

HHS Public Access

Author manuscript

Psychiatry Clin Neurosci. Author manuscript; available in PMC 2019 May 01.

Published in final edited form as: *Psychiatry Clin Neurosci.* 2018 May ; 72(5): 301–321. doi:10.1111/pcn.12641.

Critical Reappraisal of Mechanistic Links of Copy Number Variants to Dimensional Constructs of Neuropsychiatric Disorders in Mouse Models

Noboru Hiroi, Ph.D.

Department of Psychiatry and Behavioral Sciences, Department of Neuroscience, Department of Genetics, Albert Einstein College of Medicine, 1300 Morris Park Avenue, Bronx, NY 10461, Tel: 718-430-3124, Fax:718-430-3125

Abstract

Copy number variants are deletions and duplications of a few thousand to million base pairs and are associated with extraordinarily high levels of autism spectrum disorder, schizophrenia, intellectual disability or attention-deficit/hyperactivity disorder. The unprecedented levels of robust and reproducible penetrance of copy number variants make them one of the most promising and reliable entry points to delve into the mechanistic bases of many mental disorders. However, the precise mechanistic bases of these associations still remain elusive in humans due to the many genes encoded in each copy number variant and the diverse associated phenotypic features. Genetically engineered mice have provided a technical means to ascertain precise genetic mechanisms of association between copy number variants and dimensional aspects of mental illnesses. Molecular, cellular and neuronal phenotypes can be detected as potential mechanistic substrates for various behavioral constructs of mental illnesses. However, mouse models come with many technical pitfalls. Genetic background is not well controlled in many mouse models leading to rather obvious interpretative issues. Dose alterations of many copy number variants and single genes within copy number variants result in some molecular, cellular and neuronal phenotypes without a behavioral phenotype or with a behavioral phenotype opposite to what is seen in humans. In this review, I discuss technical and interpretative pitfalls of mouse models of copy number variants and highlight well-controlled studies to suggest potential neuronal mechanisms of dimensional aspects of mental illnesses. Mouse models of copy number variants represent toeholds to achieve a better understanding of the mechanistic bases of dimensions of neuropsychiatric disorders and thus for development of mechanism-based therapeutic options in humans.

Keywords

copy number variants; autism spectrum disorder (ASD); schizophrenia; intellectual disability; attention deficit hyperactivity disorder(ADHD); Research Domain Criteria (RDoC)

Author Contributions. NH contributed to all aspects of the manuscript preparation.

Rare Genetic Variants

Copy number variants (CNVs), each occurring in less than 1% of a clinically diagnosed disorder, are associated with mental disorders with unprecedentedly high odds ratios. These include 1q21.1 deletion and duplication, 2p16.3 deletion, 3q29 deletion, 7p36.3 deletion and duplication, 7q11.23 duplication, 8q22.2 deletion, 9p24.3 deletion and duplication, 15q13.3 deletion, 16p11.2 deletion and duplication, 22q11.21 deletion and Xq28 duplication^{1–3}. These CNVs are variably associated with all or some of mental disorders including autism spectrum disorder (ASD), schizophrenia, intellectual disability (ID) or attention-deficit/ hyperactivity disorder (ADHD). Some of these CNVs are predominantly inherited (e.g., 1q21.1 duplication, 15q13.3 deletion, 16p11.2 duplication, 22q11.2 duplication, 22q11.2 duplication) and others are de novo (e.g., 16p11.2 deletion, 17q12 deletion, 22q11.2 deletion)⁴.

While these genetic variants are solid entry points to research in precision medicine, a complete understanding of factors that cause incomplete penetrance and variable expressivity of a disorder is still lacking. Nonetheless, several promising hypotheses have been proposed. A CNV might become symptomatic when hit by a second CNV^{4,5}. Moreover, given that common genetic variants en masse confer substantial risk for ASD and schizophrenia^{6–8}, such factors in the genome might amplify or reduce the impact of a CNV. An experimental analysis of mouse models is consistent with the hypothesis that genetic backgrounds modify the impact of ASD-associated genes^{9–12}. Environmental factors, as modifiers for the effects of CNVs, are likely to contribute to incomplete penetrance and variable expressivity^{11,13,14}. Some environmental factors by themselves are also known to increase the probability of schizophrenia¹⁵ and ASD¹⁶.

DSM and **RDoc**

The clinical diagnosis of any mental disorder is based on observed clusters of elements considered to be essential for a given disorder. The Diagnostic and Statistical Manual was an attempt to standardize diagnosis based on itemized elements and non-parametric crossing of a diagnostic threshold. For examples, according to the DSM-5, one is diagnosed with schizophrenia, when two or more of "core" symptoms (i.e., delusions, hallucinations and disorganized speech, grossly disorganized or catatonic behavior and negative symptoms, among which at least one must be from delusions, hallucinations and disorganized speech) are present for a significant portion of time during a 1-month period.

There are a number of inconvenient facts about such categorical diagnoses by the DSM or International Classification of Diseases (ICD). First, treatment outcomes often ignore the boundary of so-defined disorders. Atypical neuroleptics are used to treat schizophrenia, bipolar disorder, and even anxiety disorders (e.g., sulpride). Second, the categorical division between mental disorders is inconsistent with genetics. Each CNV, in many cases, is associated with multiple mental disorders. Third, there is no mechanistic basis to group a certain set of symptoms for a given category. Certain drugs ameliorate only specific aspects of a disorder. For example, although typical neuroleptics soften psychosis and hallucinations, they are not effective for treatment of negative symptoms or cognitive impairments. Although atypical neuroleptics are effective for ameliorating both positive and

negative symptoms of schizophrenia, they have little effect on cognitive impairments; they are effective for reducing aggression and repetitive behaviors of ASD, but do not improve defective social interaction and communication. Fourth, each disorder is highly heterogeneous. A combination of symptomatic elements and their severity widely vary individually. Fifth, even before one reaches a diagnostic criterion, individuals may exhibit many atypical behaviors and precursor symptoms, suggesting that the underlying biology precedes the onset of clinically defined disorders.

The Research Domain Criteria (RDoc) is an attempt to shift the paradigm^{17,18}. This initiative is still a blue print for an alternative way of understanding mental disorders. It is based on a dimension composed of domain constructs, units of analysis and developmental trajectory. The domain constructs are observable behavioral and neurobiologic measures that form broad domains of function. For example, the social processes domain includes imitation, social dominance, attachment/separation fear and more. The cognitive domain includes attention, working memory, declarative memory and cognitive control. These domain constructs are independent of categorical classification of mental disorders and do not need to follow the preconceived grouping of symptoms to define mental disorders. Whether such constructs deviate sufficiently to call them ASD or schizophrenia-where to draw a cut-off beyond which to call something a disorder- is a matter of clinical convenience rather than mechanistic rationale. These constructs are to be examined at the levels of genes, molecules, cells, circuits, physiology, behavior, self-reports and paradigms. The NIH envisions that, through empirical testing and revision of constructs, valid dimensions will eventually form a basis for a new way of understanding and treating mental illnesses.

Mouse models of CNVs

Cell models developed from human iPSCs and 3D brain organoids provide a technical means to examine molecular and cellular phenotypes of CNVs, but many technical issues remain including their limited capacity to model only early development, inability to model neuronal functions in circuits in the brain or under environmental influences, high phenotypic variability and high spontaneous mutation rates in iPSCs. Researchers have capitalized on CNVs to develop mouse models with construct validity. As of October 2017, there are mouse models with the following CNVs with more being developed using CRISPR techniques: 16p11.2, 17p11.2 15q11–13, 15q13.3, 22q11.21 and 7q11.23. Behavioral, anatomic, neuronal, cellular and molecular correlates of these mouse models have been investigated.

Before discussing phenotypes of these mouse models, it is important to discuss one critical issue. Behavioral phenotypes are often viewed as variable and unreliable. While this might be the case compared to other phenotypes, this is also due to unreliable methods in which mice are generated and tested. Mouse models are maintained using various breeding methods (see Figure 1). One widely used method produces a non-congenic mouse line. A non-congenic mouse is F2 or later generations, resulting from crosses of mice with a mutation and a breeder mouse. In many cases, mutations are generated using ES cells of one inbred mouse (e.g., 129sv). A developed mouse is then crossed with a mouse line with high

fecundity (e.g., C57BL/6J). The offspring then carry the genetic backgrounds of alleles of ES cells and alleles of a breeder strain. The chromosomal regions linked to the target genes are expected to contain more genomic materials of ES cells in mutant mice; the same region contains more alleles of a breeder mouse strain in wild-type littermates. Thus, mutant and wild-type littermates are expected to systematically differ in allelic composition in the vicinity of the targeted gene. In this sense, the "mixed" genetic background does not mean a randomly mixed genetic background, which would not pose interpretative issues, but rather represents a "biased" genetic background in the vicinity of the gene of interest between wild-type and mutant littermates. It has long been pointed out that these systematically "biased" genetic backgrounds pose an interpretation difficulty for phenotypes^{19–23}.

While as few as four generations of backcrossing would be expected to reduce genomic material from a strain of ES cells to approximately 12% of the entire genome (i.e.,), this estimate is based on regions unlinked to the mutated gene. A much higher percentage of genomic materials of ES cells would be expected to be retained in chromosomal regions linked to the mutated genes²¹. If phenotypes are qualitative (e.g., lack of an organ or appearance of pathology), unequal genetic background would not be much of an issue. However, in the majority of CNV studies, phenotypes are not qualitative ones but quantitatively deviate from the average of wild-type mice. If various inbred mice exhibit more or less similar quantitative behavioral traits, different genetic backgrounds would not be an issue, either. However, inbred mouse lines widely differ in various quantitative parameters relevant to mental disorders, including memory in the Morris water maze and the radial maze^{24–26}, working memory²⁶, prepulse inhibition²⁶, social behaviors^{26,27}, neonatal ultrasonic vocalization^{27–29} and seizures³⁰.

The biased genetic background of non-congenic mice also complicates interpretation of anatomic and electrophysiological phenotypes. Inbred mouse lines exhibit considerable variation in anatomic structures: shapes and volumes of the corpus callosum, anterior commissure, hippocampal commissure, hippocampus and ventricle^{31–33}, number of neurons^{24,33–36}, number of neurotransmitter receptors²⁵, shape and number of dendritic spines³⁷ and the rate of various phases of adult neurogenesis^{24,38}. Inbred mouse lines also differ in electrophysiological measures of synaptic plasticity^{39–41}.

Molecules affected by a mutated gene are also confounded when genetic background is not controlled. Several elegant studies involving mutant mice revealed a robust biasing effect of genetic backgrounds on molecular differences between mutant and wild-type mice. Valor and Grant⁴² carefully examined differentially expressed genes between wild-type and mutant littermates of SAP102 and PSD-95. Most significantly differentiated genes were located in the vicinity of the deleted genes. The differential gene expression and alleles of ES cells were considerably reduced by backcrossing to C57BL/6J for ten generations. The same trend was observed in mice with mutations of *Rab3A*⁴³, 17p11.2 deletion and duplication⁴⁴, and mutation of *Gtt2ird1*, a gene encoded in 7q11.23 CNV⁴⁵, and other mutations⁴².

These rigorous, carefully designed studies cast doubt on any observed phenotypic alterations–whether they are behavioral, anatomic, electrophysiologic, cellular or molecular–

Page 5

seen in non-congenic mutant mice, as solely reflecting the genuine effects of the targeted mutation. Any difference between non-congenic wild-type and mutant littermates could reflect allelic differences, the impact of the mutated gene, additive or epistatic effects of both, or some or all of these factors. It is possible that alleles in the flanking region of the gene of interest could be linked in humans as well, but humans are mostly outbred and the flanking region is expected to randomly differ between mutant carriers and non-carriers. This is not the case in non-congenic mice. There is a systematic bias in genetic background between mutant and wild-type littermates. Researchers often express the opinion that mutant mice with a "mixed" genetic background tend to exhibit more robust behavioral deficits and are thus ideal to investigate the impact of the mutated gene. However, the purpose of any analysis of a genetic mouse model should be to evaluate the impact of a targeted mutation on phenotypes; not to find the 'robust' phenotype regardless of its cause.

