

Cite this: *Chem. Sci.*, 2016, 7, 1527

# Shape-memory effect in an organosuperelastic crystal†

Satoshi Takamizawa\* and Yuichi Takasaki

Shape-memory materials, *i.e.*, polymers (SMPs: shape-memory polymers) and alloys (SMAs: shape-memory alloys), have been developed in very different ways since they are historically far apart in material type as well as physical property. In the deformation process, SMPs require only a slight stress due to the properties of organic polymer solids, and they reveal a smaller recovery force during the thermoplastic process whereas SMAs require a relatively large stress due to metallic properties, and they thermally tighten to generate a larger recovery force *via* destabilization of the stress-induced phase. An investigation into the unexplored area of the material adjoining both ends of SMPs and SMAs would lead toward a better understanding of shape-memory materials and extend future applications and material types. Here, we report the discovery of a shape-memory effect in an organic crystal bearing a combination of crystal transformability like in SMAs with organic components like SMPs.

Received 26th October 2015  
Accepted 17th November 2015

DOI: 10.1039/c5sc04057d

www.rsc.org/chemicalscience

## Introduction

Shape-memory materials are fascinating materials that regain their original shapes from mechanically deformed states and are categorized into polymers and metal alloys. The two material types are completely different, not only in type of material but also in the nature of their shape-recovery. Shape-memory polymers<sup>1</sup> (SMPs) are rather flexible and work *via* thermal relaxation of the polymer to show their hidden tensility *via* the positional reversion of embedded linkup or stable bundling points, whereas shape-memory alloys<sup>2</sup> (SMAs) are stiff and transform to gain enough recovery force to overpower stiffness *via* thermal phase destabilization of the stress-induced domains. Thus, SMP recovery during the thermoplastic process is feeble whereas SMA recovery is powerful with an increased tension with a rise in temperature.

After the term “shape memory” appeared during the development of Ni–Ti as an engineering alloy in 1960s,<sup>3</sup> SMAs have been under focus because of their new properties and anticipated applications. The shape-memory effect is considered to be a phenomenon strongly related to superelasticity. The earliest reports on shape memory and superelasticity were made for Au–Cd in 1951 (ref. 4) and 1932.<sup>5</sup> Despite the advantages of strength and/or durability in applying shear *via* the operation of tension or compression, metal alloys require a certain amount of energy

input to cause deformation. Thus, a certain bias force is needed in the cyclic actuating motion, which causes problems in micro-device applications such as micro springs, micro valves, and microelectromechanical systems (MEMS). Furthermore, materials free of toxic elements are required, especially for medical uses from a biocompatible perspective. The chemical synthesis of SMA-like materials would bring important contributions to the evolution of materials science since the current SMA materials are limited to metal alloys and ceramics.<sup>6</sup>

While the mechanism of the shape-memory effect of SMAs is not fully understood, Otsuka and Shimizu proposed the empirical hypothesis for SMAs<sup>7</sup> that the shape-memory effect and superelasticity are fundamentally coexisting phenomena; one phenomenon appears by giving the appropriate temperature if the other phenomenon appears. In particular, the thermoelastic martensitic transformation, which generates mobile interfaces during the growth of daughter domains *via* temperature change, is commonly thought to be important for thermomechanical shape-recovery motion, which is the so-called shape-memory effect in SMAs.

On the other hand, the term “shape-memory” in polymer science is rather new, appearing in the middle of the 1980s,<sup>8</sup> where SMP shape recovery occurs during thermal softening around the glass transition temperature to release the restraint in shape recovery motion. The shape memory effect involved in SMAs was not known for any organic materials including SMPs although organic materials do have extendibility in various kinds of polymers in developing functional material chemistry. Thus, we thought that an investigation into the unexplored area of the material adjoining both ends of SMPs and SMAs would lead toward a better understanding of shape-memory materials and extend future applications and material types.

Department of Nanosystem Science, Graduate School of Nanobioscience, Yokohama City University, 22-2 Seto, Kanazawa-ku, Yokohama, Kanagawa 236-0027, Japan.  
E-mail: staka@yokohama-cu.ac.jp

† Electronic supplementary information (ESI) available: Experimental details and crystallographic data. CCDC 1422938 and 986256–986258. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c5sc04057d





the  $\alpha$  phase (394 K,  $V/Z$ : 960.7 Å<sup>3</sup>) into monoclinic  $P2_1/n$  in the  $\beta$  phase (403 K,  $V/Z$ : 968.5 Å<sup>3</sup>) with a 0.8% expansion in volume (Table S1†). Crystal face indexing showed the interfaces for shear-induced twinning with  $\alpha^+/\alpha^-$  ( $(103)_{\alpha^+}/(103)_{\alpha^-}$  and  $(\bar{1}0\bar{3})_{\alpha^-}/(\bar{1}0\bar{3})_{\alpha^+}$ ) and for the heat-induced crystal of  $\alpha^\pm/\beta$  ( $(205)_{\alpha^\pm}/(\bar{3}\bar{1}3)_\beta$  and  $(205)_{\alpha^-}/(\bar{3}\bar{1}3)_\beta$ ), respectively (Fig. 1d and e).

Since the neighbouring crystal cells fit exactly at the mirror interface in  $\alpha^+/\alpha^-$ , there is no lattice strain at the interface although a conformational strain can exist in the component molecules on the interface. In contrast, a certain lattice strain did exist at the  $\alpha/\beta$  interface with the rate of facing crystal surfaces of 0.98 for  $S_{(205)\alpha^+}/S_{(\bar{3}\bar{1}3)\beta}$ .

