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Lysine-based polycation:heparin coacervate for controlled protein delivery

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Abstract

Polycations have good potential as carriers of proteins and genetic material. However, poor control over the release rate and safety issues currently limit their use as delivery vehicles. Here we introduce a new lysine-based polycation, poly(ethylene lysinylaspartate diglyceride) (PELD), which exhibits high cytocompatibility. PELD self-assembles with the biological polyanion heparin into a coacervate that incorporates proteins with high loading efficiency. Coacervates of varying surface charge were obtained by simple alteration of the PELD:heparin ratio and resulted in diverse release profiles of the model protein bovine serum albumin. Therefore, coacervate charge represents a direct means of control over release rate and duration. The PELD coacervate also rapidly adsorbed onto a porous polymeric scaffold, demonstrating potential use in tissue engineering applications. This coacervate represents a safe and tunable protein delivery system for biomedical applications.

Keywords

Polycation; Heparin; Lysine; Coacervate; Controlled release

1. Introduction

Polycations have good potential as carriers of proteins and genetic material. Chitosan and polyethylenimine (PEI) are two polycations commonly used in biomedical applications,

Disclosures

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including non-viral gene delivery [1–4], vaccine administration [5,6] and delivery of peptides [7,8]. However, safety risks associated with cytotoxicity and the accumulation of nanoparticles following delivery seriously limit their potential [9,10]. Poly(L-lysine) (PLL) has also been widely investigated as a delivery vehicle for proteins and DNA [11–14], though it has similar biocompatibility issues as PEI [15,16]. A synthetic polycation with good biocompatibility and controllable release rate would be highly beneficial for biomedical applications involving protein or gene therapy.

We have previously reported the synthesis of an arginine-based polycation, poly(ethylene argininylaspartate diglyceride) (PEAD), designed to complex with heparin to form a delivery matrix for heparin-binding growth factors [17]. PEAD:heparin self-assembles to form a coacervate, an assortment of organic molecules held together by polyvalent charge attraction and separated from the aqueous phase. We have demonstrated the use of this coacervate to deliver several factors for stimulating angiogenesis [18], accelerating cutaneous wound-healing [19] and improving heart function post-myocardial infarction [20,21]. In all cases the coacervate showed high loading efficiency, protection from degradation, sustained release over time and enhanced bioactivity compared to the growth factor in free-form. Having demonstrated that the coacervate satisfies the necessary functions of a delivery vehicle, we now address controllability of release rate by modification of the polycation and the coacervate composition.

The polyvalent and ionic nature of the coacervate makes modification of the charge density of the polycation one of the simplest ways to control release rate. Adjusting the polycation:heparin ratio in the coacervate is another simple way. The polycation and growth factor compete for ionic interaction with heparin; thus a coacervate with greater polycation content will compete more, resulting in faster growth factor release, while lower polycation content will slow release. Here we report a new polycation, poly(ethylene lysinylaspartate diglyceride) (PELD), with lysine as the source of positive charge instead of arginine. PEAD has high biocompatibility [17], and here we demonstrate that the same design principle applies to lysine, thus PELD also has excellent biocompatibility. We describe the synthesis and characterization of PELD and its usefulness for controlled release.

2. Materials and methods

2.1. Materials

Ethylene glycol diglycidyl ether (EGDE) and trifluoroacetic acid (TFA) (TCI America, Portland, OR), t-BOC aspartic acid (BOC-Asp-OH) and t-BOC lysine (BOC-Lys) (Bachem, Torrence, CA), dimethylformamide (DMF), dichloromethane (DCM) and tetra-*n*butylammonium bromide (TBAB) (Acros Organics, Geel, Belgium), dicyclohexylcarbodiimide (DCC) and *N*-hydroxysuccinimide (NHS) (Alfa Aesar, Ward Hill, MA), 4-dimethylaminopyridine (DMAP) (Avocado Research Chemicals, Lancaster, UK), polyethleneimine (PEI; MW = 50–100 kDa) (MP Biomedicals, Santa Ana, CA), heparin sodium USP (MW = 16 kDa; Scientific Protein Labs, Waunakee, WI), fluorescein (Aldrich Chemical Co, Milwaukee, WI), bovine serum albumin (BSA) (Millipore, Billerica, MA) and poly(D,L-lactide-co-glycolide) 50:50 (PLGA) (Lakeshore Biomaterials, Birmingham, AL) were all used as received.