The strategies to circumvent this interpretative pitfall are congenic mouse, co-isogenic mouse and F1 hybrid mouse (see Fig. 1). The congenic strain uses a strategy in which a non-congenic mouse is backcrossed for ten generations or more to maximize the breeder alleles even in the vicinity of the targeted gene. Although allelic differences between wild-type and mutant mice are minimized and there is a considerably lesser degree of interpretative ambiguity, unequal alleles might still persist at the segments very proximal of the mutated gene in a congenic mouse line.

A co-isogenic mouse is developed in ES cells of one strain and bred in the same strain. ES cells derived from C57BL/6N are often used and bred with the same substrain to maintain the genetic background of wild-type and mutant mice as identical. An F1 hybrid is another strategy designed to keep the genetic background of mutant and wild-type littermates identical. The mutant mouse line with ES cell genetic background is crossed with another breeder mouse strain and their first generation offspring (F1) is used, so that both wild-type and mutant mice have one copy of a strain from which ES cells were derived and one copy of a strain used for breeding without recombination. An F1 hybrid makes it possible to critically evaluate the potential interaction between common alleles in the genetic background and the targeted mutation under a well-controlled experimental condition.

RDoc domain constructs in mouse models of CNVs

The RDoc domain construct coincidentally jibes well with what has long been used to assess behaviors in rodents. There are a number of aspects of neuropsychiatric disorders that are modeled in mice. These measures can be collected in mouse models along the developmental span under environmental influences. Thus, a good translational match between humans and experimental animals is possible with the RDoc as long as valid tasks are available to measure units of the same constructs in humans and experimental animals.

Because of the interpretative ambiguity of non-congenic mice, I am limiting our discussion to those studies in which genetic background is controlled using congenic mice, co-isogenic mice and F1 hybrids (see Figure 1). The studies listed in Table 1 are considered "primary" evidence that phenotypes can be, fairly unambiguously, ascribed to the mutated genes without the confounding effects of the biased genetic backgrounds. I exclude studies that 1)

referred to previous studies that did not use F1 hybrid, co-isogenic or congenic mice (10 or more backcrossing), 2) stated that the mutant mouse was on a C57BL/6J or C57BL/6N background with a citation which used ES cells of 129sv inbred mouse lines and did not specify the number generations of backcrossing, 3) stated "a pure C57BL/6 genetic back ground" without defining what is meant by "pure" and in fact it included another genetic background. While those excluded studies are of interest, the phenotypes described in them cannot be unambiguously ascribed to the genes in question. Those phenotypes are likely to include the impact of the biased allelic distributions, particularly in the vicinity of the gene of interest, between wild-type and mutant mice. As the links between those background alleles and mental disorders are not established, such phenotypes cannot be pursued to examine the mechanistic basis of the genetic variants associated with mental disorders. In fact, many phenotypes reported in non-congenic mice are not replicated when genetic background is controlled. On the other hand, the studies discussed below provide a more solid basis to further delve into mechanistic bases of dimensional aspects of mental disorders.

Sensorimotor gating in prepulse inhibition (PPI)

PPI scores are lower in individuals with schizophrenia, obsessive compulsive disorder (OCD), Tourette's syndrome, bipolar disorder, ADHD, Huntington's disease, Lewy body dementia and seizure disorder⁴⁶. No consistent deficit has been noted in individuals with ASD. Children and adults with ASD without cognitive deficits have normal or even higher PPI^{47–52} except as reported in a study that used a specific parametric condition⁴⁸. Children and adults with cognitive deficits have normal PPI^{53,54}. While they showed a deficit for a 120msec interval between a prepulse and pulse stimuli, in one set of samples, this was not found in a larger sample⁵³. Thus, lower levels of PPI can be taken as a reliable dimensional aspect of psychiatric disorders except for ASD.

Several mouse models of CNVs exhibit defective PPI (see Table 1). Mouse models of 22q11.2 hemizygous deletion consistently show lower levels of PPI^{55,56} consistent with lower PPI scores in individuals with 22q11.2 hemizygosity⁵⁷. Mice that over-express a segment of 22q11.2 are normal⁵⁸, a finding in accordance with the observation that duplication of 22q11.2 does not increase the risk for schizophrenia and rather might be a protective factor for schizophrenia⁵⁹. PPI is also normal in mouse models of 15q11–13 duplication^{60,61} and 15q13.3 deletion^{62,63}. It remains unclear why these mouse models of 15q11–13 duplication and 15q13.3 deletion, which are associated with schizophrenia in humans, show normal PPI, but a lack of PPI deficits is consistent with a feature of ASD.

Working memory

While its precise construct structure is a matter of debate, working memory is measured via tasks that require the retention of information for a short period of time in a flexible manner. Working memory capacity typically expands from childhood to adulthood^{64–67}. This developmental maturation process, however, starts to lag behind from adolescence (13–17 years) to adulthood (18 years and older) in individuals with ASD^{68-70} . Working memory with high load and longer temporal span is more severely affected than other working memory tasks in ASD^{71-73} .

Cognitive deficits of individuals with schizophrenia encompass diverse capacities, including attention, working memory, executive function, episodic memory, semantic memory, visual memory, verbal ability and learning, spatial memory and reasoning, face memory, emotion differentiation, verbal reasoning, list memory, processing speed and fluency^{74–78}. However, various cognitive functions are not evenly impaired in patients with schizophrenia or 22q11 deletion^{74,79}. Children and adolescents who later develop schizophrenia are particularly behind their peers in working memory, attention and processing speed^{80–87}. Cognitive deficits start to appear a decade before–and worsen till—symptoms reach the diagnostic criteria of schizophrenia in individuals with 22q11.2 deletion^{88–93} or idiopathic schizophrenia^{80,84,85}

Working memory, among various executive functions, is best correlated with IQ^{94-96} and is less developed, throughout development and in adulthood, in individuals with intellectual disability (ID)^{97–100}. Weak working memory is also found in children with attention deficit hyperactive disorder (ADHD)^{101–104}.

In rodents, working memory is often evaluated as spontaneous and rewarded alternation with delay in a T-maze and win-shift responses in a radial maze in which the position of a baited arm is changed from trial to trial. Lower scores in tasks that include working memory have been observed in a segmental 22q11.2 duplication model in rewarded alternation with delay⁵⁸ (see Table 1). A co-isogenic model of 22q11.2 hemizygous deletion exhibited increased scores of touchscreen delayed non-match to location, and lower scores in acquisition of T-maze non-match to sample; this mouse line did not differ from wild-type mice in working memory scores in a radial maze, spontaneous alternation or non-match-to sample with delay in a T-maze¹⁰⁵. However, in this study, mice were tested over a wide range of ages, from 2 months to 16 months. In particular, delayed non-match to location in a touch screen task and a T-maze task started from 8 and 7-16 months of age, respectively. The age of nineteen months in mice corresponds to approximately 56 years old in humans; 10-14 months of age in mice correspond to 38 to 47 years in humans¹⁰⁶. As working memory deficits due to genetic variants appear in an age-dependent manner in mice^{58,107} and humans¹⁰⁸ and baseline scores differ at different ages, testing in a much narrower range from 1 to 3 months of age might detect a phenotype. Working memory is normal in mouse models of paternal and maternal 15q11-13 duplication^{60,61}, 15q13.3 deletion^{62,109}, and 22q11.2 hemizygous deletion¹¹⁰ (see Table 1).

Flexibility and reversal learning

ASD is characterized by circumscribed interests and restricted and repetitive behaviors¹¹¹. While not impaired in a simple discrimination task, individuals with an ASD diagnosis require more trials in reversal learning; this trend correlates with stereotyped, repetitive, or idiosyncratic speech and restricted, repetitive, and stereotyped patterns of behavior¹¹².

The capacity for simple discrimination, extra-dimensional shift and reversal is impaired in individuals with schizophrenia and a reversal deficit is correlated with negative symptoms and disorganized thought, but not with positive symptoms^{113,114}. Schizophrenic patients make more errors than controls in simple discrimination, initial compound discrimination

and extra-dimensional shift and simple reversal, compound reversal, intradimensional reversal and extra-dimensional reversal.

A genetic mouse model of paternal 15q11–13 duplication exhibits resistance to reversal of learned behaviors in the Morris water maze and Barnes maze, although the original learning is intact; those with maternal duplication are normal in reversal of this task⁶⁰ (see Table 1). A congenic mouse with 22q11.2 hemizygous deletion exhibits improved early-phase reversal learning, impaired late-phase and overall touch-screen reversal learning, and impaired discrimination performance¹¹⁵. A co-isogenic mouse model of 22q11.2 hemizygous deletion show a delay in acquisition of working memory in a standard working memory in a T-maze; however, they showed better performance in easy reversal learning and no phenotype in difficult reversal learning in a touch-screen task¹⁰⁵. It should be noted that in this study, mice were tested in a wide age range from 2 to 8 months. Reversal learning was normal in a congenic 7q11.23 deletion mouse model¹¹⁶, a co-isogenic maternal 15q11–13 duplication model⁶⁰, or a co-isogenic15q13.3 deletion model¹⁰⁹ (see Table 1).

Social cognition

Defective reciprocal social interaction is one of the core symptomatic elements of ASD. Precise developmental charting is critical to correctly detect early signs of ASD, as the timing of appearance and maturity levels of various milestone features individually varies¹¹⁷. While incipient ASD infants start to show atypical development of social orienting and reciprocity (e.g., gaze to face and eye, social smiles, directed vocalizations and social engagement, imitation, response to name) at 12 to 18 months, they deviate from typically developing infants in social communication as early as 6 months^{118,119} and in memorybased face recognition from the age of 3 to 6 months¹²⁰. Attention to social scenes and face is found altered in infants who are later diagnosed with ASD as early as 6 months in some cases¹²¹. More pronounced signs of communication delay and social difficulties become apparent by 3 years old. From 6 to 18 months of age, incipient ASD infants, compared to typically developing infants, exhibit atypical eye contact and reductions in imitation, response to name, social intent and social smiling¹²². During the period from 18 to 36 months of age, children with ASD display no pretend play, highly repetitive manipulation of a part of objects, a reduced joint attention and communication¹²³. There is considerable improvement in theory of mind scores from 5 to 8 years of age in children with ASD¹²⁴. Children with ASD eventually are able to track others' mental states in false belief tasks, but ASD children require twice longer to reach the same level of theory of mind scores as typically developing children¹²⁵. While adults with ASD can perform the theory of mind normally, they do not show automatic anticipatory eye gaze¹²⁶, suggesting that ASD children do not acquire this capacity in the same way as typically developing children.

The development of social cognition, including perception of social cues such as face and voice, mentalizing and emotion regulation, consistently lags behind, throughout childhood to adulthood, in individuals with later diagnosis of psychosis and schizophrenia^{74,127–130}. Defective social cognition is also seen in individuals with ADHD¹²³, but different reasons might underlie social impairments seen in ASD and ADHD¹³¹.

Some of these features can be quantitatively measured in rodent tasks. In mice, naturalistic reciprocal affiliative social interaction and aggressive social interaction in a home-cage setting and "sociability" in a three-chamber task are routinely used. Given that adults with ASD are not quantitatively different from controls in theory of mind, the testing age is an important factor to consider. Altered social behaviors in humans with genetic variants are recapitulated in genetic mouse models of 15q11–13 paternal duplication⁶⁰, 15q13.3 deletion¹⁰⁹, 16p11.2 deletion and duplication¹³², 17p11.2 duplication⁴⁴, and 22q11.2 duplication from GNB1L, TBX1, GP1BB and SEPT5¹³³ (see Table 1).

Neonatal ultrasonic vocalization

Babies who are later diagnosed with ASD emit atypical preverbal vocalizations^{13,118,134,135}. Neonatal vocalization, under conditions of maternal separation, has been examined using mouse models as a proxy of baby cries. Rodent pups emit vocal calls upon separation from dams and the dams use pup calls to locate and retrieve the pups. A number of genetic mouse models of ASD exhibit atypical neonatal vocalizations^{12,136}. Mouse models of paternal 15q11-13 duplication⁶⁰,15q13.3 deletion^{63,109} and 17p11.2 duplication¹³⁷ show altered total numbers of neonatal calls (see Table 1). That atypical social communication functionally impacts the relationship of pups with mothers has recently been demonstrated in a mutant mouse that lacks one copy of *Tbx1*, a 22q11.2-encoded gene^{14,138}.