Thus, the  $\alpha$  and  $\beta$  crystal faces should respectively feel the forces of face extension and contraction, which can generate a certain shear contributing to a reverse transformation. The crossing plane angles related to the unit cells from the X-ray data are estimated at 12.2° and 5.3° for the  $\alpha^+/\alpha^-$  and  $\alpha^\pm/\beta$  (=  $\alpha^+/\beta$  or  $\alpha^-/\beta$ ) connections, respectively, which is in agreement with the crystal bending angles of 12.0° and 6.0° observed under a microscope. This demonstrated how to preserve one-to-one molecular correspondence during transformation to satisfy the martensitic manner (refer to Fig. 1d and e). The  $\alpha^+/\alpha^-$  connection takes a rotary reflection plane regarded as a Type II twin,<sup>17</sup> which requires the rotation of the molecules when the boundary moves during the crystal transformation. The  $\alpha^\pm/\beta$  interface has a similar correlation in the  $\alpha^+/\alpha^-$  interface. The density of the molecules at the interface should be unchanged in  $\alpha^+/\alpha^-$  and its waviness changed along the  $\alpha^\pm/\beta$  boundary plane. In the appearance of the  $\alpha^\pm/\beta$  interface, the method of deviation of the molecular density (orange and green lines in Fig. 1f and h) determines the interface of either  $\alpha^+/\beta$  or  $\alpha^-/\beta$ . Since molecular components have certain complexities in figures unlike the atomic components in SMAs, the molecular correspondence at the interface can be regulated *via* a change of orientation and conformation as well as their relative position. A minimal molecular rotation with a harmonic conformational change can achieve molecular correspondence in the interface without a break in the crystal.

Thermal transition for **1** from the low temperature phase ( $\alpha$ ) to the high temperature phase ( $\beta$ ) was confirmed *via* DSC measurements (Table 1 and Fig. S3†). The transition temperatures and thermodynamic parameters were:  $T_{\alpha-\beta} = 122.94$  °C;  $\Delta H$  ( $\Delta S$ ) = 3.01 kJ mol<sup>-1</sup> (7.60 JK<sup>-1</sup> mol<sup>-1</sup>) for the transition from the  $\alpha$  phase to the  $\beta$  phase;  $T_m = 230.05$  °C;  $\Delta H$  ( $\Delta S$ ) = 41.0 kJ mol<sup>-1</sup> (81.4 JK<sup>-1</sup> mol<sup>-1</sup>) upon melting. Because of the sufficiently large  $\Delta S$  (>20.9 JK<sup>-1</sup> mol<sup>-1</sup> (ref. 18)) upon melting, the  $\beta$  phase should not be considered as a plastic mesophase but

instead as an ordinal crystal phase. The temperature hysteresis can be defined using four pertinent transition temperatures ( $M_f$  ( $T_e$  for cooling),  $M_s$  ( $T_0$  for cooling),  $A_s$  ( $T_0$  for heating), and  $A_f$  ( $T_e$  for heating) were 118.56, 120.46, 123.00, and 124.97 °C, respectively, with respect to the scientific notation for SMAs) with an extremely small separation of 2.5 K between  $A_s$  and  $M_s$  for **1**. Since interfacial inconsistency increases the separation in a temperature hysteresis, **1** should have good interfacial consistency, which is preferable to the non-diffusional crystal-to-crystal transformation accompanying the crystal phase transition. The  $\alpha$ - $\beta$  thermal structural transition of **1** was confirmed using PXRD measurements (Fig. S4†).

From the thermal perspective of the crystal phase of **1**, the crystal phase should be  $\alpha$  below  $M_s$  (L) and  $\beta$  over  $A_s$  (H) (Fig. 2i). Either phase is allowed between  $M_s$  and  $A_s$  (L/H) when the dependence on temperature is going up from  $\alpha$  or down from  $\beta$ . We recorded the cyclic strain curves caused by shear on  $(10\bar{1})_\alpha$  and  $(010)_\beta$  at various temperatures between -50 °C and 130 °C. The conditions of the cyclic shear test on crystal **1** are summarized in Table S2.† The kinetic properties can be divided into the martensitic twinning (T) and superelastic (S) regions. In

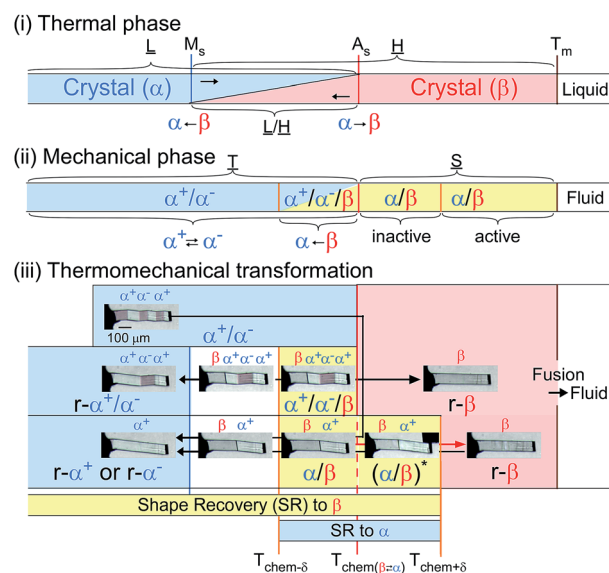


Fig. 2 Phase diagram for **1**: thermal transition (upper), kinetic phase diagram for force-induced mechanical phase (middle), and shape-recovery diagram for thermomechanical transformation (bottom) ( $M_s$ , 120.46 °C;  $A_s$ , 123.00 °C;  $T_m$ , 230.05 °C. SR,  $r$ - $\alpha$  or  $r$ - $\beta$  mean shape recovery and crystal phase restored into the original shape. \*Shear is needed to conserve the deformation).

