



ORIGINAL ARTICLE

Outcomes and prognostic factors of fecal microbiota transplantation in patients with slow transit constipation: results from a prospective study with long-term follow-up

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Abstract

Background and aim: Gut microbiota may contribute to regulate colonic motility, which is involved in the etiology of constipation. Fecal microbiota transplantation (FMT) has been demonstrated to restore intestinal homeostasis. The aim of this study was to evaluate the clinical outcomes and prognostic factors of FMT for the treatment of slow transit constipation (STC).

Methods: Fifty-two patients with STC received standardized FMT and were followed up for 6 months. Bowel habit, colonic transit time, constipation-related symptoms (PAC-SYM score), quality of life (PAC-QOL score), treatment satisfaction scores and adverse events were monitored. The primary efficacy endpoint was the proportion of patients having on average three or more complete spontaneous bowel movements (CSBMs) per week.

Results: The primary efficacy endpoint was achieved in 50.0%, 38.5% and 32.7% of patients over week intervals 3–4, 9–12 and 21–24, respectively ($P < 0.01$ for all comparisons). Significant improvements were also observed in other bowel movement assessments, colonic transit time, constipation-related symptoms and quality of life; but all improvements diminished at weeks 12 and 24. Incompleteness of evacuation served as the only factor associated with efficacy. No serious treatment-related adverse events were observed.

Conclusion: This study suggested FMT was effective and safe for STC, while a late loss of efficacy was also observed. A lower degree of sensation of incompleteness predicted a better outcome.

Key words: Fecal microbiota transplantation; slow transit constipation; gut microbiota; colonic motility; prognostic factors

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Introduction

Chronic constipation is a common functional disorder, affecting 16% of adults and specifically 33.5% of the elderly worldwide [1]. Although chronic constipation is rarely associated with life-threatening or disabling problems, it does severely jeopardize the quality of life and represents a considerable health care burden because of the high prevalence and long-term duration [2,3]. The management of constipation remains challenging [4,5]. In order to alleviate symptoms, laxatives and prokinetic agents are commonly used [6]. Owing to insufficient efficacy, inconsistent symptom response and concerns about adverse effects, nearly half (47%) of patients are not completely satisfied with such treatments in a long-term survey [7].

Decreased colonic motility is an important pathophysiological mechanism of chronic constipation, especially slow transit constipation (STC). Recently, there have been studies suggesting that gut microbiota may be involved in the etiology of constipation. Imbalance in stool microbiota composition has been described in patients with constipation [8]. The gut microbiota and its metabolites, such as short-chain fatty acids and methane, show a direct modulation on gastrointestinal (GI) motility in both rodents and constipated patients [9–11]. Methods to modify the GI flora have drawn much attention for the management of chronic constipation [12]. However, trials of probiotics, prebiotics or synbiotics have showed uncertain results in constipated patients [13]. Using 16S rRNA sequencing, Zhu et al. found that the alterations in fecal microbiome of constipated patients were primarily decreased *Prevotella* and increased *Firmicutes*, but not the conventional probiotic genera *Lactobacillus* and *Bifidobacteria* [8]. Recently, Parthasarathy et al. reported similar results in both fecal and mucosal microbiota in chronic constipation [14]. This might explain why the traditional probiotics are ineffective in treatment for constipation. Instead, we hypothesized that reshaping the gut microbiome with fecal microbiota transplantation (FMT) might restore the abundance of ‘beneficial microflora’ in the colon and therefore promote colonic motility in constipated patients [12,15].

Our previous pilot studies suggested FMT had the potential to improve symptoms in patients with STC [16,17]. Here, we aimed to systematically evaluate the clinical outcomes and prognostic factors of FMT for STC in a larger prospective study with long-term follow-up.

Patients and methods

This is an open-label, single-group study conducted at Jinling Hospital, Medical School of Nanjing University. The study was approved by Ethical Committee of the hospital, and registered in the ClinicalTrials.gov (NCT02301221). All participants provided written informed consent.

