



Published in final edited form as:

Alcohol. 2018 August ; 70: 1–10. doi:10.1016/j.alcohol.2017.08.012.

Alcohol Affects the P3 Component of an Adaptive Stop Signal Task ERP

Martin H. Plawecki, MD, PhD^{*,a}, Kyle A Windisch, PhD^{*,a,b}, Leah Wetherill, MS^c, Ann E.K. Kosobud, PhD^d, Mario Dzemidzic, PhD^d, David A Kareken, PhD^d, and Sean J. O'Connor, MD^{a,e}

^aDepartment of Psychiatry, Indiana University School of Medicine, Indianapolis, IN, USA

^bThe Laboratory on the Biology of Addictive Diseases, The Rockefeller University, New York, New York

^cDepartment of Medical and Molecular Genetics, Indiana University School of Medicine, Indianapolis, IN, USA

^dDepartment of Neurology, Indiana University School of Medicine, Indianapolis, IN, USA

^eR.L. Roudebush Veterans Administration Medical Center, Indianapolis, IN, USA

Abstract

BACKGROUND—The P3 component of the event-related potential (ERP) has been particularly useful in alcohol research for identifying endophenotypes of alcohol use disorder (AUD) risk in sober subjects. However, practice and/or fatigue reduces P3 amplitude, limiting the ability to ascertain acute and adaptive effects of alcohol exposure. Here, we report acute alcohol effects on P3 amplitude and latency using an adaptive stop signal task (aSST).

METHODS—One hundred and forty eight nondependent moderate to heavy social drinkers, age 21 to 27, participated in 2 single-blind, alcohol or placebo, counterbalanced sessions approximately one week apart. During each session, subjects performed an adaptive stop signal task (aSST) at (1) baseline, (2) upon reaching the target 60 mg/dL breath alcohol concentration or at the equivalent time during the placebo session, and (3) approximately 135 minutes later while the breath alcohol concentration was clamped. Here, we report on differences between baseline and first subsequent measurements across the experimental sessions. During each aSST run, the stop signal delay (SSD, the time between stop and go signals) adjusted trial-by-trial based on the subject's performance.

RESULTS—The aSST reliably generated a STOP P3 component that did not change significantly with repeated task performance. The pre-infusion SSD distribution was bimodal, with mean values

Corresponding Author: Martin H. Plawecki, M.D., Ph.D., Assistant Professor of Psychiatry, Indiana University School of Medicine, Department of Psychiatry, 705 Riley Hospital Drive, Suite 4300, Indianapolis, IN, 46202, Phone: (317)944-8162, mplaweck@iupui.edu.

*Co-First Authors, Listed Alphabetically

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several hundred msec apart (FAST: 153 msec and SLOW: 390 msec). This suggested different response strategies: FAST SSD favoring “going” over “stopping,” and SLOW SSD favoring “stopping” over “going”. Exposure to alcohol at 60 mg/dL differentially affected the amplitude and latency of the STOP P3 according to SSD group. Alcohol significantly reduced P3 amplitude in the SLOW SSD compared to FAST SSD group, but significantly increased P3 latency in the FAST SSD compared to SLOW SSD group.

CONCLUSIONS—The aSST is a robust and sensitive task for detecting alcohol induced changes in inhibition behavior as measured by the P3 component in a within subject design. Alcohol was associated with P3 component changes which varied by SSD group, suggesting a differential effect as a function of task strategy. Overall, the data support the potential utility of the aSST in the detection of alcohol response related AUD risk.

Keywords

P300; Event-related potential (ERP); response inhibition; response strategy; alcohol

INTRODUCTION

Scalp Event-Related Potentials (ERPs) reflect neurophysiological responses to discrete events. ERPs are characterized by several key components (e.g., P2, N2, P3, P3a, P3b) related to the neural network response to stimuli and cognitive events. In contrast to fMRI, which can locate sources of neural activity but provide meager temporal resolution, ERPs provide rich temporal information about neural processing activity, but less spatial resolution. The scalp topography and temporal properties of ERPs using P3 paradigms obtained in a sober state have proven useful as endophenotypes of risk for alcohol use disorder (AUD) (e.g., Euser et al., 2012, Justus et al., 2001, Kamarajan et al., 2005, Porjesz, et al., 2005) and for neural systems research in general (e.g., Di Giorgio et al., 2015, Motlagh et al., 2016, Downes et al., 2017).

Our laboratory seeks *responses to alcohol* that are novel endophenotypes of alcohol use disorder (AUD) risk, using intravenous alcohol administration to prescribe identical brain exposures in all subjects (Plawecki et al., 2008, Ramchandani et al., 1999b, O'Connor et al., 1998). ERPs are promising candidates among the various behavioral, subjective, and neurophysiologic responses to alcohol exposure. The P3 component is particularly appealing because it is genetically influenced, varies substantially in morphology across subjects (yet very little within subjects), reflects cognitive processes known to be impaired by exposure to alcohol, and has been shown to be associated with AUD risk in the sober state (Cohen et al., 1997, Perlman et al., 2013). Alcohol exposure is believed to reduce maximum P3 amplitude ($|P3|$), lengthen latency ($\lambda P3$ to $|P3|$), and perhaps shift the scalp locus of peak P3 activity (if alcohol has a differential hemispheric influence on P3 generators) (Lukas et al., 1990, Martin and Garfield, 2006, Lewis et al., 2013).

Demonstrating a reliable alcohol effect on P3 activity is difficult. Detection of an alcohol effect on cognitive components of an ERP ideally include a comparison of intoxicated performance to baseline activity *within an individual*, thus requiring repetition of the paradigm. However, standard P3 paradigms are sensitive to practice and fatigue effects, as

both stimulus novelty and importance influence the evoked response to target stimuli (Segalowitz et al., 2001). Specifically, reduced novelty from repetition alters the P3. Thus, most studies of alcohol's influence on P3 activity implement an across subject design (Lewis et al., 2013, Sanchez-Roige et al., 2016, Easdon et al., 2005).

To isolate the effect of alcohol on individuals, our study design compares the acute alcohol response during a clamped breath alcohol concentration (BrAC) to baseline, accounting for changes from the same measure performed during a separate, counterbalanced placebo infusion session. Thus, our clamping protocol requires that each subject performs an ERP paradigm a minimum of 4 times, compounding the influence of fatigue and practice on the results. Our prior studies reflect this challenge, and have not shown *within-subject* changes in P3 after alcohol as compared to placebo, likely due to practice effects. As documenting the sensitivity of P3 ERP paradigms to alcohol remains of high interest for detecting potential endophenotypes of AUD risk, alternate approaches are needed.

