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Mentalization and the left inferior frontal gyrus and insula

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Abstract

Objective—To determine if an interpersonal attribution bias associated with self-perception, the externalizing bias, was related to neural activations during mentalization.

Methods—A functional magnetic resonance imaging task involving verbal appraisals measured neural activations when thinking about oneself and others in 59 adults, including healthy women as well as women with and recovered from anorexia nervosa. Whole-brain regressions correlated brain function during mentalization with the externalizing bias measured using the Internal, Personal, and Situational Attributions Questionnaire.

Results—Women with anorexia nervosa had a lower externalizing bias, demonstrating a tendency to self-attribute more negative than positive social interactions, unlike the other groups. The externalizing bias was correlated with activation of the left inferior frontal gyrus and posterior insula, when comparing thinking about others evaluating oneself with direct self-evaluation.

Discussion—Externalizing biases may provide an office-based assay reflecting neurocognitive disturbances in social self-perception that are common during anorexia nervosa.

Keywords

anorexia nervosa; neuroimaging; biological; neuropsychology

INTRODUCTION

Adult anorexia nervosa is a complex psychiatric illness that includes altered perceptions of oneself and others. These include problems both in the physical perception of one's body and differences in social and emotional perception (Harrison, Tchanturia, Naumann, & Treasure, 2012; McAdams & Smith, 2015; Reville, O'Connor, & Frampton, 2016). Self and other perception engages specific neural regions including temporal, parietal, and frontal regions in healthy people (Pfeifer & Peake, 2012); many of these regions function differently during self-perception in anorexia nervosa (McAdams & Krawczyk, 2014).

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CONFLICTS

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Verbal appraisal functional magnetic resonance imaging (fMRI) tasks are widely-utilized to measure social aspects of self and other perception in healthy and diseased populations (Denny, Kober, Wager, & Ochsner, 2012), and rely on comparing differences in the blood oxygen-level dependent (BOLD) signal when completing evaluations about oneself and/or others. Contrasting these states provides insight into the neural circuitry underlying self and other perception. For example, thinking about one's friend thinking about you versus thinking about oneself directly is a comparison that seeks to isolate the neural substrates involved in mentalization (D'Argembeau et al., 2007). Mentalization is the term ascribed to the process in which a person chooses to think about a situation from another person's viewpoint. This ability to take another person's perspective is clinically relevant to many mental illnesses, such that trials of mentalization-based treatment are being examined in many different psychiatric disorders, including eating disorders (Jewell et al., 2016; Kelton-Locke, 2016). Recently, we identified differences in neural activations in women with and recovered from eating disorders during mentalization (McAdams et al., 2016). These data support a hypothesis that neurocognitive changes related to mentalization may be important in recovery, however interpreting neural data in the context of individual patients requires identification of office measures associated with both neural and illness state differences.

Interpersonal attribution biases provide a self-report measure of cognitive biases about oneself and others. Negative externalizing biases, a tendency to blame oneself for negative events more than positive events, are observed in women with eating disorders (Morrison, Waller, & Lawson, 2006; Rotenberg & Flood, 2000; Watkins et al., 2001) and differ when comparing women in sustained weight-recovery following anorexia nervosa to those recently with anorexia nervosa (McAdams, Lohrenz, & Montague, 2015). Cabanis et al. (2013) examined neural activations during verbal interpersonal attributions in healthy subjects, finding that negative self-attributed statements activated the bilateral insula. The insula are associated with social conformity (Wu, Luo, & Feng, 2016), and pathology in anorexia nervosa (Ehrlich et al., 2015; Kerr et al., 2016; Oberndorfer et al., 2013; Shott, Pryor, Yang, & Frank, 2016), leading us to an idea that one's biases about one's position in the world might reflect differences in the engagement of the insula during self-relevant mentalization.

Using a verbal appraisal fMRI task, the *Social Identity V2 Task*, we recently reported on how brain activations during mentalization differed across groups for women with anorexia nervosa, women in recovery following anorexia nervosa, and healthy women (McAdams et al., 2016). The primary aim of that study was to establish how brain function during self-evaluations related to state of disease. The goal of the secondary analysis provided here was to explore whether the externalizing bias, a measure of the valence in one's self-evaluations, was related to neural activations during mentalization.