Eligibility of patients

Inclusion criteria: chronic constipation according to Rome III criteria with two or fewer complete spontaneous bowel movements (CSBMs) per week for a minimum of 6 months [18]; age ≥ 18 years; body mass index (BMI): 18.5–25 kg/m²; slow colonic transit with colonic transit time >48 hours confirmed by colonic transit test [19]; normal anorectal manometry with no evidence of dyssynergia and confirmed ability to expel rectal balloon [4,5]; no radiographic evidence of functional (i.e. pelvic floor dyssynergia) or anatomical (i.e. significant rectocele and

intussusception) impediment to the expulsion of the radio-opaque contrast [4,5]; disease duration >1 year; traditional treatment with dietary modification, laxatives and biofeedback tried over the past 6 months without success.

Exclusion criteria: megacolon or megarectum; secondary constipation (i.e. drugs, endocrine, metabolic, neurologic or psychologic disorders); diseases or therapies affecting intestinal motility or microbiota; previous abdominal, proctological or perianal surgery, except cholecystectomy, appendectomy, tubal ligation and cesarean section; constipation-predominant irritable bowel syndrome (IBS-C) or functional abdominal pain syndrome according to Rome III criteria [18]; pregnant or lactating women; usage of probiotics, prebiotics and/or synbiotics within 1 month; usage of antibiotics and/or proton pump inhibitors (PPIs), smoking and/or alcohol addiction within 3 months.

Donor screening

Donors were healthy unrelated adults aged 18–30 years old, with a normal BMI of 18.5–25 kg/m², good habits of eating and evacuation, and no recent medications or travels. After passing the American Association of Blood Banks donor questionnaire [20], candidates underwent screening tests for hepatitis A, B and C, HIV, Syphilis and *Treponema Pallidum*, and enteric pathogens including *Clostridium difficile*, *Enterohemorrhagic Escherichia coli*, *Salmonella*, *Shigella*, *Yersinia*, *Campylobacter* and parasites. Within 1 week before donation, the donors were asked to refrain from common food allergens. The questionnaire and laboratory screening were repeated every 3 months.

Preparation of fecal suspension

Approximately 100 grams of fresh stool was immediately homogenized in 500 milliliters of 0.9% sterile saline with a household blender. The slurry was then passed through 1.5, 0.6, 0.3 and 0.15 millimeter stainless steel sieves [21]. The filtered suspension was centrifuged at 5,000 grams for 15 minutes under 4°C and resuspended to 300 milliliters of saline [21]. Sterile glycerol was added with a final concentration of 10%. The suspension was repackaged and stored at -80°C until usage. Before usage, the preparation was thawed at 37°C . The maximal storage was 4 weeks.

FMT procedures

Eligible patients entered a 2-week run-in period. Patients having an average of three or more CSBMs per week during the run-in period were excluded. Oral vancomycin (500 milligrams two times per day) was given for three consecutive days, during which patients having a significant improvement on defecation were excluded. Bowel lavage with 2 liters of Macrogol solution was performed on the last day of antibiotic treatment. The next day, fecal suspension (100 milliliters once per day) was infused within 10 minutes through a nasoduodenal tube, which was positioned under endoscopy guidance in advance. The infusion was performed for 3 consecutive days and a full treatment of 300 milliliters of suspension contained sieved, concentrated material derived from approximately 100 grams of stool. After FMT, patients were followed up for 24 weeks, during which they were educated to maintain the previous diet, exercise and life habits.

Disallowed medication

During the follow-up, antibiotics were not permitted. The use of laxatives was conditional. If patients did not have a bowel movement for 3 or more consecutive days, they were permitted to take up to 20 grams of Macrogol 4000 powder (Forlax®, Ipsen, France). If ineffective, enema was used. Use of rescue medication was documented.