2.2. Synthesis of PELD

The intermediate, poly(ethylene aspartate diglyceride) (PED), was synthesized as previously described [17]. Briefly, EGDE (1.00 g) was combined with BOC-Asp-OH (1.34 g) and TBAB (5 mg) and dissolved in 0.6 ml of DMF. The mixture was maintained at 120 °C under N₂ for 20 min in a microwave synthesizer (Biotage, Uppsala, Sweden). DMF was evaporated under reduced pressure and TBAB was removed by multiple precipitations in diethyl ether. t-BOC was removed by stirring product in 4:1 DCM:TFA for 2 h. t-BOC lysine was conjugated to PED by DCC/NHS coupling. Briefly, BOC-Lys (0.692 g), NHS (0.323 g), DCC (0.753 g), and DMAP (5 mg) were dissolved in 5 ml DMF. PED (0.864 g) was dissolved in 5 ml of DMF in a separate vial. The two vials were then combined and stirred under N₂ for 24 h. An insoluble dicyclohexylurea by-product formed, and was removed by filtration at 0.22 μ m. Finally, t-BOC was removed by stirring in pure TFA for 2 h and the product was purified by multiple precipitations in diethyl ether and then ethyl acetate.

2.3. Characterization of PELD

¹H nuclear magnetic resonance (NMR) spectroscopy was performed with a Biospin Avance NMR spectrometer (Bruker, Billerica, MA) using deuterium oxide (D₂O) solvent. The Fourier transformed infrared (FTIR) spectrum was recorded using a Nicolet IR-100 spectrometer (Thermo, Waltham, MA). Differential scanning calorimetry (DSC) was performed under nitrogen gas at a heating rate of 10 °C min⁻¹ using a Q200 differential scanning calorimeter (TA Instruments, New Castle, DE). The glass transition temperature (T_g) was determined using Universal Analysis 2000 software (TA Instruments) as the middle of the glass transition. Gel permeation chromatography (GPC) was performed on a Viscotek VE2001 system equipped with a 270 Dual Detector (differential refractive index and right angle light scattering) (Malvern Instruments, Westborough, MA). Two GRAM columns of 30 and 1000 Å porosities (PSS, Warwick, RI) and dimethylacetamide containing 3 g l⁻¹ lithium bromide and 6 ml l⁻¹ acetic acid were used as the stationary and mobile phases, respectively, and results were compared to polystyrene standards for calibration.

2.4. Preparation of polycation:heparin coacervates

PEAD, PELD and heparin were each dissolved in 0.9% saline and 0.22 µm filter-sterilized. The addition of either polycation to heparin immediately induced self-assembly of the coacervate, causing the complex to phase separate, visible as a turbid solution.

2.5. Zeta potential and dynamic light scattering (DLS) measurements

PELD and heparin were dissolved in deionized (DI) water at 10 and 1 mg ml⁻¹, respectively, then combined at PELD:heparin mass ratios of 2.5, 5, 7.5, 10, 12.5 and 15. Each coacervate solution was diluted to a 1 ml total volume with DI water. Zeta potential and particle size (hydrodynamic diameter) were both measured using a Zetasizer Nano ZS instrument (Malvern, Westborough, MA). From 10 to 20 readings were taken for each mass ratio and averaged.

2.6. Fluorescent imaging of PELD coacervates

PELD and heparin were dissolved in DI water at 10 mg ml⁻¹ and fluorescein at 10 μ g ml⁻¹. A 10 μ l volume of fluorescein was first mixed with heparin and then PELD was added to form coacervates with PELD:heparin mass ratios of 5, 10 and 15. The total mass of coacervate (m_{PELD} + m_{heparin}) was 1 mg for each, prepared in 0.1 ml of water. The coacervates were added to a 96-well plate and imaged immediately using a Nikon Eclipse Ti fluorescent microscope (Nikon Instruments, Melville, NY).