Table 1 Thermodynamic parameters obtained using DSC measurements for **1**

Phase transition ( $\alpha$ to $\beta$ )			Melting (fusion of $\beta$ crystal)			Index temperature		
$T_{\alpha-\beta}/^\circ\text{C}$	$\Delta H/\text{kJ mol}^{-1}$	$\Delta S/\text{JK}^{-1} \text{mol}^{-1}$	$T_m/^\circ\text{C}$	$\Delta H/\text{kJ mol}^{-1}$	$\Delta S/\text{JK}^{-1} \text{mol}^{-1}$	$A_s$ ( $A_f$ )/ $^\circ\text{C}$	$M_s$ ( $M_f$ )/ $^\circ\text{C}$	$A_s-M_s/^\circ\text{C}$
122.94	3.01	7.60	230.05	41.0	81.4	123.00 (124.97)	120.46 (118.56)	2.54



the shear-induced transformation of **1** under our experimental conditions,  $T$  spreads from at least  $-50$  °C to  $A_s$  (123 °C) in L. The superelastic region (S) is located from  $A_s$  up to at least 129 °C in H. In T, the crystal transformed following  $\alpha^+ \rightarrow \alpha^-$  with a constant amplitude of shear and left a residual strain (Fig. 3a). In contrast, the crystal was spontaneously restored above the  $A_s$  temperature at the beginning of S (Fig. 3b). The shear for the reverse transformation showed cyclic shear-strain curves without residual strain. With a typical plateau, the cyclic curves demonstrated superelasticity (organosuperelasticity<sup>9,10</sup>) during the progress of the transformation. The superelastic transformation of **1** was reproduced in 100 cycles of measurements at 124.5 °C (Fig. S10†).

From the shear-strain curves in Fig. 3a and b, the notation in the shear tests pointed to the typical curve for  $\sigma_{\#}$  (mother  $\rightarrow$  daughter) ( $\#$ : nucleation, forward-transformation, reverse-transformation, and chemical) (Fig. 3c). The critical shear for the transformation,  $\sigma_{f\text{-trans}}$ , exhibited a slight decrease with a rather constant amplitude with an elevation of the temperature from  $-50$  °C up to  $A_s$ , at which point  $\sigma_{f\text{-trans}}(\alpha^+ \rightarrow \alpha^-)$  was

replaced with  $\sigma_{f\text{-trans}}(\beta \rightarrow \alpha)$  along the thermal change of the mother crystal phase from  $\alpha$  to  $\beta$  (Fig. 4 & S9†).

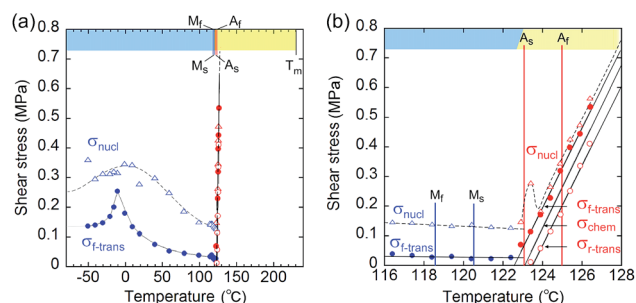


Fig. 4 Temperature dependence of the index shears ( $\sigma_{\text{nucl}}$  (triangle),  $\sigma_{f\text{-trans}}$  (solid circle), and  $\sigma_{r\text{-trans}}$  (circle) ( $\sigma_{\text{chem}}$  is depicted as the mean line of  $\sigma_{f\text{-trans}}$  and  $\sigma_{r\text{-trans}}$ ): general drawing (a) and extended graph around  $A_s$  (b) (blue and red plots indicate the stressed crystal phase for  $\alpha$  and  $\beta$ , respectively). The chart upper limb has a color band for indicating the phase according to the phase diagram in Fig. 2(ii). ( $M_f$ : 118.56 °C;  $M_s$ : 120.46 °C;  $A_s$ : 123.00 °C;  $A_f$ : 124.97 °C).

