHam), germline mutations in genes that regulate the APC, or both, are found in a majority of patients with HELLP syndrome. While we do not advocate for off-label use of complement inhibitors in HELLP syndrome, our findings form the basis for the design of clinical trials to test the efficacy of complement inhibition to reduce perinatal morbidity from early prematurity.

Table 6. Comparison of germline mutation status for all groups

Participant Group	(+) mutation <sup>A</sup> (%)	(-) mutation (%)	Participant Group	(+) mutation (%)	(-) mutation (%)	P value
HELLP Syndrome	5 (46%)	6 (54%)	HPC	2 (12%)	15 (88%)	0.07
HELLP Syndrome	5 (46%)	6 (54%)	HPC+TTP	2 (8%)	23 (92%)	0.01
aHUS	10 (56%)	8 (44%)	HPC+TTP	2 (8%)	23 (92%)	0.001
HELLP Syndrome	5 (46%)	6 (54%)	aHUS	10 (56%)	8 (44%)	0.12

Amutation = rare germline variant in a gene of the alternative pathway of complement. HELLP, hemolysis, elevated liver enzymes, and low platelets; aHUS, atypical hemolytic uremic syndrome; HPC, healthy pregnant control; TTP, thrombotic thrombocytopenic purpura.



Table 7. Germline variant characteristics

ID	Gene	Nucleotide Variant	Chr	Coordinate	VAF	Read Depth	Protein Position	MAF (ExAC)	Sift	PolyPhen	Provean
H3	C3	C>C/T	19	6710711	56	208	G542D	0.00001682	tolerated	possibly damaging	deleterious
PH1	С3	G>G/T	19	6697482	48	297	P890H	0.00002471	tolerated	benign	deleterious
PH1	CFI	T>T/G	4	110667561	44	424	1416L	0.001113	deleterious	benign	neutral
H4	CFHR5	GGAAATTTG AATATCCTA TATGT>GGA AATTTGAAT ATCCTATAT GT/G	1	196977785	40	609	K562Nfs*24	N/A	N/A	N/A	N/A
PH3	C3	C>C/G	19	6680256	44	393	D1457H	0.0003626	deleterious	probably damaging	deleterious
H2	CFHR1	CT>CT/C	1	196801100	59	711	L322Rfs*50	N/A	N/A	N/A	N/A
HPC2	CFHR3	G>G/A	1	196744060	50	277	C15Y	N/A	deleterious	benign	deleterious
HPC1	CFH	C>C/A	1	196658696	49	440	H371N	0.00007426	tolerated	benign	deleterious
HPC1	CFHR5	T>T/C	1	196952188	46	725	S78P	0.0001401	deleterious	probably damaging	deleterious
HPC1	CFHR5	T>T/A	1	196965196	47	554	Y279N	0.0001484	tolerated	benign	deleterious
aHUS4	CFH	G>G/A	1	196646650	59	191	V158I	0.000132	tolerated	benign	neutral
aHUS4	CFH	G>G/C	1	196711127	44	369	A1027P	0.00004119	tolerated	benign	neutral
aHUS1	CFB	A>A/C	6	31915584	50	567	1242L	0.00002559	tolerated	benign	neutral
aHUS3	CFHR3	G>G/T	1	196749023	55	190	G117V	N/A	deleterious	probably damaging	deleterious
aHUS5	THBD	C>C/T	20	23030015	59	87	A43T	0.00343	tolerated	benign	neutral
aHUS10	CFH	G>G/C	1	196712669	49	508	R1074P	0.00001647	deleterious	probably damaging	deleterious
aHUS10	CD46	CAG>C	1	207940331	25 <sup>A</sup>	8 <sup>A</sup>	S274YFS*11	N/A	N/A	N/A	N/A
aHUS6	CD46	G>G/C	1	207934766	50	701	W216C	N/A	deleterious	probably damaging	deleterious
aHUS8	CFH	G>G/T	1	196712682	51	332	R1078S	0.00005765	tolerated	benign	neutral
aHUS7	CFHR5	C>C/G	1	196973817	47	220	P453A	0.000008238	deleterious	probably damaging	deleterious

AThis variant did not meet our filtering criteria; however, it has been previously reported (39) and verified via CLIA laboratory testing. Chr, chromosome; VAF, variant allele frequency; MAF, minor allele frequency as reported in the ExAC database.

## **Methods**

Study population and design. We performed a prospective case-controlled study to identify whether HELLP syndrome is associated with both activation of the APC as well as germline variants in genes that regulate the APC. We hypothesized that HELLP syndrome patients harboring genetic variants of APC genes would also display activation of the APC via a functional assay (the mHam). All participants were recruited from the Johns Hopkins Medical Institute, and none had been studied previously. The Tennessee criteria were used for the diagnosis of HELLP syndrome, and partial HELLP syndrome was defined by having 1 or 2 but not all lab abnormalities of HELLP syndrome (Supplemental Table 1; supplemental material available online with this article; https://doi.org/10.1172/jci.insight.99128DS1) (1, 45). We recruited all participants from November 1, 2015 to December 31, 2016. Healthy pregnant controls were recruited after 23 weeks of gestation, and were excluded if they had a history of hypertensive disease, complement or microangiopathic disorder, or autoimmune disease. Blood was collected from all participants at the time of recruitment by venipuncture of the antecubital vein. Serum was cold centrifuged within 2 hours of collection at 4°C and stored at -80°C. Whole blood was used to generate genomic DNA for targeted gene sequencing using a Qiagen DNeasy Blood & Tissue Kit. All samples were deidentified and coded prior to laboratory testing. Patients with aHUS were recruited using standard diagnostic criteria defined by Legendre et al. (39). Patients with TTP were recruited following confirmation of the diagnosis via an ADAMTS13 activity less than 10%.