METHODS

Participants

Subjects provided written informed consent to participate, approved by the UT Southwestern Institutional Review Board. Fifty-nine women were enrolled, including healthy comparison women (HC, $n = 19$), women recently with anorexia nervosa (AN-C, DSM-IV criteria for

anorexia nervosa in previous 6 months, $n = 22$), and women in weight-recovery following anorexia nervosa (AN-WR, DSM-IV criteria for anorexia nervosa in lifetime but BMI > 19 for 24 months, $n = 18$).

Instruments

Subjects were interviewed using the Structured Clinical Interview for DSM-IV to confirm course and history of anorexia nervosa for AN-C and AN-WR groups, and absence of eating disorders (ED) in the HC group (First, 2002). Clinician-based measures of depression (Quick Inventory of Depression Symptoms, QIDS-CR (Rush et al., 2003)) and anxiety (Structured Interview for Hamilton Anxiety Scale, SIGH-A (Shear et al., 2001)) were obtained.

Self-report assessments were completed by all subjects: Eating Attitudes Test (EAT) (Garner, Olmsted, Bohr, & Garfinkel, 1982), the Body Shape Questionnaire (BSQ) (Rosen, Jones, Ramirez, & Waxman, 1996), the Toronto Alexithymia Scale-20 (TAS) (Bagby, Parker, & Taylor, 1994), and the Self-Liking and Self-Competence Scale (SLSC) (Tafarodi & Swann, 1995). Interpersonal attribution biases (externalizing bias (EB), positive personalizing bias (PPB), and negative personalizing bias (NPB)) were measured using the Internal, Personal, and Situational Attributions Questionnaire (IPSAQ) (Kinderman & Bentall, 1997).

The IPSAQ involves 32 fill-in-the blank answers to a range of random interpersonal events (e.g. receiving a present); subjects are instructed to write down the first thought for why the event happened. Half of the items are positive and half are negative. After writing their response, the subject then selects whether their response attributes causality to themselves, their friend, or the situation. The EB is calculated as the difference in the number of self-attributed positive items minus number of self-attributed negative items. Items selected about the friend or the situation are used to calculate positive and negative personalizing biases, a measure of the tendency to attribute good or bad things to other people. The EB has a Cronbach's alpha of 0.72, and the scale has been validated for healthy subjects and examined in many psychiatric populations (Didehbani et al., 2012; Kinderman & Bentall, 1996, 1997; Mizrahi, Addington, Remington, & Kapur, 2008; Moritz et al., 2011; Pavlickova et al., 2013).

Functional MRI Task and Analysis

Details about administration, data collection, preprocessing, and preliminary data analysis for the *Social Identity-V2* task, as well as the group differences in this task were previously published (McAdams et al., 2016). Briefly, the task required participants to agree or disagree with 48 statements about themselves (*Self*, ex. "I believe I am clumsy"), their friend (*Friend*, "I believe *my friend* is timid"), and whilst undergoing mentalization about themselves, (*Reflected*, "*My friend* believes I am truthful"). While completing the task, images were acquired using a 3T Philips Achieva MRI scanner, with a 1-shot gradient T2*-weighted echoplanar (EPI) image sequence sensitive to blood oxygen level-dependent (BOLD) contrast with a repetition time (TR) of 2 s, an echo time (TE) was 35 ms, a flip angle was 70°, and volumes consisted of 36 axial slices (4 mm thick, no gap). Preprocessing included spatial realignment to the first volume, normalization to the MNI template, spatial

smoothing using a 6 mm 3D Gaussian kernel, and the voxel time-series was high pass filtered (128 s).