Inhibitory capacity, as well as alcohol's effect upon it, is important to identify risk factors associated with developing an AUD. A predisposition toward risky and premature responding (impulsivity) has been repeatedly demonstrated to be a risk factor for AUD (e.g., de Wit, 2009, Dick et al., 2010, Jentsch et al., 2014, Lejuez et al., 2010, Verdejo-Garcia et al., 2008). Stop Signal task (SST) performance requires inhibiting learned reflexes (i.e., inhibit the pre-potent motor response for the GO stimulus when followed by the STOP stimulus) and is thought to be a marker of impulsivity. Oral alcohol challenge affects impulsivity, but with variable effects across exposures, tasks, and populations (e.g., Reed et al., 2012, Ortner et al., 2003, Finn et al., 1999, Dougherty et al., 2008, Reynolds et al., 2006, Fillmore et al., 2008). Brain activity induced by the SST alone, as well as affected by alcohol, may also be informative in studying AUD risk (Kareken et al., 2013, Weafer et al., 2015). Under the horse-race model of the SST, the GO and STOP responses are considered to be independent processes, with successful inhibition determined by the difference in their completion. However, data suggests that, "subjects can make proactive response-strategy adjustments on a trial by trial basis" (Aron, 2011; Verbruggen and Logan, 2009) making interpretation more challenging.

The scalp ERP of the SST is also complex. Each SST trial always includes a fixation and a pure GO stimulus, with a subset of trials including a STOP stimulus following the GO signal after a stop signal delay (SSD, msec). Each stimulus elicits a brain response reflected in the EEG recorded from the scalp. Inherent to the SST paradigm, some of these evoked brain responses overlap in time, necessitating various filtering approaches to separate them (e.g., Bekker et al., 2005; de Jong et al., 1990; Dimoska et al., 2006; Dimoska et al., 2003; Pliszka et al., 2000; Woldorff, 1993). The literature reports a relationship between STOP P3 amplitude during response inhibition and experimental alcohol consumption (Jones et al., 2013), as well as electrophysiological differences in "fast" versus "slow" responders (van Boxtel et al., 2001, Band et al., 2003, Dimoska et al., 2006). However, none of these studies used a within-subject design to dissociate the influences of practice versus brain exposure to alcohol and none of the ERP alcohol challenge studies used intravenous infusion of alcohol to overcome the nearly 3-fold variation in BrAC trajectories following ingestion of standard oral doses of alcohol (Ramchandani et al., 1999b).

We examined how exposure to alcohol at 60 mg/dL affects the spatio-temporal features of the average electrophysiological response to STOP signal stimuli. This response reflects the relative import of the stimulus, and possibly the behavioral strategy used. Further, knowledge of the SSD delay (between the onsets of GO and STOP stimuli) offers the chance to derive the P3 component response to STOP prompts that are uncontaminated by the unfinished response to the GO stimulus.

Here we examine the placebo-controlled, within-subject initial response to alcohol in STOP signal P3 component using a real-time, adaptive SST task reported elsewhere in brain imaging work by our group (aSST; Kareken et al., 2013; Weafer, et al., 2015). aSST adjusts the SSD on each STOP trial, lengthening it by 50 msec after a subject successfully withholds the GO response following a STOP stimulus or shortening the SSD if the subject responded despite the STOP stimulus. Over many trials, the task ideally finds the SSD at which subjects respond to 50% of the STOP prompts with the correct behavioral inaction. Subjects performed the aSST thrice in each of two sessions: at an infusion-free baseline, following acquisition of a clamped 60 mg% target BrAC (or at a matched time-point during the placebo infusion), and again after prolonged alcohol exposure. We hypothesized that alcohol would alter the STOP P3, and that these changes would be eventually useful as an endophenotypic markers of AUD risk. Here we report the effect of acute alcohol on |P3| and λ P3 as defined by changes across baseline and upon reaching the target BrAC, and accounting for similar changes across a placebo infusion session.

METHODS

GPRA Study Design

The parent study was designed to examine the effects of single nucleotide polymorphisms (SNPs) previously associated with AUD risk and other known risk factors on the effects of a controlled brain exposure to alcohol for several dependent measures. Appraisals included resting state EEG, aSST, saccadic eye movements, and the subjective response to alcohol (reported in Kosobud et al., 2015); a subsample of these same subjects subsequently went on to repeat the aSST during fMRI under alcohol and placebo infusions (Kareken et al., 2013, Weafer et al., 2015). The current analysis focuses on detection of an acute alcohol effect on the aSST STOP P3 ERP component.

Subjects

DSM-IV non-dependent, heavy-drinking, European American subjects, aged 21–27 years, were recruited using community advertisements and interviewed. Following BrAC measurement to confirm sobriety and the subject's signing of an informed consent approved by the Indiana University Institutional Review Board, subjects completed portions of the Semi-Structured Assessment for the Genetics of Alcoholism (SSAGA; Bucholz et al., 1994), completed a 30-day timeline followback to assess recent drinking history (TLFB; Sobell et al., 1988), and provided blood and urine samples for assessment of liver function, drugs of abuse screening, and, in females, pregnancy screening. Inclusion criteria were consumption of at least 17 drinks in the past month, good health as determined by medical self-report and brief nursing assessment, and review of laboratory test results. Exclusion criteria included

self-reported current or prior serious disease (including central nervous system, cardiovascular, respiratory, gastrointestinal, hepatic, renal, or endocrine), positive hepatitis or HIV test, alcoholism in the biological mother during pregnancy, current or prior history of severe alcohol-induced flushing reactions, current or prior history of DSM-IV Axis-I psychiatric conditions including alcohol or drug dependence but not alcohol abuse, use of medications known to interact with alcohol within 2 weeks of study initiation, and females who were or intended to become pregnant. In addition, subjects were excluded if, on the days of testing, they had a positive BrAC, presence of illicit drugs on urine drug screen, or, for females, a positive urine pregnancy test.