Bowel habit assessments

Patients kept daily diaries about times of bowel movements each day, stool consistency, degree of straining severity during defecation, degree of sensation of incompleteness of evacuation and the rescue medication when used. Stool consistency was assessed according to the Bristol Stool Form Scale (BSFS) [22]. A score of 3, 4 or 5 was defined as normal. Degree of straining severity and incompleteness of evacuation were assessed using a five-point ordinal scale [23,24], where 1 indicates none, 2 mild, 3 moderate, 4 severe and 5 very severe.

The primary efficacy endpoint was the proportion of patients having on average three or more CSBMs per week. Patients achieving the primary efficacy endpoint were defined as responders. The secondary efficacy endpoint was the proportion of patients with an average increase of one or more CSBMs per week compared with baseline. Other endpoints were the average number of CSBMs and spontaneous bowel movements (SBMs) per week; the percentage of bowel movements (BMs) with normal consistency, none or mild straining and none or mild incompleteness and the average number of days with laxative use (polyethylene glycol or enema) per week. All these data were evaluated over the 2-week run-in period and over the week intervals 3–4, 9–12 and 21–24.

Colonic transit time measurements

Colonic transit time was measured at baseline and at weeks 4, 12 and 24 with the Metcalf method [9]. Briefly, a capsule containing radio-opaque markers was taken at 9:00 a.m. every day for 6 consecutive days. A single abdominal X-ray was taken on the day after the administration of final capsule. Colonic transit time was calculated based on the number of markers detained in the colon.

Patient self-assessments

Constipation-related symptoms were evaluated using the validated Patient Assessment of Constipation Symptoms (PAC-SYM) questionnaire [25]. A total of 12 symptoms were grouped into three subscales: stool, abdominal and rectal symptoms. Quality of life was evaluated with the validated Patient Assessment of Constipation Quality of Life (PAC-QOL) self-report questionnaire [26]. Twenty-eight items were grouped into four subscales: physical discomfort, psychosocial discomfort, worries and concerns, and satisfaction. For each item of PAC-SYM or PAC-QOL questionnaire, scores range from 0 (not at all) to 4 (all the time), with lower scores indicating a better result. An improvement (reduction) of ≥ 1 point was regarded as clinically significant [25,26]. Treatment satisfaction was evaluated with the use of a five-point ordinal scale, ranging from 1 (not at all) to 5 (extremely) [23,24]. All these questionnaires were completed at baseline and at weeks 4, 12 and 24.

Table 1. Baseline characteristics

Characteristics	All (n = 52)
Female	34 (65.4)
Age, years	48.9 \pm 10.9
BMI, kg/m ²	22.8 \pm 1.0
Disease duration, years	9.9 \pm 7.1
CSBMs, per week	0.6 \pm 0.5
0	18 (34.0)
>0 to ≤ 1	26 (50.9)
>1 to <3	8 (15.1)
Assessment of efficacy of previous treatment	
Adequate	20 (38.5)
Inadequate	32 (61.5)

CSBMs, complete spontaneous bowel movements. Continuous data are presented as mean \pm standard deviation, while categorical data are presented as number (%).

Safety assessments

During suspension infusion and follow-up, adverse events were recorded. If there was a sudden severe discomfort, patients were educated to make a phone call to investigators immediately.

Statistical analyses

Continuous data were presented as mean \pm standard deviation, while categorical data were presented as number (%). Statistical analysis was performed with repeated measures ANOVA for continuous variables and Pearson's chi-square test for categorical variables. Univariate analysis was performed using independent-samples t-test and Pearson's chi-square test as appropriate, and factors with a meaningful univariate probability ($P < 0.1$) were included in the multivariate analysis using a logistic regression model with mixed effects. Analyses were performed with SPSS 22.0 software. A two-tailed $P < 0.05$ was considered as statistically significant.

Results

From March 2015 through March 2016, 60 patients were enrolled, of whom three were excluded prior to FMT and five withdrew during follow-up. Thus, 52 patients were included for analyses. The baseline characteristics are shown in Table 1.

BMs

After FMT, the percentage of patients achieving primary efficacy endpoint (at least three CSBMs per week) over the week intervals 3–4, 9–12 and 21–24 increased to 50.0%, 38.5% and 32.7%, respectively ($P < 0.01$ for all comparisons with baseline) (Table 2). The proportion dropped as time went by, although the decrease was not significant compared with week interval 3–4.