Anxiety

Anxiety is considered a comorbid trait in ASD and schizophrenia, but the concept of comorbidity is a moot point in the dimensional evaluation of neuropsychiatric disorders, as the latter has no categorical classification of disorders, hence no such a thing as a core symptom or comorbid trait¹⁸. Various mouse models of CNVs exhibit heightened anxiety-related behaviors (see Table 1).

Factors affecting the magnitude and directions of behavioral phenotypes

Incomplete penetrance and variable expressivity are often found in mouse models even when genetic background is controlled¹³⁹. Lack of phenotypes in some cases might be taken as evidence that a CNV represents a liability rather than a causative factor sufficient to result in phenotypes. However, many factors also need to be considered here for the apparently absent, weak or even opposite phenotypes in behavioral tasks.

Different species might not be equally sensitive to gene doses. While humans with 15q13.3 hemizygous deletion exhibit ASD at high rates, mice with hemizygous deletion of the homolog chromosome do not and it takes homozygous deletion to induce behavioral phenotypes relevant to ASD⁶³.

The average score for any mouse behavioral task might not be a reliable way to detect CNVlinked phenotypes. Many CNVs have incomplete penetrance and variable expressivity in humans. While the penetrance of any disorder, including ASD, congenital malformation and developmental delay, is close to complete in 7q11.23 and 22q11.2 deletion cases, it is not for other CNVs¹⁴⁰. Moreover, when individual diseases are separately considered, no CNV shows complete penetrance. It is thus not surprising that the overall average of a behavioral

score, which represents a domain construct, does not differ between wild-type and mutant mice at the group basis; a subset of mice might exhibit phenotypes.

A host genetic background might powerfully modulate behavioral phenotypes. When the genetic background of 129SvJ is increased in Sept5 mutant mice, the baseline level of reciprocal social interaction increased and a phenotypic difference between wild-type and mutant littermates dissipated^{9,141,142}. The apparent absence of a phenotype could be due to some modifying impact of alleles of 129SvJ on Sept5 mutation. This is different from the biased genetic background between wild-type and mutant mice, as congenic Sept5 mutant mice show statistically lower scores than wild-type littermates in reciprocal social interaction^{9,141,142}. Similarly, when a congenic Fmr1 mutant mouse with C57BL/6J background is crossed with various inbred mouse lines, the impact of this gene mutation is erased or reversed on a F1 hybrid genetic background with A/J, DBA/2J, FVB/NJ, 129S1/ SvImJ, or CD-1, attesting to the robust modifying effect of genetic background on phenotypes¹⁴³. Shank3 mutation on co-isogenic C57BL/6N background manifests its phenotypic effects non-identically under different congenic genetic backgrounds of FVB/ NTac and 129S6/SvEvTac¹⁴⁴. Sittig and colleagues produced F1 crosses between male C57BL/6J mice heterozygous for null alleles of Cacna1c or Tcf7l2 and females of 30 inbred mouse lines¹⁴⁵. Phenotypic expression differed widely under distinct F1 inbred hybrid backgrounds. This study is different from those that used non-congenic mutant mice. As an F1 generation was used, the genetic background is made homogenous between wild-type and mutant mice. Another elegant study by Herault and colleagues examined the impact of hemizygous deletion and duplication of mouse ortholog of human 16p11.2 CNV on behaviors under an F1 hybrid background. ASD-related social interaction deficits appeared under an F1 hybrid background of C57BL/6N and C3H/HeH, but not under co-isogenic C57BL/6N background¹³².

These mouse studies are conceptually provocative in that they suggest that the phenotypic appearance of a CNV is permitted under a specific genetic background^{9,11} or alternatively results from the collective impact of common alleles in the genetic background that can appear in the absent negative control of a gene(s) encoded in a CNV (Y. Herault, personal communication).

Environmental factors are another important modifier of behavioral phenotypes. In mice, when behavioral phenotypes relevant to ASD are not present, this could be due to housing conditions. The manner in which mutant and wild-type mice are housed exerts a profound impact on behavioral phenotypes. Yang and colleagues demonstrated that a mouse model of 16p11.2 deletion exhibited deficits in neonatal ultrasonic vocalization (USV) and adult reciprocal male-female social interaction when mutant mice were housed with wild-type mice, but not housed with the same genotype¹⁴⁶. The composition of litter genotypes has also been shown to affect the phenotypic differences in olfactory responses to social odor in *NIgn3* mutant mice¹⁴⁷.

Testing age should also be considered. Depending on phenotypes, the gene might affect testing results at specific ages more preferably. ASD patients overcome defective mentalizing by adulthood¹²⁶. Working memory shows developmental maturation from

childhood to adulthood in humans¹⁰⁸ and during the corresponding period from 1 to 2 months of age in mice^{58,107} and copy number alterations of 22q11.2-encoded genes impact the maximal maturation at 2 months, but not at 1 month of age¹⁰⁷ in a similar manner as seen in individuals with alleles of a 22q11.2 gene¹⁰⁸.

Behavioral phenotypes of single gene mutant models

It remains mostly unclear how individual genes encoded in each CNV affect dimensional aspects of mental disorders. On one hand, one can assume that all CNV-encoded genes contribute to dimensions of neuropsychiatric disorders. On the other hand, one can also assume that not all CNV-encoded genes are responsible for dimensional behavioral deviations. These alternative views cannot be critically tested using mouse models of the entire region of a CNV.

Due to the pioneering work involving mutant mouse models of 22q11.2-encoded segments and single genes^{11,148–150}, much more is understood about the way individual 22q11.2 CNV-encoded genes affect dimensions of mental illnesses than other CNVs. However, mutant mice used in many studies were non-congenic lines and phenotypes cannot be unambiguously ascribed to the impacts of targeted genes. Thus, here, I discuss primary evidence in which the genetic background of a mutant mouse is either co-isogenic, an F1 hybrid or congenic with 10 or more generations of backcrossing. To date, such mutant mice with many 22q11.2 genes encoded in the commonly deleted 1.5 Mb region are available and have been tested in the behavioral constructs listed (Fig. 2). Attempts to provide phenotypic profiles of all mouse genes by the International Mouse Phenotyping Consortium (http:// www.mousephenotype.org/) will accelerate similar analyses of other single genes of this and other CNVs. Critically, mice developed by the IMPC are co-isogenic mice. In fact, several previously published data of non-congenic mice are not replicated in the co-isogenic mutant mice of the IMPC¹⁵².

Several genes have been shown to contribute to dimensional constructs of individuals with 22q11.2 hemizygosity (Fig. 2). Deficiency of *Prod* alters adult vocalization in females¹⁵², but has no detectable effect on PPI^{152,153} or aggression¹⁵². *Dgcr8* heterozygous mice are impaired in prepulse inhibition^{154,155} and working memory¹⁵⁵, but not thigmotaxis, an anxiety-related behavior in an open field¹⁵⁵; social interaction was not tested. *Tbx1* heterozygosity results in defective reciprocal social interaction¹⁵⁶, functionally defective neonatal social communication¹³⁸, reduced working memory capacity¹⁵⁶ and heightened thigmotaxis¹⁵⁶. *Sept5* deficiency impairs social interaction¹⁴¹ but has no effect on PPI or thigmotaxis^{141,152}.

Mutant mice of other genes have been tested in various tasks listed, but no statistically significant phenotypes have been found when genetic background is controlled (Fig. 2). These genes include: *Dgcr2* for aggression and adult vocalization¹⁵²; *Rtn4r* for aggression and adult vocalization¹⁵²; *Rtn4r* for aggression and adult vocalization¹⁵²; *Arvcf* for PPI, aggression, adult vocalization, social behaviors

and anxiety-related behaviors^{152,157–160}; *Gp1bb* for PPI, aggression and adult vocalization¹⁵²; and *Hira* for adult vocalization¹⁵².

The presence and absence of behavioral phenotypes in these mutant mice provides a partial glimpse of how 22q11.2 CNV-encoded genes contribute to the overall behavioral profile. First, not all CNV-encoded genes are responsible for a given behavioral construct (i.e., noncontiguous gene effect)^{10–12}. Out of seven genes tested for PPI, only *Dgcr8* deficiency results in a deficit (see Fig. 2). This does not necessarily mean that this is the only 22q11.2 gene that contributes to PPI, however. As more genes are tested under rigorous experimental conditions, more are likely to be found to be required for normal expression of PPI. Out of eleven 22q11.2 genes tested for some aspects of social behaviors, the contribution of *Prodh*, *Tbx1* and *Sept5*, but not other 8 genes, has been demonstrated.

The fact that many genes influence a specific dimensional aspect of mental illnesses also indicates that collective actions of more than one CNV-encoded gene determine the net behavioral phenotype (i.e., <u>mass action</u>)^{10–12}. Various aspects of social behavior are reduced by the deletion of *Prodh*, *Tbx1* and *Sept5* (see Fig. 2). Moreover, those genes with no phenotypic consequence by themselves alone might cause a phenotype with other encoded genes (i.e., epistasis).

Further complicating the matter, mass action does not necessarily mean all genes involved act in the same direction. Some genes cause an antagonistic effect (i.e., <u>antagonistic gene</u> <u>effect</u>)^{10–12}. Deletion of *Comt improves* working memory (see Fig. 2), although 22q11.2 hemizygous carriers are impaired in working memory. There might be more CNV-encoded genes that exert such antagonistic actions that are hidden against the mass action of other genes.

The non-contiguous gene and antagonistic actions pose a potential interpretative caveat of analysis for CNV models. Even if a CNV-encoded gene has no role or an antagonistic role in a particular behavioral phenotype, almost any gene within a CNV is expected to be involved in some molecular, cellular and neuronal functions, thereby causing some phenotypes in the brain without causing a behavioral phenotype. In such a case, that neuronal phenotype is an epi-phenomenon for a behavioral phenotype (i.e., <u>epi-phenotype</u>, see Fig. 3, C-c-1). Such a neuronal phenotype nonetheless could be a genuine neuronal phenotype of a different behavioral phenotype that has not been explored, however (i.e., <u>misguided focus on a behavioral phenotype</u>, Fig. 3, C-c-2). Such behavioral phenotypes might be relevant or irrelevant to dimensional aspects of mental disorders.

Neuronal phenotypes examined are often based on the consensus that they are relevant to mental disorders. However, there might be other neuronal phenotypes that have not been found yet which genuinely or more robustly contribute to a behavioral phenotype (i.e., <u>unexplored neuronal phenotypes</u>).

Existing primary evidence suggests that neuronal phenotypes caused by gene dose reductions of *Prodh, Dgcr8, COMT, Tbx1* and *Sept5* contribute to various behavioral constructs of mental illnesses (see Fig. 2). The brain functions of these genes suggest several potential neuronal phenotypes relevant to the behavioral phenotypes of their single gene

mutant mice. *Prodh* is critical for mitochondrial respiration¹⁶¹ and dopaminergic and GABArgic transmission and gamma oscillation^{153,162}. *Dgcr8* deficiency alters embryonic neurogenesis^{163,164} and adult neurogenesis¹⁵⁵ in mice. Moreover, neuronal proliferation, neural differentiation efficiency, neurite outgrowth, cellular migration and neurogenic-to-gliogenic competence ratio are reduced in cells derived from individuals with 22q11.2 deletion and schizophrenia diagnosis; altered neurogenesis and gliogenesis are partially restored by modulating a molecular target of *Dgcr8*¹⁶⁵. *Tbx1* expression is enriched in postnatal and adult neural progenitor cells^{107,156}. When *Tbx1* or *Comt* is over-expressed in adult neural progenitor cells in the hippocampal granule cell layer, the developmental maturation of working memory and the migration of newly generated neurons are eqaully blunted¹⁰⁷. These observations suggest novel hypothetical genetic and cellular mechanisms for cognitive deficits seen in individuals with 22q11.2 duplication and triplication. *Sept5* is functionally involved in cell death and synaptic transmission^{166–171}. These neuronal phenotypes remain candidate mechanisms for specific dimensions of 22q11.2 CNV-associated psychiatric disorders.

Neuronal phenotypes of CNV mouse models

As some neuronal phenotypes are not technically tractable in humans, mouse models provide a complementary means of study. Neuronal phenotypes, including cellular and molecular phenotypes, detected in non-congenic mouse models of CNVs are not interpretable in terms of the impact of a CNV (see **Mouse models of CNVs**). Here I discuss primary evidence of mouse CNV models whose genetic background is controlled.