General Procedure

Each subject undertook 2 single-blind, intravenous-infusion study sessions at least 3 days but targeted 7 days apart receiving 6% ethanol in half-normal saline during one session and vehicle only at a comparable infusion rate profile during the other. Infusate order was counter-balanced across subjects. Subjects were advised that they would receive alcohol during one or both visits. On both study days, the subject arrived at the laboratory by 7:00 AM and underwent a brief physical exam, as well as breathalyzer and urine testing. We offered a 550 calorie breakfast, after which nurses placed a 20-gauge indwelling venous catheter in an antecubital vein of each arm, flushed with saline, and capped with a heparin lock. Lab technicians provided instruction on the various tasks to be performed, and fitted a 64-channel EASYCAP® electrode cap (EASYCAP GmbH, Germany). Infusion sessions began at approximately 10:15 AM. Testing in each session comprised three 45-minute blocks during which multiple tasks were administered in a fixed order (Figure 1); only electrophysiological aSST results for Baseline (Block 0) and the subsequent measurement (Block 1) are reported here. Baseline was obtained just prior to the infusion; subjects were aware that they had not yet received alcohol. Block 1 began either 20 minutes later (placebo session) or after the 60 mg/dL alcohol clamp was established and stable for 5 minutes [occurring across the entire sample at 18.8 ± 0.01 minutes (mean \pm SEM)]. The aSST was performed approximately 17 minutes into each experimental block.

Experimental Alcohol Exposure

BrAC closely approximates the arterial alcohol concentration (Gomez et al., 2012), and therefore the brain's exposure to alcohol, as the brain is a high-flow, low-volume organ. However, studies of responses attributable to changes in BrAC are complicated when oral dosing is used because individuals show significant variation in rise, peak, and fall of BrAC (Ramchandani et al., 1999b). Intravenous (IV) infusion of alcohol, based on an individual's physiologically based pharmacokinetic parameters, assures identical BrAC trajectories among subjects by circumventing absorption kinetics and compensating for individual variation in distribution and elimination kinetics (Plawecki et al., 2008; Ramchandani et al., 1999b). Using IV infusion to "clamp" BrAC at a specific concentration is ideal for examining the acute effects of alcohol without complications from inter-subject variability in BrAC, or from Pavlovian associations that come from the oral ingestion of alcohol. As developed by our laboratory, the intravenous alcohol infusion methodology has been successfully used to prescribe alcohol exposures in many experimenter-specified and alcohol

self-administration experiments (e.g., Cyders et al., 2016, Junger et al., 2016, Kareken et al., 2010, Oberlin et al., 2015, Stangl et al., 2017, Yoder et al., 2009).

The alcohol infusate was prepared by the Indiana University Hospital research pharmacy. Individualized infusion rates were computed and delivered by our Computer-assisted Alcohol Infusion System (CAIS, Zimmermann et al., 2008) using a transformation of the subject's age, height, weight, and gender into the parameters of a physiologically based pharmacokinetic model of alcohol distribution and elimination (O'Connor et al., 1998; Plawecki et al., 2008; Ramchandani et al., 1999b). That infusion rate profile, in conjunction with BrAC measurement feedback, achieved and maintained a BrAC of 60.8 ± 0.1 mg/dL (Mean \pm SEM; intended target 60.0 mg/dL) over Block 1 dependent measures assessment, assessed with an Alcotest meter, model 7410 or 6510 (Draeger, Irving, TX).

Stop Signal Task

We programmed and administered the aSST (Kareken et al. 2013, as adapted from Rubia et al., 2003) using E-Prime® 2.0 software (Psychology Software Tools Inc., Sharpsburg, PA) to provide consistency across experimental environments of the parent study. At baseline, Block 1, and Block 2, each subject completed 2 runs of the aSST (divided to allow BrAC testing but immediately subsequent), with each sub-block consisting of 60 "pure GO" trials and 30 "STOP" trials. We instructed subjects to respond as quickly and as accurately as possible to each GO trial. STOP trials included a red up-pointing arrow, after a green horizontal GO stimulus, indicating the need to inhibit the button press associated with the GO response. An adaptive staircase algorithm, with inter-trial interval of 3000 msec and SSD initiated at 250 msec, adjusted the delay in 50-msec increments to target a stop inhibition success rate of 50%. The duration of the fixation cross, GO, and STOP stimuli were 700 msec, 250 msec, and 250 msec respectively. A combination GO-STOP stimulus appeared during any time periods of overlap between the GO and STOP stimuli. Preliminary analysis demonstrated no clear difference across sub-blocks, so they were subsequently combined to increase trial numbers. Combining sub-blocks, each block comprised a total of 120 pure GO trials and 60 STOP trials.

Scalp EEG Data Collection

Dependent measures, including all electrophysiologic data, were collected with the subject seated in a comfortable, reclining chair located in a sound attenuated RF-shielded room (IAC, Industrial Acoustics, Bronx, NY). Fifty-nine leads in the expanded 10–20 system were referenced to the bridge of the nose with the ground placed at the midline forehead. Separate leads were placed for heart beat and horizontal and vertical eye movement detection. Electrode impedance was maintained below 5k Ω . EEG activity was recorded with Synamps2® EEG amplifiers connected to a 24-bit analog-to digital converter and sampled at 1000 kHz and using NeuroScan® (Neurosoft, Inc., El Paso, TX) PC-based data collection software.

Data Reduction

One hundred forty-eight subjects contributed aSST session data for ERP analysis. The continuous EEG records were visually screened for identification of bad leads, which were

then removed with a nearest neighbor correction algorithm (Buchsbaum et al., 1982, Shepard, 1968). Matlab® based programs were then used to synchronize the E-prime and Neuroscan event records and identify eye blink artifacts as well as artifact-free blocks within the record for Neuroscan Spatial Filtering. GO and STOP stimuli were epoched, non-phase shifted, bandpass filtered, linearly detrended, baseline corrected, subjected to voltage thresholding then sorted and averaged based on stimulus type. We employed an approach to minimize impact of response overlap that was modeled on the Adjacent Response Filtering, ADJAR, procedure (Woldorff, 1993, Dimoska et al., 2006). Our modified ADJAR took advantage of distinct GO trials and the assumption of independence of the GO and STOP processes. We identified the sequence of artifact-free STOP trials to be used in computing the ERP and the corresponding array of SSD for each trial in the sequence. We jittered the subject's own pure GO ERP according to the SSD sequence to create a Blurred GO response for that block's usable STOP trials. Finally, we subtracted the Blurred GO response from the contaminated average STOP response and baseline corrected the result in the [-200, 0] msec pre-stimulus interval.

