The Augmented R-Loop Is a Unifying Mechanism for Myelodysplastic Syndromes Induced by High-Risk Splicing Factor Mutations

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SUMMARY

Mutations in several general pre-mRNA splicing factors have been linked to myelodysplastic syndromes (MDSs) and solid tumors. These mutations have generally been assumed to cause disease by the resultant splicing defects, but different mutations appear to induce distinct splicing defects, raising the possibility that an alternative common mechanism is involved. Here we report a chain of events triggered by multiple splicing factor mutations, especially high-risk alleles in *SRSF2* and *U2AF1*, including elevated R-loops, replication stress, and activation of the ataxia

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telangiectasia and Rad3-related protein (ATR)-Chk1 pathway. We further demonstrate that enhanced R-loops, opposite to the expectation from gained RNA binding with mutant SRSF2, result from impaired transcription pause release because the mutant protein loses its ability to extract the RNA polymerase II (Pol II) C-terminal domain (CTD) kinase—the positive transcription elongation factor complex (P-TEFb)—from the 7SK complex. Enhanced R-loops are linked to compromised proliferation of bone-marrow-derived blood progenitors, which can be partially rescued by *RNase H* overexpression, suggesting a direct contribution of augmented R-loops to the MDS phenotype.

Graphical abstract

In Brief: Chen et al. report that myelodysplastic syndrome-associated mutations in splicing factors, including *SRSF2* and *U2AF1*, cause cell growth defects through elevated R-loops, replication stress, and ATR-Chk1 activation. Mutant SRSF2 induces transcription pausing and, thus, R-loops, possibly because of its compromised ability in extracting p-TEFb from the 7SK complex at TSSs.
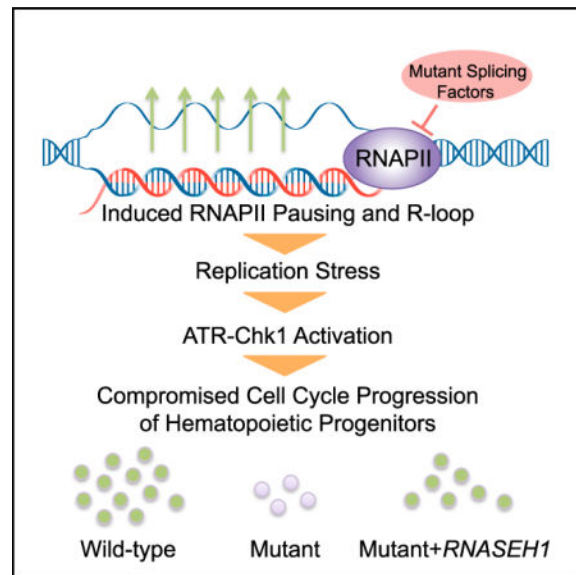
INTRODUCTION

Recent advances in genomic medicine reveal a striking linkage of mutations in multiple general splicing factors (i.e., *SF3B1*, *SRSF2*, *U2AF1*, *U2AF2*, and *ZRSR2*) to myelodysplastic syndromes (MDSs), a pre-leukemia state characterized by inefficient hematopoiesis with the propensity to progress to acute myeloid leukemia (AML) (Dvinge et al., 2016; Pellagatti and Boultwood, 2017; Yoshida et al., 2011). Genetic studies provide strong evidence for several prevalent mutations as driver events in early myeloid progenitor cells. For example, *Srsf2*(P95H) knockin mice exhibit multi-lineage dysplasia (Kim et al., 2015; Kon et al., 2017), transgenic *U2af1*(S34F) mice develop leukopenia (Shirai et al., 2015), and *Sf3b1*(K700E) knockin mice have impaired erythroid maturation (Obeng et al., 2016). Importantly, these splicing factor mutations are not restricted to MDS; they have also been identified in various solid tumors, such as melanoma (Furney et al., 2013; Harbour et

al., 2013; Martin et al., 2013) and bladder (Papaemmanuil et al., 2011), pancreatic (Biankin et al., 2012), and lung (Imielinski et al., 2012) cancer. It is also striking to note that all mutations identified to date are heterozygous and that mutations in different splicing factors are mutually exclusive in MDS patients (Dvinge et al., 2016), which is consistent with the synthetic lethality between different splicing mutants in a murine model (Lee et al., 2016a) and the requirement for a wild-type (WT) copy to support their basic cellular functions (Fei et al., 2016; Lee et al., 2016b; Zhou et al., 2015).

Because all affected splicing factors are involved in 3′ splice site recognition, it has been hypothesized that some commonly affected splicing events may underlie the disease (Dvinge et al., 2016; Yoshida et al., 2011). Indeed, each major splicing factor mutation has been demonstrated to alter a large number of splicing events in cellular and animal models (Kim et al., 2015; Komeno et al., 2015; Kon et al., 2017; Obeng et al., 2016; Shirai et al., 2015; Wang et al., 2016). In particular, disease development has been functionally linked to the inclusion of a "toxic" exon in the *Ezh2* gene in *Srsf2*(P95H) knockin mice (Kim et al., 2015) and a polyadenylation switch event in the autophagy gene *Atg7* caused by *U2af1*(S34F) overexpression on a murine pro-B cell model (Park et al., 2016). Puzzling, however, is the observation that each splicing factor mutation appears to cause a rather unique set of splicing changes in both cellular models and patients (Ilagan et al., 2015; Kim et al., 2015; Qiu et al., 2016; Shirai et al., 2015). Even the same mutation, such as that in *SF3B1*, induced largely non-overlapping splicing changes in mouse versus human cells (Mupo et al., 2017). These findings raise the possibility that a unifying mechanism in addition to or independent of splicing changes might contribute to MDS etiology.

One such common mechanism might be related to increased genome instability in MDS (Zhou et al., 2013), which is consistent with elevated DNA damage observed in *Srsf2*-depleted cells (Xiao et al., 2007), in cells overexpressing *U2af1(S34F)* (Shirai et al., 2015), in patient cells carrying various mutations in *SF3B1* (Te Raa et al., 2015), and in cells associated with telomere dysfunction (Colla et al., 2015). A recent large-scale survey of MDS patients reveals a measurable increase in both mutation frequency and diversity during MDS progression to AML (Makishima et al., 2017). However, because leukemia in general has the lowest mutation rate among all human cancers (Martincorena and Campbell, 2015), what would limit massive somatic mutations during the progression of hematopoietic malignancies? Furthermore, although mutations in splicing factors are mutually exclusive among MDS patients, nearly all major splicing factor mutations exhibit a degree of propensity to co-evolve with mutations in genes that function at chromatin levels, such as *ASXL1*, *IDH1/2*, *RUNX1*, *DNMT3A*, and *TET2* (Makishima et al., 2017). This begs the question of how mutations in splicing factors might synergize with other defects caused by mutations in these pleiotropic genes in MDS pathology.

In the present study, we set out to address some of these pressing questions regarding MDS-linked mutations in splicing factors, paying particular attention to the potential existence of alternative common mechanism(s) for MDS. We here report that multiple high-risk mutations in splicing factors, particularly those in *SRSF2* and *U2AF1*, augment the formation of R-loops resulting from invasion of nascent RNA into template DNA, which triggers exclusive activation of the ataxia telangiectasia and Rad3-related protein (ATR)

pathway. Importantly, we demonstrate that R-loop suppression by overexpressing *RNase H* was able to partially but significantly correct the proliferation defect of hematopoietic progenitors. These findings suggest that MDS may result, at least in part, from chronic insult to the genome.

RESULTS

Cellular Responses to Causal Splicing Factor Mutations

To uncover a potential unified disease mechanism underlying splicing factor mutation-induced MDS, we explored a rapid replacement strategy by expressing individual WT or mutant disease genes from a doxycycline (Dox)-inducible promoter, each of which also carried a short hairpin RNA (shRNA) targeting the corresponding endogenous transcript at the 3′ UTR (Figure 1A). This reductionist approach would enable rapid recording of the mutation-induced phenotype and elucidation of critical molecular events in a common model cell line (i.e., HEK293T cells), which could then be extended to a more blood disease-related cell type (i.e., MDS-derived MDS-L cells) and, ultimately, to hematopoietic progenitor cells from heterozygous knockin mice. In this work, we chose to systematically examine all mutations identified in *SRSF2*, *U2AF1* (also known as *U2AF35*), and *U2AF2* (also known as *U2AF65*). *SF3B1* was not included in the current analysis because of uncertain kinetics in replacing this relatively stable U2 small nuclear ribonucleoprotein particle (snRNP) component with mutant protein in transfected cells. Additionally, contrary to mutations in other splicing factors, *SF3B1* mutations appear to associate with a distinct form of MDS that shows good prognosis of the disease, indicative of (a) different mechanism(s) involved (Dvinge et al., 2016). qRT-PCR confirmed efficient knockdown of each endogenous transcript by using a specific primer set to target the 3′ UTR and, concurrently, modest (2- to 3-fold) overexpression of exogenous *SRSF2* and *U2AF35* and significant (6- to 8-fold) overexpression of exogenous *U2AF65* by using specific primer sets to interrogate their coding exons (Figure S1A). Taking advantage of a hemagglutinin (HA) tag fused to the C terminus of *SRSF2*, we further confirmed the induction of exogenous SRSF2 protein by western blotting with an anti-HA antibody (Figure S1B). In the case of U2AF35, we detected similar protein levels before and after Dox-induced replacement (Figure S1C), and, in contrast, exogenous U2AF65 was clearly overexpressed (Figure S1D).

Time-course Dox induction showed that the expression of individual *SRSF2* and *U2AF35* mutants, but not their WT counterparts, all caused retarded cell growth on HEK293T cells (Figures 1B and 1C; Figures S1E and S1F). In contrast, despite dramatic overexpression, we detected no measurable effect with either WT or mutant *U2AF65* (Figure 1D for M144I; data not shown for R18W and L187V). Corroborating the cell growth phenotype, we detected induced G2/M arrest (Figures S1G and S1H) and a marginal increase in apoptotic signals based on Annexin V staining (Figures S1I and S1J) in cells expressing mutant *SRSF2* and *U2AF35*. None of these cellular responses were apparent in cells expressing mutant *U2AF65* (Figures S1K and S1L). We made essentially the same set of observations with the *SRSF2*(P95H) mutant in MDS-L cells and in primary mouse embryonic fibroblasts (MEFs) (Figures S1M and S1N), indicating that the cellular responses are cell type independent. Together, these data suggest that that all mutations identified in *SRSF2* and

U2AF35 are likely causal to MDS, whereas those in *U2AF65* appear to be neutral to the disease.

Gene-Specific and Allele-Specific Splicing Responses

Taking advantage of all mutations in the same cellular background, we first revisited an important problem with respect to diverse splicing responses induced by different splicing factor mutations (Ilagan et al., 2015; Qiu et al., 2016). We extracted total RNA from individual cell lines and used highly quantitative RNA annealing selection ligation followed by deep sequencing (RASL-seq), which we developed in the lab, to measure a large number (>5,000) of annotated alternative splicing events in humans (Li et al., 2012). We observed, by hierarchical clustering analysis, that all mutations in *SRSF2* and *U2AF35* induced large-scale changes in alternative splicing upon Dox induction (Figure 1E). Remarkably, even two separate mutant alleles in *U2AF35* invoked completely different sets of splicing changes, as reported earlier (Ilagan et al., 2015). We further confirmed these data on a subset of genes by RT-PCR (Figures S2A–S2C). Consistent with the lack of a growth phenotype, the M144I mutation in *U2AF65* did not induce significant changes in alternative splicing even under overexpression conditions (Figure 1E). Mutations in both *SRSF2* and *U2AF35* predominately induced exon skipping (Figure 1F). Importantly, a comparison of the recorded splicing changes showed little overlap between different splicing factor mutations based on induced exon inclusion or skipping events (Figure 1G), demonstrating both gene-specific (*SRSF2* versus *U2AF35*) and allele-specific (between the two alleles in *U2AF35*) splicing responses to the likely causal mutations in *SRSF2* and *U2AF35* in our unified cellular system.