2.7. Cytotoxicity assay

PEAD was synthesized as previously described [17]. NIH 3T3 fibroblasts were seeded at 5×10^4 per well in a 96-well plate and cultured for 12 h at 37 °C/5% CO₂ in Dulbecco's modified Eagle's medium containing 10% fetal bovine serum and 1% penicillin/ streptomycin. The medium was then removed and culture medium containing PEAD, PELD or PEI at various concentrations (10, 1, 0.1, 0.01 mg ml⁻¹) was added to four wells per group. After 24 h, cells were washed once with Dulbecco's phosphate-buffered saline and the cell viability was assessed using a Live/Dead Assay (Molecular Probes, Eugene, OR) following the manufacturer's instructions. The results were normalized and compared to a control group which did not receive any polycation added to the media.

2.8. BSA release assay

PELD and heparin were each dissolved in 0.9% saline at 10 mg ml⁻¹. Heparin was initially combined with 500 µg of BSA, then PELD was added to form mass ratios of 6, 8 and 10, corresponding to zeta potentials of approximately -10, -5 and 0 mV, respectively. Tubes were stored at 37 °C under shaking conditions and, after 0, 1, 3, 7, 14 and 21 days, tubes were centrifuged at 12,000*g* for 5 min and the supernatant removed and frozen at -20 °C. After samples at all the time points had been collected, the BSA concentration was determined using the Pierce 660 nm Protein Assay (Thermo). In order to account for the protein content of the polycations and the heparin in the release fractions, results were normalized to an assay performed identically but without BSA.

2.9. Coacervate adsorption to polymer scaffolds

Porous PLGA scaffolds prepared by the salt-leaching technique were synthesized as previously described [22]. The scaffolds were 1 mm thick and 5 mm in diameter, with a 75– 150 μ m pore size. PELD and heparin were dissolved in deionized water at 5 mg ml⁻¹ and combined at a 10:1 PELD:heparin mass ratio for maximal turbidity at charge neutrality. Next, 1.2 ml coacervate was added to a glass vial and stirred to prevent settling of the coacervate by gravity. A 50 μ l aliquot of coacervate was transferred to a cuvette and its optical density was read using a spectrophotometer (Biotek, Winooski, VT). PLGA scaffolds were then added to three separate vials and the coacervate was sampled and its optical density read in a similar fashion every 5 min. Three vials that did not receive any scaffold were used as controls. Digital images of the PELD coacervate-coated PLGA scaffold were taken at the endpoint, next to a bare PLGA scaffold.

2.10. Scanning electron microscopy

PELD and heparin were dissolved in deionized water at 10 mg ml⁻¹ and combined at a 10:1 PELD:heparin mass ratio to form the coacervate. For coacervate imaging alone, 500 μ l of coacervate was pipetted directly onto an aluminum stub. For PLGA scaffold coating, scaffolds were submerged in 1 ml of coacervate and soaked for 5 min, then mounted on aluminum stubs. Uncoated scaffolds from the same batch were also prepared. All samples were frozen and lyophilized overnight. Samples were sputter coated with gold to a 3.5 nm thickness and imaged using a JSM-633 OF scanning electron microscope (JEOL USA, Peabody, MA).

2.11. Statistical analysis

Statistical analysis was performed using SPSS 16.0 software (SPSS Inc, Chicago, IL). The data were tested for normality and equal variance before analysis. Statistical differences were calculated using one-way analysis of variance followed by Tukey's post hoc testing.

3. Results and discussion

3.1. PELD synthesis and characterization

The intermediate involved in PELD synthesis, PED, is also used in PEAD synthesis, as previously reported [17]. Briefly, PED is formed by the polycondensation of a 1:1 molar ratio of t-BOC aspartic acid and EGDE, followed by the removal of the BOC protecting group (Fig. 1a). t-BOC lysine is then conjugated and subsequently de-protected to form the final product. During the conjugation step, t-BOC lysine is activated by DCC and may then react intermolecularly as it contains an unprotected primary amine. PED is added immediately following activation to limit the amount of polylysine that forms before conjugation. This appears to have little effect on the functionality of the polycation for complexation with heparin; however, lysine with both amine groups protected may be used in the future for a more homogeneous product. Purification by repeated precipitations removes solvents and by-products until no impurity peaks are observed on the ¹H NMR spectrum. PELD was analyzed by ¹H NMR spectroscopy for chemical shifts distinctive of its structure (Fig. 1b). Protons in the ethylene glycol backbone produced shifts at $\delta 4.1$ and 4.4 and a multiplet between δ 3.4 and 3.9. Shifts between δ 1.2 and 1.8 correspond to the aliphatic tail of lysine. FTIR analysis of PELD shows absorbance around 1665 cm⁻¹ resulting from ester bonds and in the 3000-3500 cm⁻¹ range due to amide bonds and free hydroxyl groups (Fig. 1c). PELD had a T_g of 51.92 °C, and no crystallization was observed between -50 and +150 °C (Fig. 1d). GPC analysis indicated that PELD had a molecular weight (M_p) of approximately 3.5 kDa.