Data Analysis Strategy

Review of the average SSD distribution, from all available data revealed an unexpected bimodal distribution, with short (FAST) and long (SLOW) SSDs (Supplemental Figure 1). The SSD data from the baseline blocks retained this bimodal character and was modeled as a two component Gaussian Mixture via the Matlab® `fitgmdist` function (Figure 2). Three groups were thus defined based on their first exposure to the aSST task (baseline of the first session, independent of infusate type): the 'FAST' group comprised subjects with a SSD less than or equal to the mean of the shorter SSD group (153 msec). The 'SLOW' group had an SSD greater than or equal to the mean of the longer SSD group (390 msec). The INTERMEDIATE (IM) group had SSDs that fell between the SLOW and FAST groups.

P3 Extraction Procedure

A spatial mask for computation of P3 amplitude ($|P3|$) at a corresponding latency ($\lambda P3$) in each ERP was created based on the intermediate (IM) SSD group grand mean STOP ERPs (Figure 3 and Supplemental Figure 3). We chose a set of 16 adjacent leads, comprising the 5 midline leads {Fz – Pz}, their nearest 10 off-midline neighbors, and posterior lead POz to reduce data dimensionality. The $|P3|$ at a specific $\lambda P3$ was defined as the average voltage within that spatial distribution. A static topographic display of each ERP's voltage over time and space was examined for the latency of characteristic maximal centro-midline signature of P3 activity. Then, a computerized search for maximum spatial mask voltage within a ± 20 msec window about that approximation, defined the analytic variables $|P3|$ and $\lambda P3$ for that ERP. The masking procedure removed sensitivity of $|P3|$ to midline shifts in P3 activity associated with distinct occurrences of P3a and P3b sub-component activity. In addition, the conservative masking procedure reduced the spatio-temporal peak P3 amplitude to accommodate rational sensitivity to the spatial extent of P3 activity at $\lambda P3$.

Calculation of an Alcohol Effect

Consistent with our other examinations of the response to alcohol as a function of constant BrAC, we defined the initial response to alcohol (IRA) or placebo (IRP) infusion as the

value of the dependent variable at Block 1 (alcohol target reached) – Block 0 (non-infusion baseline; Kosobud et al., 2015; Morzorati et al., 2002; Ramchandani et al., 1999a). The overall alcohol effect was defined as (IRA – IRP), to account for changes present in both the alcohol and placebo sessions. Subjects with an IM SSD (those who did not fall within the inclusion parameters for either the FAST or SLOW groups), having served as a model to define the P3 region of interest, were excluded from further analysis. For inclusion in the final analytical database, subjects required 4 blocks of valid aSST electrophysiological data: subjects not completing a session were excluded. Outlier data (greater than 2 standard deviations from overall mean for |P3| and λ P3 alcohol effects independently) and subjects with unrecognizable ERP morphology in one or more of the blocks were removed from further analysis. One hundred and fourteen subjects had complete data. No group was preferentially impacted by the outlier removal and data review processes. Final group sizes, after outlier, incomplete data, and poor data removal, were 25 and 24 for FAST and SLOW SSD groups, respectively (Table 1). Upon visual inspection, these groups demonstrated clear differences in baseline grand mean ERP morphology (Figure 3) and λ P3, but not |P3| (Figure 4a–b), as well as differences in the effect of alcohol (Figure 5).

Statistical analyses were performed using GraphPad Prism 7 for Mac (GraphPad Software, La Jolla, CA) for demographic and baseline differences between SSD groups and IBM SPSS Statistics 24 (IBM, Armonk, New York) for multivariate analysis of variance. We assessed demographic differences between the FAST and SLOW groups using t-tests (for quantitative traits) or chi-squared tests (for qualitative traits). For each session, we assessed baseline differences in |P3| and λ P3 for SSD groups using 2-tailed t-tests. Analyses across the two sessions employed 2-way repeated measures analysis of variance (ANOVAs) with SSD group and session as factors. Post-hoc comparisons were performed using Bonferroni correction. The dependent variable was the effect of alcohol on the initial response for |P3| and λ P3, as measured by Alcohol Effect = IRA-IRP. No correlation between the |P3| alcohol effect and λ P3 alcohol effect was observed ($r = 0.02$, $p = 0.91$). A multivariate analysis of variance (MANOVA) assessed differences in the two SSD groups (FAST vs SLOW) on the alcohol effect for |P3| and λ P3.

RESULTS

Behavior and Electrophysiology

FAST and SLOW SSD groups did not differ on any demographic variables ($ps > 0.09$) (Table 1). Baseline STOP |P3| did not significantly differ by SSD group in either infusion session [Session 1: $t(47) = 1.3$, $p = 0.20$; Session 2: $t(47) = 0.7$, $p = 0.47$]. A significant smaller baseline |P3| occurred [$F(1, 47) = 6.58$, $p = 0.01$] for SLOW SSD for session 2 compared to session 1 ($p = 0.02$). A significantly longer baseline λ P3 obtained for the group with FAST compared to SLOW SSD in both infusion sessions [session 1: $t(47) = 6.72$, $p < 0.0001$; session 2: $t(47) = 3.23$, $p < 0.01$]. A significant effect of session on baseline |P3| was observed [$F(1, 47) = 6.58$, $p = 0.01$] with post hoc analysis indicating a significant reduction in |P3| for SLOW SSD at session 2 baseline compared to session 1 baseline ($p = 0.02$). A significant session x SSD interaction for baseline λ P3 was observed [$F(1, 47) = 20.62$, $p <$

0.0001] with post hoc analysis indicating a significant reduction in $\lambda P3$ for FAST SSD at session 2 compared to session 1 ($p < 0.001$) (Figure 4).

We observed homogeneity of covariance matrices of the alcohol effect (Box's $M_p = 0.09$) across the SSD groups. The multivariate alcohol effect was significant by SSD group, [$F(2, 46) = 6.30, p < 0.01, \text{partial } \eta^2 = 0.25$], reflecting a significantly reduced $|P3|$ for the SLOW compared to FAST SSD group [$F(1, 47) = 5.98, p < 0.02, \text{partial } \eta^2 = 0.11$], and a significantly longer $\lambda P3$ for the FAST compared to SLOW SSD group [$F(1, 47) = 5.56, p = 0.02, \text{partial } \eta^2 = 0.11$] (Figure 6a–b).