Mutation-Induced Insult to the Genome

Having recapitulated the MDS-associated cellular phenotype but non-uniform changes in alternative splicing, we pursued a potential general mechanism beyond splicing control. In light of documented damage to the genome in response to *Srsf2* knockout or *U2af35*(S34F) overexpression (Shirai et al., 2015; Xiao et al., 2007), we asked whether all causal mutations also insulted the genome by immunostaining cells, each carrying a specific mutation with a pan-DNA damage marker, histone-H2AX phosphorylation on serine 139 (γ-H2AX). Indeed, HEK293T cells expressing representative mutations in *SRSF2* and *U2AF35* all showed elevated γ-H2AX signals upon Dox induction, whereas their WT counterparts lacked such an effect based on quantified results (Figures 2A–2D).

The induced G2/M arrest (Figures S1G and S1H) suggested that such an insult might cause DNA replication stress upon cell entrance into S phase. To directly test this possibility, we performed a DNA fiber assay (Maréchal et al., 2014) to monitor the progression of replication forks, during which replicating DNA was transiently labeled with 5-Iodo-2′-deoxyuridine (IdU) (red) and then with 5-Chloro-2′-deoxyuridine (CIdU) (green) (Figure 2E, top). As shown in a representative image, Dox-induced expression of mutant *SRSF2*(P95H) caused a marked reduction in replicative DNA fiber length (Figure 2E, bottom). Quantitative analysis showed that mutations in *SRSF2* and *U2AF35*, but not their WT counterparts, all imposed DNA replication stress (Figure 2F). These data pointed to a converging cellular response to disease-causing mutations in specific splicing factors.

Selective Activation of the ATR-Chk1 Pathway

DNA damage-coupled replication stress frequently leads to genome instability, which is a common event in human cancers (Gaillard et al., 2015). However, massive DNA damage often induces double-stranded DNA (dsDNA) breaks (DSBs), leading to increased somatic mutations, but leukemia in general has the lowest mutation rate compared with solid tumors (Martincorena and Campbell, 2015). To investigate this conundrum, we characterized key molecular events commonly linked to induced DNA damage; i.e., the activation of the ataxia telangiectasia mutated (ATM) and ATR pathways, which are triggered, respectively, by DSBs and replication stress after cells enter S phase (Cimprich and Cortez, 2008; Gaillard et al., 2015). Indeed, in line with elevated γ-H2AX staining, Dox-induced expression of mutant *SRSF2* and *U2AF35*, but not their WT counterparts or *U2AF65*(M144I), each progressively induced Chk1 phosphorylation at Ser345, a hallmark for activation of the ATR pathway (Figures 2G and 2H; Figure S2D). We made similar observations in MDS-L cells (Figure S2E).

Interestingly, the ATM pathway was not activated under these conditions, as evidenced by the lack of ATM phosphorylation at Ser1981, Chk2 phosphorylation at Thr68, and RPA32 phosphorylation at Ser4/8 (Figures 2I and 2J). We further confirmed the lack of activation of the ATM pathway by immunostaining for phosphorylated ATM and Chk2 using H_2O_2-treated cells as a positive control for the assay (Figures S2F and S2G). These findings suggest that *SRSF2* and *U2AF35* mutants insulted the genome but did not reach a level that causes evident DSBs, which also explains visible cell cycle arrest (Figures S1G and S1H), but limited cell death on *SRSF2* and *U2AF35* mutant cells (Figures S1I and S1J), similar to the general cellular phenotype associated with MDS.

Augmented R-Loop Underlying Persistent Insult to the Genome

What would be the molecular mechanism to account for such a seemly chronic insult to the genome by causal splicing factor mutations? DNA damage often results from excessive R-loop formation (Sollier and Cimprich, 2015), which has been reported in *Srsf1-* and *Srsf2-* ablated cells (Li and Manley, 2005; Xiao et al., 2007) and linked to certain core splicing factors (Tresini et al., 2015). However, it has been demonstrated, in the case of *SRSF2*, that the mutation caused a gain of function in binding to CCNG motifs (Kim et al., 2015; Zhang et al., 2015). Because increased RNA binding is thought to inhibit R-loops by preventing nascent RNA from annealing back to template DNA (Aguilera and García-Muse, 2012), such a gain-of-RNA binding mutation in *SRSF2* would be expected to repress, rather than enhance, R-loops.

To investigate this puzzle, we took advantage of a strategy recently developed in our lab (called R-ChIP, illustrated in Figure 3A) to map R-loops genome-wide by using the catalytically inactive RNase H1, which dramatically increases the resolution relative to that achieved with the existing strategies based on the use of the S9.6 antibody to recognize RNA:DNA hybrids (Chen et al., 2017; Ginno et al., 2012). In HEK293T cells, R-ChIP captured R-loops with the expected strand specificity that predominantly occurred at gene promoters containing highly G/C-skewed sequences, as characterized earlier (Figure S3A; Chen et al., 2017; Ginno et al., 2012, 2013). Because Dox induced both the mRNA and

protein of exogenous genes at 5 hr (Figures S3B and S3C), we chose this early time point to apply R-ChIP to cells carrying *SRSF2*(P95H), *U2AF35* (Q157P), and *U2AF35*(S34F) mutations to minimize potential indirect effects (Figure S3D). High global concordance demonstrated the reproducibility of duplicated R-ChIP libraries generated under individual experimental conditions (Figure S3E).

As illustrated by specific gene examples (Figure 3B; Figure S3F) and by plotting the histograms of fold changes (FCs) in R-loop signals before and after Dox treatment genome-wide (Figure 3C, top), we found that overexpression of WT *SRSF2* suppressed R-loops but its mutant enhanced R-loops instead of further suppressing them, as it might be expected from its gained RNA binding activity. We made similar observations with WT and mutant *U2AF35* (Figure 3C, center and bottom). Note that the S34F allele was relatively weak but still significant in R-loop induction, which is in concordance with a recent report based on immunostaining of R-loops with the S9.6 antibody in cells expressing *U2AF35*(S34F) (Nguyen et al., 2017). Importantly, the induction of R-loops in mutant cells took place ahead of an evident DNA damage response and Chk1 activation (Figure S3G), consistent with the fact that excessive R-loops trigger DNA damage when cells enter S phase (Stork et al., 2016), providing a plausible functional link between induced R-loops and observed replication stress and activation of the ATR pathway.

Regional Specific Effects of Individual Splicing Factor Mutations in Augmenting R-Loops

To further characterize genomic loci that may be differentially influenced by different splicing factor mutations, we next determined the net gain or loss of R-loops in promoter versus non-promoter regions. We found that, although both WT *SRSF2* and *U2AF35* suppressed R-loops in general, *SRSF2*(P95H) predominantly enhanced R-loops at transcription start sites (TSSs) (Figure 3D, top). Interestingly, *U2AF35*(Q157P) enhanced R-loops in both promoter and non-promoter regions, and *U2AF35*(S34F) had a similar but much weaker effect (Figure 3D, center and bottom). A comparison of induced R-loops in three mutant cells showed limited overlap (Figure S3H), suggesting that different mutations may preferentially induce R-loops in different sets of genes. Alternatively, such a limited overlap might be due to the highly dynamic nature of R-loop formation and resolution (Chen et al., 2017), of which we were only able to capture a snapshot in each profiling experiment.

Somewhat unexpected, however, was that only a small fraction (~10%) of altered R-loops (either suppressed or enhanced by each mutant) was detected within the gene body and that an even smaller number (0.6%–0.9% of the total) of such altered R-loops were associated with splice sites (Figures S4A and S4B), suggesting that R-loops are not in general linked to pre-mRNA splicing. Of note, R-loops were not significantly altered near induced splicing events detected with RASL-seq (Figure S4C), suggesting that induced R-loops were not a functional consequence of altered splicing in mutant cells. Although it remains unclear how mutant *U2AF35* augmented R-loops in various locations in the genome, mutant *SRSF2* has been previously shown to alter RNA binding preference (i.e., enhanced binding on CCNG motifs but unaltered binding on GGNG motifs) (Kim et al., 2015; Zhang et al., 2015). We therefore further examined *SRSF2*(P95H)-induced R-loops in relationship with their association with CCNG versus GGNG motifs. We segregated R-loops into four groups

according to different CCNG or GGNG contents within each R-loop region and analyzed the distribution of R-loop FCs in cells expressing WT or mutant *SRSF2*(P95H). Intriguingly, although WT *SRSF2* caused progressive suppression of R-loops according to increasing CCNG and GGNG contents, mutant *SRSF2*(P95H) showed the opposite trend, but we did not see any major difference between CCNG and GGNG groups (Figure S4D). These results re-enforce the idea that the altered RNA binding preference of the mutant SRSF2 is insufficient to account for the induction of R-loops.

Elevated R-Loop Linked to an Increased Pol II Traveling Ratio at TSSs

We previously showed that SRSF2 has a splicing-independent role in transcription pause release by mediating translocation of the C-terminal domain (CTD) kinase—the positive transcription elongation factor complex (P-TEFb)—from the 7SK complex to nascent RNA-associated RNA polymerase II (Pol II) complex (Ji et al., 2013). To determine whether *SRSF2*(P95H) might affect transcription, we performed global run-on followed by deep sequencing (GRO-seq) upon induction of WT or mutant *SRSF2*. For comparison, we also analyzed the WT and *U2AF35*(Q157P and S34F). Duplicated GRO-seq libraries showed high reproducibility with each construct (Figure S5A). As exemplified by three representative genes, *SRSF2*(P95H)-induced R-loops (or lack thereof) that generally tracked induced Pol II pausing (Figure 4A), which was also evident from global analysis, showed an increase in the Pol II traveling ratio (TR; the averaged Pol II density at −30 to +300 TSS regions divided by that in the gene body) (Figure 4B) and coordinated changes in both TRs and R-loops (Figure 4C; Figure S5B). We made a similar observation with *U2AF35*(Q157P) but detected little difference with *U2AF35*(S34F) (Figure S5C), consistent with its relatively weak effects in R-loop induction (Figure 3D, bottom). Thus, this observation also implies a role of the U2AF heterodimer at gene promoters, as suggested earlier (Sims et al., 2007), although we currently have few clues regarding how U2AF might modulate transcription, which requires investigation in the future.

To determine whether mutant SRSF2 compromises P-TEFb translocation from the 7SK complex to transcriptionally engaged Pol II at TSSs, we performed chromatin immunoprecipitation (ChIP)-qPCR analysis of CDK9, the kinase component of P-TEFb, at the TSS region of the same genes analyzed by R-ChIP and GRO-seq (Figure 4A) and detected reduced CDK9 binding only in cells expressing the mutant (Figure 4D). In line with reduced CDK9 binding, we also detected reduced Pol II CTD phosphorylation at Ser2 positions (Figure 4E) and, consequently, reduced expression of these gene transcripts at least 3 days after Dox treatment (Figure S5D). To corroborate these results, we performed a reporter-based transcription activation assay, as described previously (Ji et al., 2013). In this assay, the herpes simplex virus (HSV) promoter was used to drive the expression of either an empty luciferase reporter or the reporters carrying either two copies of an SRSF2-responsive exonic splicing enhancer (ESE) or the complementary sequence of such an ESE (cESE) as a control (Figure S5E). We found that WT SRSF2 was able to significantly enhance transcription of this reporter system in an ESE-dependent manner and that the mutant protein partially lost such function (Figure 4F), revealing a potential functional defect of the mutant at the level of transcription.