The fMRI task data were analyzed using Statistical Parametric Mapping software (SPM8, Wellcome Department of Imaging Neuroscience London, www.fil.ion.ucl.ac.uk/spm) run in Matlab 2012 (<http://www.mathworks.com>) and viewed in both SPM and xjview (<http://www.alivelearn.net/xjview8/>). BOLD signal was extracted during the 4-s presentation of each statement to create contrast images for each event. Evoked activation was assessed using multiple regression analysis set as boxcar functions. Each regressor was convolved with a canonical hemodynamic response function (HRF) provided in SPM8 and entered into the modified general linear model (GLM). Parameter estimates (e.g. β values) were extracted from this GLM analysis for each regressor. A first level analysis evaluated task contrasts; only the contrast related to mentalization, *Reflected – Self*, is considered here. A whole-brain regression of the EB against the neural activations in the Reflected – Self contrast identified regions of interest (ROI). Consistent with the original study and other fMRI tasks involving self-reflection (Ellard, Barlow, Whitfield-Gabrieli, Gabrieli, & Deckersbach, 2017; Yang, Xu, Chen, Shi, & Han, 2016), the imaging threshold was set at a voxel height of 0.005 followed by family-wise-error cluster-correction to $P < 0.05$.

Parameter estimates were extracted from the ROI and exported to IBM Statistical Package for Social Sciences (SPSS; v.23) for linear regressions and group comparisons. Pearson's bivariate correlations were computed to assess the relationship between this ROI and the EB, for the entire population and each group. Next, multiple linear regression examined whether any other clinical or cognitive measures were also significantly related to activations within the ROI ($p < 0.05$), to assess whether the EB was simply a proxy for other clinical factors. Clinical and cognitive measures (QIDS, SIGH-A, EAT, BSQ, TAS, SL, SC, EB, PPB, NPB) and neural activations for the identified ROI were compared for the three groups using one-way ANOVAs followed by Bonferroni-corrected post hoc comparisons.

A supplementary analysis evaluated whether neural responses within Automated Anatomic Labeling (AAL) regions also correlated with the EB focusing on the insula (left, A_LINS; right, A_RINS), as well as the rolandic operculum (left, A_LRO; right, A_RRO); these correlations were Bonferroni-corrected for multiple comparisons as four regions were included ($p = 0.0125$).

The combination of a whole-brain threshold of 0.005, the use of cluster-correction, and inclusion of standardized anatomical regions for supplemental analysis has been recommended to balance consideration of both Type I error and Type II error in exploratory whole-brain studies utilizing cognitive neuroimaging tasks (Cunningham & Kosciak, 2017; Hopfinger, 2017; Lieberman & Cunningham, 2009; Slotnick, 2017).

RESULTS

Amongst all clinical and cognitive measures, the AN-C and AN-WR groups differed only on the EAT (AN-C > AN-WR > HC), in BMI (AN-C < AN-WR & HC) and for the EB of the IPSAQ (AN-C < AN-WR & HC) (Table 1). In addition, the AN-C and the AN-WR groups

had more depression, anxiety, alexithymia, and body shape concerns than the HC group, as well as less self-esteem, for both the self-liking and self-competence subscales than the HC group.

Previously, we reported three main effect of group clusters during the Reflected – Self contrast. These included regions in the bilateral inferior frontal gyri extending into the insula and the dorsal anterior cingulate; the AN-WR group differed from both the AN-C and HC group in all three, the AN-C group differed from the HC group in the left inferior frontal gyrus.

Here, in the whole brain regression of the EB against the Reflected – Self contrast for all subjects, one cluster in the left insula was identified as a ROI (Figure 1, LINS, 100 voxels, 4620 mm³, MNI –40, 0, 8, Z = 3.70, cluster $p_{FWE} < 0.001$). Pearson's bivariate correlations were computed between the parameter estimates extracted from this cluster for each subject and each subject's EB for all subjects (Figure 1, LINS-EB, All Subjects, $r = 0.59$, $p < 0.001$), as well as for each group separately (AN-C, $r = 0.37$, $p = 0.09$; AN-WR, $r = 0.55$, $p = 0.02$; HC, $r = 0.56$, $p = 0.01$). Using ANOVA, the parameter estimates for this cluster were compared for the three groups, finding significant differences between both clinical groups and the comparison group (LINS, Mean (SD), AN-C, –0.28(0.70), AN-WR, –0.09(0.79), HC 0.70(0.93); $F(57) = 13.3$, $p < 0.001$; AN-C < HC, $p = 0.001$; AN-WR < HC, $p = 0.011$).