An average increase of one or more CSBMs per week compared with baseline was achieved in 73.1%, 59.6% and 44.2% of patients over week intervals 3–4, 9–12 and 21–24, respectively (Table 2). Meanwhile, the number of CSBMs and SBMs per week increased from a mean of 0.6 and 2.1 at baseline to 2.8 and 5.0, respectively, at week interval 3–4 ($P < 0.01$ for both comparisons with baseline), then decreased to 1.8 and 3.2 at week interval

Table 2. Bowel habit assessments ($n = 52$)

Endpoint	Run-in period	Follow-up		
		Weeks 3–4	Weeks 9–12	Weeks 21–24
Mean CSBMs/week of ≥ 3	0 (0)	26 (50.0)**	20 (38.5)**	17 (32.7)**
Increase of ≥ 1 CSBM/week	–	38 (73.1)	31 (59.6)	23 (44.2) ^{††}
CSBMs/week	0.6 ± 0.5	$2.8 \pm 1.5^{**}$	$2.4 \pm 1.4^{**††}$	$1.8 \pm 1.6^{**††}$
SBMs/week	2.1 ± 0.7	$5.0 \pm 1.4^{**}$	$4.6 \pm 1.6^{**††}$	$3.2 \pm 1.4^{**††}$
Percent of BMs with normal consistency (score = 3, 4 or 5)	25.4 ± 20.2	$52.1 \pm 19.9^{**}$	$42.0 \pm 21.0^{**††}$	$35.8 \pm 26.3^{**††}$
Percent of BMs with none or mild straining (score = 1 or 2)	19.2 ± 15.6	$40.2 \pm 23.6^{**}$	$34.1 \pm 23.3^{**††}$	$29.6 \pm 25.0^{**††}$
Percent of BMs with none or mild incompleteness (score = 1 or 2)	37.8 ± 30.7	$51.1 \pm 18.1^{**}$	$47.0 \pm 17.5^{**††}$	$44.6 \pm 28.5^{**††}$
Number of days with laxative use or enema/week	1.0 ± 0.4	$0.2 \pm 0.4^{**}$	$0.4 \pm 0.6^{**††}$	$0.6 \pm 0.6^{**††}$

BMs, bowel movements; SBMs, spontaneous bowel movements; CSBMs, complete spontaneous bowel movements. Continuous data are presented as mean \pm standard deviation, while categorical data are presented as number (%). * $P < 0.05$, ** $P < 0.01$ for the comparison with baseline; [†] $P < 0.05$, ^{††} $P < 0.01$ for the comparison with week interval 3–4.