Lost Ability of the Mutant SRSF2 to Extract P-TEFb from the 7SK Complex

We previously reported that the function of SRSF2 in transcription activation is due to its ability to "extract" P-TEFb from the inhibitory 7SK complex assembled near TSS regions (Ji et al., 2013). Similarly, the RNA helicase DDX21 has been shown to extract P-TEFb from the 7SK complex with purified protein (Calo et al., 2015). These findings suggest that various soluble factors are involved in releasing P-TEFb from the 7SK complex to modulate Pol II pause release at TSSs. To determine whether WT and mutant SRSF2 have differential ability in extracting P-TEFb from the 7SK complex, we immunoprecipitated the endogenous 7SK complex from HEK293T cells with an antibody against HEXIM1, a constitutive component of the complex, and then incubated the isolated complex with increasing amounts of HA-tagged WT or mutant SRSF2 proteins affinity-purified from HEK293T cells. We found that WT SRSF2 was able to efficiently release CDK9 from the 7SK complex as expected but that the mutant protein lost such activity (Figure 4G; Figure S5F). Coupled with the increased Pol II TR from global analysis and a compromised ability to activate transcription from the reporter-based assay, these data strongly suggest that impaired transcription pause release at TSSs accounts for the effect of mutant SRSF2 in enhancing the formation of R-loops.

Suppression of R-Loops to "Rescue" Splicing Factor Mutation-Induced Cellular Defects

Having elucidated the functional consequences caused by mutant splicing factors and the plausible underlying mechanism, we next addressed whether the observed cellular defects result, at least in part, from induced R-loops. We further engineered HEK293T cells to constitutively express *RNASEH1* (Figure S6A) to resolve R-loops and found that it indeed partially rescued the cell growth defect caused by *SRSF2*(P95H) (Figure 5A) and *U2AF35* (Q157P and Q157R) (Figure 5B; Figure S6B). We did not observe significant repression of the growth defect caused by mutant *U2AF35* (S34F and S34Y) (Figures S6C and S6D), reminiscent of their weak effects on R-loop induction (Figure 3D, bottom). Corroborating R-loop suppression, we also detected reduced γ-H2AX foci (Figures 5C and 5D) and suppression of the ATR pathway (Figures 5E and 5F) in response to *RNASEH1* overexpression in *SRSF2*(P95H)- and *U2AF35*(Q157P)-expressing cells.

Biological Relevance of Induced R-Loops to Blood Disorders

We finally wished to link R-loop induction to blood disorders to provide the biological significance of the molecular mechanisms elucidated in both standard (i.e., HEK293T) and more MDS-relevant (i.e., MDS-L) cellular models. We first showed suppression of both cell growth defects (Figure 6A) and γ-H2AX signals (Figure 6B) in *SRSF2*(P95H) mutant MDS-L cells by overexpressing *RNASEH1*. These data demonstrated that *RNASEH1* overexpression suppressed all key aspects of DNA damage-associated events induced by *SRSF2*(P95H) and *U2AF35*(Q157P), although it is abundantly clear that induced R-loops may not be the only cause for the observed cellular defects.

We next examined the induction of R-loops and associated functional consequences in more disease-related cells that carry a heterozygous MDS-associated mutation. To achieve this, we took advantage of the existing heterozygous *Mx1-Cre Srsf2*(P95H) knockin mice, which have been demonstrated to recapitulate the MDS phenotype (Kim et al., 2015; Lee et al.,

2016b), and induced expression of the knockin mutant allele following intraperitoneal injection of polyinosinic:polyctidylic acid (poly(I:C)). Using isolated early blood progenitor cells (c-Kit+) from the bone marrow of these mice, we confirmed elevated R-loops by immunostaining with the S9.6 antibody (Figure 6C) and increased DNA damage response by γ-H2AX staining (Figure 6D). We then infected the isolated cells with a retrovirus expressing V5-tagged *RNASEH1* (Figure S6E) and performed a replating assay to evaluate the hematopoietic competence of early progenitor cells. Consistent with a previous report (Kim et al., 2015), mutant *Srsf2*(P95H) impaired the proliferation of isolated early progenitor cells (Figures 6E and 6F). Importantly, *RNASEH1* overexpression, although it had no effect on WT cells, partially rescued the proliferation defect of these *Srsf2*(P95H) mutant progenitor cells (Figures 6E and 6F; Figure S6F). These findings re-enforce the idea that augmented R-loops may directly contribute to compromised hematopoietic competence in MDS induced by various high-risk mutations in splicing factors.

DISCUSSION

Is MDS a Disease of Splicing or Transcription or Both?

MDS is a highly heterogeneous disease that causes dysplasia of hematopoietic progenitor cells, implying that multiple mechanisms may underlie the disease etiology and/or progression. A major advance in recent years is the identification of prevalent mutations in several "general" splicing factors, leading to research focusing on altered splicing induced by individual splicing factor mutations as potential causes for the disease. Indeed, it has been shown that the inclusion of a toxic exon in the *Ezh2* transcript in SRSF2(P95H) knockin mice causes down-regulation of the EZH2 protein via nonsense-mediated mRNA decay, and overexpression of EZH2 was able to restore the proliferative potential of hematopoietic progenitors (Kim et al., 2015). However, each splicing factor mutation appears to affect a largely distinct set of splicing, as we now further confirmed in the same cellular background, raising the question of whether and how multiple independent splicing changes all cause a similar disease phenotype.

Our current work reveals that mutations in splicing factors may contribute to MDS via induced R-loop formation. Importantly, mutations in *SRSF2* and *U2AF35* induce R-loops at gene promoters, which is functionally linked to defects in transcriptional pause release. We further show that the mutant SRSF2 causes such an effect, at least in part, because of its lost ability to extract CDK9 from the 7SK complex. However, it remains unclear how U2AF35 directly participates in transcription control. We also show that mutations in *U2AF35* have additional effects by inducing R-loops across the genome, but, unexpectedly, we did not observe any link of induced R-loops within the gene body to splice sites either in general or associated with altered splicing events we detected. Thus, the precise mechanism(s) for *U2AF35* mutation-induced events in both promoter and non-promoter regions remain(s) elusive. In any case, given the elucidated roles of both *SRSF2* and *U2AF35* in transcription, it is also worth noting that EZH2 is a well-established transcription factor and that TET2, a key enzyme involved in DNA demethylation (Hasegawa et al., 2017), may modify the chromatin to affect transcription. This has led to the speculation that MDS may be a disease of both splicing and transcription and that multiple mechanisms may cooperate with one

another to account for complex biological consequences (Cimmino et al., 2017; Dvinge et al., 2016).

Why Do Splicing Factor Mutations Predominantly Cause MDS?

Mutations in a number of general splicing factors are prevalently identified in MDS, a pre-leukemic state, which begs the question of such selective tissue-specificity. It is possible that those heterozygous mutations cause mild functional defects that may be vulnerable only to hematopoietic stem cells but not sufficiently transformative unless combined with other cell oncogenic events (Park et al., 2016). This may account for the contribution of certain splicing factor mutations to the development of certain solid tumors.

Our data suggest a more general mechanism for compromised hematopoietic competence, which is the activation of the ATR pathway that leads to cell cycle arrest, which echoes the observation that the ATR pathway is selectively activated in high-risk MDS patients and that the ATR inhibitor, but not the ATM inhibitor, improves the differentiation of telomere dysfunctional common myeloid progenitor cells (Colla et al., 2015). Therefore, although various observations have pointed to the association of genome instability with MDS (Colla et al., 2015; Makishima et al., 2017; Nguyen et al., 2017; Zhou et al., 2013), our data unveil a potential mechanism for increased but limited genome instability because of augmented R-loop formation. The activation of the ATR, but not ATM, pathway may explain the dysplastic phenotype with increased but still limited elevation of somatic mutations in MDS patients. Interestingly, we observed impaired transcription of two genes, *CLSPN* and *PMS2*, both of which have been implicated in DNA damage repair (Chen et al., 2005; Chini and Chen, 2004), suggesting that additional synergistic events may contribute to the induced DNA damage. In any case, the preferred activation of the ATR pathway by splicing factor mutation is in contrast to many cases in solid tumors where more severe genome instability because of DSBs leads to the activation of both the ATR and ATM pathways, which may accelerate the accumulation of additional oncogenic mutations (Awasthi et al., 2015; Cimprich and Cortez, 2008).

Potential Synergy between Mutations in Different Genes

It has been well established that mutations in splicing factors, especially those in *SRSF2*, *U2AF35*, and *SF3B1*, are mutually exclusive in MDS patients (Dvinge et al., 2016; Makishima et al., 2017; Pellagatti and Boultwood, 2017). Given distinct splicing responses, this has brought up the appealing hypothesis that these mutations may affect distinct molecular defects, but in some converging biological pathways, as we recently observed via analysis of splicing signatures in MDS patients (Qiu et al., 2016), which is in line with the synergistic effects of mutations in *SRSF2* and *SF3B1* in the mouse (Lee et al., 2016a). We now provide another potential converging mechanism, which is the induction of R-loops. Such converging mechanisms might create synergy when two causal mutations co-exist in the same cell, giving rise to mounting stress to the genome and, thus, a more severe disease phenotype.

Despite the exclusivity of mutations in essential splicing factors, other mutations are known to co-exist in MDS patients that likely represent various synergistic events. For example,

mutations in the *de novo* DNA methyltransferase gene *DNMT3*, which frequently co-exist with splicing factor mutations, may reflect its role in causing cryptic transcription because of failed DNA methylation (Neri et al., 2017). This may enhance R-loops in various genic and intragenic regions in the genome in collaboration with mutations in splicing factors. Interestingly, multiple mutations in genes involved in the DNA demethylation pathway have also been found to co-evolve with splicing factor mutations, such as those in *TET2* and *IDH1/2* (Makishima et al., 2017). These mutations may cause transcriptional repression because of increased DNA methylation in promoter-associated CpG islands (Hasegawa et al., 2017), synergizing with mutations in splicing factors to exacerbate transcription.

Last but not least, because a significant fraction (~30%) of MDS patients ultimately transit to highly proliferative AML, what might be the molecular basis for such a transition? Despite increased mutations, it is less likely that the transition to AML results from the accumulation of a large number of somatic oncogenic mutations that commonly occur in solid tumors. Instead, altered transcription and splicing programs may initially compromise cell fitness in general, generating constant pressure for affected cells to develop and accumulate compensatory changes that may ultimately become oncogenic. In this regard, augmented R-loop formation may represent a form of such selection pressure in diseased cells. To compensate for the activation of a cell cycle checkpoint, MDS cells may evolve various mechanisms to overcome such a checkpoint to become proliferative, which may not be necessarily accomplished through the acquisition of new mutations in the genome. This points to a future effort in identifying genes whose altered expression may bypass R-loop-induced checkpoints.