DISCUSSION

We examined the effect of a precisely-controlled, acute alcohol exposure on ERP component P3 indices following a cue for response inhibition in an adaptive SST task. We employed a within-subject, placebo-controlled design to identify changes attributable to alcohol exposure. We made use of a real-time adaptive SST algorithm to reduce the well-known confound of practice and fatigue on the STOP ERP. Inspection of the STOP Signal Delays yielded a clear bimodal distribution, suggesting two distinct strategies for performing the task. Similar to prior reports of “fast” and “slow” SSRT response and SSD groups, we identified two groups with consistent response strategies (FAST and SLOW) and a larger INTERMEDIATE group which likely reflected a response strategy that reflected a mixture of the strategies (Dimoska et al., 2006, Verbruggen and McLaren, 2016, Greenhouse and Wessel, 2013). The FAST SSD group (SSD mean of 117 ± 6 msec) appeared to utilize a response strategy that favored going over stopping while the SLOW SSD group (SSD mean of 518 ± 20 msec) appeared to use a conservative strategy that emphasized stopping over going. As suggested by Dimoska et al., 2006 and Greenhouse and Wessel, 2013, these proposed response strategies for the SSD groups were supported by baseline STOP P3 differences as well as the observed difference in pure GO $|P3|$ (unanalyzed but utilized within the modified ADJAR procedure, see Supplemental Figure 4). We subsequently hypothesized that the effect of alcohol on the two strategies would be apparent in STOP P3 component amplitude and latency.

To our knowledge, this is the first SST-based electrophysiological study to demonstrate a within-subject effect of alcohol on conservative estimates of ERP component activity. We observed significant alcohol effects on P3 amplitude and latency that differed depending on the response strategy implemented. Although all subjects were provided the same task instructions in an identical manner, we do not have specific data *why* subjects chose a specific strategy. FAST subjects appeared to use a response strategy that favors rapid go responses, resulting in a STOP $|P3|$ that was not significantly influenced by either practice or acute alcohol exposure, but with a significantly longer $\lambda P3$ than the SLOW subjects. In contrast, subjects in the SLOW group appeared to implement a response strategy that prioritizes stopping over going, and exposure to 60 mg/dL alcohol was associated with changes opposite to that demonstrated by the FAST group: a significantly reduced STOP $|P3|$, but no impact on $\lambda P3$. Consequently, we hypothesize that the influence of alcohol impacts one's approach to solving a problem, rather than the solution of the problem itself. The dichotomy may represent an important indicator of AUD risk. We are currently

conducting analyses on other ERP components derived from the SST suggested by our results and intend to test for associations between risk factors (gender, recent drinking history, family history, candidate genes) and SSD related ERP parameters once complete.

The findings are subject to limitations. The traditional SST paradigm, like most other ERP paradigms, is not entirely immune to practice effects across repeated testing (Manuel et al., 2013). Thus, the effect of practice on the resulting P3 ERP can still be confounded with the effect of alcohol administration due to the need for at least one replication of the task (SST P3 with and without alcohol). Although the aSST used here is designed to minimize this effect, we did observe baseline |P3| and λ P3 differences for the SLOW and FAST groups respectively between Session 1 and 2. These ERP changes, associated with repeating the task over three experimental blocks in each of 2 sessions, supports our analytical methodology that intentionally accounted for the confound. Another limitation of this work is brain exposure to alcohol at mid-morning rather than in the evening when the sample population is more likely to have had experience with drinking alcohol. On the other hand, subjects were also unlikely to have previously experienced the purely pharmacological effects of alcohol. Thus, we chose to sacrifice a more ecologically valid schedule for the precision of exposure afforded by our intravenous alcohol infusion technique and within the practical limitations of scheduling these sessions.

To examine the effect of acute alcohol exposure on the inhibitory ERP distinct from that of practice, a modified ADJAR procedure was used to remove the overlap of the preceding GO ERP signal from the STOP prior to the determination of any alcohol effect. Indeed, the resultant STOP ERP waveforms were visibly distinct and improved (see Supplemental Figures 2 and 4). The widely-held assumption of independence between the STOP and GO processes is inherent in the decontamination procedure. If these processes are not independent, our, and many other, decontamination strategies would instead introduce error into the analysis. Consequently, the assumption of STOP and GO independence should be considered a potentially significant limitation. Further, any ADJAR based decontamination procedure will differentially impact the STOP signal ERPs as a function of SSD. Indeed, the procedural effect of STOP ERP decontamination on P3 activity was greater in the order of FAST to IM to SLOW groups (See Supplemental Figure 2). Other procedures have been reported to accomplish decontamination (see review in Ihrke et al., 2009). However, the structure of our data, including number of relevant stimuli, their overlap, and sub-block design, prevented their implementation. Overall, we recommend application of the modified ADJAR procedure for understanding ERP activity from any version of the SST.

Our use of a spatial mask to define |P3|, while advantageous from a data reduction perspective, precluded a more refined spatial analysis. It is commonly accepted that the P3 is comprised of two positive potentials that occur in close temporal proximity (P3a and P3b). The early P3a component has a frontal distribution and peaks around 240 – 280 msec after the stimulus. The later P3b component has a more parietal distribution that peaks around 250 – 500 msec after the stimulus (Squires et al., 1975). Both components are thought to reflect the updating of working memory with new information (Polich & Kok, 1995). Specifically, the P3a has been hypothesized to reflect attentional processing that initiates the inhibition of ongoing activity (Polich, 2007), though further research is needed to verify that hypothesis.

Grand Mean ERPs (see Figure 5) suggest the potential for detecting separate P3a and P3b subcomponents. It is conceivable that the alcohol-induced increase in P3 latency for FAST subjects and reduced |P3| for SLOW subjects reflects differential alcohol effects upon these subcomponents. That possibility might help identify which strategy that individual members of the INTERMEDIATE SSD group of subjects were using. However, our conservative method for defining |P3| precluded testing such a hypothesis. Further analysis of the STOP ERP is needed to fully ascertain the effect of alcohol on response inhibition (e.g. does alcohol have a different effect on ERPs from correctly inhibited motor responses to STOP cues when compared to uninhibited motor responses?). Finally, the cognitive-neurophysiological aspects of the stop signal task are complex and extend beyond the response inhibition processing hypothesized to be represented in P3. Inspection of the spatio-temporal signatures in our database suggests the possibility of SSD group and drug influences on P1, N2, CNV, and a late bi-frontal activity of opposite polarity.