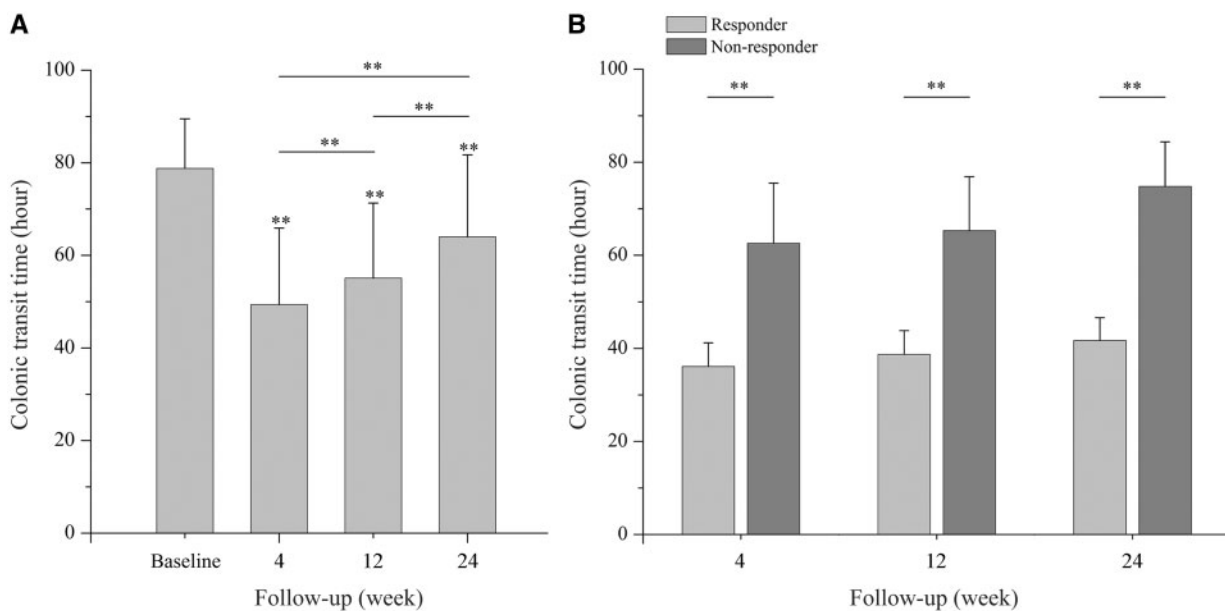


Figure 1. Changes of colonic transit time. (A) Mean colonic transit time in all patients at baseline and weeks 4, 12 and 24. (B) Mean colonic transit time in responders and non-responders at weeks 4, 12 and 24. * $P < 0.05$; ** $P < 0.01$.

21–24, respectively ($P < 0.01$ for both comparisons with week interval 3–4) (Table 2). Similar dynamic changes were observed for other endpoints, which were summarized in Table 2. Over the follow-up, it was noteworthy that all improvements diminished at weeks 12 and 24.

Colonic transit time

Compared with a mean of 78.8 hours at baseline, colonic transit time decreased significantly to 49.4, 55.1 and 64.0 hours at weeks 4, 12 and 24, respectively ($P < 0.01$ for all comparisons) (Figure 1a). However, when compared with week 4, colonic transit time rebounded significantly even at week 12 ($P < 0.01$). Patients were then divided into responders and non-responders according to whether achieving the primary efficacy endpoint at each time point. Mean colonic transit time at weeks 4, 12 and 24 were all significantly shorter in responders than non-responders ($P < 0.01$ for all comparisons) (Figure 1b).

Patient self-assessments

Compared with baseline, the PAC-SYM score and PAC-QOL score decreased significantly at each time point ($P < 0.01$ for all comparisons). The proportion of patients with an improvement of one or more points on the PAC-SYM score reached 55.8%, 46.2% and 36.5% at weeks 4, 12 and 24, respectively, while the proportion for the PAC-QOL score arrived at 59.6%, 48.1% and 38.5%, respectively (Table 3). Although the reduction in all these scores remained significant at week 24 compared with baseline, significant increase of scores was observed compared to week 4. Over 6 months, the proportions of patients satisfied with treatment (treatment satisfaction score = 4 or 5) ranged from 63.5% at week 4 to 40.4% at week 24 (Table 3).

Prognostic factors for responses to FMT

The analyses of clinical factors for responses were performed according to the efficacy evaluated at week 4. Patients were divided into responders ($n = 26$) and non-responders ($n = 26$). In univariate analysis, age, disease duration, CSBMs per week,

Table 3. Patient self-assessments (n = 52)

Score	Baseline	Follow-up		
		Week 4	Week 12	Week 24
PAC-SYM score	2.0 ± 0.2	1.2 ± 0.6**	1.4 ± 0.6***††	1.6 ± 0.6***††
Number of patients with improvement ≥1 PAC-SYM score	–	29 (55.8)	24 (46.2)	19 (36.5)†
PAC-QOL score	2.0 ± 0.2	1.1 ± 0.6**	1.4 ± 0.6***††	1.6 ± 0.6***††
Number of patients with improvement ≥1 PAC-QOL score	–	31 (59.6)	25 (48.1)	20 (38.5)†
Number of patients satisfied with treatment (score = 4 or 5)	–	33 (63.5)	28 (53.8)	21 (40.4)†

PAC-SYM, Patient Assessment of Constipation Symptoms; PAC-QOL, Patient Assessment of Constipation Quality of Life. Continuous data are presented as mean ± standard deviation, while categorical data are presented as number (%). *P < 0.05, **P < 0.01 for the comparison with baseline; †P < 0.05, ††P < 0.01 for the comparison with week 4.