STAR★METHODS

Detailed methods are provided in the online version of this paper and include the following:

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Antibodies		
Mouse monoclonal anti-HA	Thermo Fisher	26183, RRID: AB_10978021
Rabbit polyclonal anti-U2AF35	Bethyl	A302-079A, RRID: AB_1604295
Mouse monoclonal anti-U2AF65	Sigma-Aldrich	U4758, RRID: AB_262122
Mouse monoclonal anti-β-Actin	Sigma-Aldrich	A2228, RRID: AB_476697
Mouse monoclonal anti-γ-H2AX	EMD Millipore	05-636, RRID: AB_309864
Rabbit polyclonal anti-H2AX	Bethyl	A300-083A, RRID: AB_203289
Rabbit polyclonal anti-phospho-Chk1 (Ser345)	Cell Signaling	2348, RRID: AB_331212
Rabbit polyclonal anti-Chk1	Bethyl	A300-298A, RRID: AB_309459
Mouse monoclonal anti-phospho-ATM (Ser1981)	Rockland	200-301-400, RRID: AB_217868
Rabbit polyclonal anti-ATM	Bethyl	A300-299A, RRID: AB_263415

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Rabbit polyclonal anti-phospho-Chk2 (Thr68)	Cell Signaling	2661, RRID: AB_331479
Rabbit polyclonal anti-Chk2	Bethyl	A300-620A, RRID: AB_513590
Rabbit polyclonal anti-phospho-RPA32 (Ser4/Ser8)	Bethyl	A300-245A, RRID: AB_210547
Rabbit polyclonal anti-RPA32	Bethyl	A300-244A, RRID: AB_185548
Rabbit polyclonal anti-V5	Santa Cruz	sc-83849-R, RRID: AB_2019669
Rabbit polyclonal anti-CDK9	Cell Signaling	2316, RRID: AB_2291505
Mouse monoclonal anti-BrdU	BD	BDB555627
Rat monoclonal anti-BrdU	Thermo Fisher	MA1-82718, RRID: AB_927213
Rabbit polyclonal anti-HEXIM1 (for IP)	Bethyl	A303-111A, RRID: AB_10893099
Rabbit polyclonal anti-HEXIM1 (for WB)	Bethyl	A303-113A, RRID: AB_10892626
Rabbit polyclonal anti-phospho-RNA polymerase II CTD repeat (Ser2)	Abcam	ab5095, RRID: AB_304749
Mouse monoclonal S9.6 antibody	Kerafast	ENH001, RRID: AB_2687463
Chemicals, Peptides, and Recombinant Proteins		
SIGMAFAST Protease Inhibitor Cocktail Tablet	Sigma-Aldrich	S8830-2TAB
Glycogen	Thermo Fisher	FERR0561
Formaldehyde	Sigma-Aldrich	252549-100ML
Pierce Anti-HA Magnetic Beads	Thermo Fisher	88836
Protein A/G Magnetic Beads	Thermo Fisher	PI88802
Proteinase K	NEB	P8107S
RiboLock RNase Inhibitor	Thermo Fisher	FEREO0382
RNase A	Thermo Fisher	EN0531
Phi29 DNA Polymerase	NEB	M0269S
Klenow Fragment (3′-5′ exo-)	NEB	M0212S
T4 DNA Ligase	NEB	M0202S
FastStart Universal SYBR Green Master (Rox) 2X	Roche	4913850001
BrdU	Sigma-Aldrich	B7166-5MG
RQ1 RNase-Free DNase	Promega	M6101
Antarctic Phosphatase	NEB	M0289S
BrdU Antibody Conjugated Agarose Beads	Santa Cruz	sc-32323 AC
T4 Polynucleotide Kinase	NEB	M0201S
E. coli Poly (A) Polymerase	NEB	M0276S
SuperScript III First-Strand Synthesis System	Thermo Fisher	18080051
Exonuclease I	NEB	M0293S
Circligase II	Epicentre	CL9021K

REAGENT or RESOURCE	SOURCE	IDENTIFIER
APE1	NEB	M0282S
Phusion High-Fidelity DNA Polymerase	NEB	M0530S
SYBR Gold Nucleic Acid Gel Stain	Thermo Fisher	S11494
IdU	ACROS Organics	AC122350010
CIdU	Sigma-Aldrich	C6891-100MG
IL-3	PeproTech	200-03
Anti-mouse CD117 MicroBeads	Miltenyi Biotech	130-091-224
Fluoromount-G Mounting Medium	Southern Biotech	0100-01
DAPI	Thermo Fisher	D1306
HA Peptide	GenScript	RP11735-1
Critical Commercial Assays		
FITC Annexin V Apoptosis Detection Kit I	BD	556547
PureLink PCR Micro Kit	Thermo Fisher	K310050
PureLink Quick Gel Extraction Kit	Thermo Fisher	K210012
Deposited Data		
Sequencing data of R-ChIP experiments	This study	GSE97183
Sequencing data of GRO-seq experiments	This study	GSE97183
Experimental Models: Cell Lines		
Human: HEK293T cells	Laboratory of Steve Dowdy	N/A
Human: MDS-L cells	Laboratory of Daniel Starczynowski	N/A
Mouse: MEFs	This study	N/A
Oligonucleotides		
Primers for qRT-PCR and ChIP-qPCR experiments	This study	See Table S1
Software and Algorithms		
ImageJ	N/A	https://imagej.nih.gov/ij/download.html
Bowtie2	(Langmead and Salzberg, 2012)	http://bowtie-bio.sourceforge.net/bowtie2/index.shtml
Samtools	(Li et al., 2009)	http://samtools.sourceforge.net/
Bedtools	(Quinlan and Hall, 2010)	http://bedtools.readthedocs.io/en/latest/
R	N/A	https://www.r-project.org/
MACS2	(Feng et al., 2012)	https://github.com/taoliu/MACS
Step One Software v2.3	Thermo Fisher	https://www.thermofisher.com/us/en/home/technical-resources/software-downloads/StepOne

CONTACT FOR REAGENT AND RESOURCE SHARING

Please direct any requests for further information and resources to the Lead Contact, Xiang-Dong Fu (xdfu@ucsd.edu), Department of Cellular and Molecular Medicine, University of California, San Diego.

EXPERIMENTAL MODEL AND SUBJECT DETAILS

Cell Lines and Cell Culture Conditions—HEK293T cells were from a common laboratory stock (gift of Dr. Steve Dowdy's lab). MDS-L cells were from Dr. Daniel Starczynowski's lab. Mouse Embryonic Fibroblasts (MEFs) were isolated from E14.5 C57BL/6 mouse embryos. HEK293T cells were grown in DMEM supplemented with 10% FBS and 1X penicillin-streptomycin. MDS-L cells were grown in RPMI1640 with 10% FBS, 10 ng/ml recombinant human IL-3 (PeproTech, 200-03) and 1X penicillin-streptomycin. MEFs were grown in DMEM with 10% FBS and 1X penicillin-streptomycin.

Antibodies—Antibody recognizing the HA tag (26183) was purchased from Thermo Fisher; Antibodies for U2AF35 (A302-079A), H2AX (A300-083A), Chk1 (A300-298A), ATM (A300-299A), Chk2 (A300-620A), phospho-RPA32(Ser4/Ser8) (A300-245A), RPA32 (A300-244A) and HEXIM1 (A303-111A for IP, A303-113A for WB) were purchased from Bethyl; Antibodies for U2AF65 (U4758) and β-Actin (A2228) were from Sigma-Aldrich; Antibodies for phospho-Chk1(Ser345) (2348), phospho-Chk2(Thr68) (2661) and CDK9 (2316) were from Cell Signaling; Antibodies for phospho-ATM(Ser1981) (200-301-400) were from Rockland, γ-H2AX (05-636) from EMD Millipore and the V5 tag (sc-83849-R) from Santa Cruz. Antibody for phospho-RNA polymerase II CTD repeat (Ser2) (ab5095) was from Abcam and S9.6 antibody (ENH001) from Kerafast.

METHOD DETAILS

Vector Construction, Viral Infection and Transfection—The pTRIPZ vector was a generous gift of Dr. Gregory Hannon (Paddison et al., 2004). To construct inducible pTRIPZ-overexpression/knockdown vectors for *SRSF2*, *U2AF35* and *U2AF65*, the coding region (CDS) of *SRSF2*, *U2AF35* or *U2AF65* was PCR amplified from cDNA generated from HEK293T cells and cloned into pTRIPZ vector in replacement of the RFP gene in the original vector. A HA tag sequence was added to the 3′ end of *SRSF2* CDS sequence. The mir-30-styled miRNA sequence containing a specific shRNA sequence targeting the 3′ UTR region of *SRSF2* (5′-ATATCGGCAAGCAGTGTAAACG-3′), *U2AF35* (5′-CGAAAGTGTTGTAGTTGATTGA-3′) or *U2AF65* (5′-AGGTAGGAACATAGCGTGTTTA-3′) was each synthesized and inserted into the pTRIPZ vector after the CDS region. Site-directed mutagenesis was performed to generate the P95H, P95L, P95R and aa 95-102 deletion (D8aa) alleles for *SRSF2*; S34F, S34Y, Q157P and Q157R alleles for *U2AF35*; and R18W, M144I and L187V for *U2AF65*. These vectors were transfected into HEK293T cells with the packaging vector pCMV-VSV-G (Addgene plasmid #8454) and pCMV-dR8.2-dvpr (Addgene plasmid #8455) and viral supernatant was collected 3 days later. To generate stable cell lines, cells were infected with lentiviral supernatant and puromycin selection (2 μg/ml) was performed 2 days after infection. MEFs

expressing WT or mutant *Srsf2* gene were constructed as previously described (Ji et al., 2013)

Human *RNASEH1* CDS sequence tagged with the nuclear localization signal (NLS) at N terminus and V5 sequence at C terminus was cloned into the ppyCAG expression vector, which was kindly provided by Dr. Juan Calos Izpisua Belmonte. Site-directed mutagenesis was performed to generate the D210N mutant form of *RNASEH1*, for which RNA/DNA hybrid cleavage activity is inactivated (Nowotny et al., 2007). To generate stable *RNASEH1-V5* overexpressing cell lines, cells were transfected with the ppyCAG-*RNASEH1-V5* vector followed by hygromycin selection (200 μg/ml) 2 days later.

To overexpress RNASEH1 in MDS-L and mouse cells, C-terminally V5 tagged human full length *RNASEH1* was cloned into the EcoRI and XhoI sites of the MSCV-IRES-GFP (MigRI) vector. Retrovirus production and infection procedure were performed as previously described (Komeno et al., 2014).

Primers used were listed in Table S1.

Analyses of Cell Cycle and Apoptosis—For cell cycle analysis, cells were washed with cold PBS and collected for fixation with 80% ice-cold ethanol for 30 min on ice. Fixed cells were re-suspended in freshly made DAPI/TX-100 solution [0.1% Triton X-100 and 1 μg/ml DAPI (Thermo Fisher) in PBS] with 100 μg/ml RNase A and incubated for 30 min at room temperature before analysis by flow cytometry. Apoptosis analysis was performed using FITC Annexin V Apoptosis Detection Kit I from BD PharMingen™ (556547) according to manufacturer's instruction.

Immunofluorescence—Detection of R-loops by immunofluorescence using S9.6 antibody was performed according a previously described protocol (Nguyen et al., 2017). Briefly, isolated mouse bone marrow c-Kit+ cells were suspended in the pre-warmed 75 mM KCl solution in a drop-wise manner and incubated for 12 min at 37°C. Several drops of freshly made, ice-cold fixative solution [methanol:acetic acid(3:1)] were added to cells, followed by centrifugation (800 rpm, 5 min, 4°C). The supernatant was aspirated down to 300 μl. Cells were resuspended in 5 mL of fixative solution in a drop-wise manner followed by incubation for 20 min on ice. Cells were spun down and then washed once with fixative solution. The supernatant was aspirated down to 20-30 μl. Fixed cells were resuspended by pipetting and spotted onto slides, which were then incubated for 30 min at 65°C. For blocking, slides were incubated with blocking buffer (1X PBS, 0.2% Triton X-100, 5% BSA) for 1 hr at room temperature, followed by incubation with S9.6 antibody overnight at 4°C. On the next day, slides were washed three times with wash buffer (1X PBS, 0.1% Triton X-100) and then incubated with fluorescence-conjugated secondary antibody (Alexa Fluor 488 Donkey anti-Mouse IgG (H+L), 1:500 dilution) for 1hr at room temperature. Cells were then washed three times with 1X PBS and stained with DAPI for 30 min and mounted with Fluoromount-G (SouthernBiotech) for imaging under an Olympus FV1000 confocal microscope.