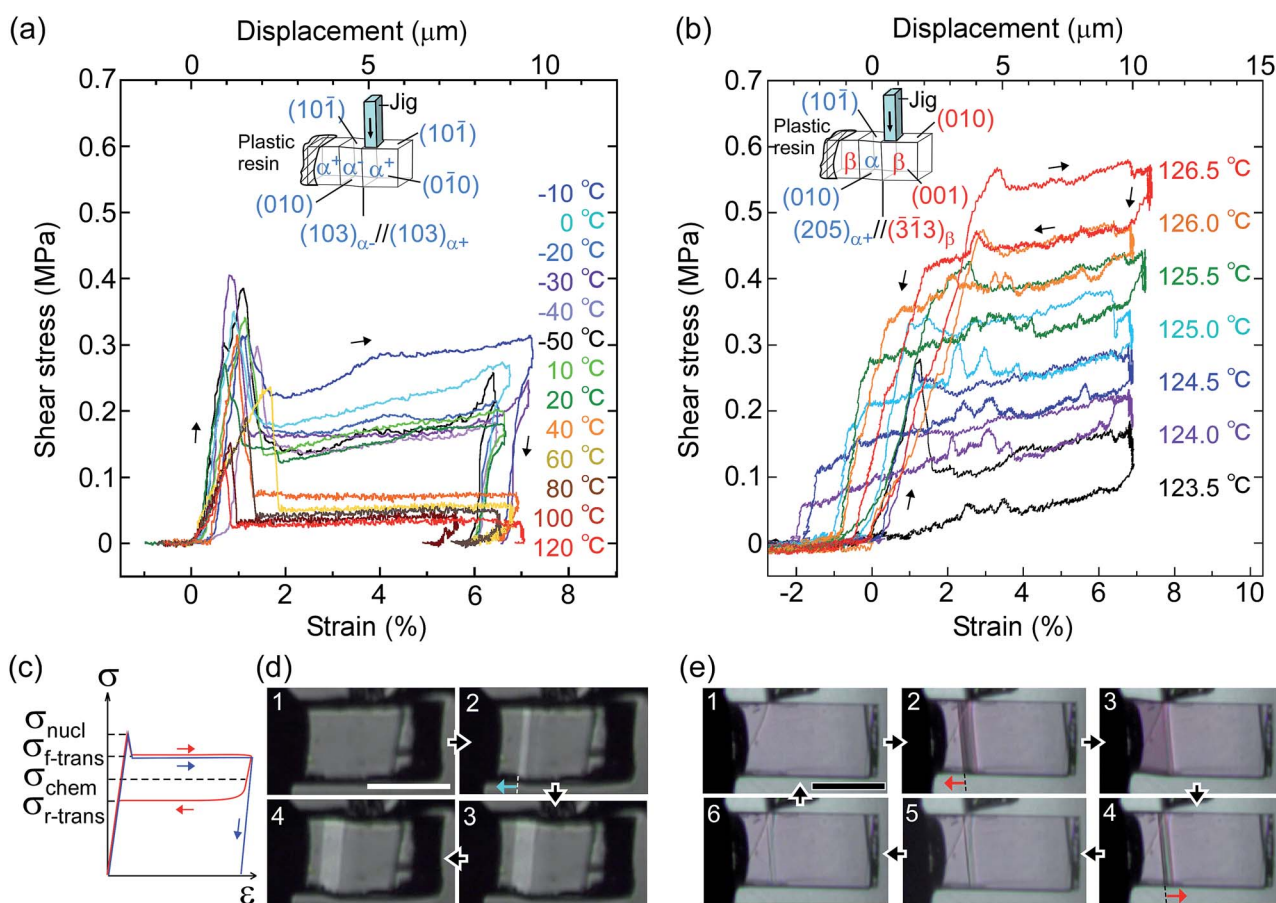


Fig. 3 Shear-strain curves for single crystal **1** at various temperatures: martensitic transformation of twinning  $\alpha$  (a) and superelastic  $\beta$  (b) caused by pushing the top surface of the crystal specimen fixed on a hot and cold stage. The experimental conditions are summarized in Table S2,† and all stress-strain curves are shown in Fig. S8,† In the current shear direction, based on the crystal data, the maximum strain ( $\epsilon_{\text{max}}$ ) is expected for  $\alpha^+/\alpha^-$  at 0.217 and for  $\beta/\alpha$  at 0.107 according to the combination of cells. The schematic curve in the lower left shows typical traces for twinning (blue) and superelasticity (red) with the designations of shear used in this paper (c). Photographs with a polarized microscope at  $-10$  °C (d) and  $126.5$  °C (e) (Movie S2†).



The amplitude of  $\sigma_{f\text{-trans}}$  showed a sharp peak of 0.25 MPa around  $-10^\circ\text{C}$ , which immediately decreased to 0.11 MPa at  $20^\circ\text{C}$ , and then decreased slightly, approaching 0.02 MPa below  $A_s$ . Across  $A_s$ ,  $\sigma_{f\text{-trans}}$  increased linearly in temperature with a gradient of  $0.135\text{ MPa }^\circ\text{C}^{-1}$ . The gradient is known to be related to the enthalpy change and rate of strain in the transformation ( $4.11\text{ MPa }^\circ\text{C}^{-1}$  for the Ti–Ni alloy)<sup>19</sup> (the estimated transformation enthalpy is  $-3.26\text{ kJ mol}^{-1}$  for **1** using the Clausius–Clapeyron equation<sup>20</sup>). The amplitude of  $\sigma_{\text{nucl}}$  followed the temperature course of  $\sigma_{f\text{-trans}}(\alpha^+ \rightarrow \alpha^-)$  in a moderate manner and eventually became undetectable after showing a peak after immediately passing  $A_s$ . After reaching the S region, superelasticity takes place. The vertical (shear stress) width in the shear–strain hysteresis was regarded as being constant at 0.123 MPa to depict the parallel lines for  $\sigma_{f\text{-trans}}(\beta \rightarrow \alpha)$  and  $\sigma_{r\text{-trans}}(\beta \leftarrow \alpha)$ . The linear increase of  $\sigma_{f\text{-trans}}(\beta \rightarrow \alpha)$  and  $\sigma_{r\text{-trans}}(\beta \leftarrow \alpha)$  was launched from an almost negligible shear. This means that the indexes for a superelastic transformation change along with the temperature (refer to Table S3 & Fig. S11†). For the definition of S, the chemical shear ( $\sigma_{\text{chem}}(\beta \rightleftharpoons \alpha)$ ) should be considered, which is located between  $\sigma_{f\text{-trans}}(\beta \rightarrow \alpha)$  and  $\sigma_{r\text{-trans}}(\beta \leftarrow \alpha)$ . The mean line can be the chemical shear ( $\sigma_{\text{chem}}$ ) because the width of the two lines seems to be sufficiently narrow although the position of  $\sigma_{\text{chem}}$  should be shifted due to the relationship between the difference in the thermodynamic energy of the crystal phase and, in addition, the loss of energy depending on the way of deformation.

The  $\sigma_{\text{chem}}(\beta \rightleftharpoons \alpha)$  just intercepts  $A_s$  at which  $T_{\text{chem}}(\beta \rightleftharpoons \alpha)$  can be defined. This is reasonable since the phase change should cause the chemical evocation of the reverse transformation.  $A_s$  is the temperature in the crossing of the Gibbs free energies of the  $\alpha$  and  $\beta$  phases while  $\sigma_{f\text{-trans}}$  and  $\sigma_{r\text{-trans}}$  are expected to intercept at the  $M_s$  and  $A_s$  temperatures,<sup>21,22</sup> respectively, if the common kinetics correlation is considered, which was proposed for thermomechanical pseudoelasticity in SMAs.<sup>23</sup> From a general view, the observed thermomechanical diagram for **1** is similar to the thermoelastic martensitic transformation for SMAs proposed by Otsuka and Shimizu in 1986.<sup>24</sup>

A more detailed definition is required for the thermo-mechanical transformation of the shape recovery of **1**. In the temperature range between  $T_{f\text{-trans}}(\beta \rightarrow \alpha)$  and  $T_{r\text{-trans}}(\beta \leftarrow \alpha)$ , which includes a temperature region even lower than  $A_s$ , an applied force can induce  $\beta \rightarrow \alpha$  transformation to lead to an  $\alpha/\beta$  crystal state without a spontaneously reversing transformation.