Some anatomic phenotypes are similarly observed in humans with many CNVs and their mouse models, but others might be distinct to some CNV cases. Altered tissue volumes are seen in the hippocampus CA3 of a mouse model of 7q11.23 deletion¹¹⁶, granular layer of the hippocampus and other regions of a mouse model of 15q11–13 duplication¹⁷², and cortex and other regions of a mouse model of 22q11.2 deletion¹⁷³. Consistent with these anatomic features, humans with 7q11.23 deletion show decreased brain size, in particular, in gray matter density in the superior parietal lobe areas¹⁷⁴ or altered shape, but not size, of the hippocampus¹⁷⁵. Global brain volume reductions have been observed in various brain regions^{176–179} as well as increases in the corpus callosum^{180,181} of 22q11.2 hemizygous deletion carriers; however, the corpus callous volume is smaller among 22q11 deletion carriers with ADHD than without ADHD¹⁸¹. Heterotopia, dysplasias or malformations of the hippocampus in the alveus, CA4, and dentate gyrus and dysplasia in the dentate gyrus were detected in carriers of 15q11–13 duplication and individuals with autism diagnosis and hypoplasia of the corpus callosum^{182,183}.

A mouse model of 7q11.23 deletion shows a volume reduction in CA3, increased immature neurons in the subgranular zone of the hippocampal dentate gyrus and shorter dendrites reduced and spine density in the hippocampus, and reduced cell density in the amygdala, and a reduction in pyramidal cells in the cortex and CA1¹¹⁶. A congenic mouse model of 22q11.2 deletion has deficits in migration of cortical interneurons and hippocampal dentate precursor cells during the embryonic period¹⁶⁴. The rate of spine formation and elimination is high, short-term depression in the prelimbic prefrontal region is greater, short-term

potentiation and long-term potentiation are lower in a congenic mouse model of $22q11.2^{163}$. An enhanced turnover of spines, but not spine density, is found in the cortical regions of a 15q11-13 duplication model¹⁸⁴.

A co-isogenic mouse model of 15q13.3 deletion exhibits a reduction in recruitment of neurons to fast steady-state gamma oscillatory activity and in the probability of interneurons to fire with low inter-spike intervals in response to auditory stimulation¹⁸⁵. This mouse model also shows a reduction in the baseline firing rate and amplitude of fast-spiking PFC interneurons, but not pyramidal neurons and a delay in the onset of firing of both PFC pyramidal neurons and interneurons¹⁸⁶.

A mouse model of 16p11.2 deletion exhibits normal synaptic transmission, normal paired pulse facilitation, but decreased CA1 long-term potentiation¹³².

Correlation between neuronal and behavioral phenotypes

Correlation needs to be first established between neuronal and behavioral phenotypes in a CNV model. However, this falls short of proof of casual relation between the two, given the possibility that some neuronal phenotypes might not be causally linked to a given behavioral phenotype (see Fig. 3, C-c-1) or act antagonistically against a behavioral phenotype (see Fig. 2, Comt, working memory).

Some neuronal and behavioral phenotypes co-exist, but others do not. Deficits in behavioral reversal are present together with reduced mature neurons in cortical 2/3 layers^{115,163} and reductions in parvalbumin interneurons in deep cortical layers of the medial anterior frontal cortex as well as in parvalbumin-positive perisomatic synaptic terminals onto projection neurons in lower layers in a congenic mouse model of 22q11.2 deletion¹⁸⁷.

A co-isogenic mouse model of 22q11.2 deletion is impaired in PPI and shows increases in 3,4-Dihydroxyphenylacetic acid (DOPAC) in the prefrontal cortex and striatum and increased expression of GluR1 in the dorsal striatum⁵⁶. However, this mouse model is normal in cortical layer composition, hippocampal structures, parvalbumin interneurons, myelination, levels of synaptic proteins (PSD-95, Syp, Syn1, Drebrin and geophysin), neurons and astrocytes (NeuN and GFAP), GABA (GABAA1, KCC2, VGAT, GAD65/67), glutamate (GluR2, NR2A, NR2B, VGluT1), dopamine, 5-HT and noradrenaline contents, baseline cortical low frequency oscillation and baseline spike frequency in the prefrontal cortex and GAD, thereby not lending strong support for a causal link between these latter neuronal phenotypes and PPI deficits⁵⁶.

Although basal excitation and inhibition (E/I) balance is normal in the prefrontal cortex of a mouse model of 22q11.2 deletion, the E/I balance is more easily perturbed by dopaminergic stimulation in layer 5 prefrontal pyramidal neurons in this mouse model¹⁸⁸. This perturbation depends on a potentiated excitation by D1R activation and reduced inhibition by D2R cells due to less effective GABAergic parvalbumin interneurons¹⁸⁸. Low frequency oscillation in the cortex and elevation of pyramidal neuron spike frequency are normal in a co-isogenic 22q11.2 mouse model⁵⁶.

A congenic mouse model of 22q11.2 deletion exhibits defective neural synchronization during pre-learning, training (acquisition) and maintenance of working memory¹¹⁰. Hippocampal-prefrontal synchrony, such as phase locking and theta coherence, is heightened when working memory demand is high. Baseline coherence in the delta (2-3 Hz), but not theta or gamma, range was lower before working memory training acquisition in mutant mice, compared to wild-type mice. Although mutant and wild-type mice were statistically indistinguishable in the speed to reach a criterion of working memory, individual mutant mice with low baseline coherence levels before acquisition took longer to reach a working memory criterion during training; such correlation was absent in wild-type mice. A gradual increase in theta coherence from the early to late stages of working memory training was correlated with the speed at which mice increased choice accuracy and mutant mice were slower in both the coherence increase and choice accuracy, compared to wild-type mice. After mutant and wild-type mice were trained to a level where their performance is indistinguishable, the strength of theta rhythm phase-locking was weaker and coherence was lower in the delta (1–4 Hz) and low theta (4–6 Hz), but not high theta (7–10 Hz) or gamma (30–80 Hz) range in mutant mice, compared to wild-type littermates. However, these neuronal phenotypes appeared in the absence of statistically significant deficits in the maintenance of working memory in mutant mice. The apparent dissociation might be due to a small sample size tested, as suggested by the authors¹¹⁰. Alternatively, basal delta coherence before training and a gradual increase in theta coherence during working memory training might be relevant to the pre-learning strategy and rapid acquisition of working memory, respectively, but not performance of working memory.

These studies provide many potential neuronal mechanisms underlying dimensional features of neuropsychiatric disorders. The challenge is to more tightly establish the causal link between these impressive neuronal phenotypes and precise behavioral phenotypes. The mere presence of a neuronal phenotype in a CNV mouse model does not automatically identify its causative behavioral targets. CNV models inherently suffer from a limitation in causally linking a neuronal phenotype and behavioral constructs of neuropsychiatric disorders because most CNV-encoded individual genes alter neuronal phenotypes but do not necessarily contribute to a specific behavioral phenotype (see Fig. 3, C-c-1) or some individual genes act antagonistically against a net behavioral phenotype (see Fig. 2, Comt deletion for working memory).

In this regard, some recent elegant studies have attempted to identify a tighter causal link between a neuronal phenotype and defective prepulse inhibition. A 22q11 deletion mouse model has a disrupted synaptic transmission at thalamocortical glutamatergic projections in the auditory cortex and defective prepulse inhibition due to elevation of D2 receptors in the thalamus; this D2R elevation is mediated by defective processing of miR-338–3p by *Dgcr8*, a 22q11.2-encoed gene^{55,154}. These studies manipulate intermediate variables to predict the outcome, achieving a tighter degree of causative link between neuronal and behavioral phenotypes.

Concluding Remarks

Mouse models of CNVs have revealed anatomic and electrophysiologic phenotypes. One interpretative caveat is that any phenotype seen in the brains of CNV mouse models cannot be automatically taken as mechanistic bases of dimensions of mental disorders, because of the many interpretative pitfalls. The presence of behavioral and brain phenotypes is correlative, but not causative, just as these phenotypes are simply correlative in human subjects as well. Mouse models of single genes encoded in a CNV are being developed and tested to address this issue. As manipulation of gene doses in the living mouse brain and prediction of neuronal and behavioral phenotypes are technically feasible, mouse models provide a unique means to determine the causative link among genes, neuronal and behavioral phenotypes of CNVs.

A future challenge of mouse model analyses is to mechanistically deconstruct and reconstruct the causative genetic and neuronal mechanisms underlying behavioral dimensions of CNVs. Such efforts will not only provide hypothetical mechanistic bases for development of novel therapeutic options for mental illnesses, but also provide novel understanding of mental illnesses according to the RDoc criteria. It should be emphasized, however, that many brain structures and functions of a mouse might not be as developed as those of humans. Thus, there is an inherent limitation in the translational value of mouse models. The ultimate validation of hypothetical mechanisms found in mouse models depends on the effectiveness of therapeutic options, developed from mouse models, for human psychiatric disorders.

Acknowledgments

Research reported in this publication was supported by the National Institute of Mental Health of the National Institutes of Health under Award Numbers R01MH099660, R01DC015776, R21HD053114 and U54HD090260. The content is solely the responsibility of the author and does not necessarily represent the official views of the National Institutes of Health.

I thank Dr. Yann Herault and Dr. Binnaz Yalcin of the IBGMC, France and members of my laboratory for insightful discussion.

Disclosure statement. I report a grant from Astellas.

References

- Cnv, Schizophrenia Working Groups of the Psychiatric Genomics, C. & Psychosis Endophenotypes International, C. Contribution of copy number variants to schizophrenia from a genome-wide study of 41,321 subjects. Nat Genet. 2017; 49:27–35. DOI: 10.1038/ng.3725 [PubMed: 27869829]
- Malhotra D, Sebat J. CNVs: harbingers of a rare variant revolution in psychiatric genetics. Cell. 2012; 148:1223–1241. [PubMed: 22424231]
- Mosca SJ, et al. Copy-number variations are enriched for neurodevelopmental genes in children with developmental coordination disorder. J Med Genet. 2016; 53:812–819. DOI: 10.1136/ jmedgenet-2016-103818 [PubMed: 27489308]
- Girirajan S, et al. Phenotypic heterogeneity of genomic disorders and rare copy-number variants. N Engl J Med. 2012; 367:1321–1331. [PubMed: 22970919]
- 5. Girirajan S, et al. A recurrent 16p12.1 microdeletion supports a two-hit model for severe developmental delay. Nat Genet. 2010; 42:203–209. [PubMed: 20154674]

