

# Primed CRISPR adaptation in *Escherichia coli* cells does not depend on conformational changes in the Cascade effector complex detected *in Vitro*

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## ABSTRACT

**In type I CRISPR–Cas systems, primed adaptation of new spacers into CRISPR arrays occurs when the effector Cascade–crRNA complex recognizes imperfectly matched targets that are not subject to efficient CRISPR interference. Thus, primed adaptation allows cells to acquire additional protection against mobile genetic elements that managed to escape interference. Biochemical and biophysical studies suggested that Cascade–crRNA complexes formed on fully matching targets (subject to efficient interference) and on partially mismatched targets that promote primed adaptation are structurally different. Here, we probed *Escherichia coli* Cascade–crRNA complexes bound to matched and mismatched DNA targets using a magnetic tweezers assay. Significant differences in complex stabilities were observed consistent with the presence of at least two distinct conformations. Surprisingly, *in vivo* analysis demonstrated that all mismatched targets stimulated robust primed adaptation irrespective of conformational states observed *in vitro*. Our results suggest that primed adaptation is a direct consequence of a reduced interference efficiency and/or rate and is not a consequence of distinct effector complex conformations on target DNA.**

## INTRODUCTION

Arrays of clustered regularly interspaced short palindromic repeats (CRISPR) together with CRISPR-associated (Cas) proteins constitute adaptive immune systems in prokary-

otes and archaea that defend cells against invaders such as viruses, plasmids or other mobile genetic elements (1,2). CRISPR arrays contain variable spacer elements of equal length separated by repeats of identical sequence. CRISPR–Cas systems adapt to new or rapidly mutating invaders by integrating short segments of invader DNA as new CRISPR array spacers. Two most conserved Cas proteins, Cas1 and Cas2, are sufficient for spacer acquisition (3). Acquired spacers can be regarded as ‘memories’ of distinct genetic invaders. Transcripts of the CRISPR array are processed to yield short CRISPR RNAs (crRNAs). The crRNAs are part of ribonucleoprotein surveillance/effector complexes that mediate target recognition by facilitating base-pairing between the crRNA and a complementary strand of the target sequence called ‘protospacer’. Surveillance complexes of Type I CRISPR–Cas systems are large hetero-multimers, exemplified by the Type I-E surveillance complex Cascade in *Escherichia coli* with a stoichiometry of Cse1<sub>1</sub>Cse2<sub>2</sub>Cas7<sub>6</sub>Cas5<sub>1</sub>Cas6<sub>1</sub> (4–6). The complex first binds the protospacer-adjacent-motif (PAM)—an upstream element that is recognized by the protein component of the complex itself. It then mediates base-pairing between crRNA and the PAM proximal target base(s). Further base pairing along the target is achieved in a reversible zipper-like fashion by displacing the non-target DNA strand, resulting in a triple-strand R-loop structure (5,7). Mismatches between crRNA and DNA target represent kinetic barriers that are difficult and sometimes impossible to overcome. Particularly, PAM proximal mismatches in the so-called ‘seed region’ exhibit stronger hindrance and are thought to inhibit the R-loop nucleation (7–9). Full R-loop zipping until the PAM-distal end of the protospacer triggers a large conformational change. It mainly involves the Cse1 and Cse2 subunits and leads to a highly stable ‘locked’ state of

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the Cascade complex on the DNA target (10–12). Stable R-loop locking is thought to be a signal for the recruitment of the helicase-nuclease Cas3 (7), which cleaves the DNA at and around the protospacer (13–17).

In addition to mediating target recognition during interference, Cascade can promote the acquisition of new spacers from invader DNA, a response called ‘primed adaptation’ or ‘priming’ that allows to update the ‘invader memory’ of the CRISPR–Cas system (8,17,18). So far, priming has been observed for Type I-B (17–20), I-C (21), I-E (8,9,22–24) and I-F CRISPR–Cas systems (18,25). In Type I-E, priming requires all elements of the system’s machinery, i.e. the surveillance complex, Cas3, and the Cas1–Cas2 adaptation complex (8,9,23). The apparent yield of priming is stimulated by the recognition of protospacers that form mismatches with the crRNA spacer or of fully matching protospacers that contain a suboptimal PAM (8,24). Spacers that are acquired in the course of primed adaptation are located *in cis* with such priming protospacers. In *Escherichia coli*, the protospacers from which new spacers are selected have almost invariably a consensus interference-proficient AAG PAM that increases the ability of CRISPR–Cas system to fight off a genetic invader. While distances between the priming site and the selected protospacer site can be substantial (tens of thousands of nucleotides), the efficiency at which new spacers are acquired drops with increasing distance from the priming site (8,18,22,23,25,26). In addition to the distance, other poorly-defined parameters such as the protospacer sequence and its local context also affect the efficiency of spacer selection (22,27); spacers from so-called ‘hot’ protospacers are selected with thousands-fold higher probability than spacers from ‘cold’ protospacers.

Two main alternative mechanistic models to explain priming have been proposed. In the conformational-control model Cascade adopts a distinct conformation that supports priming compared to a conformation that supports interference. The model is based on an observation that for some target sequences that support priming but strongly attenuate interference, Cas3 recruitment is decreased, but can be restored with the help of Cas1–Cas2 (28). On such protospacers, the Cse1 subunit of Cascade adopts predominantly an open conformation in contrast to a closed conformation found on protospacers that, once recognized, promote interference (29). Thus, priming could be a consequence of a specific recognition of the open-form Cascade-target complex by Cas3 and the Cas1–Cas2 complex. In the extreme case of this model, acquisition of new spacers could occur without interference initiated at the priming site.

Within the conceptually simpler interference-based model, both interference and priming are consequences of the same process of target DNA degradation. The model is based on the observations that (i) during the short time window before their destruction, matching targets with consensus PAMs support more robust primed spacer acquisition than mismatched targets that are poorly interfered with (27) and (ii) target DNA fragments generated by Cas3 fuel priming (30). During attenuated but not completely suppressed interference, invader plasmids and phages can replicate and persist for longer periods of time inside cells despite of ongoing CRISPR interference. As a result, Cas3-generated fragments of foreign DNA, which

are substrates for adaptation, will also be present for longer time, allowing spacer acquisition events to occur over longer periods. In contrast, a rapid interference reaction quickly depletes the invader DNA providing insufficient time for adaptation (31).

In this work, we aim to distinguish between the two models by systematically investigating Cascade binding, DNA cleavage, and priming on a range of target substrates with mutations in the PAM and the PAM proximal seed region. To this end, we used a combination of single-molecule magnetic tweezers experiments, bulk-biochemical *in vitro* characterization, and *in vivo* measurements of priming. All tested target variants exhibited slower Cascade binding and R-loop formation rates compared to the fully matched target with consensus PAM. PAM mutations as well as seed mutations next to the PAM, for which the Cse1 subunit of Cascade is expected to adopt an open form, were found to attenuate R-loop locking as well as cleavage of preformed, fully extended R-loops by Cas3. In contrast, seed mutations more distal from the PAM exhibited *bona fide* locking and cleavage expected for closed Cse1 conformation. Surprisingly, all targets supported priming independent of the locking strength. Thus, priming can occur independently of Cascade conformations on the priming protospacer. Priming rates as well as the preferred sites of spacer acquisition were indistinguishable for strongly locked mutated targets and targets with attenuated locking. These data are consistent with the interference-based model of primed adaptation that is independent of effector complex conformations at the priming site.