Considering the allowance of  $\alpha^+ \rightarrow \alpha^-$  twinning caused by shear, the static deformed state should be categorized into three temperature parts:  $\alpha^+/\alpha^-$  below  $T_{\text{chem}-\delta}$ ,  $\alpha^+/\alpha^-/\beta$  between  $T_{\text{chem}-\delta}$  and  $T_{\text{chem}}$ , and  $\beta/\alpha$  between  $T_{\text{chem}}$  and  $T_{\text{chem}+\delta}$  (refer to Fig. 2(iii)). Thus, the shape-memory region (mechanically deformed state) can take  $\alpha^+/\alpha^-$  below  $A_s$  ( $T_{\text{chem}}$ ). The  $\alpha^+/\alpha^-/\beta$  deformation can be prepared between  $T_{\text{chem}-\delta}$  and  $T_{\text{chem}}$  and superelastically regain the  $\beta$  solid shape if crossing over  $T_{\text{chem}+\delta}$  with elevating temperature. The superelastic recovery of the deformed crystal needs a  $\delta$  rise in temperature against the thermal transition temperature of  $A_s$  ( $T_{\text{chem}}$ ). The shape-recovery velocity ( $\nu_{r\text{-trans}}$ ) in the spontaneously superelastic reverse transformation

increases in proportion to the square of the temperature as the strength of the induced shear increases in relation to  $\nu_{r\text{-trans}} \propto \sigma_{r\text{-trans}}^2$  ( $\because \sigma_{r\text{-trans}} \propto (T - T_{\text{chem}+\delta})$ ), which is suggestive of the conversion of the potential energy to kinetic energy (Fig. S5†). In the heat-induced reversible transformation, the crystal of **1** thermally transforms across the transition temperatures of  $M_s$  ( $\beta \rightarrow \alpha$ ) and  $A_s$  ( $\alpha \rightarrow \beta$ ) in the manner of an isothermal martensitic transformation. Due to the ease in the interface shuttle in **1**, a mere thermal transition can recover the shape upon generating a slight shear of 0.03 MPa at  $M_s$  and less than 0.01 MPa at  $A_s$  (Fig. S9†).

The temperature part between  $T_{\text{chem}-\delta}$  and  $T_{\text{chem}+\delta}$ , which depends on the shear–strain hysteresis, takes the two-way shape-memory region<sup>25</sup> since the mechanical deformation in this temperature range is capable of recovering the  $\alpha \rightarrow \beta$  and  $\beta \rightarrow \alpha$  thermal transformations for heating and cooling, respectively.

In order to investigate the thermal replacement of the martensitic transformation with crystal breaking under stress, a unidirectional compression test was performed on the  $(10\bar{1})_\alpha$  and  $(010)_\beta$  crystal faces, the same direction as in the shear tests (Fig. 5). The plastic deformation derived from the events of easy dislocation and a slide could occur despite organic molecular solids with weak intermolecular interaction. For example, simple ionic crystals such as sodium chloride are known to be typical solids that undergo plastic deformation.<sup>26</sup>

As the temperature increased, Young's modulus ( $E$ ), which concerns the elastic hardness of a solid, changed from 0.5 GPa at  $-30^\circ\text{C}$  to a peak of 1.43 GPa at  $10^\circ\text{C}$ , decreased almost linearly to the  $M_s$  point with 0.4 GPa, jumped around  $A_s$  to show a sudden peak at  $130^\circ\text{C}$  with 0.9 GPa, and finally decreased quickly and approached zero at the melting temperature. The yield pressure  $\pi_y$  started at 10 MPa and ended at 1 MPa close to the melting point at  $230^\circ\text{C}$  through the highest peak around  $10^\circ\text{C}$  and jumped around  $130^\circ\text{C}$  in the same manner as the Young modulus but in a rather moderate fashion. At the narrow region over  $A_s$  just after the thermal phase changed from the  $\alpha$  to the  $\beta$  crystal phase, the Young modulus and the yield pressure suddenly jumped, resulting in getting a tentative hardness of the  $\beta$  phase crystal with an increase in the tolerance toward crystal compression against stress.

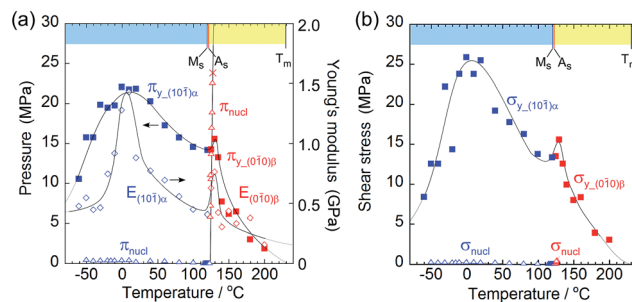


Fig. 5 Comparison of yield stress ( $\pi_y$ ) and nucleation stress ( $\pi_{\text{nucl}}$ ) with respect to pressure ( $\pi$ ) on the surface (a) and shear ( $\sigma$ ) (b). Young's modulus ( $E$ ) is added in the left figure to understand the similarity of  $E$  to  $\pi_y$ .