Table 4. Univariate and multivariate analysis for responses to fecal microbiota transplantation

Characteristic	Responders (n = 26)	Non-responders (n = 26)	P-value in univariate analysis	P-value in multivariate analysis
Female	16 (61.5)	18 (69.2)	0.560	–
Age, years	45.2 ± 11.5	52.5 ± 9.1	0.014	0.307
BMI, kg/m ²	22.9 ± 1.0	22.8 ± 1.1	0.537	–
Disease duration, years	8.0 ± 4.0	11.8 ± 9.0	0.058	0.366
CSBMs/week	0.7 ± 0.5	0.4 ± 0.5	0.091	0.910
SBMs/week	2.1 ± 0.7	2.1 ± 0.8	>0.999	–
Percent of BMs with normal consistency	29.8 ± 21.5	20.9 ± 18.2	0.113	–
Percent of BMs with none or mild straining	23.5 ± 14.8	14.8 ± 15.6	0.045	0.291
Percent of BMs with none or mild incompleteness	58.7 ± 25.7	16.9 ± 18.8	<0.001	0.037
Number of days with laxative use or enema/week	0.9 ± 0.4	1.0 ± 0.4	0.254	–
Colonic transit time, hours	78.2 ± 11.1	79.3 ± 10.6	0.702	–
PAC-SYM score	2.0 ± 0.1	2.1 ± 0.2	0.011	0.078
PAC-QOL score	2.0 ± 0.2	2.1 ± 0.2	0.043	0.116

BMs, bowel movements; SBMs, spontaneous bowel movements; CSBMs, complete spontaneous bowel movements; PAC-SYM, Patient Assessment of Constipation Symptoms; PAC-QOL, Patient Assessment of Constipation Quality of Life. Patients achieving the primary efficacy endpoint at week 4 were defined as responders. Continuous data are presented as mean ± standard deviation, while categorical data are presented as number (%).

percent of BMs with none or mild straining, percent of BMs with none or mild incompleteness, PAC-SYM score and PAC-QOL score at baseline had a meaningful contribution to response to FMT (P < 0.1). In multivariate analysis, percent of BMs with none or mild incompleteness at baseline served as the only variable associated with efficacy (P = 0.037) (Table 4).

Safety

No serious adverse events were observed. A detailed description of adverse events was included in Table 5. During days of infusion, the most common complications were borborygmi (19.2%), flatulence (15.4%) and nausea (13.5%). Diarrhea (11.5%) and abdominal pain (7.7%) were also reported. No patients reported fever and intolerant abdominal pain or cramps. During follow-up, flatulence (17.3%) was also the most frequent adverse event. Other adverse events were occasional diarrhea (7.7%), abdominal pain (3.8%), increased bloating (5.8%) and borborygmi (7.7%). Discomfort related to nasoduodenal tube, such as nausea, vomiting and nasopharyngitis, disappeared completely as the tube was removed.

Discussion

This is a prospective study with long-term follow-up to comprehensively evaluate the efficacy and safety of FMT for STC. Compared with our previous study, this study involved more

Table 5. Treatment-related adverse events (n = 52)

Adverse event	On days of infusion	During follow-up
Fever	0 (0)	0 (0)
Diarrhea	6 (11.5)	4 (7.7)
Abdominal pain	4 (7.7)	2 (3.8)
Increased bloating	0 (0)	3 (5.8)
Borborygmi*	10 (19.2)	4 (7.7)
Flatulence	8 (15.4)	9 (17.3)
Nausea	7 (13.5)	0 (0)
Vomiting	1 (1.9)	0 (0)
Nasopharyngitis	4 (7.7)	0 (0)

* No patients with borborygmi reported abdominal cramps. Categorical data were presented as number (%).

patients and we are more concerned about the long-term efficacy of FMT. As a result, we found that FMT increased BMs, decreased colonic transit time, and improved PAC-SYM scores and PAC-QOL scores. However, an obvious diminution of efficacy was also observed. The multivariate analysis showed a lower degree of sensation of incompleteness predicted a better clinical outcome.