## MATERIALS AND METHODS

### DNA constructs for MT assays

Constructs containing the WT g8 protospacer and its variants were cloned into plasmid pUC19 (NEB) at the single SmaI (NEB) site by blunt end ligation. The 73 bp insert DNA carried the target sequence (PAM and protospacer variant) in its center (see Supplementary Table S1). Ligation products were transformed into NEB 5 $\alpha$  *E. coli* cells. Plasmids were purified and the presence of protospacer variant sought was confirmed by sequencing. DNA constructs for magnetic tweezers experiments were obtained by amplifying a 2.2 kb fragment containing the target sequence from the corresponding plasmid (10,32). At either end of the fragment, a biotinylated and a digoxigenin-modified 0.6 kb DNA handle was ligated after digestion of the fragment and the handles with SpeI and NotI (both from NEB).

### Protein purification

Cascade containing the g8 spacer crRNA was overexpressed in the *E. coli* strain KD418 (33) co-transformed with the plasmids pCDF-casABCDE, a derivative of pWUR400 (4) encoding the Cascade complex with an N-terminally Strep-tagged Cse2 subunit (33) and pWUR615 containing seven g8 spacers in the CRISPR array (34). The Cascade complex was purified by affinity chromatography using a Strep-trap column (4) followed by size-exclusion chromatography using a Superose 6 (GE) gel filtration column. The complex concentration was calculated from ab-

sorbance at 280 nm using an extinction coefficient of  $725\,000\text{ M}^{-1}\text{cm}^{-1}$ . Purified Cas3 protein was generously provided by Prof. Scott Bailey (John Hopkins University).

### Magnetic tweezers experiments

Magnetic tweezers measurements were carried out using a home-built magnetic tweezers setup (35) and automated bead tracking in real time at 120 Hz (36). Forces were calibrated using the bead fluctuations along the ‘long-pendulum’ direction (37). DNA constructs were bound to  $1\ \mu\text{m}$  streptavidin-coated magnetic beads (MyOne; Invitrogen) and anchored in a digoxigenin-coated fluidic cells (38,39). R-loop formation/dissociation experiments were carried out as previously described (32) in 20 mM Tris-HCl pH 8, 150 mM NaCl and 0.1 mg/ml BSA at  $37^\circ\text{C}$ . Experiments using the target with CCG PAM were also performed in presence of 5 mM  $\text{MgCl}_2$ .  $\text{Mg}^{2+}$  facilitates the R-loop formation, thus providing a more stringent control. R-loop formation was detected at about  $-7$  turns of negative supercoiling and a force of 0.4 pN, corresponding to a torque of  $-6.7 \pm 0.5\text{ pN nm}$  (39). Rotational shifts were estimated from the linear part on the left side of the rotation curve (10). R-loop dissociation experiments were performed at about  $+12$  turns of supercoiling and a force of 5 pN, corresponding to a torque of  $+32 \pm 3\text{ pN nm}$ . Each target variant was characterized with at least two repeats, i.e. on at least two different molecules.

Data analysis was carried out using customized software code written in Labview and Matlab as well as Origin 9 (32). Mean R-loop formation and dissociation times were determined from exponential fits to cumulative distributions of the data (10) (see Supplementary Figures S1 and S2 for measured distributions). Each mean-time value was calculated from  $\sim 25$  events.

### Permanganate probing

The target g8 DNA fragment (213 bp) and its mutant variants were amplified by PCR of M13mp18 phage DNA (wild-type and engineered escape mutants (34)) using g8-dir 5'-agtctttagtctctcaaacgctctg-3' and g8-rev 5'-agcttcttgcaggtgaatttc-3' primers. For radioactive labeling, 3–5 pmol of the target DNA fragments were combined with 8 pmol of [ $\gamma$ - $^{32}\text{P}$ ]-ATP (3000 Ci/mmol) and 10 units of T4 polynucleotide kinase (NEB) in 20  $\mu\text{l}$  of the reaction buffer containing 70 mM Tris-HCl (pH 7.6), 10 mM  $\text{MgCl}_2$ , 5 mM DTT, and incubated for 30 min at  $37^\circ\text{C}$ .  $^{32}\text{P}$ -labeled DNA fragments were purified by micro Bio-Spin<sup>TM</sup> chromatography on columns packed with Bio-Gel P-30 (Bio-Rad) and used for permanganate probing reactions performed as described before (40). Target binding was performed in 10  $\mu\text{l}$  of binding buffer (40 mM Tris-HCl, pH 8.0, 50 mM NaCl, 10 mM  $\text{MgCl}_2$ , 0.5 mM TCEP, 50  $\mu\text{g/ml}$  BSA) using 15 nM labeled DNA fragment and 2  $\mu\text{M}$  Cascade. After 30 min incubation at  $37^\circ\text{C}$ , the probing reaction was initiated by adding  $\text{KMnO}_4$  to a final concentration of 2.5 mM. The reaction was quenched after 15 s by the addition of 10  $\mu\text{l}$  1% 2-mercaptoethanol. The reaction products were extracted using a phenol-chloroform mixture, followed by an ethanol precipitation. The DNA pellets were dissolved in 100  $\mu\text{l}$  of

freshly prepared 1 M piperidine solution and placed in a  $90^\circ\text{C}$  water bath for 10 min. After chloroform extraction DNA was ethanol precipitated. The pellets were dissolved in 8  $\mu\text{l}$  of formamide loading buffer. The reaction products were separated using an 8% denaturing PAGE gel and visualized with a Typhoon 9400 phosphorimager.

### Cas3-mediate DNA degradation

Cas3-mediated DNA cleavage experiments were carried out in 20 mM HEPES-KOH pH 7.5 supplemented with 35 mM KCl, 10 mM  $\text{MgCl}_2$ , 10  $\mu\text{M}$   $\text{CoCl}_2$ , 1.5 mM ATP and 1 mM TCEP. First, Cascade binding to the target plasmids was tested by incubating 5 or 10 nM plasmid with 100 nM Cascade at  $37^\circ\text{C}$  for 30 min. The reaction products were separated on 1% agarose gels. Cascade binding was seen as a small but noticeable shift towards lower mobility (Supplementary Figure S3). To measure DNA degradation, 100 nM Cas3 was added to the Cascade-bound plasmid. The reaction was allowed to proceed at  $37^\circ\text{C}$  for variable times and was stopped by adding 30 mM EDTA and rapid cooling on ice. Reaction products were separated on 1% agarose gel and visualized by ethidium bromide staining using a Bio-Rad gel imaging system. For each target variant, the intensity of Cascade-bound plasmid in absence of Cas3 was taken as zero-time reference. The processed fraction of plasmid was calculated from the intensity decrease of the supercoiled plasmid species normalized by the zero-time reference.