For γ-H2AX staining, HEK293T cells cultured on coverslips were fixed with 4% paraformaldehyde for 20 min and then incubated with 0.3% Triton X-100 in PBS for 30 min, both at room temperature. After washing with PBS, cells were then incubated with blocking buffer (10% BSA and 0.03% Trixon X-100 in PBS) for 1 hr at room temperature followed by incubation with primary antibody for γ-H2AX (1:500 dilution) overnight at 4°C. After washing with wash buffer (0.03% Trixon X-100 in PBS), cells were incubated with the fluorescence-conjugated secondary antibody (Alexa Fluor 594 Goat anti-Mouse IgG (H+L), 1:500 dilution) diluted with wash buffer for 1 hr at room temperature. Cells were then washed three times and stained with DAPI for 30 min and mounted with Fluoromount-G for imaging.

Western Blotting—Western blotting was performed following standard protocol. Briefly, proteins resolved in SDS-PAGE gels were transferred to the nitrocellulose membrane. Blocking [1 hr with 5% nonfat milk in TBST buffer (50 mM Tris-HCl, 150 mM NaCl and 0.05% Tween 20, pH 7.6)], incubation with primary antibody (overnight at 4°C) and HRP-conjugated secondary antibody (1 hr at room temperature) were then performed. After washing with TBST, ECL substrate (Thermo Fisher) was applied to the membrane for imaging by autoradiography.

DNA Fiber Analysis—The DNA fiber analysis was performed following the previous report with modifications (Maréchal et al., 2014). Briefly, cells were sequentially pulse-labeled with 25 μM IdU and then 250 μM CIdU, each for 20 min. 2 μL of cell suspension was spotted onto a cleaned glass slide and lysed with 7 μL of lysis buffer (50 mM EDTA, 0.5% SDS and 200 mM Tris-HCl pH 7.5). Slides were tilted to allow DNA to run slowly down the slide, followed by air-drying and fixation in methanol/acetic acid (3:1) for 10 min. The DNA spread was denatured in 2.5 M HCl for 80 min and then blocked with 5% BSA in PBS for 20 min. Mouse anti-BrdU antibody, which targets IdU, and rat anti-BrdU antibody, which targets CIdU, were diluted in blocking solution and applied to the slides followed by incubation in a humidified chamber for 2 hr. After washing with PBS, secondary fluorescence-conjugated antibodies were applied for 1 hr at room temperature in the dark. The slides were washed and then mounted with Fluoromount-G. DNA fibers were examined under an Olympus FV1000 confocal microscope. Pictures were taken from randomly selected fields and analyzed using the ImageJ software package.

RASL-seq Profiling, Data Analysis and RT-PCR—RASL-seq is designed to profile mRNA isoforms (~5,000 events) using pooled pairs of oligonucleotide probes that target specific splice junctions of each transcript from a selected set of genes and are flanked by a universal primer, as previously reported (Li et al., 2012). Upon annealing to total RNA followed by mRNA capture using biotinylated oligo-dT and streptavidin-coated magnetic beads, paired DNA probes correctly annealed to their complementary RNA were ligated by T4 DNA ligase. Such ligated singleton probes were then indexed by a limited PCR amplification using a set of bar-coded primers carrying a common primer. The indexed PCR products are pooled, purified and quantified by deep sequencing.

Raw counts of individual isoforms are listed in Tables S2, S3, and S4. The processed ratios of isoforms for all alternative splicing events were listed in Table S5. Splicing events with

low counts (< 5) in control samples were excluded. To minimize the batch effect, the exclusion-to-inclusion ratio of each splicing event in each sample (R_i) was calculated:

$$R_i = \frac{S + 5 * R_{ei}}{L + 5}$$

where, S is the read number supporting the exclusion splicing, L is the read number supporting the inclusion splicing, R_{ei} is the expected exclusion-to-inclusion ratio for each pair of splicing events, which is determined as the ratio of summed read count of exclusion to that of inclusion for each splicing event in all control samples. Student's t test was used to examine whether there is a significant change between control samples and mutants, with a cutoff of two-tailed p value   0.05 and fold change > 1.5 (or $< 2/3$).

For RT-PCR validation of RASL-seq results, *SRSF2* WT and mutant cells were treated with Dox for 1 and 3 days, total RNAs were then extracted, converted to cDNA by reverse transcription, followed by PCR using pairs of primers targeting five splicing events selected from RASL-seq results. The PCR products were resolved in agarose gel and images of individual bands were quantified by ImageJ software. The results were compared with RASL-seq data and the correlation coefficient was calculated. The PCR primers used for validation were listed in Table S1.

Genome-wide R-loop Mapping by R-ChIP—R-ChIP was developed to capture R-loop in cells expressing a V5-tagged *RNASEH1*(D210N) mutant gene (Chen et al., 2017). The protocol follows a standard ChIP strategy with modifications (Ji et al., 2013). Briefly, approximately $0.5-1 \times 10^7$ cells expressing V5-tagged *RNASEH1*(D210N) were crosslinked with 1% formaldehyde for 10 min, followed by addition of 1.375 M glycine to the final concentration of 125 mM and further incubation for 15 min at room temperature. Fixed cells were collected and lysed with cell lysis buffer (10 mM Tris-HCl pH 8.0, 10 mM NaCl, 0.5% NP-40 and 1X proteinase inhibitor cocktail). The nuclei were then extracted in nuclei lysis buffer (50 mM Tris-HCl pH 8.0, 10 mM EDTA, 1% SDS and 1X proteinase inhibitor cocktail). The chromatin DNA was sheared to 250-600 bp in size and incubated with magnetic beads conjugated with anti-V5 antibody overnight at 4°C. To enrich for *RNASEH1*-bound RNA/DNA hybrid fragments, beads were sequentially washed in TSEI (20 mM Tris-HCl pH 8.0, 150 mM NaCl, 1% Triton X-100, 0.1% SDS, 2 mM EDTA and 1X proteinase inhibitor cocktail), TSEII (20 mM Tris-HCl pH 8.0, 500 mM NaCl, 1% Triton X-100, 0.1% SDS, 2 mM EDTA and 1X proteinase inhibitor cocktail), TSEIII (10 mM Tris-HCl pH 8.0, 250 mM LiCl, 1% NP-40, 1% Deoxycholate, 1 mM EDTA and 1X proteinase inhibitor cocktail) and TE buffer (10 mM Tris-Cl pH 8.0 and 1 mM EDTA). The protein-chromatin complex was eluted with elution buffer (10 mM Tris-HCl pH 8.0, 1% SDS and 1 mM EDTA) and decrosslinked overnight at 65°C. Following RNase A and Proteinase K treatment, the RNA/DNA hybrid was extracted by phenol and phenol:chloroform:isoamyl alcohol, and precipitated by ethanol.

To generate strand-specific R-ChIP library, DNA from precipitated RNA/DNA hybrids was converted to dsDNA by random priming using a N9 containing oligo (5′-/invddt/CAAGCAGAAGACGGCATACGAGNNNNNNNNN-3′). An "A" base was then added to

the 3′ end. The standard illumina adaptor was added, but only ligated to one end of the resultant dsDNA as the other end contains a 5′ overhang introduced by the N9 oligo. To amplify the library, 16 cycles of PCR were performed and PCR products in the size range of 130 - 350 bp were gel-isolated and purified. Deep sequencing was performed on illumina HiSeq 2500 system according to manufacturer's instruction. The sequencing start site, which is from the adaptor end, corresponds to the 3′ end of the captured R-loop region (see Figure 4A).

Profiling Nascent RNA Production by GRO-seq—Global run-on followed by deep sequencing was performed as previously described with a few modifications (Ji et al., 2013). Briefly, HEK293T cells with or without Dox treatment were washed with cold 1X PBS buffer and incubated in swelling buffer (10 mM Tris-HCl pH 7.5, 2 mM $MgCl_2$ and 3 mM $CaCl_2$) for 5 min on ice. Cells were scraped off and re-suspended in lysis buffer (10 mM Tris-HCl pH 7.5, 2 mM $MgCl_2$, 3 mM $CaCl_2$, 10% Glycerol and 0.5% IGEPAL) with gentle swirling and incubation for 5 min on ice. The resultant nuclei were washed once with lysis buffer and re-suspended completely in 100 μL freezing buffer (40% Glycerol, 5 mM $MgCl_2$, 0.1 mM EDTA, and 50 mM Tris-HCl pH 8.3) before the run-on assay.

For the run-on assay, re-suspended nuclear extract was mixed with an equal volume of run-on reaction buffer (10 mM Tris-HCl, pH 8.0, 5 mM $MgCl_2$, 300 mM KCl, 1 mM DTT, 200 U/ml RNaseOut, 1% Sarkosyl, 500 μM ATP, 500 μM GTP, 500 μM Br-UTP and 2 μM CTP) and incubated for 5 min at 30°C. The reaction was stopped by adding 750 μL TRIzol LS reagent (Thermo Fisher). The total nuclear RNA was cleared by acidic phenol/chloroform (pH 4.5, Thermo Fisher) and precipitated by ethanol. The extracted RNA was subjected to base hydrolysis by 200 mM NaOH for 5 min on ice and then neutralized by Tris-Cl buffer (pH 6.8). Treatment of DNase I (Promega) to remove residual DNA contamination was performed followed by antarctic phosphatase (NEB) incubation according to manufacturer's instructions. The resultant RNA was then heated to 65°C for 5 min and chilled on ice. To prepare for immunoprecipitation, anti-BrdU agarose beads (Santa Cruz) were equilibrated with binding buffer (0.25X SSPE, 1 mM EDTA, 0.05% Tween 20 and 37.5 mM NaCl) and incubated with blocking buffer (1X binding buffer, 0.1% PVP and 1 μg/ml BSA) for 1 hr at 4°C. After blocking, beads were washed once with binding buffer and mixed with RNA in binding buffer for 1 hr at 4°C. To remove unbound RNA, beads were sequentially washed with binding buffer, low salt buffer (0.2X SSPE, 1 mM EDTA and 0.05% Tween 20), high salt buffer (0.25X SSPE, 1 mM EDTA, 0.05% Tween 20 and 150 mM NaCl), and TET buffer (10 mM Tris-HCl pH 8.0, 1 mM EDTA pH 8.0 and 0.1% Tween 20). Finally, BrU-incorporated RNA was eluted in elution buffer (20 mM DTT, 150 mM NaCl, 50 mM Tris-HCl pH 7.5, 1 mM EDTA and 0.1% SDS).