Next, multiple linear regression considered whether any other factors (Group, Age, BMI, QIDS, SIGH-A, EAT, BSQ, TAS, SL, SC, EB, PPB, NPB) were correlated with neural activations in the LINS cluster. The only significant relationship ($F(14,44) = 2.72$, $p = 0.006$, $R^2 = 0.46$) observed remained with the EB ($t = 2.25$, $p = 0.03$, $R^2 = .010$).

Finally, in the supplemental analysis using the AAL-defined regions, a similar relationship was observed between the EB and the left rolandic operculum (A_LRO, $r = 0.50$, $p < 0.001$ for all subjects), but not for the other regions (A_LINS, $r = 0.25$, $p = 0.06$; A_RINS, $r = 0.17$, $p = 0.18$; A_RRO, $r = 0.29$, $p = 0.03$).

DISCUSSION

The externalizing bias was related to activation of a cluster within the left insula and the left rolandic operculum during mentalization in the *Social Identity-V2* task, and activations within this region differed based on clinical group. These data support further research examining how the insula's role in social perspective-taking and self-attribution relates to anorexia nervosa. The reported relationship suggests that individuals who tend to think well of themselves in interpersonal scenarios use the left operculum more during mentalization, but individuals who think poorly of themselves use this area less during mentalization. Thus, the externalizing bias may reflect differences in neural processing related to pre-existing biases about one's social status during self-evaluations.

Although the insula are involved in interoception (Cauda et al., 2012), they are also critical for the cognitive processing of self and others (Hu et al., 2016; Sperduti, Delaveau, Fossati, & Nadel, 2011), and transdiagnostically implicated in the psychopathology of mood, addictive, and anxiety disorders (Downar, Blumberger, & Daskalakis, 2016; Goodkind et al.,

2015; Price & Drevets, 2012). Different subregions of the insula have been related to different modalities, including sensorimotor, cognitive, olfacto-gustatory, social-emotional, and an anterior-dorsal area that integrates across them (Kurth, Zilles, Fox, Laird, & Eickhoff, 2010). The left operculum, is linked to sensorimotor experiences, including pain (Garcia-Larrea, 2012). Of relevance, both social and physical pain modulate the insula (Eisenberger, 2012). Murphy et al. (Murphy, Brewer, Catmur, & Bird, 2017) recently proposed a neurodevelopmental view of how interoceptive disturbances may contribute to psychopathology, proposing that interoceptive deficiencies contribute to risky behaviors in adolescents but social emotional impairments in adults.

In anorexia nervosa, the insula have been proposed as neural regions that may be related to the clinical symptom of feeling fat (Frank, 2015) whereas in depression the insula have been postulated to be responsible for negative self-relevant ruminations (Hamilton, Farmer, Fogelman, & Gotlib, 2015; Hao et al., 2015). Importantly, both depressive and eating disorder symptoms can include a negative perception of oneself relative to others (I feel ashamed; I feel fat). In a recent meta-analysis examining functional MRI studies of social conformity, Wu et al. (2016) found that the insula become more active during behavior that deviates from social norms. Consistent with that role, we demonstrate here that modulation of the left insula during mentalization depends upon the valence of one's own biases towards oneself. The left insula may then contribute to multiple symptom dimensions observed in anorexia nervosa, including physical dissatisfaction about one's body and differences in one's social perception of self.

There are limitations to this study. Previously published group differences related to the main effects of this task included a cluster of the left inferior gyrus extending into the insula in which the AN-WR group showed different modulation relative to both the AN-C and HC groups during mentalization (McAdams et al., 2016). That cluster, although smaller, anterior, and lateral, partially overlapped with the left insula cluster identified here with whole-brain regression. However, the multiple regression showed that only the externalizing bias, and not clinical group or any other measure, was related to this cluster. Another limitation is the use of only women, and a mixed population composed of AN-C, AN-WR, and HC. Causality and directionality cannot be determined in a correlation— does the externalizing bias change the left insula activity during mentalization or does this neural difference underlie the altered externalizing biases? Future studies will be necessary to determine whether changes in clinical symptoms are associated with neural changes to the insula and/or changes in the externalizing bias.