- Gaugler T, et al. Most genetic risk for autism resides with common variation. Nat Genet. 2014; 46:881–885. DOI: 10.1038/ng.3039 [PubMed: 25038753]
- 7. Klei L, et al. Common genetic variants, acting additively, are a major source of risk for autism. Mol Autism. 2012; 3:9. [PubMed: 23067556]
- Cross-Disorder Group of the Psychiatric Genomics, C. Identification of risk loci with shared effects on five major psychiatric disorders: a genome-wide analysis. Lancet. 381:1371–1379. DOI: 10.1016/S0140-6736(12)62129-1(2013)
- 9. Hiroi N, Hiramoto T, Harper KM, Suzuki G, Boku S. Mouse models of 22q11.2-associated autism spectrum disorder. Autism. 2012; S1:1–9.
- Hiroi, N., Nishi, A. Modeling the Psychopathological Dimensions of Schizophrenia: From Molecules to Behavior Vol. 23 Handbook of Behavioral Neuroscience. Pletnikov, MV., Waddington, JL., editors. 2015. p. 285-302.Ch. 17
- Hiroi N, et al. Copy Number Variation at 22q11.2: from rare variants to common mechanisms of developmental neuropsychiatric disorders. Mol Psychiatry. 2013; 18:1153–1165. [PubMed: 23917946]
- 12. Nishi, A., Hiroi, N. The Neurobiology of Schizophrenia. Abel, T., Nickl-Jockschat, T., editors. Academic Press/Elsevier; 2016. p. 397-417.Ch 22
- Esposito G, Hiroi N, Scattoni ML. Cry, baby, cry: Expression of Distress as a Biomarker and Modulator in Autism Spectrum Disorder. Int J Neuropsychopharmacol. 2017
- Kikusui T, Hiroi NA. Self-Generated Environmental Factor as a Potential Contributor to Atypical Early Social Communication in Autism. Neuropsychopharmacology. 2017; 42:378. [PubMed: 27909329]
- Brown AS, McGrath JJ. The prevention of schizophrenia. Schizophr Bull. 2011; 37:257–261. DOI: 10.1093/schbul/sbq122 [PubMed: 20980445]
- Kim YS, Leventhal BL. Genetic epidemiology and insights into interactive genetic and environmental effects in autism spectrum disorders. Biol Psychiatry. 2015; 77:66–74. DOI: 10.1016/j.biopsych.2014.11.001 [PubMed: 25483344]
- Insel T, et al. Research domain criteria (RDoC): toward a new classification framework for research on mental disorders. Am J Psychiatry. 2010; 167:748–751. DOI: 10.1176/appi.ajp. 2010.09091379 [PubMed: 20595427]
- Morris SE, Cuthbert BN. Research Domain Criteria: cognitive systems, neural circuits, and dimensions of behavior. Dialogues Clin Neurosci. 2012; 14:29–37. [PubMed: 22577302]
- Kelly MA, et al. Locomotor activity in D2 dopamine receptor-deficient mice is determined by gene dosage, genetic background, and developmental adaptations. J Neurosci. 1998; 18:3470–3479. [PubMed: 9547254]
- Crusio WE. Flanking gene and genetic background problems in genetically manipulated mice. Biol Psychiatry. 2004; 56:381–385. [PubMed: 15364034]
- Flaherty, L., Bolivar, V. Neurobehavioral Genetics. Jones, BC., Mormede, P., editors. Taylor & Francis; 2007. p. 115-127.Ch 8
- 22. Gerlai R. Gene targeting: technical confounds and potential solutions in behavioral brain research. Behav Brain Res. 2001; 125:13–21. [PubMed: 11682088]
- 23. Wolfer DP, Crusio WE, Lipp HP. Knockout mice: simple solutions to the problems of genetic background and flanking genes. Trends Neurosci. 2002; 25:336–340. [PubMed: 12079755]
- 24. Kempermann G, Gage FH. Genetic influence on phenotypic differentiation in adult hippocampal neurogenesis. Brain Res Dev Brain Res. 2002; 134:1–12. [PubMed: 11947932]
- Zilles K, Wu J, Crusio WE, Schwegler H. Water maze and radial maze learning and the density of binding sites of glutamate, GABA, and serotonin receptors in the hippocampus of inbred mouse strains. Hippocampus. 10:213–225. DOI: 10.1002/1098-1063(2000)10:3<213::AID-HIPO2>3.0.CO;2-Q(2000)
- 26. Crawley JN, et al. Behavioral phenotypes of inbred mouse strains: implications and recommendations for molecular studies. Psychopharmacology (Berl). 1997; 132:107–124. [PubMed: 9266608]
- Faure A, et al. Social behaviors and acoustic vocalizations in different strains of mice. Behav Brain Res. 2017; 320:383–390. DOI: 10.1016/j.bbr.2016.11.003 [PubMed: 27825934]

- Scattoni ML, Gandhy SU, Ricceri L, Crawley JN. Unusual repertoire of vocalizations in the BTBR T+tf/J mouse model of autism. PLoS One. 2008; 3:e3067. [PubMed: 18728777]
- Scattoni ML, Ricceri L, Crawley JN. Unusual repertoire of vocalizations in adult BTBR T+tf/J mice during three types of social encounters. Genes Brain Behav. 2011; 10:44–56. [PubMed: 20618443]
- Papandrea D, Anderson TM, Herron BJ, Ferland RJ. Dissociation of seizure traits in inbred strains of mice using the flurothyl kindling model of epileptogenesis. Exp Neurol. 2009; 215:60–68. DOI: 10.1016/j.expneurol.2008.09.016 [PubMed: 18950623]
- Chen XJ, et al. Neuroanatomical differences between mouse strains as shown by high-resolution 3D MRI. Neuroimage. 2006; 29:99–105. DOI: 10.1016/j.neuroimage.2005.07.008 [PubMed: 16084741]
- 32. Wahlsten D, Metten P, Crabbe JC. Survey of 21 inbred mouse strains in two laboratories reveals that BTBR T/+ tf/tf has severely reduced hippocampal commissure and absent corpus callosum. Brain Res. 2003; 971:47–54. [PubMed: 12691836]
- Routh BN, Johnston D, Harris K, Chitwood RA. Anatomical and electrophysiological comparison of CA1 pyramidal neurons of the rat and mouse. J Neurophysiol. 2009; 102:2288–2302. DOI: 10.1152/jn.00082.2009 [PubMed: 19675296]
- 34. Schwegler H, et al. Genetic variation in the morphology of the septo-hippocampal cholinergic and GABAergic systems in mice: II. Morpho-behavioral correlations. Hippocampus. 1996; 6:535–545. DOI: 10.1002/(SICI)1098-1063(1996)6:5<535::AID-HIPO6>3.0.CO;2-H [PubMed: 8953306]
- Schwegler H, et al. Genetic variation in the morphology of the septo-hippocampal cholinergic and GABAergic system in mice. I. Cholinergic and GABAergic markers. Hippocampus. 1996; 6:136– 148. DOI: 10.1002/(SICI)1098-1063(1996)6:2<136::AID-HIPO5>3.0.CO;2-N [PubMed: 8797015]
- Yilmazer-Hanke DM, Roskoden T, Zilles K, Schwegler H. Anxiety-related behavior and densities of glutamate, GABAA, acetylcholine and serotonin receptors in the amygdala of seven inbred mouse strains. Behav Brain Res. 2003; 145:145–159. [PubMed: 14529813]
- Restivo L, Roman FS, Ammassari-Teule M, Marchetti E. Simultaneous olfactory discrimination elicits a strain-specific increase in dendritic spines in the hippocampus of inbred mice. Hippocampus. 2006; 16:472–479. DOI: 10.1002/hipo.20174 [PubMed: 16502390]
- Lee AW, Emsley JG, Brown RE, Hagg T. Marked differences in olfactory sensitivity and apparent speed of forebrain neuroblast migration in three inbred strains of mice. Neuroscience. 2003; 118:263–270. [PubMed: 12676156]
- Nguyen PV, Abel T, Kandel ER, Bourtchouladze R. Strain-dependent differences in LTP and hippocampus-dependent memory in inbred mice. Learn Mem. 2000; 7:170–179. [PubMed: 10837506]
- Nguyen PV, Duffy SN, Young JZ. Differential maintenance and frequency-dependent tuning of LTP at hippocampal synapses of specific strains of inbred mice. J Neurophysiol. 2000; 84:2484– 2493. [PubMed: 11067991]
- Moore SJ, Throesch BT, Murphy GG. Of mice and intrinsic excitability: genetic background affects the size of the postburst afterhyperpolarization in CA1 pyramidal neurons. J Neurophysiol. 2011; 106:1570–1580. DOI: 10.1152/jn.00257.2011 [PubMed: 21697442]
- Valor LM, Grant SG. Clustered gene expression changes flank targeted gene loci in knockout mice. PLoS One. 2007; 2:e1303. [PubMed: 18074027]
- Yang S, et al. Biochemical, molecular and behavioral phenotypes of Rab3A mutations in the mouse. Genes Brain Behav. 2007; 6:77–96. DOI: 10.1111/j.1601-183X.2006.00235.x [PubMed: 16734774]
- 44. Ricard G, et al. Phenotypic consequences of copy number variation: insights from Smith-Magenis and Potocki-Lupski syndrome mouse models. PLoS Biol. 2010; 8:e1000543. [PubMed: 21124890]
- 45. O'Leary J, Osborne LR. Global analysis of gene expression in the developing brain of Gtf2ird1 knockout mice. PLoS One. 2011; 6:e23868. [PubMed: 21909369]
- 46. Geyer MA. The family of sensorimotor gating disorders: comorbidities or diagnostic overlaps? Neurotox Res. 2006; 10:211–220. [PubMed: 17197371]

- 47. Kohl S, et al. Prepulse inhibition of the acoustic startle reflex in high functioning autism. PLoS One. 2014; 9:e92372. [PubMed: 24643088]
- McAlonan GM, et al. Brain anatomy and sensorimotor gating in Asperger's syndrome. Brain. 2002; 125:1594–1606. [PubMed: 12077008]
- Madsen GF, Bilenberg N, Cantio C, Oranje B. Increased prepulse inhibition and sensitization of the startle reflex in autistic children. Autism Res. 2014; 7:94–103. DOI: 10.1002/aur.1337 [PubMed: 24124111]
- Oranje B, Lahuis B, van Engeland H, Jan van der Gaag R, Kemner C. Sensory and sensorimotor gating in children with multiple complex developmental disorders (MCDD) and autism. Psychiatry Res. 2013; 206:287–292. DOI: 10.1016/j.psychres.2012.10.014 [PubMed: 23164481]
- 51. Takahashi H, Nakahachi T, Stickley A, Ishitobi M, Kamio Y. Stability of the acoustic startle response and its modulation in children with typical development and those with autism spectrum disorders: A one-year follow-up. Autism Res. 2017; 10:673–679. DOI: 10.1002/aur.1710 [PubMed: 27739260]
- 52. Takahashi H, Komatsu S, Nakahachi T, Ogino K, Kamio Y. Relationship of the Acoustic Startle Response and Its Modulation to Emotional and Behavioral Problems in Typical Development Children and Those with Autism Spectrum Disorders. J Autism Dev Disord. 2016; 46:534–543. DOI: 10.1007/s10803-015-2593-4 [PubMed: 26362152]
- Ornitz EM, Lane SJ, Sugiyama T, d J. Startle modulation studies in autism. J Autism Dev Disord. 1993; 23:619–637. [PubMed: 8106303]
- 54. Yuhas J, et al. Brief Report: Sensorimotor Gating in Idiopathic Autism and Autism Associated with Fragile X Syndrome. J Autism Dev Disord. 2010; 41:248–253.
- 55. Chun S, et al. Specific disruption of thalamic inputs to the auditory cortex in schizophrenia models. Science. 2014; 344:1178–1182. [PubMed: 24904170]
- 56. Didriksen M, et al. Persistent gating deficit and increased sensitivity to NMDA receptor antagonism after puberty in a new mouse model of the human 22q11.2 microdeletion syndrome: a study in male mice. J Psychiatry Neurosci. 2016; 41:150381.
- 57. Sobin C, et al. Neuropsychological characteristics of children with the 22q11 Deletion Syndrome: a descriptive analysis. Child Neuropsychol. 2005; 11:39–53. [PubMed: 15823982]
- Suzuki G, et al. Over-expression of a human chromosome 22q11.2 segment including TXNRD2, COMT and ARVCF developmentally affects incentive learning and working memory in mice. Human Molecular Genetics. 2009; 18:3914–3925. DOI: 10.1093/hmg/ddp334 [PubMed: 19617637]
- 59. Rees E, et al. Evidence that duplications of 22q11.2 protect against schizophrenia. Mol Psychiatry. 2014; 19:37–40. [PubMed: 24217254]
- 60. Nakatani J, et al. Abnormal behavior in a chromosome-engineered mouse model for human 15q11-13 duplication seen in autism. Cell. 2009; 137:1235–1246. [PubMed: 19563756]
- Tamada K, et al. Decreased exploratory activity in a mouse model of 15q duplication syndrome; implications for disturbance of serotonin signaling. PLoS One. 2010; 5:e15126. [PubMed: 21179543]
- Fejgin K, et al. A Mouse Model that Recapitulates Cardinal Features of the 15q13.3 Microdeletion Syndrome Including Schizophrenia- and Epilepsy-Related Alterations. Biol Psychiatry. 2013; 76:128–137. [PubMed: 24090792]
- Forsingdal A, Fejgin K, Nielsen V, Werge T, Nielsen J. 15q13.3 homozygous knockout mouse model display epilepsy-, autism- and schizophrenia-related phenotypes. Transl Psychiatry. 2016; 6:e860. [PubMed: 27459725]
- 64. De Luca CR, et al. Normative data from the CANTAB. I: development of executive function over the lifespan. J Clin Exp Neuropsychol. 2003; 25:242–254. [PubMed: 12754681]
- 65. Gur RC, et al. Age group and sex differences in performance on a computerized neurocognitive battery in children age 8-21. Neuropsychology. 2012; 26:251–265. [PubMed: 22251308]
- 66. Luna B. Developmental changes in cognitive control through adolescence. Adv Child Dev Behav. 2009; 37:233–278. [PubMed: 19673164]
- 67. Gathercole SE, Pickering SJ, Ambridge B, Wearing H. The structure of working memory from 4 to 15 years of age. Dev Psychol. 2004; 40:177–190. [PubMed: 14979759]