To repair the end, BrU-RNA was treated with T4 PNK (NEB) for 1 hr at 37°C, extracted using acidic phenol-chloroform (Thermo Fisher), and then subjected to poly-A tailing reaction with poly(A) polymerase (NEB) for 30 min at 37°C. Tailed RNA were next converted to cDNA by reverse transcription using superscript III (Thermo Fisher) and the GRO-seq RT primer (5′-pAGATCGGAAGAGCGTCGTGTAG;GCAGAAGACGGCATACGAGATTTTTTTTTTTTTTTTTTTTTVN-3′), where p indicates 5′ phosphorylation and ';' indicates the abasic

dSpacer furan and VN indicates degenerate nucleotides. The cDNA products were subjected to Exonuclease I (NEB) treatment for 1 hr at 37°C to eliminate excessive primers, followed by alkaline hydrolysis to remove RNA. After stopping the reaction by adding HCl, the resultant cDNA were resolved in 10% polyacrylamide TBE-urea gel. The fraction of cDNA of 100 - 400 bp in size was excised and recovered by shaking the ground gel in elution buffer (10 mM Tris-HCl pH 8.0, 1 mM EDTA, pH 8.0, 0.1% Tween 20 and 300 mM NaCl) for 2 hr at room temperature. For circularization, the eluted cDNA products were precipitated with ethanol, re-suspended in 10 μL reaction buffer [6 μL H$_2$O, 1 μL CircLigase buffer, 2 μL 5 M Betaine, 0.5 μL 50 mM MnCl$_2$, 0.5 μL CircLigase II (Epicentre)] and circularized according to manufacturer's instructions. Circular single-stranded DNA were re-linearized by adding 3.3 μL re-linearization mix [100 mM KCl, 2 mM DTT, 1.5 μL *Ape1* (NEB)] and incubation for 1.5 hr at 37°C.

Finally, re-linearized DNA was PCR amplified using Phusion High-Fidelity enzyme (NEB), and primers oNTI200 (5′-CAAGCAGAAGACGGCATACGA-3′) and oNTI201 (5′-AATGATACGGCGACCACCGAGATCTACACNNNNNACACTCTTTCCCTACACGACGCTCTTCCGATCT-3′), where NNNNN indicates the index sequence. The PCR condition was first denaturation for 5 min at 98°C, followed by 12 cycles of 10 s denaturation at 98°C, 15 s annealing at 60°C, and 15 s extension at 72°C. The PCR products were resolved in a non-denaturing 10% polyacrylamide TBE gel. The library fraction in the size range of 130 - 250 bp was sliced and recovered. Sequencing was performed on the illumina HiSeq 2500 platform following manufacturer's instructions.

R-ChIP and GRO-seq Data Analysis—Raw R-ChIP and GRO-seq sequencing data were mapped to the human genome (hg18) by Bowtie 2 with–local model. Repeat reads, low-quality reads (-q 30 for R-ChIP and -q 2 for GRO-seq) and PCR duplicates were discarded by Samtools. To perform cross-sample comparison of R-loop dynamics, we aimed to identify a unified set of regions that have the potential of forming R-loops. We randomly sampled at most 2 million reads from plus or minus strand for each biological replicate of each sample to make sure equal representation of each sample, and then merge them to call narrow peaks by MACS2. R-loop signal intensity was quantified as average read coverage within a given R-loop peak. For each R-loop region, the R-loop was considered significantly up-or downregulated in mutant if the intensity ratio (5 hr/0 hr) in mutant is $> = 1.5$ or 2/3, and significantly higher or lower than that in wild-type (5 hr/0 hr) by unpaired Student's t test. Refseq was used to annotate R-loops, with regions from −1,000 to +1,000 of TSS/TTS as TSS/TTS regions, with the remaining genic regions as gene body. GRO-seq was used to measure the traveling ratio, as defined previously (Ji et al., 2013). Statistics of sequencing data were listed in Table S6.

P-TEFb Extraction Assay—The P-TEFb release assay was carried out following previous reports with modifications (Calo et al., 2015; Ji et al., 2013). Briefly, 5 μg of anti-HEXIM1 antibody was incubated with Protein A/G magnetic beads (Thermo Fisher) overnight at 4°C until use. HEK293T cells were washed and lysed in lysis buffer [10 mM HEPES, 2 mM MgCl, 10 mM KCl, 150 mM NaCl, 0.5% NP-40, 0.5 mM EDTA, 1 mM DTT, 0.1% PMSF, 1X protease inhibitor cocktail (Sigma-Aldrich) and 1 U/μl RiboLock

RNase inhibitor (Thermo Fisher)] for 10 min on ice. The cell lysate was pre-cleared by centrifugation at 12000 rpm for 10 min at 4°C. The antibody-bound magnetic beads were added to the cell lysate and the mix was incubated for 2 hr at 4°C with rotation to immobilize the 7SK snRNP complex. After immunoprecipitation, beads were washed in lysis buffer, re-suspended in PBS containing 5 mg/ml BSA. The bead-bound complex was incubated with increasing amount of purified SRSF2-HA proteins (WT or P95H mutant) for 15 min at 37°C on a thermal mixer with gentle shaking. After the reaction, a magnetic separator was used to sequester beads and the resultant elutes were collected as the release fraction. Beads were washed twice with wash buffer and boiled in SDS loading buffer. The resultant protein mix was collected as the bead-bound fraction. Proteins from release and bead-bound fractions were analyzed by western blotting.

For purification of HA-tagged SRSF2 proteins from HEK293T cells, *SRSF2* WT and P95H mutant cells were treated with Dox for 3 days and then lysed in lysis buffer (25 mM Tris-HCl pH 7.4, 150 mM NaCl, 1 mM EDTA, 1% NP-40, 5% glycerol and 1X proteinase inhibitor cocktail). SRSF2-HA proteins were affinity-purified using anti-HA magnetic beads according to manufacturer's instruction (Thermo Fisher) and washed extensively before elution with 2 mg/ml HA peptide (GenScript).

The Luciferase Assay for SRSF2-dependent Transcription Activation—The luciferase assay was performed as previously described (Ji et al., 2013). Briefly, HEK293T cells were seeded in 24-well plates and treated with Dox two day before co-transfection with 5 ng of a luciferase reporter (HSV-luc, HSV-2xESE-luc or HSV-2xcESE-luc) and 1 ng of the internal control (pCMV). After 1 day, cells were harvested for luciferase assay using Dual-luciferase Reporter Assay System (Promega).

Animals—Conditional *Srsf2*(P95H/WT) mice were described previously (Kim et al., 2015). Poly(I:C) (Sigma) was injected intraperitoneally (i.p.) at 12 μg/g every the other day for three injections. Genotyping of *Srsf2*(WT) and *Srsf2*(P95H/WT) littermate mice were performed by PCR with primers according to the previous report (Kim et al., 2015): loxP-F (5′-CAACACGGCCGATATCATAA-3′) and Exon1-R (5′-TACAGTCCTCGTGGGTAGGG-3′). The PCR reaction parameters were as follows: 95°C for 2 min, followed by 35 cycles of 95°C for 45 s, 55°C for 45 s, and 72°C for 1 min, and then 72°C for 5 min. The P95H allele was detected by gel as a band of 225 bp. All the procedures were approved by the institutional animal care and use committee of UCSD.

RNASEH1 Overexpression in Mouse c-Kit+ Cells and *in vitro* Colony-forming Assays—c-Kit+ cells were enriched by anti-mouse CD117 Microbeads (Miltenyi Biotech) from 8-week-old *Mx1*-cre *Srsf2*(WT) or *Mx1*-cre *Srsf2*(P95H/WT) mice 2 weeks after Poly(I:C) injection. c-kit+ cells were infected with retrovirus expressing a MSCV-IRES-GFP empty vector or the vector containing the full length *RNASEH1* cDNA. The viral infection procedure was performed as previously described (Komeno et al., 2014). GFP+ cells were sorted, ~40,000 of which were seeded into methylcellulose medium (Methocult M3434; STEMCELL Technologies). Colonies were counted 14 days after seeding. Protein expression of RNASEH1 was confirmed by western blotting.

QUANTIFICATION AND STATISTICAL ANALYSIS

Statistical parameters are reported either in individual Figures or corresponding Figure Legends. Quantification data are in general presented as bar/line plot, with the error bar representing mean ± SEM, or boxplot, showing the median (middle line), first and third quartiles (box boundaries), and furthest observation or 1.5 times of the interquartile (end of whisker). All statistical analyses were done in R and the detailed statistical parameters are reported either in individual Figures or corresponding Figure Legends. Whenever asterisks are used to indicate the statistical significances, *stands for $p < 0.05$; **for $p < 0.01$, and ***for $p < 0.001$.

DATA AND SOFTWARE AVAILABILITY

The accession number for the raw data fastq files and processed BigWig files for sequencing data of R-ChIP and GRO-seq experiment deposited in NCBI is GEO: GSE97183.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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Highlights

- Mutations in splicing factors cause cell cycle arrest but distinct splicing defects

- Causal mutations in *SRSF2* and *U2AF1* activate the ATR, but not ATM, pathway

- R-loops are augmented genome-wide in *SRSF2* and *U2AF1* mutants

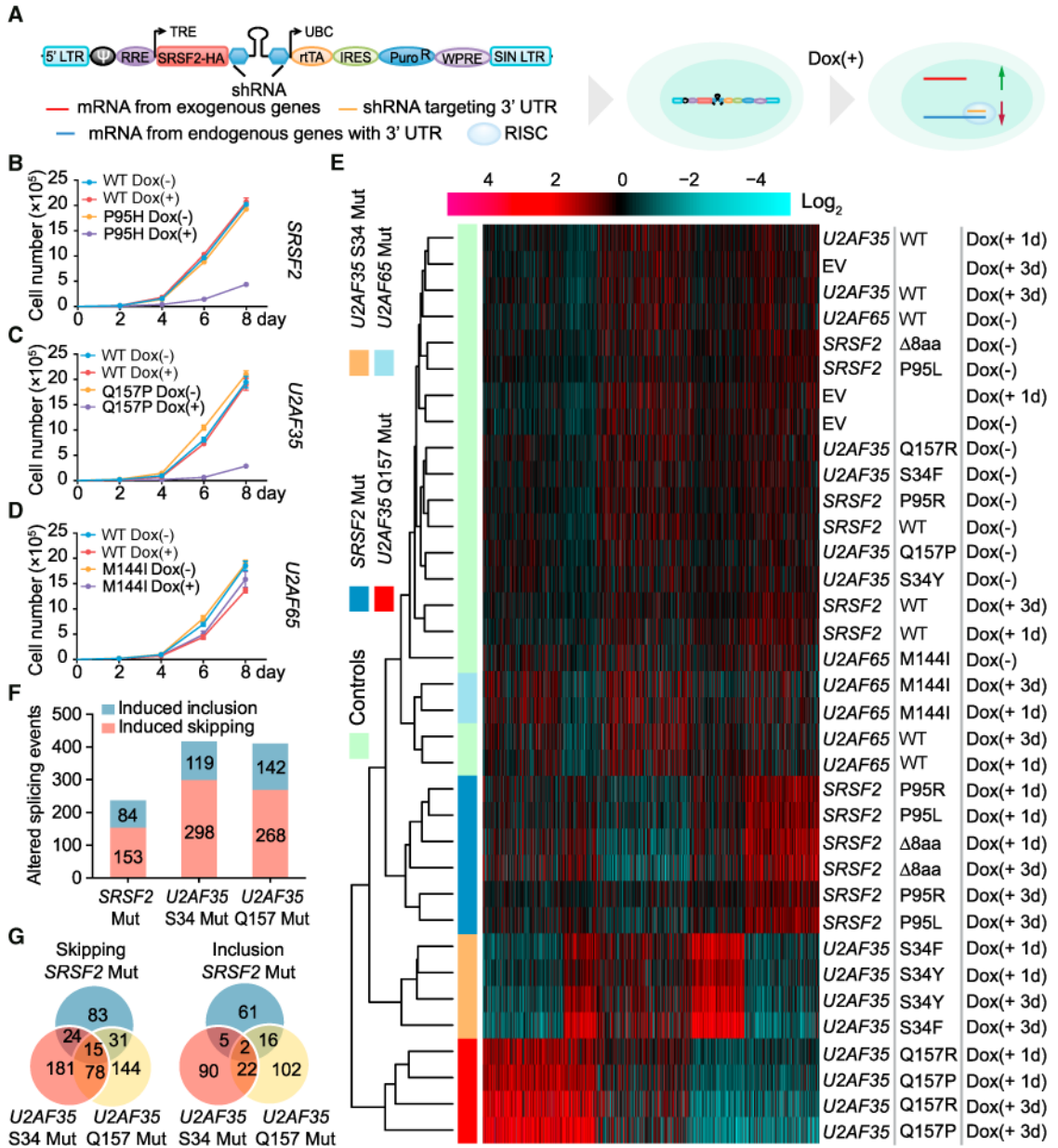- Overexpressed RNase H partially corrects growth defect of hematopoietic progenitors

Figure 1. Phenotypic Analysis and Splicing Profiling of Splicing Factor Mutations

(A) Schematic of the strategy to express exogenous WT or mutant splicing factors and concomitantly knock down the corresponding endogenous transcripts. Each pTRIPZ expression unit contains the protein coding region of a WT or mutant splicing factor gene (*SRSF2-HA* is used as an example), followed by an shRNA to target the 3′ UTR of the corresponding endogenous transcript. Stable cells were first selected, and the expression of each expression unit was induced by addition of doxycycline (Dox) to culture medium.