### Detection of primed adaptation *in vivo*

Primed adaptation *in vivo* was studied using *E. coli* KD263 cells (K-12 F+, lacUV5-cas3 araBp8-cse1, CRISPR I: repeat-spacer g8-repeat) as described in (26,41). Cells were transformed with pUC19 carrying the corresponding target variant. Single colonies were picked inoculated in LB medium containing 100  $\mu\text{g/ml}$  of ampicillin and grown overnight. The cultures were then used to inoculate fresh LB without antibiotic and cells were grown for few additional hours until an  $\text{OD}_{600}$  of 0.4 was reached. Expression of *cas* genes was induced by addition of 1 mM IPTG (induction of the *cas3* gene) and 1 mM arabinose (induction of operon containing genes encoding Cascade subunits and Cas1-Cas2). At various times, 10- $\mu\text{l}$  culture aliquots were withdrawn and diluted 1:10 in deionized water. 1  $\mu\text{l}$  of diluted cultures was used in a 20- $\mu\text{l}$  PCR reaction with Taq polymerase using the 5'-aaggttggtgtctttttac and 5'-gtcgtcgccgtgacgttatg primers to amplify CRISPR array (including part of the leader and all repeats and spacers). The PCR product was 308 bp long without a newly incorporated spacer and 369 bp long with one newly incorporated spacer. The PCR products were analyzed on 2% agarose gels. Gel images were quantified using Image Lab 5.0 software. An average of at least two repeats for each time point and each target variant was used to calculate the priming efficiency.

The efficiency of primed adaptation was measured using qPCR. To this end the amount of CRISPR arrays that acquired a particular plasmid-derived spacer (hotspot 1, HS1) was quantified and normalized by the amount of the *GyrA* gene on the bacterial genome (Supplementary Figure

S4A). The qPCR amplification of the extended CRISPR arrays used the primers 5'-catgagtataactgccc being complementary to HS1 and 5'-aaggttggtgggtgttttatgg being complementary to the CRISPR array leader. The qPCR amplification of the GyrA gene used the primers 5'-cggtaacattgaggaagagc and 5'-tacgtcaccaacgacgg. DNA amounts were obtained from the qPCR cycle threshold using calibration curves from diluted DNA samples (Supplementary Figures S4A and S4B). The adaptation score was calculated as the percentage of CRISPR arrays that adapted the HS1 spacer over all CRISPR arrays in the sample (see test measurement in Supplementary Figure S4C). In a primed adaptation experiment, the adaptation score is significantly <100% since the spacers from HS1 represent only a subset of all acquired spacers. The adaptation score is nonetheless proportional to the overall level of adaptation, since all targets supported comparable levels of HS1 incorporation (see high throughput sequencing experiments below).

### High throughput determination of acquired spacers sequences

KD263 *E. coli* cells harboring pUC19 plasmids with various target variants were collected 6 h after induction of the *cas* genes expression. 1  $\mu$ l of 10-fold diluted culture was used in a 20- $\mu$ l PCR reaction with 5'-aaggttggtgggtgttttatgg-3' and 5'-ggatgacacccctcagcagcg-3' primers to amplify sequences between the leader region to the priming g8 spacer (118 bp in KD263 with unexpanded array). PCR products were separated on 2% agarose gels and a 179 bp band that corresponds to a single acquired spacer was excised and purified using a GeneJet Gel Extraction and DNA Cleanup kit (Thermo Scientific). ~100 ng of purified DNA amplicons from each target were sequenced using Illumina MiniSeq system according to the recommended protocol of the manufacturer. Each amplicon was read for 150 nucleotides from each side. Results were trimmed and paired using the CLCgenomics software. Further analysis of the results was done using in house developed R scripts. Acquired spacer sequences were identified, counted for frequency and mapped onto the pUC19 plasmid backbone. Graphical visualization of the results was done using the EasyVisio1500 software.

## RESULTS

### *E. coli* Cascade forms R-loop complexes on targets that escape CRISPR interference *in vivo*

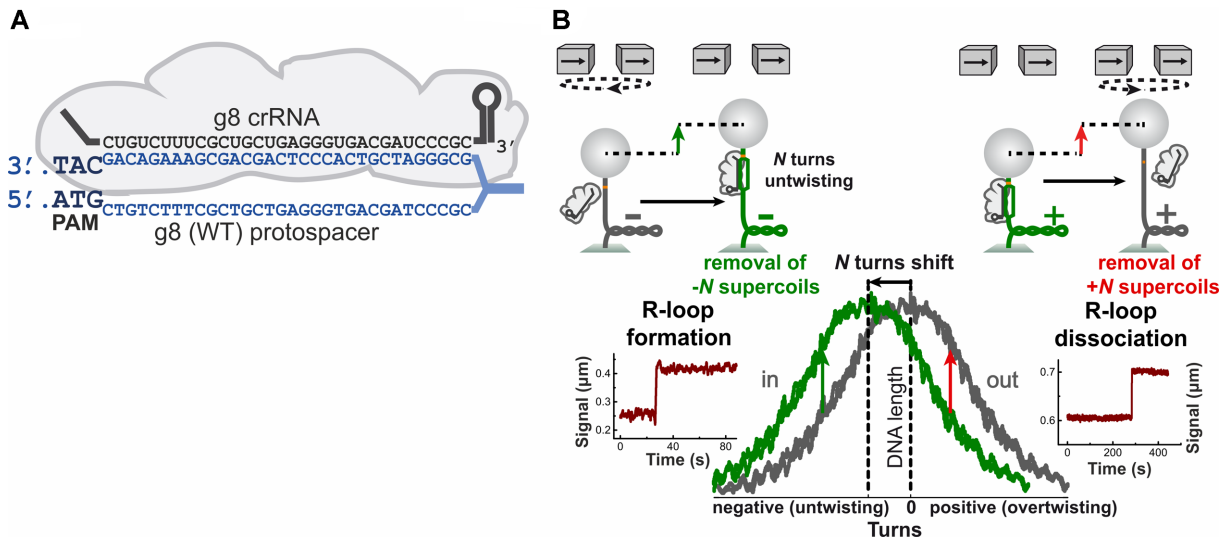
In order to relate priming to target binding and Cas3-mediated DNA degradation we used *E. coli* Cascade with a crRNA harboring the previously studied g8 spacer (34) and characterized its biochemical properties for a number of different DNA target variants (see Figure 1A, Supplementary Table S1). All target variants were derived from a fully matching M13-derived protospacer g8 with interference-proficient ATG PAM. This initial protospacer is further referred to as 'wild type' ('WT'). Cells expressing g8 spacer crRNA efficiently interfere with wild-type M13 phage infection (34). In addition to the WT target we investigated two targets with altered PAM: CCG, which corresponds to the spacer-adjacent trinucleotide of the CRISPR repeat and

prevents self-recognition by the CRISPR machinery (24), and ATT (named G-1T as it is derived from wild-type ATG PAM by a single substitution at the -1 position). Additionally, we tested ATG PAM targets carrying single substitutions at four consecutive seed positions: C1T, T2A, G3T and T4G (named according to nucleotide introduced in the non-targeted DNA strand and the relative position from the PAM). In the context of the M13 phage infection, each of these mutations allows bacteriophages to escape CRISPR interference (34). The G-1T and C1T variants were also shown to support primed adaptation *in vivo* (8).