Dysmotility is one of the most difficult situations of GI diseases. Gut microbiota acts as a promising target for constipation therapy due to its effects on gut motility [9–11]. Indigenous

bacteria from the gut flora were demonstrated to regulate the metabolism of gut-derived hormones, such as glucagon-like peptide-1 (GLP-1) and serotonin [27,28]. They were also found to drive the crosstalk between muscularis macrophages and enteric neurons that controlled the pattern of smooth muscle contractions and the peristaltic activity of the colon [29]. However, the application of probiotics, prebiotics or synbiotics did not achieve satisfactory efficacy for chronic constipation, with the possible explanation that the traditional probiotics *Lactobacillus* and *Bifidobacteria* might not be the key microbiota for motility regulation [8,14]. The optimal method would be to screen for bacteria species that regulate colonic motility in constipated patients and develop the microflora 'cocktail' treatment. But, before that, the FMT might be an attractive option.

In this study, the proportion of patients achieving the primary efficacy endpoint could reach a maximum value of 50.0% at week interval 3–4, which was better than the result of prucalopride (33.8%) [23]. The primary endpoint of average three or more CSBMs per week was chosen, since it is considered as the low end of the range that defines normal bowel function [23]. It meant that half of the patients got rid of constipation at 4 weeks after FMT. Meanwhile, over two-thirds (73.1%) of the patients had an average increase of one or more CSBMs per week. The improvements were accompanied by the decline in colonic transit time, and a significant difference in colonic transit time between responders and non-responders was observed. These findings served as solid evidence for the hypothesis that the efficacy of FMT on constipation at least partly depended on its effects on colonic motility. The univariate and multivariate analysis of baseline characteristics between responders and non-responders found that the percent of BMs with none or mild incompleteness was the only factor associated with poor clinical outcome, which suggested FMT would be more suitable for patients with a lower degree of sensation of incompleteness.

It is worthwhile to note that, even for responders, an obvious diminution of efficacy was also observed, although definite improvements were still observed until the end of 24-week follow-up. Recently, Vandeputte et al. observed a strong association between stool consistency and gut microbiota richness and composition [30–32], while, Parthasarathy et al. found that the profile of the fecal microbiota, especially genera from *Firmicutes* (*Faecalibacterium*, *Lactococcus* and *Roseburia*) correlated with colonic transit [14]. The diminished efficacy might be due to the decreasing colonization of donor microbiota and predomination of the recipient bacteria over time. A dynamic evaluation of the gut microbiota after FMT may be helpful in verifying this presumption [33].

The main limitation of this study was the lack of a controlled group. This study was a further investigation with larger sample size and longer follow-up based on our previous pilot studies [16,17]. As the long-term effects of microbiota manipulation were still unclear, a 6-month follow-up was elected in this study. According to the available data regarding efficacy of placebo in previous randomized controlled trials (RCTs) [23,24], it would be difficult and inhumane for patients in the placebo group to complete the 6-month follow-up, considering the poor quality of life for constipated patients. A RCT with shorter follow-up is being conducted in our center (NCT02526849), in which the changes of gut microbiota will be also evaluated.

In conclusion, this study showed that FMT was effective and safe for STC. Patients with a lower degree of sensation of incompleteness could achieve a better outcome. However, an obvious diminution of efficacy was also observed, which called for regular supplements, such as frozen or freeze-dried FMT capsules

[34,35]. Larger randomized controlled trials are needed to further assess the benefits and risks of FMT for constipation.

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Conflict of interest statement: none declared.

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