More generally, the two session within-subject design and SSD-based analytical approach proved analytically complex and costly from a data retention perspective. However, subtracting the effect of repetition allowed for a more robust phenotype. To be included in the final analysis, subjects were stratified according to clear response types *and* needed to provide high quality data across *four* experimental blocks. Further, subjects may alter their strategy across and within experimental runs in ways that may or may not depend on experimental intervention, potentially confounding our results. Efforts to better identify the strategy subgroups, even potentially within an experimental run, is thus a clear area of need.

In summary, we documented an effect of precisely controlled alcohol exposure at 60mg/dL on what we perceive to be two distinct strategies used to produce the P3 component of scalp ERPs cued by a visual stimulus signaling the need for response inhibition. Our findings may be eventually useful in searching for the expression of risk for developing an AUD associated with impulsivity. The ability to inhibit a pre-potent response is an integral part of normal cognitive functioning. Even moderate alcohol exposure can compromise conflict monitoring depending on the nature of the response strategy or stimulus salience. Speed of inhibition might be compromised when a pre-potent response is prioritized (i.e., such as might be the case in the FAST SSD group > SLOW SSD group comparison). On the other hand, conflict processing (i.e., |P3| amplitude) could be compromised when stopping is prioritized (i.e., SLOW SSD group). The ERP data here make clear that such subject-level task approaches may need to be accounted for to more completely understand the underlying neurodynamics, with and without alcohol.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

Supported by: NIH grant P60 AA007611 (SOC, DAK) and the Gary R. Helman Postdoctoral Research Fellowship (KAW).

We gratefully acknowledge the efforts of Victor Vitvitskiy, Liying Chen, and Promita Hazra for assistance with computer programming, Joel Hart, Sarah Hicks, Kurt White, Tet Lu, James Hays, Lydia Fischer, James Millward,

David Haines, and Shreya Velamakanni for recruitment, subject management, and data reduction assistance. Research reported in this publication was supported by the National Institute on Alcohol Abuse and Alcoholism of the National Institutes of Health, through the Indiana Alcohol Research Center (P60AA07611). Additional support was provided by Research Facilities Improvement Program grant number C06 RR020128-01 from the National Center for Research Resources, National Institutes of Health, and the Indiana Clinical and Translational Sciences Institute, funded in part by grant number TR000006 from the National Institutes of Health, National Center for Advancing Translational Sciences, Clinical and Translational Sciences Award.

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- An Adaptive Stop Signal Task was used to examine within-subject alcohol ERP changes
- Stop Signal Delay distribution was bimodal, implying different response strategies
- Alcohol was associated with different P3 ERP changes by Stop Signal Delay group

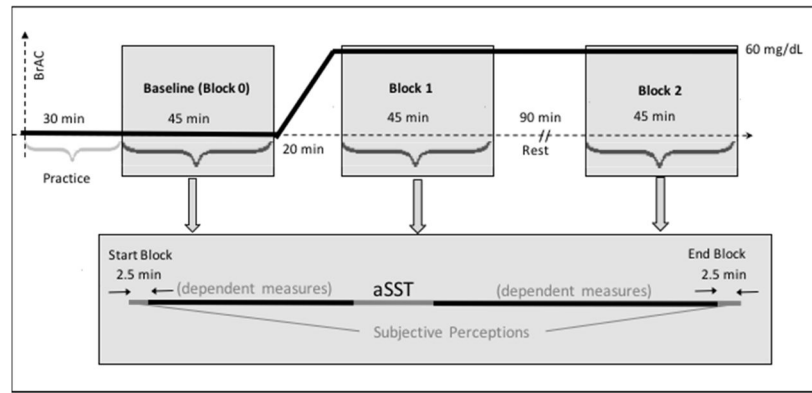


Fig. 1. Experimental Design. Adaptive Stop Signal Task (aSST) was performed in two consecutive runs (4.5 min/run), in a fixed order with other dependent measures, and began approximately 17 minutes within each of three 45 minute blocks during the infusion sessions. Sub-block aSST assessments were analyzed together. Block 0, or Baseline, occurred before infusion of either alcohol or placebo. Block 1 was collected beginning 20 minutes after the initiation of alcohol/placebo infusion and Block 2 initiated 90 minutes after the completion of Block 1. Sessions were scheduled nominally 7 days apart.

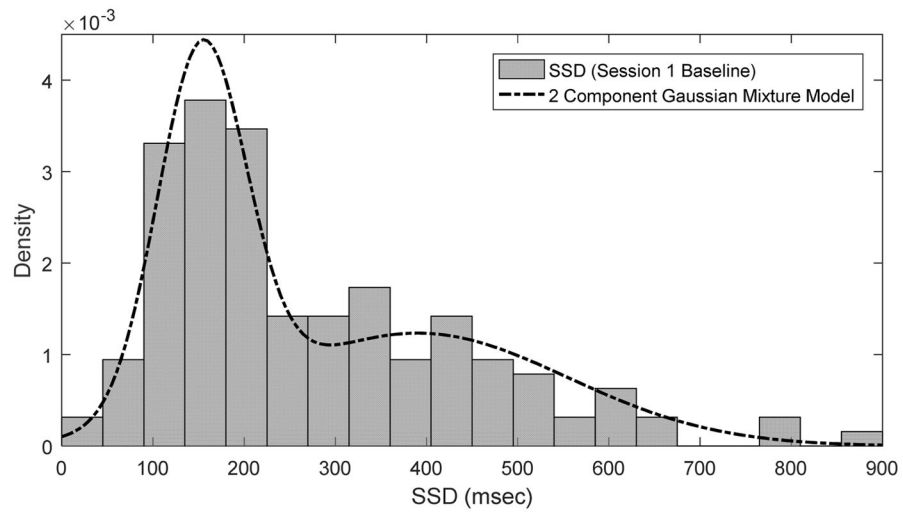


Fig. 2. Comparison of Session 1 Baseline Stop Signal Delay Density and 2 Component Gaussian Mixture Model.