In conclusion, in concert with the two-year clinical outcomes data collected from many of these participants (See Harper et al.), the externalizing bias appears to be relevant to both brain function and illness state in anorexia nervosa. Externalizing biases may be related to several aspects of anorexia nervosa including body perception, restriction, and social perceptions; this measure should be evaluated in the context of both clinical interventions and neuroimaging studies in anorexia nervosa to clarify these relationships. In addition, assessment of mentalization as well as mentalization-based therapies are just beginning to be explored in anorexia nervosa, and these studies will further our understanding of the role of

mentalization in this disease (Balestrieri et al., 2015; Jewell et al., 2016; Kuipers, van Loenhout, van der Ark, & Bekker, 2016).

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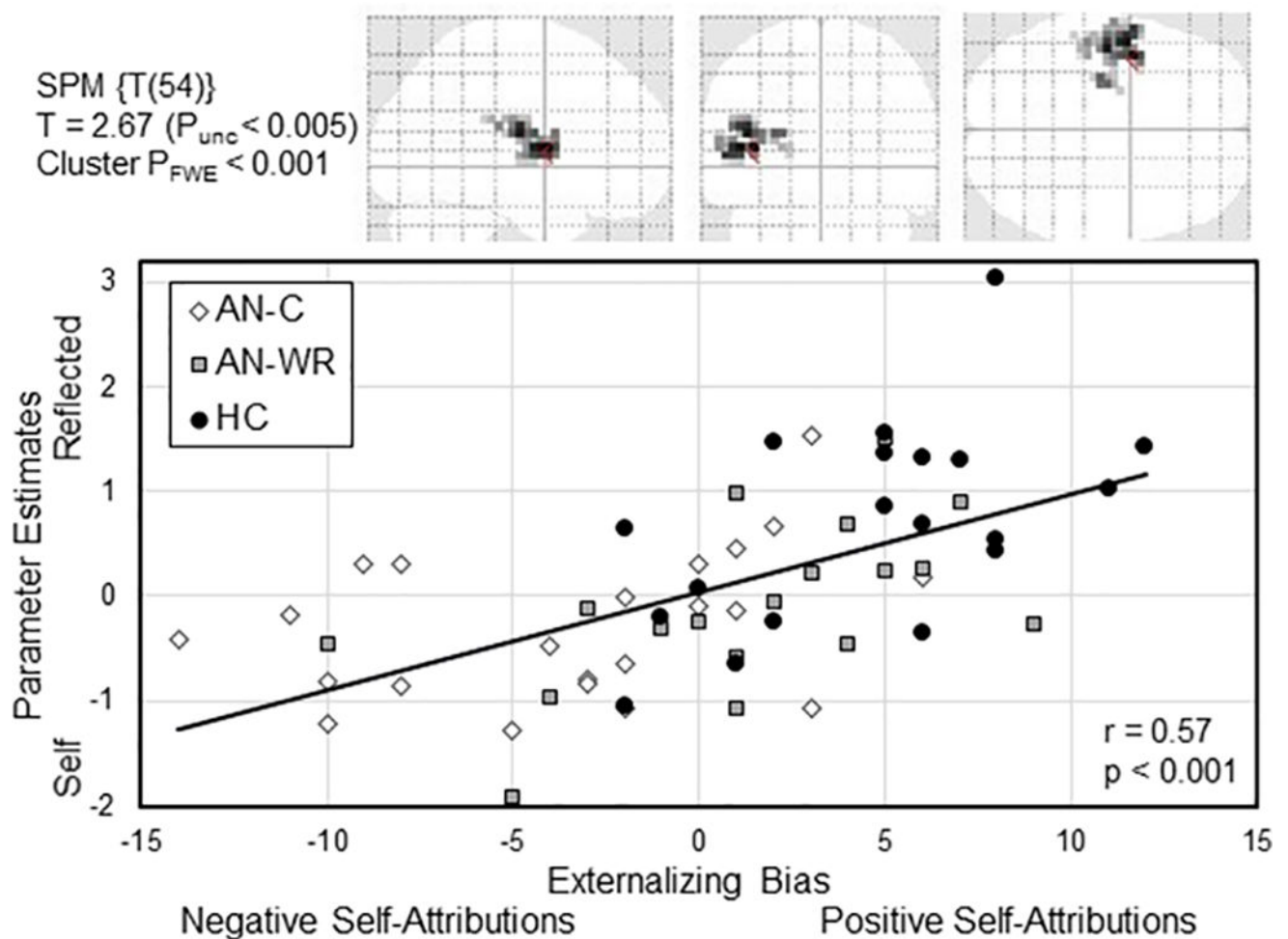


Figure 1.