To dissect the impact of the mutations on target recognition, we first studied their influence on R-loop formation. To this end we employed a previously described magnetic tweezer assay (10,32) that allows one to directly monitor R-loop formation in a time-resolved manner on single supercoiled DNA molecules (see Figure 1B for a detailed scheme). In brief, one end of a linear DNA molecule containing a protospacer is tethered to the surface inside a fluidic cell, while the other end is attached to a magnetic bead. This allows one to apply controlled forces using the field of a pair of permanent magnets (37) and to stretch the DNA. The DNA length is measured in real-time using microscopy and automated analysis of the recorded images (36). Rotating the magnets allows one to twist the DNA, i.e. to introduce positive or negative supercoils. When DNA is negatively or positively supercoiled at low force, its length decreases since the molecule absorbs twist by writhing around itself.

The DNA length dependence on the applied supercoiling is revealed by a characteristic rotation curve (gray in Figure 1B). Upon R-loop formation by Cascade, ~30 bp of DNA are unwound. This absorbs about three turns of supercoiling, such that the rotation curve in presence of the R-loop is shifted by same amount of turns towards lower supercoiling (green curve in Figure 1B). R-loop formation on a substrate with a fixed amount of negative turns is thus seen as a sudden increase in DNA length (green arrows in Figure 1B). One can quantify the kinetics of R-loop formation by monitoring the time between applying negative supercoiling and the DNA length increase due to R-loop formation. Moreover, the extent of DNA unwinding in the R-loop complex can be quantified, since it linearly corresponds to the extent of the rotational shifts (10). Furthermore, as shown in previous studies, R-loops formed by *S. thermophilus* Cascade would typically dissociate when sufficient positive supercoiling (torque) is applied to the DNA molecule by magnetic tweezers (10). R-loop dissociation (DNA rewinding) absorbs positive supercoiling resulting in a back-shift of the rotation curve. Thus, R-loop dissociation for a fixed amount of positive supercoils introduced is seen also as a sudden increase in the DNA length (red arrows in Figure 1B).

When investigating R-loop formation on the WT target carrying a fixed number of negative turns, a sudden DNA length increase in the time-trajectory as well as a shift of the whole rotation curve (when subsequently rotating the magnets) are detected and indicate DNA unwinding by R-loop formation (Figure 2). As expected, DNA unwinding was not detected when the substrate contained a fully matching protospacer but a CCG PAM (Figure 2). These experiments were carried out at 37°C in the presence of



**Figure 1.** Principle of the magnetic tweezers assay used to study R-loop complexes. (A) Schematic representation of the R-loop complex formed by the *E. coli* Cascade (gray) bound to the wild-type g8 protospacer target (blue). The crRNA is shown in black. (B) A scheme of the magnetic tweezers assay to detect formation and dissociation of single R-loops (see text for detailed explanation). The left side illustrates R-loop formation on negatively supercoiled DNA. DNA unwinding by R-loop formation absorbs about 3 turns of negative supercoiling, leading to a DNA length increase (see green arrow in sketch on top and example trajectory on the bottom). The right side illustrates R-loop dissociation on positively supercoiled DNA (see red arrow in sketch). DNA rewinding upon R-loop dissociation absorbs about 3 turns of positive supercoiling, also leading to DNA length increase. The plot at the bottom, center, shows the characteristic DNA supercoiling curve (DNA length vs. turns) in the absence (gray) and the presence (green) of bound Cascade. The curves are shifted relative to each other due to unwinding of helical turns by the Cascade. Green and red arrows mark R-loop formation and dissociation at negative and positive supercoiling, respectively.

Mg<sup>2+</sup>—conditions that support rapid R-loop formation by *E. coli* Cascade on the WT sequence (32). Surprisingly and in strong contrast to Cascade from *S. thermophilus* (10), R-loops of *E. coli* Cascade formed on the WT target could not be dissociated (rotation curve did not back-shift) even at maximal applicable positive supercoiling/torque at which the B-form DNA structure collapses (see Figure 1B right side and the trajectory in Figure 2, top row, right panel). Thus, conformational locking (11–13) by *E. coli* Cascade appears to be strong and practically irreversible.