mHam assay. The mHam assay was performed as previously described (20). Briefly, PNH-like (PIGA-null) TF-1 cells were used to assess complement-mediated killing via the APC. Cells were plated in U-shaped wells at a density at 4,000 cells/well and incubated in 20% serum with Gelatin Veronal Buffer (GVB, Complement Technology, Inc). Each sample was tested in triplicate for 30 minutes at 37°C. After incubation, the supernatant was removed and cell proliferation agent WST-1 (Roche) was added and incubated for 2 hours at 37°C. Absorbance was measured in an iMark Microplate Absorbance Reader (Bio-Rad) at 490 nm with a reference wave length at 595 nm. Heat-inactivated serum was used as a negative control for each sample. Heat inactivation was performed by incubating the serum at 56°C for 30 minutes. Normal human AB serum (H4522, Sigma-Aldrich) was used as an internal control in the assay. The percentage of live cells was calculated as the ratio of the absorbance of each sample divided by the absorbance of the same sample's heat-inactivated control. The percentage of nonviable cells (cell killing) was calculated as 1 minus this ratio.

Targeted sequencing. A custom panel of 9 genes known to function in the APC was selected for sequencing using the Illumina TruSeq Custom Amplicon (v1.5). Illumina DesignStudio software was utilized to generate custom oligonucleotides designed to amplify exonic regions of the following selected genes: CFH, CFB, CFI, CFHR1, CFHR3, CFHR5, C3, CD46 (MCP), and THBD. The panel consisted of 402 amplicons covering a total of 58.3 kb with an overall coverage of 98%. An amplicon library of each patient sample was generated according to the manufacturer's protocol using 250 ng genomic DNA as input. Successful library amplification was verified for a subset of samples by both gel electrophoresis and Bioanalyzer (Agilent) analysis. This was followed by library normalization and pooling per manufacturer protocol, and paired-end sequencing on the Illumina MiSeq platform via the Genetic Resources Core Facility (GRCF) at Johns Hopkins School of Medicine (https://grcf.jhmi.edu). Analysis of raw sequencing data (FASTQ) was performed using the TruSeq Amplicon application (v2.0.0) via the Illumina BaseSpace platform, aligning to (GRCh37/hg19) human genome reference. Average base coverage was 377× per sample. SNVs and small indels were identified using Isaac variant caller and variant call files were filtered using VariantStudio software (v3.0). Variants not passing Illumina's variant quality filters were excluded. The following criteria were used to identify rare germline SNVs and indels: nonsynonymous coding region variants with a depth greater than 20×, variant allele frequency between 40% and 60%, Exome Aggregation Consortium (ExAC v0.3.1) minor allele frequency less than 0.01 in any ethnic population (total of 60,706 samples), and minor allele frequency less than 0.01 in the Exome Variant Server (46). Large deletions were determined by complete loss of signal for multiple consecutive amplicons (Supplemental Figure 1). All genomic coordinates refer to hg19.

Adverse events. The investigators sought to observe any adverse maternal or fetal events in the HELLP group, partial HELLP group, and HPC group. Maternal adverse events were maternal mortality, intensive care unit admission, renal failure, blood product transfusion, and eclampsia in HELLP or partial HELLP groups, or new diagnosis of preeclampsia in a control group. Fetal adverse events were fetal termination or abortion secondary to diagnosis of HELLP or partial HELLP syndrome, neonatal mortality, and fetal growth restriction. Other than worsening of HELLP syndrome in the setting of a nonviable fetus, no other factors were relevant for fetal terminations. Because neonatal intensive care unit admission in preeclampsia and HELLP syndrome is usually secondary to iatrogenic preterm birth, we did not include this as an adverse event in our group.

Statistics. A 1-way ANOVA test with Bonferroni's correction was used to calculate the P values for the difference among the means for age, BMI, estimated gestational age in HELLP, partial HELLP, and the HPC groups. Either  $\chi^2$  test or Fisher's exact test was used to calculate the rest of the P values provided. A Fisher's exact was used if more than 20% of the expected values in the input cells were less than 5 and/or any individual values had expected counts of less than 1. Statistical significance for the mHam assay was calculated as a binary value (positive or negative), as the mHam assay is thought to be clinically significant as a qualitative test. All analyses were performed by using SPSS 18 for Windows/MAC (PASW Statistics GradPack 18; SPSS). All tests were 2-sided with P1ess than 0.05 indicating significance.

*Study approval.* This study was approved by the Johns Hopkins institutional review board and conducted according to Declaration of Helsinki principles. Written informed consent was received from all participants prior to inclusion in the study.



### **Author contributions**

AJV and RAB were responsible for the study conception and design. AJV, EMB, RAB, SAM, SC, SE, KB, and CJS collected and categorized study participants. AJV, EMB, XY, IM, and ACB performed experiments and acquired data. AJV, EMB, and RAB analyzed and interpreted the data. JJ performed statistical data analysis. AJV, EMB, and RAB drafted the manuscript and performed critical revisions. All authors approved the final version of the manuscript.

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