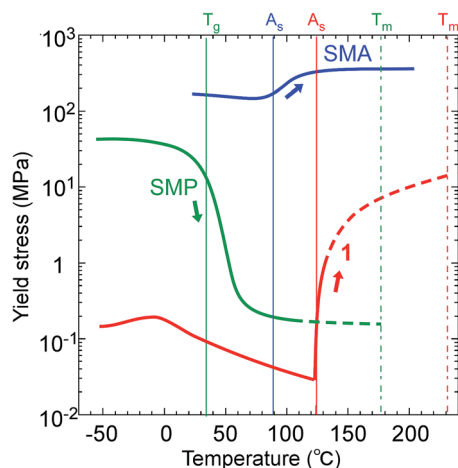


Fig. 6 Comparison of the yield stress (or critical shear) of **1** ( $M_s$ : 120.46 °C;  $A_s$ : 123.00 °C;  $T_m$ : 230.05 °C) with a representative SMP (polyurethane;<sup>27</sup>  $T_g$ : 32 °C) and SMA (Ti-Ni alloy;<sup>19</sup>  $M_s$ : 75 °C,  $A_s$ : 89 °C).

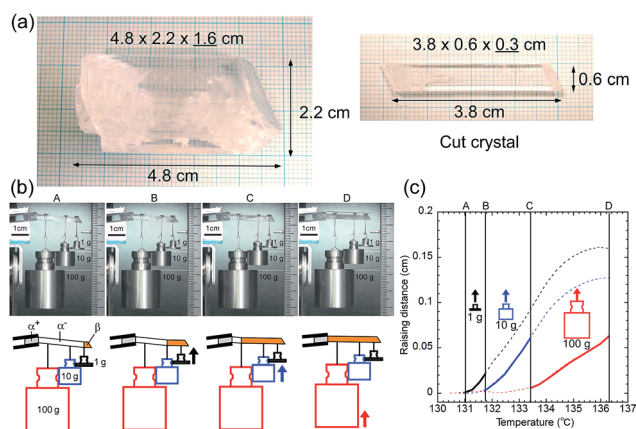


Fig. 7 Photographs of a large single-crystal and a cut crystal for the lifting experiment (a). Snapshots extracted from Movie S3† during the lifting work of **1** hung by 1, 10, 100 g SUS weights in an oven with a schematic diagram for the explanation (b) and a sequential lifting course for each weight with temperature rise (c).

From the viewpoint of crystal breaks caused by stress, some deformation can occur if a solid yields plastically before the martensitic transformation begins. The yielding process should match the compression pressure  $\pi_y$  at the contact area of the jig (Fig. 3a and b, and Fig. 5a). The crossing point of  $\pi_y$  and the nucleation pressure ( $\pi_{\text{nuc}}$ ) is around 125 °C. Consequently, the crystal breaking of **1** is triggered by local compression on the jig, which determines the border temperature in H. An effective measurement configuration will practically save the wider temperature region of S with a larger jig contact area.

Although the crossing of nucleation stress and yield stress in terms of pressure and shear was not observed in L, the crystal seemed to break due to dislocation generation because it broke into a mosaic form at around -60 °C whereas it broke in a cleavage manner at around 130 °C.

The thermomechanical characteristics of **1** measured on microcrystals showed an easy deformation, less than with SMPs

(polyurethane<sup>27</sup>), with a thermal increase in the directional recovery shear ( $\sigma_{\text{r-trans}}$ ) up to 10 MPa with  $5 \times 10^2$  times more force back against the twinning shear ( $\sigma_{\text{f-trans}}$ ) of 0.02 MPa below  $A_s$ , which is beyond SMAs (Ti-Ni alloy<sup>19</sup>) with 2.6 times that in width, as shown in Fig. 6.

A single crystal of **1** with a 1 cm<sup>2</sup> cross-sectional area is expected to lift a 100 kg weight horizontally with 10 MPa recovery shear generation around 200 °C if  $\sigma_{\text{f-trans}}$  linearly increases in temperature with a gradient of 0.135 MPa °C<sup>-1</sup> from the  $A_s$  temperature. In order to visually observe the lifting work, we prepared a large single crystal and cleaved it into a 3.8 cm long specimen of 0.6 g in self-weight with a 0.18 cm<sup>2</sup> (0.6 × 0.3 cm) cross-sectional area, which had a 1.8 kg lift capability with a 1 MPa recovery shear around 130 °C. Considering the current experimental configuration, we hung SUS weights of 1, 10, and 100 g (more than 10<sup>2</sup> times heavier than the specimen's own weight) with a SUS filament on the single-crystal specimen after the specimen was manually deformed below  $A_s$ . After the experimental system was warmed in an oven, the specimen regained its default straight shape *via* sequential lifting as the recovery shear increased during the rise in temperature, demonstrating the practical and feasible work capability of the shape-memory effect of **1**, (Fig. 7) although the lifting started at 131.0 (1 g), 131.8 (10 g), and 133.4 (100 g) °C, which are higher by 5.9 (131.0–125.1)–8.2 (133.4–125.2) °C than the expected starting temperatures from the microcrystal experiments depicted in Fig. 1a, probably due to the non-ideal strain in a large crystal specimen hanging heavy weights. This demonstrates the desired characteristics of shape-memory materials for miniaturization and conversion of heat into a practical work output within a narrow temperature cycle. It should be noted that **1** exhibits a high  $A_f$  point of 124.97 °C, which is higher than 110 °C known as the highest limit for binary Ni-Ti alloys without any additive.

## Conclusions

In conclusion, we demonstrated a transcendent shape-memory effect in an organic crystal, which can thermally generate a large recovery force in an SMA-like thermal manner with easier deformability than that of SMPs. The thermomechanical properties concealed in the organic crystal will at least contribute to the development of new shape-memory (recovery) materials and provide novel strategies for dealing with the current problems in handling conventional SMPs and SMAs for miniaturization, hybridization, biocompatibility, effective thermal work efficiency, and so on. The integrated understanding of shape-memory materials would achieve the augmentation of future applications and material types without being limited to the sciences of polymer chemistry and metal physics.