(B–D) Proliferation of HEK293T cells following different days of Dox-induced expression of WT or mutant *SRSF2*(P95H) (B, n = 3 biological replicates), *U2AF35*(Q157P) (C, n = 3 biological replicates), and *U2AF65*(M144I) (D, n = 4 biological replicates).

(E) Clustering analysis of the splicing responses profiled by RNA annealing selection ligation followed by deep sequencing (RASL-seq). The $\log_2$ ratio of the short versus long isoform for each splicing event was calculated and used for k-means clustering. Red, induced exon skipping events; blue, induced exon inclusion events. Individual constructs and treatment conditions are indicated on the right. D8aa, mutant *SRSF2* depleted of amino acids 95 to 102.

(F) The numbers of significantly induced skipping and inclusion splicing events identified by RASL-seq (>1.5-fold and $p < 0.05$ by unpaired Student's t test) in mutant cells relative to their WT counterparts.

(G) Venn diagrams of shared and unique splicing events induced in cells expressing different *SRSF2* and *U2AF35* mutants.

See also Figure S1 for additional analyses of mutation-induced cellular phenotypes and Figures S2A–S2C for the validation of RASL-seq data by RT-PCR.
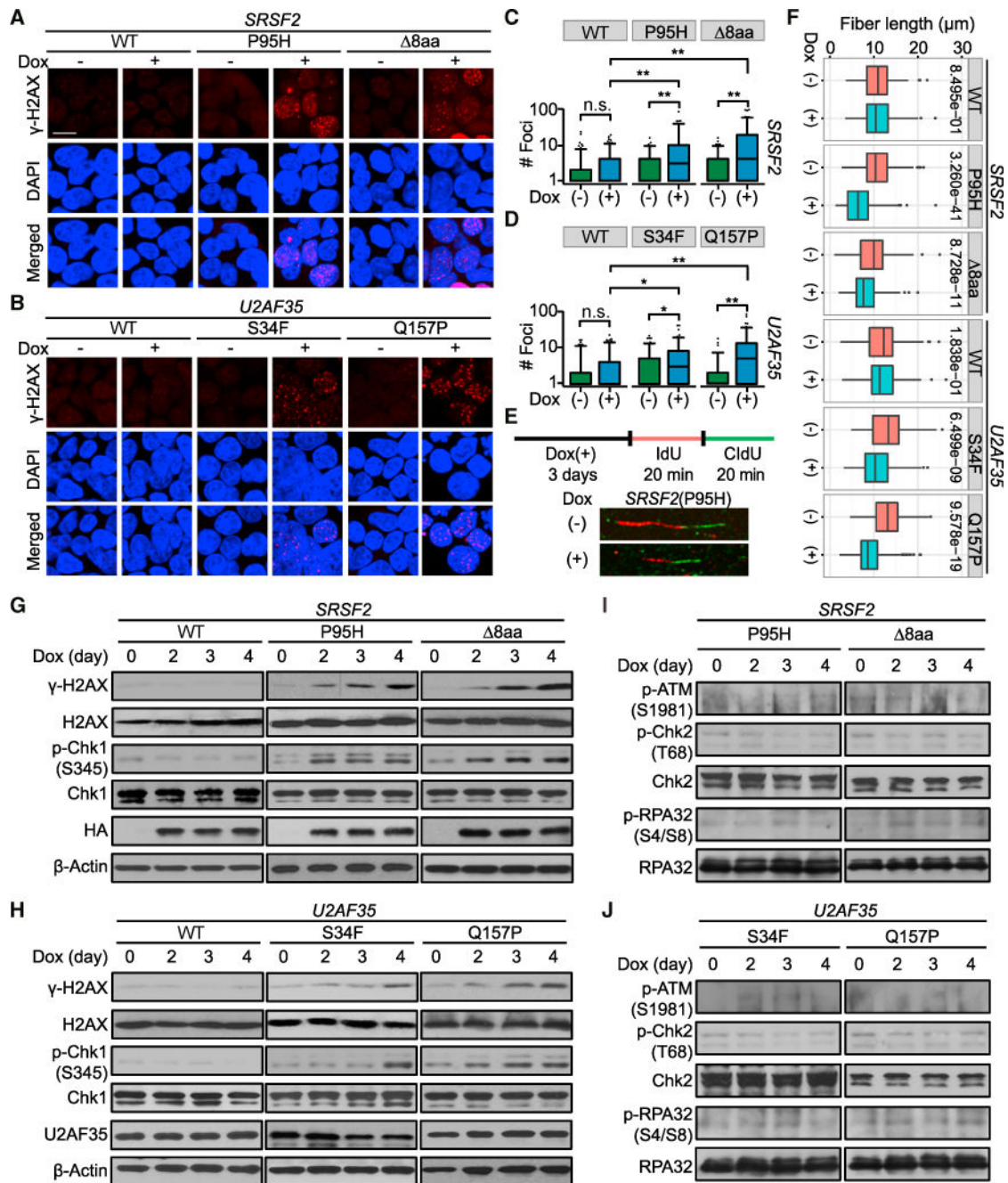
Figure 2. DNA Damage Response and Activation of the ATR Pathway by Mutant Splicing Factors

(A and B) γ-H2AX foci detected by immunocytochemistry in HEK293T cells upon induced expression of WT or mutant *SRSF2*(P95H and D8aa) (A) and *U2AF35*(S34F and Q157P) (B) by Dox for 3 days. Red, γ-H2AX; blue, DAPI. Scale bar, 10 μm.

(C and D) Quantitative analyses of γ-H2AX foci for cells with WT or mutant SRSF2 (C), and WT or mutant U2AF35 (D). More than 100 cells per treatment condition per cell type were analyzed. Statistical significance was determined by one-way ANOVA test. *p < 0.05; **p < 0.01.

(E) Top: schematic of the DNA fiber assay. HEK293T cells treated with Dox for 3 days were sequentially labeled with IdU and CIdU for 20 min each. Bottom: representative DNA fibers fluorescently labeled with IdU (red) and CIdU (green).

(F) Length distribution of DNA fiber tracks in HEK293T cells expressing WT or mutant *SRSF2* and *U2AF35*. The relative lengths of IdU-and CIdU-labeled elongating DNA fiber tracts (n > 100) were scored for each dataset. The p values were calculated by unpaired Student's t test.

(G and H) Western blot analysis of γ-H2AX and phospho-Chk1(S345) levels in HEK293T cells upon induction of *SRSF2*(WT, P95H, and D8aa) (G) or *U2AF35*(WT, S34F, and Q157P) (H) by Dox for different days.

(I and J) Western blot analysis of cells with mutant SRSF2 (I) and U2AF35 (J) for phospho-ATM(S1981), phospho-Chk2(T68), and phospho-RPA32(S4/S8) levels.

See also Figures S2D and S2E for additional western blotting analyses of HEK293 and MDS-L cells and Figures S2F and S2G for immunostaining and quantitative analysis of phospho-ATM and phospho-Chk2.
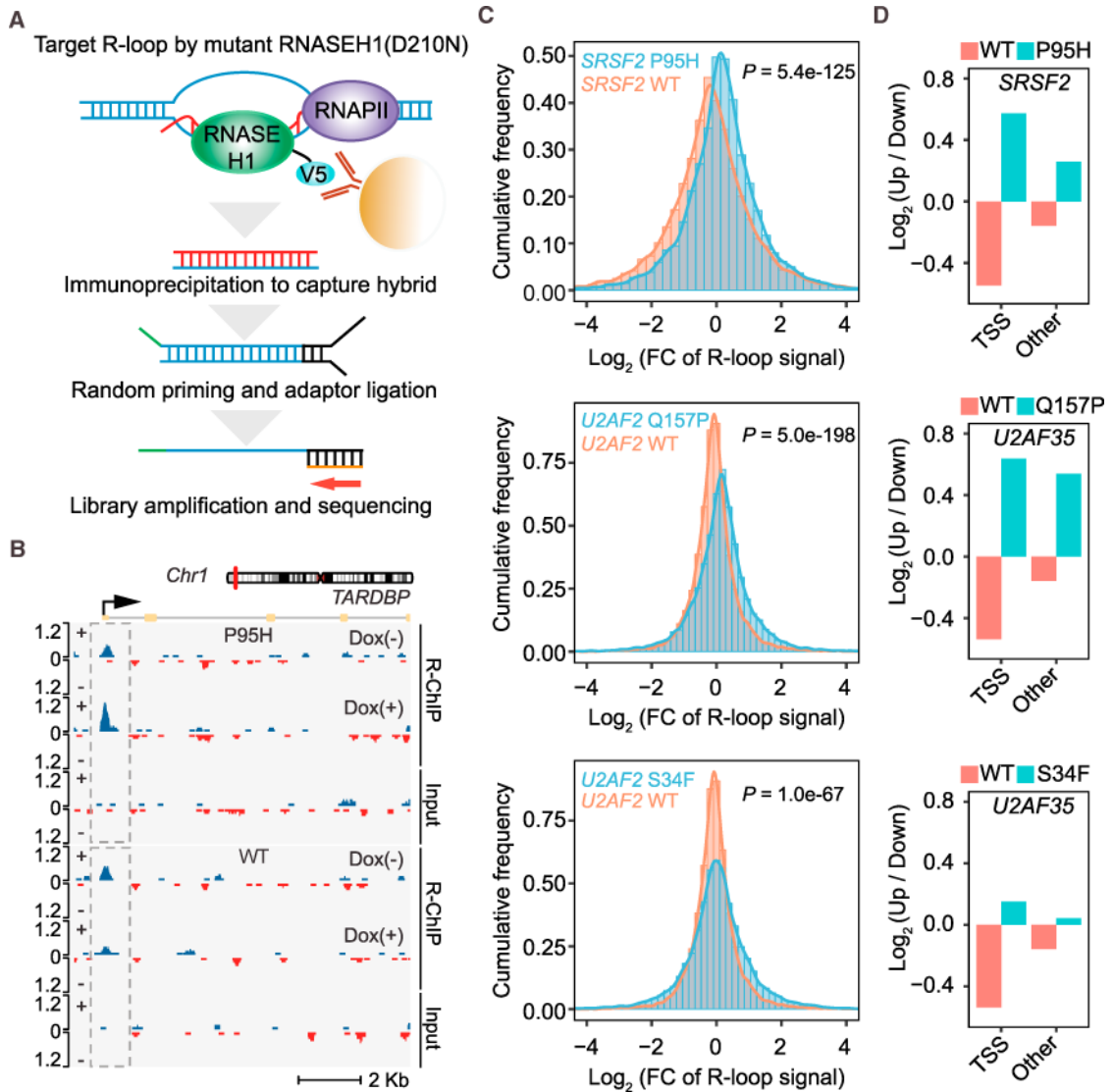
Figure 3. R-Loops Induced by Mutant Splicing Factors

(A) Schematic of the R-loop profiling method (R-ChIP) by expressing a catalytically dead RNase H followed by standard ChIP sequencing.