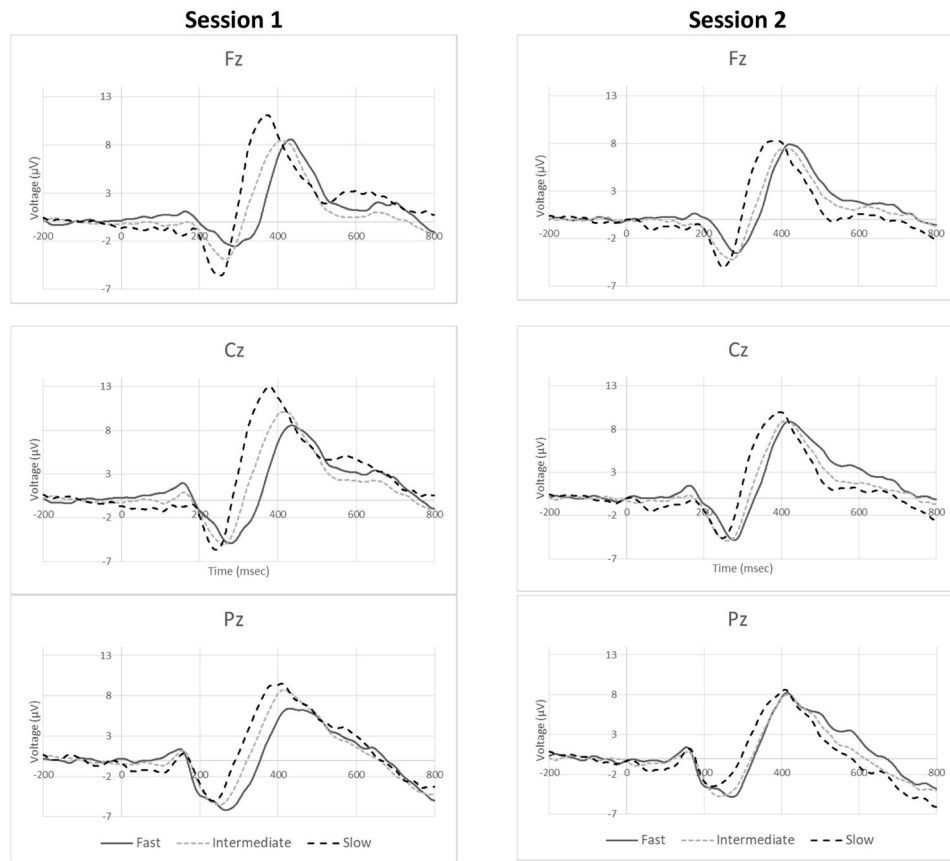


Fig. 3. Baseline Grand Mean STOP ERPs. Grand Mean STOP ERPs at electrode locations Fz (Top), Cz (Middle), and Pz (Bottom) are displayed for each of the 3 SSD groups for Baseline Session 1 (Left) and Session 2 (Right). Each waveform reflects application of the modified ADJAR decontamination procedure.

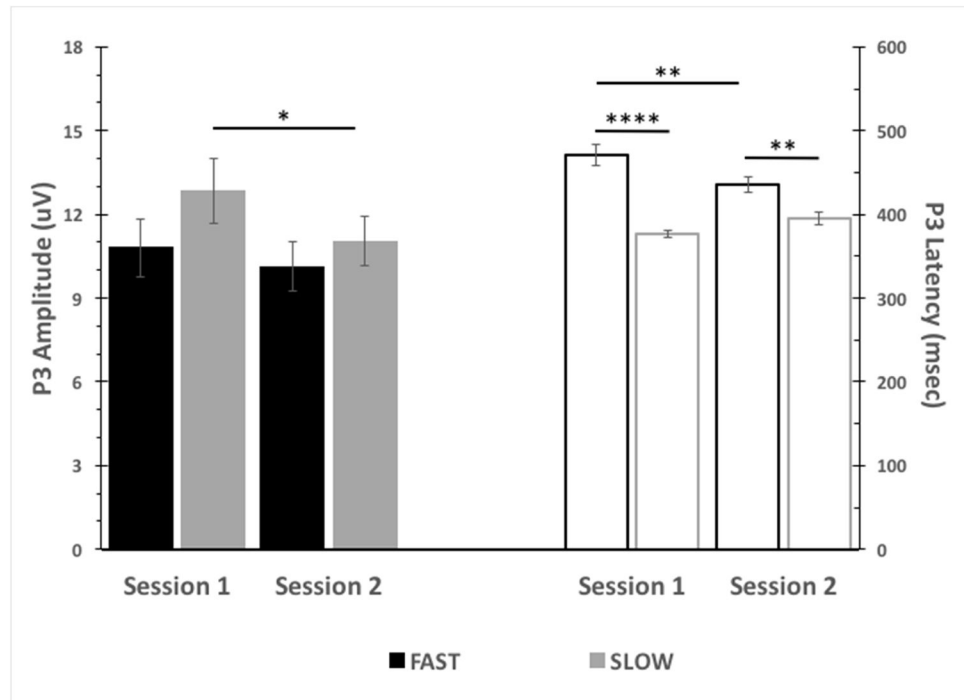


Fig. 4. Baseline P3 Amplitude and Latency by Session and SSD group. P3 Amplitude (Left, Closed Bars): No baseline P3 amplitude differences between FAST and SLOW SSD groups was observed across sessions. SLOW SSD group baseline P3 amplitude was significantly reduced in Session 2 versus Session 1. P3 Latency (Right, Open Bars): FAST SSD group baseline P3 latency was significantly longer than that of SLOW SSD group across sessions. FAST SSD group baseline P3 latency was significantly reduced in Session 2 versus Session 1. (* $p < 0.05$; ** $p < 0.01$; **** $p < 0.0001$).