## Experimental

### Preparation of the materials

Tetrabutyl-*n*-phosphonium tetraphenylborate ( $(P^+Bu_4)(BPh_4)^-$  (**1**)): two separately prepared 0.15 M aqueous solutions of NaBPh<sub>4</sub> and PBu<sub>4</sub>Br were mixed at the same volume ratios



(equivalent in stoichiometry), immediately precipitating into a colorless crystalline powder, which was collected by filtration. After being washed several times with the necessary minimum volume of water, vacuum drying at 25 °C gave a colorless crude powder, which was then recrystallized from acetone or acetonitrile to form colorless crystals in 82.6% yield. Well-formed colorless rod crystals were obtained *via* recrystallization from acetone and used for the experiments after vacuum drying.

### X-ray structural analysis

Single-crystal X-ray structural analysis of **1** was performed at 183, 298, 394, and 403 K using a Bruker Smart APEX CCD area diffractometer (Bruker AXS K.K.) with a nitrogen-flow temperature controller using graphite-monochromated Mo K $\alpha$  radiation ( $\lambda = 0.71073$  Å). Empirical absorption corrections were applied using the SADABS program. The structure was solved using direct methods (SHELXS-97) and refined with full-matrix least-squares calculations on  $F^2$  (SHELXL-97) using the SHELXTL program package. Non-hydrogen atoms were refined anisotropically; hydrogen atoms were fixed at calculated positions using the riding model approximation. Crystallographic data of the structure are summarized in Table S1.† Crystal face indexing was carried out using SMART in a SHELXTL Ver. 6.12 program package with a twin resolution program.

### Thermal analysis

TG-DTA and DSC measurements were carried out using Shimadzu DTG-60 and DSC-60.

### Powder X-ray diffraction measurement

PXRD measurements were performed using Bruker D8 Advance (Bruker AXS K.K.) with a heat controller using Cu K $\alpha$  radiation ( $\lambda = 1.5406$  Å).

### Stress-strain test

Stress tests were carried out using a universal testing machine (Tensilon RTG-1210, A&D Co. Ltd.) equipped with a microscope and a hot & cold stage (Fig. S7†). After one end of a single crystal was fixed on the metal stage by using a plastic resin, a blade-shaped metal jig (5 or 95  $\mu\text{m}$  wide) was pushed across the  $(10\bar{1})_\alpha$  or  $(010)_\beta$  surface of the crystal specimen at a constant speed of 200 or 500  $\mu\text{m min}^{-1}$  (Table S2†).

### Preparation of the bent crystals

The bent crystal specimens were prepared by applying shear stress using tweezers for small crystals under a microscope, and using fingers for large crystals (Fig. S13†).

## Acknowledgements

This work was partially supported by a Research Grant, SUZUKI Foundation, IKETANI Science & Technology Foundation, and Hitachi Metals Materials Science Foundation (in memory of Kakunosuke Miyashita) for S.T.

## Notes and references

- 1 A. Lendlein, *Shape-Memory Polymers*, Springer-Verlag, Heidelberg, Germany, 2010.
- 2 K. Otsuka and C. M. Wayman, *Shape Memory Materials*, Cambridge University Press, Cambridge, 1998.
- 3 W. J. Buehler, J. W. Gilfrich and R. C. Wiley, *J. Appl. Phys.*, 1963, **34**, 1475–1477.
- 4 L. C. Chang and T. A. Read, *Transactions of the AIME*, 1951, **189**, 47–52.
- 5 A. Ölander, *J. Am. Chem. Soc.*, 1932, **54**, 3819–3833.
- 6 G. Z. Wei, R. Sandstrom and S. Miyazaki, *J. Mater. Sci.*, 1998, **33**, 3743–3762.
- 7 K. Otsuka and K. Shimizu, *Int. Met. Rev.*, 1986, **31**, 93–114.
- 8 (a) H. Kimura and F. Teraoka, *J. Osaka Univ. Dent. Sch.*, 1986, **26**, 59–65; (b) C. Liu, H. Qin and P. T. Mather, *J. Mater. Chem.*, 2007, **17**, 1543–1558.
- 9 S. Takamizawa and Y. Miyamoto, *Angew. Chem., Int. Ed.*, 2014, **53**, 6970–6973.
- 10 S. Takamizawa and Y. Takasaki, *Angew. Chem., Int. Ed.*, 2015, **54**, 4815–4817.
- 11 H. Schwenk, K. Andres, F. Wudl and E. Aharon-Shalom, *J. Phys., Colloq.*, 1983, **44**(C3), 1041–1045.
- 12 T. Ishiguro, T. Ukachi, K. Kato, K. Murata, K. Kajimura, M. Tokumoto, H. Tokumoto, H. Anzai and G. Saito, *J. Phys. Soc. Jpn.*, 1983, **52**, 1585–1592.
- 13 M. Mukoujima, K. Kawabata and T. Sambongi, *Solid State Commun.*, 1996, **98**, 283–286.
- 14 F. Kaneko and M. Kobayashi, *J. Phys. Chem. B*, 1997, **101**, 285–292.
- 15 Some tetra-*n*-alkylammonium salts are known as plastic crystals and are described in A. Xenopoulos, J. Cheng, M. Yasuniwa and B. Wunderlich, *Mol. Cryst. Liq. Cryst. Sci. Technol., Sect. A*, 1991, **214**, 63–79.
- 16 Crystal data of **1** for the  $\alpha$  phase at 183 K (CCDC-1422938): triclinic,  $P\bar{1}$ ,  $a = 10.1868$  (7) Å,  $b = 18.7141$  (13) Å,  $c = 20.7566$  (15) Å,  $\alpha = 70.748$ (2)°,  $\beta = 86.972$  (2)°,  $\gamma = 76.006$  (2)°,  $V = 3623.3$  (4) Å<sup>3</sup>,  $Z = 4$ ,  $D_{\text{calc}} = 1.061$  Mg m<sup>-3</sup>,  $R_1 = 0.0888$  (0.2179),  $wR_2 = 0.1966$  (0.2639) for 5053 reflections with  $I > 2\sigma(I)$  (for 12760 reflections (21308 total measured)), goodness-of-fit on  $F^2 = 1.004$ , largest diff. peak (hole) = 0.724 (−0.349) e Å<sup>-3</sup>. The  $\alpha$  phase at 298 K (CCDC-986256): triclinic,  $P\bar{1}$ ,  $a = 10.311$  (3) Å,  $b = 18.895$  (5) Å,  $c = 20.770$  (6) Å,  $\alpha = 69.618$  (7)°,  $\beta = 85.946$  (7)°,  $\gamma = 76.898$  (6)°,  $V = 3694.1$  (18) Å<sup>3</sup>,  $Z = 4$ ,  $D_{\text{calc}} = 1.040$  Mg m<sup>-3</sup>,  $R_1 = 0.1120$  (0.2319),  $wR_2 = 0.3496$  (0.4207) for 4884 reflections with  $I > 2\sigma(I)$  (for 12972 reflections (21495 total measured)), goodness-of-fit on  $F^2 = 1.120$ , largest diff. peak (hole) = 0.443 (−0.336) e Å<sup>-3</sup>. The  $\alpha$  phase at 394 K (CCDC-986257): triclinic,  $P\bar{1}$ ,  $a = 10.5582$  (11) Å,  $b = 19.131$  (2) Å,  $c = 20.915$  (2) Å,  $\alpha = 68.892$  (2)°,  $\beta = 85.501$  (3)°,  $\gamma = 77.175$  (2)°,  $V = 3842.7$  (7) Å<sup>3</sup>,  $Z = 4$ ,  $D_{\text{calc}} = 1.000$  Mg m<sup>-3</sup>,  $R_1 = 0.0960$  (0.2774),  $wR_2 = 0.2660$  (0.3982) for 3496 reflections with  $I > 2\sigma(I)$  (for 13522 reflections (22471 total measured)), goodness-of-fit on  $F^2 = 0.894$ , largest diff. peak (hole) = 0.421 (−0.264) e Å<sup>-3</sup>. The  $\beta$  phase at 403 K