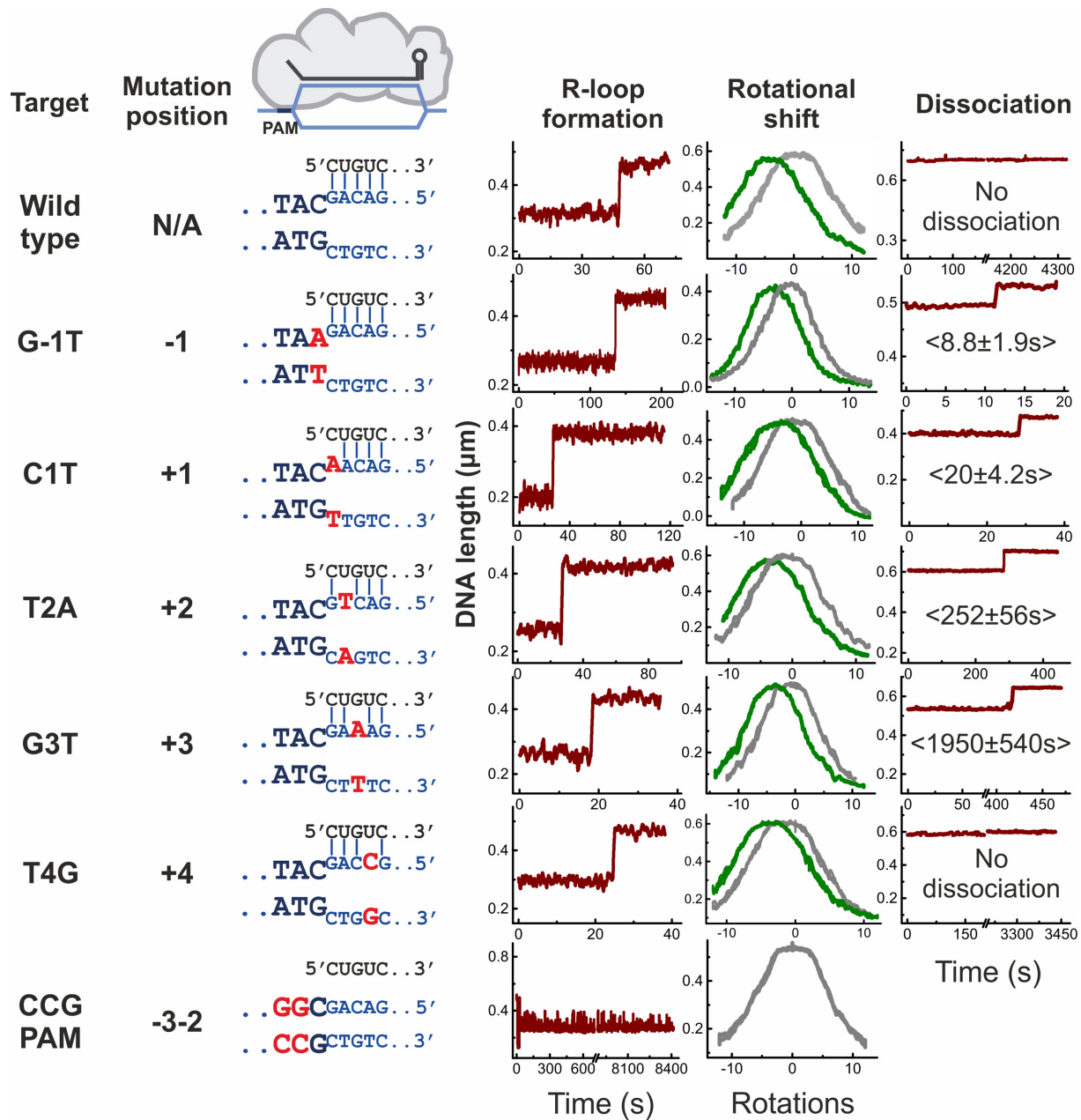
When investigating target variants bearing single point mutations, R-loop formation was observed on each of these targets as a single-step DNA length increase (Figure 2). Possible R-loop intermediates, if they existed, were too short-lived to be detected. Measured rotational shifts were between 2 to 3 turns, suggesting that the R-loops on all targets covered very similar regions of unwound DNA. Thus, the ability of *E. coli* Cascade to form R-loops on the set of escape point-mutant targets was directly demonstrated. However, in contrast to the WT target, most of the mutant variants exhibited R-loop dissociation, i.e. less stable locking (Figure 2, see also below).

The ability of Cascade to form R-loops on relaxed linear DNA substrates as well as the extent of R-loops formed was also tested in bulk assays. To this end, potassium permanganate probing that reveals thymine residues in single-stranded form was used (Figure 3). The results were in full agreement with the magnetic tweezers assay: the wild-type target, as well as the seed mutants in positions 1–4 and the PAM variant with single substitution at position -1 all showed Cascade-dependent localized melting of DNA and the extent of this melting was identical for all targets (the

differences in patterns of permanganate sensitivity between the wild-type and some of the mutant complexes are due to introduction/removal of thymine residues by substitutions). In reactions containing a DNA substrate with a fully matching g8 protospacer and a CCG PAM, the permanganate sensitivity was weak and the pattern that was detected was markedly different compared to other complexes indicating the absence of an R-loop.

#### R-loop formation on escape targets is slower than on wild-type target

We further investigated how strongly the R-loop formation kinetics differed between WT and mutant targets. Since measurements of R-loop formation rates by magnetic tweezers require analysis of multiple individual binding/dissociation events, it was practically impossible to obtain such data for substrates on which highly stable R-loop formed. We, therefore, sought conditions that prevent locking but not R-loop formation. To this end, we introduced six consecutive mismatches with the crRNA at the PAM-distal end of the WT protospacer (Figure 4A) (note that all other tweezers and ensemble measurements use targets without PAM-distal mismatches). The same number of mismatches abolished locking by the *S. thermophilus* Cascade by preventing zipping of the R-loop until the end of the protospacer (10). As anticipated, all R-loops formed by *E. coli* Cascade on the target with six PAM-distal mismatches dissociated instantaneously upon applying mild positive supercoiling. This indicates the absence of locking (Figure 4B), such that multiple R-loop formation-dissociation cycles could be carried out. To measure R-loop formation times for the target variants, a set of sub-



**Figure 2.** Example trajectories of R-loop formation and dissociation by *E. coli* Cascade measured with magnetic tweezers. Data is shown for all investigated target variants (nomenclature, mutation positions and base-pairing schemes, with mutations indicated in red, are shown on the left side). R-loop formation is seen as a sudden DNA length jump at negative supercoiling (left trajectories recorded at 0.4 pN force and about  $-7$  turns) and as a shift of the supercoiling curve (middle trajectories, grey and green curves refer to unbound and bound DNA). R-loop dissociation is seen as a length jump at positive supercoiling (right trajectories including mean dissociation times taken at 5 pN force and about  $+12$  turns). Shown trajectories were smoothed with a sliding average filter of 1 s.

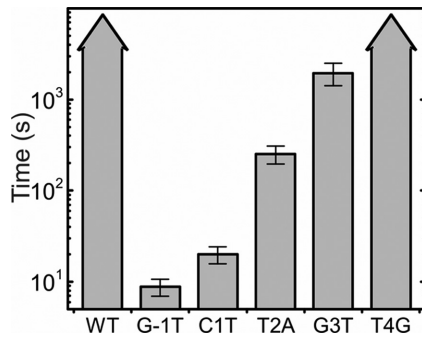
strates was produced where single PAM or seed substitutions were combined with the PAM-distal mutation introducing 6 mismatches (see Figure 1B and Supplementary Table S1). Mean R-loop formation times were obtained from the analysis of consecutive single R-loop formation events (see Figure 4C and Supplementary Figure S1). R-loop formation on the target with 26 fully matching base pairs from the PAM (i.e. a shortened version of the wild type target) was the fastest ( $6.7 \pm 1.7$  s at defined supercoiling conditions with a torque of  $-6.7 \pm 0.5$  pN nm). The G-1T PAM

mutation and the C1T seed mismatch retarded the R-loop formation strongly, by 35- to 50-fold. The T2A, G3T and T4G exhibited a moderate 5- to 15-fold retardation of R-loop formation.

#### Target mutations at PAM and PAM-proximal positions attenuate R-loop locking

While R-loop locking on the WT target was irreversible even at high positive supercoiling, R-loop dissociation





**Figure 5.** Mean times required to dissociate single R-loops at high positive torque. Arrowheads on bars indicate that no R-loop dissociation events were observed in the course of 1-h observation.

could be observed for most of the target variants, in particular the G-1T PAM mutation as well as seed mutations at positions +1, +2 and +3 (Figure 2, seen as sudden DNA length increase at conditions of positive supercoiling). To quantify R-loop dissociation we measured the times required for R-loop dissociation after introduction of positive supercoiling (torque of  $+32 \pm 3$  pN nm). Fitting of the cumulative time distributions provided mean dissociation times of  $9 \pm 1.9$  s for the G-1T PAM variant and  $20 \pm 4.2$  s,  $252 \pm 56$  s and  $1950 \pm 540$  s for targets with single seed mutations at positions +1, +2 and +3, respectively (Figure 5). R-loops on the WT target as well on the target with a seed mutation at position +4 did not dissociate under the conditions of the experiment over the course of at least one hour (Figure 2). Overall, these results clearly indicate that the presence of mutations in the PAM or in the seed region very close to the PAM (positions +1 and +2) strongly attenuates R-loop locking by *E. coli* Cascade without altering the extent of DNA unwinding. The presence of more distant seed mutations (positions +3 and +4) supports, however, strong WT-like R-loop locking.