3.2. Characterization of PELD:heparin coacervates

When combined with polyanions such as heparin, PELD self-assembles into a coacervate. Coacervation is a liquid–liquid phase separation analogous to an emulsion except that the coacervate phase still contains water [23]. The PELD:heparin coacervate is visible as a turbid solution. The morphology of the PELD coacervate was observed by scanning electron microscopy (Fig. 1e) and reveals ribbon-like sheets and fibers, and globular structures. The

ribbon-like structures are on the micron scale and highly variable in size, while most globular beads are $1-5 \ \mu m$.

A number of different PELD:heparin mass ratios ranging from 2.5 to 15 were further characterized. Zeta potential, a measure of the surface charge on a colloidal system, was analyzed first. As expected, a low PELD:heparin ratio yielded an overall negative surface charge, indicating an excess of anionic heparin (Fig. 2a). As the mass ratio increased, so did the zeta potential, reaching an overall neutral charge at a mass ratio of approximately 10. This indicates that heparin, the most negatively charged biomolecule, has approximately 10-fold greater charge density per unit mass compared to PELD. At mass ratios above 10, excess PELD molecules exist and create a positive surface charge. Zeta potential is also commonly used to estimate the stability of a colloidal system. Large positive or negative zeta potentials (generally +30 or -30 mV) indicate that the particles will tend to repel each other, while particles with low zeta potentials have little force to prevent aggregation.

The hydrodynamic diameter, or particle size, was also observed using DLS to assess how the PELD:heparin mass ratio and resultant surface charge affects the coacervate droplet size. The smallest droplet size was observed was 214 nm, at a mass ratio of 2.5, and the largest was 1208 nm, at a mass ratio of 7.5 (Fig. 2b). In general, large droplet sizes were observed around a mass ratio of 10, where the charge of the coacervate was near its isoelectric point. As the mass ratio was adjusted, and the zeta potential deviated from zero and the droplet size decreased. This is likely an effect of coacervate aggregation, further elucidated by polydispersity measurements.

The polydispersity index (PDI) in DLS measurements is calculated from the cumulant analysis of the DLS intensity autocorrelation function. It is arbitrarily scaled to a maximum value of 1. Values below 0.2 are typically considered monodisperse, while those greater than 0.7 are considered highly polydisperse. Coacervates bearing the highest surface charge (mass ratios of 2.5 and 15) were monodisperse (Fig. 2b). However, as the surface charge approaches neutrality, the repulsion force between coacervate droplets decreases and aggregations form more readily. We also expect the size of aggregations to vary, thereby increasing the PDI. Indeed, we observed that the PDI tended to increase as the coacervate surface charge approached neutrality, with a maximal PDI of 1 at a mass ratio of 10.

Mass ratios of 5 (negative), 10 (neutral) and 15 (positive) were selected for fluorescent imaging. Fluorescein, a small, charged dye, was added to the heparin solution and became incorporated into the coacervate upon addition of PELD. Droplets ranging from <1 μ m to ~5 μ m in diameter were observed in the negatively and positively charged coacervates, which appeared similar in size and distribution (Fig. 3). This correlates well with our DLS results, with the >1 μ m droplets representing aggregates which led to the recorded polydispersity. The neutral coacervate had droplets ranging from 1 to 10 μ m and was very polydisperse, as observed with DLS. Images were taken immediately after coacervates.