- (CCDC-986258): monoclinic,  $P2_1/n$ ,  $a = 12.1401$  (14) Å,  $b = 36.975$  (4) Å,  $c = 17.688$  (2) Å,  $\alpha = 90.00^\circ$ ,  $\beta = 102.611$  (2)°,  $\gamma = 90.00^\circ$ ,  $V = 7748.1$  (15) Å<sup>3</sup>,  $Z = 8$ ,  $D_{\text{calc}} = 0.992$  Mg m<sup>-3</sup>,  $R_1 = 0.1668$  (0.4430),  $wR_2 = 0.4463$  (0.5654) for 3231 reflections with  $I > 2\sigma(I)$  (for 19194 reflections (57189 total measured)), goodness-of-fit on  $F^2 = 1.123$ , largest diff. peak (hole) = 0.476 (−0.265) e Å<sup>-3</sup>. CCDC-1422938, 986256–986258 contain the supplementary crystallographic data for this paper.
- 17 Y. Murakami, K. Otsuka, S. Hanada and S. Watanabe, *Mater. Sci. Eng., A*, 1994, **189**, 191–199.
  - 18 J. Timmermans, *J. Phys. Chem. Solids*, 1961, **18**, 1–8.
  - 19 O. Benafan, R. D. Noebe, S. A. Padula II, D. J. Gaydos, B. A. Lerch, A. Garg, G. S. Bigelow, K. An and R. Vaidyanathan, *Scr. Mater.*, 2013, **68**, 571–574.
  - 20 The estimated activated enthalpy for transformation is  $-3.26$  kJ mol<sup>-1</sup> for **1** using a Clausius–Clapeyron equation ( $\Delta H^* = -T_{\text{av}}\varepsilon (d\sigma/dT)$ ) with the values:  $d\sigma/dT = 0.135 \times 10^6$  Pa K<sup>-1</sup>;  $T_{\text{av}} = (393.5 + 396.0)/2 = 394.8$  K;  $\varepsilon = \tan(6.11^\circ) \times 0.98 (=V_\alpha/V_\beta) = 0.105$ ; density in  $\beta = 0.992 \times 106$  g m<sup>-3</sup>; and formula weight = 578.63 g mol<sup>-1</sup>.
  - 21 K. Otsuka, C. M. Wayman, K. Nakai, H. Sakamoto and K. Shimizu, *Acta Metall.*, 1976, **24**, 207–226.
  - 22 R. V. Krishnan and L. C. Brown, *Metall. Trans.*, 1973, **4**, 423–429.
  - 23 K. Tanaka, S. Kobayashi and Y. Sato, *Int. J. Plast.*, 1986, **2**, 59–72.
  - 24 K. Otsuka and K. Shimizu, *Int. Met. Rev.*, 1986, **31**, 93–114.
  - 25 The history and terminology for SMAs: C. M. Wayman and J. D. Harrison, *J. Met.*, 1989, **41**, 26–28.
  - 26 R. W. Davidge and P. L. Pratt, *Phys. Status Solidi B*, 1964, **6**, 759–776.
  - 27 S. Farzaneh, J. Fitoussi, A. Lucas, M. Bocquet and A. Tcharkhtchi, *J. Appl. Polym. Sci.*, 2013, **128**(5), 3240–3249.