For the weakly locked target variants (positions -1, +1 and +2) we were able to perform repetitive formation-dissociation cycles and to quantify the R-loop formation kinetics of complexes with full-sized R-loops. The R-loop formation times on these substrates agreed with the times measured for corresponding substrates with six PAM-distal mismatches (Supplementary Figure S5) thus validating the previous approach.

### Cas3 recruitment to Cascade complexes on escape targets correlates with the R-loop locking strength

The results presented above indicate that with the exception of the target with CCG-PAM, all tested mutated targets that escape CRISPR interference *in vivo* are bound by *E. coli* Cascade *in vitro* and form full-length R-loops. We therefore wondered whether the escape phenotype could be due to attenuated recruitment of the Cas3 nuclease to R-loop complexes with different Cascade conformations. To test this idea, we investigated Cas3-mediated degradation of plasmids containing g8 protospacer variants that were bound by Cascade. Cascade binding to supercoiled plasmids could be seen as a shift in the plasmid mobility during agarose gel electrophoresis (Figure 6 and Supple-

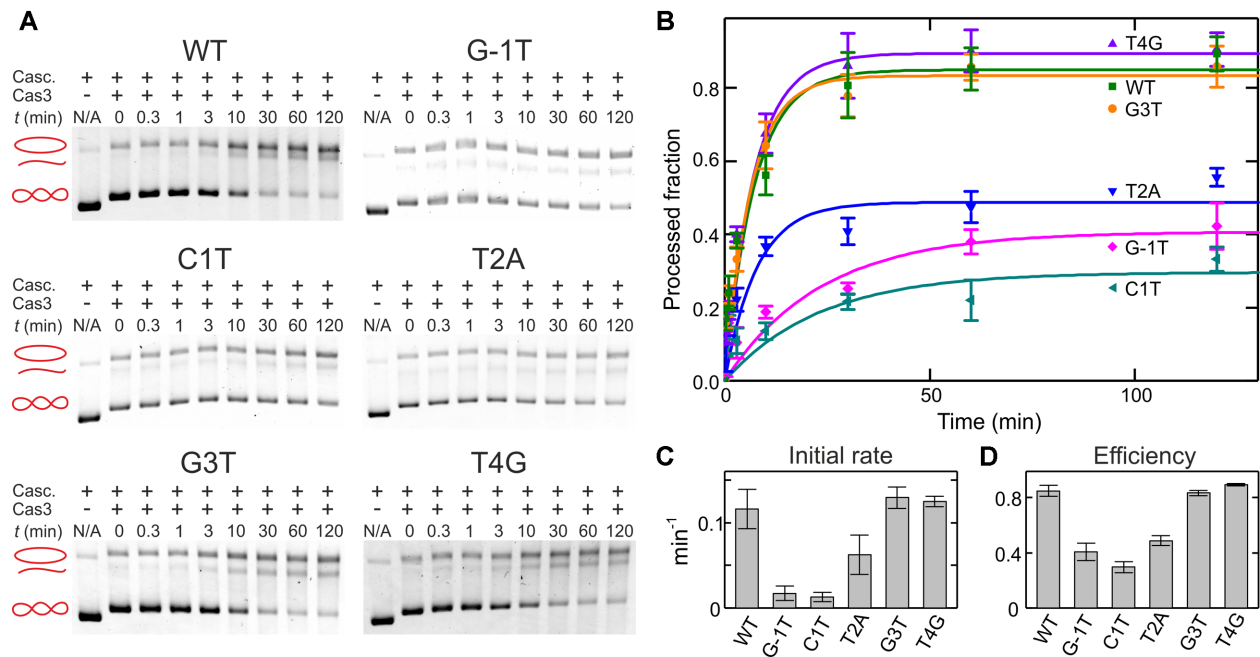
mentary Figure S3). In agreement with the experiments described above, binding was detected for all plasmids but the CCG-PAM variant. Cascade-bound plasmids were next combined with an excess of Cas3 at conditions optimized for target degradation (24,29,41). DNA cleavage was seen as the conversion of supercoiled Cascade-bound plasmid DNA into relaxed and/or linearized forms as well as the appearance of a smear of shorter degradation products at longer reaction times (Figure 6) (13,29). For the WT target, most of the supercoiled plasmid species disappeared (corresponding to a processed fraction of 0.84, Figure 6C) with a mean reaction time of  $\sim 8$  min. Cas3-catalyzed DNA degradation using targets with seed mutations at positions +3 and +4 was similarly fast. In contrast, DNA degradation of targets bearing the G-1T PAM mutation or seed mutations at position +1 and +2 was greatly decreased. The strong attenuation of DNA cleavage in these cases was not caused by dissociation of less stable R-loop complexes, since all supercoiled (and, therefore, uncut) DNA remained bound to Cascade during the entire 120-min time course of the reaction (Figure 6A). It is interesting to note that the rate and the efficiency of Cas3 cleavage strongly correlates with the R-loop dissociation time, i.e. locking strength, on a particular target (compare Figure 5 with Figure 6C and D, Pearson correlation coefficients  $r$  of 0.88 and 0.94 with probability values  $P$  of 0.021 and 0.006 for rate and efficiency, respectively).

### Escape targets induce primed adaptation independently of R-loop locking

In order to determine whether there is a link between the locking state of target-bound Cascade and priming, we tested whether target variants studied here support primed adaptation *in vivo* (only the weakly locked PAM mutant G-1T and the +1 seed mutant were previously tested and shown to support priming) (8). *E. coli* KD263 cells harboring *cas* genes under inducible promoters and a CRISPR array with g8 spacer were transformed with plasmids harboring the WT g8 target or its variants (Figure 7A). The *cas* gene expression was induced in plasmid-bearing cell cultures, and adaptation was followed by PCR amplification of CRISPR array at various times post-induction (Figure 7B). In agreement with previous work (24,42), no adaptation was detected in induced cultures of cells harboring plasmids without protospacer, with the WT target or with the CCG PAM variant, while robust adaptation was observed in cultures transformed with plasmids carrying targets with a G-1T PAM and the +1 seed mutation. Notably, targets with seed mutations at positions +2, +3 and +4 also supported adaptation at comparable rates (Supplementary Figure S6) and apparently comparable levels (Figure 7B).