(B) R-loop enrichment and dynamic change at the TSS region of a representative gene in HEK293T cells expressing WT *SRSF2* (bottom) or mutant *SRSF2*(P95H) (top) after Dox treatment for 5 hr.

(C) Fold changes (FCs) of R-loop intensity (Dox(+)/Dox(−)) in HEK293T cells expressing WT or mutant *SRSF2*(P95H) (top), *U2AF35*(Q157P) (center), and *U2AF35*(S34F) (bottom), presented by histograms. The p values for all pairwise comparisons were determined by Wilcoxon test.

(D) Ratio of up- and downregulated R-loops in promoter versus non-promoter regions in HEK293T cells expressing WT or mutant *SRSF2*(P95H), *U2AF35*(Q157P), and *U2AF35*(S34F).

See also Figure S3 for additional analyses of R-ChIP data and Figure S4 for R-loop levels in WT and mutant cells in relation to splice sites and sequence motifs for RNA binding.

Figure 4. R-Loop Formation and Transcriptional Repression Induced by Mutant *SRSF2*

(A) R-loop levels profiled by R-ChIP and nascent RNA production (indicative of corresponding transcriptionally engaged Pol II) by GRO-seq on two representative positive genes (*PMS2* and *CLSPN*) and one negative gene (*NES*) in mutant *SRSF2*(P95H) HEK293T cells with or without Dox treatment for 5 hr.

(B) Changes in traveling ratio (TR) based on metagene analysis of the GRO-seq datasets (gene length > 1,000, n = 19,300) upon induction of WT or mutant *SRSF2*(P95H). The p values were calculated by two-sided Kolmogorov-Smirnov test as indicated.

(C) Heatmaps of changes in TSS-associated R-loops (y axis) and TR (x axis) for individual genes in HEK293T cells expressing WT or mutant *SRSF2*(P95H). The numbers in the top right quarter of each graph indicate the percentages of positively correlated events. The p value was calculated by Fisher's exact test.

(D and E) ChIP-qPCR analysis of CDK9 (D) and Pol II Ser2 phosphorylation (E) on the promoter of representative genes (*PMS2*, *CLSPN*, and *NES*) as shown in (A) upon induced expression of WT or mutant *SRSF2*(P95H). A distal region (Dis) was analyzed in parallel in each case as a control for CDK9 ChIP-qPCR. Data are presented as mean ± SEM (n = 3 technical replicates). Statistical significance was determined by unpaired Student's t test. *p < 0.05; ***p < 0.001.

(F) Dual luciferase assays using pCMV (internal control) and HSV-ESE reporters on HEK293T cells upon induced expression of WT or *SRSF2*(P95H) by Dox treatment. Data are presented as mean ± SEM (n = 6 biological replicates). ***p < 0.001 based on unpaired Student's t test.

(G) The P-TEFb extraction assay. The anti-HEXIM1-immunoprecipitated 7SK complex was incubated with affinity-purified WT or mutant *SRSF2*(P95H) protein, followed by detection of released CDK9 from the 7SK complex by western blotting. RNase A treatment provided a positive control, HEXIM1 remaining on beads served as a loading control, and the relative amounts of purified proteins tested were detected by western blotting using anti-HA and are shown at the bottom. See also Figure S5 for additional data regarding the transcriptional response to mutant *SRSF2* and *U2AF35*.
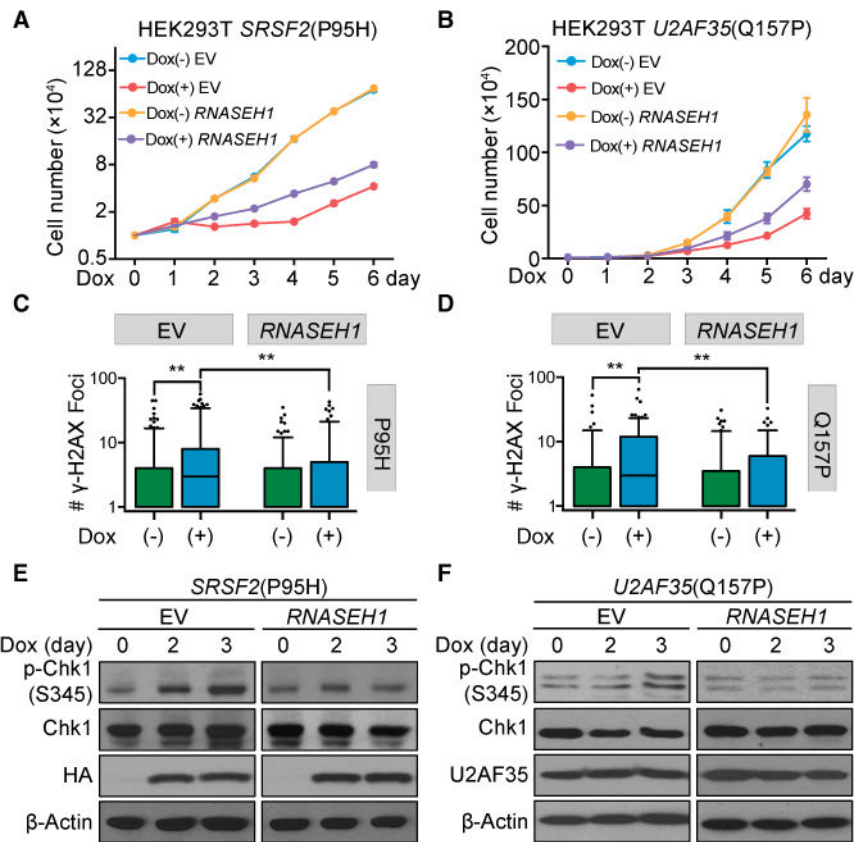
Figure 5. Rescue of Functional Defects on Cellular Models by *RNASEH1* Overexpression

(A and B) Proliferation of HEK293T cells stably expressing *RNASEH1* with or without induced expression of *SRSF2*(P95H) (A, n = 3 biological replicates) or *U2AF35*(Q157P) (B, n = 4 biological replicates) upon Dox treatment for different days. Cells expressing empty vector (EV) served as a control.

(C and D) Quantitative analysis of γ-H2AX foci detected by immunocytochemistry in HEK293T cells expressing *RNASEH1* before and after induced expression of *SRSF2*(P95H) (C) or *U2AF35*(Q157P) (D) by Dox treatment for 3 days. More than 100 cells per Dox treatment condition per cell type were analyzed, and p values were calculated by one-way ANOVA test.

(E and F) Suppression of Chk1 activation by overexpressed *RNASEH1* in HEK293T cells upon induced expression of *SRSF2*(P95H) (E) or *U2AF35* (Q157P) (F) by Dox for different days.

See also Figure S6A for RNASEH1 overexpression by western blotting analysis and Figures S6B–S6D for cell proliferation of additional mutant cells with or without RNASEH1 overexpression.
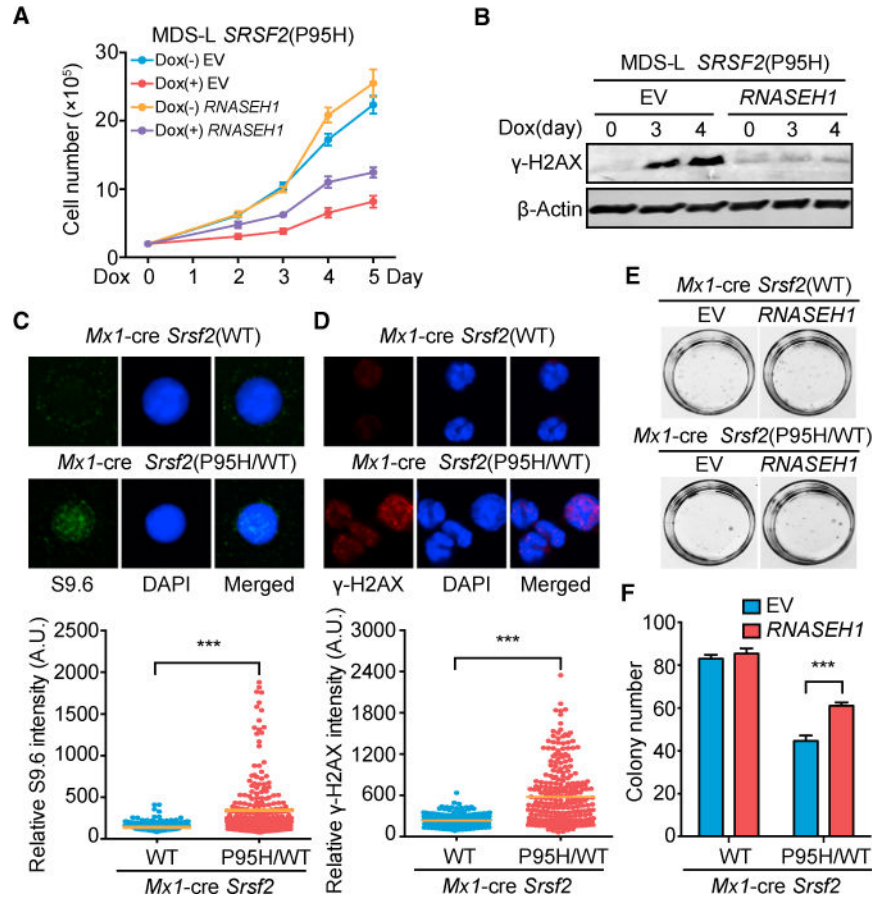
Figure 6. Functional Rescue of Mutant SRSF2-Induced Defects in Hematopoietic Systems by *RNASEH1* Overexpression

(A) Proliferation of MDS-L cells expressing empty vector or *RNASEH1* with or without induced expression of *SRSF2*(P95H) by Dox treatment for different days (n = 4 biological replicates).

(B) Western blot analysis of γ-H2AX levels in *SRSF2*(P95H) MDS-L cells expressing EV or *RNASEH1* as in (A).

(C and D) R-loop signals detected by immunostaining with S9.6 (C) and DNA damage response detected by immunostaining for γ-H2AX (D), both in isolated c-Kit+ progenitor cells from *Srsf2*(WT) or heterozygous *Srsf2*(P95H/WT) knockin mice 14 days after poly(I:C) injection. The quantitative data at the bottom were based on 3 mice from each (WT or mutant) group. ***p < 0.001 based on unpaired Student t test for more than 100 cells analyzed.

(E and F) Representative photographs showing colonies (E) and quantification of colony numbers (F) of c-Kit+ progenitor cells isolated from *Srsf2*(WT) or *Srsf2*(P95H/WT) mice 14 days after overexpression of EV or *RNASEH1*. All data are presented as mean ± SEM (6 plates of *Srsf2*(WT) cells and 8 plates of *Srsf2*(P95H/WT) cells).

***p < 0.001 by unpaired Student's t test.

See also Figure S6E for *RNASEH1* overexpression in these cells and Figure S6F for the quantified data from the second repeat of the replating assay.