- Luna B, Doll SK, Hegedus SJ, Minshew NJ, Sweeney JA. Maturation of executive function in autism. Biol Psychiatry. 2007; 61:474–481. [PubMed: 16650833]
- Rosenthal M, et al. Impairments in real-world executive function increase from childhood to adolescence in autism spectrum disorders. Neuropsychology. 2013; 27:13–18. [PubMed: 23356593]
- O'Hearn K, Asato M, Ordaz S, Luna B. Neurodevelopment and executive function in autism. Dev Psychopathol. 2008; 20:1103–1132. [PubMed: 18838033]
- 71. Bennett E, Heaton P. Is talent in autism spectrum disorders associated with a specific cognitive and behavioural phenotype? J Autism Dev Disord. 2012; 42:2739–2753. [PubMed: 22527706]
- Pennington BF, Ozonoff S. Executive functions and developmental psychopathology. J Child Psychol Psychiatry. 1996; 37:51–87. [PubMed: 8655658]
- Russo N, et al. Deconstructing executive deficits among persons with autism: implications for cognitive neuroscience. Brain Cogn. 2007; 65:77–86. [PubMed: 17825970]
- 74. Goldenberg PC, et al. Computerized neurocognitive profile in young people with 22q11.2 deletion syndrome compared to youths with schizophrenia and at-risk for psychosis. Am J Med Genet B Neuropsychiatr Genet. 2012; 159B:87–93. DOI: 10.1002/ajmg.b.32005 [PubMed: 22170773]
- Saykin AJ, et al. Neuropsychological function in schizophrenia. Selective impairment in memory and learning. Arch Gen Psychiatry. 1991; 48:618–624. [PubMed: 2069492]
- 76. Schaefer J, Giangrande E, Weinberger DR, Dickinson D. The global cognitive impairment in schizophrenia: consistent over decades and around the world. Schizophr Res. 2013; 150:42–50. [PubMed: 23911259]
- 77. Fioravanti M, Carlone O, Vitale B, Cinti ME, Clare L. A meta-analysis of cognitive deficits in adults with a diagnosis of schizophrenia. Neuropsychol Rev. 2005; 15:73–95. [PubMed: 16211467]
- Piskulic D, Olver JS, Norman TR, Maruff P. Behavioural studies of spatial working memory dysfunction in schizophrenia: a quantitative literature review. Psychiatry Res. 2007; 150:111–121. [PubMed: 17292970]
- 79. Gur RC, et al. Neurocognitive growth charting in psychosis spectrum youths. JAMA Psychiatry. 2014; 71:366–374. DOI: 10.1001/jamapsychiatry.2013.4190 [PubMed: 24499990]
- Woodberry KA, Giuliano AJ, Seidman LJ. Premorbid IQ in schizophrenia: a meta-analytic review. Am J Psychiatry. 2008; 165:579–587. [PubMed: 18413704]
- David AS, Malmberg A, Brandt L, Allebeck P, Lewis G. IQ and risk for schizophrenia: a population-based cohort study. Psychol Med. 1997; 27:1311–1323. [PubMed: 9403903]
- Davidson M, et al. Behavioral and intellectual markers for schizophrenia in apparently healthy male adolescents. Am J Psychiatry. 1999; 156:1328–1335. [PubMed: 10484941]
- Fuller R, et al. Longitudinal assessment of premorbid cognitive functioning in patients with schizophrenia through examination of standardized scholastic test performance. Am J Psychiatry. 2002; 159:1183–1189. [PubMed: 12091197]
- Reichenberg A, et al. Static and dynamic cognitive deficits in childhood preceding adult schizophrenia: a 30-year study. Am J Psychiatry. 2010; 167:160–169. [PubMed: 20048021]
- Meier MH, et al. Neuropsychological decline in schizophrenia from the premorbid to the postonset period: evidence from a population-representative longitudinal study. Am J Psychiatry. 2014; 171:91–101. [PubMed: 24030246]
- 86. Bora E, et al. Cognitive deficits in youth with familial and clinical high risk to psychosis: a systematic review and meta-analysis. Acta Psychiatr Scand. 2014; 130:1–15. [PubMed: 24611632]
- Bora E. Developmental trajectory of cognitive impairment in bipolar disorder: comparison with schizophrenia. Eur Neuropsychopharmacol. 2015; 25:158–168. DOI: 10.1016/j.euroneuro. 2014.09.007 [PubMed: 25261263]
- Vorstman JA, et al. Cognitive Decline Preceding the Onset of Psychosis in Patients With 22q11.2 Deletion Syndrome. JAMA Psychiatry. 2015
- 89. Kates WR, et al. White matter microstructural abnormalities of the cingulum bundle in youths with 22q11.2 deletion syndrome: associations with medication, neuropsychological function, and prodromal symptoms of psychosis. Schizophr Res. 2015; 161:76–84. DOI: 10.1016/j.schres. 2014.07.010 [PubMed: 25066496]

- 90. Duijff SN, et al. Cognitive development in children with 22q11.2 deletion syndrome. Br J Psychiatry. 2012; 200:462–468. [PubMed: 22661678]
- 91. Gur RE, et al. Neurocognitive development in 22q11.2 deletion syndrome: comparison with youth having developmental delay and medical comorbidities. Mol Psychiatry. 2014; 19:1205–1211. [PubMed: 24445907]
- 92. Gothelf D, et al. COMT genotype predicts longitudinal cognitive decline and psychosis in 22q11.2 deletion syndrome. Nat Neurosci. 2005; 8:1500–1502. [PubMed: 16234808]
- 93. Gothelf D, et al. Risk factors and the evolution of psychosis in 22q11.2 deletion syndrome: a longitudinal 2-site study. J Am Acad Child Adolesc Psychiatry. 2013; 52:1192–1203. e1193. DOI: 10.1016/j.jaac.2013.08.008 [PubMed: 24157393]
- 94. Ackerman PL, Beier ME, Boyle MO. Working memory and intelligence: the same or different constructs? Psychol Bull. 2005; 131:30–60. [PubMed: 15631550]
- 95. Conway AR, Kane MJ, Engle RW. Working memory capacity and its relation to general intelligence. Trends Cogn Sci. 2003; 7:547–552. [PubMed: 14643371]
- 96. Friedman NP, et al. Not all executive functions are related to intelligence. Psychol Sci. 2006; 17:172–179. [PubMed: 16466426]
- 97. Danielsson H, Henry L, Ronnberg J, Nilsson LG. Executive functions in individuals with intellectual disability. Res Dev Disabil. 2010; 31:1299–1304. [PubMed: 20728303]
- Danielsson H, Henry L, Messer D, Ronnberg J. Strengths and weaknesses in executive functioning in children with intellectual disability. Res Dev Disabil. 2012; 33:600–607. [PubMed: 22155533]
- Schuchardt K, Gebhardt M, Maehler C. Working memory functions in children with different degrees of intellectual disability. J Intellect Disabil Res. 2010; 54:346–353. [PubMed: 20433572]
- 100. Van der Molen MJ, Van Luit JE, Jongmans MJ, Van der Molen MW. Memory profiles in children with mild intellectual disabilities: strengths and weaknesses. Res Dev Disabil. 2009; 30:1237– 1247. [PubMed: 19477617]
- 101. Westerberg H, Hirvikoski T, Forssberg H, Klingberg T. Visuo-spatial working memory span: a sensitive measure of cognitive deficits in children with ADHD. Child Neuropsychol. 2004; 10:155–161. [PubMed: 15590494]
- 102. Castellanos FX, Tannock R. Neuroscience of attention-deficit/hyperactivity disorder: the search for endophenotypes. Nat Rev Neurosci. 2002; 3:617–628. DOI: 10.1038/nrn896 [PubMed: 12154363]
- 103. Martinussen R, Hayden J, Hogg-Johnson S, Tannock R. A meta-analysis of working memory impairments in children with attention-deficit/hyperactivity disorder. J Am Acad Child Adolesc Psychiatry. 2005; 44:377–384. [PubMed: 15782085]
- 104. Willcutt EG, Doyle AE, Nigg JT, Faraone SV, Pennington BF. Validity of the executive function theory of attention-deficit/hyperactivity disorder: a meta-analytic review. Biol Psychiatry. 2005; 57:1336–1346. [PubMed: 15950006]
- 105. Nilsson SR, et al. Assessing the Cognitive Translational Potential of a Mouse Model of the 22q11.2 Microdeletion Syndrome. Cereb Cortex. 2016; 26:3991–4003. DOI: 10.1093/cercor/ bhw229 [PubMed: 27507786]
- 106. Flurkey, K., Currrer, JM., Harrison, DE. The Mouse in Biomedical Research. Fox, JG., editor. Vol. 3. Elsevier; 2007. p. 637-672.Ch. 20
- 107. Boku S, et al. Copy number elevation of 22q11.2 genes arrests the developmental maturation of working memory capacity and adult neurogenesis. Molecular Psychiatry. 2017 Online version published Aug 22, 2017.
- 108. Dumontheil I, et al. Influence of the COMT genotype on working memory and brain activity changes during development. Biol Psychiatry. 2011; 70:222–229. [PubMed: 21514925]
- 109. Kogan JH, et al. Mouse Model of Chromosome 15q13.3 Microdeletion Syndrome Demonstrates Features Related to Autism Spectrum Disorder. J Neurosci. 2015; 35:16282–16294. [PubMed: 26658876]
- 110. Sigurdsson T, Stark KL, Karayiorgou M, Gogos JA, Gordon JA. Impaired hippocampal-prefrontal synchrony in a genetic mouse model of schizophrenia. Nature. 2010; 464:763–767. [PubMed: 20360742]