We further tested whether the locking state of Cascade impacts the specificity to select particular spacers during priming. For all target variants that supported priming, PCR fragments corresponding to expanded CRISPR arrays were subjected to Illumina sequencing. After filtering, the acquired spacer sequences were extracted and mapped onto the donor plasmid backbone (Figure 7C, Supplementary Figure S7A). No significant differences among the target variants could be detected: all of them displayed the





**Figure 6.** Kinetics of Cas3-mediated cleavage of plasmid DNA bound by Cascade. (A) Target plasmids containing indicated g8 protospacer variants were preincubated with Cascade-g8-crRNA. DNA cleavage reaction was initiated by the addition of Cas3. At indicated times after Cas3 addition reactions were terminated. Products were separated by agarose gel electrophoresis. Sketches next to gel images illustrate positions of the DNA topoisomers (supercoiled, nicked and linear plasmid). (B) Kinetics of DNA cleavage quantified from the disappearance of the supercoiled DNA species in the agarose gels. Error bars represent standard deviations from two to three repeat measurements. (C and D) Initial cleavage rate and cleavage efficiency obtained from the fits of the data shown in B.

previously reported strand-biased hot-spot pattern of protospacers from which newly acquired spacers originated (8,18,22,23,25,26). These patterns were for all targets highly correlated to each other (Figure 7D) with Pearson correlation coefficients  $>0.97$  (Supplementary Figure S7B).

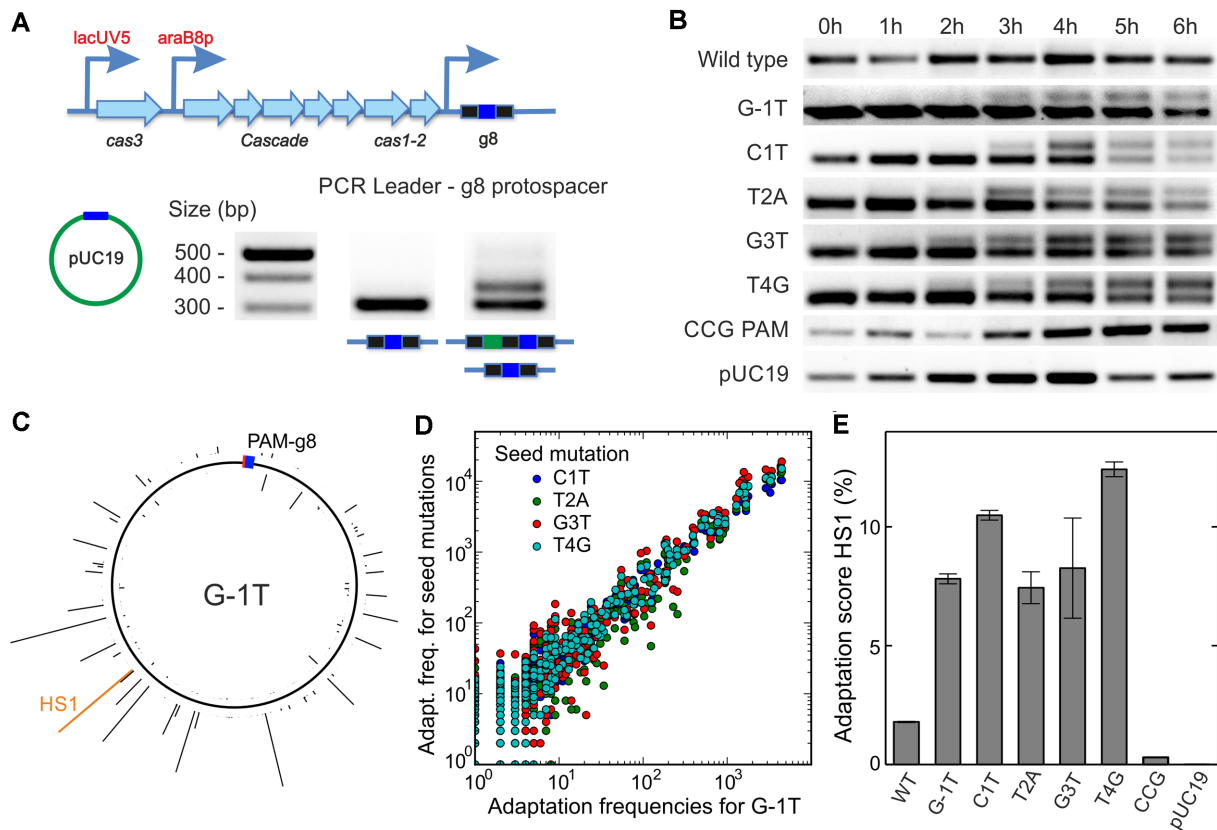
The identical use of protospacers during primed adaptation for the different targets allowed us to design a semi-quantitative assay to measure the adaptation efficiency. The assay involved qPCR reactions where one of the primers was specific to a frequently acquired spacer (from hotspot HS1, see Figure 7C). By normalizing the qPCR signal from HS1 by the qPCR signal from a genomic *gyrA* gene, an adaptation score could be calculated (Figure 7E, see Materials and Methods for details). All targets that supported priming had a similar adaptation score, i.e. priming occurred for all of them at a comparable level. We therefore conclude that the locking state of Cascade, while well correlated with the *in vitro* ability to recruit Cas3 for target degradation, does neither influence the extent of primed adaptation (insignificant correlation with  $r = -0.21$  and  $P = 0.7$ ) nor the sequence preferences during spacer selection *in vivo*. In other words, *in vivo* priming occurs independently of the particular locking state of target-bound Cascade.

## DISCUSSION

In this work we comprehensively characterized Cascade binding and Cas3 mediated DNA degradation on targets that contain single mutations in the PAM or the seed region and correlated the observed behavior with the ability to prime spacer acquisition *in vivo*. A central finding

of our study is that all tested target variants with single substitutions readily support R-loop formation, as well as Cas3-mediated DNA degradation. However, the kinetics of both processes was partially reduced for the mutant targets compared to the WT target. R-loop formation was slowed down for all mutant targets, but mostly affected by the G-1T PAM mutation and the +1 seed mutation (up to 50-fold). During Cas3-mediated DNA degradation of preformed R-loops, the degradation kinetics was slowed down most significantly for the G-1T PAM and the +1 seed mutation and, to a lesser extent, for the +2 seed mutation. However, seed mutations at positions +3 and +4 showed a WT-like Cas3 cleavage rate. The observed cleavage kinetics correlated well with the strength of R-loop locking measured in the magnetic tweezers assay, i.e. targets with longer dissociation times of R-loops under high positive torque were cleaved faster by Cas3 in bulk experiments.