3.3. PELD cytocompatibility

Polycations typically carry high cytotoxicity, making them unsuitable in many translational applications [15]. Development of a biocompatible polycation which can deliver growth factors without affecting the cell response itself is of high importance. We tested the cytocompatibility of PELD using an established method for polycations with the fibroblast cell line, NIH 3T3 [24,25]. We compared PELD to PEI, a common polycation used in cell culture and as a polymer transfectant for non-viral gene delivery [26,27]. We also used PEAD as a positive control, as we have previously shown it to be highly biocompatible both in vitro and in vivo [17]. At concentrations up to 10 mg ml⁻¹ PELD had no effect on cell viability compared to PEAD (Fig. 4). Conversely, PEI induced a significant reduction in fibroblast viability at all concentrations, even as low as 0.01 mg ml^{-1} , compared to both PEAD and PELD. This toxicity of PEI has been well documented previously and exemplifies a major limitation of many polycations in scientific research [11]. These results indicate that PELD displays no in vitro cytotoxicity issues in a range of concentrations that are physiologically relevant, considering the dilution when applied in the body. Considering the biocompatibility of PEAD [17], it is unsurprising that utilizing the same design principle for lysine results in a lysine-based polycation that also has excellent biocompatibility.

3.4. Controlled release from PELD coacervates

The high cytocompatibility of PELD warranted further investigation of its suitability for controlled release of biomolecules. Heparin-binding sites often contain multiple cationic amino acids, rendering a high positive charge density which interacts with the many sulfate groups of heparin [28]. Therefore PELD competes with growth factors and other proteins for binding to heparin, and thus positively charged coacervates exhibit poor loading efficiency (data not shown). Only coacervates with negative zeta potential were investigated for release characteristics. We tested the loading and release of BSA from PELD:heparin coacervates of three different mass ratios over 21 days. BSA is commonly used as a model protein in drug delivery research and it also binds to heparin with a K_d of 4.3 μ M [29]. Observations common to all coacervates were high loading efficiencies of greater than 95%, low initial burst release and sustained release thereafter through to the end of the experiment (Fig. 5). A direct relationship between the charge and release rates was observed, with a more negative charge inducing a slower release of BSA from the coacervate. The total release after 21 days ranged from 17.4% to 58.8% for the -10 and 0 mV coacervates, respectively, with the -5mV coacervate displaying an intermediate total release. We expect that multiple mechanisms play a role in the release of biomolecules: degradation of the polycation, as has been demonstrated with PEAD and similar polycations [17,30], dissociation of the coacervate in an ionic environment, and competition for heparin between the polycation and heparinbinding proteins. The dependence of release on charge supports the participation of the last mechanism. These data demonstrate that a high degree of control over release can be obtained by simply altering the PELD:heparin mass ratio of the coacervate.

3.5. Coacervate coating of polymeric scaffolds

The value of a controlled release vehicle for many tissue engineering approaches will hinge on its ability to coat a polymeric scaffold. The coacervate is highly charged and therefore

interacts by Coulombic forces with polymers bearing surface charge. It also contains many functional groups, such as hydroxyl groups on PEAD, which may form hydrogen bonds with polarized materials. Finally, the coacervate may participate in hydrophobic interactions with non-wetting surfaces as it is phase-separated from water.

We next tested the ability of the coacervate to adsorb to a porous PLGA disk as a model polymeric scaffold. When the turbid coacervate solution was exposed to the disk the optical density dropped rapidly (Fig. 6a). The change in optical density was directly proportional to the fraction of coacervate removed from the solution and adsorbed to the scaffold. The coacervate adsorbed rapidly and after 15 min reached a plateau at maximal fractional adsorption. Coacervate alone with no scaffold served as a control, and showed no significant change in optical density throughout the course of the experiment. After 30 min, coacervate coated scaffolds were a yellow-orange color, similar to the color of PELD (Fig. 6b).

Coated and uncoated PLGA scaffolds were further analyzed by scanning electron microscopy (Fig. 7). The coacervate was clearly observable as a thin network which covered the surface of the PLGA material and also spanned a number of pores with spider's web-like morphology. However, the coating does not occlude the pores, allowing space for cells to infiltrate the scaffold. Coacervate is also clearly visible within the pores of the scaffold, indicating that it penetrated beyond the scaffold outer surface. This may be important for forming a chemokine gradient which extends into the scaffold for recruitment of cells. These data suggest that the coacervate delivery vehicle can be quickly and easily adsorbed onto polymeric tissue engineering scaffolds in aqueous solution to provide sustained release of heparin-binding growth factors or cytokines.