- 111. South M, Ozonoff S, McMahon WM. Repetitive behavior profiles in Asperger syndrome and high-functioning autism. J Autism Dev Disord. 2005; 35:145–158. [PubMed: 15909401]
- 112. D'Cruz AM, et al. Reduced behavioral flexibility in autism spectrum disorders. Neuropsychology. 2013; 27:152–160. DOI: 10.1037/a0031721 [PubMed: 23527643]
- Murray GK, et al. Reinforcement and reversal learning in first-episode psychosis. Schizophr Bull. 2008; 34:848–855. DOI: 10.1093/schbul/sbn078 [PubMed: 18628272]
- 114. Leeson VC, et al. Discrimination learning, reversal, and set-shifting in first-episode schizophrenia: stability over six years and specific associations with medication type and disorganization syndrome. Biol Psychiatry. 2009; 66:586–593. DOI: 10.1016/j.biopsych. 2009.05.016 [PubMed: 19576575]
- 115. Meechan DW, et al. Cognitive ability is associated with altered medial frontal cortical circuits in the LgDel mouse model of 22q11.2DS. Cereb Cortex. 2015:1143–1151. [Epub ahead of print]. [PubMed: 24217989]
- 116. Segura-Puimedon M, et al. Heterozygous deletion of the Williams-Beuren syndrome critical interval in mice recapitulates most features of the human disorder. Hum Mol Genet. 2014; 23:6481–6494. [PubMed: 25027326]
- 117. Sturner R, Howard B, Bergmann P, Stewart L, Afarian TE. Comparison of Autism Screening in Younger and Older Toddlers. J Autism Dev Disord. 2017
- 118. Ozonoff S, et al. The broader autism phenotype in infancy: when does it emerge? J Am Acad Child Adolesc Psychiatry. 2014; 53:398–407. [PubMed: 24655649]
- Zwaigenbaum L, Bryson S, Garon N. Early identification of autism spectrum disorders. Behav Brain Res. 2013; 251:133–146. [PubMed: 23588272]
- 120. Weigelt S, Koldewyn K, Kanwisher N. Face identity recognition in autism spectrum disorders: a review of behavioral studies. Neurosci Biobehav Rev. 2012; 36:1060–1084. DOI: 10.1016/ j.neubiorev.2011.12.008 [PubMed: 22212588]
- 121. Chawarska K, Macari S, Shic F. Decreased spontaneous attention to social scenes in 6-month-old infants later diagnosed with autism spectrum disorders. Biol Psychiatry. 2013; 74:195–203. DOI: 10.1016/j.biopsych.2012.11.022 [PubMed: 23313640]
- 122. Zwaigenbaum L, et al. Behavioral manifestations of autism in the first year of life. Int J Dev Neurosci. 2005; 23:143–152. [PubMed: 15749241]
- 123. Happe F, Frith U. Annual research review: Towards a developmental neuroscience of atypical social cognition. J Child Psychol Psychiatry. 2014; 55:553–557. [PubMed: 24963529]
- 124. Pellicano E. The development of core cognitive skills in autism: a 3-year prospective study. Child Dev. 2010; 81:1400–1416. DOI: 10.1111/j.1467-8624.2010.01481.x [PubMed: 20840230]
- 125. Happe FG. The role of age and verbal ability in the theory of mind task performance of subjects with autism. Child Dev. 1995; 66:843–855. [PubMed: 7789204]
- 126. Senju A, Southgate V, White S, Frith U. Mindblind eyes: an absence of spontaneous theory of mind in Asperger syndrome. Science. 2009; 325:883–885. [PubMed: 19608858]
- 127. Sprong M, Schothorst P, Vos E, Hox J, van EH. Theory of mind in schizophrenia: meta-analysis. Br J Psychiatry. 2007; 191:5–13. [PubMed: 17602119]
- 128. Green MF, Horan WP, Lee J. Social cognition in schizophrenia. Nat Rev Neurosci. 2015; 16:620–631. DOI: 10.1038/nrn4005 [PubMed: 26373471]
- 129. Gur RC, et al. Neurocognitive growth charting in psychosis spectrum youths. JAMA Psychiatry. 2014; 71:366–374. [PubMed: 24499990]
- Penn DL, Sanna LJ, Roberts DL. Social cognition in schizophrenia: an overview. Schizophr Bull. 2008; 34:408–411. DOI: 10.1093/schbul/sbn014 [PubMed: 18375928]
- 131. Walcott CM, Landau S. The relation between disinhibition and emotion regulation in boys with attention deficit hyperactivity disorder. J Clin Child Adolesc Psychol. 2004; 33:772–782. [PubMed: 15498744]
- 132. Arbogast T, et al. Reciprocal Effects on Neurocognitive and Metabolic Phenotypes in Mouse Models of 16p11.2 Deletion and Duplication Syndromes. PLoS Genet. 2016; 12:e1005709. [PubMed: 26872257]

- 133. Hiroi N, et al. A 200-kb region of human chromosome 22q11.2 confers antipsychotic-responsive behavioral abnormalities in mice. Proceedings of the National Academy of Sciences of the United States of America. 2005; 102:19132–19137. DOI: 10.1073/pnas.0509635102 [PubMed: 16365290]
- 134. Esposito G, Venuti P. Understanding early communication signals in autism: a study of the perception of infants' cry. J Intellect Disabil Res. 2010; 54:216–223. [PubMed: 20136681]
- 135. Ozonoff S, et al. A prospective study of the emergence of early behavioral signs of autism. J Am Acad Child Adolesc Psychiatry. 2010; 49:256–266. [PubMed: 20410715]
- 136. Lai JK, et al. Temporal and spectral differences in the ultrasonic vocalizations of fragile X knock out mice during postnatal development. Behav Brain Res. 2014; 259:119–130. [PubMed: 24211451]
- 137. Lacaria M, Spencer C, Gu W, Paylor R, Lupski JR. Enriched rearing improves behavioral responses of an animal model for CNV-based autistic-like traits. Hum Mol Genet. 2012; 21:3083–3096. [PubMed: 22492990]
- 138. Takahashi T, et al. Structure and function of neonatal social communication in a genetic mouse model of autism. Mol Psychiatry. 2016; 21:1208–1214. [PubMed: 26666205]
- Dickinson ME, et al. High-throughput discovery of novel developmental phenotypes. Nature. 2016; 537:508–514. DOI: 10.1038/nature19356 [PubMed: 27626380]
- 140. Kirov G, et al. The Penetrance of Copy Number Variations for Schizophrenia and Developmental Delay. Biol Psychiatry. 2013; 75:378–385. [PubMed: 23992924]
- 141. Harper KM, et al. Alterations of social interaction through genetic and environmental manipulation of the 22q11.2 gene Sept5 in the mouse brain. Human Molecular Genetics. 2012; 21:3489–3499. DOI: 10.1093/hmg/dds180 [PubMed: 22589251]
- 142. Suzuki G, et al. Sept5 deficiency exerts pleiotropic influence on affective behaviors and cognitive functions in mice. Human Molecular Genetics. 2009; 18:1652–1660. DOI: 10.1093/hmg/ddp086 [PubMed: 19240081]
- 143. Spencer CM, et al. Modifying behavioral phenotypes in Fmr1KO mice: genetic background differences reveal autistic-like responses. Autism Res. 2011; 4:40–56. [PubMed: 21268289]
- 144. Drapeau E, Dorr NP, Elder GA, Buxbaum JD. Absence of strong strain effects in behavioral analyses of Shank3-deficient mice. Dis Model Mech. 2014; 7:667–681. [PubMed: 24652766]
- 145. Sittig LJ, et al. Genetic Background Limits Generalizability of Genotype-Phenotype Relationships. Neuron. 2016; 91:1253–1259. [PubMed: 27618673]
- 146. Yang M, Lewis F, Foley G, Crawley JN. Tribute to Bob Blanchard: Divergent Behavioral Phenotypes of 16p11.2 Deletion Mice Reared in Same-Genotype Versus Mixed-Genotype Cages. Physiol Behav. 2015
- 147. Kalbassi S, Bachmann SO, Cross E, Roberton VH, Baudouin SJ. Male and Female Mice Lacking Neuroligin-3 Modify the Behavior of Their Wild-Type Littermates. eNeuro. 2017; 4
- 148. Karayiorgou M, Gogos JA. The molecular genetics of the 22q11-associated schizophrenia. Brain Res Mol Brain Res. 2004; 132:95–104. [PubMed: 15582150]
- 149. Jonas RK, Montojo CA, Bearden CE. The 22q11.2 deletion syndrome as a window into complex neuropsychiatric disorders over the lifespan. Biol Psychiatry. 2014; 75:351–360. DOI: 10.1016/ j.biopsych.2013.07.019 [PubMed: 23992925]
- 150. Guna A, Butcher NJ, Bassett AS. Comparative mapping of the 22q11.2 deletion region and the potential of simple model organisms. J Neurodev Disord. 2015; 7:18. [PubMed: 26137170]
- 151. Brown SD, Moore MW. The International Mouse Phenotyping Consortium: past and future perspectives on mouse phenotyping. Mamm Genome. 2012; 23:632–640. DOI: 10.1007/ s00335-012-9427-x [PubMed: 22940749]
- 152. Koscielny G, et al. The International Mouse Phenotyping Consortium Web Portal, a unified point of access for knockout mice and related phenotyping data. Nucleic Acids Res. 2014; 42:D802– 809. DOI: 10.1093/nar/gkt977 [PubMed: 24194600]
- 153. Paterlini M, et al. Transcriptional and behavioral interaction between 22q11.2 orthologs modulates schizophrenia-related phenotypes in mice. Nat Neurosci. 2005; 8:1586–1594. [PubMed: 16234811]

- 154. Chun S, et al. Thalamic miR-338-3p mediates auditory thalamocortical disruption and its late onset in models of 22q11.2 microdeletion. Nat Med. 2017; 23:39–48. DOI: 10.1038/nm.4240 [PubMed: 27892953]
- 155. Ouchi Y, et al. Reduced Adult Hippocampal Neurogenesis and Working Memory Deficits in the Dgcr8-Deficient Mouse Model of 22q11.2 Deletion-Associated Schizophrenia Can Be Rescued by IGF2. J Neurosci. 2013; 33:9408–9419. [PubMed: 23719809]
- 156. Hiramoto T, et al. Tbx1: identification of a 22q11.2 gene as a risk factor for autism spectrum disorder in a mouse model. Hum Mol Genet. 2011; 20:4775–4785. [PubMed: 21908517]
- 157. O'Tuathaigh CM, et al. Genetic vs pharmacological inactivation of COMT influences cannabinoid-induced expression of schizophrenia-related phenotypes. Int J Neuropsychopharmacol. 2012; 15:1331–1342. [PubMed: 22074909]
- 158. O'Tuathaigh CM, et al. Chronic adolescent exposure to Delta-9-tetrahydrocannabinol in COMT mutant mice: impact on psychosis-related and other phenotypes. Neuropsychopharmacology. 2010; 35:2262–2273. [PubMed: 20631688]
- 159. Papaleo F, et al. Genetic dissection of the role of catechol-O-methyltransferase in cognition and stress reactivity in mice. J Neurosci. 2008; 28:8709–8723. [PubMed: 18753372]
- 160. Papaleo F, Burdick MC, Callicott JH, Weinberger DR. Epistatic interaction between COMT and DTNBP1 modulates prefrontal function in mice and in humans. Mol Psychiatry. 2014; 19:311– 316. DOI: 10.1038/mp.2013.133 [PubMed: 24145376]
- 161. Hancock CN, Liu W, Alvord WG, Phang JM. Co-regulation of mitochondrial respiration by proline dehydrogenase/oxidase and succinate. Amino Acids. 2016; 48:859–872. DOI: 10.1007/ s00726-015-2134-7 [PubMed: 26660760]
- 162. Crabtree GW, Park AJ, Gordon JA, Gogos JA. Cytosolic Accumulation of L-Proline Disrupts GABA-Ergic Transmission through GAD Blockade. Cell Rep. 2016; 17:570–582. DOI: 10.1016/ j.celrep.2016.09.029 [PubMed: 27705802]
- 163. Fenelon K, et al. The pattern of cortical dysfunction in a mouse model of a schizophrenia-related microdeletion. J Neurosci. 2013; 33:14825–14839. DOI: 10.1523/JNEUROSCI.1611-13.2013 [PubMed: 24027283]
- 164. Toritsuka M, et al. Deficits in microRNA-mediated Cxcr4/Cxcl12 signaling in neurodevelopmental deficits in a 22q11 deletion syndrome mouse model. Proc Natl Acad Sci U S A. 2013; 110:17552–17557. [PubMed: 24101523]
- 165. Toyoshima M, et al. Analysis of induced pluripotent stem cells carrying 22q11.2 deletion. Transl Psychiatry. 2016; 6:e934. [PubMed: 27801899]
- 166. Amin ND, et al. Cyclin-dependent kinase 5 phosphorylation of human septin SEPT5 (hCDCrel-1) modulates exocytosis. J Neurosci. 2008; 28:3631–3643. DOI: 10.1523/JNEUROSCI. 0453-08.2008 [PubMed: 18385322]
- 167. Beites CL, Campbell KA, Trimble WS. The septin Sept5/CDCrel-1 competes with alpha-SNAP for binding to the SNARE complex. Biochem J. 2005; 385:347–353. [PubMed: 15355307]
- 168. Dent J, et al. A prototypic platelet septin and its participation in secretion. Proc Natl Acad Sci U S A. 2002; 99:3064–3069. [PubMed: 11880646]
- 169. Dong Z, et al. Dopamine-dependent neurodegeneration in rats induced by viral vector-mediated overexpression of the parkin target protein, CDCrel-1. Proceedings of the National Academy of Sciences of the United States of America. 2003; 100:12438–12443. [PubMed: 14530399]
- 170. Zhang Y, et al. Parkin functions as an E2-dependent ubiquitin- protein ligase and promotes the degradation of the synaptic vesicle-associated protein, CDCrel-1. Proc Natl Acad Sci U S A. 2000; 97:13354–13359. DOI: 10.1073/pnas.240347797 [PubMed: 11078524]
- 171. Son JH, et al. Neurotoxicity and behavioral deficits associated with Septin 5 accumulation in dopaminergic neurons. J Neurochem. 2005; 94:1040–1053. DOI: 10.1111/j. 1471-4159.2005.03257.x [PubMed: 16092945]
- 172. Ellegood J, et al. Neuroanatomical Phenotypes Are Consistent With Autism-Like Behavioral Phenotypes in the 15q11-13 Duplication Mouse Model. Autism Res. 2015; 8:545–555. DOI: 10.1002/aur.1469 [PubMed: 25755142]
- 173. Ellegood J, et al. Neuroanatomical phenotypes in a mouse model of the 22q11.2 microdeletion. Mol Psychiatry. 2014; 19:99–107. DOI: 10.1038/mp.2013.112 [PubMed: 23999526]