It has been previously shown that a +1 seed mutation causes escape from CRISPR interference and stimulates primed adaptation in *E. coli* cultures (8). The Cse1 subunit of Cascade for an R-loop on such a target (as well as for single PAM mutations) adopted an open conformation, while it was in a closed conformation on a WT substrate (29). A +1 seed mutation was also reported to support Cas3 DNA degradation at significantly reduced rate. The magnetic tweezers assay measures a global effect of conformational changes that contribute to locking. Given that we observe extremely strong locking on the WT target and weak locking for a +1 seed mismatch target, we can conclude that full locking requires a closed Cse1 conformation. Thus, the attenuated locking and DNA degradation that we observe

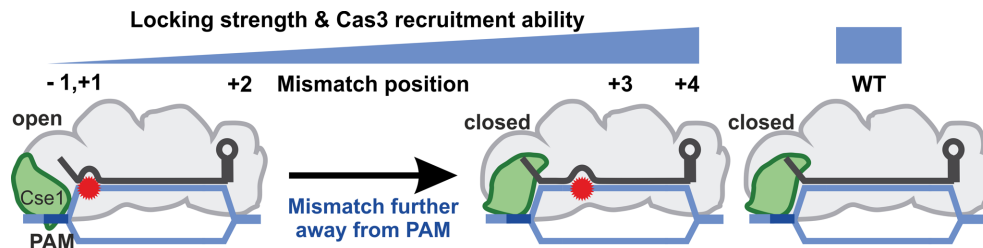


**Figure 7.** Priming by g8 target variants. (A) Scheme of the *E. coli* KD263 CRISPR locus. The *cas* gene expression is controlled by inducible promoters. The CRISPR array consists of a single g8 spacer (blue boxes) surrounded by two repeats (black boxes). Priming is induced by transforming the cells with pUC19 plasmids carrying the protospacer variants. Incorporation of new spacers (green box) is revealed using PCR amplification of the CRISPR array and agarose gel electrophoresis. (B) Incorporation of new spacers probed at different times after induction for the indicated g8 protospacer variants. (C) Mapping of spacers acquired from the G-1T variant target protospacer plasmid to the pUC19 backbone (see Supplementary Figure S7 for other target variant plasmids). The height of the histogram bars corresponds to the number of HTS reads found for a particular position. The location of the priming protospacer and the PAM is shown as a blue-red box. The histogram entry in orange marks the hotspot HS1, which was used for semi-quantitative measurements of the primed adaptation efficiency (see E). (D) Position-dependent acquisition frequency for targets with seed mutation plotted over the acquisition frequency for the G-1T PAM mutation target. A high correlation between spacer acquisition patterns of all tested target variants (see Supplementary Figure S7B for correlation coefficients) is apparent. (E) Relative frequency of priming (i.e. CRISPR array extension) probed by qPCR with a primer specific for the frequently incorporated protospacer HS1 (see C) for the different target variants. Error bars represent the standard deviation of three repeat measurements.

for targets with the G-1T PAM and with the +1 as well as the +2 seed mutations indicates a predominantly open Cse1 conformation on these substrates. Locking involves a large movement of Cse1 and the Cse2 dimer – the latter establishing PAM-distal DNA contacts (11). The crystal structure of Cascade with bound single-stranded DNA (43), as well as a combination of Cryo-EM and molecular dynamics simulations (44), suggest that on the weakly locked targets the Cse2 dimer adopts a locked position that stabilizes the R-loop on the PAM-distal side, while Cse1 remains in the open conformation. The open conformation of Cse1 fails to support full R-loop locking, leaving the R-loop in a ‘semi-locked’ state (see model Figure 8). The differences in R-loop stabilities between the weakly locked targets may be due to Cse1 being in dynamic equilibrium between the predominantly adopted open and the closed conformations. Targets with seed mismatches more distal to the PAM (from position +3 onwards) support WT-like locking and thus Cse1 should adopt here a closed conformation, which is additionally supported by the WT-like DNA degradation rates. The fact that locking is practically irreversible on these sub-

strates suggests that the closed state is almost exclusively occupied. We note that there may be slight differences in the occupancy of the closed Cse1 conformation for the WT and the +4 mismatch target, since we cannot quantitatively evaluate the differences in locking strength between these two targets.

Dual control of DNA degradation by (i) triggering locking upon R-loop expansion until the PAM-distal end of the target combined with (ii) additional verification of the PAM by Cse1 seems to be a shared mechanism at least for Cascade complexes of Type I-E CRISPR–Cas systems. It has been shown for *S. thermophilus* Cascade (7) that R-loop degradation is impeded for PAM mutants, while R-loops with a +2 seed mismatch (corresponding to the +1 position in *E. coli* Cascade) were cleaved at WT rate (7). For *T. fusca* Cascade, Cas3-recruitment is impeded by PAM and +1 seed mutations but not for more PAM-distal mutations (45). The relative involvement of the first base pairs of the seed in this additional verification step seems, however, to vary between these species.



**Figure 8.** A model of conformation states of Cascade at different target variants. The Cse1 subunit is predominantly in the open state for the  $-1$  and  $+1$  substitutions resulting in a weak (semi-locked) R-loop that is not able to support efficient Cas3 recruitment. For other seed substitutions the locking strength and the ability to recruit Cas3 increase with increasing distance from the PAM, reaching the WT level for  $+4$  substitution (suggesting a closed WT-like Cse1 conformation that readily recruits Cas3).

The strong differences in R-loop degradation for target variants tested in our work did not lead to changes in primed adaptation, which occurred at comparable levels for all mutant targets. This result is difficult to reconcile with a model in which priming is triggered by the open conformation of Cse1 (29). The open-form Cascade is thought to represent a specific priming signal for a Cas3–Cas1–Cas2 complex, inducing a distinct mode of Cas3 movement along the DNA molecule with less DNA degradation and concomitant spacer acquisition (28). While this model can explain the behavior of protospacers with mutations at positions  $-1$ ,  $+1$  and  $+2$ , the priming behavior of  $+3$  and  $+4$  mismatches is not explained.

An alternative kinetic model (31) previously showed that the persistent presence of target plasmid DNA at conditions of reduced interference allows bulk levels of spacer acquisition in cultures that by far exceed the acquisition levels that can be attained during a restricted time window as in the case of rapid interference. According to this model high yields of primed adaptation are a consequence of a steady slow Cas3-based production of target DNA fragments at low interference rates such that the loss of invader DNA can be compensated by its ongoing replication. Since R-loop complexes with mismatches at positions  $+3$  and  $+4$  readily recruit Cas3 and support rapid DNA degradation, their ability to promote priming should arise only from the slower R-loop formation kinetics detected *in vitro*. Likewise the kinetic model can explain priming for the targets with mutations at positions  $-1$ ,  $+1$  and  $+2$  since they also exhibit a low overall rate of target degradation. Thus, for all tested target variants, invader DNA should, at conditions of ongoing replication, persist over longer durations, as is indeed evidenced by the escape phenotype of phages and plasmids carrying these mutations. Together with the ongoing degradation of the foreign DNA a constant production of substrates for spacer acquisition by Cas1–Cas2 should be ensured. Thus, our data—while clearly supporting the existence of multiple conformations of the Cascade complex on target protospacers—are more consistent with a minimalistic kinetic model for primed adaptation.

## SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

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