The upper row show the transparent brain representation of the LINS cluster, obtained from the whole-brain regression of the externalizing bias compared to the Reflected – Self contrast of the Social Identity V2 task. Single voxel threshold set to $P_{\text{unc}} < 0.005$, with the voxel extent of 100, cluster P_{FWE} was < 0.001 . The scatterplot on the second row shows the extracted parameter estimates within this cluster on the y-axis with the externalizing bias on the x-axis for each subject, with the best-fit regression line for the entire population shown in black ($r = 0.57$, $p < 0.001$). Each subject is shown based on their group classification: AN-C, participants currently with anorexia nervosa; AN-WR, participants in weight-recovery with history of anorexia nervosa, and HC, healthy comparison participants.

Table 1

Participant Characteristics

Measure	Participant Group						Statistical Comparisons		
	AN-C (n = 22)		AN-WR (n = 18)		HC (n = 19)		<i>F</i> (2,56)	<i>p</i>	<i>M²</i>
Age	Mean(SD)	27.59(7.65)	30.22(8.08)	22-47	27.89(5.99)	21-43	0.74	0.48	0.03
Body Mass Index	Range	14.64-20.31	22.84(2.73)	19.20-28.49	22.52(2.42)	19.1-26.6	35.24	<.001 ^{^†}	0.56
BSQ	Range	59-196	114.00(37.91)	54-189	58.16(22.30)	30-112	27.46	<.001 ^{**^†}	0.50
Depression (QIDS-CR)	Mean(SD)	6.68(5.28)	5.11(4.36)	0-15	1.58(2.09)	0-7	7.75	0.001 ^{**^†}	0.22
Anxiety (SIGH-A)	Range	0-16	8.33(6.64)	0-20	2.26(2.79)	0-8	7.80	0.001 ^{**^†}	0.22
Alexithymia (TAS)	Range	25-75	52.06(11.30)	30-71	38.26(9.69)	25-58	12.11	<.001 ^{**^†}	0.30
Eating Attitudes Test	Mean(SD)	39.00(17.94)	15.72(9.87)	0-38	3.32(3.94)	0-12	43.62	<.001 ^{**^†}	0.61
Self-Liking	Range	9-32	18.61(6.37)	8-32	31.32(6.15)	23-40	34.48	<.001 ^{**^†}	0.55
Self-Competence	Mean(SD)	21.82(5.84)	24.39(4.47)	13-31	29.11(5.30)	19-39	9.84	<.001 ^{**^†}	0.26
IPSAQ EB	Range	-14-6	1.39(4.73)	-10-9	4.58(4.14)	-2-12	14.44	<.001 ^{^†}	0.34
IPSAQ PPB	Mean(SD)	0.55(0.35)	0.48(0.29)	0.00-1.00	0.50(0.25)	0.00-0.88	0.35	0.70	0.01
IPSAQ NPB	Range	0.00-1.00	0.70(0.23)	0.15-1.00	0.54(0.24)	0.25-1.00	1.50	0.23	0.05

AN-C = women with anorexia nervosa; AN-WR = women in weight recovery after anorexia nervosa; HC = healthy control women. BSQ = Body Shape Questionnaire; QIDS-CR = Quick Inventory of Depression Symptoms; SIGH-A = Structured Interview for Hamilton Anxiety Scale; TAS = Toronto Alexithymia Scale; IPSAQ = Internal, Personal, and Situational Attribution Questionnaire; EB = externalizing bias; PPB = positive personalizing bias; NPB = negative personalizing bias

* AN-WR differs from HC ($p < 0.05$)

[^] AN-C differs from HC ($p < 0.05$)

[†] AN-C differs from AN-WR ($p < 0.05$)