4. Conclusions

We designed a lysine-based polycation to interact polyvalently with intact heparin and spontaneously form a coacervate in aqueous solutions. Compared to the commonly used polycation PEI, PELD displayed significantly better cytocompatibility. Loading and release of BSA was tunable based on the PELD:heparin ratio of the coacervate. Finally, rapid adsorption of the coacervate onto polymeric scaffolds in water provides a simple means to integrate controlled release of biomolecules with tissue engineering scaffolds. These results suggest wide applicability of this polycation for controlled and sustained delivery of heparin-binding proteins.

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Appendix A. Figures with essential colour discrimination

Certain figures in this article, particularly Figs. 3 and 6, are difficult to interpret in black and white. The full colour images can be found in the on-line version, at http://dx.doi.org/ 10.1016/j.actbio.2013.09.012



Fig. 1.

Synthesis and characterization of PELD and PELD:heparin coacervate. (a) The intermediate PED, synthesized by polycondensation of EGDE and aspartic acid. PELD was formed by the conjugation of t-BOC lysine to aspartic acid in PED. Groups producing characteristic chemical shifts in the ¹H NMR spectrum are indicated. (b) The ¹H NMR spectrum of PELD showing signals characteristic of lysine and a backbone composed of glycerol and aspartic acid. D₂O is observed at $\delta 4.8$. (c) FTIR spectrum of PELD showing ester stretches at 1665 cm⁻¹ and weak amide and hydroxyl stretches near 3000 cm⁻¹. (d) DSC thermogram indicates a glass transition temperature of 51.92 °C. (e) Scanning electron micrograph of lyophilized PELD:heparin coacervate displaying both ribbon-like sheets and globular domains. Scale bar = 10 µm.



Fig. 2.

Zeta potential and hydrodynamic diameter of PELD:heparin coacervates. PELD was combined with heparin at different mass ratios to form coacervates. (a) Zeta potential measurements reveal surface charge of coacervates, with an isoelectric point (charge neutrality) at a mass ratio of 10. (b) DLS measurements of the hydrodynamic diameter and polydispersity of the coacervates. The hydrodynamic diameter represents the average size of the coacervate droplets in water. The PDI is arbitrarily limited to a maximum value of 1, with values 0.2 being considered monodisperse and values 0.7 indicating high polydispersity.



Fig. 3.

Fluorescent imaging of coacervates. Fluorescein, a charged fluorescent dye, was incorporated into the coacervate to enable imaging by fluorescent microscopy. $\times 10$ Magnification images of coacervates with PELD:heparin mass ratios of (a) 5, (b) 10 and (c) 15. (d–f) High-magnification ($\times 40$) images of a–c, respectively. Scale bars = 100 µm.



Fig. 4.

Polycation cytotoxicity. NIH3T3 fibroblasts were exposed to PEAD, PELD or PEI dissolved in culture medium at 0.01, 0.1, 1 or 10 mg ml⁻¹. Cell viability was measured after 24 h by Live/Dead Assay. *p < 0.01 compared to both PEAD and PELD groups.



Fig. 5.

BSA release from coacervates. PELD:heparin mass ratios of 10, 8 and 6 were used, which correspond to zeta potentials of approximately 0, -5 and -10 mV, respectively. Each coacervate was loaded with 500 µg BSA, and release was quantified on days 0, 1, 3, 7, 14 and 21 by total protein assay.



Fig. 6.

Adsorption of PELD coacervate to polymer scaffolds. PELD coacervate was prepared in water and then porous PLGA scaffolds were added. (a) Coacervate adsorption to the scaffold was measured as a fractional change in optical density. A control coacervate solution did not receive a scaffold. (b) PELD coacervate-coated scaffold (right) next to a bare PLGA scaffold (left) at the endpoint of the experiment. Ruler units are 1 mm.



Fig. 7.

Scanning electron micrographs of PELD coacervate adsorbed to scaffolds. PLGA scaffolds with a 75–150 μ m pore size were prepared by the salt-leaching method and imaged by SEM. (a) Uncoated PLGA scaffold (×150 magnification). (b) Coated PLGA scaffold, submerged in PELD coacervate solution in water for 5 min, then lyophilized and imaged (×150 magnification). (c, d) High-magnification (×500) SEM images of the indicated areas of (a) and (b), respectively. All scale bars = 100 μ m.