- 174. Jackowski AP, et al. Brain abnormalities in Williams syndrome: a review of structural and functional magnetic resonance imaging findings. Eur J Paediatr Neurol. 2009; 13:305–316. DOI: 10.1016/j.ejpn.2008.07.002 [PubMed: 18722146]
- 175. Meyer-Lindenberg A, et al. Functional, structural, and metabolic abnormalities of the hippocampal formation in Williams syndrome. J Clin Invest. 2005; 115:1888–1895. DOI: 10.1172/JCI24892 [PubMed: 15951840]
- 176. Gothelf D, Schaer M, Eliez S. Genes, brain development and psychotiatric phenotypes in velocardio-facial syndrome. Dev Disabilities. 2008; 14:59–68.
- 177. Tan GM, Arnone D, McIntosh AM, Ebmeier KP. Meta-analysis of magnetic resonance imaging studies in chromosome 22q11.2 deletion syndrome (velocardiofacial syndrome). Schizophr Res. 2009; 115:173–181. DOI: 10.1016/j.schres.2009.09.010 [PubMed: 19819113]
- 178. Kates WR, et al. Frontal and caudate alterations in velocardiofacial syndrome (deletion at chromosome 22q11.2). J Child Neurol. 2004; 19:337–342. DOI: 10.1177/088307380401900506 [PubMed: 15224707]
- 179. Bish JP, Nguyen V, Ding L, Ferrante S, Simon TJ. Thalamic reductions in children with chromosome 22q11.2 deletion syndrome. Neuroreport. 2004; 15:1413–1415. [PubMed: 15194864]
- 180. Machado AM, et al. Corpus callosum morphology and ventricular size in chromosome 22q11.2 deletion syndrome. Brain Res. 2007; 1131:197–210. DOI: 10.1016/j.brainres.2006.10.082 [PubMed: 17169351]
- 181. Antshel KM, Conchelos J, Lanzetta G, Fremont W, Kates WR. Behavior and corpus callosum morphology relationships in velocardiofacial syndrome (22q11.2 deletion syndrome). Psychiatry Res. 2005; 138:235–245. DOI: 10.1016/j.pscychresns.2005.02.003 [PubMed: 15854791]
- 182. Wegiel J, et al. Differences between the pattern of developmental abnormalities in autism associated with duplications 15q11.2-q13 and idiopathic autism. J Neuropathol Exp Neurol. 2012; 71:382–397. DOI: 10.1097/NEN.0b013e318251f537 [PubMed: 22487857]
- Boronat S, Mehan WA, Shaaya EA, Thibert RL, Caruso P. Hippocampal abnormalities in magnetic resonance imaging (MRI) of 15q duplication syndromes. J Child Neurol. 2015; 30:333– 338. DOI: 10.1177/0883073814538669 [PubMed: 24985752]
- 184. Isshiki M, et al. Enhanced synapse remodelling as a common phenotype in mouse models of autism. Nat Commun. 2014; 5:4742. [PubMed: 25144834]
- 185. Thelin J, et al. The translationally relevant mouse model of the 15q13.3 microdeletion syndrome reveals deficits in neuronal spike firing matching clinical neurophysiological biomarkers seen in schizophrenia. Acta Physiol (Oxf). 2017; 220:124–136. DOI: 10.1111/apha.12746 [PubMed: 27364459]
- 186. Nilsson SR, et al. A mouse model of the 15q13.3 microdeletion syndrome shows prefrontal neurophysiological dysfunctions and attentional impairment. Psychopharmacology (Berl). 2016; 233:2151–2163. [PubMed: 26983414]
- 187. Meechan DW, et al. Cognitive ability is associated with altered medial frontal cortical circuits in the LgDel mouse model of 22q11.2DS. Cereb Cortex. 2015; 25:1143–1151. DOI: 10.1093/ cercor/bht308 [PubMed: 24217989]
- 188. Choi SJ, et al. A Schizophrenia-Related Deletion Leads to KCNQ2-Dependent Abnormal Dopaminergic Modulation of Prefrontal Cortical Interneuron Activity. Cereb Cortex. 2017:1–17. DOI: 10.1093/cercor/bhx123
- Kumar V, et al. C57BL/6N mutation in cytoplasmic FMRP interacting protein 2 regulates cocaine response. Science. 2013; 342:1508–1512. [PubMed: 24357318]
- Matsuo N, et al. Behavioral profiles of three C57BL/6 substrains. Front Behav Neurosci. 2010;
 4:29. [PubMed: 20676234]
- Mouri A, et al. Mouse strain differences in phencyclidine-induced behavioural changes. Int J Neuropsychopharmacol. 2012; 15:767–779. [PubMed: 21733237]
- 192. Simon MM, et al. A comparative phenotypic and genomic analysis of C57BL/6J and C57BL/6N mouse strains. Genome Biol. 2013; 14:R82. [PubMed: 23902802]

Hiroi



Figure 1.

Genetic background of different breeding strategies.

The targeted gene (white band) is shown with background alleles originating from the embryonic stem (ES) cell donor strain (red), a breeder (green), and randomly mixed alleles of both parents (yellow) in non-congenic mice, congenic mice, co-isogenic mice and F1 hybrid mice. Non-congenic wild-type and mutant mice systematically differ in alleles flanking the targeted gene at the F2 generation due to recombination of alleles. By backcrossing such a mouse to the breeder for more than 10 generations (>10N), the genetic background of a congenic mouse is saturated with alleles of the breeder, thereby minimizing the systematic difference in the flanking regions between wild-type and mutant mice. A co-isogenic mouse is developed in ES cells of a mouse strain and bred with the same mouse line. The F1 hybrid is made by crossing a co-isogenic mutant mouse is crossed with another inbred mouse and the identical genetic background is present between wild-type and mutant mice at the F1 generation.



Figure 2.

Impact of deletions of individual 22q11.2 gene on various behavioral constructs relevant to mental disorders. Bold gene names indicate that congenic or co-isogenic mice have been developed. When mice are tested for the selected phenotypes, they are left blank. Deletions of genes cause phenotypes consistent with (red) and opposite to (blue) what is seen in humans with 22q11.2 hemizygous deletions. Deletions cause no detectible effect on a phenotype (black). Phenotypes have not been examined (blank). Non-congenic mice are not included in analysis. Non-congenic mice that are backcrossed for less than 10 generations or carry alleles of both C57BL/6N and C57BL/6J are not included, as these C57BL/6 substrains differ in many behavioral measures due to allelic differences^{189–192}. Abbreviations: PPI, prepulse inhibition; WM, working memory; SO, affiliative social interaction, sociability, neonatal and adult vocalization and adult aggression; Anx, anxiety-like behaviors. Dgcr2¹⁵²; Prodh^{152,153}; Rtn4r¹⁵²; Ranbp1¹⁵²; Dgcr8^{154,155}; Arvcf¹⁵²; Comt^{152,157–160};Tbx1^{138,156}; Gp1bb¹⁵²; Sept5^{9,141,152}; Hira¹⁵².

Gene

Neuronal Phenotype

A B C A b c 1 1 1 2

Behavioral Phenotype

Figure 3.

Hypothetical links between genes, neuronal phenotypes and behavioral phenotypes of a CNV. Hypothetical genes and neuronal phenotypes are indicated as letters in upper and lower cases, respectively. Behavioral phenotypes are indicated as numbers. The causal link is indicated by red arrows.

Autho
r Manu
uscript

`	
Φ	
ο	
g	

Mouse models of CNVs and dimensional behavioral phenotypes

c Ref	116	09	3	61	62	109	63	132				44		137	133	58
Pup Voc		~	Ι			→	7							\rightarrow		
Anx	+	←	Ι	←	I	→		Ι	-+	Ι	-	→	¥	¥		I
so	~	<i>→</i>	Ι			→	÷	→	I	→	I	←,	→ -	~	<i>→</i>	I
RL	I	→	I			I										
WМ		I	I	I	I	I										→
Idd		I	I	I	I		I									I
Age	Not described	Not described	Not described	9-13 weeks	9–12 weeks	4–7 months (17–30 weeks)	10-22 weeks	12-20 weeks	12-20 weeks	12-20 weeks	12-20 weeks	10 weeks	10 weeks	8-12 weeks	2–4 months (9–17 weeks) and 5 weeks	1, 2 and 5 mo (4, 9 and 21 weeks)
Additional Background	CD1 C57BL/6J N9 generation			C57BL/61, congenic > 10 backcrosses				F1 hybrid with C3H/HeH		F1 hybrid with C3H/HeH		Congenic C57BL/6-Tyr ^{C-Brd} 12 generations	Congenic C57BL/6-Tyr ^{C-Brd} 12 generations	Congenic C57BL/6-Tyr ^{C-Brd} >10 generations		C57BL/61, congenic 10 backcrosses
ES or Zygote cell background	G6 ES cell 129Sv		<7111-0-31du-10104948/18671	12987//SvEvBrd- <i>Hprt</i> b-m2>	C57BL/6NTac	C57BL/6NTac	C57BL/6NTac	C57BL/6NTac	C57BL/6NTac	C57BL/6NTac	C57BL/6NTac	129S5/SvEvBrd mouse (129S5)	129S5/SvEvBrd mouse (129S5)	129S5/SvEvBrd mouse (129S5)	FVB	FVB
Designation	Fkbp6-Gtf2i	patDp/+ Herc2-Mkrn3	matDp/+ Herc2-Mkrn3	patDp/+ Herc2-Mkrn3	Df(h15q13)/+ Fan1-Chrna7	15q13.3/7qC Fan-Chrna7	Df(h15q13)/+ Fan1-Chrna7	Del/+ Sult1a1-Spn	Del/+ Sult1a1-Spn	Dup/+ Sult1a1-Spn	Dup/+ Sult1a1-Spn	Df(11)17/+ Zfp179-Csn3	Dp(11)17/+	Dp(11)17/+	200kb Tg <i>Gnb11-Sept5</i>	190kb Tg <i>Arvcf –</i> <i>Txnrd2</i>
Associated Diagnoses	ID/DD/CM	ID/DD/CM ASD	202		ID/DD/CM ASD SCZ			ID/DD/CM ASD	202	ID/DD/CM ASD	BD				ID/DD/CM ASD	
CNV	7q11.23 del		dnn cr-rrher	15q11–13 dup	15q13.3 del	15q13.3 del	15q13.3 del	16p11.2 del	16p11.2 del	16p11.2 dup	16p11.2 dup	17p11.2 Del(SMS)	17p11.2 Dup (PTLS)	17p11.2 Dup (PTLS)	22q11.2 dup	22q11.2 dup

or Zygote cell background Additional Background Age	H	MM Id	vuy OS 1.
29S7/SvEvBrd- <i>Hprt</i> b-m2> C57BL/6J, congenic 99.9% (13	3–6 mo –26weeks)	_ →	
2957/SvEvBrd-Hrp >=10 backcrosses (17–21 v	mo weeks)		
9/Sv, C57BL/61, SJL; 129S6/ C57BL/6; 129Sv; CD1; Not desc SvEvTac; FVB/N C57BL/6J > 25 backcrosses	cribed		→
C57BL/6NTac	/eeks		
C57BL/6NTac 2–1 (9–81	9 mo weeks)	↓ -→	+

Age, age at which behavioral testing started, except for pup vocalization. SMS, Smith-Magenis Synrome; PTLS, Potocki-Lupski syndrome; ID, intellectual disability; DD, developmental delay; BD, bipolar are studies that used non-congenic mice or that stated mice were generated on a C57BL/6J mouse or "pure" C57BL/6 background, but cited articles did not create a mouse as such. Disorders associated with disorder; CM, congenital malformations; ASD, autism spectrum disorder; SCZ, schizophrenia; PPI, prepulse inhibition; WM, working memory; RL, reversal learning; SO, social behaviors; Anx, Anxiety; Pup Voc, neonatal vocalization; Ref, reference; Del, deletion; dup, duplication; upward and downward arrows indicate increase and decrease. horizontal bar, non-significant phenotypes. Not included here each CNV are based on 1,2.