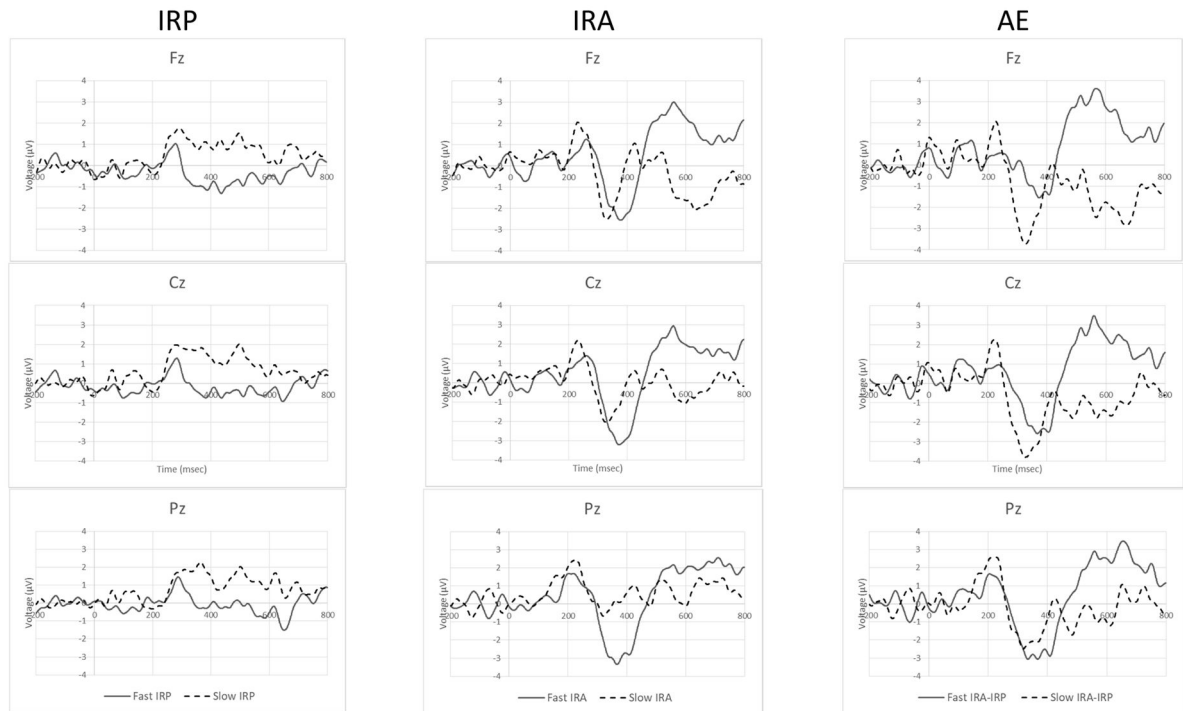


Fig. 5. Differences of Grand Mean STOP ERPs. Tempero-spatial differences between FAST and SLOW SSD groups in the initial responses to placebo administration (IRP), initial responses to alcohol exposure at 60mg/dL (IRA), and the alcohol effect (AE) calculated as the difference of differences (IRA-IRP) are displayed. None of these signals were actually measured and the comparison is meant only to illustrate group effects observed in association with alcohol exposure.

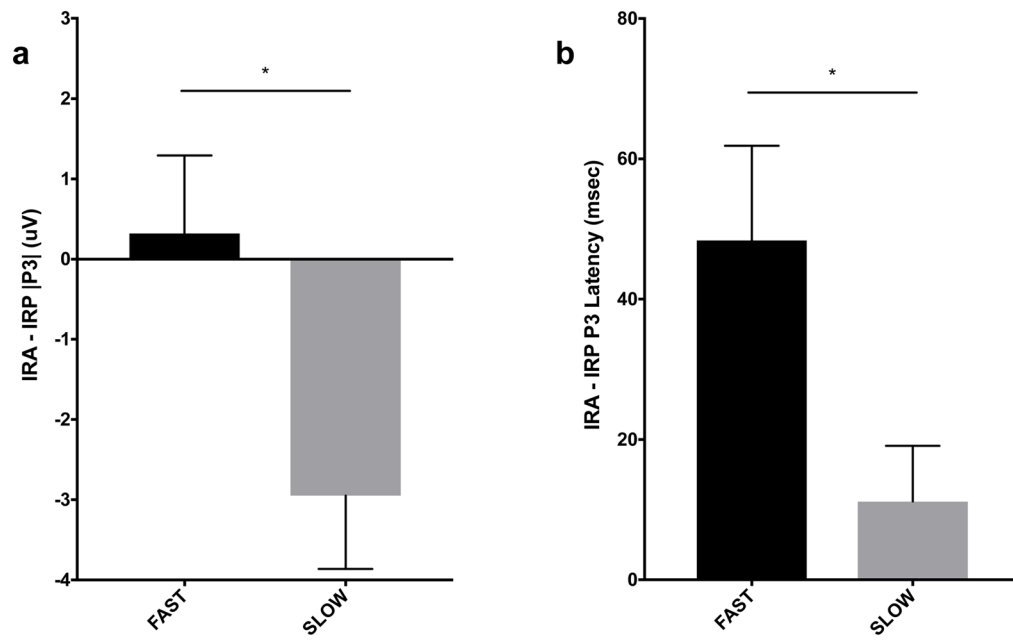


Fig. 6. Effect of Alcohol on STOP P3 Amplitude and Latency. Alcohol significantly decreased |P3| for SLOW SSD compared to FAST SSD group (a). Alcohol significantly lengthened P3 latency for FAST SSD compared to SLOW SSD group (b). (* $p < 0.05$)

Table 1

Subject Demographics and Alcohol Exposure by FAST and SLOW SSD Subgroups.
 Subject distributions did not differ for any groups (p = 0.09).

Subject numbers by group	FAST	SLOW	P
Females (n = 24)	12	12	>0.99
Males (n = 25)	13	12	
Age	24.2 ± 0.4	23.2 ± 0.4	0.09
Height (in cm)	174.1 ± 2.0	174.3 ± 1.7	0.92
Weight (in kg)	74.4 ± 2.8	78.5 ± 2.8	0.3
Education (in yrs)	15.8 ± 0.4	15.7 ± 0.3	0.78
Total Drinks (30 days)	54.7 ± 4.8	69.5 ± 10.1	0.16
Total Drinking Days (30 days)	11.5 ± 0.7	13.5 ± 1.2	0.13
Drinks/Drinking Day (30 days)	4.4 ± 0.3	5.1 ± 0.5	0.22
Heavy Drinking Day (30 days)	4.9 ± 0.6	5.7 ± 1.1	0.45
Time to 60 mg/dL (min)	13.7 ± 0.3	14.0 ± 0.5	0.56
Average BrAC across block 1 clamp (mg/dL)	60.9 ± 0.3	61.0 ± 0.3	0.84